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# Light wavelength-dependent *E. coli* survival changes after simulated solar disinfection of secondary effluent

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16

#### 17 Abstract

18 In this study, the photoreactivation and the modification of dark repair of E. coli in a simulated 19 secondary effluent were investigated after initial irradiation in different conditions. The simulated 20 solar exposure of the secondary wastewater was followed by exposure to six different low-intensity 21 fluorescent lamps (blacklight blue, actinic blacklight, blue, green, yellow and indoor light) up to 8 h. 22 When phoreactivation was monitored, blue and green color fluorescent light led to an increased 23 bacterial regrowth. Blacklight lamps further inactivated the remaining bacteria, while yellow and 24 indoor light led to an accelerated growth of healthy cells. Exposure to fluorescent lamps was followed 25 by long term dark storage, to monitor the bacterial repair in the dark. The response was correlated 26 with the pre-exposure dose of applied solar irradiation and at a lesser extent with the fluorescent light 27 dose. Bacteria which have undergone extensive exposure had no response neither under fluorescent 28 light nor during dark storage. Finally, the statistical treatment of the data allowed to suggest a linear 29 model, non-selective in terms of the fluorescent light applied. The estimation of the final bacterial 30 population was well predicted (R-sq~75%) and the photoreactivation risk was found more important 31 cultivable cells.

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33 Keywords: solar disinfection, photoreactivation, dark repair, fluorescent color light, E. coli

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# 1. Introduction

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36 During the last decades, chlorination has been gradually replaced with ozone or ultraviolet light for 37 wastewater disinfection (Drinan and Spellman, 2012). The use of UVC-based Advanced Oxidation 38 Processes for decontamination (Giannakis et al., 2015) and disinfection (Rodriguez-Chueca et al., 39 2015) of secondary wastewater is gaining more interest, supported by results which demonstrate their 40 efficiency. However, the main disadvantage of UV-C light applications is the lack of residual action 41 after the completion of the disinfection treatment, compared to the action of residual chlorine in 42 treated (White, 2010; Rodriguez-Chueca et al., 2015, and more), harboring the danger of bacterial 43 regrowth.

44 The repair of the UV-induced DNA damage, namely cis-syncyclobutane pyrimidine dimers (CPDs) 45 (Hallmich and Gehr, 2010) that leads to reactivation of the microorganisms is demonstrated by 46 various methods that include photoreactivation (light-mediated repair) and dark repair (DR) 47 mechanisms (e.g. nucleotide and base excision repair). Nucleotide excision repair, is a process taking 48 place in absence of light, while photo-reactivation (PHR) starts with the post-irradiation exposure to 49 light. The two bacterial mechanisms developed over time mostly share the final outcome practically, 50 being the re-contamination of the sample. Photoreactivation is the enzymatic process, attributed to 51 photolyase, which utilizes a relatively broad spectrum of light in order to recover the bacterial activity 52 and repair the thymine dimers induced in the DNA strands (Hijnen et al. 2006; Nebot Sanz et al. 53 2007; Shang et al, 2009). The dark repair process is a multi-enzyme mechanism that excises and 54 repairs the damaged DNA segments (Shang et al. 2009).

55 Solar light is composed out of UVB, UVA, visible and infrared (IR) wavelengths. The different 56 wavelengths withhold a disinfecting capability; in summary, UVB is known to directly cause 57 photoproducts, (Hallmilch and Gehr, 2010) such as cyclobutane pyrimidine dimers, pyrimidine (6-4) 58 pyrimidine dimers, photoproducts of purine bases, and more (Pattison and Davies, 2006) and 59 indirectly induce reactive oxygen species (ROS) (Matalana-Surget et al., 2012) that attack nucleic 60 acid, proteins and cell lipids (Storz and Imlay, 1999), UVA and near-UV visible denaturize cell's 61 proteins (Robertson et al., 2005) or cause ATP disruption (Bosshard et al., 2010) etc., while IR heats 62 water, causing a synergy with UV (McGuigan et al., 1998) or directly degrades cell components 63 (Neuman et al., 1999). In summary, bacterial damage is attributed to both dimerization and both 64 internal and external ROS action. Solar disinfection of drinking water (McGuigan et al., 2012) offered 65 a very practical and relatively successful method of water treatment for developing countries, unable 66 to afford UVC treatment methods.

67 However, there are some considerations since UVB can attack bacterial DNA causing dimerization 68 (Fernandez Zenoff et al., 2006), the specific damage on the DNA strands can be repaired, employing 69 either of the two repair modes. The most feasible solar wastewater application (Davies-Colley et al., 70 1999), the stabilization ponds, receives the influent, subjects it to sunlight, thus causing disinfection. 71 When bacteria get inactivated, according to the time of the day, they are either present in prolonged 72 milder solar exposure mode or in dark conditions. Also, the difference in latitude and azimuth angles 73 can also lead to skewing of light; each situation could induce a different regrowth response. 74 Especially towards the end of the exposure periods (and no longer effectively inactivating bacteria), 75 which are considered to overpass the photoreactivating dose (Bohrerova and Linden, 2007), these 76 conditions could pose a critical timeframe for bacterial population recovery.

