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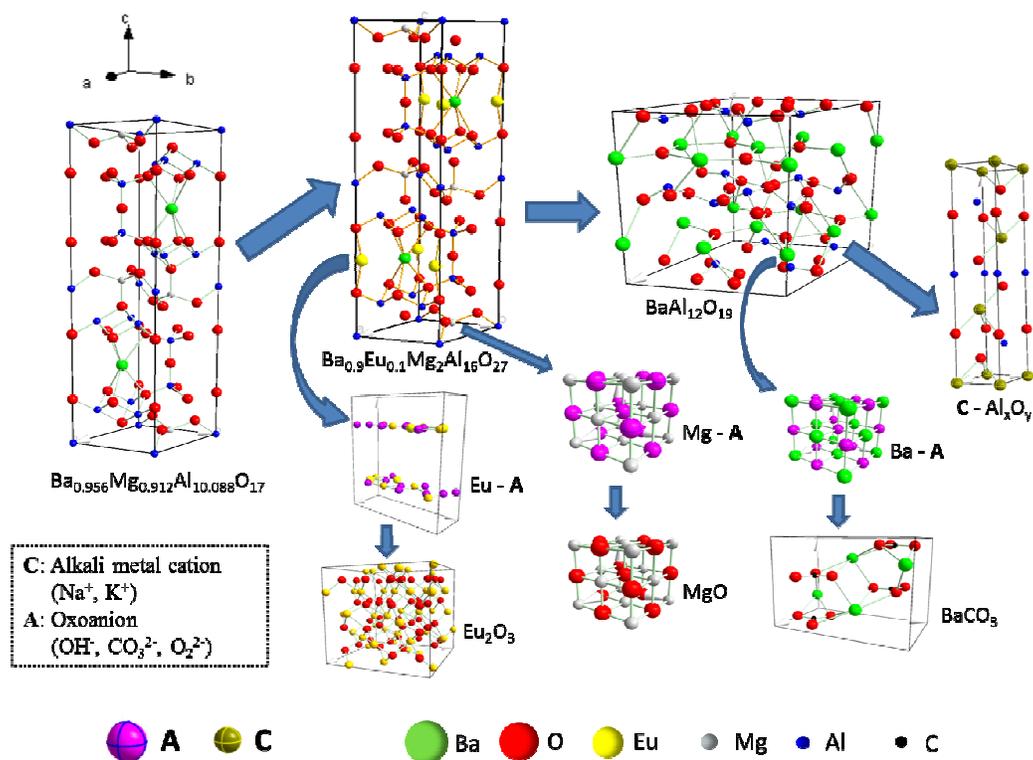
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Free Oxoanion Theory (FOAT) was put forward to elucidate the blue phosphor ($\text{BaMgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$) structure decomposition during alkaline fusion process.



1 **Free oxoanion theory for BaMgAl₁₀O₁₇:Eu²⁺ structure decomposition**
2 **during alkaline fusion process**

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13 **Abstract**

14 The alkaline fusion process is a useful pretreatment for rare earth elements (REEs)
15 recycling from blue phosphor (BaMgAl₁₀O₁₇:Eu²⁺, BAM). But the lack of basic
16 theory effects the further development of alkaline fusion process. Different substances
17 (KOH, NaOH, Ca(OH)₂, NaCl, Na₂CO₃, and Na₂O₂) were chosen to react with BAM
18 to explain alkaline fusion process, only KOH, NaOH, Na₂CO₃, and Na₂O₂ can
19 damage the BAM structure. The BAM alkaline fusion reactions share similar
20 processes. Europium and magnesium ions were bonded with free oxoanion (OH⁻,
21 CO₃²⁻, O₂²⁻) preferentially to escape from the BAM structure. The remaining structure
22 of barium aluminate eventually decomposed into aluminate and BaCO₃ in air. Cations
23 (Na⁺, K⁺) were introduced to bond with the aluminate ions to maintain the charge
24 balance of reaction system. Free Oxoanion Theory (FOAT) was summarized to
25 elucidate the structure decomposition process of BAM. The variation principle of
26 determined lattice energy was in agreement with FOAT analysis results. FOAT is
27 beneficial a lot for REEs recycling mechanism and alkaline fusion technology theory.

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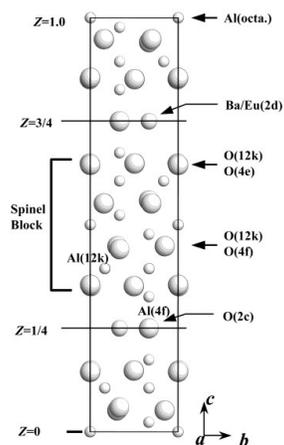
29 1 Introduction

30 Rare earth elements (REEs) are part of important strategic resources, which are
31 widely used as functional materials due to their essential role in permanent magnets,
32 lamp phosphors, catalysts, rechargeable batteries, etc.^{1, 2} With the consumption of
33 REEs natural resources, the world is confronted with the risk of REE supply
34 shortage.³⁻⁶ The re-use and recycling of REEs from end-of-life rare earth goods is an
35 efficient method to use the rare earth natural resources in a sustainable, circular
36 economy.² Waste phosphor has been gaining more attention due to the large amounts
37 of REEs in it.⁷⁻⁹ Eu^{2+} activated barium magnesium aluminate blue phosphor
38 ($\text{BaMgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$, BAM) is widely used for plasma display panels and fluorescent
39 lamps due to its high efficiency and excellent color characteristics. It has become an
40 important focus of research in the field of renewable rare earth resources.¹⁰⁻¹²

