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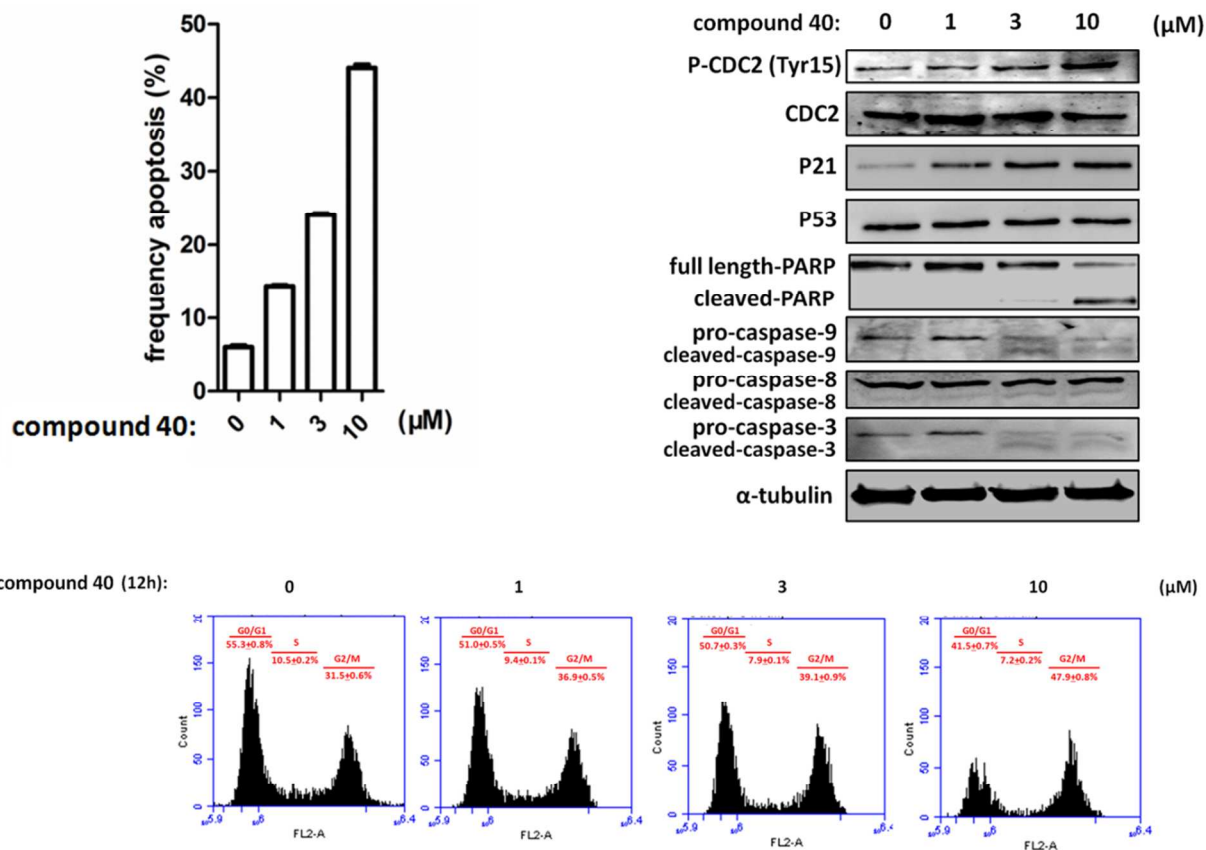


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In this present study, we designed and synthesized 19 novel shikonin derivatives (**31-49**) as potent anticancer agents. All the compounds showed dramatically anticancer activities against HeLa, HepG2 and MCF-7 cells. Among which compound **40** showed the best anticancer activities against HeLa cell line with the  $IC_{50}$  of 1.26  $\mu$ M. Furthermore the flowcytometry results demonstrated that compound **40** could obviously induces apoptosis in a dose and time dependent manner and also cause cell cycle arrest at G2/M phase. Western blot results shows the cleavage of PARP and upstream caspase-3 were increased, and further caspase -9 was activated by cleavage but not the caspase- 8. Western blot also indicated that compound **40** could induce caspase-9 involved apoptosis and G2/M phase cell cycle arrest via P21, p – CDC2 (Tyr15) pathway independent of P53.



1 **Design, Synthesis and Mechanism of Novel Shikonin Derivatives as Potent**

2 **Anticancer Agents**

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20 **Abstract:**

21 In this present study, a series of novel shikonin derivatives (**30-49**) was designed and  
22 synthesized and their antiproliferative activities were evaluated against five different cancer cell  
23 lines including HeLa, HepG2, MCF-7, BGC and A549. Some of the compounds shows strong  
24 antiproliferative effects against HeLa, HepG2 and MCF-7 with IC<sub>50</sub> values ranging from 1.26 to  
25 18.50  $\mu$ M and shows lower side effects towards normal cell lines as compared to shikonin.  
26 Compared to other compounds and shikonin itself, compound **40** displayed the much stronger  
27 anti-proliferative effects against various cancer cell lines. Furthermore the flowcytometry results  
28 demonstrated that compound **40** could obviously induces apoptosis in a dose and time dependent  
29 manner and also cause cell cycle arrested at G2/M phase. For further investigation of  
30 aforementioned mechanisms, we performed western blot, and we found that cleavage of PARP  
31 and upstream caspase-3 were increased, and further caspase-9 was activated by cleavage but not  
32 the caspase-8. The aforementioned results also indicated that compound **40** could induce  
33 caspase-9 involved apoptosis and G2/M phase cell cycle arrested via P21, p – CDC2 (Tyr15)  
34 pathway independent of P53.

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36 **Key words:** Shikonin derivatives; Anticancer; Apoptosis, Caspase-3, Caspase-9, PARP.

37

## 38 1. Introduction

39 Cancer is considered to be one of the main health threats throughout the world; it has been  
40 ranked first in carnage the peoples due to severely causing emerging factors. Various  
41 advancements have been achieved recently in the chemotherapeutic management of some  
42 patients, yet the continued promise to the tedious task of discovering new anticancer agents  
43 remains critically important.<sup>1-6</sup> A variety of anti-cancer drugs have been developed and applied  
44 to cure the cancer patients, but numerous drugs have not succeeded to show desirable results due  
45 to problem of drug tolerance by cancer. The conventional anti-cancer drugs frequently results in  
46 apoptosis, though the cancer cells were sensitive to apoptotic initiation at an early stage but  
47 sooner or later exhibits resistance to it because of deregulation of the apoptotic machinery' which  
48 is indicated by the overexpression of anti-apoptosis proteins and also signaling defects in  
49 apoptosis.<sup>7,8</sup>

50 Besides, due to the high impact of cancer on human health, apoptosis plays a significant role  
51 in cell death mechanisms and largely takes place in various cancer cells as well.<sup>9-11</sup> Whereas  
52 mitochondrial apoptotic pathway contains caspase-9 which is an essential member of caspase  
53 family protein,<sup>12</sup> once the mitochondrial apoptotic pathway is activated, the cytochrome c  
54 releases from the mitochondrial intermembrane space and interacts with dATP and APAF1 to  
55 develop composite receptor.<sup>12,13</sup> Moreover, the receptor also helps to recruit and turn on caspase-

56 9 to induce the activation of downstream proteases (for example, caspase-3 and caspase-7).<sup>14</sup>  
57 PARP and Caspase-3 are the vital performers of apoptosis that restrictively cleave almost all or  
58 part of key proteins, then cleaved caspase-3 and cleaved PARP come in their activation form  
59 after being cut . Therefore, after the increased level of all cleaved caspases and PARP, they  
60 induces apoptosis of cancer cells.<sup>15, 16</sup>

61 Several new drugs have been obtained from natural products and have been active against a  
62 wide variety of diseases including cancer. Numerous plants from Boraginaceae family have been  
63 used as anti-inflammatory, anti-arthritis and antimicrobial agents in Eurasia.<sup>17-21</sup> Shikonin and its  
64 derivatives, which primarily occur in *Lithospermum erythrorhizon*, have been arousing great  
65 interest as the hallmark molecules responsible for their significant and fascinating anti-tumor  
66 activities by different mechanisms.<sup>22,23</sup> The specific protocols of the cancer research that related  
67 to shikonin and alkannin have been focused on induction of cell apoptosis<sup>24,25</sup> and  
68 necroptosis,<sup>26,27</sup> DNA topoisomerases, inhibition of angiogenesis,<sup>28-29</sup> and protein tyrosine  
69 kinases,<sup>30,31</sup> etc. However, as a potential anti-cancer drug, shikonin itself is poorly soluble and  
70 believed to exert strong cytotoxic effects on normal cells.<sup>32</sup> Hence, large numbers of researchers  
71 are dedicated to synthesize and prepare some new and effective shikonin derivatives.

