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1 **Correlating Experimental Electrochemistry and Theoretical Calculations**
2 **in 2'-Hydroxy Chalcones: The Role of Intramolecular Hydrogen Bond**

3 **Maximiliano Martínez-Cifuentes^{[a]*}, Ricardo Salazar^[b], Carlos A. Escobar^[c], Boris E.**
4 **Weiss-López^[d], Leonardo S. Santos^[a], Ramiro Araya-Maturana^{[e,f]*}**

5 *^a Laboratorio de Síntesis Asimétrica, Instituto de Química de los Recursos Naturales,*
6 *Universidad de Talca, Talca, casilla 747, Chile.*

7 *^b Departamento de Ciencias del Ambiente, Facultad de Química y Biología, Universidad*
8 *de Santiago de Chile, USACH, Casilla 40, Correo 33, Santiago, Chile*

9 *^c Departamento de Ciencias Químicas Universidad Andres Bello, Av. República 275,*
10 *Santiago, Chile.*

11 *^d Departamento de Química, Facultad de Ciencias, Universidad de Chile, Casilla 653,*
12 *Santiago, Chile.*

13 *^e Departamento de Química Orgánica y Fisicoquímica, Facultad de Ciencias Químicas Y*
14 *Farmacéuticas, Universidad de Chile, Casilla 233, Santiago 1, Chile.*

15 *^f Instituto de Química de los Recursos Naturales, Universidad de Talca, Talca, casilla 747,*
16 *Chile.*

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20 *Abstract: In this work we present a study about the molecular structure and*
21 *electrochemical behavior of a series of methoxylated 2'-hydroxychalcones, whose*
22 *antitumor activity has been previously described. Cyclic voltammetry was used to*
23 *quantitatively characterize the formation and stability of the anion radicals. The molecular*
24 *structure of the neutral compounds and their anion radicals, particularly the*
25 *intramolecular hydrogen bonds (IHB), were investigated through density functional theory*
26 *(DFT) calculations. Geometrical and frontier orbitals changes in the anion, relative to the*
27 *neutral species, were examined and the adiabatic and vertical electron affinities (AEA,*
28 *VEA) as well as vertical detachment energy (VDE) were calculated. Natural bond orbital*
29 *(NBO) analysis was used to get insights about the electronic characteristics of the IHB and*
30 *the results were correlated with ¹H-NMR chemical shifts. A direct relation among the*
31 *substitution pattern on rings A and B, the strength of the IHBs and the reduction potentials*
32 *was found. NBO energies ($\Delta E_{ij}^{(2)}$) show that the main contributions to the stabilization of*
33 *the IHBs arises from $LP \rightarrow \sigma^*$ interactions. The strength of IHBs, given by $\Delta E_{ij}^{(2)}$, exhibit a*
34 *notable quantitative correlation with the experimental reduction potential, which, at least*
35 *to the best of our knowledge, has not been described before for any type of molecules. The*
36 *results show the importance of the methoxy substitution pattern on the IHB and redox*
37 *properties of these compounds. Our findings have potential implications in the design of*
38 *antitumor chalcones*

39 **Keywords**

40 Chalcone; Hydrogen Bonding; Electrochemistry; DFT; NBO

41

42 1. Introduction

43 Studies concerning the chemistry of molecular radical ions has been an issue of great
44 interest in physical organic chemistry for many years.^{1,2,3} It is of fundamental importance to
45 understand their formation, properties and behavior, because radical species participate in
46 several important biochemical process, such as redox enzymatic reactions^{4,5} and DNA
47 strand break^{4,6-8}, among others. At the same time, chemical reactions mediated by radical
48 species have received great attention for decades and remains as a very active field of
49 research.⁹⁻¹¹

50

51 In this work the center of attention is a series of chalcone derivatives. These molecules are
52 known to have beneficial effects, such as antioxidant and tumor cell growth inhibitory
53 activities, as well as apoptosis inducers in several cancer cell lines. These activities explain
54 the great attention received by chalcones as chemo-preventive agents.¹² Particularly
55 interesting is their ability to modify the mitochondrial membrane potential. On the above, it
56 was found that chalcones with fewer hydroxyl groups on the aromatic rings were more
57 effective as mitochondrial uncouplers.¹³ More recently, the role of the methoxylation in the
58 inhibition potency and cytotoxicity of chalcones towards breast cancer cells has been
59 critically studied.¹⁴ Furthermore, it has been demonstrated that 2'-hydroxychalcones induce
60 apoptosis and inhibit invasion of human breast cancer cells.¹⁵

61 It has been suggested that the radical anion of 2'-hydroxychalcones play a central role in
62 the catalyzed and uncatalyzed reactions with prenylflavonoid dienes,^{16, 17} to give
63 biologically active prenylflavonoid derivatives.^{18, 19} The above has prompted the

64 development of biomimetic total synthesis, mostly via catalysis, of these natural
65 products.^{17,20, 21}

66 Few studies that directly relate electrochemical properties with theoretical calculations have
67 been carried out in chalcone type structures. We found a computational study about the
68 electron affinities of substituted chalcones and their comparison with experimental
69 reduction potentials that showed a highly linear correlation, however none of these
70 molecules presented IHB.²² On the other hand, oxidation potential of hydroxychalcones
71 were studied by cyclic voltammetry and some thermodynamic and kinetic parameters
72 compared with theoretical calculations.²³ Among their results, the authors claim that the
73 IHB, present in some of the studied structures, does not modify the redox properties, but it
74 does affect the molecular conformation, maintaining planar both, the neutral and the radical
75 species.²³

76 In this work, we study the influence of molecular structure, particularly the role of IHB, on
77 the reduction potential, formation and stability of the radical anion of 2'-hydroxychalcones
78 of pharmacological interest. In a previous study, cyclic voltammetry was employed to
79 quantitatively characterize the formation and stability of the anion radical from three 2'-
80 hydroxychalcones.²⁴

81 In this work, the molecular structure and electrochemical behaviour of six methoxylated 2'-
82 hydroxychalcones (**Figure 1**), which have been previously reported as antitumoral agents,
83 were examined in detail.^{25,14} First, cyclic voltammetry is used to quantitatively characterize
84 the formation and stability of the anion radical of the series **Ch4, Ch5 and Ch6** and the
85 results compared with three previously studied molecules (**Ch1-Ch3**).²⁴ Then, the

86 molecular structures of all six compounds, particularly their intramolecular hydrogen bond
87 (IHB) O₂'-H₂'...O₇' in the neutral species and in their radical anions, were investigated
88 through DFT calculations. The strength of IHB O₂'-H₂'...O₇' was experimentally assessed
89 through ¹H-NMR chemical shift of H₂. A comparison of geometrical parameters and
90 frontier orbitals between the neutral and the anion radical is presented, in particular,
91 adiabatic and vertical electron affinities (AEA, VEA) as well as vertical detachment
92 energies (VDE) were calculated. Finally, natural bond orbital (NBO) analysis was used to
93 get insight about the electronic characteristics of the IHB present in these molecules.