41 BAM can't be dissolved by either acid or alkali at room temperature because of its
42 strong bond strength.¹³ The alkaline fusion process is a useful pretreatment for REE
43 recycling from BAM in manufacturing. Liu et al. developed the dual dissolution by
44 hydrochloric acid (DHA) method of REE recycling from waste phosphor. In this
45 method the red phosphor ($\text{Y}_{0.95}\text{Eu}_{0.05}$)₂O₃ was dissolved by acid leaching first, then
46 green phosphor ($\text{Ce}_{0.67}\text{Tb}_{0.33}\text{MgAl}_{11}\text{O}_{19}$, CMAT) and blue phosphor
47 ($\text{BaMgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$) were decomposed by alkaline fusion with caustic soda. The total
48 leaching rate of the rare earth elements was 94.6% by DHA, much higher than 42%
49 achieved by the traditional method.¹⁴ Wu et al. used Na₂O₂ molten salt alkaline fusion
50 process for recovering REEs from waste phosphors, and more than 99.9% REEs were
51 recovered.¹⁵ The alkaline fusion process can effectively improve the REEs recycling
52 rate, however, the basic theory and research of alkaline fusion are almost lacking and
53 many related scientific issues remain unclear.¹⁶

54 Detailed information about the crystal parameters of BAM is essential for
55 understanding the alkaline fusion behavior of BAM. The structure of
56 $\text{BaMgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$, or β -alumina, has a space group of P6₃/mmc and can be described

57 as consisting of two spinel blocks ($\text{MgAl}_{10}\text{O}_{16}$) separated by one mirror plane (BaO),
 58 as shown in Fig. 1.^{13, 17} Europium atom is introduced into BAM as an interstitial atom,
 59 but the precise location of europium atom in BAM is not known yet.¹⁸ The
 60 assumption that Eu atoms partially replace Ba atoms on the mirror plane of BAM is
 61 widely accepted.¹⁹ Zhang et al. discussed the phase transformation during the process
 62 of BAM alkaline fusion with NaOH, and elucidated the decomposition mechanisms of
 63 the BAM crystal structure.²⁰ Until now, no papers have reported the alkaline fusion
 64 process from the viewpoint of ions. Understanding the information of BAM structure
 65 decomposition will benefit a lot for REEs recycling mechanism, and the related
 66 theory can supplement the alkaline fusion technology theory.



67

68 **Fig. 1** Projection of the unit cells of BAM crystal structure on the $[\bar{1}10]$ plane.

69 In this paper, a series of alkaline fusion experiments of BAM powders were
 70 performed with six different reactants to separately elucidate BAM structure
 71 decomposition during alkaline fusion process. The BAM transformation in the
 72 alkaline fusion process was studied by thermal and X-ray diffraction (XRD) analyses.
 73 The lattice energy of products was also determined to explain the smelt properties in
 74 the alkaline fusion process. By discussing these different BAM alkaline fusion
 75 reactions, a new theory, the Free Oxoanion Theory (FOAT), was put forward to
 76 elucidate the structure decomposition process of BAM.

77 **2 Experimental**

78 **2.1 Materials and methods**

79 BAM powder used in this study was obtained from the Dalian Luminglight Co. in
 80 Liaoning Province, China. The powder has a particle size of 2-4 μm . The main phase
 81 is $\text{Ba}_{0.95}\text{Mg}_{0.912}\text{Al}_{10.088}\text{O}_{17}$ (PDF 84-0818) when detected by XRD. Six different
 82 reactants (KOH , NaOH , $\text{Ca}(\text{OH})_2$, NaCl , Na_2CO_3 , and Na_2O_2) were chosen to react
 83 with BAM. BAM powder was mixed with KOH , NaOH , $\text{Ca}(\text{OH})_2$, NaCl and NaCO_3
 84 following the BAM/reactant mass ratio of 1:1 by ball-milling, while BAM was mixed
 85 with Na_2O_2 1:1.5 mass ratio by ball-milling. Under this BAM/reactant mass ratio
 86 condition, BAM would be decomposed completely if the reaction could happen.

87 The mixtures of BAM and KOH were placed into 200 mL nickel crucibles, and
 88 the fusion was performed in a furnace at 150 $^\circ\text{C}$, 250 $^\circ\text{C}$, 300 $^\circ\text{C}$, 350 $^\circ\text{C}$, 400 $^\circ\text{C}$ and
 89 450 $^\circ\text{C}$ for 2 hours. After the reaction the crucibles were immediately placed in water
 90 to cool the products. Then all the fusion products were cleaned with deionized water
 91 several times at 60 $^\circ\text{C}$ under stirring (200 rpm) for 20 minutes. After filtration, the
 92 products were dried, and ground to a size smaller than 52 μm (270 mesh) for XRD
 93 analysis.

94 The chosen reaction temperature parameters for different reactants are listed in
 95 Table 1. All mixtures were mixed for 2 hours. The “wash” behind the reaction
 96 temperature means that the fusion products were cleaned with deionized water several
 97 times by the method mentioned before. In order to exclude the effect of BAM
 98 structure change under high temperature, pure BAM was treated at 300 $^\circ\text{C}$, 600 $^\circ\text{C}$
 99 and 900 $^\circ\text{C}$ for 2 hours. All products were analyzed by XRD, same as the mixtures of
 100 BAM and KOH .