72 During the past decades, number of shikonin derivatives have been synthesized and prepared  
73 and studied for their anticancer activities. Many shikonin derivatives with hydroxyl group on the

74 side chain modifications have been synthesized and evaluated for their anti-tumor effects on  
75 various cancer cell lines. Most of the derivatives showed better cytotoxicity than the lead  
76 compound shikonin and meanwhile the mechanisms of action were also studied.<sup>33-34</sup>

77 Moreover, Acetylshikonin, isovalerylshikonin and SH-7 exhibited obvious inhibitory actions  
78 on topoisomerase I, stronger than their mother compound shikonin.<sup>35-37</sup> Shikonin glycosyl  
79 derivatives were also reported to show similar or stronger cytotoxicity than mother compound  
80 shikonin.<sup>38</sup> To reduce the toxicity and side effects of shikonin, we synthesized some related  
81 derivatives of shikonin in our previous studies by modification of its structure, and found that the  
82 toxicity of shikonin was greatly reduced after ester modifications.<sup>39-44</sup> In this present study we  
83 also designed to augment the shikonin with some anticancer components to make it more  
84 effective against different cancer cell lines. The shikonin and decorating parts were conjugated  
85 by introducing amino acids as bridges. Different steric configurative compounds can be  
86 synthesized by using different chiral amino acids as bridges, and these new compounds could  
87 also act with differently mechanism against cancer cells. Based on the results of pre-experiments,  
88 a series of novel shikonin derivatives was synthesized as potent anticancer agents by using the  
89 alanine and phenylalanine as bridges.

## 90 **2. Result and discussion**

### 91 **2.1 Chemistry**



92 With the aim to obtaining a series of shikonin derivatives containing two functional  
93 structures, we designed some twin medicines that introduced cantharidin, norcantharidin and  
94 their analogous to shikonin skeleton. We finally conjugated norcantharidin or adjacent  
95 dicarboxylic acid to the skeleton of shikonin by using alanine, phenylalanine or norcantharidin as  
96 bridges. (Scheme 1-4). However, after several attempts we could not link cantharidin to  
97 shikonin and this was probably due to cantharidin's amino acid conformation or electron  
98 donating effect of methyl that prevented the linkage. Among the obtained compounds, the yield  
99 of compounds **34, 35, 36** was >97% (highest), yield of compounds **44, 45, 46** was <65% (lower),  
100 while the compounds **41, 42, 43** had lowest yield i.e., <30% due to their amino acid  
101 conformation that resulted lowest yield. It is found that all compounds displayed different  
102 dimensional conformations; the carboxyl of **11** is completely naked after derivatization, which is  
103 good for the next esterification reaction. Nevertheless, the esterification reaction of **12** is blocked  
104 owing to its carboxyl group that is partially covered by phthalicanhydride. No significant effect  
105 was found on anti-proliferation activity due to chiral configuration of amino acids in the bridges;  
106 however derivatives in which phenylalanine was used as bridge moieties showed better anti-  
107 proliferation activities than the alanine.

108 (Scheme 1-4)

## 109 2.2 Biological activities

### 110 2.2.1 In vitro antitumor and cytotoxicity evaluation

111 Antitumor activities of all compounds against different cancer cell lines i.e., HeLa (human  
112 cervix cell line), HepG<sub>2</sub> (human liver cell line), MCF-7 (humane breast cell line), A549 (human  
113 lungs cell line) and BGC (human gastric cell line) were determined using the MTT assay. Each  
114 of these cell lines were incubated with five different concentrations (0, 0.3 μM, 1 μM, 3 μM, 10  
115 μM) of all synthesized compounds for 24 hours and subsequently the IC<sub>50</sub> (half maximal  
116 inhibitory concentration) values were calculated as shown in (Table 1).

#### 117 (Table 1)

118 From IC<sub>50</sub> values it was found that after modification, not all the obtained compounds  
119 showed higher IC<sub>50</sub> against five cell lines when compared with shikonin. And interestingly all the  
120 compounds lost their anti-proliferative activities against A549 and anti-proliferative activities  
121 were much lower than the shikonin against BGC. This is probably due to our modification that  
122 improved the selectivity and reduced the cytotoxicity. To determine the reduced cytotoxicity and  
123 clinically safe use of compounds MTT assay against L02 (human normal liver cell line) was  
124 performed and results (Table 1) showed that all compounds have no effects against L02. Some of  
125 the compounds showed strong effects against HeLa, HepG<sub>2</sub> and MCF-7 cell lines with IC<sub>50</sub>  
126 values ranging from 1.26μM to 18.50μM. Furthermore, the compound **40** showed best anti-  
127 proliferative activities against HeLa cells with the lowest IC<sub>50</sub> value (1.26 μM) compared to

128 shikonin (3.11  $\mu\text{M}$ ) itself and was selected for further experiments.

### 129 **2.2.2 Apoptosis is induced in HeLa cells in dose and time dependent manner**

130 Annexin V and PI staining can distinguish the living cells (annexin V-/PI-), as early  
131 apoptotic cells (annexin V+/PI-) and late apoptotic cells (annexin V+/PI+). To validate whether  
132 compound **40** could cause the growth inhibition of HeLa cells by in vitro apoptosis, annexin V-  
133 FITC/PI double staining assay was performed. After treating HeLa cells with different  
134 concentrations (0, 1  $\mu\text{M}$ , 3  $\mu\text{M}$ , 10  $\mu\text{M}$ ) of compounds **40** for 24 hours, HeLa cells showed  
135 considerable sensitivity to compounds **40** in dose-dependent manner. For highest concentration  
136 (10  $\mu\text{M}$ ), apoptotic rates reached upto 43.95% (**Fig.1A, B**), thus suggesting that these compounds  
137 can induce the apoptosis in vitro by targeting the cancer cells. Meanwhile, the time dependent  
138 assay results also indicated that when HeLa cells were treated with compound **40** in a time  
139 dependent manner, the percentage of apoptotic cells were increased, compared with the mock  
140 group, as shown in (**Fig.2A,B**). In conclusion, it is obvious from the aforementioned results that  
141 compound **40** could induce apoptosis in Hela cells in dose and time dependent manner.

142 (**Fig.1A, B**)

143 (**Fig.2A, B**).

### 144 **2.2.3 Cell cycle arrest in HeLa cells in dose dependent manner**

145 To gain better understanding on the potency of compound **40**, we further explored the effect of  
146 compound **40** on the cell cycle to ascertain that the cells are blocked in mitosis. HeLa cells were  
147 treated with different concentration (0  $\mu\text{M}$ , 1  $\mu\text{M}$ , 3  $\mu\text{M}$ , 10  $\mu\text{M}$ ) of compound **40** for 12hours.  
148 The results demonstrated that the treatment of HeLa cells with compound **40** led to an obvious  
149 G2/M arrest in a concentration dependent manner as shown in (Fig.3), Incubation of cells with  
150 3 $\mu\text{M}$  of compound **40** caused 39.1% of cells arrested at G2/M phase as compare to control.  
151 When the concentration of compound **40** increased upto 10  $\mu\text{M}$ , 47.9% of cells were arrested in  
152 G2/M phase. In summary, effective doses of compound **40** seem to cause an arrest of cells in  
153 G2/M phase, which leads to a significant increase in the number of apoptotic cells ultimately.

### 154 **Western blot analysis**

155 In order to investigate the process of apoptosis, we further performed the western blot to detect  
156 the expression of some related proteins in the apoptosis related pathway. From the western blot  
157 results, we found that cleavage of PARP and upstream caspase-3 were increased, indicating that  
158 compound **40** could induce caspase activation in apoptosis. Further determining the protein  
159 levels of caspase-8 and caspase-9, we found that caspase-9 other than caspase-8 was activated by  
160 cleavage. Thus, caspase-9 but not caspase-8 was involved in the apoptosis induced by compound  
161 **40**. We also found the level of P21 which is the downstream target of P53, was upregulated, and

162 enhanced the phosphorylation of its downstream target CDC2. However, no obvious change was  
163 found for P53 as shown in (Fig.4). Based on the above cell cycle results, we concluded P21 was  
164 activated independent of P53 and the downstream CDC2 was phosphorylated, which contributed  
165 to the G2/M arrest in HeLa cells as described in pathway. (Fig.5)

166 (Fig.4)

167 (Fig.5)

### 168 3. Conclusion

169 In our present study we synthesized a series of novel shikonin derivatives (30-49). Selectivity  
170 and cytotoxicity assays were performed against five cancer cell lines along with one normal cell  
171 line. Some of the compounds showed strong effects against HeLa, HepG2 and MCF-7 with  
172 IC<sub>50</sub> values ranging from 1.26 μM to 18.50 μM. Among them, compound 40 displayed the much  
173 stronger anti-proliferative effects against various cancer cell lines. Detailed apoptotic mechanism  
174 studies with compound 40 suggested that through the cell cycle and apoptosis analysis,  
175 compound 40 showed the best antiproliferation activities and exhibited strong ability to inhibit  
176 the proliferation of HeLa cancer cells by inducing a high levels of apoptosis in a dose and time  
177 dependent manner, also causes HeLa cells arrested in G2/M phase. Western blot results also

178 indicated that compound **40** could induce caspase-9 involved apoptosis and G2/M phase cell  
179 cycle arrest via P21, p – CDC2 (Tyr15) pathway independent of P53.

