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## ARTICLE

## Effect of Double Tailed Cationic Surfactant on the Physicochemical Behavior of Hybrid Vesicles

Suraj Koirala,<sup>1</sup> Biplab Roy,<sup>2</sup> Pritam Guha,<sup>2</sup> Ravi Bhattarai,<sup>1</sup> Manish Sapkota,<sup>1</sup> Prasant Nahak,<sup>2</sup> Gourab Karmakar,<sup>2</sup> Amit Kumar Mandal,<sup>3</sup> Anup Kumar,<sup>4</sup> Amiya Kumar Panda<sup>\*2,5</sup>

Hybrid vesicles, prepared from soyphosphatidylcholine (SPC), ion pair amphiphile (IPA), cholesterol (CHOL) and dihexadecyldimethylammonium bromide (DHDAB), were investigated to assess its potential as novel drug delivery system for indomethacin (IMC), a nonsteroidal anti inflammatory drug (NSAID). Size, polydispersity index and zeta potential values of the vesicles were monitored with respect to time using dynamic light scattering (DLS) measurements which confirmed the profound effect of both DHDAB and the drug. Incorporation of DHDAB, although results in the size enhancement of the liposome, however, its presence enhanced vesicle stability induced by electrostatic repulsion. Both conventional and freeze-fractured transmission electron microscopic (TEM) studies revealed spherical morphology of vesicles. FTIR studies confirmed perceptible interaction among the drug and the lipid-surfactant mixtures. Thermal behavior of the vesicles was assessed by means of differential scanning calorimetric (DSC) studies in order to understand the interaction between the incorporated NSAID and lipids. State of polarity of IMC and another fluorescent molecular probe (7-hydroxycoumarin) were examined *via* absorption and emission spectroscopy respectively. Results on the fluorescence anisotropy studies helped in understanding the head group packing of the amphiphiles in the vesicles. *In vitro* release study of the NSAID from vesicles revealed that incorporation of DHDAB promoted the release of the drug. Among different proposed models, the Korsmeyer-Peppas model was found to be the best one and the release mechanism was predominantly Fickian diffusion. The formulations, in the absence and presence of IMC showed no cytotoxicity in healthy human blood cell lymphocyte as well as in the human breast adenocarcinoma cell line (MCF 7). The aforementioned studies provided deeper insight into the interaction pattern of DHDAB with hybrid vesicles thereby exploring the usefulness of such systems as effective drug carriers.

### 1. Introduction

Colloidal nanocarriers have evolved as promising vehicles in drug delivery system.<sup>1</sup> Due to its high surface area to volume ratio, they exhibit superior pharmacokinetics and bio-distribution of drugs which ultimately increase the therapeutic activity and reduce drug toxicity.<sup>2</sup> Among the different colloidal systems, liposome, a spherical vesicle made up of cholesterol and phospholipids,<sup>3</sup> has evolved as potential carrier of bioactive compounds for its biocompatibility issue. Liposome was first discovered by late Alec Bangham in 1965.<sup>3-7</sup> Later on, Gregory Gregoriadis<sup>4</sup> developed the concept that liposome can be used to deliver drugs; since then it has been comprehensively studied as vehicles for drug, vaccine, gene, etc.<sup>8</sup> The drugs, entrapped inside a liposome, have completely different biodistribution than the free drug molecules; which leads to increase in therapeutic outcome of drugs.<sup>3, 4, 9</sup> Furthermore, liposome surface can deliberately be modified by adhering suitable ligands, thus making it capable to bind to specific site inside the body

without causing damage to other cells.<sup>10</sup> Although a large number of liposome formulations are approved by FDA and are available in market,<sup>11</sup> however, formulating drug entrapped liposome is equally difficult task due to its stability and leakage problem. Stability of liposomes can be improved by modifying its surface using different polymers.<sup>12</sup> Phospholipids, used as the main component, are biocompatible in one hand but equally prone to degradation on the other hand imparting instability of liposome based formulations inside the body.<sup>13</sup> Therefore, studies on liposomes on the basis of both *in vivo* and *in vitro* stability are warranted in order to develop effective drug delivery systems. Stability of liposome depends largely on its composition. For example, saturated phospholipids increase the rigidity of liposome,<sup>3</sup> whereas cholesterol controls the rigidity of the liposome as well as *in vivo* stability.<sup>14</sup> There are two ways to increase the *in vitro* stability of liposomes, *viz.*, electrostatic and electrosteric. Incorporation of charge in liposome forms electrostatically stable liposome.<sup>13</sup>

Quest for new stable drug delivery systems have led to the development of different vesicles during last few decades. Vesicles made up of ion pair amphiphiles (IPA), also known as catanosomes, have extensively been studied as potential carrier for the drugs.<sup>15-19</sup> Due to the simplicity in preparation, cheaper production cost and enhanced stability, IPA can be used as novel vesicle for drug delivery.<sup>16, 18, 20, 21</sup> Similarly, vesicles made from a mixture of cationic-zwitterionic surfactants show potential application in gene therapy.<sup>22</sup> Although vesicles made up of such surfactants are easy to formulate, however they exhibit substantial toxicities, especially to cellular systems. Recently it has been found that toxicity of catanosomes (vesicle prepared by using catanionic surfactants) can be reduced by adding phosphatidylcholine to it.<sup>23</sup> Such hybrid vesicles, made up of zwitterionic lipid and cationic surfactant, not only can reduce toxicity but also have increased stability. Sobral et al.<sup>24</sup> characterized the vesicle made up of dioctadecyldimethylammonium bromide (DODAB) and dipalmitoylphosphatidyl choline (DPPC) and found that at 50 mole% DODAB, most stable vesicle was formed. Chang et al.<sup>25</sup> studied the stability of vesicle made up of zwitterionic lipid distearoylphosphatidylcholine (DSPC) mixed with dihexadecyldimethylammonium bromide (DHDAB) and found that physical stability increased while increasing DHDAB concentration from 10 to 50 mole%. Similarly, Zhang et al.<sup>26</sup> reported that addition of cationic surfactant to zwitterionic phospholipids increased the stability of vesicle by electrostatic stitching in gel phase of the phospholipids. Moreover, effective gene transfer, cytotoxicity and stability of vesicle are reported to depend on the composition of vesicles.<sup>19</sup> Thus, investigation on the effect of double tailed cationic surfactant as well as ion pair amphiphiles (prepared by stoichiometric mixing of two oppositely charged surfactants in water) on the different physicochemical properties of liposomes or other synthetic vesicle formulations are considered to be worth investigating considering their potential application in targeted drug and gene delivery. The potential of such liposomes are not only limited on the drug delivery systems, but also in the fundamental understanding viewpoint as model biological membranes.<sup>7</sup> Liposomes are used to study interaction of drug with membrane which can provide significant information on different pharmacokinetic properties of drugs.<sup>27, 28</sup> Likewise, membrane fusion, molecular recognition, cell adhesion, membrane trafficking and other membrane related properties can be studied by using liposome as model systems.<sup>29</sup>

Indomethacin (IMC) is a non-steroidal anti-inflammatory drug (NSAID).<sup>30, 31</sup> It is COX inhibitor and used to treat inflammation, fever and pain. The most common side effect of IMC is gastric irritation and gastrointestinal perforation.<sup>32</sup> Regardless of its potent anti-inflammatory and analgesic effect, its use is limited due to its severe side effects. Recently it was found that there occurred decrease in GI perforation when the NSAID is administered along with phospholipids.<sup>33</sup> Moreover, due to its toxicity, NSAIDs can alternatively be used topically for diseases like arthritis and ankylosing spondylitis. Surfactant based vesicles have recently been used as vehicle for topical delivery. Among different surfactants, cationic and anionic surfactant are more detrimental to stratum

corneum than nonionic surfactants and allow greater amount of drug flow inside the body.<sup>34, 35</sup> Similarly, unsaturated lecithin can be used as penetration enhancer due to its ability to increase fluidity of stratum corneum.<sup>6</sup> However, the choice of the surfactant should be rational so that it does not cause significant harm to the body. Thus studies on the vesicle containing mixture of surfactant with optimum property for penetration of stratum corneum with reduced toxicity are gaining significant interest day by day.