101 **Table 1.** Reaction temperature of different reactants.

Reactants	Reaction Temperature ($^\circ\text{C}$)
Pure BAM	300, 600, 900
BAM + KOH	150 wash, 250 wash, 300 wash, 350 wash, 400 wash, 450 wash
BAM + NaOH	150, 200, 250, 300 wash, 325 wash, 350 wash, 375 wash
BAM + $\text{Ca}(\text{OH})_2$	450, 650, 700, 800, 900
BAM + NaCl	400, 810
BAM + Na_2CO_3	400, 500, 600, 700, 800, 850, 900
BAM + Na_2O_2	300, 400, 500, 600, 700 wash

102 XRD analysis was performed using Philips APD-10 X-ray diffractometer with Cu
103 $K\alpha$ radiation, 40 KV voltage and 150 mA current at $10^\circ \text{ min}^{-1}$ scanning rate, from 10°
104 to 100° (2 Theta angle range). Differential scanning calorimetry (DSC) and
105 thermogravimetric (TG) analysis were carried out using the QUANTA 250 thermal
106 analyzer. The reference material was $\alpha\text{-Al}_2\text{O}_3$ powder, samples ($74 \mu\text{m}$) were heated
107 from room temperature (RT) to 1000°C at the heating rate of $10^\circ\text{C}\cdot\text{min}^{-1}$.

108 2.2 Lattice energy evaluation

109 Crystal lattice energies are important in considering the stability of materials, and the
110 lattice energy reflects the natural tendency towards the organization of matter.^{21,22} Up
111 to now, the Bonn formula (Eq. 1) is still widely accepted as an effective method to
112 calculate ionic crystal lattice energy:

$$113 \quad U = \frac{NaW_1W_2e^2}{d_0} \left(1 - \frac{1}{m}\right) \quad (1),$$

114 where U is the lattice energy, $\text{KJ}\cdot\text{mol}^{-1}$; W_1 and W_2 are the electrovalues of the two
115 ions; e is the electron charge ($1.602 \times 10^{-19} \text{ C}$); N is the number of 1 mol ionic crystal
116 molecules (Avogadro constant, $6.02 \times 10^{23} \text{ mol}^{-1}$); a is the Madelung constant; d_0 is
117 the balance distance between the positive and negative ions, and m is the Bonn index.

118 Scientist Kapustinskii improved the Bonn formula to get Kapustinskii formula,
119 where n is the number of ions:

$$120 \quad U = \frac{287.2nW_1W_2}{d_0} \left(1 - \frac{0.345}{d_0}\right) \quad (2)$$

121 Both Bonn and Kapustinskii formulas can only be used for binary compounds.
122 Based on these two formulas, Phil Mann refined the formulas to calculate the lattice
123 energy of complex compounds:²³

$$124 \quad U = 256.1 \times (n_1 EC_1 + n_2 EC_2 + \dots) \quad (3),$$

125 where n_1 and n_2 are the number of positive and negative ions in the unit cell; EC_1
126 and EC_2 are the energy constants of the ions. Some energy constants of the correlative
127 ions in this paper are listed in Table 2.

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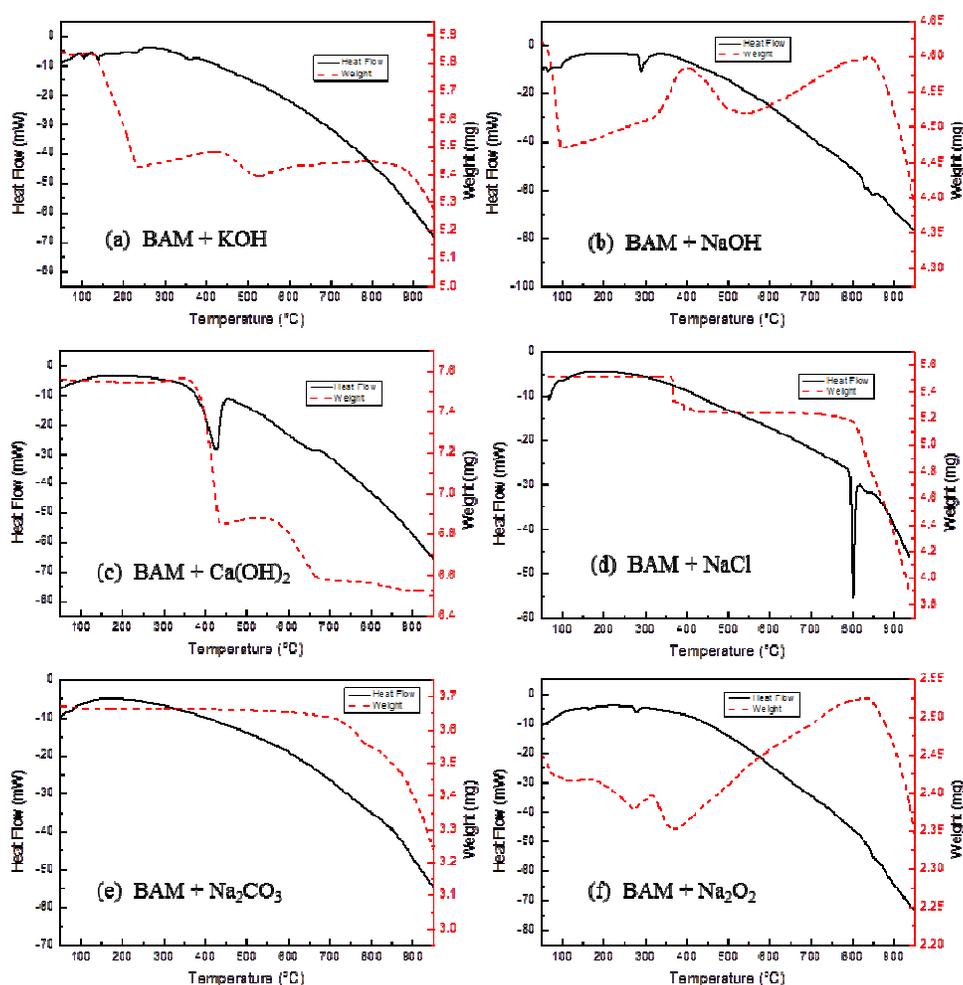
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Table 2. Energy contents (EC, $\text{KJ}\cdot\text{mol}^{-1}$) of some ions.