## 180 **4. Materials and methods**

### 181 **4.1 Chemicals**

182 All chemicals (reagent grade) were purchased from J&K Chemical Ltd., and Nanjing  
183 Chemical Reagent Co. Ltd. (China). All the <sup>1</sup>H NMR spectra were recorded on a Bruker DRX  
184 500 spectrometer in CDCl<sub>3</sub>. TLC was carried out on glass-backed silica gel sheets (silica gel  
185 60Å GF254). The ESI-MS spectra were obtained on a Mariner Biospectrometry Workstation  
186 (ESI-TOF) mass spectrometer. Chemical shifts ( $\delta$ ) for <sup>1</sup>H NMR spectra were reported in ppm ( $\delta$ ).  
187 Melting points (uncorrected) were measured on a XT4 MP Apparatus (Taikang Corp., Beijing,  
188 China).

#### 189 **4.1.1 General synthesis procedure of compound 8-17 and 21-29**

190 A mixture of compound **4-7** (50 mmol), amino acid (50 mmol) were dissolved in acetic acid  
191 and stirred on 120°C overnight. Reaction mixture was poured into ice water and white precipitate  
192 was filtered and dried under vacuum to obtain the compound **8-17** and **21-29** (Scheme 1, 2).

#### 193 **4.1.2 General synthesis procedure of compound 31-49**

194 Compounds **8-17** and **21-29** were dissolved in 16 mL of dichloromethane and 0.072 g (0.354  
195 mmol) of *N, N'*-dicyclohexylcarbodiimide (DCC) was added into reaction system. The reaction

196 mixture was stirred under nitrogen atmosphere in ice bath for 15 min. 0.004 g (0.044 mmol) of 4-  
197 dimethylaminopyridine (DMAP) was added, stirred in the ice bath and continued for further 15  
198 min. Then 0.050 g of Shikonin (0.175mmol) was added to the reaction mixture and stirred in the  
199 ice bath for 12 hours to afford the target compounds **31-49** (Scheme 3, 4).

200 **4.1.2.1** (2R)-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-  
201 ((4R,7S)-1,3-dioxohexahydro-1H-4,7-epoxyisoindol-2(3H)-yl)-3-phenylpropanoate(**31**)

202 Red powder, 76% yield. Mp: 69.5-71.4°C. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ: 12.592 (s, 1H);  
203 12.477 (s, 1H); 7.286-7.136 (m, 8H); 7.033 (s, 1H); 6.133 (t, 1H, *J*<sub>1</sub> = 6.5 Hz, *J*<sub>2</sub> = 5 Hz); 5.148-  
204 5.066 (m, 1H); 5.024-4.991 (m, 2H); 4.898-4.843 (m, 1H); 3.504-3.308 (m, 3H); 2.833-2.715 (m,  
205 2H); 2.607-2.560 (m, 1H); 2.501-2.440 (m, 1H); 1.975-1.518 (m, 4H); 1.369-1.095 (m, 4H). ESI-  
206 MS: Calcd for C<sub>33</sub>H<sub>31</sub>NO<sub>9</sub> ([M-H]<sup>-</sup>) 584.20, found 584.1025. Anal. Calcd for C<sub>33</sub>H<sub>31</sub>NO<sub>9</sub>: C,  
207 67.68; H, 5.34; N, 2.39; O, 24.59. Found: C, 67.71; H, 5.38; N, 2.41; O, 24.61.

208 **4.1.2.2** (2S)-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-  
209 ((4R,7S)-1,3-dioxohexahydro-1H-4,7-epoxyisoindol-2(3H)-yl)-3-phenylpropanoate(**32**)

210 Red powder, 70% yield. Mp: 77.3-79.2°C. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ: 12.591 (s, 1H);  
211 12.476 (s, 1H); 7.286-7.136 (m, 8H); 7.034 (s, 1H); 6.135 (t, 1H, *J*<sub>1</sub> = 4.5 Hz, *J*<sub>2</sub> = 7.5 Hz); 5.104  
212 (t, 1H, *J*<sub>1</sub> = 6.5 Hz, *J*<sub>2</sub> = 6.5 Hz); 5.025-4.991 (m, 2H); 4.909-4.851 (m, 1H); 3.504-3.456 (m, 2H);  
213 3.360-3.309 (m, 1H); 2.834-2.793 (m, 1H); 2.731-2.702 (m, 1H); 2.611-2.537 (m, 1H); 2.502-

214 2.430 (m, 1H); 1.899-1.772 (m, 2H); 1.975-1.518 (m, 3H); 1.369-1.095 (m, 3H). ESI-MS: Calcd  
215 for  $C_{33}H_{31}NO_9$  ( $[M-H]^-$ ) 584.20, found 584.2288. Anal. Calcd for  $C_{33}H_{31}NO_9$ : C, 67.68; H, 5.34;  
216 N, 2.39; O, 24.59. Found: C, 67.70; H, 5.36; N, 2.40; O, 24.62.

217 **4.1.2.3** 1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-  
218 ((4R,7S)-1,3-dioxohexahydro-1H-4,7-epoxyisoindol-2(3H)-yl)-3-phenylpropanoate(**33**)

219 Red powder, 70% yield. Mp: 68.7-70.5°C.  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$ : 12.591 (s, 1H);  
220 12.476 (s, 1H); 7.286-7.136 (m, 8H); 7.034 (s, 1H); 6.135 (t, 1H,  $J_1 = 4.5$  Hz,  $J_2 = 7.5$  Hz); 5.104  
221 (t, 1H,  $J_1 = 6.5$  Hz,  $J_2 = 6.5$  Hz); 5.025-4.991 (m, 2H); 4.909-4.851 (m, 1H); 3.504-3.456 (m, 2H);  
222 3.360-3.309 (m, 1H); 2.834-2.793 (m, 1H); 2.731-2.702 (m, 1H); 2.611-2.537 (m, 1H); 2.502-  
223 2.430 (m, 1H); 1.899-1.772 (m, 2H); 1.975-1.518 (m, 3H); 1.369-1.095 (m, 3H). ESI-MS: Calcd  
224 for  $C_{33}H_{31}NO_9$  ( $[M-H]^-$ ) 584.20, found 584.2055. Anal. Calcd for  $C_{33}H_{31}NO_9$ : C, 67.68; H, 5.34;  
225 N, 2.39; O, 24.59. Found: C, 67.69; H, 5.40; N, 2.43; O, 24.64.

226 **4.1.2.4** (2R)-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-  
227 (1,3-dioxoisoindolin-2-yl)-3-phenylpropanoate(**34**)

228 Red powder, 98% yield. Mp: 70.5-71.8°C.  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$ : 12.566(s, 1H);  
229 12.443 (s, 1H); 7.849-7.736 (m, 4H); 7.224-7.196 (m, 7H); 6.948 (s, 1H, naphthoquinone-H);  
230 6.108 (t, 1H,  $J_1 = 5$  Hz,  $J_2 = 6$  Hz); 5.266-5.233 (m, 1H); 5.019 (t, 1H,  $J_1 = 7.5$  Hz,  $J_2 = 5.5$  Hz);  
231 3.640-3.572 (m, 2H); 2.640-2.610 (m, 1H); 2.499-2.440 (m, 1H); 1.615-1.585 (m, 3H); 1.483-



232 1.465 (m, 3H). ESI-MS: Calcd for  $C_{33}H_{27}NO_8$  ( $[M-H]^-$ ) 564.17, found 564.2332. Anal. Calcd for  
233  $C_{33}H_{27}NO_8$ : C, 70.08; H, 4.81; N, 2.48; O, 22.63. Found: C, 70.13; H, 4.95; N, 2.71; O, 22.72.