In the present study, novel vesicles composed of soyphosphatidylcholine (SPC), cholesterol (CHOL), ion pair amphiphile (IPA) and dihexadecyldimethylammonium bromide (DHDAB) were formulated. Effect of DHDAB on vesicle size, polydispersity index and zeta potential were evaluated by dynamic light scattering. Thermotropic behavior of different vesicles were assessed by differential scanning calorimetry (DSC). State of polarity and anisotropy of the palisade layer were assessed by using 7-hydroxycoumarin (7-HC) as molecular probe. To gain further knowledge on the effect of IMC on the prepared vesicle, the NSAID was incorporated into the vesicle and similar studies were made. *In vitro* drug release kinetics studies were carried out whereby the release constant was determined by using different models. Finally the effect of the different liposomal formulations were investigated on human cell lines (both normal and cancerous) in order to assess its cytotoxicity. Such a comprehensive set of studies are expected to develop potential drug delivery systems with novel substitutes of the conventional phospholipids.

## 2. Experimental Section

### 2.1 Materials

Hexadecyltrimethylammonium bromide (HTAB, CTAB), sodium dodecylsulfate (SDS), dihexadecyldimethylammonium bromide (DHDAB), cholesterol (CHOL) and 7-hydroxy coumarin (7-HC) were purchased from Sigma-Aldrich Chemicals Pvt. Ltd. (USA). Indomethacin, IMC was a generous gift from Florid Laboratories. Pvt. Ltd. (Kathmandu, Nepal). Soy phosphatidylcholine (L- $\alpha$ -phosphatidylcholine) was the product of Calbiochem, Germany. AR grade disodium hydrogen phosphate ( $\text{Na}_2\text{HPO}_4 \cdot 2\text{H}_2\text{O}$ ), sodium hydrogen phosphate ( $\text{NaH}_2\text{PO}_4 \cdot 2\text{H}_2\text{O}$ ), sodium chloride (NaCl), HPLC grade chloroform and methanol were obtained from Merck Specialities Pvt. Ltd., India. All the chemicals were stated to be >99.5% pure and were used without further purification. Double distilled water was used during entire work.

### 2.2 Methods

#### 2.2.1 Preparation of Vesicles

Vesicle was prepared by the thin film hydration method with slight modification.<sup>36, 37</sup> Stock solutions of SPC, IPA and DHDAB along with 30 mole% CHOL were prepared in chloroform and methanol (3:1, v/v). Required volumes of the ingredients from the stock solution were transferred into a round bottom flask. Thin film was generated using a rotary evaporator and was further kept in vacuum overnight to remove all traces of organic solvent. The resultant thin film was hydrated with phosphate buffer pH 7.4 and agitated in

rotary evaporator for 1 h at 70 °C to form multilamellar vesicles. Multilamellar vesicle was frozen, thawed and sonicated (in ultrasound bath) for four consecutive cycles in order to obtain monodispersed unilamellar vesicle.<sup>23, 37</sup> It was filtered through 0.45 micron cellulose nitrate membrane to remove dust particles prior to each measurement. IMC loaded liposome was prepared by co-drying the drug with lipid at the time of thin film formation.<sup>38</sup>

## 2.3 Instrumentation

### 2.3.1 Dynamic Light Scattering (DLS) Studies

DLS technique is used to find out the hydrodynamic diameter ( $d_h$ ), polydispersity index (PDI) and zeta potential (Z.P.) of liposomes, known as the stability indicators. Measurements were carried out with respect to time using Zetasizer Nano ZS (Malvern Instruments, UK) at 25 °C. A He-Ne laser with an emission wavelength at 632.8 nm was used and all the data were recorded at 90° scattering angle. Simultaneously PDI value was also recorded with gives information about the homogeneity of the colloidal dispersion. Zeta potential is another parameter that can be determined by DLS technique. It gives brief idea about the overall charge of lipid composition and predicts the stability of colloids during storage for long time. Smoluchowski's equation  $\zeta = \mu\eta/\epsilon$ , where,  $\mu$ ,  $\eta$ ,  $\epsilon$  are electrophoretic mobility, medium viscosity and dielectric constant respectively was used to determined zeta potential.<sup>39</sup> For the measurement of zeta potential 0.1 mM sample was injected to zeta cell. Final zeta potential and standard deviation were reported as the mean value of triplicates.

### 2.3.2 Electron Microscopic Studies

Morphology of the vesicles was examined via normal TEM as well as freeze-fractured TEM (FF-TEM). One drop of dilute ( $10^{-4}$ M) vesicle dispersion was applied on Formver™ carbon-coated 300 mesh copper grid. Excess liquid on the edge of grid was removed using filter paper. The sample loaded in the grid was then dried in air for 10 min.<sup>40</sup> The dried sample was finally analyzed using transmission electron microscope (Hitachi H-600, Japan).

In case FF-TEM measurement has been adopted to prevent the drying process that involve in case of normal TEM. FR-7000A (Hitachi High Technologies Ltd., Japan) was used for the studies. In this case, the sample was frozen in liquid propane at -150 °C. Platinum-carbon was used to make the replica of the samples by evaporation technique.<sup>23</sup>

### 2.3.3 Fourier Transform Infrared Spectroscopic (FTIR) Studies

Physical mixture of the lipids in the absence and presence of IMC was ground and mixed thoroughly with potassium bromide (KBr) at 1:5 w/w ratio. Sample was then kept inside the press to form KBr disc. 100 scans of pure IMC, lipid mixture and IMC with lipid were done at 2  $\text{cm}^{-1}$  resolution to obtain spectra from 4000-400  $\text{cm}^{-1}$ .

### 2.3.4 Differential Scanning Calorimetry (DSC) Studies

Heat flow associated with lipid phase transition and interaction of bioactive compound with membrane were studied by DSC measurement.<sup>41, 42</sup> A differential scanning calorimeter, DSC 1 (star<sup>e</sup>, Mettler Toledo, Switzerland) was used for this purpose. Required

volume of chloroform solutions of all the ingredients in the presence and absence of indomethacin was placed in an aluminium pan with a micro syringe. The solvent was evaporated and the components left was hydrated with phosphate buffer (PBS, pH=7.4) overnight at 45 °C in an incubator under controlled humidity. A similar volume of the PBS solution was used in the reference pan. The pans were equilibrated by a rapid heating-cooling cycle (10 °C/min) in the temperature range 10- 70 °C. The samples were then scanned in the temperature range -20 to 25 °C at 2 °C/min. The third scan was used for data interpretation. Drug lipid interaction was studied by taking the physical mixture of pure drug and mixture of lipids with drug in an aluminum pan using an empty pan as reference. Heating rate of 10 °C/min was applied from 120 – 180 °C to obtain the DSC thermogram of pure drug and drug with lipid mixtures.

### 2.3.5 Spectroscopic Studies

UV-visible spectrophotometer was used to record the absorption spectra of the IMC loaded vesicles (UVD-2950, Labomed Inc., USA). Vesicle containing drug was kept in sample cell and vesicle without IMC was used as reference. Steady state fluorescence spectra and anisotropy analyses were carried out by a bench top spectrofluorimeter (Quantummaster-40, photon Technology International Inc, NJ, USA). 7-hydroxycoumarin (7-HC) was used as the fluorescent probe for its solvatochromic sensitivity as well as its preferable residence over the palisade layer of the membrane.<sup>23</sup> Spectral measurements were carried out by using lipid and probe mixture with a ratio 1:100. Emission spectra were recorded in the range 350-600 nm by exciting the probe at 330 nm. Fluorescence anisotropy value ( $r$ ) was determined by the following equation:<sup>43, 44</sup>

$$r = \frac{I_{VV} - G.I_{VH}}{I_{VV} + 2GI_{VH}} \quad (1)$$

where,  $I_{Vv}$  is the parallel polarized and  $I_{VH}$  is the perpendicular polarized fluorescence intensities,  $G = I_{HV}/I_{HH}$  is the monochromator grating correction factor. Felix Gx software was used to calculate anisotropy value.