Monovalence	EC	Divalence	EC	Trivalence	EC	Negative	EC
K	0.36	Ba	1.36	La	3.58	Cl^-	0.25
Na	0.45	Ca	1.75	Y	3.95	O^{2-}	1.55
		Mg	2.15	Sc	4.65	OH^-	0.37
				Al	4.95	CO_3^{2-}	0.78
						AlO_4^{5-}	4.0

130 3 Results and discussion

131 3.1 Thermal analysis



132

133 **Fig. 2.** DSC results of BAM alkaline fusion with different reactants (heating rate is 10

134 $^{\circ}\text{C}/\text{min}$ in air); the heat flow peak downward means endothermic.

135 DSC results of all different mixtures are shown in Fig. 2. When BAM reacted with

136 KOH, as shown in Fig. 2 (a), there were two obvious endothermic peak at 100 °C and
137 140 °C. The peak at 100 °C is mainly due to the evaporation of water, and this
138 phenomenon is often observed in many other endothermic reactions, such as alkaline
139 hydrolysis and thermal decomposition.^{24,25} The peak at 140 °C is mainly due to the
140 CO₂ absorbed in KOH when the DSC sample was exposed to air. Between 100 °C and
141 200 °C, the sample had nearly 60% mass loss, which is consistent with moisture
142 evaporation of the mixtures. An obvious endothermic peak was also observed at 235
143 °C, and it could be concluded that the BAM structure changed from this temperature.
144 BAM reaction could produce new products, and these products may react with the
145 H₂O and CO₂ in air during the heating process. So after 250 °C, some endothermic
146 peak and mass fluctuation could be observed in Fig. 2 (a).

147 The DSC result of BAM reaction with NaOH, shown in Fig. 2 (b), shares a similar
148 trend with Fig. 2 (a). Two obvious endothermic peaks were observed at 70 °C and 100
149 °C, which are mainly due to moisture and CO₂ absorbed in NaOH. The endothermic
150 peak observed at 290 °C shows that the reaction of BAM alkaline fusion with NaOH
151 began at about 290 °C. After 300 °C obvious mass fluctuation could be observed and
152 it's mainly due to the further reaction of BAM reaction products during heating
153 process.

154 The DSC result of BAM reaction with Ca(OH)₂ is shown in Fig. 2 (c). A strong
155 endothermic peak was observed at nearly 450 °C, and a possible explanation for this
156 peak is that Ca(OH)₂ decomposed into CaO and H₂O from this temperature, while
157 BAM remained the same. The sample had nearly 70% mass loss between 400 °C and
158 500 °C, correlated to the decomposition of Ca(OH)₂. There is still a mass loss
159 between 500 °C to 650 °C. In this period Ca(OH)₂ decomposed completely. The DSC
160 result of BAM reaction with NaCl is shown in Fig. 2 (d). Neither obvious heat flow
161 change nor mass change were detected simultaneously before 800 °C. The melting
162 point of NaCl is 801 °C. There was a very strong and narrow endothermic peak at 800
163 °C, accompanied by a huge mass loss. All the details indicate that NaCl started to melt

164 and evaporate when the temperature was higher than 800 °C, while BAM remained
165 the same.

166 The DSC result of BAM reaction with Na₂CO₃ is shown in Fig. 2 (e). The whole
167 process was mild, and not much heat flow and mass change were detected. The
168 behavior of BAM and Na₂CO₃ was temporarily unclear. The DSC result of BAM
169 reaction with Na₂O₂ is shown in Fig. 2 (f). An endothermic peak was observed at 290
170 °C, accompanied by some fluctuations. This indicates that the mixture will react at
171 around 290 °C. There is an obvious mass fluctuation after 300 °C, and it's mainly due
172 to the further reaction of BAM reaction products during heating process.

173 From the DSC results analysis, it could be concluded that Ca(OH)₂ and NaCl can't
174 react with BAM up to 1000 °C range. KOH, NaOH and Na₂O₂ exhibited strong
175 alkaline properties to make BAM structure decompose. By comparing the reaction
176 endothermic peak and mass loss, the reaction between BAM and KOH, and the
177 reaction between BAM and NaOH followed a similar path, while both reactions were
178 stronger than the reaction between BAM and Na₂O₂. The reaction between BAM and
179 Na₂CO₃ was a mild process, and the reaction mechanism needs to be described by
180 other means, which will be explained by the phase analysis.