234 **4.1.2.5** (2S)-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-  
235 (1,3-dioxoisindolin-2-yl)-3-phenylpropanoate(**35**)

236 Red powder, 97% yield. Mp: 60.9-62.6°C.  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$ : 12.565 (s, 1H);  
237 12.443 (s, 1H); 7.849-7.833 (m, 2H); 7.751-7.735 (m, 2H); 7.240-7.168 (m, 7H); 6.949 (s, 1H);  
238 6.109 (t, 1H,  $J_1 = 5.5$  Hz,  $J_2 = 5.5$  Hz); 5.268-5.236 (m, 1H); 5.021 (t, 1H,  $J_1 = 7$  Hz,  $J_2 = 6$  Hz);  
239 3.653-3.546 (m, 2H); 2.641-2.611 (m, 1H); 2.499-2.441 (m, 1H); 1.615-1.585 (m, 3H); 1.484-

240 1.465 (m, 3H). ESI-MS: Calcd for  $C_{33}H_{27}NO_8$  ( $[M-H]^-$ ) 564.17, found 564.2804. Anal. Calcd for  
241  $C_{33}H_{27}NO_8$ : C, 70.08; H, 4.81; N, 2.48; O, 22.63. Found: C, 70.21; H, 4.86; N, 2.51; O, 22.73.

242 **4.1.2.6** 1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-(1,3-  
243 dioxoisindolin-2-yl)-3-phenylpropanoate(**36**)

244 Red powder, 98% yield. Mp: 61.6-63.8°C.  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$ : 12.565 (s, 1H);  
245 12.442 (s, 1H); 7.839-7.810 (m, 2H); 7.745-7.713 (m, 2H); 7.210-7.194 (m, 7H); 6.949 (s, 1H);  
246 6.108 (t, 1H,  $J_1 = 5.5$  Hz,  $J_2 = 5.5$  Hz); 5.266-5.237 (m, 1H); 5.022 (t, 1H,  $J_1 = 7$  Hz,  $J_2 = 6$  Hz);  
247 3.641-3.573 (m, 2H); 2.640-2.612 (m, 1H); 2.497-2.442 (m, 1H); 1.614-1.586 (m, 3H); 1.483-  
248 1.465 (m, 3H). ESI-MS: Calcd for  $C_{33}H_{27}NO_8$  ( $[M-H]^-$ ) 564.17, found 564.2018. Anal. Calcd for

249  $C_{33}H_{27}NO_8$ : C, 70.08; H, 4.81; N, 2.48; O, 22.63. Found: C, 70.23; H, 4.91; N, 2.62; O, 22.69.

250 **4.1.2.7** (2R)-(R)-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-2-yl)-4-methylpent-3-en-1-yl-2-(1,3-dioxohexahydro-1H-isoindol-2(3H)-yl)-3-phenylpropanoate(**37**)

252 Red powder, 64% yield. Mp: 72.1-73.6 °C.  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$ : 12.601 (s, 1H);  
253 12.455 (s, 1H); 7.315-7.168 (m, 6H); 7.022 (s, 1H); 6.104 (t, 1H,  $J_1 = 5$  Hz,  $J_2 = 7$  Hz); 5.160-  
254 5.091 (m, 3H); 3.903 (d, 2H,  $J = 15$  Hz); 3.778 (t, 3H,  $J_1 = 7$  Hz,  $J_2 = 8.5$  Hz); 3.532-3.509 (m,  
255 3H); 2.943 (t, 3H,  $J_1 = 8$  Hz,  $J_2 = 7$  Hz); 2.782 (t, 3H,  $J_1 = 4$  Hz,  $J_2 = 3.5$  Hz); 1.715 (s, 3H); 1.586  
256 (s, 3H). ESI-MS: Calcd for  $C_{33}H_{33}NO_8$  ( $[M-H]^-$ ) 570.62, found 570.631. Anal. Calcd for  
257  $C_{33}H_{33}NO_8$ : C, 69.34; H, 5.82; N, 2.45; O, 22.39. Found: C, 69.47; H, 5.96; N, 2.51; O, 22.42.

258 **4.1.2.8** (R)-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-2-yl)-4-methylpent-3-en-1-yl-2-(1,3-dioxohexahydro-1H-isoindol-2(3H)-yl)-3-phenylpropanoate(**38**)

260 Red powder, 64% yield. Mp: 72.1-73.6°C.  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$ : 12.596 (s, 1H);  
261 12.452 (s, 1H); 7.286-7.180 (m, 6H); 7.020 (s, 1H); 6.103 (t, 1H,  $J_1 = 5$  Hz,  $J_2 = 6.5$  Hz); 5.161-  
262 5.091 (m, 3H); 3.903 (d, 2H,  $J = 15$  Hz); 3.778 (t, 3H,  $J_1 = 7$  Hz,  $J_2 = 8.5$ Hz); 3.568-3.508 (m,  
263 3H); 2.943 (t, 3H,  $J_1 = 8$  Hz,  $J_2 = 7$  Hz); 2.782 (t, 3H,  $J_1 = 4$  Hz,  $J_2 = 3.5$  Hz); 1.716 (s, 3H); 1.585  
264 (s, 3H). ESI-MS: Calcd for  $C_{33}H_{33}NO_8$  ( $[M-H]^-$ ) 570.62, found 570.631. Anal. Calcd for  
265  $C_{33}H_{33}NO_8$ : C, 69.34; H, 5.82; N, 2.45; O, 22.39. Found: C, 69.45; H, 5.93; N, 2.58; O, 22.46.

266 **4.1.2.9** (2R)-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-

267 ((3aR,4S,7S,7aS)-1,3-dioxo-3a,4,7,7a-tetrahydro-1H-4,7-methanoisoindol-2(3H)-yl)-3-  
268 phenylpropanoate(**39**)

269 Red powder, 64% yield. Mp: 60.5-62.6°C.  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$ : 12.594 (s, 1H);  
270 12.448 (s, 1H); 7.286-7.256 (m, 2H); 7.223-7.173 (m, 5H); 6.960 (s, 1H); 6.286-6.220 (m, 2H);  
271 6.121 (t, 1H,  $J_1 = 6$  Hz,  $J_2 = 5.5$  Hz); 5.140-5.077 (m, 2H); 3.554-3.438 (m, 2H); 3.232 (s, 1H);  
272 3.168 (s, 1H); 2.676-2.592 (m, 2H); 2.536-2.466 (m, 2H); 1.715-1.582 (m, 8H). ESI-MS: Calcd  
273 for  $\text{C}_{34}\text{H}_{31}\text{NO}_8$  ( $[\text{M}-\text{H}]^-$ ) 580.20, found 580.2168. Anal. Calcd for  $\text{C}_{34}\text{H}_{31}\text{NO}_8$ : C, 70.21; H, 5.37;  
274 N, 2.41; O, 22.01. Found: C, 70.34; H, 5.51; N, 2.55; O, 22.31.

275 **4.1.2.10** 1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-  
276 1-yl-2-((3aR,4S,7S,7aS)-1,3-dioxo-3a,4,7,7a-tetrahydro-1H-4,7-methanoisoindol-2(3H)-yl)-3-  
277 phenylpropanoate(**40**)

278 Red powder, 67% yield. Mp: 51.6-53.7°C.  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$ : 12.598 (s, 1H);  
279 12.451 (s, 1H); 7.287-7.176 (m, 7H); 6.963 (s, 1H); 6.289-6.223 (m, 2H); 6.125 (t, 1H,  $J_1 = 4.5$   
280 Hz,  $J_2 = 6$  Hz); 5.140-5.079 (m, 2H); 3.556-3.439 (m, 2H); 3.253 (s, 1H); 3.173 (s, 1H); 2.689-  
281 2.595 (m, 2H); 2.538-2.470 (m, 2H); 1.718-1.584 (m, 8H).  $^{13}\text{C}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$ :  
282 185.37, 183.12, 175.23, 172.57, 161.21, 160.14, 150.24, 149.22, 139.93, 139.56, 139.15, 135.68,  
283 134.33, 133.64, 132.26, 125.28, 118.37, 74.38, 62.86, 55.34, 48.80, 47.65, 16.89, 36.97, 31.23,  
284 20.21, 19.87. ESI-MS: Calcd for  $\text{C}_{34}\text{H}_{31}\text{NO}_8$  ( $[\text{M}-\text{H}]^-$ ) 580.20, found 580.2368. Anal. Calcd for

285  $C_{34}H_{31}NO_8$ : C, 70.21; H, 5.37; N, 2.41; O, 22.01. Found: C, 70.32; H, 5.41; N, 2.51; O, 22.31.

