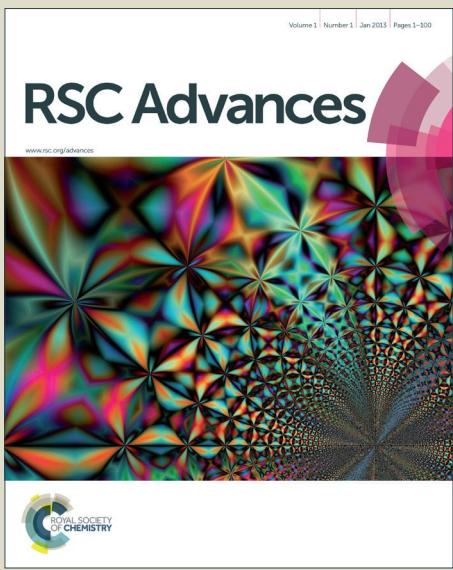
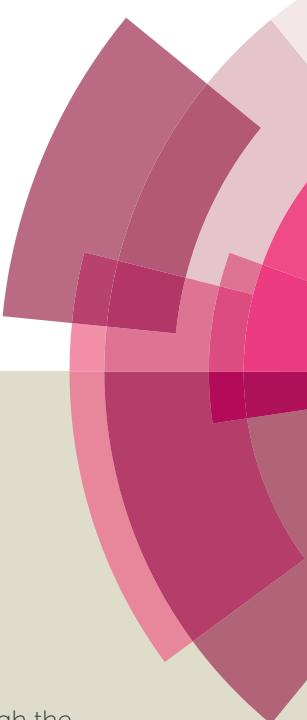


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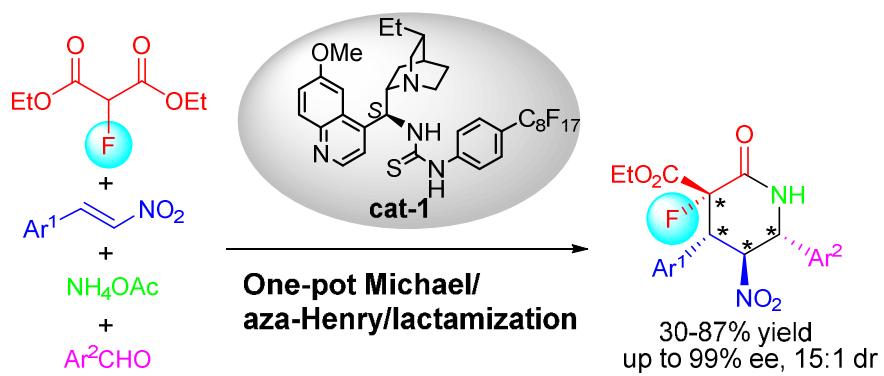
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Recyclable Organocatalyst-promoted One-pot Michael/aza-Henry/lactamization Reactions for Fluorinated 2-Piperidinones Bearing Four Stereogenic Centres

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A one-pot Michael/aza-Henry/lactamization reaction promoted by recyclable fluorous bifunctional cinchona alkaloid/thiourea organocatalyst has been developed for asymmetric synthesis of fluorine-containing 2-piperidinones bearing four stereogenic centers. The asymmetric Michael adducts induce the formation of other three stereogenic centers to afford products with up to 99% ee and 15:1 dr.

The 2-piperidinone (δ -lactam) moiety¹ can be found in a number of natural products and biologically active compounds such as awajanolomycin A,² tedanalactam B,³ meloscine C,⁴ MDM2 inhibitor AM-8553 D,⁵ as well as fluorine-containing H3 receptor antagonist E⁶ and androgen receptor F (Fig. 1).⁷ Introduction of fluorine atom could have significant impact on molecule's biological activity. It is an active topic in medicinal and agricultural chemistry.⁸ Chiral 2-piperidinones are a key building block for compounds with medical utility.⁹ Optical enriched β -lactams can be prepared by a range of methods¹⁰ including enolate-imine condensations,¹¹ Kinugasa reactions,¹² intramolecular C–H insertion reactions,¹³ and Staudinger reactions.¹⁴ Synthesis of chiral δ -lactam, however, is less

explored. Reported methods include lactamization of chiral precursors¹⁵ and asymmetric cycloaddition of electron-deficient olefins.^{16,17} Introduced in this paper is a new method for fluorinated 2-piperidinones by integration of asymmetric Michael addition, aza-Henry, and lactamization into a one-pot reaction process.