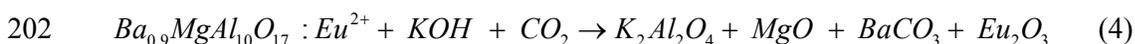
181 **3.2 Phase analysis**

182 The main phases of BAM reaction products with different reactants are listed in Table
183 3. The number behind every phase is the PDF number from the JADE software. The
184 phase in brackets means that this phase is lost in products because of the washing
185 process. RT stands for room temperature in Table 3.

186 Due to thermal expansion, pure BAM (PDF 84-0818) partly transformed to
187 Ba_{0.9}Eu_{0.1}Mg₂Al₁₆O₂₇ (PDF 50-0513) when heated, but the main structure remained
188 unchanged. No phase change was detected in the reaction of BAM and NaCl, which
189 indicates that NaCl can't damage BAM crystal structure in the studied temperature
190 range. This result is consistent with DSC analysis. In the reaction between BAM and
191 Ca(OH)₂, CaO (PDF 77-0210) can be detected from 450 °C, but when the reaction

192 temperature was higher, Ca(OH)₂ (PDF 44-1481) disappeared, while the BAM phase
 193 had no changed. It is appropriate to explain the DSC trend for BAM reaction with
 194 Ca(OH)₂ corresponding to the phase analysis.

195 According to the phases listed in Table 3, KOH, NaOH, Na₂CO₃ and Na₂O₂ can
 196 damage the BAM structure to produce new substances through corresponding reaction
 197 equations 4-7. The XRD data for these four reactions at different temperature are
 198 shown in Fig. 3. The BAM diffraction peak was slight split and shifted in Fig. 3 (a-d),
 199 signifying the BAM crystalline size growth and phase transformation. Depending on
 200 DSC and XRD comprehensive analysis, the reaction mechanism for reactions 4-7 can
 201 be simply expressed by the flow diagram shown as Fig. 4.



206 By comparing these four flow diagrams in Fig. 4, it's clear that the BAM structure
 207 damage shares similar processes. Within the temperature range studied, Mg ions in
 208 BAM are bonded with free oxoanions (OH⁻, CO₃²⁻, O₂²⁻) preferentially to escape from
 209 the BAM structure, eventually forming stable MgO. In order to maintain the stability
 210 of the structure, Ba, Al and O ions can form a new structure of barium aluminate, such
 211 as BaAl₁₂O₁₉ (PDF 26-0315). As the reaction temperature increases, Ba ions are
 212 bonded with free oxoanion and eventually appear in the form of BaCO₃ in air. In the
 213 process of Ba, Mg ions extraction from the BAM structure, Na / K ions are diffused
 214 into the structure to bond with the aluminate ion for maintaining the charge balance of
 215 the reaction system. The produced aluminate finally transforms into NaAlO₂ /
 216 K₂Al₂O₄.

217 **Table 3.** Main phases of different BAM reactions.

Reactants	T/°C	Main phase
Pure BAM	RT	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818)
	300/600/900	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513)
BAM + NaCl	RT	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818)
	400/810	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), NaCl (77-2064)
BAM + Ca(OH) ₂	RT	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818)
	450	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513), Ca(OH) ₂ (44-1481), CaO (77-0210)
	650/700/800/900	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513), CaO (77-0210)
BAM + KOH	RT/150 wash	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818)
	230 wash	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513)
	300 wash/350 wash	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513), BaAl ₁₂ O ₁₉ (26-0135), MgO (77-2179), BaCO ₃ (41-0373), (K ₂ Al ₂ O ₄)
	400 wash	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), MgO (77-2179), BaCO ₃ (41-0373), Eu ₂ O ₃ (34-0392), (K ₂ Al ₂ O ₄)
	450 wash	MgO (77-2179), BaCO ₃ (41-0373), Eu ₂ O ₃ (34-0392), (K ₂ Al ₂ O ₄)
BAM + NaOH	RT	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818)
	150/200/250	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513)
	300 wash/325 wash	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513), BaAl ₁₂ O ₁₉ (26-0315), MgO (87-0651), BaCO ₃ (05-0378), (NaAlO ₂)
	350 wash	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), BaAl ₁₂ O ₁₉ (26-0315), MgO (87-0651), BaCO ₃ (05-0378), Eu ₂ O ₃ (34-0392), (NaAlO ₂)
375 wash	MgO (87-0651), BaCO ₃ (05-0378), Eu ₂ O ₃ (34-0392), (NaAlO ₂)	
BAM + Na ₂ CO ₃	RT	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818)
	400	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513), Na ₂ CO ₃ (37-0451)
	500	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513), Na ₂ CO ₃ (37-0451), BaAl ₁₂ O ₁₉ (26-0135), BaMg(CO ₃) ₂ (74-1492), Na ₂ Al ₂₂ O ₃₄ (31-1263)
	600/700	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513), Na ₂ CO ₃ (37-0451), BaAl ₁₂ O ₁₉ (26-0135), MgO (78-0430), Na ₂ Al ₂₂ O ₃₄ (31-1263)
	800	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Na ₂ CO ₃ (37-0451), BaAl ₂ O ₄ (72-1331), MgO (78-0430), Eu ₂ O ₃ (74-1988), Na ₂ Al ₂₂ O ₃₄ (31-1263)
	850	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Na ₂ CO ₃ (37-0451), BaCO ₃ (45-1471), MgO (78-0430), Eu ₂ O ₃ (74-1988), NaAlO ₂ (83-0316)
	900	Na ₂ CO ₃ (37-0451), BaCO ₃ (45-1471), MgO (78-0430), Eu ₂ O ₃ (74-1988), NaAlO ₂ (83-0316)
BAM+Na ₂ O ₂	RT	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818)
	300	Ba _{0.956} Mg _{0.912} Al _{10.088} O ₁₇ (84-0818), Ba _{0.9} Eu _{0.1} Mg ₂ Al ₁₆ O ₂₇ (50-0513), Na ₂ O ₂ (74-0111), BaO ₂ (07-0233), MgO ₂ (76-1363), Na ₂ Al ₂₂ O ₃₄ (72-1406), Na ₂ CO ₃ (77-2082)
	400	Na ₂ O ₂ (74-0111), Ba ₃ Al ₂ O ₆ (83-0468), BaO ₂ (73-1739), MgAl ₂ O ₄ (47-0254), MgO (75-1525), Na ₁₄ Al ₁₄ O ₁₃ (77-0095), Na ₂ CO ₃ (77-2082)
	500/600	Na ₂ O ₂ (74-0111), BaO ₂ (73-1739), MgO (75-1525), NaAlO ₂ (83-0316), Eu _{1-x} O (17-0779), Na ₂ CO ₃ (77-2082)
	700 wash	BaCO ₃ (71-2394), MgO (77-2364)