77 There is a noticeable gap in the literature on the regrowth potentials of solar treated bacteria, 78 especially the ones present in wastewater. The majority of the works studying PHR and/or DR focus 79 on the post-irradiation events of UVC treatment, assessing issues of quantification (Kashimada et al., 80 1996), standardization (Bohrerova and Linden, 2007), modeling (Nebot Sanz et al., 2007; Velez-81 Colmenares et al., 2012), pre-UV treatment conditions (Lindenauer and Darby, 1994), UV treatment 82 conditions (Quek and Hu, 2008) and post-irradiation handling (Yoon et al., 2007). Only a few works 83 focus on the study of bacterial dark repair after photolytic disinfection of wastewater (Rincon and 84 Pulgarin, 2003; 2004a; Giannakis et al., 2014b). Also, PHR in general is known to demonstrate faster 85 and in higher extent than DR and there are no works about PHR after solar disinfection of wastewater. 86 However, there are indications, in UVC experiments, indicating that visible light can reactivate 87 bacteria (Bohrerova and Linden, 2007) and more specifically, photolyase is activated by blue/near UV 88 wavelength (Thompson and Sancar, 2002).

89 This work focuses on the photolytic disinfection of secondary wastewater and the bacterial regrowth 90 risks after its completion either by photoreactivation or dark repair. A series of tests has been 91 conceived in order to assess the PHR and DR risks, after simulating solar exposure of E. coli-spiked 92 synthetic secondary effluent; the composition of the wastewater is simulating the real secondary 93 effluent that has undergone primary and biological (secondary) treatment. Photoreactivation was 94 intensely studied, aiming to attribute the bacterial recovery in specific wavelength bands, by the use of 95 six different fluorescent colored lamps, and relate the applied energy, by varying its wavelength, with 96 the final bacterial population. The effect of specific wavelengths on bacterial post-treatment kinetics 97 is addressed. Finally, the ability to alter the normal DR potential by the pre-illumination tests and is 98 also under study, in search of a correlation between enhanced or reduced dark repair at certain 99 wavelengths.

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# 102 2. Materials and Methods

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104 2.1. Synthetic secondary effluent preparation

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106 The preparation of the synthetic wastewater was made by dissolving 160 mg/L peptone, 110 mg/L 107 meat extract, 30 mg/L urea, 28 mg/L K<sub>2</sub>HPO<sub>4</sub>, 7 mg/L NaCl, 4 mg/L CaCl<sub>2</sub>·2H<sub>2</sub>O and 2 mg/L 108 MgSO<sub>4</sub>·7H<sub>2</sub>O in distilled water, as shown in table 1 and instructed by OECD (1999). The COD of the 109 solution was around 250 mg/L. In order to better approximate the values of secondary effluent, a 10% 110 dilution was used. 1 mL of concentrated (10<sup>9</sup>) bacterial solution per liter was added in the solution, to 111 reach an initial population of 10<sup>6</sup> CFU/mL. The transmittance levels approach the one of secondary 112 effluent.

113 Although the E. coli as a fecal indicator bacterium has been questioned (Bernev et al. 2006; Sciacca et 114 al. 2010 and more), there are strong facts supporting its use in such studies (Odonkor and Ampofo, 115 2013). More specifically, in this work the E. coli K-12 strain was used; K-12 approximates well the Gram-negative wild type (Spuhler et al., 2010). The bacterial E. coli K-12 strain (MG 1655) was 116 117 acquired from "Deutsche Sammlung von Mikroorganismen und Zellkulturen". Preparation of the bacterial cultures, the growth and inoculation, as well as the spiking of the synthetic effluent was 118 119 performed as described analytically in our previous works (Giannakis et al., 2014a, b). The initial 120 bacterial concentration in all experiments was 10<sup>6</sup> CFU/mL.

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122 2.2. Reagents and Reactors

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124 Chemicals were acquired from the following suppliers: Peptone from  $I^2$ CNS, Switzerland, meat 125 extract, NaCl, CaCl<sub>2</sub>·2H<sub>2</sub>O, MgSO<sub>4</sub>·7H<sub>2</sub>O from Fluka, France, urea from ABCR GmbH, Germany 126 and K<sub>2</sub>HPO<sub>4</sub> from Sigma-Aldrich, Germany.

127 The reactors used for the two experimental parts, solar irradiation and post-irradiation events, were 128 from UV-transparent Pyrex glass, 65-mL batch reactors. 50 mL of wastewater were first illuminated 129 under simulated solar irradiation, followed by exposure to monochromatic or polychromatic lamps for 130 2-8 h and finally were kept for 48h in the dark; more details are given in the next sections. All 131 experimental parts took place under mild stirring with a magnetic bar (250 rpm).