286 **4.1.2.11** (2R)-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-  
287 en-1-yl-2-((4R,7S)-1,3-dioxohexahydro-1H-4,7-epoxyisoindol-2(3H)-yl)propanoate(**41**)

288 Red powder, 27% yield. Mp: 63.2-64.8°C.  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$ : 12.601(s, 1H);  
289 12.484 (s, 1H); 7.028 (s, 2H); 7.049 (s, 1H); 6.151-6.063(m, 1H); 6.035 (t, 1H,  $J_1 = 7.5$  Hz,  $J_2 =$   
290 11Hz); 5.168-5.063 (m, 1H); 5.024-4.991 (m, 2H); 4.898-4.843 (m, 1H); 3.504-3.308 (m, 3H);  
291 2.833-2.715 (m, 2H); 2.607-2.560 (m, 1H); 2.501-2.440 (m, 1H); 1.975-1.518 (m, 3H);  
292 1.568~1.412 (m, 3H);1.387-1.196 (m, 3H).ESI-MS: Calcd for  $C_{27}H_{27}NO_9$  ( $[M-H]^-$ ) 508.50,  
293 found 508.5245. Anal. Calcd for  $C_{27}H_{27}NO_9$ : C, 63.65; H, 5.34; N, 2.75; O, 28.26. Found: C,  
294 63.72; H, 5.43; N, 2.81; O, 28.37.

295 **4.1.2.12**(2S)-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-  
296 ((4R,7S)-1,3-dioxohexahydro-1H-4,7-epoxyisoindol-2(3H)-yl)propanoate(**42**)

297 Red powder, 25% yield. Mp: 62.7-63.8°C.  $^1H$  NMR (500 MHz,  $CDCl_3$ )  $\delta$ : 12.601(s, 1H);  
298 12.484 (s, 1H); 7.028 (s, 2H); 7.049 (s, 1H); 6.151~6.063(m, 1H); 6.035 (t, 1H,  $J_1 = 7.5$  Hz,  $J_2 =$   
299 11Hz); 5.168-5.063 (m, 1H); 5.024-4.991 (m, 2H); 4.898-4.843 (m, 1H); 3.504-3.308 (m, 3H);  
300 2.833-2.715 (m, 2H); 2.607-2.560 (m, 1H); 2.501-2.440 (m, 1H); 1.975-1.518 (m, 3H); 1.568-  
301 1.412 (m, 3H);1.387-1.196 (m, 3H).ESI-MS: Calcd for  $C_{27}H_{27}NO_9$  ( $[M-H]^-$ ) 508.50, found  
302 508.5245. Anal. Calcd for  $C_{27}H_{27}NO_9$ : C, 63.65; H, 5.34; N, 2.75; O, 28.26. Found: C, 63.72; H,

303 5.43; N, 2.81; O, 28.37.

304 **4.1.2.131**-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-

305 ((4R, 7S)-1,3-dioxohexahydro-1H-4,7-epoxyisoindol-2(3H)-yl)propanoate(**43**)

306 Red powder, 30% yield. Mp: 71.8-72.7°C. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ: 12.601(s, 1H);

307 12.484 (s, 1H); 7.028 (s, 2H); 7.049 (s, 1H); 6.151-6.063(m, 1H); 6.035 (t, 1H, *J*<sub>1</sub> = 7.5 Hz, *J*<sub>2</sub> =

308 11Hz); 5.168-5.063 (m, 1H); 5.024-4.991 (m, 2H); 4.898-4.843 (m, 1H); 3.504-3.308 (m, 3H);

309 2.833-2.715 (m, 2H); 2.607-2.560 (m, 1H); 2.501-2.440 (m, 1H); 1.975-1.518 (m, 3H);

310 1.568~1.412 (m, 3H);1.387-1.196 (m, 3H).ESI-MS: Calcd for C<sub>27</sub>H<sub>27</sub>NO<sub>9</sub> ([M-H]<sup>-</sup>) 508.50, found

311 508.5245. Anal. Calcd for C<sub>27</sub>H<sub>27</sub>NO<sub>9</sub>: C, 63.65; H, 5.34; N, 2.75; O, 28.26. Found: C, 63.72; H,

312 5.43; N, 2.81; O, 28.37.

313 **4.1.2.14**(R)-(R)-2-(3-hydroxybenzoyl)-6-methylhepta-1,5-dien-3-yl2-(1,3-dioxoisoindolin-2-

314 yl)propanoate (**44**)

315 Red powder, 60% yield. Mp: 76.5-77.3°C. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ: 12.569 (s, 1H);

316 12.444 (s, 1H); 7.952-7.923 (m, 2H); 7.821-7.794 (m, 2H); 7.204 (s, 2H); 6.950 (s, 1H); 6.080 (t,

317 1H, *J*<sub>1</sub> = 9 Hz, *J*<sub>2</sub> = 11 Hz); 5.117-4.978 (m, 2H); 2.677-2.585 (m, 1H); 2.518-2.419 (m, 1H);

318 1.795-1.755 (m, 3H); 1.629 (s, 3H); 1.485 (s, 3H). ESI-MS: Calcd for C<sub>26</sub>H<sub>25</sub>NO<sub>6</sub> ([M-H]<sup>-</sup>)

319 446.17, found 446.1760. Anal. Calcd for C<sub>26</sub>H<sub>25</sub>NO<sub>6</sub>: C,69.79; H, 5.63; N, 3.13; O, 21.45. Found:

320 C, 69.36; H, 5.88; N, 3.09; O, 21.32

321 **4.1.2.15(S)-(R)-2-(3-hydroxybenzoyl)-6-methylhepta-1,5-dien-3-yl-2-(1,3-dioxoisindolin-2-**  
322 **yl)propanoate (45)**

323 Red powder, 55% yield. Mp: 55.7-57.5°C.  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$ : 12.581 (s, 1H);  
324 12.476 (s, 1H); 7.929-7.901 (m, 2H); 7.822-7.770 (m, 2H); 7.208 (s, 2H); 7.013 (s, 1H); 6.078 (t,  
325 1H,  $J_1 = 9$  Hz,  $J_2 = 10.5$  Hz); 5.117-4.975 (m, 2H); 2.634-2.568 (m, 1H); 2.516-2.441 (m, 1H);  
326 1.795-1.741 (m, 3H); 1.648-1.565 (m, 3H); 1.491 (s, 3H).  $^{13}\text{C}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$ : 186.3,  
327 184.8, 173.9, 171.5, 166.5, 165.8, 151.8, 140.2, 130.8, 130.1, 125.1, 122.5, 110.6, 73.8, 60.5,  
328 31.9, 25.8, 19.1, 12.4. ESI-MS: Calcd for  $\text{C}_{26}\text{H}_{25}\text{NO}_6$  ( $[\text{M}-\text{H}]^-$ ) 446.17, found 446.1728. Anal.  
329 Calcd for  $\text{C}_{26}\text{H}_{25}\text{NO}_6$ : C, 69.79; H, 5.63; N, 3.13; O, 21.45. Found: C, 69.76; H, 5.52; N, 3.09; O,  
330 21.57.

331 **4.1.2.16(R)-2-(3-hydroxybenzoyl)-6-methylhepta-1,5-dien-3-yl-2-(1,3-dioxoisindolin-2-**  
332 **yl)propanoate-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-**  
333 **3-en-1-yl-2-(1,3-dioxoisindolin-2-yl)propanoate(46)**

334 Red powder, 65% yield. Mp: 43.2-44.8°C.  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$ : 12.572 (s, 1H);  
335 12.466 (s, 1H); 7.954-7.952 (m, 2H); 7.824-7.795 (m, 2H); 7.207 (s, 2H); 6.950 (s, 1H); 6.081 (t,  
336 1H,  $J_1 = 7.5$  Hz,  $J_2 = 11$  Hz); 5.118-5.069 (m, 2H); 2.652-2.609 (m, 1H); 2.510-2.445 (m, 1H);  
337 1.796-1.631 (m, 9H). ESI-MS: Calcd for  $\text{C}_{26}\text{H}_{25}\text{NO}_6$  ( $[\text{M}-\text{H}]^-$ ) 446.17, found 446.1728. Anal.  
338 Calcd for  $\text{C}_{26}\text{H}_{25}\text{NO}_6$ : C, 69.79; H, 5.63; N, 3.13; O, 21.45. Found: C, 69.66; H, 5.52; N, 3.24; O,

339 21.40.