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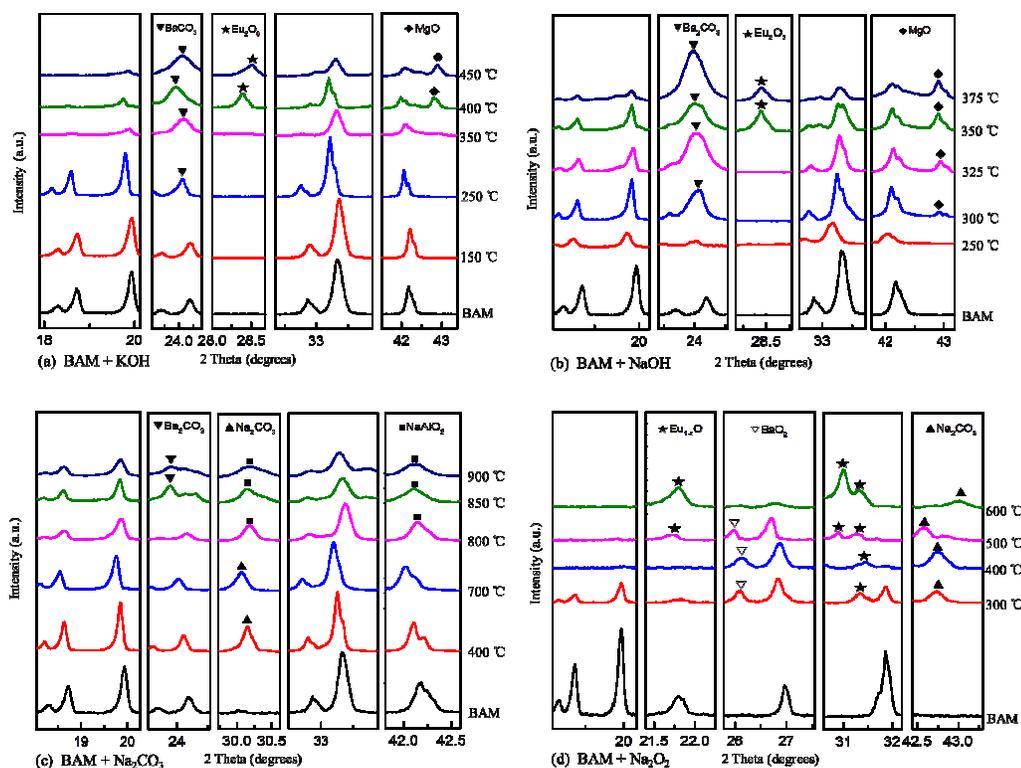


Fig. 3. XRD details of different BAM reactions at different temperature.