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133 2.3. Sampling and bacterial enumeration

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Samples were drawn as follows: semi-hourly sampling took place for the solar exposure part, and at 2, 4 and 8 h for the exposure under fluorescent light part, respectively. In order to assess the dark events, daily sampling was performed to determine the viable counts. Every sample was approximately 1 mL, drawn in sterile Eppendorf sealable caps. Spread plating technique on non-selective plate count agar (PCA) was applied for the cultivation of the bacteria, in 9-cm sterile plastic Petri dishes. All experiments were performed in duplicates, while plating three consequent dilutions.

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142 2.4. Solar simulator and fluorescent lamps

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144 The light source was a bench-scale Suntest CPS solar simulator from Hanau, employing a 1500 W air-145 cooled Xenon lamp (model: NXe 1500B). 0.5% of the emitted photons are emitted within a range 146 shorter than 320 nm (UVB) and 5-7% in the UVA area (320-400 nm). After 400 nm, the emission 147 spectrum follows the visible light spectrum. The solar simulator also contains an uncoated quartz 148 glass light tube and cut-off filters for UVC and IR wavelengths. The intensity levels employed were 149 monitored by a pyranometer and UV radiometer (Kipp & Zonen, Netherlands, Models: CM6b and 150 CUV3). Measurements took place at the beginning of each experiment to ensure the desired emission 151 levels, and lamps are changed every 1500 h, in all different Suntest apparatus used in the research 152 period.

The monochromatic lamps (18 W blacklight blue, actinic blacklight, blue, green and yellow) were acquired from Philips, while the visible light lamps were purchased from Osram. Their specifications are given in Table 2. Figure 1 presents the chromaticity diagram, explaining the color designation found on the X and Y coordinates of the lamps in Table 2, as well as the emission spectra of the fluorescent lamps. An apparatus bearing 5 lamps of 18 W nominal electrical value was used, and samples were placed 15 cm away from the light source. Eventually, less than 80 W/m<sup>2</sup> of global irradiation was reaching the body of the sample.

Finally, temperature was monitored and never exceeded 40°C during simulated solar tests andremained at room temperature for the fluorescent lamp tests.

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#### 163 2.5. Experimental Planning

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165 The experimental sequence took place as follows. Phase 1: solar disinfection, Phase 2: exposure to 166 light from the fluorescent lamps and Phase 3: dark storage. The simulated solar disinfection part 167 (Phase 1) consisted of 0-4 h of illumination, whose progress was monitored by semi-hourly 168 measurements of the bacterial population. Each sample was exposed to 4 different conditions, namely 169 2, 4, or 8 h of exposure under fluorescent light (followed by dark storage), or directly dark storage as 170 a blank experiment (Phase 2). During this period, samples were plated at 2, 4 and 8 h to monitor the 171 bacterial population during the process. Finally, in order to assess the dark repair events taking place 172 in the bacteria, the samples were kept in the dark for 48 h after the completion of the irradiation 173 periods. More specifically, every 30 min, a solar irradiated or a sample exposed in fluorescent light 174 was drawn and kept in the dark, and the corresponding population was measured every 24 h for 48 h. 175 A schematic representation is given in the Supplementary Material (Figure S1). There were two sets 176 of experiments under the same conditions, for comparison and verification of the findings. Control 177 experiments included non-irradiated samples (no Phase 1) and irradiated samples that were not 178 exposed under fluorescent light (no Phase 2).

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# 180 3. Results and discussion

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### 182 3.1. Solar disinfection experiments followed by exposure under fluorescent light

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184 3.1.1. Blacklight blue and actinic blacklight effects

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186 Figure 2 presents the results of the post-illumination exposure of the bacterial samples to blacklight 187 (BL) blue and actinic blacklight wavelengths. The Figures 2-i to 2-iv show the bacterial kinetics, after 188 exposure to solar light, ranging from 0 h to 3 h, respectively. Sampling was made semi-hourly; for 189 reasons of clarity and simplification, no inbetween samples are presented; the events are presented in 190 4 distinct phases of solar treatment, such as untreated (0 h), mildly treated (1 & 2 h) and heavily 191 damaged (3 h of exposure. In the case of 4-h exposure to solar light, total disinfection was reached 192 (the bacterial count was below the detection limit or undetectable by the spread plate technique), 193 stable through all the subsequent treatment and efforts to photo-reactivate bacteria. Hence, these 194 results are not shown. Between BL blue and actinic BL, the difference between the two lamps lies in 195 the wavelength distribution: in the actinic BL lamp, there is an extra narrow wavelength emitted at

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405 nm, not present in the BL blue one, which falls closer to the side of UV that causes ROSproduction and therefore, additional peripheral damage to the cell (Pigeot-Remy et al., 2012).

