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1 **Insights from Investigations of Tin Dioxide and Their Composites: Electron-**
2 **Beam Irradiation, Fractal Assessment, and Mechanism**

3

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15 **Abstract**

16 **Tin dioxide (SnO₂) is unique strategic functional materials with widespread technological**
17 **applications, particularly in the fields such as solar battery, optoelectronic devices, and solid-**
18 **state gas sensors owing to advances in optical and electronic properties. In this review, we**
19 **introduce recent progresses of tin dioxide and their composites, including the synthesis strategies,**
20 **microstructural evolution, related formation mechanism, and performance evaluation of SnO₂**
21 **quantum dots (QDs), thin films, and composites prepared by electron-beam irradiation, pulsed**
22 **laser ablation, and SnO₂ planted graphene strategies, highlighting contributions from our**
23 **laboratory. First, we present the electron-beam irradiation strategies for growth behavior of**
24 **SnO₂ nanocrystals. This method is a potentially powerful technique to achieve the nucleation and**
25 **growth of SnO₂ QDs. In addition, the fractal assessment strategies and gas sensing behavior of**
26 **SnO₂ thin films with interesting micro/nanostructures induced by pulsed delivery will be**
27 **discussed experimentally and theoretically. Finally, we emphasize the fabrication process and**
28 **formation mechanism of SnO₂ QDs planted graphene nanosheets. This review may provide a new**
29 **insight that the versatile strategies for microstructural evolution and related performance of**
30 **SnO₂-based functional materials are of fundamental importance in the development of new**
31 **materials.**

32

33 **Keywords:** Tin dioxide; Electron-beam irradiation; Fractal assessment; SnO₂ planted graphene;
34 Microstructure; Mechanism

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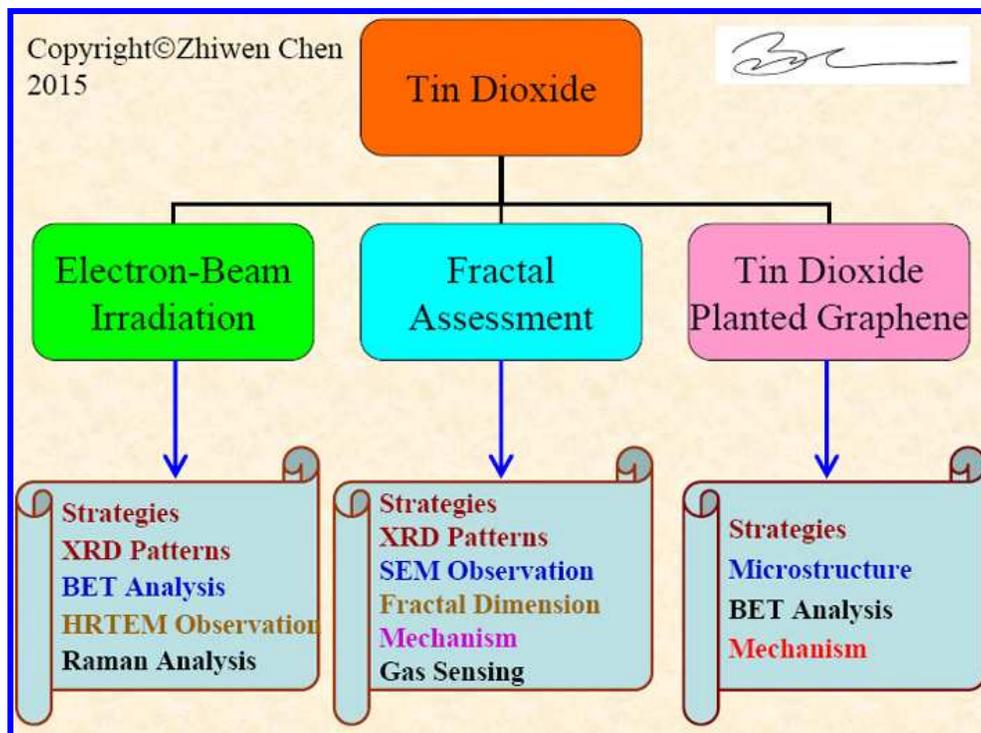
37 1. Introduction

38 1.1. Preamble

39 Semiconductor oxides are fundamental to the development of smart and functional materials,
40 devices, and systems.¹⁻⁴ These oxide materials have two unique structural features: mixed cation
41 valences and an adjustable oxygen deficiency, which are the bases for creating and tuning many novel
42 material properties, from chemical to physical.⁵⁻⁷ Developing new materials with excellent
43 performances depend not only on the multi-components but also on their micro/nanostructures. Multi-
44 components and micro/nanostructures are the key issues to realize multifunctional materials. The key
45 scientific issues of tin dioxide (SnO₂) functional materials and their applications and developments
46 have been driven scientists to explore continuously in depth their preparation, micro/nanostructure and
47 performances. SnO₂, as a kind of *n*-type wide-band-gap semiconductor ($E_g = 3.64$ eV at 300 K), has
48 very wide applications in the fields such as solid-state gas sensors,⁸ luminescent materials,⁹ solar
49 battery,¹⁰ antistatic coating,¹¹ and optoelectronic devices.¹² Since the properties of materials strongly
50 depend on its micro/nanostructures, which all result from the fabrication processes, the influence of
51 micro/nanostructural evolution on material properties is especially remarkable for materials science and
52 engineering. Tin oxide micro/nanostructures provide a versatile, multifaceted platform for a broad
53 range of advanced applications.¹³⁻¹⁵ Recent studies have validated their use in many fields of science
54 and technology that integrate the areas of chemistry, physics, materials science and nanotechnology.¹⁶⁻
55 ²⁰ For example, they can function as semiconductors for a number of applications in microelectronic
56 devices, optoelectronic components, and solar-thermal converter, they can serve as gas-sensors for the
57 detection and forecasting of a variety of combustible gases, environmental pollution gases, industrial
58 emissions and hazardous gases, they can used as electrodes for melting optical glass and electrolytic
59 aluminum industry, they can act as catalysts for the active phase of many heterogeneous catalysis in
60 hydrocarbon oxidation, they can also serve as varistor for the arrester used in power systems, and so on.

61 However, challenges remain to further improve the material fabrication processes for various advanced
62 applications. This optimization requires a clear understanding of the relationship between
63 micro/nanostructures and preparation conditions.

64 In this review, we will describe our efforts toward understanding the synthesis strategies,
65 microstructural evolution, related formation mechanism, and performance evaluation of SnO₂ various
66 morphologies including SnO₂ quantum dots (QDs), thin films, and composites prepared by electron-
67 beam irradiation (EBI), pulsed laser ablation, and SnO₂ planted graphene strategies, highlighting
68 contributions from our laboratory. Fig. 1 shows schematic illustrating in this article including SnO₂
69 electron-beam irradiation strategies, fractal assessment and SnO₂ planted graphene strategies. In
70 sections 2, we present the EBI strategies for growth behavior of SnO₂ nanocrystals. This method is a
71 potentially powerful technique to achieve the nucleation and growth of SnO₂ QDs. In sections 3, the
72 fractal assessment strategies and gas sensing behavior of SnO₂ thin films with interesting
73 micro/nanostructures induced by pulsed delivery will be discussed experimentally and theoretically. In
74 sections 4, we emphasize the fabrication process and formation mechanism of SnO₂ QDs planted
75 graphene nanosheets. This review may provide a new insight that the versatile strategies for
76 microstructural evolution and related performance of SnO₂-based functional materials are of
77 fundamental importance in the development of new materials.



78

79 **Fig. 1.** Schematic illustrating in this article including SnO₂ electron-beam irradiation strategies, fractal
80 assessment and SnO₂ planted graphene strategies.

81

82 1.2. Outline

83 This review presents some advanced developments in the synthesis strategies and perspectives of
84 microstructural evolution, related formation mechanism, and performance evaluation for SnO₂ various
85 morphologies including SnO₂ QDs, thin films, and composites. This is an interdisciplinary work that
86 integrates the areas of physics, chemistry and materials science. A brief outline of the contents and
87 organization of each section is presented here to serve as a guide for reading this article.

88 The major aim in section 2 is to explore the EBI strategies for growth behavior of SnO₂ nanocrystals.
89 In this section, we will describe in detail that the SnO₂ nanocrystals prepared by sol-gel method are
90 radiated by electron accelerator. The microstructural evolution of SnO₂ nanocrystals was evaluated by

91 XRD, HRTEM, Raman, thermo gravimetric-differential scanning calorimeter, and automated surface
92 area analyzer. The characterization analysis indicated that the crystallinity of the irradiated SnO₂
93 powders was better than unirradiated one. The clear lattice fringes of SnO₂ QDs were investigated in
94 detail by HRTEM. It was found that the sizes of SnO₂ QDs were localized in the range of 2-4 nm. The
95 Brunauer-Emmett-Teller (BET) surface area analysis indicated that the specific surface area of
96 irradiated sample was much higher, which was almost doubled at 1400 kGy under the 8 mA/s of
97 irradiation rate and 50 seconds of irradiation time.

98 The purpose of the section 3 is to characterize the dependence of gas sensing behavior on fractal
99 dimension in SnO₂ thin films induced by pulsed delivery. SnO₂ thin films with interesting fractal
100 features were prepared by pulsed laser deposition techniques under different substrate temperatures.
101 The fractal assessments indicated that the fractal theory can be applied to the evaluation of this
102 material. The measurements of carbon monoxide gas sensitivity confirmed that the gas sensing
103 behavior was sensitively dependent on fractal dimensions, fractal densities, and average sizes of the
104 fractal clusters. Random Tunneling Junction Network (RTJN) mechanism was suggested to provide a
105 rational explanation for this gas sensing behavior. The formation process of SnO₂ nanocrystals and
106 fractal clusters were reasonably discussed by the use of a model.

107 In section 4, we present the assembling SnO₂ QDs to graphene nanosheets (GNSs) by a facile
108 ultrasonic method. The experimental investigations indicated that the graphene was exfoliated and
109 decorated with SnO₂ QDs, which was dispersed uniformly on both sides of graphene. The size
110 distribution of SnO₂ QDs was estimated to be a ranging from 4 to 6 nm and their average size was
111 calculated to be about 4.8 ± 0.2 nm. This facile ultrasonic route demonstrated that the loading of SnO₂
112 QDs was an effective way to prevent the GNSs from being restacked during the reduction. During the
113 calcination process, the GNSs distributed between SnO₂ nanoparticles have also prevented the
114 agglomeration of SnO₂ nanoparticles, which were beneficial to the formation of SnO₂ QDs.

115

116 2. Electron-Beam Irradiation Strategies for Tin Dioxide

117 2.1. Overview on Tin Dioxide Quantum Dots

118 SnO₂, as a kind of *n*-type semiconductor, has very wide applications in the fields such as solid-state
119 gas sensors,²¹ luminescent material,²² solar battery,²³ antistatic coating,²⁴ and optoelectronic devices²⁵
120 owing to their unique electronic, optical, and magnetic properties. During the past decade, a lot of
121 methods such as sol-gel,²⁶ chemical vapor deposition,²⁷ spray pyrolysis,²⁸ hydrothermal method,²⁹ and
122 microwave technique,³⁰ have been employed to prepare SnO₂ nanostructures. It is common that the
123 grain sizes and morphologies of nanomaterials greatly affect their properties as well as their
124 applications. For example, when the size of the particle decreased, the surface-volume ratio and the
125 band gap will increase correspondingly, such effect will finally lead to the enhancement of the gas
126 sensors sensitivity. Therefore, the quantum size effects particularly in the semiconductor quantum dots
127 have enhanced great interest in both basic and applied research.³¹ Several studies on quantum dot (QD)
128 systems were carried out in the last decade mainly on GaAs, InP, and Cd chalcogenides due to their
129 discrete electronic states, unusual structural transformations and novel physical and chemical
130 properties.³² It is well-known that the size of QDs is usually among from 1 nm to 10 nm particularly if
131 the critical dimensions approach the exciton Bohr radius of nanomaterials which carriers are confined
132 in all three dimensions to a nanometer-sized region. Thus, the QDs exhibit distinctive properties as well
133 as have a wide range applications in optoelectronics, optical sensors and lasers.^{33,34} For this reason, the
134 properties of oxide semiconductors with wide-band-gap QDs have been growing attracted much
135 attention such as nanocrystalline SnO₂. In a variety of SnO₂ QD synthesis processes, Castillo and co-
136 workers reported on the preparation of SnO₂ QDs with the sizes in 2-5 nm by the sol-gel method.³⁵ Zhu
137 and co-workers obtained the SnO₂ QDs with the sizes in 2.3-3.1 nm by hydrothermal process.³⁴

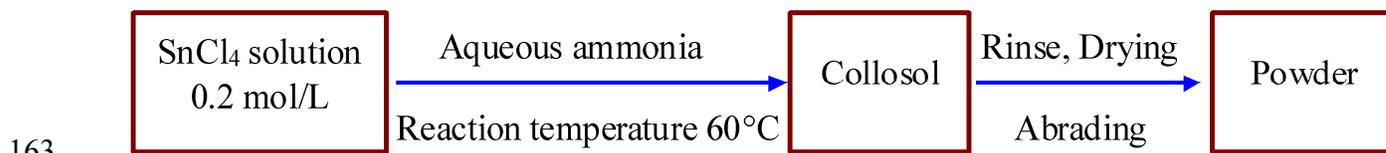
138 The irradiation technique achieved by electron accelerator is of widespread technological
139 applications in the fields of degraded organic compounds, medical treatment, industrial and agricultural
140 applications.³⁶ Up to now, there are few reports related tin oxide modified by accelerator radiation. It is
141 well-known that the EBI method has a number of high advantageous properties. For instance, (i) this
142 method is simple, rapid and convenient,³⁷ (ii) it is carried out at room temperature without any kind of
143 catalysts, and (iii) the method is useful for the mass-production of nanomaterials. After an extensive
144 search in the published literature, it was found that the previous nanocrystals are assembled either
145 aqueous synthesis, hydrothermal, and pyrolysis or epitaxial approaches through the gas phase.
146 Compared with the previous heat-treatment technique which is a commonly used crystallization
147 method, the production of SnO₂ QDs by the EBI method has also attracted much attention due to above
148 many advantages. In order to investigate the characteristics of these QDs, the microstructure evolution
149 of SnO₂ nanocrystals should be reasonably understood. An integrated device for different QDs is
150 highly desirable for versatile advanced applications. Despite the high sensitivity of SnO₂ to many
151 gases, it is often susceptible to electrical drift which requires long stabilization periods, as well as
152 permanent poisoning after extended periods of operation. EBI may be a potentially powerful technique
153 to modify QDs, and we are applying this technique to SnO₂ nanocrystals for the first time in this
154 study.³⁸

155

156 2.2. Electron-Beam Irradiation Strategies

157 A typical procedure to synthesize SnO₂ nanoparticles via sol-gel method was performed as follows.
158 Under intensive stirring, aqueous ammonia (2 mol/L) was drop wisely added into SnCl₄ solution (0.2
159 mol/L) until pH reached 7. The reaction temperature was kept at 60 °C. The primal sample was
160 centrifuged and rinsed with alcohol and deionized water several times till the Cl⁻ could not be detected