94 2. Experimental Section

95 2.1. Synthesis

96 Chalcones were prepared following a previously described methodology,²⁴ by adding , in a
97 dropwise manner, a solution of the corresponding substituted benzaldehyde, (7.34 mmol in
98 ethanol, 20 mL) to a stirred mixture of 4-methoxy-2-hydroxyacetophenone solution (7.34
99 mmol, in ethanol, 20 mL) and potassium hydroxide solution (2g in 10 mL distilled water).
100 The mixture was allowed to react overnight at room temperature. Then, it was diluted with
101 distilled water (200 mL), neutralized with hydrochloric acid, and extracted four times with
102 ethyl acetate (50 mL). The compounds were crystallized from ethanol. All these
103 compounds have been described before.^{24,26} ¹H-NMR spectra were obtained for all
104 compounds in DMSO and along with melting points were used to ensure the identity of the
105 products. In addition, ¹H-NMR spectra from **Ch3** and **Ch4** in CDCl₃ were also obtained.
106 ¹H-NMR chemical shifts of H₂ were used to experimentally assess the strength of IHB O₂'-
107 H₂'...O₇'.

108 **2.2 Electrochemical experiments.**

109 Cyclic Voltammetry (CV) experiments were carried out in DMSO with
110 tetrabutylammonium hexafluorophosphate (TBFP) as supporting electrolyte using a totally
111 automated BAS-100 voltammetric analyzer attached to a PC computer with the BAS 100-
112 W software (v. 2.3), for total control of the experiments, data acquisition and treatment. All
113 CV experiments were carried out with 1.0 mM solution of each chalcone. A hanging
114 mercury drop electrode (HMDE) was used as working electrode (area 2.27 mm²). Platinum
115 wire was used as auxiliary electrode and all potentials were measured against a non
116 aqueous Ag/Ag⁺ CH Instrument 112 reference electrode. All the electrochemical
117 experiments were obtained after purging the cell with N₂ for ten minutes before each run,
118 and the temperature was maintained at 25 ± 1 °C. Resistance was compensated before each
119 experiment. To obtain comparable results within the complete series we choose this
120 methodology, because it is the same previously used with **Ch1-Ch3**.²³

121 **2.3 Computational details**

122 The calculations were carried out using the Gaussian 09²⁷ program package, running in a
123 Microsystem cluster of blades. Geometries were optimized at DFT B3P86/6-31+G(d,p)
124 level, using the conductor-like polarizable continuum model (C-PCM) approach to model
125 the role of the solvent, DMSO. This methodology has been proved to be reliable in the
126 study of redox properties of chalcones.²⁸⁻³⁰ No imaginary vibrational frequencies were
127 found at the optimized geometries, which indicate that they are true minima of the potential
128 energy surface. In solution, DMSO molecules can also act as hydrogen bond acceptors^{31, 32}
129 and thus they compete with the intramolecular hydrogen bond discussed here. It has been

130 shown in previous works that even in this type of proton acceptor solvent, the
131 intramolecular hydrogen bond remains.^{33,23}

132 From frequency calculations, zero-point vibrational energies (ZPVE) were obtained and
133 used to correct the calculated enthalpies. The IHB analysis were obtained using
134 hyperconjugation stabilization energies within the framework of NBO analysis.³⁴ The NBO
135 method involves a population analysis, which distributes computed electron density into
136 orbitals in the way chemist think, in terms of physical organic chemistry. The interaction
137 between filled orbitals and empty antibonding orbitals represents the deviation of the
138 molecule from the Lewis structure and can be used as a measurement of delocalization due
139 to the presence of hydrogen bond interaction. These hyperconjugative interactions play an
140 important role in hydrogen bonding. Donor-acceptor interaction energies (stabilization
141 energy, $\Delta E_{ij}^{(2)}$) can be calculated using second-order perturbation theory analysis.³⁴ Natural
142 resonance theory (NRT) calculations^{35,36,37}, available from the NBOPro6 software,³⁸ have
143 been used to determine the bond order and the fraction of covalency and ionicity of the O-
144 H...O IHB.

145 Electron affinities and bond dissociation energies were calculated as follow (where H
146 corresponds to enthalpies):³⁹

147 Adiabatic electron affinity (AEA) = H(optimized neutral) – H(optimized anion)

148 Vertical electron affinity (VEA) = H(optimized neutral) – H(anion at optimized neutral
149 geometry)

150 Vertical detachment energy (VDE) = H(neutral at optimized anion geometry) –
151 H(optimized anion)

152 3. Results and Discussion

153 3.1. Electrochemistry

154 Chalcones **4-6** were electrochemically reduced on Hg electrode in a non-aqueous medium
155 containing DMSO as solvent and tetrabutylammonium hexafluorophosphate (TBFP) as
156 supporting electrolyte, in the same way as it was previously reported for **Ch1-Ch3**.²⁴ The
157 cyclic voltammograms were obtained for all three compounds, showing a behavior for the
158 redox process similar to that observed in **Ch1-Ch3**.²⁴ (**Figure 2**).

159

160 When the reduction potentials are compared (**Table 1**), it can be noticed that **Ch5** needs
161 more energy for reducing than **Ch4** and **Ch6**. Similar behavior was reported²⁴ for **Ch1-**
162 **Ch3**,²⁴ where **Ch2** needs more energy than **Ch1** and **Ch3** to be reduced. A systematic
163 decrease in the reduction potential is observed for **Ch4-Ch6** when compared with their
164 respective analogues **Ch1-Ch3**, indicating that the presence of the -OCH₃ substituent, in
165 *para* position with respect to the carbonyl on ring A, increases the energy of the molecular
166 electronic state hindering the electron capture.

167

168 Under the mentioned experimental conditions, the one electron reduction process produces
169 very well resolved cyclic voltammograms due to the formation of the anion radicals. When
170 the concentration of the species in solution increased, an increase in the magnitude of the
171 current for the couple was observed (**Figure 3A**). The stability of the anion radical depends

172 mainly on the reaction media, and can be monitored by following the decay of the
173 corresponding oxidation current, $I_{p,a}$, subsequent to the electrochemical generation (return
174 sweep). In effect, a suitable measurement of the stability of the radical anion is expressed
175 by the current ratio parameter, I_{pa} / I_{pc} , which reveals the tendency of an electrochemically
176 generated species, *i.e.* the anion radical, to undergo subsequent chemical reactions.⁴⁰ The
177 current ratio equals to one in the absence of further reactions but decreases if the radical
178 subsequently reacts.

179 The calculation of the ratios I_{pa} / I_{pc} for the three species show that when the scan rate
180 increases, it takes values close to 1 (**Figure 3B**), but when the concentration increases, the
181 ratio of currents decreases (**Figure 3C**), indicative of a non-reversible process.