198 Figure 2-i presents the effect 2, 4 or 8 h of exposure to BL blue and actinic BL have on bacterial 199 survival, on previously untreated sample. The samples untreated and not submitted to PHR light (dark 200 control) show a slight growth (in logarithmic terms), nearly doubling its population in 8 hours. Free of 201 solar-light damage and kept in the dark, unharmed and in a favorable medium, the bacteria grow, as it 202 is observed. Two hours of exposure in the BL lamps do not modify greatly the bacterial population 203 and have a rather mild inactivating effect 24 and 48 h after the treatment, in dark storage. This effect 204 is enhanced by 4-h exposure time; there is a slight inactivation (in logarithmic terms) and a significant 205 90% decrease of the bacterial numbers in long times. However, 8 h of exposure under the same lights 206 directly decreases bacterial viability. The employed wavelengths fall into the UV region, damaging 207 the cell constituents, with the low intensity being the limiting step; 2 or 4 hours of illumination are not 208 enough to impact directly the population. The cells are damaged by the energy accumulated in 8 209 hours.

210 Pre-illumination of the samples before their exposure to BL blue and actinic BL light, greatly 211 modifies the survival kinetics. There are two aspects that are modified, compared to the untreated 212 samples: one being the greater susceptibility to direct damage and the second, the inability to sustain 213 viable counts for longer times. Figure 2-ii to 2-iv show that increasing pre-treatment time of solar 214 illumination renders the same BL blue and actinic BL doses more effective. From the nearly 215 negligible effect in untreated samples of Figure 2-i, to the lethal doses of 4 and 8 h (for actinic and 216 blue, respectively) in Figure 2-iv. In all cases, the effect of BL blue light was lower compared to 217 actinic BL light. As far as the disinfection kinetics is concerned, samples that remained more time 218 under the solar light, presented a different response under subsequent light irradiation. In Figure 2-i, 219 the disinfection kinetics were similar until the beginning of the dark storage, while in 2-iv the 220 respective kinetic curves were significantly different. However, Oguma et al. (2002) reported that 221 UVA reactivate cells due to a process called non-concomitant reactivation (Jagger, 1981). This is in 222 variance to our findings (for the applied intensity), suggesting a broader effect on bacteria, and not 223 limited to cyclobutane pyrimidine dimers (CPD) formation, but appointing the contribution of ROS-224 induced damage as significant.

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226 3.1.2. Blue and green light effects

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The second experimental part involves subjecting the bacteria in the pre-illuminated samples to exposure under blue or green light. Figure 3 demonstrates the inflicted changes these wavelengths have on bacterial viability. More specifically, in Figure 3-i, the untreated sample is subjected, to
illumination by the monochromatic light (for 2, 4 and 8 h). In both cases the light effect is not
detrimental to the bacterial survival, and only slightly reduces the cell counts of the samples under the
blue light.

Similarly, lightly treated samples (1 h of pre-exposure to solar light) do not alter their survival kinetics
in great extents, as seen in Figure 3-ii. In this case, the solar pre-treatment for 1 h modified the
kinetics of the blank experiments, and shifted their behavior from growth to survival. However, 2, 4
or 8 h of exposure to blue or green light do not influence greatly bacterial viability in the short term.
On the contrary, 4 h of blue or green light result in higher cell counts compared to the sample not
subjected to the monochromatic light and the beneficial photoreactivating effect was observed.

Two hours of solar pre-illuminated samples were then exposed to monochromatic blue or green light.
Blue light in low doses maintains survival but results in noticeable reduction in high doses, whereas
green light is detrimental to these samples, stabilizing its effect in high doses. After 4 h, no significant
change is observed in the bacterial counts.

Figure 3-iii presents once more the negligible effect of 2-h exposure under monochromatic blue or green light, but 4 h differ significantly. Although blue light does not affect the bacterial viability, green light seems to reduce the counts by 3 logarithmic units (log<sub>10</sub>U). In long term, the effects are reversed. Further irradiation does not inflict more damage due to the green light, but slightly enhances inactivation for the blue light.

Finally, severely damaged cells from solar light demonstrate (figure 3-iv) the most definite alterations in their kinetics among the two colored lamps. Blue light is identified as less inactivating than the green one, and even causes increase of the population in low doses (2 h of exposure). This is in agreement with the photolyase activation spectrum which would repair dimers, but increasing the dose of fluorescent lamp light has little effect on the bacteria exposed in blue light. On the contrary, green light after 8 h results in total inactivation of more than  $2 \log_{10} U$  of bacteria that remained after 3 hours of solar pretreatment.

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257 3.1.3. Yellow and visible light lamps' effects

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The last experimental part involves the exposure of the solar pre-illuminated bacterial samples under lamps emitting yellow light and visible light (indoor light) lamps. Since the two experiments took place in different batches, both control experiments will be presented for reference. Figure 4 demonstrates the main results of the investigation. In Figure 4-i, the effects low intensity yellow and visible light has on non-illuminated bacteria are shown. First of all, there is growth in the dark,

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similarly to the other two experimental parts. The application of yellow light has no immediate effect; the kinetic curves of 2, 4 or 8-h exposure are very similar, as well as very close to the original, nonirradiated samples. Healthy cells are not affected by the wavelength emitted by the monochromatic lamps, regardless of dose. The kinetics of the bacteria under visible light are close to identical with those under the yellow light ones, being the closest approximation to each other's wavelengths.