There are several recent publications on organocatalytic asymmetric synthesis of 6-membered carbon- and nitrogen-containing ring systems¹⁸ such as dihydropyridinones,¹⁹ piperidinones,²⁰ and dihydroquinolinones²¹ through the sequences involving Michael, Mannich, Henry, and aldol reactions.^{22,23} The Enders group reported 1,3-dicarbonyl compound-based Michael/Michael/aldol sequence for hexasubstituted cyclohexanols **1**²⁴ and spirocyclohexanepyrrolones **2** (Scheme 1).²⁵ They also reported a Michael/aza-Henry/cyclization sequence for *N*-methyl tetrahydropyridines **3**.²⁶ However, only alkylated imines were used for the aza-Henry reaction. We like to introduce here

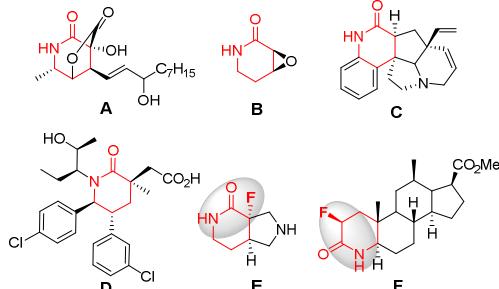


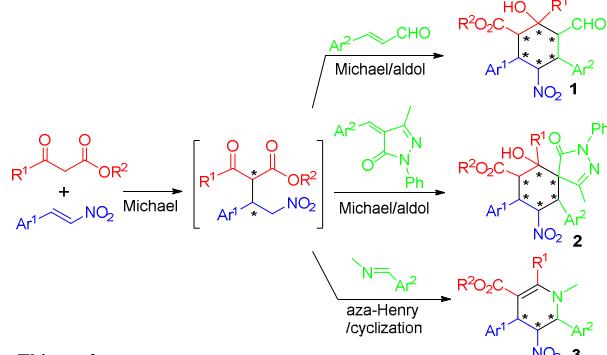
Fig. 1 Biologically active 2-piperidinones

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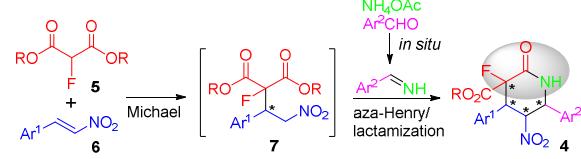
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Previous work (Enders)



This work



Scheme 1 1,3-Dicarbonyl-based sequential reactions

**Table 1** Optimization of aza-Henry and lactamization reactions^a

7a (99% ee) $\xrightarrow[\text{48 h}]{\text{cat-1 (10 mol\%)}, \text{NH}_4\text{OAc, PhCHO (8a)}}$ **4a**

cat-1, (S)-C9

Entry	Base (equiv)	Solvent	T (°C)	4a Yield (%) ^b	dr ^c	ee (%) ^d
1	K ₂ CO ₃ (0.5)	EtOH	25	trace	nd	nd
2	Cs ₂ CO ₃ (0.5)	EtOH	25	trace	nd	nd
3	NaOH (0.5)	EtOH	25	23	5.5:1	nd
4	piperidine (0.5)	EtOH	25	42	6:1	98
5	piperidine (0.5)	EtOH	40	72	6:1	98
6	piperidine (0.25)	EtOH	40	36	6:1	98
7	piperidine (0.75)	EtOH	40	68	6:1	98
8	piperidine (0.5)	EtOH	0	75	1.25:1	93(89) ^e
9	piperidine (0.5)	EtOH	60	75	1.25:1	nd
10	piperidine (0.5)	PhMe	40	27	5:1	98
11	piperidine (0.5)	CH ₂ Cl ₂	40	12	nd	nd
12	piperidine (0.5)	MeCN	40	10	nd	nd
13 ^f	piperidine (0.5)	EtOH	40	68	5.3:1	25