182

183 **3.2. DFT calculations**

184 **3.2.1. Geometries, electro affinities and molecular orbital analysis**

185 Some key selected geometrical parameters and calculated AEAs, VEAs and VDEs for
186 **Ch1-Ch6** and their respective anion radicals are presented in **Figure 4** and **Table 2**
187 respectively. It has been previously reported that for the most stable *trans* configuration of
188 chalcones, two conformers can exist, namely *s-cis* and *s-trans*. It has been found that the
189 difference in energy between the two conformers is in favor of the *s-cis* isomer by 5.6
190 kcal/mol, with a barrier of 8.3 kcal. In addition, it has been demonstrated that properties
191 such as bond dissociation energy and many energetic properties will not be modified from
192 the *s-cis* to the *s-trans* conformers.²⁸ Thus, to carry out our calculations only the *s-cis*
193 conformers were taken into account.

194

195 As can be observed from the table attached to **Figure 4**, most geometrical parameters do
196 not show significant differences between the neutral and the anion radical species, for all
197 chalcones. Nevertheless, the $C_{\alpha}=C_{\beta}$ - bond increases its length by 0.03-0.04 Å, while the
198 $C_{\alpha}-C_{7'}$ bond shortens by 0.04-0.07 Å in the anion radicals. Carbonyl $C_{7'}=O_{7'}$ also present a
199 bond lengthening of 0.06-0.09 Å. The only notable geometrical differences between the
200 neutral species and the anion radicals was found for parameters corresponding to the IHB,
201 for instance, the distance $O_{2'}-H_{2'}$ lengthens by 0.06-0.08 Å for **Ch1-Ch4** and notably by
202 0.37-0.36 Å for **Ch5** and **Ch6**, while oppositely, the distance $H_{2'} \cdots O_{7'}$, shortens by 0.20-
203 0.21 Å for **Ch1-Ch4** and 0.47 Å for both **Ch5** and **Ch6**. In addition, the angle $O_{2'}-H_{2'}-O_{7'}$
204 increased from 150-152° to 159-160° for all chalcones. These results indicate that the IHB
205 and the double bond are mostly affected upon the electron attachment.

206

207 Particularly interesting is that the presence of a *para*-methoxy substituent on position 4' of
208 ring A, along with the presence of a methoxy substituent on ring B at C4 or C5 in the anion
209 radical, shortens the $H_{2'} \cdots O_{7'}$ distance (lengthening the $O_{2'}-H_{2'}$ distance) to a point in which
210 $H_{1'} \cdots O_{7'}$ could be consider a covalent bond, in particular for **Ch5** and **Ch6**. However, the
211 latter do not occur for **Ch4**, which exhibit the methoxy group in C3. Even though both,
212 **Ch4** and **Ch6** present a methoxy substituent in *ortho*- and *meta*- position with regard to the
213 double bond, only the *ortho*-methoxy group can deliver electron density to the $C_{7'}=O_{7'}$
214 bond through conjugation with the $C_{\alpha}-C_{\beta}$ double bond. In the case of **Ch4**, both methoxy
215 groups are neighbors, which can affect the ability of the methoxy in C2 to remain in the
216 ring plane and deliver electron density to the $C_{7'}=O_{7'}$ bond, reducing the effect exhibited in
217 **Ch5** and **Ch6**. Futher bond order analysis (*vide infra*) helps to rationalizes these results.

218

219 **Table 2** shows the values of AEA, VEA and VDE calculated for **Ch1-Ch6**. If the VEA is
220 positive, the attachment of the electron to the molecule is energetically favorable, even
221 before geometrical rearrangements occur, *i.e.* the molecule can attract the excess of charge
222 density. On the other hand, a positive AEA indicates that the formed anion is stable in its
223 final geometry, *i.e.* that once the electron is trapped by the molecule, it stays there long
224 enough to rearrange and possibly to play a role in chemical reactions. When VDE is
225 positive the energy of the neutral molecule is higher than their anion, and it is stable with
226 respect to the vertical electron auto-detachment. VDE can be interpreted as the vertical
227 ionization potential of the anion. If the nuclear configuration of the anion and the neutral
228 molecule are similar, the values of VEA and VDE can be understood as lower and upper
229 bounds of the AEA, as can be seen in Table 2. Only in the case that electron attachment
230 generates a significant change in geometry, VDE could be lower than AEA.⁴¹

231 We found that all AEAs are positive, indicating a favorable tendency to form stable anion
232 radicals in this series. For **Ch1-Ch3** calculated AEAs correlate well with observed
233 reduction potentials, with **Ch2** showing the lowest potential and the lower AEA. The same
234 tendency is observed for **Ch4-Ch6**, where **Ch5** also possesses the lowest potential and the
235 lowest AEA. These results indicate that the presence of a methoxy substituent in C4 of ring
236 B (**Ch2** and **Ch5**) decreases the ability of chalcones to form a stable anion radical. When
237 **Ch1-Ch3** are compared with **Ch4-Ch5**, they present higher AEA and higher reduction
238 potential. These results indicate that the presence of a methoxy group at C4' of ring A
239 decreases the ability of the molecule to form a stable anion radical. On the other hand, all
240 VEAs are positive, which indicate that all molecules have favorable tendency to take an
241 electron. The effects of substituents have a similar impact for VEAs than for AEAs, which

242 indicate that the presence of a methoxy groups at C4' of ring A and C4 of ring B decrease
243 the ability of the molecule to take an electron and form a stable anion radical. Besides, all
244 VDEs are positive, which indicates that electron auto-detachment from the anion radical is
245 unlikely. In this case it is observed that the presence of a methoxy substituent at C4 of ring
246 B (**Ch2** and **Ch5**) increases the possibility of an electron auto-detachment from the anion
247 radical, but does not affect in the same way to **Ch4** and **Ch6**. **Ch4** present a lower VDE
248 than their analog **Ch1**, but **Ch5** and **Ch6** present a higher VDE than **Ch2** and **Ch3**, which
249 indicates that a methoxy substituent at C4 of ring B decreases the capability of suffering an
250 electron auto-detachment in **Ch4**, but increases in **Ch5** and **Ch6**.

251

252 The attachment of an extra electron to a neutral molecule leads to one of two different types
253 of anions, valence-bound or dipole-bound anion.⁴² In the first case, the attached electron is
254 strongly bound occupying a valence molecular orbital. In the second case, the attached
255 electron is weakly bound, mainly by electrostatic charge-dipole interactions.⁴³ Therefore, a
256 valence-bound anion suffer a much notable effect on their structural parameters than a
257 dipole-bound anion.^{44, 45} In our cases, we found that the differences between AEAs and
258 VEAs is in all cases 0.2-0.3 eV. These differences indicate that the radical anions formed in
259 all molecules should be valence-bound anions, since a difference energy of ~0.3 eV is close
260 to the expected amount for relaxation energy of a valence-bound anion.^{39, 46} With the
261 purpose to study the distribution of the excess electron density of the anion radicals, the
262 singly occupied molecular orbitals (SOMOs) of the stable and vertically attached electron
263 were obtained (see Figure 1 and Figure 2 of electronic supplementary information, ESI).
264 This approach also helps to distinguish between valence-bound and dipole-bound anions,
265 given that SOMO of a dipole-bound anion is centered in a limited part of the molecules,