161 by AgNO₃ solution, and then dried at 80 °C in the vacuum drying chamber for 10 h. The products were
 162 collected after being ground into fine powder. Basic process is shown below:



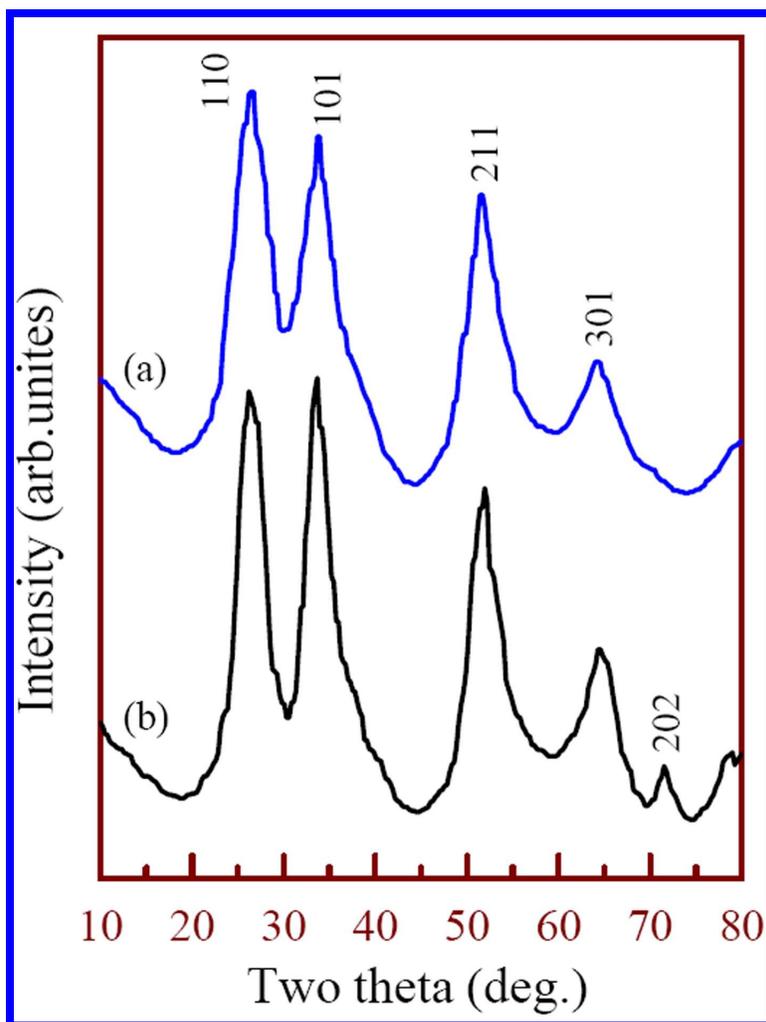
164 The as-prepared SnO₂ nanoparticles was dispersed as much as possible to thickness with 2-3 mm,
 165 which was placed under the Titanium window of GJ-2-II Dynamitron electron accelerator with an
 166 accelerating voltage of 2 MeV and a current of 8 mA by different radiation dose.

167

168 2.3. XRD and BET Analysis

169 It is known that the SnO₂ has a tetragonal rutile crystalline structure (known in its mineral form as
 170 cassiterite) with point group D_{4h}^{14} and space group $P4_2/mnm$. The lattice parameters are $a = b =$
 171 $4.7382(4)$ Å, and $c = 3.1871(1)$ Å.³⁹ Fig. 2 shows the typical XRD patterns of SnO₂ powders (a) as-
 172 prepared (unirradiated) sample and (b) irradiated sample which was irradiated at 1400 kGy under the
 173 irradiation rate 8 mA/s and irradiation time 50 seconds. Through a series of experiments, it can be
 174 found that the SnO₂ powders have obviously changed under 1400 kGy dose comparing with the as-
 175 prepared (unirradiated) powders. All diffraction peaks can be attributed to the tetragonal SnO₂ structure
 176 with cell parameters $a = b = 4.738$ Å and $c = 3.187$ Å, which is consistent with the standard card
 177 (JCPDS file No.41-1445). The XRD patterns as shown in Fig. 2b reveal the stronger peak intensity and
 178 smaller peak width, which indicated that the irradiated SnO₂ nanoparticles have better crystallizing.⁴⁰
 179 The presence of broad and weak peaks as shown in Fig. 2a also indicates that the unirradiated SnO₂
 180 nanoparticles smaller than irradiated one because of the width of XRD peaks is related to particle size
 181 through Scherrer's equation: $D = K\lambda / \beta \cos \theta$, where D is the diameter of the nanoparticles, $K = 0.9$, λ

182 $(\text{Cu } K_{\alpha}) = 1.5406 \text{ \AA}$, and β is the full width at half maximum of the diffraction peak.⁴¹ Comparing with
183 Fig. 2a and b, it confirmed that the growth of SnO_2 nanocrystals is influenced significantly by the EBI
184 techniques. All peaks as shown in Fig. 2b became sharp and stronger, and appeared some new peaks
185 proved that the EBI is a potentially powerful technique to improve the growth of SnO_2 nanocrystals.

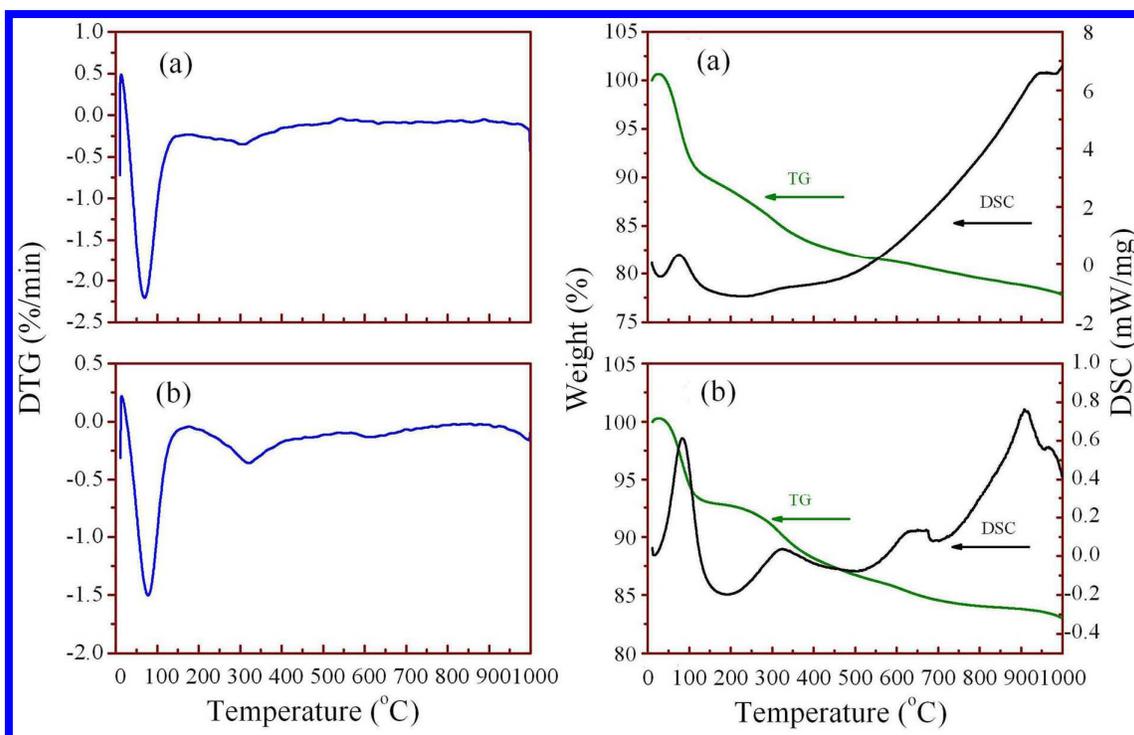


186

187 **Fig. 2.** The typical XRD patterns of SnO_2 powders (a) as-prepared (unirradiated) sample, (b) irradiated
188 sample which was irradiated at 1400 kGy under the irradiation rate 8 mA/s and irradiation time 50
189 seconds.³⁸

190

191 Thermo-analysis of the as-prepared (unirradiated) and irradiated SnO₂ powders has been given in
192 Fig. 3a and b, respectively. As shown in Fig. 3b, the thermo gravimetric (TG) analysis of irradiated
193 SnO₂ powders showed that the amount of weight loss (the mass loss ~17%) is smaller than unirradiated
194 one (the mass loss ~22.3%), which indicated that the irradiated SnO₂ powders have been further
195 crystallized during EBI processes. For both samples studied in TG-DTG experiments, it was found that
196 the shapes of the TG-DTG curves are similar but exist still obviously difference. For instance, the
197 observed weight loss of two samples between room temperature to 350 °C may be attributed to the loss
198 of ammonia, physically absorbed water and chemically bonded water,³⁰ which corresponds with the
199 endothermic peak at about 200 °C in DSC curves. Moreover, the DTG peaks of the irradiated SnO₂
200 have a minor right shift comparing with the as-prepared SnO₂, which indicated that the as-prepared
201 SnO₂ is poor crystalline.⁴² According to the formula: $(dH/dT)/(dT/dt) = dH/dT = C_p$, while dH/dt for the
202 DSC spectrum of the vertical axis, dT/dt is rise/cooling rate, C_p is specific heat capacity at constant
203 pressure, showing the specific heat of the grains in the relative size at this time. Thus, it determined that
204 the heat absorption capacity during crystallization of irradiated sample is much larger than the
205 unirradiated one. Comparing with previous researches such as Zhu and co-workers reported an
206 endothermic peak at a temperature about 90 °C of the DSC curve for SnO₂ nanoparticles⁴³ and Zeng
207 and co-workers showed two endothermic peaks at 532 and 556 K.⁴⁴ There were significant differences
208 as the presented irradiated SnO₂ that revealed two obvious exothermic peaks at temperatures of 78 °C
209 and 910 °C. However, the exothermic peak of the as-prepared SnO₂ shifted to 71 °C, moreover it was
210 not obviously. In addition, one of endothermic peaks nearly in 180 °C corresponds to the removal of
211 water while the endothermic peak of DSC curves for the as-prepared SnO₂ is flatter, which indicated
212 that less activity center is formed.⁴⁵



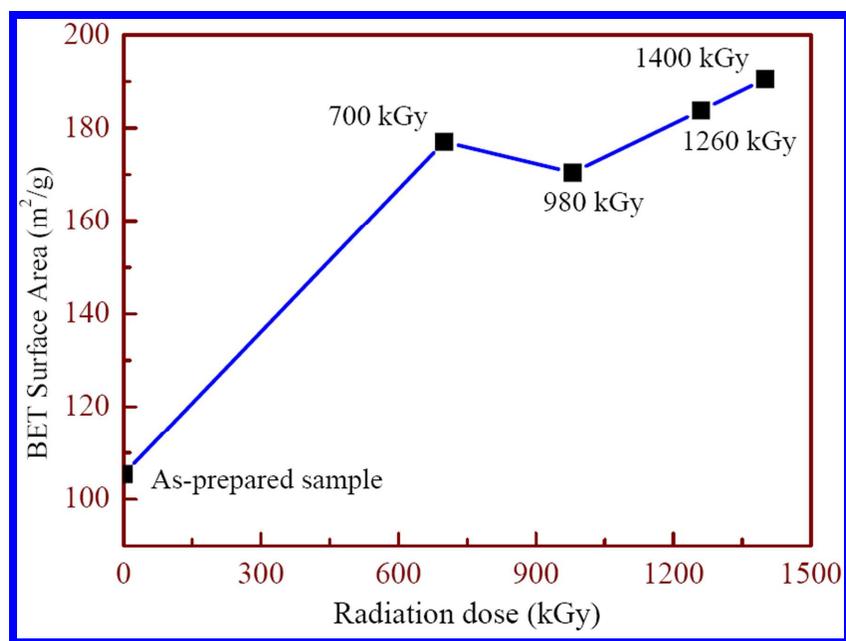
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214 **Fig. 3.** The TG-DTG-DSC curves of SnO₂ powders (a) as-prepared (unirradiated) sample, (b) irradiated
 215 sample which was irradiated at 1400 kGy under the irradiation rate 8 mA/s and irradiation time 50
 216 seconds.³⁸

217

218 Fig. 4 shows the specific surface areas of the as-prepared (unirradiated) sample and irradiated
 219 samples with different radiation doses under the irradiation rate 8 mA/s. It can be seen that the as-
 220 prepared sample has the lower surface area than the irradiated samples. When the irradiated dose
 221 increased to 700 kGy under the irradiation rate 8 mA/s and irradiation time 25 seconds, the BET
 222 surface area increased from 105.39 m²/g to 170.47 m²/g. However, the BET value has slightly
 223 decreased to 165.42 m²/g at 980 kGy radiation dose under the irradiation rate 8 mA/s and irradiation
 224 time 35 seconds. From view in the overall trend of BET values, it can be reasonable to speculate that
 225 the more SnO₂ crystal nucleus could be formed in irradiated samples, which can also be proved by the
 226 HRTEM results as shown in Fig. 5. The more smaller SnO₂ crystal nucleus, which may be called as

227 SnO₂ QDs, led to the increasing of the BET surface area of irradiated samples. However, when the
228 irradiated dose increased to 980 kGy, the rotation and coalescence processes of the initial SnO₂ crystal
229 nucleus (e.g. at 700 kGy) culminating in a low-energy configuration may be directly related to the
230 reduction of surface energy, aimed at minimizing the area of high-energy interfaces.^{46,47} The possible
231 formation of a coherent boundary between grains due to the grain rotation, with the consequence of
232 removing the common grain boundary and culminating in a single larger SnO₂ nanocrystal, may lead to
233 the decreasing of the BET value. With the increasing of the irradiated doses, for example, 1260 and
234 1400 kGy under the irradiation rate 8 mA/s and irradiation times 45 and 50 seconds, respectively, a
235 large number of SnO₂ crystal nucleus could be formed in irradiated samples. Therefore, the BET values
236 increased. The BET analysis indicated that the specific surface area of the irradiated samples tends to
237 increase as the increasing of the irradiated doses. It implied that the EBI method can significantly
238 enhance the BET surface area.