269 Pre-illuminating the samples for 1 h has almost no effect (Figure 4-ii), when followed by exposure in 270 low vellow light doses. On the other hand, visible light in low doses seems to favor bacterial recovery, 271 causing (slight) increase of the population after 2-h exposure. These results are different in Figure 4-272 iii, which demonstrates the kinetics after 2 h of solar illumination and exposure to yellow and visible 273 light. The main difference is observed in the bacterial response in high yellow and visible light doses, 274 by prolonging their stay in these conditions; extended illumination time has greater impact on 275 previously more stressed bacterial cells (8-h kinetic curves) and the probability of photoreactivation is 276 reducing significantly. Finally, the response of bacteria that are determined to decay in the dark after 277 some time (figure 4-iv, 3-h treatment), yellow light or visible spectrum irradiation will not change the 278 outcome.

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#### 280 3.2. Photoreactivation and the subsequent bacterial survival

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282 3.2.1. Post-irradiation dark repair assessment – control experiments

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Figure 5 presents the disinfection kinetics, when wastewater samples are exposed to 1000 W/m<sup>2</sup> (global) irradiation intensity. After an initial shoulder (Sinton et al., 1999; Berney et al., 2006; Giannakis et al., 2013) which presents mild fluctuations due to promoted growth in the supporting matrix, the population is decreasing log-linearly, with 99.99% inactivation reached in 3.5 h and total inactivation in 4 h.

289 Each regrowth/survival curve does not represent the same post-irradiation behavior. The untreated 290 samples present growth directly, the 30 to 90-min irradiated samples fall between growth and 291 preservation in numbers, and after that point, the kinetics describe a decay. The growth of the 292 untreated sample is normally expected, but the short treated samples (30 min) present an increase, 293 which is supported by the dark repair mechanisms that are enzymatically correcting the DNA lesions 294 (Sinha and Hader, 2002), or the respiratory chain ROS scavengers, such as catalase (Bosshard et al., 295 2010), that suppress the potential indirect damage. As the receiving dose is increasing, the capability 296 of the cells to heal their photo-induced damage is reduced after 30-120 min of treatment. After 120 297 min, the cells accumulate photoproducts and cell death (PCD) follows (Rincon and Pulgarin, 2004b).

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299 3.2.2. Modification of dark repair kinetics: Effect of pre-illumination by fluorescent light

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In Figure 6, the alteration of post-irradiation bacterial kinetics in the dark is presented, according to the degree of pre-treatment with solar light and the lamp that was used in the following period. Figures 6-i) to vi) present the effects of 0, 1, 2 or 3 h illumination prior to exposure to the different light from the fluorescent lamps. Here, the modification of the normal dark repair kinetics by low intensity light is assessed, compared to the dark control.

Firstly, the exposure to low doses of BL blue or actinic BL was found to marginally reduce the 306 307 bacterial cells, until the application of an 8-h equivalent light dose, which inflicts a  $3 \log_{10} U$  reduction 308 of the population. However, after 24 h hours from stopping the illumination, the remaining population 309 is nearly equal, for 2-h and 4-h. The only difference is presented in long term, where the 8-h irradiated 310 samples under BL blue remain partly viable, while actinic BL leads to inactivation. This difference is 311 attributed to the emission of the extra wavelength band (405 nm) in the actinic BL lamp. The wavelengths closer to the UVB region mostly cause DNA damage, and nucleotide excision repair 312 313 would be responsible for its recovery (Lo et al., 2005; Pattison and Davies, 2006). In the present case, 314 the effects are cumulative and according to the degree of pretreatment, a corresponding difficulty to 315 repair the damage was observed. Finally, as far the long term dark storage is concerned, the untreated 316 samples presented growth. This ability is disrupted after 1-2 h of solar exposure and diminished after 317 3 h. The application of the blacklight lamps after the solar light exposure, never favored regrowth 318 (photoreactivation) or survival of the microorganisms, but on the contrary enhanced the continuing 319 inactivating profile inflicted by solar light. This behavior was also enhanced as the blacklight 320 exposure times were increased; high doses induce a higher decrease during dark storage times than 321 lower doses. Actinic BL inflicted more acute inactivation than the respective BL blue light doses. It 322 has been reported that UV/near visible region light exposure can induce the formation of Dewar's 323 isomers on the (6-4) PP dimers of DNA (Sinha and Hader, 2002; Pattison and Davies, 2006). It is then 324 suggested that the further damage inflicted is due to this formation. The aforementioned facts lead to 325 the conclusion that the extent of damages by solar illumination modifies, or predetermines a more 326 vulnerable and non-recurring profile of kinetics, when followed by these light wavelengths.