266 while SOMO of a valence-bound anion is distributed throughout the whole molecule.^{6, 39, 46}
267 All anion radicals display valence-bound character, confirming the above statement about
268 the difference between AEAs and VEAs.
269
270 Correlations between the experimental reduction potentials ($-E_{p,c}$) and calculated AEAs and
271 VEAs are presented in **Figure 5A** and **Figure 5B** respectively. This kind of correlations
272 have been made for different kind of compounds^{47,48,49} and an interpretation of the results
273 can be carried out from the work of Parker.⁵⁰ We found that the correlation between VEAs
274 and experimental reduction potentials ($R^2 = 0.98$) was better than the correlation with
275 AEAs ($R^2=0.88$). The above result suggests that the reduction potential depends more on
276 the ability of taking an extra electron than on the ability to form a stable anion radical. The
277 slopes in both cases are close to one, which indicate the absence of secondary effects such
278 as differential solvation and relaxation of the resulting anions. The results suggest that the
279 different methoxy substitution patterns of these chalcones do not modify such secondary
280 effects which remain fairly constant, regardless the electron affinities.
281 These results support the reliability of our calculations and are in agreement with
282 previously reported works, which states that the energetics of the reduction process should
283 be controlled by the first single-electron uptake.^{48 -51}

284 3.2.2. NBO analysis

285 With the aim of studying the electronic characteristics and quantifying the strength of the
286 $O_7 \cdots H_2-O_2$ IHB present in these chalcones, we carried out a detailed NBO analysis. $\Delta E_{ij}^{(2)}$
287 is an appropriate tool to analyze hydrogen bonding, and allow us to quantify the strength of

288 the donor-acceptor orbital interaction. $^1\text{H-NMR}$ chemical shifts of H_2 were used as an
289 experimental measurement of the strength of the IHB. Variation of solvent (DMSO and
290 CDCl_3) do not affect this parameter, showing that the IHBs are strong enough to be
291 independent of the medium. Previously, we have reported a direct correlation between
292 NBO stabilization energy $\Delta E_{ij}^{(2)}$ and $^1\text{H-NMR}$ chemical shift of the hydrogen engaged in
293 the IHB in a series of hydroquinones.⁵² We found a similar behaviour for these chalcone
294 derivatives. The second order stabilization energy $\Delta E_{ij}^{(2)}$ for the IHB and the $^1\text{H-NMR}$
295 chemical shift of H_2 are presented in **Table 3**. For all molecules, we found that the $\sigma^*_{\text{O}2'-\text{H}2'}$
296 antibonding orbitals act as electron acceptors and oxygen $7'$ lone pair ($\text{LP}_{\text{O}7'}$) act as an
297 electron donor in the IHBs. The total stabilization energy for the IHB ($\text{LP}_{1+2\text{O}7'} \rightarrow \sigma^*_{\text{O}2'-\text{H}2'}$)
298 H_2) in **Ch1**, **Ch2** and **Ch3** are 43.9, 47.7 and 45.0 kcal/mol, respectively. A similar
299 behavior is observed for the analogue series **Ch4**, **Ch5** and **Ch6**, with stabilization energies
300 of 49.6, 53.0 and 50.4 kcal/mol respectively. The series **Ch1-Ch3** has lower reduction
301 potentials when compared with their analogs in the series **Ch4-Ch6**. The stabilization
302 energies for the IHBs are pointing in the same direction that the reduction potential, which
303 indicates that the strength of IHB has a strong influence in the capability of these chalcones
304 to capture an extra electron. Hyperconjugation is favored by a lower difference between
305 orbital energies $\varepsilon_j - \varepsilon_i$ and a higher overlap between them (F_{ij}). For all $\text{LP}_{\text{O}7'} \rightarrow \sigma^*_{\text{O}2'-\text{H}2'}$
306 interactions, we found that the difference among $\Delta E_{ij}^{(2)}$ can be attributed to the difference in
307 the overlap ability between orbitals, instead of their energy differences.

308 Few quantitative relationships of NBO second-order stabilization energy with other
309 characteristic parameters of the hydrogen bond have been reported. Senthilkumar et al.⁵³
310 showed a quantitative correlation between NBO energies and calculated hydrogen bond

311 distances at B3LYP and MP2 level, in formic acid dimers. Also, recently we found good
312 correlations among the experimental $^1\text{H-NMR}$ chemical shift of O-H,⁵² electrochemical
313 oxidation potentials⁵⁴ and NBO stabilization energy for the interaction O-H \cdots O-C, in a
314 series of hydroquinones possessing IHB. As far as we know, there are no other reports in
315 the literature about a quantitative correlation between experimental IHB parameters and
316 calculated NBO energies. **Figure 6a** shows a good correlation between the $^1\text{H-NMR}$
317 chemical shift of H₂ and the $\Delta E_{ij}^{(2)}$ for IHB. Having shown that this stabilization energy is
318 a good parameter for the IHB strength in these chalcones, we study their correlation with
319 the experimental reduction potentials ($-E_{p,c}$) and the results are displayed in **Figure 6b**. As
320 observed from this figure, there exist a good correlation between the strength of the IHB
321 and the experimental reduction potentials ($R^2 = 0.90$). This correlation allow us to establish
322 that, in this series of chalcone derivatives, the IHB strength is a very important factor to
323 determine their capability to attach an extra electron, a remarkable observation.

324 NBO method, besides determining the second-order stabilization energies, also allow us to
325 carry out a bond order analysis and to establish the covalency and ionicity of the bonds,
326 based in the natural resonance theory (NRT, for details see references).^{35,36,37,55} We focused
327 on calculating the bond order and the degree of covalency and ionicity for the bonds
328 involved in the O₂-H₂...O₇ IHB, both, in the neutral and the anion radical species. There
329 were three resonance structures considered by the NBO programme, third resonance
330 structures were neglected from all the analysis, since they represented less than 2% in all
331 cases. **Figure 7** presents the resonance structures 1 and 2, along with the main data from
332 NRT calculations. Resonance structure 1 was always the major contributor to the neutral
333 species (~95%) and has been consistently major for **Ch1** to **Ch3** than **Ch4** to **Ch6**. The

334 latter observation is supported by the small but consistent bond order differences for O-H in
335 **Ch1** to **Ch3** when compared with **Ch4** to **Ch6** (see table attached to **Figure 7**). The O-H
336 bond for all chalcones has a low covalent character, around 40%. On the other hand, as can
337 be expected, the bond orders for $\text{H}_2\text{:}^{\cdot\cdot}\text{O}_7\text{:}$ is lower than for $\text{O}_2\text{:}-\text{H}_2\text{:}$, being higher for **Ch4** to
338 **Ch6** than for **Ch1** to **Ch3**. The ionic character dominates this bond in all cases (96-97%).