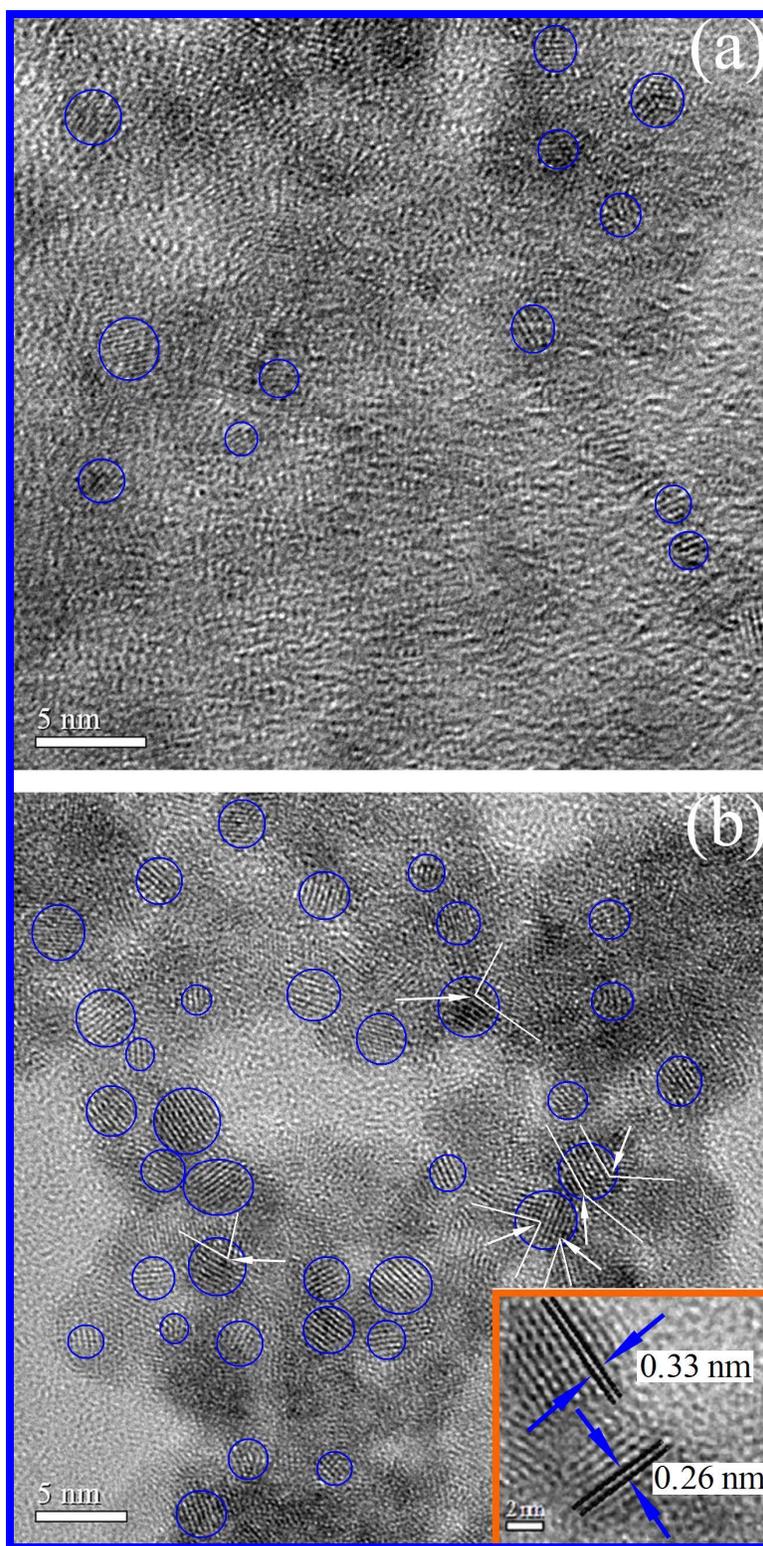
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240 **Fig. 4.** Specific surface area of as-prepared (unirradiated) sample and irradiated samples at 700, 980,
241 1260 and 1400 kGy under the irradiation rate 8 mA/s and irradiation times 25, 35, 45, and 50 seconds,
242 respectively.³⁸

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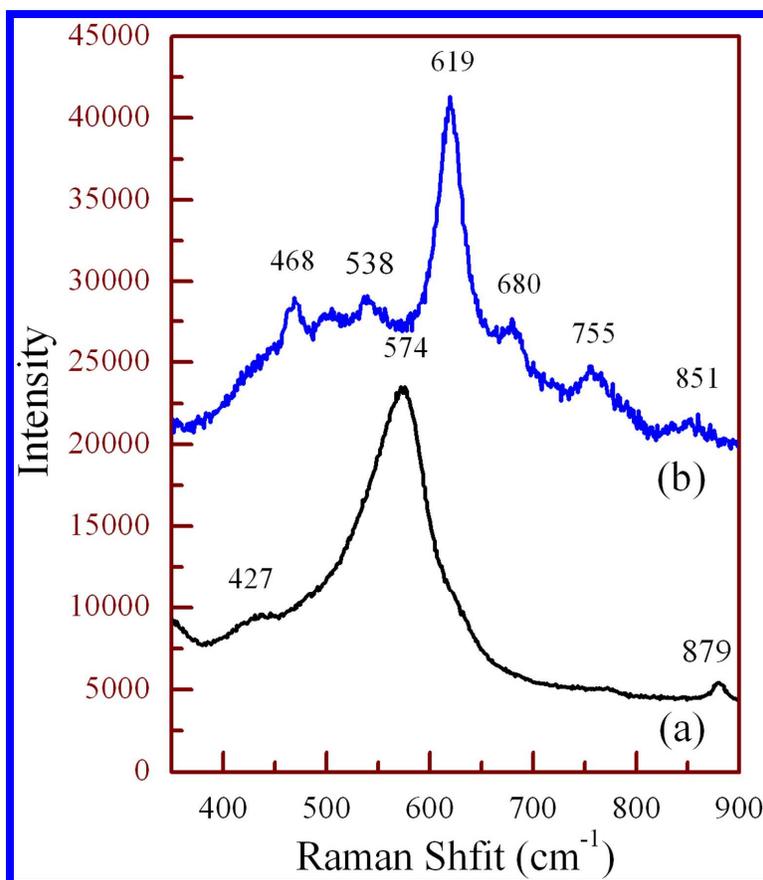
244 **2.4. HRTEM and Raman Examination**

245 Since detailed and refined experiments on SnO₂ nanocrystals permitted us to assess the physics of
246 quantum-confined excitations, the geometry and nature of the contact areas between SnO₂ particles
247 (unirradiated and irradiated samples) are especially important for the steady state optical gain in small
248 semiconductor wide-band-gap QDs. Fig. 5 displays the HRTEM images of SnO₂ powders (a) as-
249 prepared (unirradiated) sample and (b) irradiated sample which was irradiated at 1400 kGy under the
250 irradiation rate 8 mA/s and irradiation time 50 seconds. It was found that the SnO₂ QDs can be formed
251 in the as-prepared (unirradiated) and irradiated samples (indicated by the blue circles). The clear lattice
252 fringes demonstrated that the SnO₂ QDs are composed of ultrafine nanoparticles with a diameter below
253 4 nm. Comparison of Fig. 5a and b, the samples modified by EBI revealed clear-cut crystalline features
254 and more clearly crystal planes which could correspond to the lattice parameters of the rutile structure
255 of SnO₂ cassiterite phase. The results indicated that the density and size of the SnO₂ QDs increase after
256 the EBI. HRTEM analysis indicated that the crystal planes of the QDs become more complete. The
257 inset at the lower right-hand corner in Fig. 5b shows two QDs with size of about 4 nm and 3.5 nm. The
258 interplanar spacings are about 0.33 nm and 0.26 nm which respectively correspond to the (110) and
259 (101) planes of tetragonal SnO₂. More importantly, HRTEM observation in the sample modified by
260 EBI is very interesting. Fig. 5b shows the initial stage of the SnO₂ crystal nucleus and QD growth
261 processes. The white arrow in blue circles indicated the formation of necks between QDs. An analysis
262 suggested that the first step in the QD growth process is the formation of necks. After this step, a
263 rotation may occur to decrease the angle of misorientation, changing the QD orientation. When the
264 QDs approach the same orientation, i.e., a coherent grain-grain boundary, the grain boundary must
265 migrate toward the smaller crystal nucleus, resulting in a single larger QD. Therefore, the EBI method
266 is a potentially powerful technique to achieve SnO₂ nucleation and QD growth.



267

268 **Fig. 5.** HRTEM images of SnO₂ powders (a) as-prepared (unirradiated) sample, (b) irradiated sample269 which was irradiated at 1400 kGy under the irradiation rate 8 mA/s and irradiation time 50 seconds.³⁸



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Fig. 6. Room-temperature Raman spectra of (a) as-prepared (unirradiated) sample, (b) irradiated sample which was irradiated at 1400 kGy under the irradiation rate 8 mA/s and irradiation time 50 seconds.³⁸

In order to obtain further information on the microstructure evolution of SnO₂ powders modified by the EBI, Raman techniques could effectively reveal local structural features of amorphous and poorly crystallized materials owing to its fine sensitive to crystal surface area.⁴¹ Fig. 6 shows room-temperature Raman spectra of (a) as-prepared (unirradiated) sample and (b) irradiated sample which was irradiated at 1400 kGy under the irradiation rate 8 mA/s and irradiation time 50 seconds. It can be seen that the irradiated sample shown in Fig. 6b presents five Raman peaks at 468, 538, 619, 680, 755 and 851 cm⁻¹ in contrast to the as-prepared (unirradiated) sample shown in Fig. 6a just presents three

282 Raman peaks at 427, 574 and 879 cm^{-1} . In general, the SnO_2 unit cell consists of two metal atoms and
 283 four oxygen atoms. Each metal atom is situated amidst six oxygen atoms which approximately form
 284 the corners of a regular octahedron. Oxygen atoms are surrounded by three tin atoms which
 285 approximate the corners of an equilateral triangle. As a result, there are 18 branches for the vibrational
 286 modes in the first Brillouin zone. The normal vibration modes at the Γ point at the center of the
 287 Brillouin zone is given by⁴⁷

$$288 \quad \Gamma = \Gamma_1^+(A_{1g}) + \Gamma_2^+(A_{2g}) + \Gamma_3^+(B_{1g}) + \Gamma_4^+(B_{2g}) + \Gamma_5^+(E_g) + 2\Gamma_1^+(A_{2u}) + 2\Gamma_4^+(B_{1u}) + 4\Gamma_5^+(E_u).$$

289 According to these modes, A_{1g} , B_{1g} , B_{2g} and E_g are Raman active, A_{2u} , E_u and B_{1u} are active in infrared
 290 (IR), one A_{2u} and two E_u modes are acoustic. Raman peaks at 468, 619 and 777 cm^{-1} in Fig. 6b can be
 291 indexed to E_g , A_{1g} and B_{2g} vibration modes, respectively.⁴⁸ In addition, the other Raman peaks at 538
 292 and 680 cm^{-1} also were observed, which may be close to the IR-actived A_{2u} modes at 512 cm^{-1} for
 293 transverse-optical (TO), and at 687 cm^{-1} for longitudinal-optical (LO).⁴⁹ In a perfect crystal, only is the
 294 center of Brillouin zone ($q = 0$) phonon can be excited because the correlation length of the particular
 295 phonon is infinite. In the nanocrystals, some IR active modes may transform into Raman active with
 296 decreasing size and increasing disorder for the nanomaterials. Thus, Raman scattering intensity of
 297 lattice vibration is⁵⁰

$$298 \quad I(\omega) \propto \int_0^1 \exp\left[-\frac{q^2 L^2}{4} \frac{dq^3}{[\omega - \omega(q)]^2 + [\Gamma_0/2]^2}\right],$$

$$299 \quad \text{and} \quad \omega(q) = A + B \cos(\Pi q),$$

300 where ω is phonon frequency, q is phonon wave vector, $\omega(q)$ is the phonon dispersion curve of the
 301 infinite crystal, Γ_0 is the natural line width, and L for the coherence length. Abello and co-workers have
 302 reported that some vibration modes forbidden in the theory would be activated when the dimension of
 303 the grains is small enough, especially, some IR-active modes may be weakened to show Raman-

304 active.⁵¹ In our Raman experiments, the as-prepared (unirradiated) sample shown in Fig. 6a has three
305 peaks, which there is a few research on the peak of 574, it may be the peak displacemen of amorphous
306 SnO₂.⁵² However, the irradiated sample shown in Fig. 6b has a obvious blue shift compared with Fig.
307 6a. This may attributed to relaxation of Raman selection rule due to the combined effects in the
308 increasing of QD density and the high concentration of defects in surface site, such as oxygen
309 vacancies and lattice disorder.⁵¹

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311 **3. Fractal Assessment Strategies for Tin Dioxide**

312 **3.1. Overview on Tin Dioxide Tin Films**

313 Semiconductor oxides are fundamental to the development of smart and functional materials,
314 devices, and systems.⁵³⁻⁵⁵ These oxides have two unique structural features: mixed cation valences and
315 an adjustable oxygen deficiency, which are the bases for creating and tuning many novel material
316 properties, from chemical to physical.⁵⁶⁻⁵⁸ Due to the increasing importance of air pollution and the
317 need to monitor concentration levels of gases such as CO, CO₂, NO_x, O₃, SO₂ etc., the development of
318 many kinds of sensors and control systems has been jolted into action in recent years. Tin dioxide
319 (SnO₂) has been used as a gas sensor material to detect combustible and toxic gases such as CO, NH₃,
320 NO₂, H₂S and CH₄. Commercial sensors typically use sintered SnO₂ powders, but thin films SnO₂ are
321 gaining increasing popularity.^{59,60} With the advent of advanced thin film technology more cost-
322 effective, reproducible devices can be constructed with a reduction in device size and a concomitant
323 increase in the speed of response by using SnO₂ thin films.

324 It is known that SnO₂ is used as a gas sensor because the number of electrons in the conduction band
325 is affected by the adsorption of gaseous species on its surface.⁶¹ Reducing gas molecules, such as CO,
326 react with the oxygen species (O₂, O₂⁻, O⁻, and O) on the semiconductor surface.⁶² This lowers the
327 height of the Schottky barrier and increases the conductance of the material.^{63,64} Gas sensors using

328 SnO₂ are widely used due to its high sensitivity to humidity and inflammable gases. In this type of
329 sensors, gas concentration is related to the material's electrical impedance due to the adsorption of gas
330 molecules on the SnO₂ surface. In a pure air environment, SnO₂ adsorbs oxygen that captures its
331 electrons, thereby raising its resistivity. When a reducing gas is present, it competes for the adsorbed
332 oxygen and hence the SnO₂ resistivity decreases. However, the electrical properties of SnO₂ are
333 strongly dependent on material fabrication parameters. Since gas sensing is based on adsorption
334 mechanisms on the SnO₂ grain surface, for high sensitivity a small grain size is desirable in order to
335 achieve a high specific area, i.e. adsorption area per unit volume.^{65,66}

336 The surface conductance of semiconducting oxide is affected by the concentration of ambient gases.
337 Resistive gas sensors are based on this principle and the nature of the sensing mechanism is related to
338 the electrical response of gas sensors to reactive gases. The change in the sensor resistance provides an
339 indication of the gas concentration.⁶⁷ These sensors can be quite versatile as they may be used to detect
340 oxygen, flammable gases and common toxic gases. Their mechanism of operation is complex,
341 involving interactions between gaseous molecules and defects on the surface and grain boundaries. The
342 sign of a change in resistance depends on whether the solid has *n*-type or *p*-type conductivity.^{68,69}
343 Structural properties such as grain size, grain geometry as well as specific surface area can significantly
344 affect the gas sensing properties of semiconducting SnO₂. In order to control these structural
345 characteristics, the microstructure evolution of SnO₂ thin films should be understood. Fractal method is
346 a potentially powerful technique to characterize microstructures, and we are applying this technique to
347 SnO₂ thin films for the first time in this study. Besides showing some examples of geometric structures
348 of SnO₂ thin films, we shall discuss in detail the applicability and relevance of fractal theory to
349 studying the microstructure and gas sensing behavior of SnO₂ based environmental functional
350 materials. An integrated device for different gas species is highly desirable for versatile advanced
351 applications. Despite the high sensitivity of SnO₂ to many gases, it is often susceptible to electrical drift

352 which requires long stabilization periods, as well as permanent poisoning after extended periods of
353 operation. New fractal assessment strategies for this material formed at different substrate temperatures
354 are of fundamental importance in the development of micro-devices.⁷⁰

355

356 **3.2. Fractal Assessment Strategies**

357 In order to obtain the sintered SnO₂ target for pulsed laser deposition (PLD), we synthesized a pure
358 nanocrystalline SnO₂ powder by the sol-gel method.⁷¹ The fabrication method is described in the
359 following. Meta-stannic acid sol (parent sol) was precipitated by treating a cold ethanol solution of
360 SnCl₄ (27 %) with an aqueous ammonia solution (28 %) until a suitable pH value was reached. Dry
361 powder with average grain size of about 4 nm was obtained by drying the parent sol, which had been
362 washed repeatedly with de-ionized water. The SnO₂ discs, 15 mm in diameter and 4 mm in thickness,
363 were prepared by compacting the powder under uniaxial pressure of 0.4 GPa, and sintered at 1150 °C
364 for 2 h. The sintered disc consisted of high-purity cassiterite structure SnO₂ (99.8 %).