Concerning the infliction of blue and green light in all the used doses, a similar effect in bacterial kinetics of untreated cells is observed. The initial population is very close to the initial samples. The untreated bacteria are able to continue reproducing in the dark and increase their numbers over 48 h. In contrast, even 2 h of exposure under blue or green light is enough to disrupt the normal reproductive rates, and lead to slightly decreased population after 48 h. Increasing the exposure times has almost no effect. Although samples that have been illuminated for 1 h under solar light at 1000 333  $W/m^2$  can recover their damage, here all samples that have been exposed to the blue and green lamps 334 are no longer able to express regrowth. In long term, the control sample results in higher population 335 than the other photo-treatment pathways. When 2 hours of treatment were followed by blue or green 336 light, there is noticeable regrowth in the samples that were exposed to green light, indicating the non-337 detrimental effect of the photoreactivating light. However, the final population has reached its 338 minimum and after 48 h the bacterial counts are similar, for the same dose of PHR light. This fact 339 suggests that the exposure to these wavelengths has not diminished completely their replicating 340 ability. Finally, compared with the bacterial samples that did not go through blue light exposure, the 341 resulting numbers for bacteria pre-illuminated for 3 h were higher in all cases, and very close to the 342 population before blue light. It seems that the healthy cells benefited more than damaged ones from 343 this wavelength. On the contrary, only mild (2-h) exposure to green light seems to have a beneficial 344 long term effect; all other doses inflict total inactivation in 24 h (4-h green light dose) or directly (8-h 345 green light dose). In these wavelengths (among 400-450 nm) Fpg-sensitive modifications occur, 346 which can possibly continue the damages on the genome (Kielbassa et al., 1997). That could could 347 possibly explain the dual effect of photo-reactivation in healthy cells or deterioration of the damage, 348 when the repair mechanisms are no longer present. In the case of total inactivation due to green light, 349 there is no regrowth observed in the dark, similarly to the case of the efforts to photo-reactivate totally 350 inactivated bacteria, after 4 h of solar illumination.

351 The last two sub-graphs summarize the results of long term storage of previously illuminated samples 352 by solar light, followed by yellow or visible light. In untreated samples, the dark control samples 353 demonstrate the normal growth kinetics, as well as the samples that went through exposure to the 354 PHR light. Growth was suppressed, compared to the dark control, but in 48 h hours the final 355 population is similar. Visible light has more or less the same effect but a) the recovery in 2 days is 356 higher than the one demonstrated in yellow lamps and b) closer to the untreated samples, when 357 exposure was prolonged. After application of 1 h solar light followed by PHR vellow or visible light. 358 only small doses of visible light are able to increase the bacterial counts. Another difference in high 359 doses is the relative evolution through the 48 h; when the sample was exposed for 8 h under yellow 360 light, a temporary decrease was observed, followed by recovery of the numbers in long term. The 361 kinetics are shifted only after the dark storage of 2-h damaged samples. All kinetics are declining in 362 long term. In short term, visible light doses leave bacteria slightly stressed, but the tendency after 48 h 363 in the dark reveals a minor decrease in the total number of cultivable cells. Compared to the untreated 364 cells (only 1-h of solar illumination), the tendency of dark repair is changed. Finally, heavily damaged 365 bacteria are unable to perform dark repair after their exposure to any dose of yellow or visible light. 366 The reasoning is probably hidden in the wavelengths that can produce singlet oxygen; it has been 367 reported that its production can be initiated with wavelengths as high as 700 nm (Rastogi et al., 2010). 368 The impact of these wavelengths is demonstrated in long term survival in the dark. In fact, under high

- doses of visible light exposure, even low intensity ones, after 48 h of storage there are no longer
- 370 cultivable bacteria. In both cases the kinetic curves all fall below the dark control experiments.

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# 3.3. Quantitative and qualitative assessment of photoreactivation aftersolar disinfection

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375 3.3.1. Fluorescent light exposure and modeling of the bacterial response.

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In order to assess the amount of PHR induced and relationship between the doses, the different phases of the bacterial dark storage are divided into  $C_0$ ,  $C_{24}$  and  $C_{48}$ , being the population after solar exposure and fluorescent lamps light, plus 24 and 48 h of dark storage, respectively. For this analysis, all the data were used, including the semi-hourly measurements not presented before. The total of 216 tests were evaluated to point out the statistical significance of the findings.

382 The first step was the Pearson test, which reveals the correlation between the parameters under 383 investigation: i) exposure to solar light, ii) exposure to PHR light (dose), iii) logC<sub>0</sub>, iv) logC<sub>24</sub> and v) logC48. The results are summarized in Table 3. The independent variables (exposure to solar or PHR 384 385 light) have no correlation with each other, while solar exposure significantly affects the outcome in 386 short  $(\log C_0)$  or long term, having absolute values higher than 0.8. The negative sign indicates the 387 negative influence of solar light against bacterial survival. Furthermore, the PHR dose is shown as 388 negative but with insignificant correlation. This result is influenced both by the majority of the cases 389 which present further reduction of the bacterial numbers by the PHR light. Exposure to PHR light 390 modifies the relationship between PHR dose and bacterial survival as "mild negative correlation". 391 However, the remaining bacterial populations at the end of each stage (solar and PHR exposure, 1-day 392 dark storage), with the Pearson values being greater than 0.8, plus indicating the positive influence of 393 the remaining bacteria in their survival, from one day to another.