339 When the results for the anion radicals are examined, a very different behaviour is observed
340 between **Ch1-Ch3** and **Ch4-Ch6**. Weights of resonance structures for **Ch1** to **Ch3** are
341 very similar to those that have the neutral species, ~92% for resonance structure 1 and ~6%
342 for resonance structure 2. Natural bond orders for $\text{O}_2\text{:}-\text{H}_2\text{:}$ of **Ch1-Ch3** are ~0.46, with a
343 30% of covalency and 70% of ionicity, while $\text{H}_2\text{:}^{\cdot\cdot}\text{O}_7\text{:}$ present a natural bond order around
344 0.03 with ~91% of ionicity for all three cases. On the other hand, for **Ch4** the resonance
345 structure 2 takes a much greater weight than for **Ch1** to **Ch3**, reaching ~34%, while for
346 **Ch4** and **Ch5** the resonance structure 2 becomes the dominant one, with a 93% for both.
347 Natural bond order and covalency of $\text{O}_2\text{:}-\text{H}_2\text{:}$ for **Ch4** decreases slightly, compared with
348 **Ch1** to **Ch3**, to values of 0.3214 and 28% respectively. However, both natural bond order
349 and covalency notably decrease to ~0.028 and 8% respectively for **Ch5** and **Ch6**.
350 Moreover, $\text{H}_2\text{:}^{\cdot\cdot}\text{O}_2\text{:}$ bond in **Ch4** presents a notable increases in their natural bond order and
351 even more for **Ch5** and **Ch6**. The above was accompanied by a decrease in ionic character
352 and an increase in covalent character, being more important for **Ch5** and **Ch6**. Taking
353 together, these results evidence that the presence of the methoxy substituents on ring B do
354 not significantly affect the IHB in the radical anion when compared with the neutral
355 molecule. Besides, the presence of methoxy substituent in C4' of ring A has a high impact,
356 modifying the IHB of the anion radical compared with the neutral molecule, being more

357 different for **Ch4** than for **Ch5** and **Ch6**. The later suggests that the difference described
358 above in the values of VDEs between **Ch4** and **Ch5-Ch6** is closely related to their
359 difference in the radical anion IHB structure. There is a switching of the minimum in the
360 potential energy surface along the IHB coordinate.

361 **3.3 Implications with the biological activities of 2'-hydroxichalones**

362 The results of this work provide insights about the effect of methoxy substituents on the
363 IHB properties of **Ch1-Ch6** and their ability to take an electron and generate a stable anion
364 radical. As we mentioned in the introduction, previous works have shown that this
365 chalcones can inhibit the proliferation of different cancer cells lines.^{25, 14,26} where the
366 presence of methoxy substituents seems to play a critical role. It has been proposed that
367 some of the anti-proliferative activities of these compounds is related to their capability of
368 inducing mitochondrial mediated apoptosis.²⁵ The ability of chalcones to generate radical
369 species could impact, for example, in their capability to modify the mitochondrial
370 membrane potential, inhibit the electron chain or affect their ability to act as uncoupler of
371 the oxidative phosphorylation.^{13,15}

372 Our results suggest that if it is necessary to increase the ability of the chalcone to form an
373 anion radical and their persistency in time, the presence of the methoxy substituent in C4 of
374 ring B should be avoided; their presence do not significantly affect the IHB in the radical
375 anion. On the other hand, the presence of a methoxy substituent in C4' has opposite impact
376 in neutral and radical anion species. For the neutral specie, it decreases the ability to form a
377 radical anion, but once it is formed, it presents lower possibility to return back to the

378 neutral form. The above can have a high impact on the IHB, making it weaker for the
379 anion radical than for the neutral species.

380 Our results also suggest that in addition to the predictability of the AEAs and VEAs to
381 determine the reduction potential of these 2'-hydroxichalcone derivatives, the strength of
382 the IHB is also an acceptable parameter to predict this important experimental data, which
383 has been widely related to different biological activities. Nevertheless, this relationships
384 needs to be tested for a more extensive series of chalcones to establish their actual
385 reliability.

386 4. Conclusions

387 Cyclic Voltammetry is a very useful technique to investigate the formation and stability of
388 anion radicals from chalcone derivatives. We found that the presence of a -OCH₃
389 substituent in para position with respect to the carbonyl on ring A, decreases the energy of
390 the electronic state of the anion radical when compared with derivatives without this
391 substituent. This is closely related with the energy of the molecular orbital where the extra
392 electron is going to be placed. The calculation of the ratios I_{pa} / I_{pc} for **Ch4-Ch6** shows that,
393 as expected, the formed radicals appears to be stable at low concentration, but when the
394 concentration increases they experience subsequent reactions.

395 DFT results show that the IHB $O_2 \cdots H_2 \cdots O_7$ and the double bond $C_\alpha=C_\beta$ are the most
396 affected regions upon the electron attachment process. From the analysis of the AEAs,
397 VEAs and VDEs, we found that all chalcones can take an electron and form a stable
398 radical anion. Besides the auto-detachment process is very unlikely for all. The presence of
399 methoxy groups in C4 of ring B and in C4' of ring A decreases the ability to take an

400 electron and form a stable radical anion. On the other hand, the presence of a methoxy
401 group in C4 of ring B, increases the ability to experience an electron auto-detachment by
402 the radical anion, in all cases. However, the presence of a methoxy group at C4' of ring A
403 has a different affect on **Ch4** that on **Ch5** and **Ch6**. For **Ch4** the presence of a methoxy
404 decreases the trend to experience an electron auto-detachment once the anion radical is
405 formed, but for **Ch5** and **Ch6** this trend increases.

406 VEAs exhibit a better correlation than AEAs, with experimental reduction potentials which
407 suggests that it is more influenced by the capability of taking the extra electron than by
408 forming a stable anion radical. The slopes of the correlations, close to one, strongly
409 suggests the absence of secondary effects, such as differential solvation and relaxation of
410 the resulting anions. These indicate that the different methoxy substituents patterns of
411 these chalcones does not modify such secondary effects, which remain fairly constant,
412 regardless the electro affinity values.

413 Analyses of the second order stabilization NBO energies ($\Delta E_{ij}^{(2)}$) for the IHBs, allows us to
414 quantify the strength of the donor-acceptor orbital interaction. A comparison with ^1H -
415 NMR data shows that NBO energy is a good parameter to measure the strength of the
416 IHB. Stabilization energies for the IHBs are pointing in the same direction that reduction
417 potentials, and they present a good semi-quantitative correlation ($R^2 = 0.90$), which
418 indicates that the strength of IHB has a significant influence in the reduction potential of
419 these chalcones.

420 Natural bond order analysis shows that the presence of a methoxy substituent on ring B
421 does not significantly affect the IHB in the radical anion, when compared with the neutral

422 species. On the other hand, the presence of a methoxy substituent at C4' of ring A has a
423 significant impact weakening the IHB of the radical anion when compared with the
424 neutral species.

425 Our results highlight the possibility of establishing direct links between molecular structure
426 details, such as the position of substituents on the aromatic rings and the presence of
427 intramolecular hydrogen bonds, with the electrochemical behavior of methoxylated 2-
428 hydroxychalcones. We hope this work drives the search for similar correlations in a broader
429 spectrum of molecules engaged in IHB, and can be useful in the design of new chalcones
430 with potential pharmacological activity.