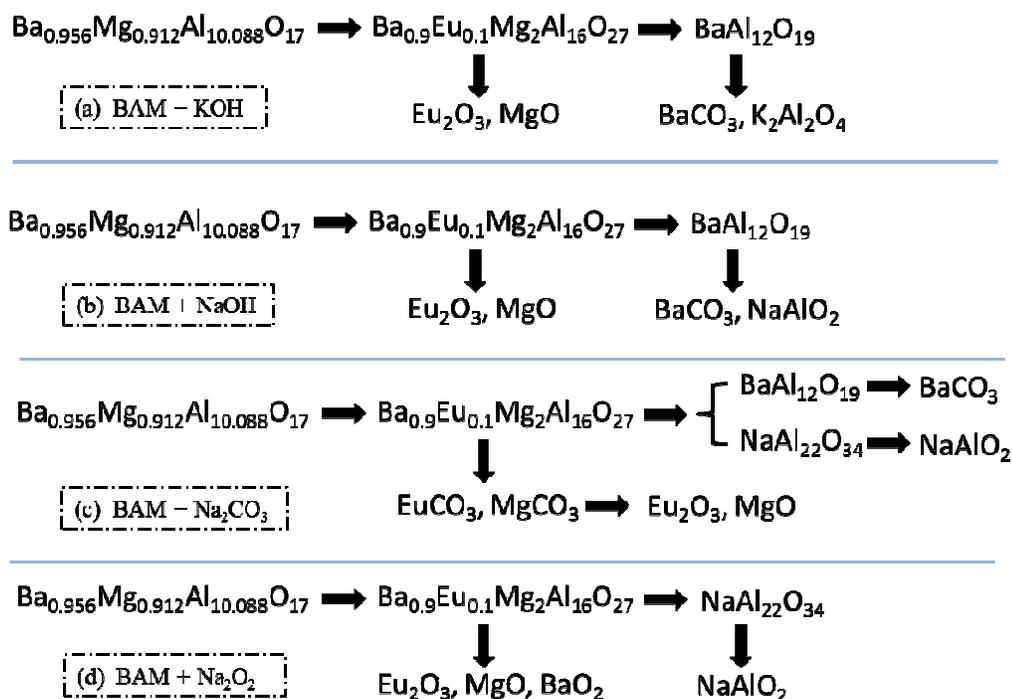


Fig. 4. Flow diagrams of different BAM reactions.

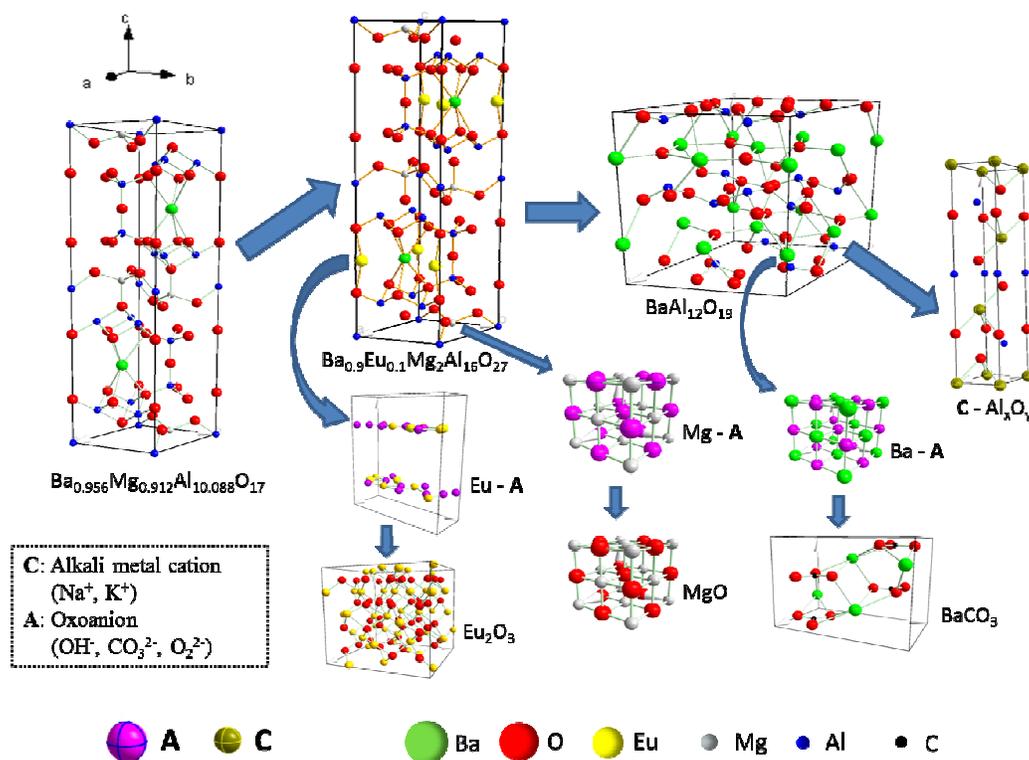
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224 The content of europium in BAM is small, thus only when BAM is substantially
225 decomposed, europium-containing products could be detected, and Fig. 3 reflects this
226 phenomenon. In the BAM structure europium atom is considered an interstitial atom,
227 so its path has great uncertainty during the reaction process. Zhang et al. found that in
228 the process of BAM reaction with sodium hydroxide, europium ions were most likely
229 to be replaced by sodium ions first and then leave the BAM structure, based on the
230 oxygen atoms ratio analysis.²⁰ This result is consistent with the nature of interstitial
231 atoms. In this paper it's also considered that europium was first bonded with free
232 anions and leave the BAM structure.

233 By analyzing the BAM reaction behavior with NaCl, NaOH, Na₂CO₃ and Na₂O₂, it
234 can be inferred that the functional parts destroying BAM structure are oxoanions,
235 while cations alone can't change the BAM structure. By comparing the BAM reaction
236 behavior with KOH, NaOH, and Ca(OH)₂, BAM structure could be damaged by KOH
237 and NaOH because they can supply free hydroxide ions, while calcium oxide is very
238 stable, and there is no free oxoanion existing in the reaction between BAM and
239 Ca(OH)₂.