365 SnO₂ thin film was prepared by PLD techniques using the above sintered SnO₂ disc.⁵⁵ The target was
366 cleaned with methanol in an ultrasonic cleaner before installation to minimize contamination. The laser
367 was a KrF excimer laser (Lambda Physik, LEXtra 200, Germany) producing pulse energies of 350 mJ
368 at a wavelength of 248 nm and a frequency of 10 Hz. The duration of every excimer laser pulse was 34
369 ns. The laser energy was transmitted onto the target in a high-vacuum chamber through an ultraviolet
370 (UV)-grade fused silica window using an UV-grade fused silica lens. During the experiment, the target
371 was kept rotating at a rate of 15 rpm to avoid drilling. The fluence was set at 5 J/cm² per pulse,
372 corresponding to a total of approximately 1.5×10^5 laser pulses. The growth rate was estimated to be
373 about 0.3 nm/s (or about 1 μm/h). The ablated substance was collected on a Si (100) substrate mounted
374 on a substrate holder 4 cm away from the target. The high vacuum in the deposition chamber was

375 achieved by using a cryopump (Edwards Coolstar 800). The base pressure prior to laser ablation was
376 about 1×10^{-6} mbar, and the oxygen partial pressure during laser ablation was set about 3×10^{-2} Pa. All
377 deposition processes were carried out by in-situ operation on the substrate at temperatures of 300 °C,
378 350 °C, 400 °C, and 450 °C.

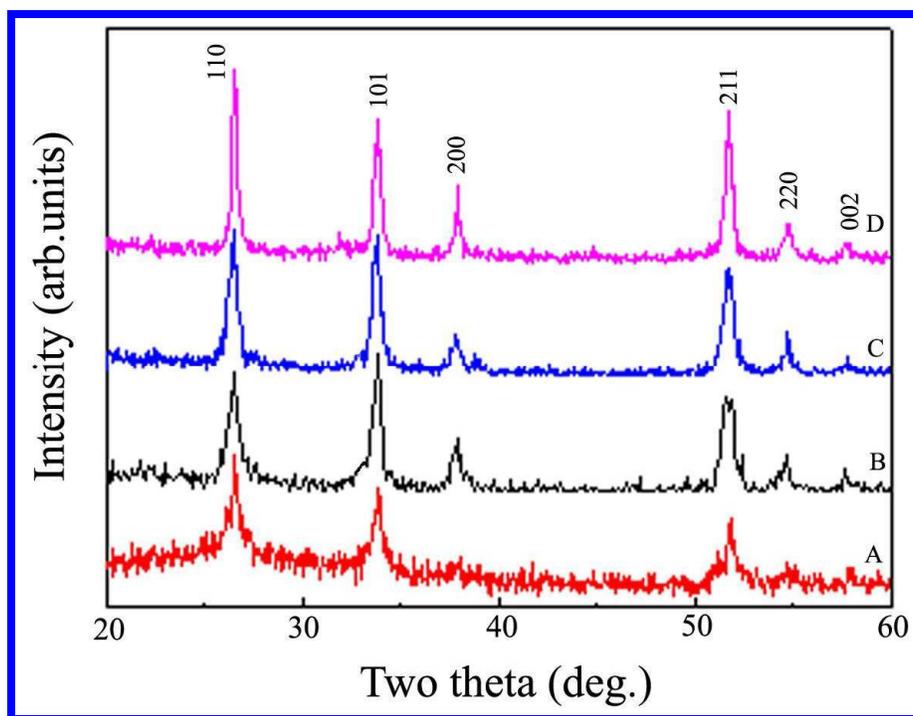
379 Scanning electron microscopy (SEM) images were digitized by using the Fractal Images Process
380 Software (FIPS). These digitized images were divided into boxes of 360×360 size and then processed
381 by the fractal theory.⁷² Four intact fractal patterns were selected from these digitized images. The
382 average value of the fractal dimensions (D), the fractal density and the average size of the fractal
383 clusters for these digitized fractal patterns were obtained by using the box-counting method.⁷³ The
384 carbon monoxide (CO) gas sensing property in the sensor was measured by a simple electrical
385 measuring system. The test CO gas was introduced in the chamber by an injector with variable volume
386 which facilitated control of gas concentration in the range 25-500 ppm. After the sensor was stabilized,
387 the process was repeated by injecting a higher amount of the CO gas.

388

389 3.3. XRD and SEM Analysis

390 It is known that tin dioxide has a tetragonal rutile crystalline structure (known in its mineral form as
391 cassiterite) with point group D_{4h}^{14} and space group $P4_2/mnm$. The unit cell consists of two metal
392 atoms and four oxygen atoms. Each metal atom is situated amidst six oxygen atoms which
393 approximately form the corners of a regular octahedron. Oxygen atoms are surrounded by three tin
394 atoms which approximate the corners of an equilateral triangle. The lattice parameters are $a =$
395 $4.7382(4)$ Å, and $c = 3.1871(1)$ Å. Fig. 7A, B, C, and D show the XRD patterns of the SnO₂ thin films
396 prepared on Si (100) substrate at 300 °C, 350 °C, 400 °C, and 450 °C respectively. The major
397 diffraction peaks of some lattice planes can be indexed to the tetragonal unit cell structure of SnO₂ with
398 lattice constants $a = 4.738$ Å and $c = 3.187$ Å, which are consistent with the standard values for bulk

399 SnO₂ (International Center for Diffraction Data (ICDD), PDF File No. 77-0447). The (hkl) peaks
400 observed are (110), (101), (200), (211), (220), and (002). No characteristic peaks belonging to other tin
401 oxide crystals or impurities were detected. The high intensity of these peaks suggests that these thin
402 films mainly consist of the crystalline phase. As the substrate temperature increased, the crystallinity of
403 the thin films was enhanced as manifested by the intensity and sharpness of the XRD peaks of the SnO₂
404 thin films. The substrate temperature dependence can be interpreted mainly by the mobility of the
405 atoms in the thin films. At low substrate temperatures, the vapor species have a low surface mobility
406 and are located at different positions on the surface. The low mobility of the species will prevent full
407 crystallization of the thin films. However, at high substrate temperatures, the species with high enough
408 mobility will arrange themselves at suitable positions in the crystalline cell.^{74,75} The SnO₂ average grain
409 sizes were calculated using the Scherrer formula: $D = K\lambda / \beta \cos \theta$, where D is the diameter of the
410 nanoparticles, $K = 0.9$, λ (Cu K_{α}) = 1.5406 Å, and β is the full-width-at-half-maximum of the
411 diffraction lines. The results show that the average grain sizes of the SnO₂ nanoparticles at different
412 substrate temperatures are in the range of 25.3-27.8 nm. SnO₂ nanoparticle size increases from 25.3 nm
413 at 300 °C to 26.2 nm at 350 °C. It then increases to 27.0 nm at 400 °C and finally to 27.8 nm at 450 °C.
414 In fact, SnO₂ nanostructures can work as sensitive and selective chemical sensors. SnO₂ nanostructure
415 sensor elements can be configured as resistors whose conductance can be modulated by charge transfer
416 across the surface or as a barrier junction device whose properties can be controlled by applying a
417 potential across the junction. Functionalizing the surface further offers a possibility to improve their
418 sensing ability based on a better understanding of the influence of significant microstructural features,
419 for example, the development of gas sensors for the detection of environmentally harmful gases.



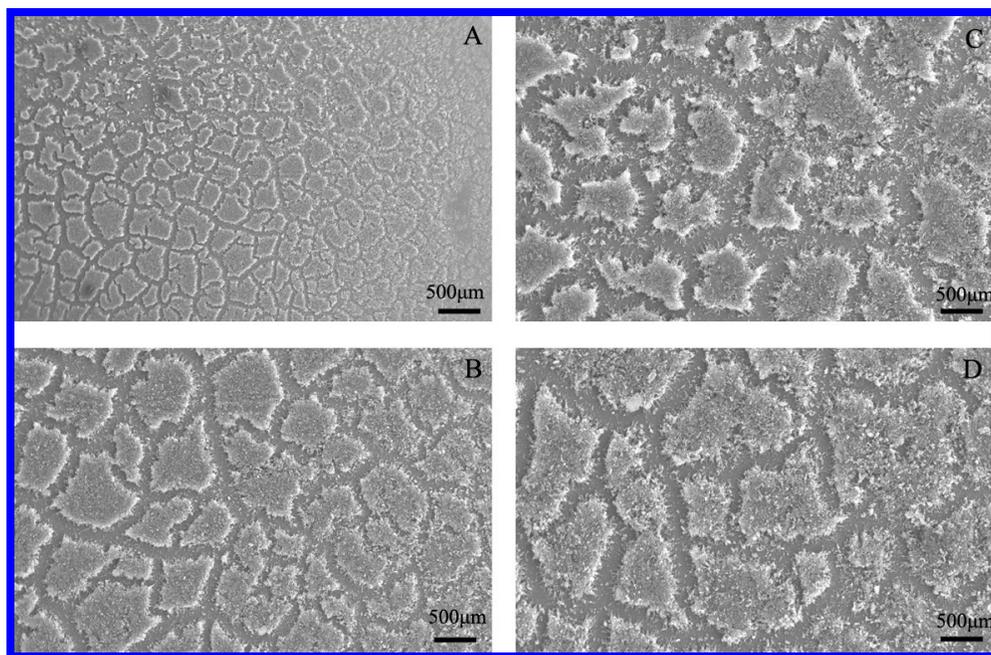
420

421 **Fig. 7.** XRD patterns of SnO₂ thin films prepared on Si (100) substrate at temperatures of (A) 300 °C;
422 (B) 350 °C; (C) 400 °C; and (D) 450 °C.⁷⁰

423

424 Fig. 8 presents SEM images of SnO₂ thin films prepared on Si (100) substrate at temperatures of (A)
425 300 °C, (B) 350 °C, (C) 400 °C, and (D) 450 °C respectively. The SEM observation indicated that all
426 thin films produced under different substrate temperatures exhibited self-similar fractal patterns. It can
427 be seen from Fig. 8 that the fractal patterns are open and loose structure with increasing substrate
428 temperature. The average sizes of the fractal patterns (or clusters) are about 0.307 μm (see Fig. 8A),
429 0.906 μm (see Fig. 8B), 1.202 μm (see Fig. 8C) and 1.608 μm (see Fig. 8D). The average sizes of the
430 fractal clusters for four thin films were estimated by measurement on the fractal regions. The
431 measuring procedure is as follows: for each SEM image, we chose ten fractal patterns at random to get
432 an average value. The average sizes of the fractal patterns were obtained by averaging the values of

433 SEM images with different orientations. It was found that the average sizes of the fractal clusters
434 increase with increasing substrate temperature.



435

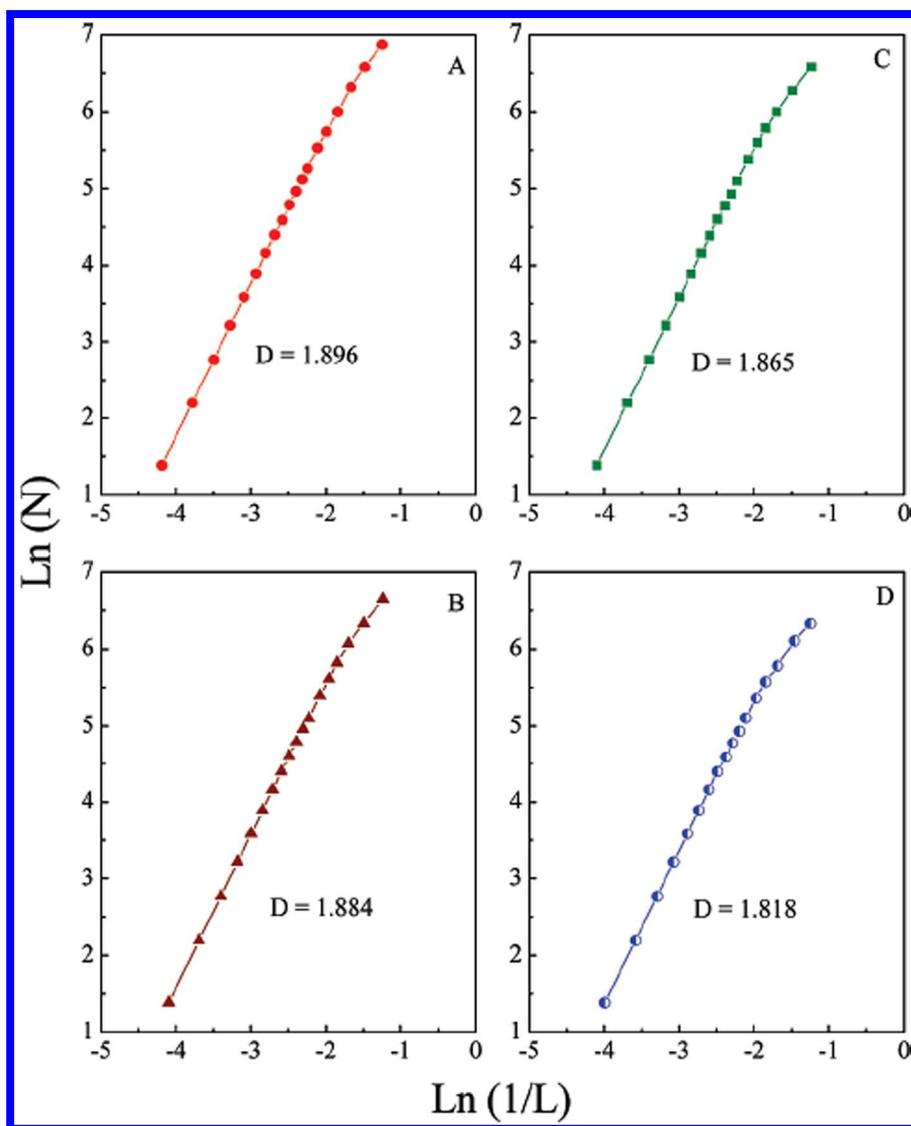
436 **Fig. 8.** SEM images of SnO₂ thin films prepared on Si (100) substrate at temperatures of (A) 300 °C; (B)
437 350 °C; (C) 400 °C; and (D) 450 °C.⁷⁰