^a Reaction of 0.2 mmol **7a** in 1.0 mL of solvent, 1:1:1.2 of **7a**:**8a**:NH₄OAc. ^b Yield of isolated product. ^c Determined by ¹H NMR. ^d Determined by HPLC on Venusil chiral OD-H column with 90:10 hexane/i-PrOH as a mobile phase. ^e ee of the minor diastereomer. ^f Without catalyst.

a Michael/aza-Henry/lactamization sequence for fluorinated 2-piperidinones **4** using *in-situ* generated imines as aza-Henry donors. The recyclable fluorous organocatalyst-promoted reaction efficiently produces tetrasubstituted 2-piperidinones bearing four stereogenic centres.

Step-economic one-pot reactions, toxic transition metal-free organocatalysis, and catalyst recovery are favourable green synthetic techniques. As part of our continuous efforts to develop recyclable fluorous organocatalysts²⁷ for asymmetric synthesis of organofluorine compounds,²⁸ we have recently reported a one-pot fluorination and Michael addition reaction for α -fluorinated and alkylated 1,3-dicarbonyl compounds **7**.²⁹ The reaction was promoted with fluorous bifunctional cinchona alkaloid/thiourea organocatalyst **cat-1**. It could be easily recovered by fluorous solid-phase extraction (F-SPE).³⁰ Since the fluorinated carbon of the 1,3-dicarbonyl compounds **5** is more acidic and nucleophilic, they are favourable Michael donors for **6**. We envisioned that compounds **7** could be suitable for the Michael/aza-Henry/lactamization sequence to form fluorinated 2-piperidinones **4**.

We first explored the aza-Henry and sequential lactamization reactions of enantiomerically pure Michael adduct **7a** (99% ee),^{28a,b} benzaldehyde **8a**, and NH₄OAc by

using **cat-1** as a catalyst (Table 1).²⁹ After screening bases (K₂CO₃, Cs₂CO₃, NaOH, piperidine) and solvents (EtOH, MePh, CH₂Cl₂, MeCN) at temperatures between 0 to 60 °C, we found the optimized condition of using 0.5 equiv. of piperidine as a base and EtOH as a solvent at 40 °C for 48 h. It gave fluorinated 2-piperidinone **4a** in 72% yield with 6:1 diastereoselectivity and 98% ee (Table 1, entry 5). The reaction with NaOH afforded the product with three diastereomers in a ratio of 5:5:1 (Table 1, entry 3). Reactions at higher temperatures (50 to 60 oC) were able to increase product yields to 75% but decreased the diastereomeric ratio to 1.25:1 (entries 8 and 9). A reaction using toluene as a solvent gave the product in high dr and ee, but with a low yield (entry 10). A control reaction without using a catalyst gave three diastereomeric products (5:3:1) in low ee (25%) (entry 13).

The success of the asymmetric aza-Henry/lactamization reactions encouraged us to develop the Michael/aza-Henry/lactamization sequence (Table 2). Commercially available 2-fluoro-1,3-diester **5** was used as a starting material. Toluene was found a good solvent for the Michael reaction,²⁹ and EtOH was the choice for the aza-Henry reaction. After the Michael reaction was over, EtOH was added to the reaction mixture for the sequential aza-Henry reaction. The best solvent

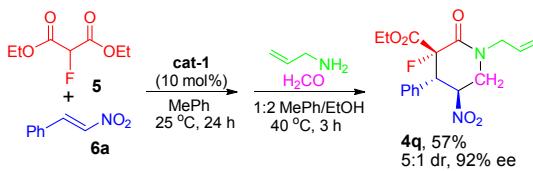
ratio was 1:2 PhMe:EtOH (Table 2, entry 8). The best ratio of the reactants was 1.5:1:1:2.5:PhCHO:NH₄OAc. The time for the aza-Henry and lactamization reactions could be reduced from 48 to 24 h.