240 Based on the above analysis, a new theory, Free Oxoanion Theory (FOAT) was put
241 forward to summarize the BAM structure decomposition during alkaline fusion
242 process. FOAT means that free oxoanions (OH⁻, CO₃²⁻, O₂²⁻) are the key functional
243 group to destroy the BAM structure, while cations alone can't change the BAM
244 structure. A flow diagram illustrating the Free Oxoanion Theory for BAM structure
245 decomposition was shown in Figure 5. Europium and magnesium ions in BAM are
246 bonded with free oxoanion preferentially to escape from the BAM structure,
247 eventually forming Eu₂O₃ and MgO. The remained structure of barium aluminate,
248 such as BaAl₁₂O₁₉, is eventually decomposed into aluminate and BaCO₃ in air. Alkali
249 metal cations are introduced to bond with the aluminate ions to maintain the charge
250 balance of the reaction system.



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Fig. 5. Summary diagrams of the BAM decomposition process.

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3.3 Lattice energy determination

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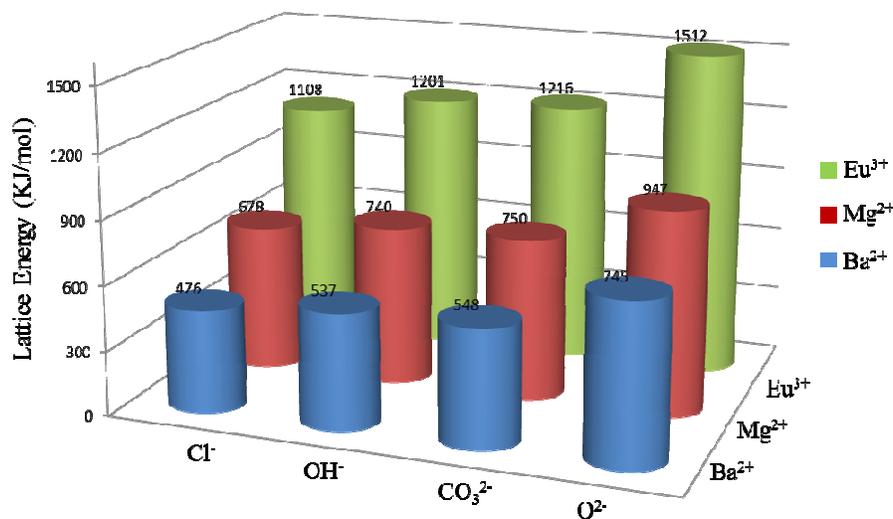
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Lattice energy between different ions was determined by the Phil Mann's formula (equation 3). Fig. 6 compared lattice energy results for different substances. In Figure 6 it could be found that for the same anion, lattice energy of the substance bonded with europium ion was always higher than the values for magnesium ions, and the values for barium ions were the lowest. Thus, among these three cations, the stability of europium compounds is the strongest, followed by barium, and then magnesium. According to the DSC and XRD results analyzed in sections 3.2 and 3.3, during the BAM structure damage process, europium ions escape from the structure first, followed by magnesium and then barium. The reaction order of the BAM decomposition is consistent with the lattice energy calculation results. This demonstrates that the decomposition process of BAM reactions presented in this paper is appropriate.



266

267 **Fig. 6.** Lattice energy of different substances bonded with cations and anions.

268 In Figure 6, for the same cation, the corresponding lattice energy increased
 269 successively for Cl⁻, OH⁻, CO₃²⁻, and O²⁻. This indicates that among the substances
 270 formed by cations and anions, oxide is the most stable form. During the process of
 271 BAM decomposition, Eu / Mg exists as Eu₂O₃ / MgO. The decomposition
 272 temperature of BaCO₃ is about 1450 °C, much higher than the studied temperature
 273 range, thus Ba formed as BaCO₃ eventually, but not as BaO. The variation of
 274 determined lattice energy is also in agreement with experimental results, which can
 275 explain the ultimate form of final products during BAM alkaline fusion process.

276 4 Conclusions

277 In this paper, six different reactants (KOH, NaOH, Ca(OH)₂, NaCl, Na₂CO₃, and
 278 Na₂O₂) were chosen to react with BAM to explain alkaline fusion process. The results
 279 presented show that BAM structure could be decomposed to produce new substances
 280 by KOH, NaOH, Na₂CO₃, and Na₂O₂. By the comprehensive analysis of DSC and
 281 XRD, it's clear that BAM alkaline fusion reactions share similar process. Free
 282 Oxoanion Theory (FOAT) was put forward to elucidate the structure decomposition of
 283 BAM. FOAT is described as follows: Free oxoanions (OH⁻, CO₃²⁻, O₂²⁻) are the key
 284 functional group to destroy the BAM structure, while cations alone can't change the
 285 BAM structure. Europium and magnesium ions in BAM are bonded with free

286 oxoanion preferentially to escape from the BAM structure, eventually forming Eu_2O_3
287 and MgO . The remained barium aluminate structure eventually decomposed into
288 aluminate and BaCO_3 in air. Alkali metal cations (Na^+ , K^+) are introduced to bond
289 with the aluminate ions to maintain the charge balance of the reaction system.

290 For the same cation (Ba^{2+} , Mg^{2+} , Eu^{2+}), the corresponding lattice energy increased
291 successively for Cl^- , OH^- , CO_3^{2-} , and O^{2-} . This variation is in agreement with
292 experimental phase analysis results, demonstrating the FOAT summarized in this
293 paper is appropriate. It's a valuable job to recycle REEs from waste phosphors. But
294 due to the lack of mechanism for alkaline fusion process, the technology of REEs
295 recycling from waste phosphors is hard to progress. FOAT was summarized to
296 elucidate the BAM structure decomposition, and it will benefit a lot for REEs
297 recycling technology.

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