438

439 3.4. Fractal Dimension Calculation

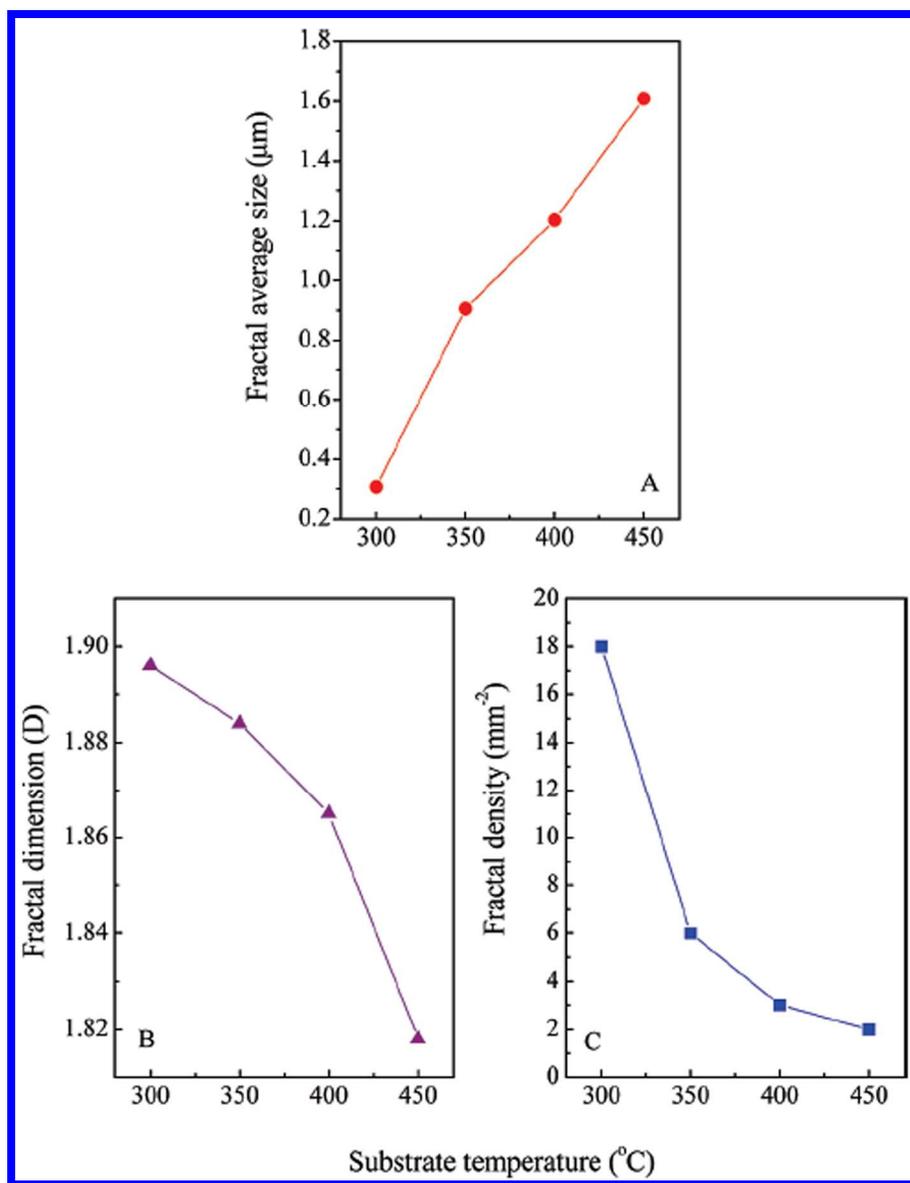
440 Fig. 9 shows that the plots of $\ln(N)$ versus $\ln(1/L)$ of the fractal cluster regions in Fig. 8, where L is
441 the box size and N is the number of boxes occupied by the SnO₂ clusters. It can be seen that all plots
442 show good linearity, which means that the morphologies of SnO₂ clusters have scale invariance within
443 these ranges. So the SnO₂ clusters can be regarded as fractals. In order to obtain the fractal dimension
444 (D), we fit a linear relationship for the function $\ln(N)$ versus $\ln(1/L)$. The results show that the fractal
445 dimension (D) is 1.896 at 300 °C as shown in Fig. 9A, 1.884 at 350 °C as shown in Fig. 9B, 1.865 at
446 400 °C as shown in Fig. 9C, and 1.818 at 450 °C as shown in Fig. 9D. We found that the fractal
447 dimension (D) decreases with increasing substrate temperature. The smaller fractal dimension means

448 that the SnO₂ thin films are composed of the open and loose fractal structure with finer branches. Fig.
449 10A to C shows the distribution of the fractal average size, fractal dimension and fractal density for
450 different substrate temperatures. It can be seen that there is an obvious increase in average fractal size
451 (see Fig. 10A), and the fractal dimension generally decreases (see Fig. 10B) with increasing substrate
452 temperature. In general, the fractal density is determined by the initial nucleation probability of the core
453 crystal. From Fig. 10C, the fractal density was calculated to be 18, 6, 3, and 2 mm⁻² at 300 °C, 350 °C,
454 400 °C, and 450 °C respectively. It was found that the fractal density gradually decreases with
455 increasing substrate temperature. In the present work, the initial increase in nucleation probability was
456 due to strain relaxation caused by the low short-range temperature field at 300 °C, so that the fractal
457 density and their occupation area were high. With the increase of substrate temperature, the higher
458 long-range temperature field may promote new nuclei and subsequent growth, which leads to the
459 fractal growth of the fine branches and a lower fractal density. This fractal structure may lead to
460 improvement in the design of gas sensors for the monitoring of environmental pollutants.



461

462 **Fig. 9.** Plots of $\ln(N)$ versus $\ln(1/L)$ of the fractal cluster regions in Fig. 3, where L is the box size and N
463 is the number of boxes occupied by the SnO_2 crystalline structure for substrate temperatures at (A) 300
464 $^\circ\text{C}$; (B) 350 $^\circ\text{C}$; (C) 400 $^\circ\text{C}$; and (D) 450 $^\circ\text{C}$.⁷⁰



465

466 **Fig. 10.** (A) The fractal average size; (B) the fractal dimension; (C) the fractal density versus the
467 substrate temperature.⁷⁰

468

469 3.5. Fractal Formation Mechanism

470 On the basis of our experimental observation, the formation process of SnO₂ nanocrystals and fractal
471 clusters could be reasonably described by a novel model, and be separated into eight steps, which
472 illustrated in detail in Fig. 11.

473 (i) Operation of the KrF excimer laser at a repetition rate of 10 Hz at an incident angle of 45° to the
474 polished sintered cassiterite SnO_2 target rotating at a rate of 15 rpm to avoid drilling.

475 (ii) Production of the high-temperature and high-pressure tin dioxide plasma at the solid-liquid
476 interface quickly after the interaction between the pulsed laser and SnO_2 target.

477 (iii) Subsequent expansion of the high-temperature and high-pressure tin dioxide plasma leading to
478 cooling of the tin dioxide plumes.⁷⁶⁻⁷⁹ In our case, the interval between two successive pulses is much
479 longer than the life of the plasma. Therefore, the next laser pulse had no interaction with the former
480 plasma.

481 (iv) Deposition of the tin dioxide plume on the Si (100) substrate after the disappearance of the
482 plasma, inducing the initial nucleation of SnO_2 nanocrystals.

483 (v) Grain rotation culminating in a low-energy configuration. This process is directly related to the
484 reduction of surface energy, aimed at minimizing the area of high-energy interfaces.^{45,46}

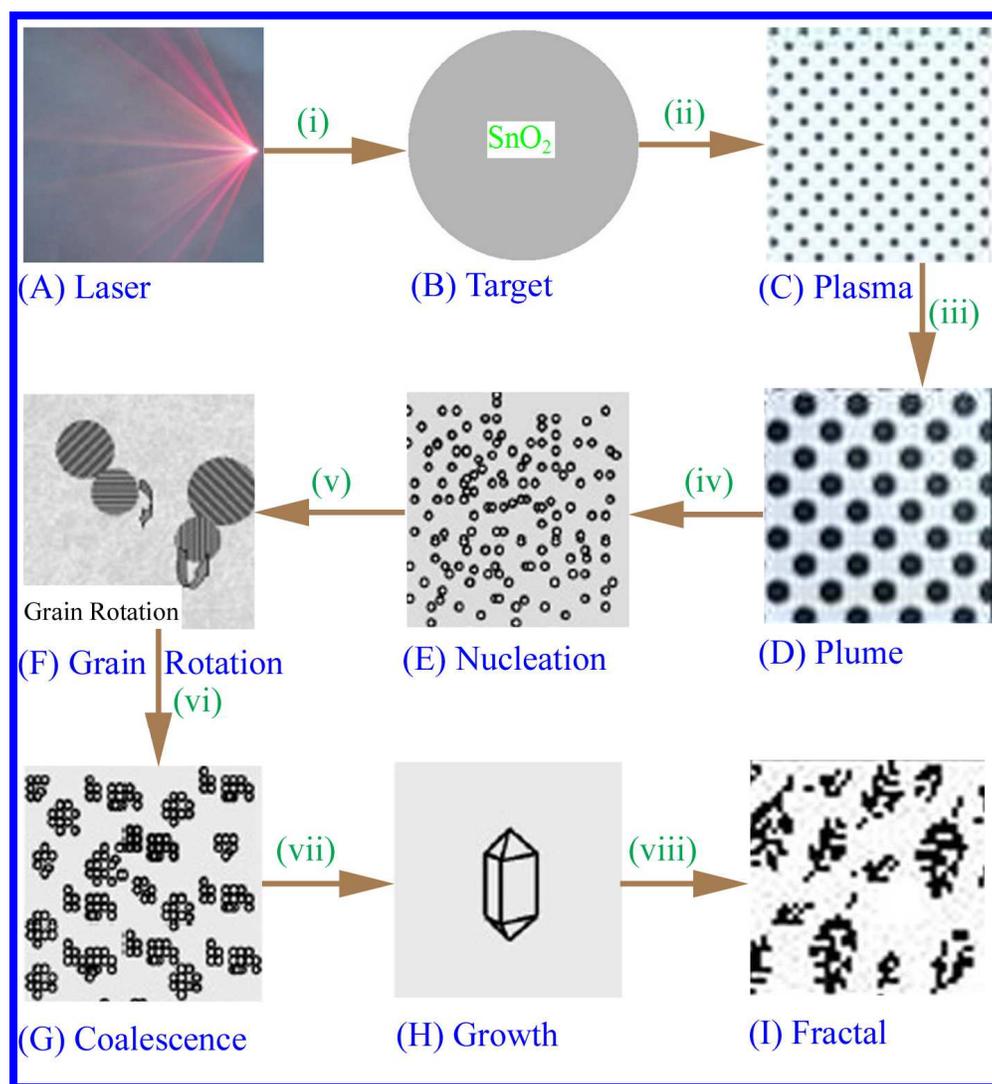
485 (vi) Possible formation of a coherent boundary between grains due to grain rotation, with the
486 consequence of removing the common grain boundary and culminating in a single larger SnO_2
487 nanocrystal. This is the coalescence process.

488 (vii) Growth of SnO_2 nanocrystals along preferred crystallographic directions which could be
489 predicted by an analysis of the surface energy in several crystallographic orientations.

490 (viii) Formation of the fractal structure as SnO_2 crystallizes and nucleates at high energy interfaces
491 such as grains boundaries.

492 According to the fractal theory,^{80,81} the heat released by crystallization leads to a local temperature
493 rise in the surrounding area and this temperature field can propagate quickly and stimulate new nuclei
494 appearing randomly in nearby regions. The stimulated nuclei of the next generation can also cause a

495 local temperature rise and repeat the above process many times until SnO₂ fractal patterns are formed.
 496 Based on the above proposed formation mechanism, we characterize the formation processes of SnO₂
 497 nanocrystals and fractal structure in Fig. 11A-I. We believe that laser ablation technique is an
 498 appropriate method to synthesize a series of environmental functional materials with controlled
 499 composition, morphology and nanocrystal size, which are of important in the study of the sensitivity of
 500 SnO₂ thin films.



501

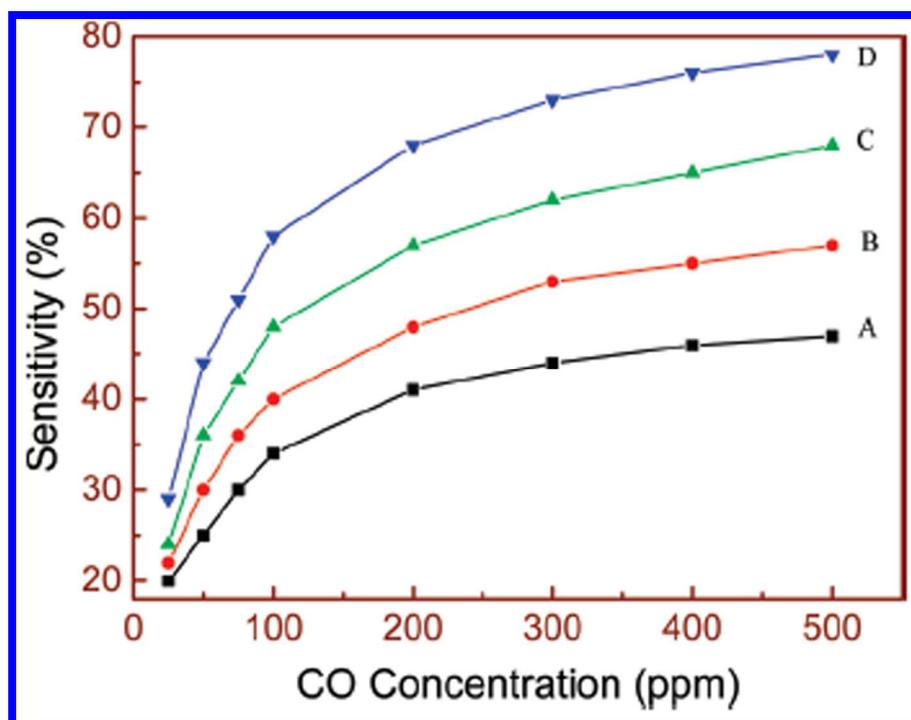
502 **Fig. 11.** The formation process of SnO₂ nanocrystals and fractal clusters. (A) Laser; (B) Target; (C)
 503 Plasma; (D) Plume; (E) Nucleation; (F) Grain Rotation; (G) Coalescence; (H) Growth; and (I)
 504 Fractal.⁷⁰