431 **5. Acknowledgements**

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434

435 Electronic Supporting Information available: Optimized geometries, SOMO's and energies
436 for all molecules.

437

438 **6. References**

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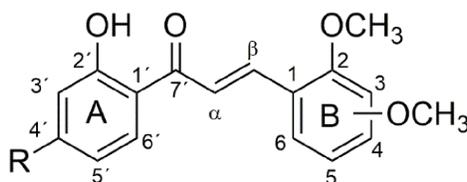
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Figure 1. Compounds studied in this work



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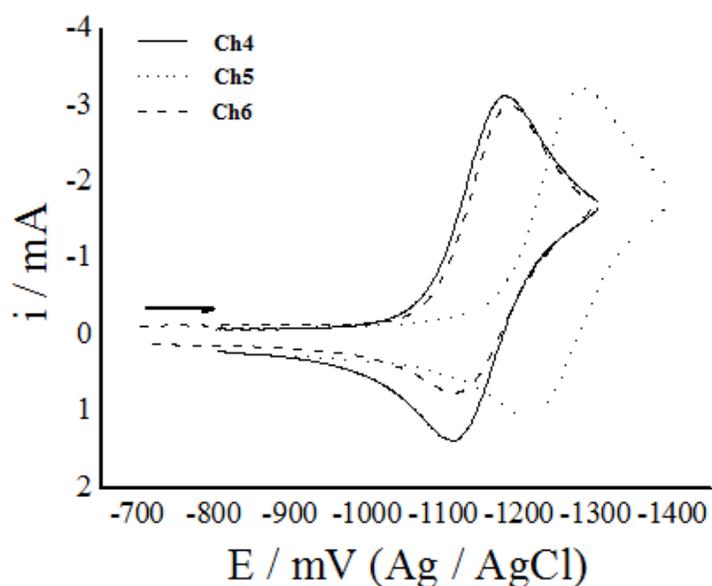
Compound	R	-OCH ₃
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Ch1	H	3
Ch2	H	4
Ch3	H	5
Ch4	OCH ₃	3
Ch5	OCH ₃	4
Ch6	OCH ₃	5

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537 **Figure 2.** Cyclic voltammogram of 1 mM 2'-hydroxy chalcone derivatives in DMSO + 0.1

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M TBPf at 1.0 Vs⁻¹.

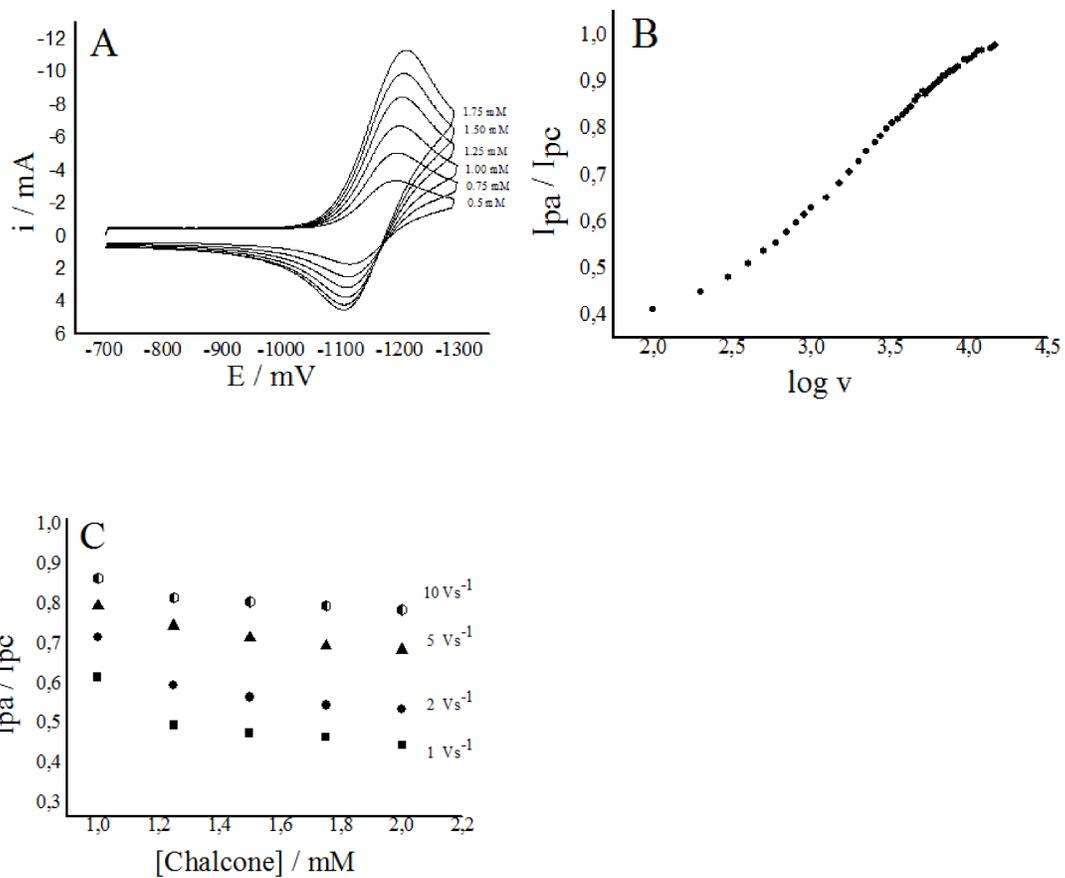
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541 **Figure 3. A:** Cyclic voltammogram for chalcone 4 at different concentrations, with a scan rate542 of 5 Vs⁻¹. B: Dependence of the current ratio with the sweep rate for the one-electron redox

543 couple of chalcone 4. C: Current ratio dependence of chalcone 4 at different concentrations

544 and different sweep rates. All experiments were performed in DMSO and 0.1 M TBPf.



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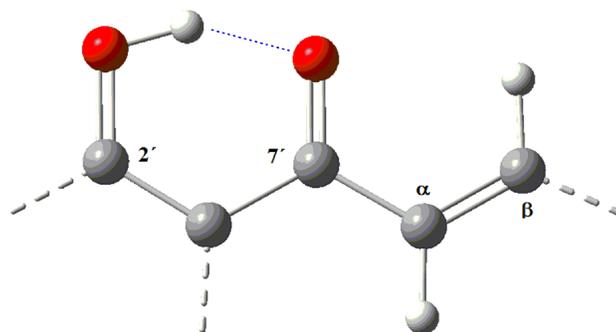
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558 **Figure 4.** Main geometrical parameters for neutral and radical anion chalcones



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	Ch1	Ch2	Ch3	Ch4	Ch5	Ch6
$C_{\alpha}-C_{\beta}$	1.35 (1.39)	1.36 (1.39)	1.35 (1.39)	1.35 (1.39)	1.36 (1.39)	1.35 (1.39)
$C_{\alpha}-C_{7'}$	1.46 (1.41)	1.45 (1.41)	1.46 (1.41)	1.46 (1.41)	1.47 (1.40)	1.46 (1.40)
$C_{7'}=O_{7'}$	1.26 (1.32)	1.26 (1.32)	1.26 (1.32)	1.26 (1.32)	1.26 (1.35)	1.26 (1.35)
$O_{2'}-H_{2'}$	1.00 (1.07)	1.01 (1.08)	1.01 (1.07)	1.01 (1.09)	1.02 (1.39)	1.01 (1.37)
$H_{2'}\cdots O_{7'}$	1.57 (1.37)	1.55 (1.35)	1.56 (1.37)	1.54 (1.33)	1.53 (1.06)	1.54 (1.07)
$O_{2'}-H_{2'}-O_{7'}$	150 (159)	151 (160)	151 (160)	152 (160)	152 (160)	152 (160)