340 **4.1.2.17(2R)**-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-  
341 yl-2-(1,3-dioxohexahydro-1H-isoindol-2(3H)-yl)propanoate(**47**)

342 Red oil, 90% yield.  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$ : 12.588 (s, 1H); 12.455 (s, 1H); 7.207 (s,  
343 2H); 7.027 (s, 1H); 6.070 (t, 1H,  $J_1 = 11.5$  Hz,  $J_2 = 6.5$  Hz); 5.094 (t, 1H,  $J_1 = 11.5$  Hz,  $J_2 = 12$   
344 Hz); 4.919-4.846 (m, 1H); 2.962-2.905 (m, 3H); 2.694-2.607 (m, 1H); 2.570-2.472 (m, 1H);  
345 1.861-1.481 (m, 16H). ESI-MS: Calcd for  $\text{C}_{27}\text{H}_{29}\text{NO}_8$  ( $[\text{M}-\text{H}]^-$ ) 494.19, found 494.2081. Anal.  
346 Calcd for  $\text{C}_{27}\text{H}_{29}\text{NO}_8$ : C, 65.44; H, 5.90; N, 2.83; O, 25.83. Found: C, 65.56; H, 6.08; N, 2.99; O,  
347 25.97.

348 **4.1.2.18(2S)**-1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-  
349 yl-2-(1,3-dioxohexahydro-1H-isoindol-2(3H)-yl)propanoate(**48**)

350 Red oil, 87% yield.  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ )  $\delta$ : 12.594 (s, 1H); 12.447 (s, 1H); 7.203 (s,  
351 2H); 7.006 (s, 1H); 6.067 (t, 1H,  $J_1 = 6.5$  Hz,  $J_2 = 13.5$  Hz); 5.099 (t, 1H,  $J_1 = 12$  Hz,  $J_2 = 12.5$   
352 Hz); 4.921-4.848 (m, 1H); 2.961-2.900 (m, 3H); 2.715-2.625 (m, 1H); .559-2.461 (m, 1H);  
353 1.876-1.580 (m, 16H). ESI-MS: Calcd for  $\text{C}_{27}\text{H}_{29}\text{NO}_8$  ( $[\text{M}-\text{H}]^-$ ) 494.19, found 494.1879. Anal.  
354 Calcd for  $\text{C}_{27}\text{H}_{29}\text{NO}_8$ : C, 65.44; H, 5.90; N, 2.83; O, 25.83. Found: C, 65.59; H, 6.67; N, 2.97; O,  
355 25.90.

356 **4.1.2.19**1-(5,8-dihydroxy-1,4-dioxo-1,4-dihydronaphthalen-3-yl)-4-methylpent-3-en-1-yl-2-

357 (1,3-dioxohexahydro-1H-isoindol-2(3H)-yl)propanoate(49)

358 Red oil, 93% yield. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ: 12.599 (s, 1H); 12.453 (s, 1H); 7.206 (s,  
359 2H); 7.012 (s, 1H); 6.071 (t, 1H, *J*<sub>1</sub> = 6.5 Hz, *J*<sub>2</sub> = 4 Hz); 5.102 (t, 1H, *J*<sub>1</sub> = 11.5 Hz, *J*<sub>2</sub> = 12.5 Hz);  
360 4.923-4.850 (m, 1H); 2.964-2.908 (m, 3H); 2.718-2.610 (m, 1H); 2.566-2.467 (m, 1H); 1.880-  
361 1.547 (m, 16H). ESI-MS: Calcd for C<sub>27</sub>H<sub>29</sub>NO<sub>8</sub> ([M-H]<sup>-</sup>) 494.19, found 494.2054. Anal. Calcd  
362 for C<sub>27</sub>H<sub>29</sub>NO<sub>8</sub>: C, 65.44; H, 5.90; N, 2.83; O, 25.83. Found: C, 65.58; H, 6.01; N, 2.94; O, 25.90.

#### 363 4.2. Cell culture

364 All cell lines were obtained from State Key Laboratory of Pharmaceutical  
365 Biotechnology(Nanjing University) and maintained in Dulbecco's modified Eagle's medium  
366 (DMEM) with L-glutamine, supplemented with 10% fetal bovine serum (FBS) at 37°C in a  
367 humidified atmosphere containing 5% CO<sub>2</sub>.

#### 368 4.3. Cell Viability Assay (MTT Assay)

369 Cells were planted in 96-well plates at appropriate densities to ensure exponential growth  
370 throughout the experimental period ( $2.0 \times 10^3$  cells per well), and then allowed to adhere for 6  
371 hours. Cells were then treated for 20 hours with four serial concentrations (0, 1 μM, 3 μM, 10  
372 μM) of each compound. Shikonin and norcantharidin were used as positive controls. After 20  
373 hours incubation, 20 μL MTT solution was added in each well to a final concentration of 4  
374 mg/mL. Plates were then incubated for further 4 hours, after incubation all the plates were



375 centrifuged (1500 rpm, 10 min) and then the entire medium was removed. 150  $\mu$ L of DMSO was  
376 added to each well for coloration. The plates were shaken vigorously to ensure complete  
377 solubilization for 10 min at room temperature. Optical density (OD) was read on a microplate  
378 reader ELx800 (BioTek, Highland Park, Winooski, VT, USA) at the wavelength of 570 nm, and  
379 subsequently the data was analyzed using Origin7.5.

#### 380 **4.4. Analysis for Apoptosis by Flow Cytometry.**

381 Apoptosis was detected using an Apoptosis Detection Kit (Invitrogen, Eugene, Oregon,  
382 USA). Briefly, cells were plated in 6 well plates ( $5.0 \times 10^4$  cells/well) and incubated at 37 °C for  
383 12 hours. Exponentially growing cells were then incubated with compounds **40** of different  
384 concentrations (0  $\mu$ M, 1  $\mu$ M, 3  $\mu$ M, 10  $\mu$ M). Following 24 hours treatment, cells were collected  
385 and washed twice with PBS and subsequently washed once with 1  $\times$  binding buffer and then  
386 stained with 5  $\mu$ L of Annexin V-FITC and 2.5  $\mu$ L of PI ( 5  $\mu$ g/mL ) in 1  $\times$  binding buffer for 30  
387 min at room temperature in the dark. Apoptotic cells were quantified using a FACScan  
388 cytofluorometer (PT. Madagasi Brosa Inc. Jl. Batanghari No.73, Propinsi Sumatera Utara,  
389 Indonesia). Statistical analysis was performed using WINMDI software version 2.8 (The Scripps  
390 Research Institute (TSRI), San Diego, CA, USA).

#### 391 **4.5. Analysis for cell cycle by Flow cytometry**

392 HepG2 cells were plated in 6-well plates ( $5.0 \times 10^3$  cells per well) and incubated at 37 °C for  
393 24 hours. Exponentially growing cells were then incubated with the compound **40** at different  
394 concentrations (0 mM, 1 mM, 3 mM, and 10 mM). After 12 hours, untreated cells (control) or  
395 cells treated with compound **40** were centrifuged at 1500 rpm at 4 °C for 10 min, and then fixed  
396 in 70% ethanol at 4 °C for at least 12 hours and subsequently resuspended in phosphate buffered  
397 saline (PBS) containing 0.1 mg/ mL RNase A and 5 $\mu$ g /mL propidium iodide (PI). The cellular  
398 DNA content, for cell cycle distribution analysis was measured by flow cytometry using a  
399 Becton\_Dickinson FACScan cytofluorometer, plotting at least 10,000 events per sample. The  
400 percentage of cells in the G0/G1, S and G2/M phases of the cell cycle were determined using the  
401 Verity Software BD Accuri C6 software.

#### 402 **4.6. Western blot analysis**

403 Cells were rinsed with PBS and lysed in cold RIPA buffer (10 Mm Tris-HCl, 1 mM EDTA, 1%  
404 SDS, 1 mM DTT, 0.1 mM PMSF, protease inhibitors, 1% Nonidet P-40, pH 8.0). Lysates were  
405 centrifuged at 12,000 g for 10 min at 4°C to remove cell debris and protein content was  
406 analyzed by a Micro BCA Protein Assay kit (Pierce). Aliquots of proteins (40~60  $\mu$ g) were  
407 separated on 10% sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE) and  
408 electro-transferred to polyvinylidenedifluoride (PVDF) membranes. Membranes were blocked  
409 with 5% nonfat dry milk or BSA in TBST (TBS plus 0.1% Tween 20) for 1 hour. Blots were then

410 probed with primary antibodies against PARP, caspase-3, caspase-8, caspase-9, P53, P21, CDC2 ,  
411 phosphor-CDC2(Tyr 15) and  $\alpha$ -tubulin, incubated at 4°C overnight, followed by HRP-conjugated  
412 secondary antibodies and protein expression was detected with an enhanced chemiluminescent  
413 reagent (Cell Signaling Technology). The PARP antibody was purchased from Oncogene  
414 Company, and other antibodies were purchased from Cell Signalling Technology Company. The  
415 autoradiographic intensity of each band was scanned.