Table 2 Optimization of Michael/aza-Henry/lactamization reactions^a

Entry	5:6a	MePh/EtOH ^b	Yield (%) ^c	dr ^d	ee (%) ^e
1	1:1	1:0	22	5:1	98
2	1:1	1:0.5	39	5:1	98
3	1:1	1:1	47	6:1	99
4	1:1	1:1.5	65	6:1	99
5	1:1	1:2	67	6:1	99
6	1:1	1:2.5	65	6:1	99
7	1.25:1	1:2	72	6:1	99
8	1.5:1	1:2	78	6:1	99
9	2:1	1:2	69	6:1	99

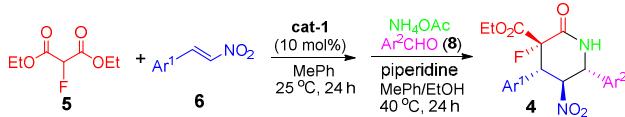
^a Reaction of 0.1 mmol of 6a in 0.5 mL of MePh. ^b Ratio of solvents for the 2nd reaction. ^c Yield of isolated product 4a. ^d Determined by ¹H NMR. ^e Determined by HPLC on Venusil Chiral OD-H column

Reactions with different nitroalkenes 6 and benzaldehydes 8 were carried out to explore the scope of the one-pot reactions (Table 3). Benzaldehydes 8 with electron-withdrawing groups (F, Br, NO₂, CF₃) at the para-position gave products 4b-e in 83–87% yields with >8:1 dr and >96% ee (Table 3, entries 2–5). Benzaldehydes 8 with an electron-donating group such as t-Bu and OMe or disubstituted groups gave products 4f-i in 30–62% yields and 2:1 to 4:1 dr due to unfavorable stereoelectronic effect (Table 3, entries 6–9). Reactions of nitroalkenes bearing different Ar¹ groups gave products 4j-m in 55–69% yields and 3:1 to 3.5:1 dr (entries 10–13). A reaction of nitroalkene bearing a furyl ring gave product 4n in good yield and enantioselectivity (entry 14). Similar results were obtained from the reactions with 4-bromobenzaldehyde and other substituted nitroalkenes (entries 15–16). A reaction using formaldehyde and allylamine was also attempted. Highly reactive formaldehyde reduced the time of the aza-Henry reaction to 3 h and gave N-alkylated 2-piperidinone 4q in 57% yield with a good dr and ee (Scheme 2). The configuration of four stereogenic centers of 2-piperidinones 4 was assigned by the investigation of related Michael addition products reported in literature^{23–25,31} and also by obtaining X-ray single crystal structure of 4c (Fig. 2).



Scheme 2 Synthesis of N-alkylated 2-piperidinone 4q

Table 3 Scope of the one-pot Michael/aza-Henry/lactamization reactions^{a,b}



Entry	Product	Ar ¹	Ar ²	Yield (%) ^c	dr ^d	ee (%) ^e
1	4a	C ₆ H ₅	C ₆ H ₅	78	6:1	99
2	4b	C ₆ H ₅	4-FC ₆ H ₄	85	8:1	98
3	4c	C ₆ H ₅	4-BrC ₆ H ₄	87	10:1	96
4	4d	C ₆ H ₅	4-NO ₂ C ₆ H ₄	83	10:1	96
5	4e	C ₆ H ₅	4-CF ₃ C ₆ H ₄	85	15:1	97
6	4f	C ₆ H ₅	4-butylC ₆ H ₄	35	4:1	97
7	4g	C ₆ H ₅	4-OMeC ₆ H ₄	30	4:1	95
8	4h	C ₆ H ₅	2,3-Cl ₂ C ₆ H ₃	55	3.5:1	97
9	4i	C ₆ H ₅	4-F,3-OMeC ₆ H ₃	62	2:1	93(90) ^f
10	4j	3-ClC ₆ H ₄	C ₆ H ₅	65	3:1	90
11	4k	4-BrC ₆ H ₄	C ₆ H ₅	68	3.5:1	93
12	4l	4-MeC ₆ H ₄	C ₆ H ₅	55	5:1	95
13	4m	4-OMeC ₆ H ₄	C ₆ H ₅	69	3:1	91(90) ^f
14	4n	2-Furyl	C ₆ H ₅	62	3.5:1	96(99) ^f
15	4o	4-BrC ₆ H ₄	4-BrC ₆ H ₄	67	4:1	99
16	4p	4-OMeC ₆ H ₄	4-BrC ₆ H ₄	65	3:1	98(99) ^f