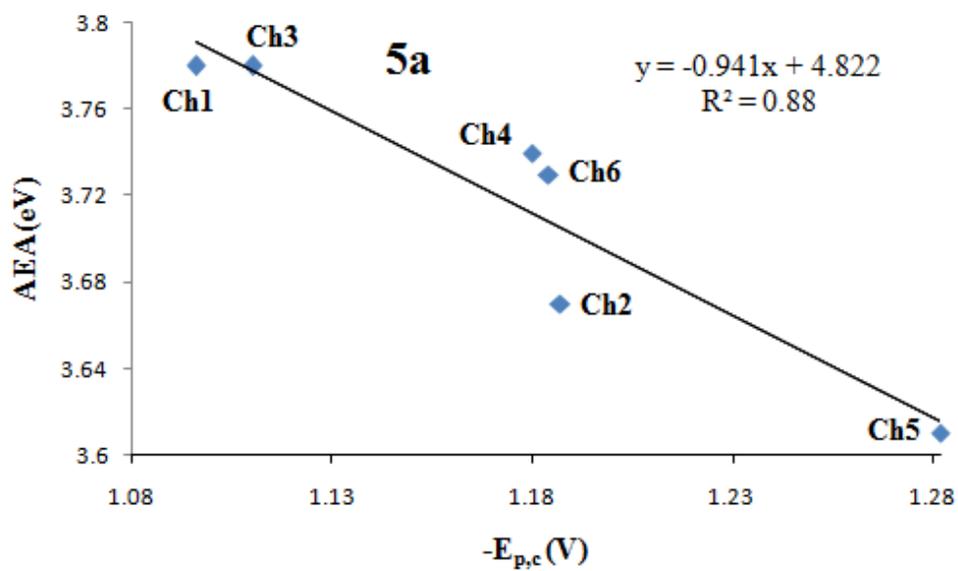
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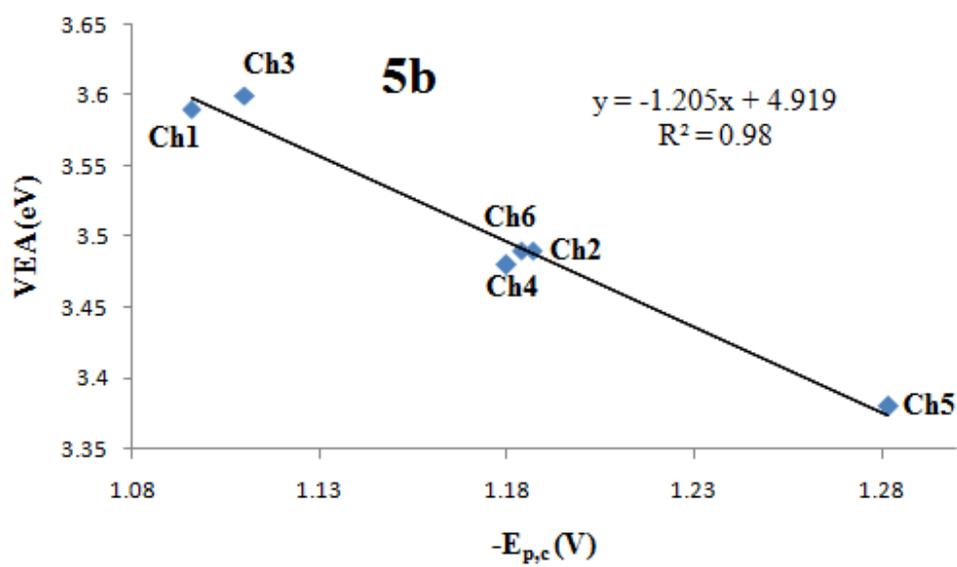
562 **Figure 5.** Correlation graphics of experimental reduction potentials vs. theoretical AEA's

563 (5A) and VEA's (5B).

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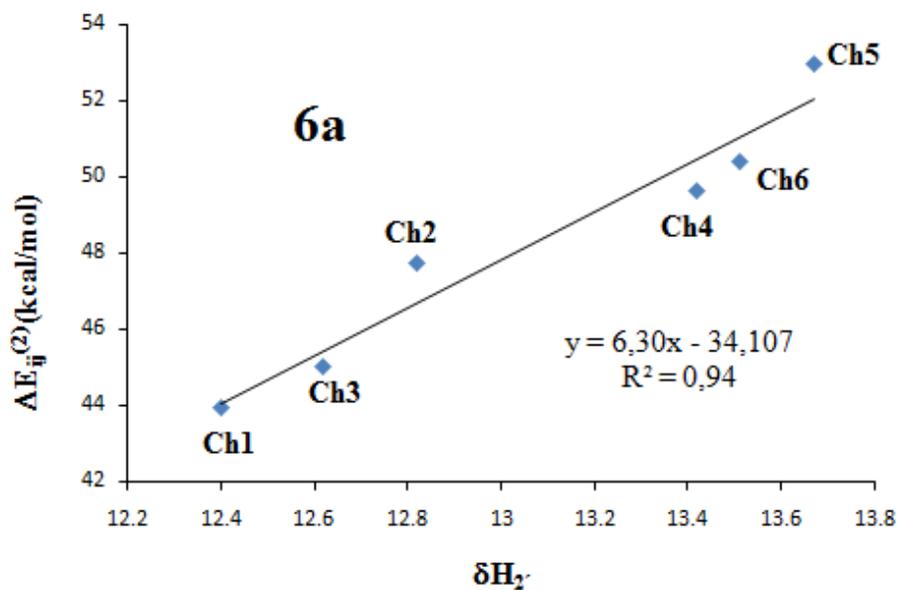
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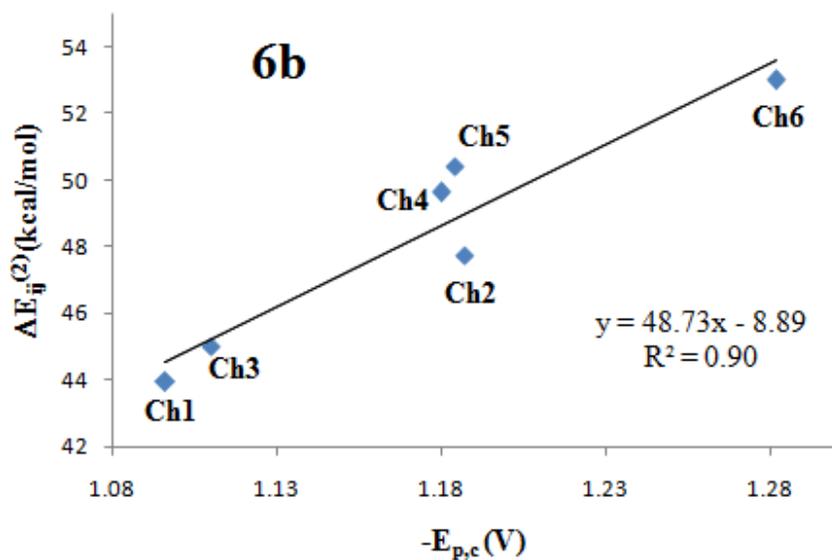
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571 **Figure 6.** Correlations between experimental chemical shift for δ_{H_2} in DMSO-d6 (A) and
 572 reduction potentials (B) vs. NBO stabilization energies for LP \rightarrow σ^* interactions in IHB
 573 $O_7 \cdots H_2-O_2$.