416

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506 **Figure and table captions:**

507 **Scheme. 1** Synthesis of Phenylalanine Derivatives.

508 **Scheme. 2** Synthesis of Alanine Derivatives.

509 **Scheme. 3** Synthesis of Phenylalanine Shikonin Ester.

510 **Scheme. 4** Synthesis of Alanine Shikonin Ester.

511 **Table. 1** In Vitro Anticancer Activity of Shikonin Derivatives Against Five Cancer Cell Lines.

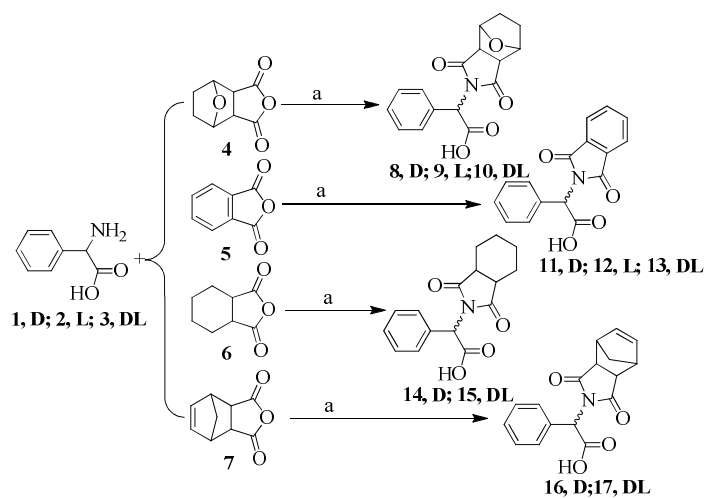
512 **Fig.1A+B** Cellular apoptosis study of compound **40** tested on HeLa cells in dose dependent  
513 manner (0  $\mu$ M, 1  $\mu$ M, 3  $\mu$ M, 10  $\mu$ M ). The percentage of early apoptotic cells in the lower right  
514 quadrant (annexin V-FITC-positive / PI-negative cells), as well as late apoptotic cells located in  
515 the upper right quadrant (annexin V-FITC-positive / PI-positive cells).

516 **Fig.2A+B** Cellular apoptosis study of compound **40** tested on HeLa cells in time dependent  
517 manner compared (0h, 8h, 16h and 24h) with the mock group.

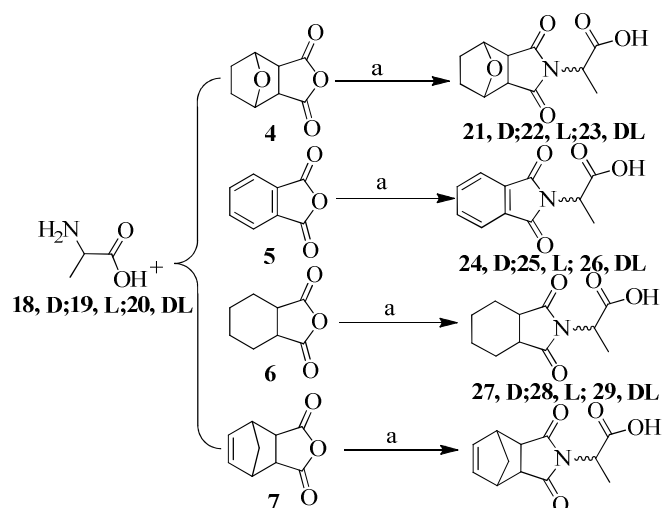
518 **Fig.3** Effect of compound **40** on the cell cycle distribution of HeLa cells in dose  
519 dependent manner (0  $\mu$ M, 1  $\mu$ M, 3  $\mu$ M, 10  $\mu$ M ).

520 **Fig. 4** Immunodetection of apoptosis related proteins of HeLa cells treated with  
521 different concentrations (0  $\mu$ M, 1  $\mu$ M, 3  $\mu$ M, 10  $\mu$ M ) of compound **40**.

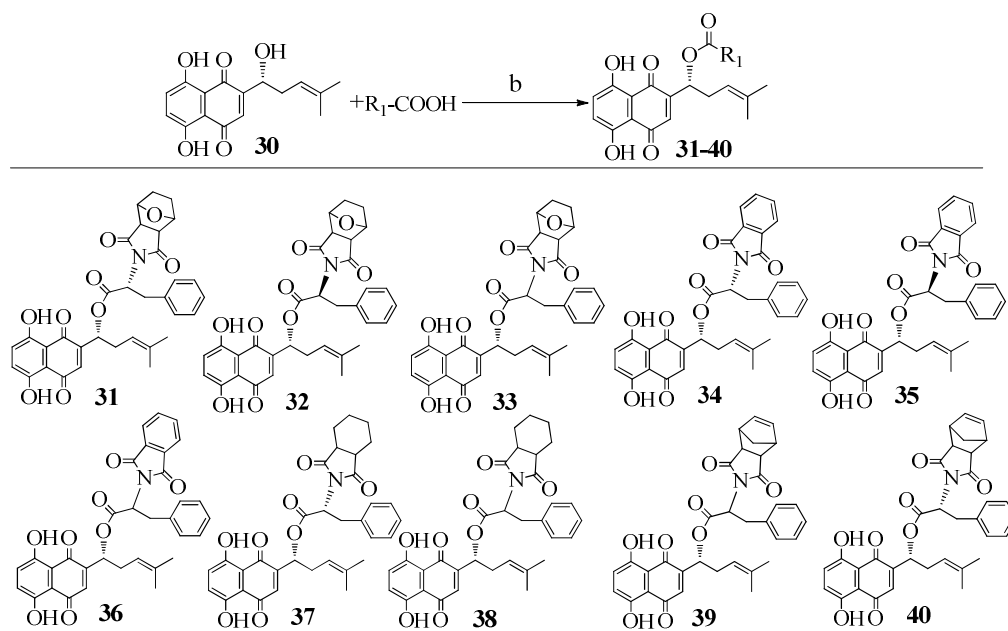
522 **Fig.5** Compound **40** induced caspase-9 involved apoptosis and G2/M phase cell cycle arrest via  
523 P21, p – CDC2 (Tyr15) pathway independent of P53.

**Scheme 1.** Synthesis of Phenylalanine Derivatives

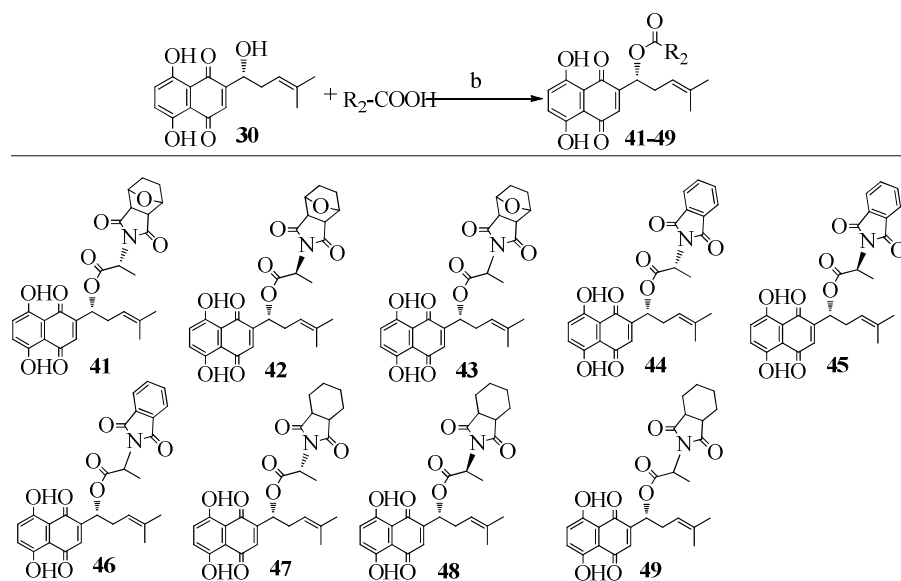
<sup>a</sup>Reagents and conditions: acetic acid, reflux, 12h.

**Scheme 2.** Synthesis of Alanine Derivatives

<sup>a</sup>Reagents and conditions: acetic acid, reflux, 12h.

**Scheme 3.** Synthesis of PhenylalanineShikonin Ester

<sup>b</sup>Reagents and conditions: DCC, DMAP,  $\text{CH}_2\text{Cl}_2$  as solvent, ice-bath, overnight.