### 2.3.6 In Vitro Drug Release Studies

*In vitro* drug release studies were carried out by dialysis method.<sup>38</sup> *In vitro* drug release studies were carried out by dialysis method.<sup>38</sup> with slight modification. 10 mL vesicle and aqueous solution, equivalent to 0.5 mg of drug were separately kept inside the dialysis bag. Dialysis bag was immersed in beaker containing 30 mL phosphate buffer (pH 7.4). Certain volume of the diffused liquid was withdrawn at regular interval of time and the released drug concentration was quantified colorimetrically (at 320 nm). The data were then fitted into different mathematical models and were analyzed subsequently.

### 2.3.7. Cytotoxicity Studies

#### 2.3.7.1. Selection of Human Subjects for Collection of Lymphocytes

Healthy human subjects (n=3) were chosen to collect the blood sample for separation of lymphocytes. The subjects who were enrolled in this study were from same geographical area and are all

asymptomatic and none of them had abnormal on physical examinations and routine laboratory tests. They received no medication, including anti-oxidant supplementation. The rejection criteria for subjects included not only individuals with acute infections or chronic diseases, but also the healthy individuals undergoing supplementation with antioxidants. Subjects gave written consent. The study protocol was in accordance with the declaration of Helsinki, and was approved by the ethical committee of Vidyasagar University.<sup>45</sup> Blood samples were collected from these healthy human volunteers by vein-puncture in 5 ml heparin coated Vacutainers satisfying the method of Hudson and Hay.<sup>46</sup> Five milliliters of blood were diluted with phosphate buffered saline (PBS) (1:1) and layered onto Histopaque 1077 (Sigma) by using a Pasteur pipette and centrifuged at 400 x g (1500 rpm) for 40 min at room temperature and the peripheral blood mononuclear cells (PBMCs) were collected as per the previously described method.<sup>45</sup>

After the treatment schedule with Drug 1, 2 and 3, the PBMCs ( $2 \times 10^5$  cells in each set) were washed with PBS (1X) for three times using centrifugation (2200 rpm for 3 min/wash) and were subjected to quantitative estimation for cytotoxicity by a non-radioactive, colorimetric assay systems using tetrazolium salt, 3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyl-tetrazolium bromide (MTT).<sup>45, 46</sup> The percentage of proliferation was calculated by using the following equation:

$$\% \text{ Proliferation} = [\text{OD}_{\text{sample}} - \text{OD}_{\text{control}}] \times 100 / \text{OD}_{\text{control}} \quad (2)$$

From the cytotoxicity assay the IC<sub>50</sub> values of three drugs against PBMCs were calculated by non-linear regression equation.

### 2.3.7.2. Cytotoxicity Studies on Human Breast Adenocarcinoma Cell Line.

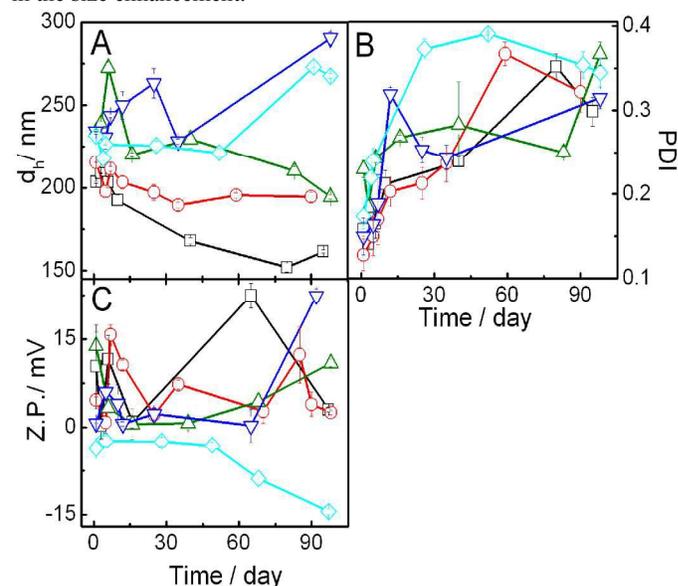
In another set of experiments, The human breast adenocarcinoma cell line (MCF 7) was obtained from National Centre for Cell Science (NCCS), Pune, India and were cultured in DMEM (Dulbecco's Modified Eagle Medium) supplemented with 10% fetal bovine serum (FBS), 100 units/mL penicillin, 100 mg/mL Streptomycin, 0.14% Sodium bicarbonate and 0.1mM sodium pyruvate. The cell line was maintained in CO<sub>2</sub> incubator (N-Biotech) at 37°C in a 5% CO<sub>2</sub> atmosphere with 95% humidity. Briefly, the cells were seeded in 96-well plates at a density of  $5 \times 10^3$  cells/well in 200  $\mu$ L culture medium. Following 24hrs incubation and attachment, the cells were treated with higher (0.1mM) and lower (0.0025mM) concentrations of vesicles (without and with double tailed cationic surfactant and IMC) on the basis of previous experiment and similar concentration of diluents (PBS) for 24 hr. After treatment, media was replaced with MTT solution (10 $\mu$ L of 5mg/ml per well) prepared in PBS and incubated further for 3hrs at 37°C in a humidified incubator with 5% CO<sub>2</sub>. Then 50 $\mu$ L of isopropanol was added to the each well and plates were gently shaken for 1 min and absorbance was taken at 595 nm by micro titer plate reader (Bio-Rad). The percentage of cytotoxicity was calculated as  $(Y-X)/Y \times 100$ , where Y is the mean optical density of control (PBS treated cells) and X is the mean optical density of treated cells with vesicles. The all experiments were repeated three times independently. Results were presented as Mean  $\pm$  SD of triplicates from three independent experiments.<sup>47</sup>

All the experiments, except the differential scanning calorimetric studies, were performed at ambient controlled temperature. An average of three sets of experiments was reported.

## 3. Results and discussion

### 3.1 DLS Studies

Dynamic light scattering study is an effective tool for measuring the size, PDI and zeta potential which subsequently can shed light on the physicochemical stability of liposomes. Hydrodynamic diameter of the vesicles with different compositions (SPC, IPA and DHDAB along with 30 mole% cholesterol) in the absence and presence of IMC were measured and analyzed. Asymmetric unimodal size distribution for most of the formulations indicate heterogeneity in the size of the formulation. (Fig. S1, supplementary section). Size of pure SPC vesicles were in the range of 100-600 nm with maximum intensity at around 200 nm. Mild shift in the higher size range was noticed for hybrid (SPC+IPA+DHDAB+CHOL) vesicles. Furthermore, incorporation of IMC resulted in the broadening of size distribution curve (indicating heterogeneity in size) along with mild increase in size of the vesicles. Variation in the size of vesicles with time for different combinations have been shown in Fig. 1 and Fig. S2 (Panel A and B). Size of the pure SPC vesicles was found to be  $\sim$ 200nm; results were found to be comparable with previously published reports.<sup>23</sup> Upon the progressive addition of IPA, size of the vesicles increased which was due to the chain mismatch between IPA and SPC. Owing to the fact that IPA possesses shorter hydrocarbon chains than the SPC, thus IPA could not intercalate effectively between SPC molecules. This ultimately results in the deformation of the systematic lipid packing order as well as lowering of the extent of hydrophobic interaction among hydrocarbon chains. Subsequently, there occurs an increase in the size of vesicle.<sup>48</sup> Additionally, it is conceivable that the head group of zwitterionic amphiphiles, herein the IPA, have larger cross-sectional area. Consequently, the packing density of hydrophobic region will be affected; thus there would be lateral expansion of the lipids resulting in the size enhancement.<sup>49</sup>



**Fig. 1** Variation in the hydrodynamic diameter,  $d_h$  (panel A), polydispersity index, PDI (panel B) and zeta potential, Z.P. (panel C) with time for vesicles (SPC:IPA, 9:1 M/M, 0.1 mM + 30 mole% cholesterol) comprising different mole % of DHDAB at 25 °C. Mole% of DHDAB:  $\diamond$ , 0;  $\square$ , 2;  $\circ$ , 5;  $\triangle$ , 7.5 and  $\nabla$ , 10. Vesicles were prepared in 10 mM PBS buffer pH 7.4.