The outcome of the whole sequence can be expressed by a linear model, taking as independent variables the solar and PHR light doses and the effects summarized in  $\log C_0$ ,  $\log C_{24}$  and  $\log C_{48}$ , as defined before. Regression analysis provided three models for the three cases of short or long term survival. The Gauss-Newton algorithm was used for the acquisition of the parameters (max iterations=200, tolerance 0.00001).

$$logC_0 = Initial population - 0.00107 * Solar Dose - 0.00108 * PHR Dose$$

$$logC_{24}$$
 = Initial population - 0.00124 \* Solar Dose - 0.00134 \* PHR Dose  
 $logC_{48}$  = Initial population - 0.00127 \* Solar Dose - 0.00179 \* PHR Dose

400

401 where initial population (before experiments) is in CFU/mL,  $logC_x$  is the logarithm of the population 402 at time x (initial population for the dark storage period), in CFU/mL, while solar and PHR dose are in 403 W/m<sup>2</sup>.

404 Finally, Figure 7 presents the model vs. the experimental data. The comparison of the theoretical and 405 the experimental logC<sub>0</sub>, logC<sub>24</sub> and logC<sub>48</sub> are presented in Figures 7a, 7b and 7c respectively. The 406 assessment indicates a good fit between calculated and experimental values (R-sq: 72-77%) with the 407 residual errors and R-sq values presented in Table 4. As an assay focusing on correlating the 408 parameters involved, rather than modeling the process, the results are satisfactory. The predictive 409 value of the model is relatively limited, since its main weakness is the non-linear accumulation of 410 photo-damage from hour 4 to hour 8, during the light reactivation process. Nevertheless, this general 411 approach producing these models fits adequately all 6 types of lamps and intensities used in this 412 study.

413

#### 414 3.3.2. Correlation of bacterial response with the applied PHR light wavelength

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Although the lamps used in this study cover a significant part of the solar spectrum, the spectrum of each lamp includes a whole wavelength range. Figure 8 presents in the vertical axis the wavelengths, while the horizontal axis is solar (pre)exposure time. For each color, the exposure time to PHR light is noted, followed by the 24 and 48 h of dark storage. Red stages show populations lower than the previous state, while green refers to higher bacterial population.

421 The BL blue and the actinic BL lamps do not lead to photoreactivation (exception: 2h of exposure to 422 actinic BL). This is due to the continuous UV action to the cells, regardless of their previous state of 423 damage. The low PHR rate in the 2-h actinic light dose is due to the extra wavelength in the far UV 424 region. Blue and green lamps present the most cases of PHR, especially in lightly damaged cells. In 425 addition, blue is the only color that demonstrates (long term) PHR in heavily damaged cells (3-h 426 exposure to solar light). This result agrees with the findings of Kumar et al. (2003) for the correlation 427 between blue light and the UVB-induced damages. Yellow light presents long term effects of bacterial 428 increase, regardless of the PHR dose in unharmed cells, but has no actual PHR effect; it probably 429 causes photo-activation of dormant cells. Finally, visible light has similar effect to the yellow light, 430 with lower long-term risk of PHR. Nevertheless, the absence of short or long term reactivation was

observed on cells that were treated for more than 3 hours. There is no PHR observed neither during exposure to monochromatic or visible light, nor in the subsequent dark storage time. In contrast with UVC irradiation, where "total inactivation" is observed but often reversible, solar irradiation had a

detrimental effect towards photoreactivation, inhibiting the reappearance of cells under light or darkconditions.

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### 437 4. Conclusions

438

The application of 6 different colors of fluorescent lamps on previously simulated conditions of solar
treatment of secondary effluent caused different response, according to the corresponding wavelength.
In all cases, however, no regrowth or photoreactivation was observed in totally inactivated samples
containing *E. coli*.

443 More specifically, UV lamps (BL blue and actinic BL), induce bacterial inactivation, according to the 444 previous damage state of bacteria. The effect was detrimental both in short term, during the 8-h long 445 PHR time, and in long term (permanent effect in 24 and 48 h of dark storage). Blue and green light 446 were the only ones to cause mild photoreactivation. Partly damaged and heavily damaged bacteria, 447 respectively, demonstrated immediate recovery. In long term, the solar irradiation effects were more 448 visible, for higher CFU concentration, compared to the non-photoreactivated samples. Yellow light 449 has been found to positively affect growth mostly in non-treated cells, causing photo-activation of the 450 cells. The bacterial pre-exposure to solar light followed by yellow light showed continuation of the 451 inactivation effects. The response to visible light resembled the yellow light one, with beneficial 452 photo-activation in relatively healthy cells.

453 The bacterial response to photoreactivating light correlated with the solar pre-treatment dose, and linear models were proposed to predict the outcome of low exposure to PHR lights ( $R^2 \cong 75\%$ ). In 454 455 overall, the risk of photoreactivation is reduced with increased exposure to solar light, regardless of 456 the PHR wavelength and dose. As it appears, contrary to UVC, solar disinfection inflicts damage in 457 various levels and targets, minimizing the bacterial regrowth potentials. A potential regrowth risk 458 could appear only in samples where bacteria able to mend the solar-inflicted lesions, usually having 459 endured under low light doses and not deriving from samples that have undergone extensive 460 illumination.