**Scheme 4.** Synthesis of Alanine Shikonin Ester

<sup>b</sup>Reagents and conditions: DCC, DMAP,  $\text{CH}_2\text{Cl}_2$  as solvent, ice-bath, overnight

**Table 1.** In Vitro Anticancer Activity of Shikonin Derivatives against Five Cancer

Cell Lines.

**Table 1. In Vitro Anticancer Activity of Shikonin Derivatives Against Five Cancer Cell Lines.**

entry	compd	IC <sub>50</sub> ±SD <sup>a</sup> (μM)					
		HeLa	HepG2	MCF-7	BGC	A549	L02
1	<b>31</b>	4.88±0.87	2.33±0.43	6.06±1.49	20.53±3.76	>100	>100
2	<b>32</b>	4.51±0.66	2.48±0.38	4.58±0.34	>100	>100	>100
3	<b>33</b>	5.68±1.34	2.98±0.61	7.59±1.15	52.70±4.12	>100	>100
4	<b>34</b>	3.21±0.45	2.96±0.88	6.12±0.84	>100	>100	>100
5	<b>35</b>	2.92±0.67	1.69±0.25	3.05±0.21	>100	>100	>100
6	<b>36</b>	2.92±0.34	5.18±0.87	3.51±0.33	36.12±3.89	>100	>100
7	<b>37</b>	9.99±1.85	2.77±1.05	5.88±0.65	>100	>100	>100
8	<b>38</b>	3.75±0.44	3.65±1.30	8.47±0.53	7.63±1.63	>100	>100
9	<b>39</b>	5.91±0.98	2.21±0.32	3.43±0.21	>100	>100	>100
10	<b>40</b>	1.26±0.25	1.92±0.19	3.55±0.34	11.56±2.81	>100	>100
11	<b>41</b>	5.54±1.24	2.33±0.54	5.69±0.88	>100	>100	>100
12	<b>42</b>	7.38±2.08	2.67±0.29	9.06±1.54	>100	>100	>100
13	<b>43</b>	3.28±0.71	2.15±0.14	3.82±0.58	>100	>100	>100
14	<b>44</b>	5.54±1.90	5.14±0.91	4.24±0.82	92.85±6.73	>100	>100
15	<b>45</b>	1.93±1.36	5.35±0.28	2.55±0.35	13.34±1.78	>100	>100
16	<b>46</b>	2.96±0.98	5.11±0.47	4.30±0.51	14.67±2.05	>100	>100
17	<b>47</b>	8.53±0.67	2.10±0.13	4.19±0.32	14.94±2.41	>100	>100
18	<b>48</b>	18.50±2.71	3.16±0.45	6.27±0.69	57.54±4.67	>100	>100
19	<b>49</b>	11.39±2.28	3.57±0.19	16.08±2.09	61.25±3.65	>100	>100
20	shikonin	3.11±0.82	0.92±0.12	1.03±0.21	2.20±0.19	2.51±0.35	65.34±3.18

<sup>a</sup>SD: standard deviation. All experiments were independently performed at least three times.

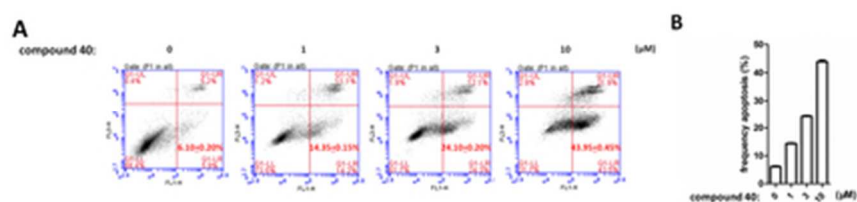


Fig.1A+B Cellular apoptosis study of compound 40 tested on HeLa cells in dose dependent manner (0  $\mu\text{M}$ , 1  $\mu\text{M}$ , 3  $\mu\text{M}$ , 10  $\mu\text{M}$ ). The percentage of early apoptotic cells in the lower right quadrant (annexin V-FITC-positive / PI-negative cells), as well as late apoptotic cells located in the upper right quadrant (annexin V-FITC-positive / PI-positive cells).  
37x10mm (300 x 300 DPI)

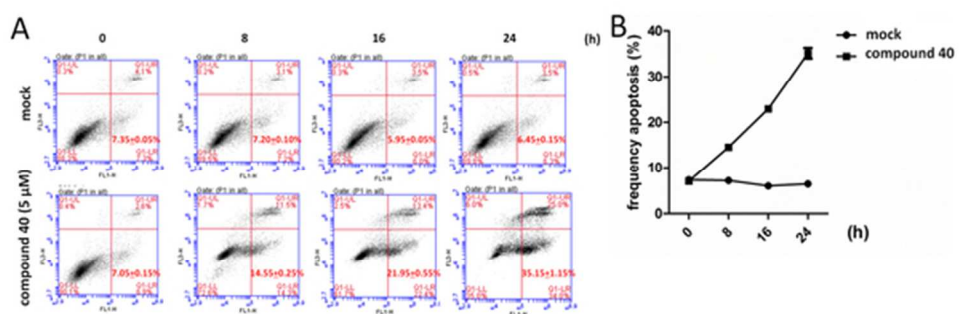


Fig.2A+B Cellular apoptosis study of compound 40 tested on HeLa cells in time dependent manner compared(0h,8h,16h and 24h) with the mock group.  
53x19mm (300 x 300 DPI)



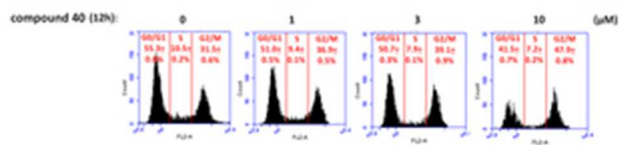
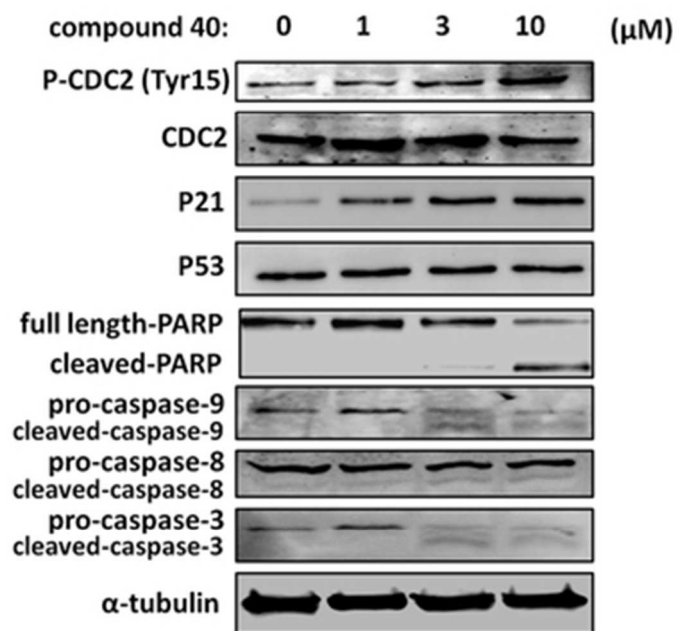
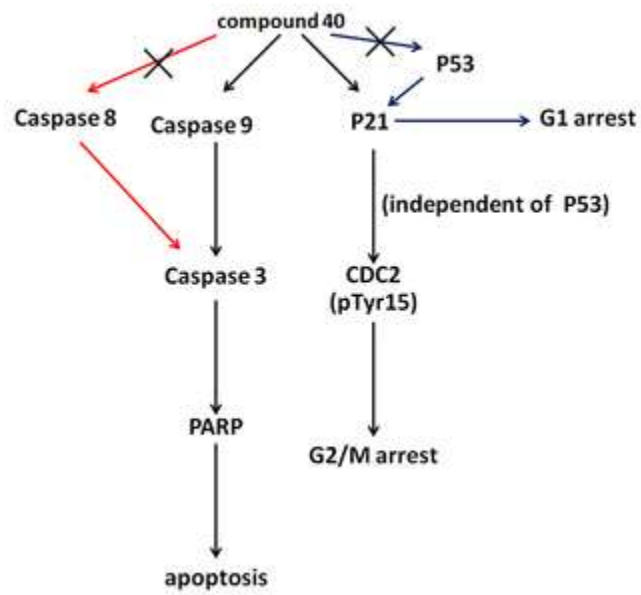


Fig.3 Effect of comound 40 on the cell cycle distribution of HeLa cells in dose dependent manner (0  $\mu$ M,1  $\mu$ M,3  $\mu$ M,10  $\mu$ M ).

27x6mm (300 x 300 DPI)



30x29mm (300 x 300 DPI)



29x27mm (300 x 300 DPI)