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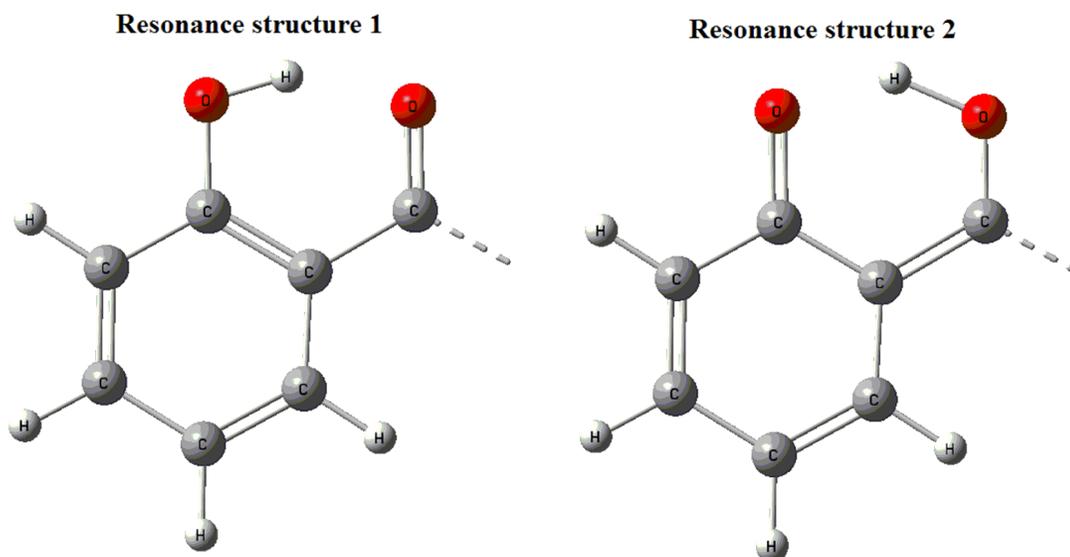
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Figure 7. Resonance structures and main data from NRT calculation



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Neutral	Weight		$O_2^{\cdot-}-H_2^{\cdot}$	$H_2^{\cdot}\cdots O_7^{\cdot}$
	Resonance structure 1	Resonance structure 2	Bond order (C, I)	Bond order (C, I)
Ch1	95.24	2.94	0.9524 (37, 63)	0.0294 (3, 97)
Ch2	95.07	3.09	0.9507 (37, 63)	0.0309 (4, 96)
Ch3	95.28	2.89	0.9528 (42, 58)	0.0289 (3, 97)
Ch4	94.94	3.21	0.9493 (36, 64)	0.0320 (3, 97)
Ch5	94.79	3.34	0.9478 (36, 64)	0.0335 (4, 96)
Ch6	94.89	3.24	0.9489 (36, 64)	0.0325 (4, 96)

581

Radical anion	Weight		$O_2^{\cdot-}-H_2^{\cdot}$	$H_2^{\cdot}\cdots O_7^{\cdot}$
	Resonance structure 1	Resonance structure 2	Bond order (C, I)	Bond order (C, I)
Ch1	92.52	5.89	0.4627 (37, 63)	0.0294 (8, 92)
Ch2	92.18	6.23	0.4609 (37, 63)	0.0311 (9, 91)
Ch3	92.49	5.93	0.4625 (42, 58)	0.0297 (9, 91)
Ch4	64.28	34.70	0.3215 (36, 64)	0.1735 (16, 84)
Ch5	5.48	92.95	0.0274 (36, 64)	0.4647 (31, 69)
Ch6	5.74	92.68	0.0288 (36, 64)	0.4634 (30, 70)

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Table 1. Characteristic electrochemical parameters of chalcones 1-6

Compound	$-E_{p,c}$ (mV)	$k_2 \times 10^{-3}$ ($M^{-1} s^{-1}$)	$t_{1/2}$ (s)
Ch1	1096	5.6 ± 0.30	0.18
Ch2	1187	30.5 ± 0.30	0.03
Ch3	1110	40.5 ± 0.27	0.03
Ch4	1180	4.4 ± 0.30	0.21
Ch5	1282	18.5 ± 0.26	0.05
Ch6	1184	20.8 ± 0.14	0.04

584

585

586 **Table 2.** Calculated Adiabatic Electron Affinities (AEAs), Vertical Electron Affinities

587 (VEAs) and Vertical Detachment Energies (VDEs) for chalcones 1-6 in DMSO.

	AEA	VEA	VDE
Ch1	3.78	3.59	4.03
Ch2	3.67	3.49	3.88
Ch3	3.78	3.60	4.01
Ch4	3.74	3.48	3.95
Ch5	3.61	3.38	3.92
Ch6	3.73	3.49	4.07

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AEAs, VEAs and VDEs Values in eV

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597 **Table 3.** $^1\text{H-NMR}$ chemical shifts for δ_{H_2} (DMSO-d6 for all and CDCl_3 for CH4-5) and
 598 stabilization energies (kcal/mol) for selected NBO pairs (donor-acceptor) given by second
 599 order perturbation energies of the Fock matrix in the NBO basis for the chalcones 1-6
 600 (HF/6-311G**//B3P86/6-31+G**).

Molecule	δ_{H_2} , DMSO-d6 (CDCl_3)	Φ_i	Φ_j	$\Delta E_{ij}^{(2)}$	$\epsilon_j - \epsilon_i/\text{au}$	F_{ij}/au
Ch1	12.40	$\text{LP}_1 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	4.82	1.54	0.078
		$\text{LP}_2 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	39.12	1.18	0.194
Ch2	12.82	$\text{LP}_1 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	4.90	1.53	0.078
		$\text{LP}_2 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	42.82	1.18	0.203
Ch3	12.62	$\text{LP}_1 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	4.87	1.54	0.078
		$\text{LP}_2 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	40.13	1.18	0.197
Ch4	13.42	$\text{LP}_1 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	4.88	1.52	0.078
	(13.43)	$\text{LP}_2 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	44.75	1.17	0.207
Ch5	13.67	$\text{LP}_1 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	4.93	1.51	0.078
	(13.68)	$\text{LP}_2 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	48.06	1.17	0.214
Ch6	13.51	$\text{LP}_1 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	4.89	1.52	0.078
		$\text{LP}_2 \text{ O}_7$	$\sigma^* \text{ O}_2\text{-H}_2$	45.52	1.17	0.209

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