Effect of cationic double chain surfactant (DHDAB) on the size of the vesicle was found to be concentration dependent. Addition of DHDAB to SPC+IPA vesicle resulted in the reduction of vesicle hydrodynamic diameter at lower concentration (2 mole%). Such result could be rationalized on the basis of strong head group electrostatic attraction between zwitterionic SPC and cationic charged surfactant as reported earlier.<sup>24, 25</sup> However, at higher amount of added DHDAB (up to 10 mole %) there occurred an overall increase in the electropositivity of vesicles as also evidence from the enhancement of positive zeta potential values, to be shown and explained later. As a consequence of enhanced charge, electrostatic repulsion among the head groups of DHDAB would cause an increase in size.<sup>24, 49</sup> Among all the combinations, hybrid vesicles containing 5 mole% DHDAB was found to be most stable in terms of size for approximately 120 days. Further studies, therefore, were carried out with 5 mole% DHDAB in combination with SPC, IPA and CHOL. Size of the vesicle dispersions containing 10 mole% IPA did not follow the same trend as other systems which was due to different packing density of hydrophobic chain region in presence of different IPA proportion.

Vesicles containing IMC was found to be stable up to 90 days. A remarkable decrease in size of the SPC/IPA vesicles without DHDAB was noted upon incorporation of the NSAID. Due to the amphiphilic nature of IMC, it has a tendency to self-aggregate and intercalate within the palisade layer of bilayer membranes leading to the disruption and a solubilization of the vesicular components.<sup>50</sup> On the contrary, mild increase in the size of vesicle containing DHDAB was observed upon the addition of IMC. This can be ascribed to the fact that IMC (pKa = 4.5) adheres to the surface of vesicle through electrostatic interaction with the positively charged surfactant so that it does not self-aggregate; thus the lipidic organization of the vesicles was not perturbed.

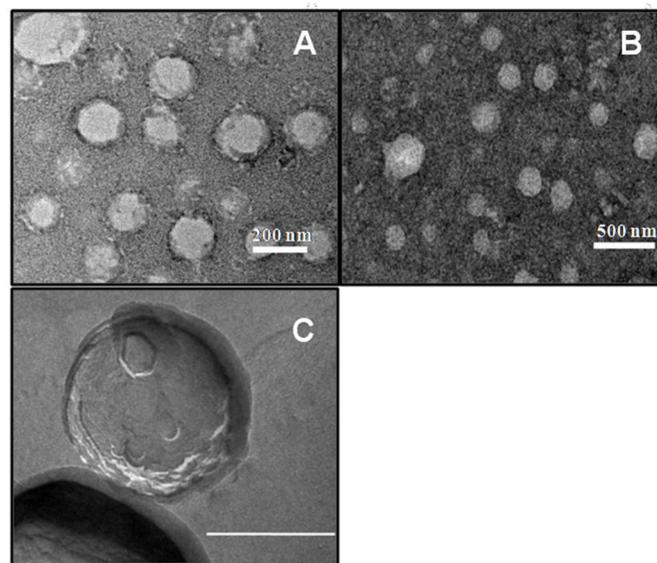
Polydispersity index (PDI) is another parameter that provides useful information on the heterogeneity of the dispersions. PDI values with respect to time of different vesicles are presented in Fig. 1 (panel B) and S2 (panel C and D). PDI values of all the systems were below 0.4 indicating its fairly monodisperse nature. Increase in PDI values with time indicate the formation of the systems heterogeneity due to the formation of differently sized particles through the process of aggregation. PDI value of drug-loaded vesicles containing drug remained constant up to 90 days suggesting substantial stability provided by the drug.

Zeta potential of vesicle provides information regarding the nature and magnitude of surface charge which is another valuable marker to assess its physical stability. Representative zeta potential values of vesicles at different time have been demonstrated in Fig. 1 (panel C) and S2 (panel E and F). Zeta potential value of pure SPC vesicle in PBS solution (pH 7.4) was moderately negative which was due to the presence of negatively charged phosphate groups in the head groups of the zwitterionic phospholipids.<sup>49, 51, 52</sup> Zeta potential values were reverted to mildly positive side upon the progressive addition of DHDAB due to the obvious reason (DHDAB being positively charged, should impart positive zeta potential).<sup>25</sup> A little amount of DHDAB was sufficient to reverse the mild negative

charge of vesicles. Zeta potential again changed to negative values upon drug incorporation. Indomethacin, being weakly acidic in nature with pKa value of 4.5, ionizes almost completely at pH 7.4 and thus neutralizes the positive charge of vesicle. Although the zeta potential values of the vesicles were less than that required for optimum stability (-30 mV), however, it was sufficient to maintain the stability of vesicle as established from the uniform size of vesicles up to 120 days indicating non-occurrence of agglomeration of particles.<sup>53</sup> Lower magnitude of the zeta potential values were due to the presence of electrolytes (10 mM buffer solution). Presence of salt in buffer decreases the size of electrical double layer such that the exact zeta potential is suppressed.<sup>8, 54</sup>

### 3.2 Morphological Studies

Morphology of the vesicles was investigated by normal TEM as well as freeze-fractured TEM (FF-TEM) measurements in order to substantiate the existence of bilayer structure in the vesicles. Normal electron micrograph of hybrid vesicles, SPC+IPA (9:1, M/M, panel A) and the same with 5 mole% DHDAB (9:1+5% DHDAB, M/M, panel B) as well as FF-TEM micrograph of 9:1 SPC+IPA (9:1, M/M, panel C) have been shown in Fig. 2 as representatives. The images of the vesicle provide evidence for the



**Fig. 2** TEM image of vesicle SPC+IPA (9:1, M/M, Panel A) and SPC+IPA + 5 mole% DHDAB. Scale bar: Panel A: 200 nm and Panel B: 500 nm. FF-TEM image of vesicle SPC+IPA (9:1, M/M) Scale bar: 200 nm.

presence of nanometer sized spherical aggregates. Sizes of the vesicles were found to be in the range of approximately 200-250 nm. Nominal numbers of agglomerated vesicles were visible through microscopic studies that suggest substantial stability of vesicle dispersions. It is worthwhile to mention that the size of the vesicles, as obtained from the conventional TEM studies, could not be correlated with the DLS data. However, one can have a very preliminary idea about the shape of the vesicles. Also the existence of the bilayer in such entities could be visualised, although they

appeared to be diffused. The differences in size between the TEM and DLS studies were due to the differences in techniques adopted in sample preparation and analysis. There would occur a shrinkage in the vesicle size during drying process for sample preparation in TEM studies unlike the DLS studies done in solution. In case of FF-TEM studies (panel C) the intact morphology was retained and thus were comparable with the DLS data. Besides, the existence of bilayer was also more distinct in such study.

### 3.3 FTIR Studies

FT-IR was used to study the interaction between the bulk lipid and drug in a physically mixed state. FT-IR is an effective tool to carry out interaction of phospholipids and exogenous substance. We carried out the FT-IR studies on the physical mixture of the components. Simply, the lipids, IPA, surfactant and the drugs were dissolved in chloroform+methanol (3:1,v/v) then dried to remove solvent. Finally conventional sample preparation procedure was followed in performing the FT-IR studies. Such studies could help in understanding the nature of the interaction. In case of hydrophobic interaction between the drug and lipids, one could expect changes in the frequency of the hydrophobic components. On the other hand in case of the interaction between the drug and lipid head group, frequency of the hydrocarbon chains would not be affected. NSAID-lipid interaction studies were made by FT-IR for the bulk lipid-NSAID mixtures. FT-IR spectra of pure IMC, lipid mixture in the presence and absence of IMC over the range 400-4000  $\text{cm}^{-1}$  are displayed in Fig. 3.