505

506 **3.6. Gas Sensing Behavior**

507 To verify the gas sensing behavior of these SnO₂ thin films with interesting features of the fractal
508 structure, we investigate the sensitivity dependence on carbon monoxide (CO) concentration, so as to
509 achieve the aim of monitoring environmental pollutants. Fig. 12 shows the CO gas sensing behavior of
510 the SnO₂ thin films prepared on Si (100) substrate at (A) 300 °C, (B) 350 °C, (C) 400 °C, and (D) 450
511 °C respectively. The measurement was performed at room temperature with CO concentrations of 25,
512 50, 75, 100, 200, 300, 400, and 500 ppm. We observe that the sensitivity increases with increasing CO
513 concentration and substrate temperature. Similarly Cooper and Cicera found their SnO₂ thin film sensor
514 possessed higher sensitivity to CO by using different procedures.^{82,83} Further advancement of this gas
515 sensor fabricated by the SnO₂ thin films with fractal structure to detect environmental harmful gases
516 such as CO requires a clear understanding of its gas sensing mechanism. Our experimental results show
517 that the CO gas sensing behavior clearly depends on the fractal dimension, fractal density, and average
518 sizes of the fractal clusters (see Fig. 10 and 12). We propose a Random Tunneling Junction Network
519 (RTJN) mechanism to explain this gas sensing behavior. After the fractal formation, the fractal clusters
520 consist of the SnO₂ grains with the morphology of fine dendrite-like nanocrystals incorporating many
521 tunneling junctions of varying sizes. From the view of electron transport, the whole thin film is made
522 up of a series of tunneling junctions. For the SnO₂ thin films deposited at different substrate
523 temperatures, the sizes of the fractal branches with different fractal dimensions are different, leading to
524 differences in the height of the Schottky barrier of the tunneling junctions, with the consequence that
525 the breakdown voltages are also different. During the measurement of the gas sensitivity, the reducing
526 gas molecules such as CO react with the oxygen species (O₂, O₂⁻, O⁻, and O) ionized on the surface of
527 the SnO₂ particles. This lowers the height of the Schottky barrier, and increases the conductance.⁶²⁻⁶⁴
528 For example, for the SnO₂ thin film deposited at the lower substrate temperature (e.g. at 300 °C) with

529 the larger fractal dimension, the junction i will have the higher resistance state due to the thicker fractal
 530 branches, so the external voltage V_i cannot lower the Schottky barrier S_i and the junction i cannot be
 531 broken. The gas sensitivity is then lowered (see Fig. 12A). Conversely, for the SnO₂ thin film deposited
 532 at the higher substrate temperature (e.g. at 450 °C) with the smaller fractal dimension, the junction i
 533 will have the lower resistance state due to the finer fractal branches, so the external voltage V_i can
 534 lower the Schottky barrier S_i and the junction i will be broken. Therefore, the gas sensitivity would be
 535 higher (see Fig. 12D). As mentioned above, there is a relationship between the fractal dimension and
 536 the size of the fractal branches in that the number of the fine branches increases with decreasing fractal
 537 dimension. Therefore, the smaller the fractal dimension, the larger the number of junctions with the
 538 smaller Schottky barrier S_i and lower resistance state. The present findings reveal new opportunities for
 539 future study of fractal structure tin dioxide architectures, with the goal of optimizing environmental
 540 functional material properties for specific applications.



541

542 **Fig. 12.** The CO gas sensing behavior of SnO₂ thin films prepared on Si (100) substrate at temperatures

543 of (A) 300 °C; (B) 350 °C; (C) 400 °C; and (D) 450 °C.⁷⁰

544

545 **4. Tin Dioxide Planted Graphene Nanosheets**546 **4.1. Overview on Tin Dioxide Composite**

547 Nanocomposites have attracted widespread attention because of their potential to combine desirable
548 properties of different nanoscale building blocks to achieve advantageous electronic, optical, magnetic,
549 and mechanic properties.⁸⁴⁻⁸⁶ It has been demonstrated that the high-performance lightweight
550 composites could be developed by dispersing strong and highly stiff fibres in a polymer matrix and
551 tailored to individual applications.⁸⁷ Graphene as the basic plane of graphite is a single atomic layer of
552 sp^2 hybridized carbon atoms arranged in a honeycomb lattice. Graphene materials have attracted
553 special interest due to its excellent optical,⁸⁸⁻⁹⁰ mechanical,⁹¹⁻⁹³ and electrochemical properties.⁹⁴⁻⁹⁸
554 After an extensive search in the published literature, we stand at a similar threshold in the realm of
555 incorporation of well-dispersed graphene-based sheets, produced by mechanical exfoliation, solution
556 exfoliation and reduction of graphite oxide with metal oxides, to result in excellent applications. Many
557 types of metal oxide/graphene nanocomposites have been effectively prepared and extensively
558 discussed, including CoO/graphene,^{99,100} Co₃O₄/graphene,¹⁰¹⁻¹⁰⁴ CuO/graphene,^{105,106}
559 Mn₃O₄/graphene,¹⁰⁷⁻¹⁰⁹ Fe₃O₄/graphene,^{110,111} NiO/graphene,¹¹² TiO₂/graphene,^{113,114} and
560 ZnO/graphene,¹¹⁵ etc. These nanocomposites are achieved, not only by using the inherent properties of
561 the nanofiller, but also more importantly by optimizing the dispersion, interface chemistry and
562 nanoscale morphologies to take advantage of the enormous surface area per unit volume of graphene.

563 On the other hand, the size and morphology of metal oxides on graphene greatly affect their
564 properties as well as their applications. For instance, when the size of the particle decreased, the
565 surface-volume ratio and the band gap will increase correspondingly. This effect will finally lead to
566 enhancement of the sensitivity of gas sensors.⁷⁰ Therefore, the quantum effects, particularly in size of
567 quantum dots, have enhanced great interest in both basic and applied research.^{38,116} However, most

568 metal oxide/graphene composites prepared so far have relatively larger sizes, over 10 nm up to
569 hundreds of nanometers, with unsatisfactory dispersions. Furthermore, the main routes commonly used
570 for the preparation of the metal oxide/graphene composites were either carried out with complicated
571 processes or often suffered from poor manipulation on metal oxide/graphene composites. Therefore, it
572 is highly desirable to develop a facile and general approach for the synthesis of metal oxide/graphene
573 composites with favored microstructures for high-performance electrochemical properties.¹¹⁷

574

575 **4.2. Tin Dioxide Planted Graphene Strategies**

576 The reaction procedure can be described as a two-step method: (i) in situ loading of SnO₂ QDs
577 (SQDs) onto graphene oxide (GO) and (ii) GO conversion to graphene. The detailed preparation
578 procedure is as follows: GO solution was synthesized from natural graphite powders by a modified
579 Hummer's method.⁹⁹ The GO was washed repeatedly with de-ionized water to completely remove
580 metal and acid particles. SnO₂ quantum dots and graphene nanosheets (SQDs/GNS) composites were
581 prepared by co-precipitation method of the GO and SnCl₂·2H₂O solution. In a typical synthesis, 100 ml
582 GO solution was dispersed in 50 ml distilled water by ultrasonic treatment to form a colloidal
583 suspension. Subsequently, the suspension was mixed with 10 ml aqueous solution of SnCl₂·2H₂O (0.45
584 g). The resulting mixture with the Sn/graphene ratio = 1:1 in weight was continually stirred for 30 min.
585 The mixture was then placed in an ultrasonic bath for 60 min. The product was left for 24 h, washed
586 completely with distilled water, and dried in vacuum at 60 °C. Finally, the product was annealed at 500
587 °C for 3 h in N₂ atmosphere. For comparison, the pure graphene nanosheets were prepared by the
588 reduction of dried GO in N₂ atmosphere at 500 °C for 3 h.

589 The XRD patterns were obtained from a Japanese Regaku D/max-2500 machine using Cu K_α
590 radiation in reflection geometry. An operating voltage of 40 kV and a current of 40 mA were used.

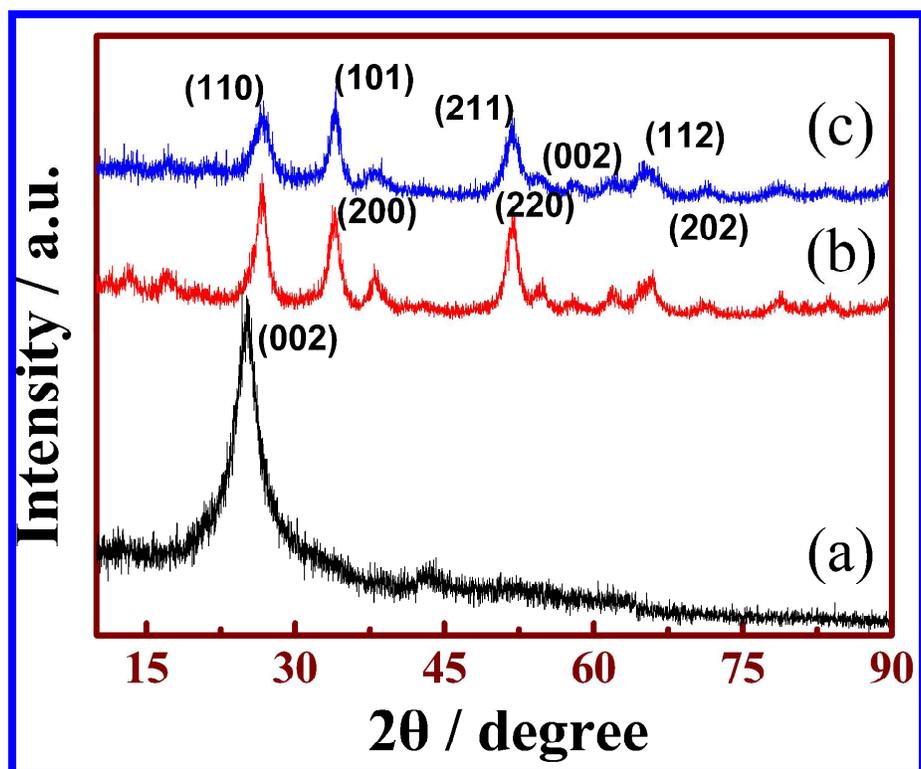
591 XRD patterns were recorded at a scanning rate of $0.08\text{ }^{\circ}\text{s}^{-1}$ in the 2θ range 10 to 90° . Field emission-
592 secondary electron microscopy (FE-SEM) imaging was carried out with a field emission scanning
593 electron microanalyzer (JEOL-6700F, 15 kV). HRTEM observations were performed on a JEOL JEM-
594 2010F electron microscope operating at 200 kV. Surface area determinations were performed by
595 Brunauer-Emmett-Teller (BET) method using an ASAP-2000 Surface Area Analyzer (Micromeritics
596 Instrument Corporation). Raman scattering measurements were obtained by backscattering geometry
597 with a SPEX-1403 laser Raman spectrometer. The excitation source was an argon-ion laser operated at
598 a wavelength of 514.5 nm in the backscattering configuration and a low incident power to avoid
599 thermal effects. Thermogravimetric analysis (TGA) was conducted on a STA 449C instrument
600 (Netzsch Instrument Co., German) from 30 to $1000\text{ }^{\circ}\text{C}$ at a speed of $10\text{ }^{\circ}\text{C}/\text{min}$ under N_2 atmosphere.

601

602 4.3. Microstructure Analysis

603 Fig. 13 shows the typical XRD patterns of (a) bare pure GNS, (b) SQDs/GNS composites, and (c)
604 SnO_2 nanoparticles. The pure GNS only shows a strong (002) diffraction peak in the XRD pattern as
605 shown in Fig. 13a. This peak shows that the GNS is multi-layer graphene stacked with an interlayer
606 spacing of about 0.35 nm, indicating that the graphene is similar to natural graphene sheets ($d_{002} = 0.34$
607 nm).¹¹⁸ Comparing Fig. 13a, b and c, it can be seen that the major diffraction peaks of some lattice
608 planes of the SQDs/GNS composites as shown in Fig. 13b are similar to the tetragonal unit cell
609 structure of SnO_2 with lattice constants $a = 4.738\text{ \AA}$ and $c = 3.187\text{ \AA}$, consistent with the standard
610 values for bulk SnO_2 (International Center for Diffraction Data (ICDD), PDF File No. 77-0447). The
611 observed (hkl) peaks are (110), (101), (200), (211), (220), (002), (112) and (202), indicating that the
612 SnO_2 particles in this composite are well-crystallized. The minor peak (particularly at ca. 15 degrees)
613 belonging to other tin oxide crystals or impurities were detected. The high intensity of these peaks

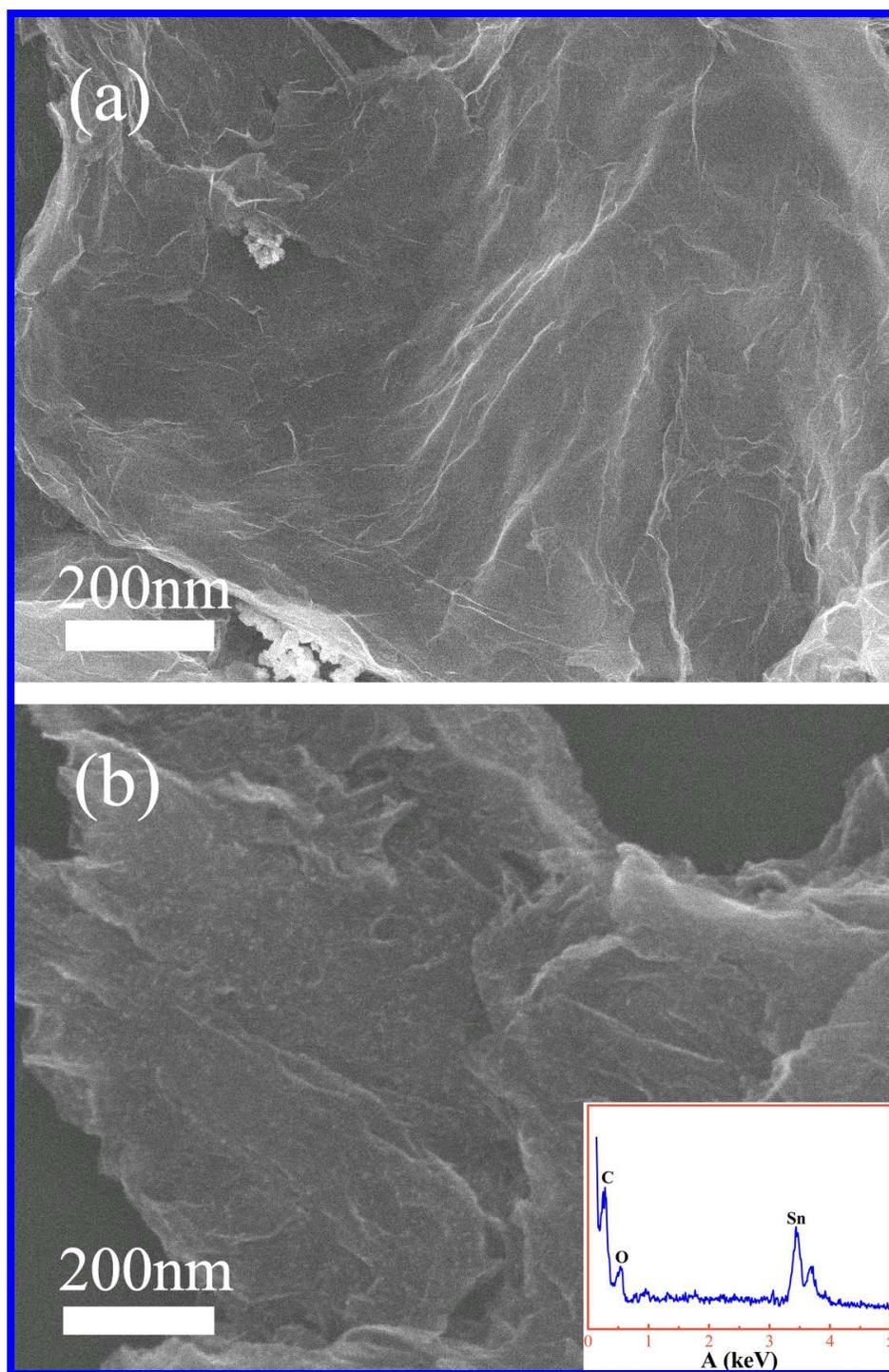
614 suggests that this SQDs/GNS composite mainly consists of the crystalline phase, while the (002)
615 diffraction peak of layered GNS has almost disappeared. Thus, we can speculate that the regular
616 lamellar structure of the GNS sheets has been completely broken in the as-synthesized composites,
617 forming exfoliated GNS nanosheets.