^a Reaction of 0.1 mmol of 6 in 0.5 mL of MePh. ^b Add 1.0 mL of EtOH for the aza-Henry reaction, 1.5:1:1:2 of 5:6:8:NH₄OAc. ^c Yield of isolated product.

^d Determined by ¹H NMR. ^e Determined by HPLC on chiral column with hexane/i-PrOH as the eluent. ^f ee of the minor diastereomer.

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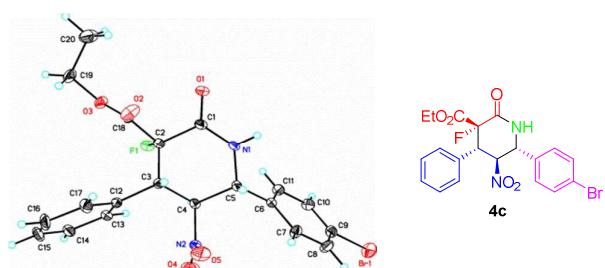
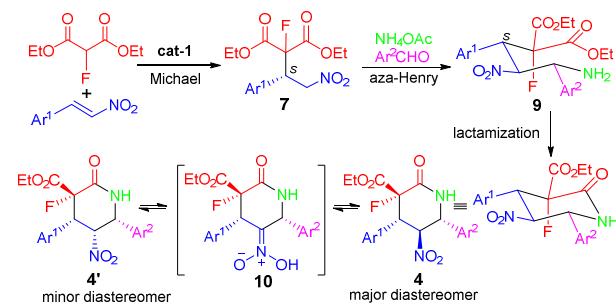


Fig. 2 X-ray crystal structure of **4c**

In the one-pot Michael/aza-Henry/lactamization reactions, fluorous **cat-1** with an (*S*)-C9 stereogenic centre induces the Michael addition to form **7** (Scheme 3). This compound undergoes the aza-Henry reaction with a benzaldehyde and NH₄OAc to form compound **9** which is then cyclized to form 2-piperidinone **4** bearing four stereogenic centres. Since only two diastereomers were detected in most cases, the minor diastereomer **4'** could be a tautomer of major diastereomer **4** through the formation of *N*-oxide oxime **10**.³²



Scheme 3 Stereochemistry of the one-pot reactions

Synthesis of **4a** was carried out using 0.5 mmol of **6a** and 30 mg of **cat-1** to evaluate catalyst recovery. After completion of the one-pot reactions, the concentrated reaction mixture was loaded onto a fluorous SPE cartridge. The cartridge was eluted with 80:20 MeOH/H₂O for product and other none-fluorous components, and then with MeOH for **cat-1**. Product **4a** was obtained in 79% yield with 6:1 dr and 99% ee. The catalyst was recovered in 94% yield (28.3 mg) and 98% purity.

In summary, we have developed a recyclable fluorous organocatalyst-promoted one-pot Michael/aza-Henry/lactamization sequence for asymmetric synthesis of fluorinated 2-piperidinones. This one-pot reaction of fluorinated 1,3-diester, β -nitroolefins, aldehydes and amines gave products bearing four stereogenic centres in good yields and excellent stereoselectivity. The organocatalyst could be recovered by simple F-SPE. This simple reaction process provides a highly efficient way for making novel polysubstituted chiral 2-piperidinones.

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