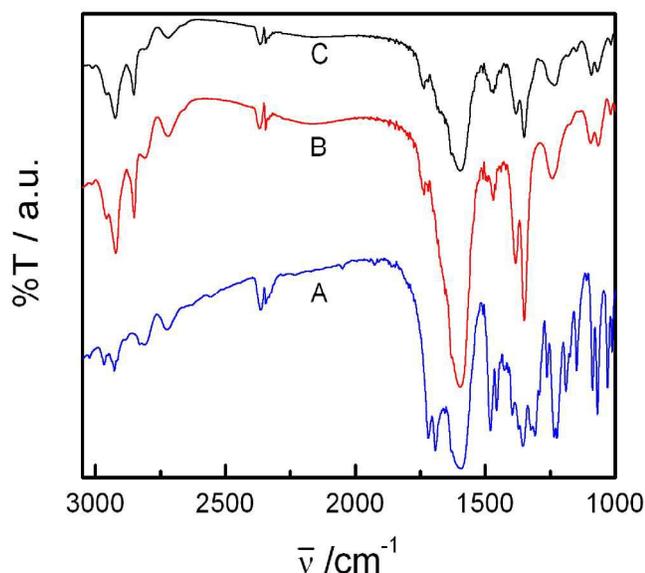


Fig. 3 FTIR spectra of A, indomethacin; B, mixture of lipids and C, A+B.

Pure IMC shows characteristic bands in the region of 1600 to 1750  $\text{cm}^{-1}$ . It was found that the drugs were bound to the palisade layer of vesicles as only the representative vibrational frequency of the head group of the lipidic components were changed upon the addition of IMC. Specifically, peaks appeared for C=O stretching vibrations at 1692  $\text{cm}^{-1}$  (H-bonding to benzoyl C=O) and 1719  $\text{cm}^{-1}$  (cyclic COOH bonding in the dimer) which corresponds to the

gamma ( $\gamma$ ) form of IMC. Additional peaks appeared at 2926 and 2850  $\text{cm}^{-1}$  for asymmetric aromatic C-O stretching and at 1087  $\text{cm}^{-1}$  for symmetric aromatic O-H stretching.<sup>55</sup> However, in case of FT-IR spectra of IMC embedded in the lipid mixture, significant shift for C=O stretching vibration (cyclic COOH bonding) to 1740  $\text{cm}^{-1}$  occurred that indicates the formation of  $\alpha$ -isoform of indomethacin.<sup>56,57</sup> Intensity of the rest of the peaks decreased due to complete mixing of drug with the lipids. The resulting observation confirmed the possibility of significant interaction between drug and the lipids.

### 3.4 DSC Studies

DSC is an effective tool to study the impact of exogenous moieties on the thermodynamic parameters, viz., main phase transition temperature ( $T_m$ ), peak width ( $\Delta T$ ), enthalpy change ( $\Delta H$ ), heat capacity change ( $\Delta C_p$ ), etc., associated with the chain melting of membrane bilayer. Fig. 4 and Fig. S3 graphically represent the combined effects of IPA, DHDAB and IMC on the phase transition profile of SPC vesicle. As clearly evident from Fig. 4, DSC thermogram of the SPC vesicle displayed two endothermic events in the temperature range of -20 to -19  $^{\circ}\text{C}$  (peak a) and 3 to 6  $^{\circ}\text{C}$  (peak c) respectively along with the appearance of another event in the

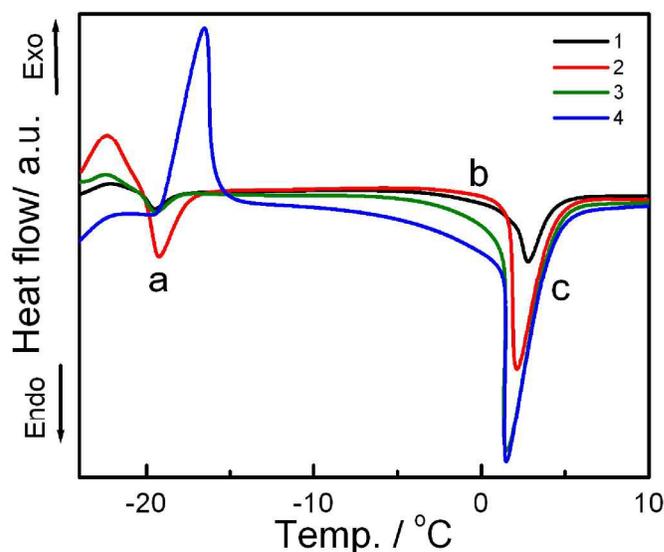
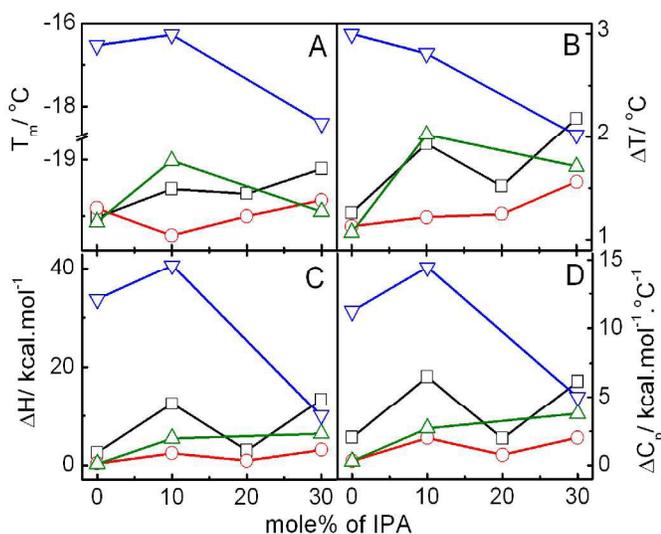


Fig. 4 DSC thermograms of the vesicles of varying composition (in presence of 30 mole% cholesterol). Systems: 1, SPC; 2, SPC: IPA 9:1 (M/M); 3, 2 + 5% DHDAB and 4, 3 + IMC. Scan rate: 2  $^{\circ}\text{C min}^{-1}$ .

temperature range 0 to 3  $^{\circ}\text{C}$  (event b). Peak 'a' represents the main phase transition temperature ( $T_m$ ) of lipid bilayer due to melting of acyl chains of SPC. A progressive upshift in  $T_m$  was observed with the addition of IPA and DHDAB. However, a typical exothermic peak appeared in the temperature range of -16 to -18  $^{\circ}\text{C}$  upon the incorporation of IMC to hybrid vesicle containing 5 mole% DHDAB. The chain melting endothermic peak of SPC bilayer was completely overshadowed by exothermic one at the same temperature through the addition of the drug. Such phenomenon can be explained in terms of aggregation of drug on the surface of vesicle. The event 'b' around 0  $^{\circ}\text{C}$  for SPC vesicle is the

consequence of the heat release from the hydrated water around the head groups of phospholipids and surfactants. Event ‘b’ might be the results of hydration.<sup>23, 58</sup> Hydration of the amphiphiles leads to strong interaction between water and polar head group region. The energy gained to form a strong hydrogen bond is supplied by energy released from chain melting of soy phosphatidylcholine.<sup>51</sup> The extent of hydration was nominal in case of pure SPC vesicle which increased with the addition of IPA and DHDAB; however, opposite trend was observed for the drug loaded hybrid vesicles. Distortion of lipid packing, driven by IPA and DHDAB, allowed water molecules to penetrate and deposit on the bilayer surface thereby enhancing the level of hydration. The second endotherm (peak ‘c’) is due to the absorption of heat in an event of disorganization of water over layer around the vesicles.<sup>23</sup>

Variation in the thermodynamic parameters, viz.,  $T_m$ ,  $\Delta T$ ,  $\Delta H$  and  $\Delta C_p$  for the main endothermic transition (marked as ‘a’) with increasing amount of IPA in hybrid vesicles of SPC+IPA+CHOL in the absence and presence of DHDAB and IMC has been graphically presented in Fig. 5.  $T_m$  of pure soy phosphatidylcholine vesicle, which appeared at  $-19.5$  °C, was moderately shifted to higher temperature upon the addition of IPA. Increase in  $\Delta T$  value was also observed with the inclusion of IPA which is due to the chain mismatch between IPA and SPC acyl chain. Thus, IPA evokes disruption of the packing of acyl chain along with the decrease in the cooperativity for SPC transition temperature. However, destabilization caused by the IPA is overpowered by the decrease in head group repulsion among SPC and IPA. This leads to the enhanced stability of vesicle which is also evident from the increase in the values of  $\Delta H$  and  $\Delta C_p$ .