618

619 **Fig. 13.** The typical XRD patterns of (a) bare pure GNS, (b) SQDs/GNS composites, and (c) SnO₂

620 nanoparticles.¹¹⁷



621

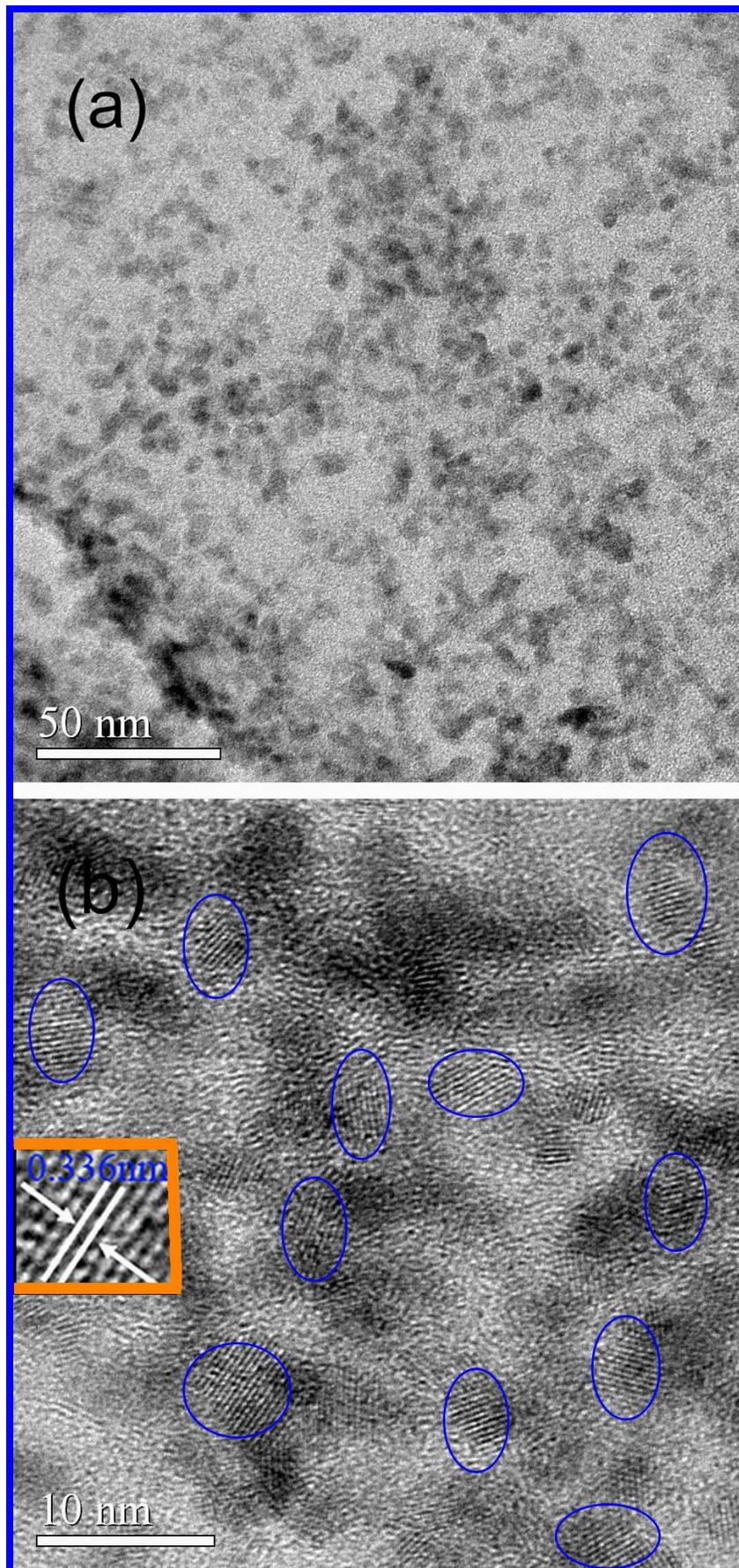
622 **Fig. 14.** FE-SEM images of (a) GNS and (b) SQDs/GNS composites on Si (100) substrates.¹¹⁷

623

624 Fig. 14 shows the FE-SEM images of (a) GNS and (b) SQDs/GNS composites on Si (100)
625 substrates. Fig. 14a shows a representative SEM image of the GNS from the top view. This image
626 shows layered platelets composed of curled nanosheets, in accordance with the (002) diffraction peak
627 in the XRD pattern of the GNS. Fig. 14b shows the FE-SEM image of the SQDs/GNS composites
628 prepared by the facile synthesis approach. It is found that the FE-SEM images of the GNS and
629 SQDs/GNS composites have similar morphology, implying that a fine structural manipulation of the
630 GNS was successfully achieved even after the reassembling process with SnO₂ nanoparticles.
631 Interestingly, it can be seen clearly that the SnO₂ nanoparticles were uniformly distributed on the
632 graphene nanosheets, by which graphene nanosheet restacking and SnO₂ nanoparticles aggregation
633 were prevented. The component of prepared composites was confirmed by energy-dispersive X-ray
634 analysis (EDS) as shown in the inset of Fig. 14b. It can be found that only Sn, C, and O elements were
635 detected and the atomic ratio of Sn and C atoms was 1:10, which was consistent with their weight ratio
636 (1:1). This further proves that the SnO₂ nanoparticles have been successfully assembled to the graphene
637 nanosheets.

638 The surface morphology, particle size and microstructure of these SQDs/GNS composites were
639 further investigated by HRTEM. Fig. 15a shows a typical exfoliated nanostructure. The TEM image
640 clearly illustrates that the nanoparticles on the surface of the GNS were composed of homogeneous
641 ultrafine SnO₂ nanoparticles. It is obvious that they can be called SnO₂ QDs which were uniformly
642 distributed on the surface of the GNS in as-prepared SQDs/GNS composites. During the calcination
643 process, the GNS distributed between SnO₂ QDs prevented the agglomeration of these SnO₂
644 nanoparticles,¹¹⁹⁻¹²¹ which was of great benefit to the formation of SnO₂ QDs. The SnO₂ nanoparticles
645 deposited on the GNS have also prevented the GNS from stacking into multi-layers¹²¹⁻¹²³ in accordance
646 with the result that no obvious diffraction peak attributed to the GNS in the XRD pattern of the
647 SQDs/GNS composites was observed. Fig. 15b displays a HRTEM image of the SQDs/GNS

648 composites. This shows clearly that the SnO₂ QDs can form in the SQDs/GNS composites. The size
649 distribution of SnO₂ QDs is estimated to be a ranging from 4 to 6 nm and their average size is
650 calculated to be about 4.8 ± 0.2 nm according to the XRD and HRTEM results. The clear lattice fringes
651 demonstrate that the SnO₂ QDs were composed of ultrafine nanoparticles. In addition, the HRTEM
652 image of a typical SnO₂ quantum dot shown in the inset of Fig. 15b proves that the clear crystal lattice
653 with a spacing of 0.336 nm corresponds to (110) face of the SnO₂ rutile phase. Therefore, it is certain
654 that the SnO₂ QDs distributed uniformly on the surface of the GNS were well-crystallized and was pure
655 SnO₂. It is known that the embedding SnO₂ QDs in a graphene nanosheet matrix would be an ideal
656 strategy to overcome the electrode material's poor cycling performance in lithium-ion batteries, which
657 is attributed to the large volume changes and serious aggregation of particles during repeated lithium
658 insertion and extraction reactions.¹²⁴ However, the synthesis of a single-layer graphene nanosheet
659 matrix is very challenging due to its high surface energy.¹²⁵ Here, the SQDs/GNS composites with very
660 few layers of graphene nanosheets have been successfully synthesized via a facile ultrasonic method. In
661 addition, the approach is environmentally friendly because there is no toxic gas release during the
662 reaction. Results show that this method can effectively prevent graphene nanosheets from being
663 restacked, probably due to in situ loading of nanoparticles, which can decrease the surface energy of
664 the graphene nanosheets.¹²⁴

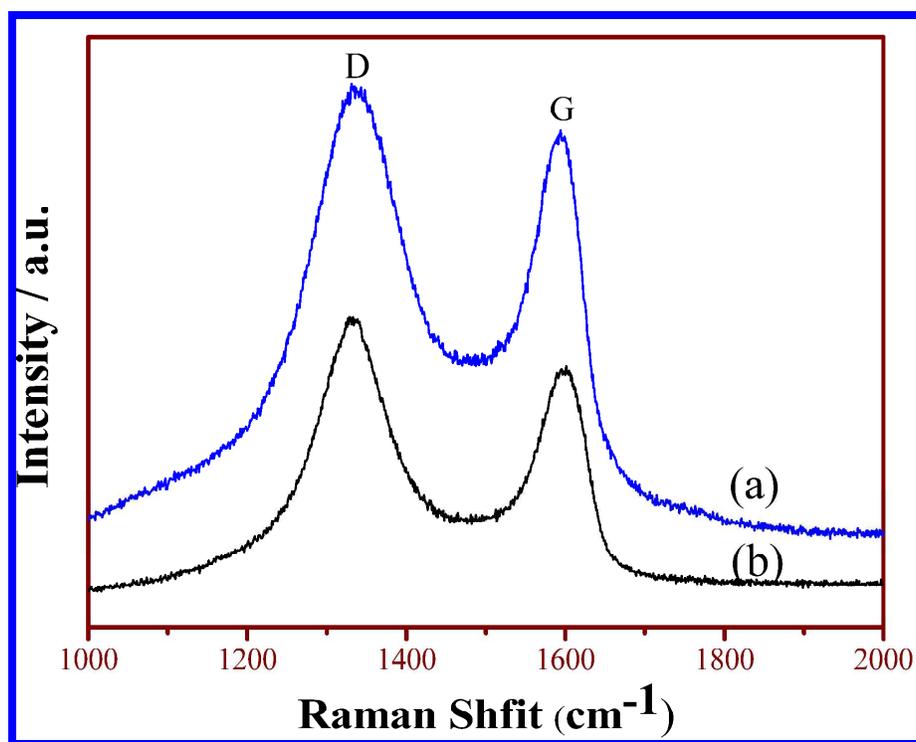


666 **Fig. 15.** TEM images of SQDs/GNS composites. (a) Low-magnification TEM image, (b) HRTEM
667 image.¹¹⁷

668

669 In order to obtain further information on the microstructure evolution of chemical processing from
670 GO to SQDs/GNS, Raman spectroscopy was employed owing to its fine sensitivity to the crystal
671 surface. As seen in Fig. 16, the Raman spectrum of the GNS as shown in Fig. 16a contains both *D* band
672 ($\sim 1335\text{ cm}^{-1}$, k-point phonons of A_{1g} symmetry)¹²⁶ and *G* band ($\sim 1593\text{ cm}^{-1}$, E_{2g} phonons of sp^2 atoms).
673 Raman spectra with characteristic *D* and *G* bands are sensitive to defects, disorder and carbon grain
674 size, and have been extensively used to characterize carbon materials. The *D* band in the Raman spectra
675 is an indication of disorder in the GNS originating from defects associated with vacancies, grain
676 boundaries, and amorphous carbon species. The Raman spectrum of SQDs/GNS composites as shown
677 in Fig. 16b contains the *G* band at 1600.97 cm^{-1} owing to the presence of isolated double bonds that
678 resonates at higher frequencies than the *G* band (1593.88 cm^{-1}) of the GNS. The I_D/I_G intensity ratio is
679 a measure of the degree of disorder and average size of the sp^2 domains.¹²⁷⁻¹³⁰ In Fig. 16, the I_D/I_G of
680 the GNS is 1.09, but the value of I_D/I_G is 1.20 for the SQDs/GNS composites. Thus, there is an
681 increased I_D/I_G intensity ratio in SQDs/GNS. When pyrolyzing GO to form GNS in N_2 , the mass of GO
682 sharply declined, accompanied by a large number of gas emissions, such as CO, CO₂ and H₂O. The
683 graphene nanosheets are stripped by breaking the restraint of Van-Der-Waals force in this process.¹³¹
684 The emission of CO and CO₂ results in a large loss of carbon atoms from the graphene layers. This
685 generates a lot of carbon cavities, leading to disorder in pyrolyzed GNS. It is noticeable that an
686 increased I_D/I_G ratio in SQDs/GNS (1.20) was observed in comparison with that of GNS (1.02) at the
687 same annealing condition. This change suggests a decrease in the average size of the sp^2 domains,¹³²
688 and an increase in vacancies, grain boundaries, and amorphous carbon species. Because of the
689 reduction effect of bivalent tin, the Sn^{2+} is integrated with the oxygen atom from the carboxy group of

690 GO to form SnO₂ nanoparticle during stirring and ultrasonic vibration. Therefore, the Raman results are
691 consistent with the results of TEM and EDS, indicating the formation of SQDs/GNS composites.



692

693 **Fig. 16.** Room-temperature Raman spectra of (a) GNS and (b) SQDs/GNS composites.¹¹⁷

694

695 4.4. BET Investigation

696 Thermoanalysis results of the pure GNS and SQDs/GNS composites are given in Fig. 17a and b
697 respectively. As can be seen in Fig. 17, thermogravimetric (TG) analysis of the pure GNS shows that
698 the amount of weight loss (mass loss \approx 16.65%) is smaller than that of the SQDs/GNS composites
699 (mass loss \approx 26.27%). The redundant portion may be attributed to loss of ammonia, physically
700 absorbed water, and chemically bonded water in the SnO₂ QDs.