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463

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469

# 470 7. References

471

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Figure 8 – Overview of the PHR and DR results, grouped per solar pre-treatment dose, PHR dose and
dark storage time. For each fluorescent color lamp, the exposure time to light is noted. The indicated
red stages are the ones resulting in populations lower than the previous state, while green indicates
higher numbers.

633 Supplementary material

634

635 Supplementary Figure 1 – Schematic representation of the experimental sequence.

636 Supplementary Figure 2 – Results of the dark storage of samples after solar exposure and BL Blue or

637 actinic BL light. i) without solar pre-treatment. ii) after 1 h solar pre-treatment. iii) after 2 h solar pre-

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- Supplementary Figure 3 Results of the dark storage of samples after solar exposure and blue or
  green light. i) without solar pre-treatment. ii) after 1 h solar pre-treatment. iii) after 2 h solar pretreatment. iv) PHR after 3 h solar pre-treatment. The experimental values acquired are connected by a
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- 644 Supplementary Figure 4 Results of the dark storage of samples after solar exposure and yellow or 645 indoor light. i) without solar pre-treatment. ii) after 1 h solar pre-treatment. iii) after 2 h solar pre-646 treatment. iv) PHR after 3 h solar pre-treatment. The experimental values acquired are connected by a 647 line for better visualization of the results.



Figure 1 – International Commission on Illumination (CIE) color space chromaticity diagram and emission spectra of the fluorescent lamps



Figure 2 – Results of the exposure of wastewater in fluorescent lamps: BL blue and actinic BL. i) exposure without solar pre-treatment. ii) after 1 h solar pre-treatment. iii) after 2 h solar pre-treatment. iv) after 3 h solar pre-treatment. The experimental values acquired are connected by a line for better visualization of the results.



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Figure 4 – Results of the exposure of wastewater in fluorescent lamps: Yellow and visible light. i) without solar pre-treatment. ii) after 1 h solar pre-treatment. iii) after 2 h solar pre-treatment. iv) after 3 h solar pre-treatment. The experimental values acquired are connected by a line for better visualization of the results.



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Figure 7 – Quantitative assessment of PHR - Goodness of fit: Experimental vs. Theoretical (Model) data. i) C0. ii) C24. iii) C48.



Figure 8 – Overview of the PHR and DR results, grouped per solar pre-treatment dose, PHR dose and dark storage time. For each fluorescent color lamp, the exposure time to light is noted. The indicated red stages are the ones resulting in populations lower than the previous state, while green indicates higher numbers.

Table 1 – Composition of the synthetic municipal wastewater (OECD, 1999).

<b>Chemicals Concentration (mg/L)</b>					
Peptone	160				
Meat extract	110				
Urea	30				
K <sub>2</sub> HPO <sub>4</sub>	28				
NaCl	7				
CaCl <sub>2</sub> ·2H <sub>2</sub> O	4				
MgSO <sub>4</sub> ·7H <sub>2</sub> O	2				

Fluorescent Lamp	Color Designation	Code	Coordinate X	Coordinate Y	UVA	UVB/ UVA	Provider/ Model
Blacklight blue	Blacklight Blue	108	-	-	3.9 W	0.20%	Philips TL-D 18W
Actinic blacklight	Actinic	10	222	210	5.0 W	0.20%	Philips TL-D 18W
Blue light	Blue	180	157	75			Philips TL-D 18W
Green light	Green	170	246	606			Philips TL-D 18W
Yellow light	Yellow	160	495	477			Philips TL-D 18W
Visible light	LUMILUX Cool White 2700K	840	0.38	0.38	UVA < 150 mW/kl m	0.13%	OSRAM 827 Lumilux Interna

 Table 2 – Color distribution of the employed fluorescent lamps

	Solar Dose	PHR Dose	logC <sub>0</sub>	logC <sub>24</sub>
PHR dose	0			
logC <sub>0</sub>	-0.823	-0.278		
logC <sub>24</sub>	-0.848	-0.259	0.961	
logC <sub>48</sub>	-0.827	-0.29	0.923	0.972

 Table 3 – Pearson Correlation values among the variables.

LogC <sub>0</sub>		LogC <sub>24</sub>		LogC <sub>48</sub>	
RSE	0.7238	RSE	0.7789	RSE	0.8265
$\mathbf{R}^2$	0.7369	$\mathbf{R}^2$	0.774	$\mathbf{R}^2$	0.7588
R <sup>2</sup> -(adj)	0.7356	R <sup>2</sup> -(adj)	0.773	R <sup>2</sup> -(adj)	0.7577
F	599.2	F	733	F	673.3
p-value	< 2.2e-16	p-value	< 2.2e-16	p-value	< 2.2e-16

# Table 4 – Models evaluation and goodness of fit



## **Graphical Abstract**

Solar disinfection of *E.coli* was followed by PHR and dark conditions. The assessment elucidated the relationship among the emitted PHR wavelengths and the survival response in the dark.