**Fig. 5** Variation in the phase transition temperature ( $T_m$ ), peak width ( $\Delta T$ ), enthalpy changes ( $\Delta H$ ) and changes in the heat capacity ( $\Delta C_p$ ) for endothermic event ‘a’ with mole fraction of IPA for the vesicles of SPC+IPA in the absence and presence of indomethacin (IMC) and 5 mole% DHDAB. Systems: —, without DHDAB; —, with DHDAB; —, IMC without DHDAB and — IMC with DHDAB.

Addition of double tailed cationic surfactant (DHDAB) moderately decreased the  $T_m$ ,  $\Delta T$ ,  $\Delta H$  and  $\Delta C_p$ . DHDAB consists of

positively charged head group with a pair of hexadecyl hydrocarbon chain, thus mimicking SPC, a zwitterion molecule, in terms of the chain length. Due to opposite charge and similarity in acyl chain, it cooperatively intercalates along SPC molecule owing to the electrostatic interaction and favourable orientation of lipid chains. Incorporation of drug into pure SPC vesicle leads to insignificant change in the  $T_m$  and  $\Delta T$  values but decreased the enthalpy by 30%. Such observation suggests the location of drug on the surface of vesicle. Constant  $\Delta T$  value further confirms about the location of indomethacin.<sup>59</sup>

Interaction between IMC and the bulk amphiphile mixture was also assessed through the DSC study. DSC thermogram of the lipid mixture with and without drug was scanned in the range of 120-180 °C at a scan rate of 10 °C.min<sup>-1</sup> as shown in supplementary section (Fig. S5). Pure  $\gamma$ -form of IMC showed main transition peak at 160.15 °C.<sup>60</sup> Addition of IMC to lipids led to the shift in the main transition to 153.98 °C with a simultaneous decrease in enthalpy change. Decrease in  $T_m$  along with enthalpy change is indicative of the formation of  $\alpha$ -isoform.<sup>60</sup> Thus the DSC studies further support the interaction of drug with the lipid head groups (as established from FTIR spectroscopic studies).

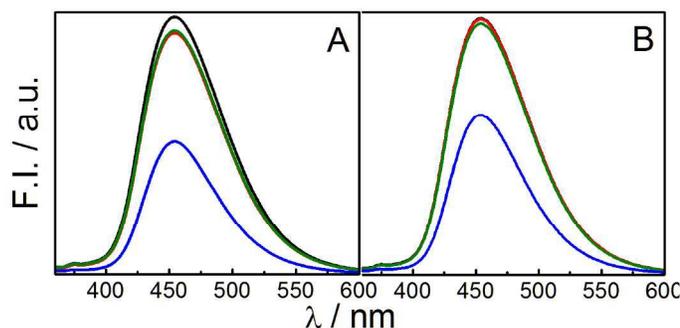
### 3.5 UV-Vis Absorption Spectroscopic Studies

In an attempt to assess the location of drug in the vesicles, absorption spectroscopic studies were carried out for IMC in solvents of different polarity as well as in the prepared vesicles. Fig. S7 (supplementary section) represents the absorption spectra of indomethacin in the vesicles of different compositions. The plot of absorption maximum ( $\lambda_{max}$ ) of IMC vs. dielectric constant of different solvents is also shown in the supplementary section (Fig. S6). A red shift in the absorption maxima ( $\lambda_{max}$ ) was noticed with increasing polarity of the medium.  $\lambda_{max}$  of IMC in pure SPC vesicle appeared at 320 nm. Shift in the  $\lambda_{max}$  of the drug was insignificant with the addition of IPA and DHDAB. Polarity of the surrounding environment of the drug inside the bilayer medium does not change as IPA and DHDAB do not involve in any interaction with IMC, leaving the IMC in its original position and this is one of the novel observation. The  $\lambda_{max}$  of drug in different vesicles was in-line with that in the solvent of high polarity. Results indicated that the drug resides in the region near head group of vesicle as reported by Yang et al.<sup>61</sup> in their previous study of drug location by second derivative. Spectroscopic studies thus further supports the DSC observation on the location of the drug at the palisade layer.

### 3.6 Steady State Fluorescence Spectroscopic Studies

Steady state fluorescence spectroscopic study, using 7-hydroxycoumarin (7-HC), was performed to determine the state of polarity of probe on the palisade layer of vesicles. 7-HC is a solvatochromic probe that resides in the palisade region of membranes and provides information regarding the packing of head groups in vesicle.<sup>23</sup> Emission spectra of 7-HC in the vesicles of different compositions are shown in Fig. 6. Fluorescence intensity of 7-HC in pure SPC vesicle was found to be the lowest. Addition of both the IPA and DHDB enhanced the intensity with marginal shifts in the emission maxima ( $\lambda_{em}$ ). Enhancement of fluorescence

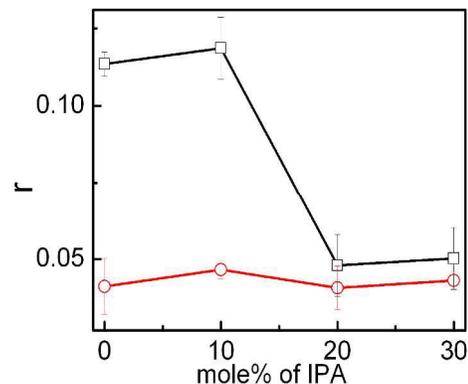
intensity could be rationalized on the basis of increased hydration of head group upon IPA/DHDAB incorporation and subsequent enhancement in the orderness of the surrounding environment of 7-HC residing on the palisade layer of vesicles. It has been reported that on increasing solvent polarity, intensity of 7-HC increases with minimum change in wavelength.<sup>62</sup> Thus, it was confirmed that the polarity of the head groups increased with the incorporation of DHDAB or IPA in the SPC vesicle. Again both the graphs are quite similar, but vesicles with 5% DHDAB put on a view that 7-HC is well located into the palisade layer as 10, 20 and 30% IPA does not alter the intensity of the spectra (Fig. 6 B). It could be attributed that the only the addition of DHDAB affect the head group region by imparting charge. Consequently 7-HC having being oxygen moiety feels the polar environment in the palisade layer.



**Fig. 6** Fluorescence spectra of 10  $\mu\text{M}$  7-HC in the vesicles of SPC+IPA (panel A) and SPC+IPA + 5 mole% DHDAB (panel B) at different ratios of SPC+IPA (along with 30 mole% cholesterol) at 25  $^{\circ}\text{C}$ . Systems (mole% of IPA): —, 30; —, 20; —, 10 and, — 0. Excitation wavelength ( $\lambda_{\text{ex}}$ ): 320 nm.

Fluorescence anisotropy serves as an essential tool to determine the microviscosity of the environment surrounding the probe. The anisotropy study with 7-hydroxycoumarin as a probe confers information related to the head group packing of bilayer due to its tendency to remain in the palisade layer of vesicles. Variation in the fluorescence anisotropy value of 7-HC loaded in SPC/IPA vesicles in the absence and presence of 5 mole% DHDAB with respect to different amount of IPA are represented in Fig. 7. Effect of IPA to SPC vesicle was insignificant in terms of fluorescence anisotropy values. IPA, being an uncharged molecule alike SPC, does not significantly interact with the head group of SPC. With the addition of DHDAB to pure SPC vesicle without and with 10 mole% IPA, increase in the anisotropy value was detected due to significant electrostatic attraction among head groups of SPC and DHDAB. Strong hydration in the presence of DHDAB resulted in the formation of structured hydrated layer over the amphiphile head groups. In contrast, the anisotropy value decreased significantly in the SPC vesicle with DHDAB containing 20 and 30 mole% IPA. Similar declining trend of anisotropy value in the system comprising IPA was reported by Marcellino et al.<sup>49</sup> Increased amount of IPA generates a large gap between two oppositely charged lipids leading to electrostatic hindrance.<sup>63</sup> Furthermore, mild negative charge, rendered by SPC, was masked by IPA. As a consequence, the head

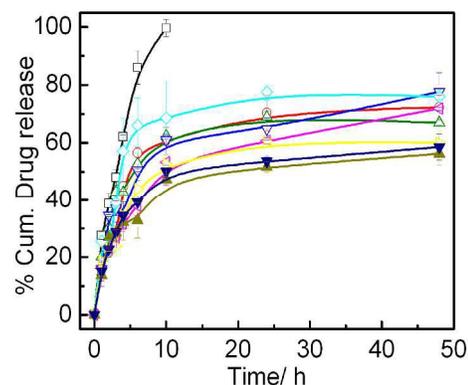
group packing becomes less organized resulting in the comfortable movement of probe in the palisade layer.



**Fig. 7** Variation in the fluorescence anisotropy of 7-HC with the mole% of IPA in the vesicles of SPC+IPA+ 30 mole% cholesterol with ( $\square$ ) and without ( $\circ$ ) DHDAB. Temperature: 25  $^{\circ}\text{C}$ .

### 3.7 In Vitro Drug Release Studies

*In vitro* drug release profile of IMC loaded in different vesicles as well as in an aqueous solution over the period of 48 h is presented in Fig. 8. Biphasic release of IMC was observed for all the formulations. Initial burst release over the period of 5 h was the result of the drug embedded on the outer leaflet of the bilayer as well as the free drug, available in the continuous medium. However, slow and sustained release was due to the drug enclosed inside the vesicle. SPC vesicle exhibited initial burst release up to 50% of total drug at the end of 10 h which is consistent with the result reported by Srinath et al.<sup>38</sup> A steady state was maintained up to 48 h. System with higher amount of IPA exhibited negligible difference in the release profile. In case of vesicle containing DHDAB, initial burst release, along with the overall drug release rate, was higher than SPC vesicles with and without IPA. Such phenomenon was due to the adsorption of substantial amount of indomethacin, due to its anionic nature, onto the surface of positively charged liposome. Comparatively, the SPC/IPA vesicles, devoid of DHDAB, showed higher tendency to withhold drug molecule in its bilayer rather than on the palisade layer due to the presence of neutral head groups that explains slower release in such systems.



**Fig. 8** *In vitro* release profile of IMC from PBS buffer ( $\square$ ) and vesicles of SPC+IPA at different mole% of IPA in the absence and presence of 5% DHDAB at pH 7.4. Systems without DHDAB (mole% of IPA):  $\blacktriangledown$ , 0;  $\blacktriangle$ , 10;  $\triangleright$ , 20 and  $\triangleleft$ , 30. Systems with DHDAB (mole% of IPA):  $\diamond$ , 0;  $\nabla$ , 10;  $\Delta$ ,

20 and 30. All the experiments were repeated in triplicate. Temperature: 25°C.

To gain further insights on the release kinetics and mechanism, release data were fitted in four different mathematical release models. Drug release kinetics was studied using DDSolver software. The equations of the respective models are presented below:<sup>64</sup>

$$\text{Higuchi model: } F = k_H \cdot t^{0.5} \quad (3)$$

$$\text{Korsmeyer-Peppas model: } F = k_K \cdot t^n \quad (4)$$

$$\text{Hixson-Crowell model: } F = 100[1 - (1 - k_{HC}t)^3] \quad (5)$$

$$\text{First order model: } F = 100[1 - (1 - e^{-k_1 t})] \quad (6)$$

where, F is the percentage of the drug released,  $k_H$ ,  $k_K$ ,  $k_{HC}$ , and  $k_1$  are the release rate constants of Higuchi, Korsmeyer-Peppas, Hixson-Crowell, and first order model respectively, 't' represents the time lag of the dissolution process, and n corresponds to the release exponent obtained from Korsmeyer-Peppas model.

than 0.450, the predominant release mechanism of IMC from all the formulations is Fickian diffusion.

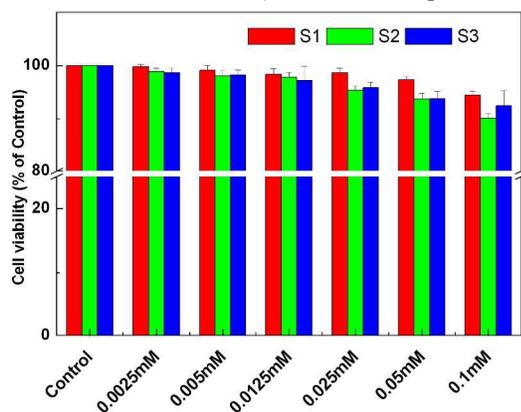
Release kinetics parameters for all the models are summarized in Table 1. The regression coefficient ( $r^2$ ) values were used as an indicator in selecting suitable release model. The model having  $r^2$  value nearly equal to 1 was considered as the best model fit. *In vitro* release data were best fitted to Korsmeyer-Peppas model. The release exponent 'n' derived from Korsmeyer-Peppas model ranged between 0.203-0.344 for all the vesicles. Since the 'n' value is less

**Table 1** Release kinetic parameters of different models for various indomethacin loaded vesicle formulations.

Formulations	First order		Higuchi		Hixson-Crowell		Korsmeyer-Peppas			
	$k_1/h^{-1}$	$r^2$	$k_H/h^{-0.5}$	$r^2$	$k_{HC}/mg \cdot h^{-1/3}$	$r^2$	$k_{KP}/h^{-n}$	n	$r^2$	
SPC:IPA	7:3	0.11	0.952	13.88	0.887	0.03	0.922	25.61	0.29	0.962
	8:2	0.12	0.940	13.79	0.858	0.03	0.890	30.84	0.23	0.952
	9:1	0.10	0.949	13.98	0.923	0.03	0.925	26.36	0.29	0.970
	10:0	0.16	0.955	15.55	0.832	0.03	0.883	29.34	0.20	0.976
SPC:IPA + 5% DHDAB	7:3	0.06	0.959	12.39	0.961	0.02	0.949	19.94	0.34	0.984
	8:2	0.06	0.906	11.72	0.900	0.02	0.906	22.81	0.28	0.957
	9:1	0.04	0.867	10.61	0.911	0.01	0.831	21.32	0.27	0.972
	10:0	0.05	0.870	13.88	0.907	0.01	0.839	22.15	0.27	0.969

### 3.8 Cytotoxicity studies

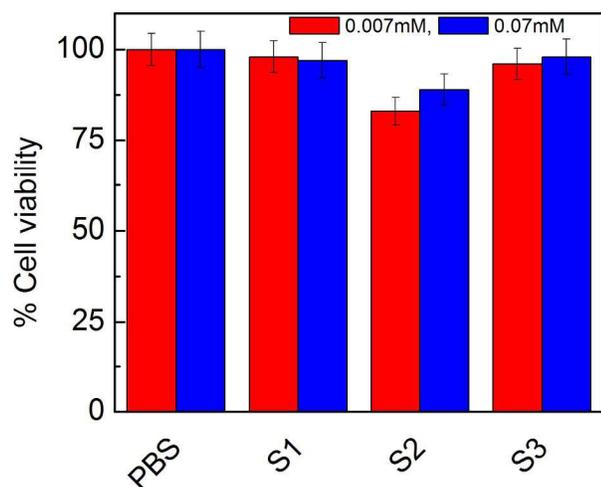
The percentage of cell viability with reference to the control (without the vesicles and/or IMC) are shown in Fig 9.



**Fig 9.** Dose response of vesicles (without and with double tailed cationic surfactant and IMC) on human blood lymphocyte. Systems: S1: SLC+IPA (9.1, M/M); S2: [SLC+IPA (9.1, M/M)]+5mole% DHDAB and S3: [SLC+IPA (9.1, M/M)]+5mole% DHDAB+ 10 $\mu$ M IMC.

The cytotoxicity results obtained from MTT assay clearly demonstrate that the all three combinations were completely non-toxic towards healthy cells up to the concentration of 0.1 mM (as used for other physicochemical studies). The nontoxicity of bioactive compound is the most important requirement for therapeutic application. The  $IC_{50}$  value of drug 1-3 drugs were found to be 0.782, 0.652 and 0.692 mM respectively, as obtained by dose response non-linear regression equation.

The cytotoxicity results obtained from MTT assay increased our curiosity to determine the effect of diluents on cells, so, we performed cell viability assay on human adenocarcinoma cell with different doses of vesicles. Results are shown in Fig 10.



**Fig 10.** Effect of vesicles in respect to diluent (PBS) (without and with double tailed cationic surfactant and IMC) on human breast adenocarcinoma cell line (MCF 7). Systems: S1: SLC+IPA (9.1, M/M); S2: [SLC+IPA (9.1, M/M)]+5mole% DHDAB and S3: [SLC+IPA (9.1, M/M)]+5mole% DHDAB+ 10 $\mu$ M IMC and PBS(diluent) Liposome concentrations are mentioned inside the figure.

As was expected, the vesicles were non-toxic in respect to PBS. Diluents should not affect the releasing of drugs as well as not be toxic in presence of vesicles. So, we had considered PBS treated cells as 100 percent and compare it with vesicles dose. As the result indicate S1, S2 and S3 all were almost non-toxic and did not affect cell viability. It support our previous results and might be consider as good drug delivery system in the presence of PBS and did not affect ionic strength of solution to make it toxic. Thus the formulations could be considered safe in terms of drug delivery system.

#### 4. Conclusion

Effect of double tailed cationic surfactant dihexadecyldimethylammonium bromide (DHDAB) on the vesicles comprising different mole% of soy phosphatidylcholine (SPC) and ion pair amphiphile (IPA), using indomethacin as model drug, were studied by using different techniques. Variation in the size of the SPC/IPA hybrid vesicles was non-systematic and dependent on DHDAB concentration. The system with 5 mole% DHDAB was the most stable one, thus selected for further evaluation with drug. An alteration in the zeta potential values was evidenced with respect to the composition and drug concentration. Spherical morphology of the vesicles was established through TEM studies. Both FTIR and DSC studies verified significant interaction between the drug and lipid-surfactant mixtures. DSC studies, by means of different phase transition parameters, revealed that the extent of crystallinity of the vesicles was greatly influenced by IPA, DHDAB, however, not that significantly by the and drug. Polarity study, by means of absorption spectroscopy confirms the location of drug on the palisade layer of vesicles. Fluorescence anisotropy values, using 7-HC as a probe, demonstrated that the influence of DHDAB on the head group packing of hybrid vesicles depended on the

concentration of IPA. Faster drug release rate was evidenced with the vesicular systems containing DHDAB due to the adsorption of drug on the surface owing to the electrostatic attraction between oppositely charged molecules. The release profile followed Korsmeyer-Peppas model with Fickian diffusion mechanism. The above set of studies concludes that the search for alternative stable molecules to phospholipids can be quenched with the use of biomembrane mimicking surfactants like DHDAB and IPA in the preparation of stable vesicles directed for the drug delivery purpose. The vesicles did not exhibit any cytotoxicity in normal human blood lymphocyte as well as on human breast adenocarcinoma cell line (MCF 7), which clearly put forward the vesicles as potent drug delivery systems.

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#### Author Information

<sup>1</sup>Department of Pharmaceutics, Himalayan Pharmacy Institute, Majhitar, Rangpo, East Sikkim – 737136, India

<sup>2</sup>Department of Chemistry, University of North Bengal, Darjeeling – 734013, West Bengal, India. Email: akpanda1@yahoo.com

<sup>3</sup>Department of Microbiology, Vidyasagar University, Midnapore -721102, West Bengal, India

<sup>4</sup>Department of Biotechnology, University of North Bengal, Darjeeling – 734013, West Bengal, India.

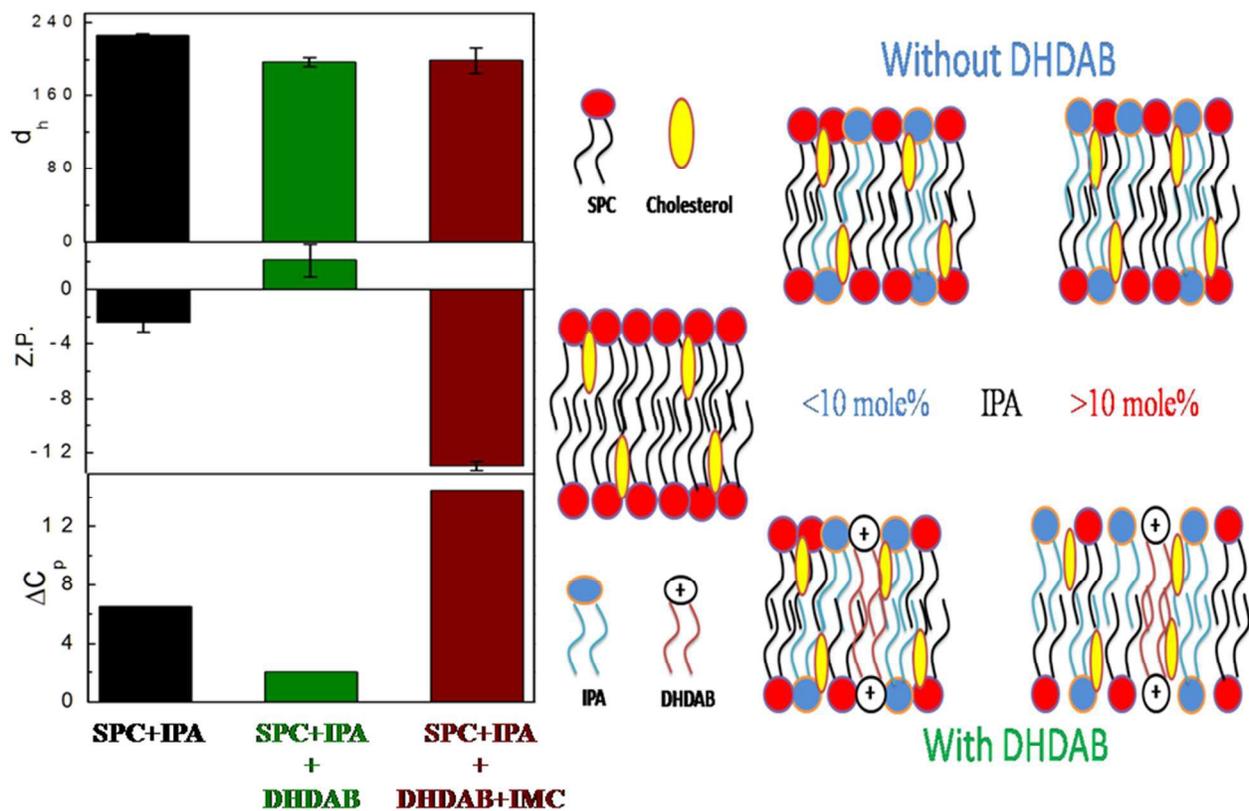
<sup>5</sup>Department of Chemistry and Chemical Technology, Vidyasagar University, Midnapore -721102, West Bengal, India

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Hydrodynamic and thermal behavior of vesicles along with the proposed models