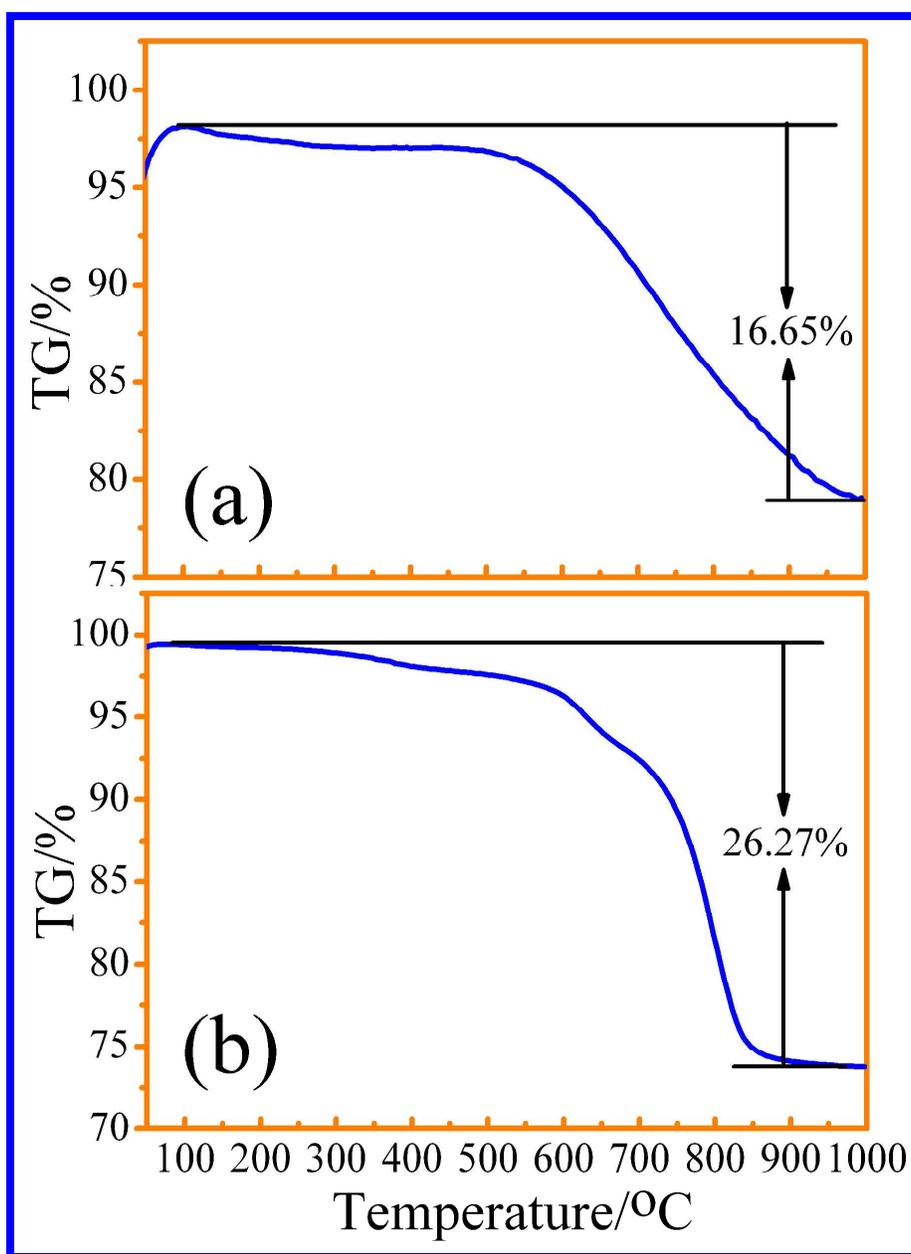


Fig. 17. TG curves of (a) GNS and (b) SQDs/GNS composites.¹¹⁷

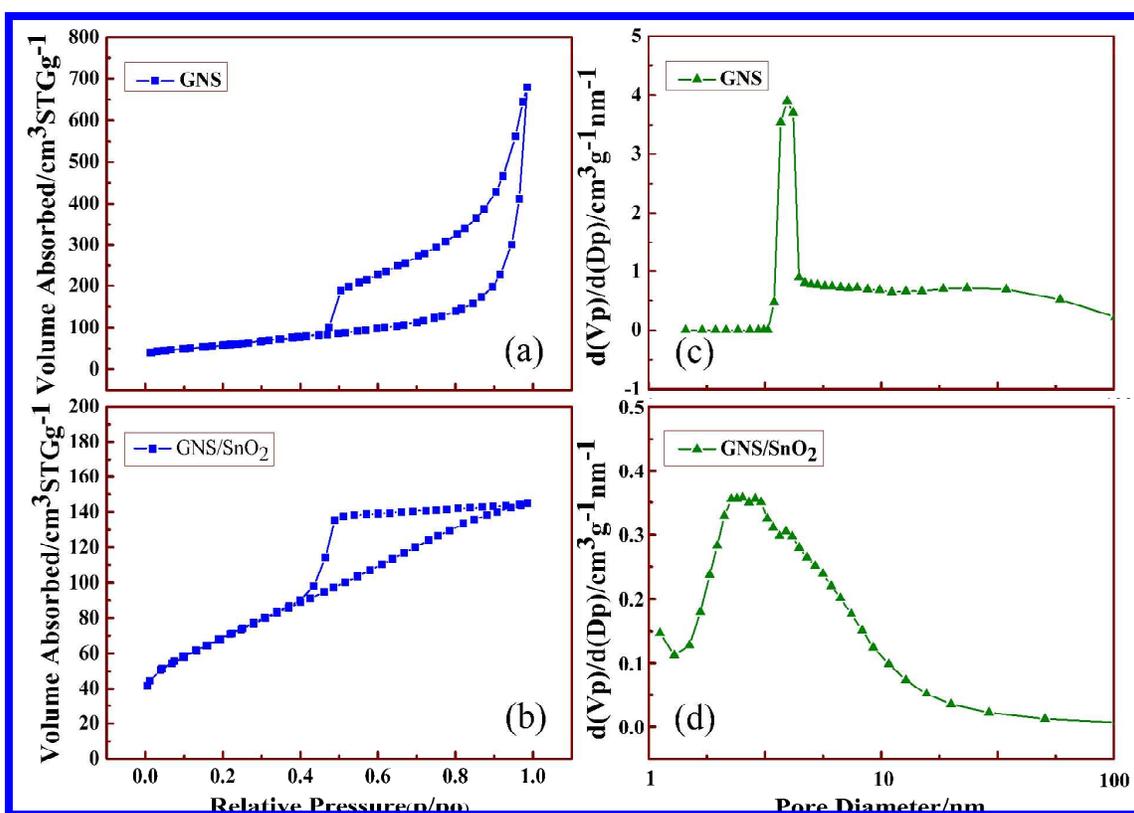
701

702

703

704 N_2 adsorption/desorption isotherms were employed to investigate the pore structures of the GNS and
705 SQDs/GNS composites as shown in Fig. 18a and b, respectively. The Brunauer-Emmett-Teller (BET)
706 surface areas of the GNS and SQDs/GNS composites are about $205 \text{ m}^2/\text{g}$ and $250 \text{ m}^2/\text{g}$, respectively.
707 Obviously, the BET specific surface area of the SQDs/GNS composites is bigger than that of the GNS.

708 Because of the confinement effect of the GNS, the SnO₂ QDs can be separated one by one. Thus, the
709 SnO₂ nanoparticles on the both sides of the GNS are expected to become smaller and the specific
710 surface area of the SQDs/GNS composites became larger. A hysteresis loop in the nitrogen
711 adsorption/desorption isotherms of the pure GNS was observed as shown in Fig. 18a, indicating that
712 the graphene sheets are porous. This hysteresis loop resembles type-H3 IUPAC (International Union of
713 Pure and Applied Chemistry) classification, and may result from slit-shaped pores between parallel
714 layers.¹³³ However, a nitrogen sorption hysteresis loop in the SQDs/GNS composites was also clearly
715 observed in Fig. 18b. The differences are that the adsorption branch rises slowly to the higher relative
716 pressure point and then reaches to a constant value, whereas, the desorption branch moves slowly to
717 middle P/P_0 signal point and decreases sharply, showing a clear type-H2 of the SQDs/GNS
718 composites.¹³⁴ Furthermore, it should be pointed out that the nitrogen desorption of the SQDs/GNS
719 composites occurs at much lower relative pressure than that of the GNS, indicating that desorption of
720 N₂ molecules from the larger cavity is retarded by the smaller necks. For the above evidences, the ink-
721 bottle-like pores structure can be concluded for the SQDs/GNS composites. For this structure, the
722 desorption of N₂ occurs from the narrow neck and this is replenished from the larger parts of the
723 pore.¹³⁵ Fig. 18c shows that the main pore size distribution of the GNS is about 3-5 nm, while Fig. 18d
724 shows that the SQDs/GNS pore size distribution is between 2 and 4 nm. Interestingly, the GNS
725 possesses higher porosity than that of the SQDs/GNS composites. The total pore volume of the GNS
726 ($0.47 \text{ cm}^3 \text{ g}^{-1}$) is larger than that of the SQDs/GNS composites ($0.22 \text{ cm}^3 \text{ g}^{-1}$). It can be reasonably
727 speculated that the decreased pore volume in the SQDs/GNS composites could arise primarily from the
728 SnO₂ QDs, which filled the space of the close stacking of graphene nanosheets, consistent with the
729 average size of $4.8 \pm 0.2 \text{ nm}$ for SnO₂ QDs in HRTEM observations as shown in Fig. 15b.



730

731 **Fig. 18.** Nitrogen adsorption/desorption isotherms of (a) GNS and (b) SQDs/GNS composites. Pore
 732 diameter distribution patterns of (c) GNS and (d) SQDs/GNS composites.¹¹⁷

733

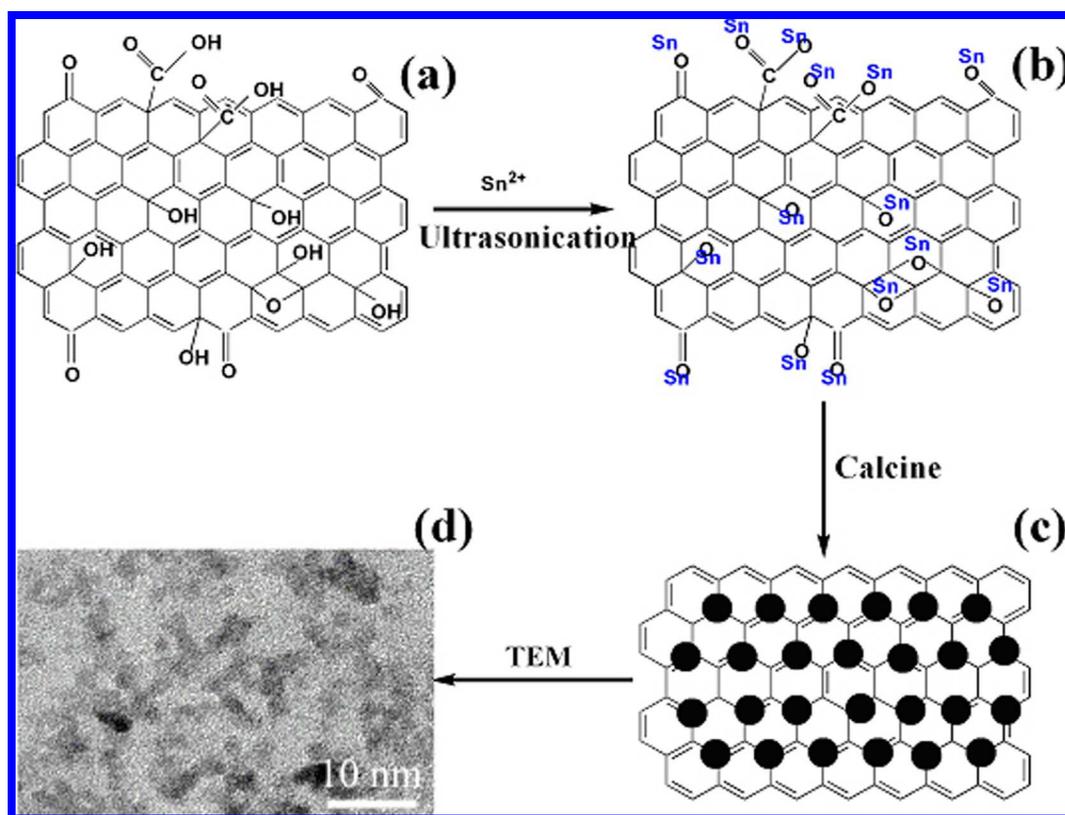
734 4.5. Formation Mechanism

735 Further development of the SQDs/GNS composites requires a clear understanding of their formation
 736 mechanism. On the basis of our experimental results, Fig. 19 shows the formation processes of the
 737 SQDs/GNS composites, which could be reasonably described by a novel model, and be separated into
 738 three steps. A GO sheets solution is fabricated from pristine graphite. Many previous studies have
 739 confirmed that the GO sheets are heavily oxygenated with hydroxyl and epoxide functions groups
 740 decorated on their basal planes, in addition to carbonyl and carboxyl groups located at the sheets
 741 edges.^{133,136} Firstly, the GO was well dispersed in distilled water to form a uniform GO nanosheets
 742 suspension by the strong hydrophilic of these functional groups.^{121,137} Then, the GO solution was

743 mixed with a certain concentration SnCl_2 solution. Sn^{2+} ion is selectively bonded with carboxyl and
744 carbonyl through electrostatic attraction.¹³⁸ As a result of the strong stirring conditions, the mixture
745 solution is homogeneously dispersed and Sn^{2+} ions are also uniformly dispersed on the surface of GO
746 sheets. Under ultrasonic vibration conditions, the interlayer spacing gradually increases, and Sn^{2+} ions
747 could easily migrate into the enlarged layer. Then Sn^{2+} ions, which are adsorbed firmly on the both
748 sides of the GO, prohibit the stacking of the GO by Van Der Waals forces. Sn^{2+} ions are not very stable
749 due to electrostatic attraction. Finally, the precursor is heated at 500 °C in N_2 for 3 h. The basic Sn^{2+}
750 ions should be converted uniformly to small SnO_2 QDs and the reduction of the GO. The GNS should
751 occur simultaneously. We think that the rational selection of the SnCl_2 precursor and the ultrasonic
752 method may be critical for the successful preparation of uniformly dispersed SnO_2 QDs on graphene
753 substrates. First, the basic Sn^{2+} ions are firmly anchored on both sides of the GO through electrostatic
754 attraction, and thus enhance the conjunction stability of the hybrids. This is an essential way to get the
755 highly dispersed Sn^{2+} ions on the GNS. Subsequently, the ultrasonic method provides a necessary
756 driving force for complete uniform disposition.

757 To sum up, we have reported a facile one-step ultrasonic way to synthesize SnO_2 QDs on graphene
758 nanosheets by using $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ as a precursor at ambient temperature. We believe that the
759 incorporation of well-dispersed graphene-based nanosheets with the metal oxides was an effective way
760 to achieve the SnO_2 nucleation and the SnO_2 QDs growth. In this article, we provided some preliminary
761 investigations in assembling SnO_2 QDs to graphene nanosheets. The further detailed investigations on
762 the influence some specific synthetic conditions (such as Sn:GO ratio, calcination temperature,
763 sonication time, and pH value of the solution) on the experimental results are in progress. The
764 ultrasonic process indicated that the loading of SnO_2 QDs was an effective way to prevent graphene
765 nanosheets from being restacked during the reduction. The calcination process revealed that the
766 graphene nanosheets distributed between SnO_2 nanoparticles also prevented the agglomeration of SnO_2

767 nanoparticles, which were beneficial to the formation of SnO₂ QDs. The present results indicated that
 768 this research has carried out important developments to the SnO₂/graphene nanocomposites.



769

770 **Fig. 19.** Schematic formation mechanism of SQDs/GNS composites. (a) GO, (b) electrostatic
 771 interaction between oxide functional groups of GO and Sn²⁺, (c) graphene decorated with SnO₂
 772 nanoparticles (filled circles) after the calcine treatment, and (d) TEM image of SQDs/GNS
 773 composites.¹¹⁷

774

775 5. Conclusion and Outlook

776 5.1. Conclusion

777 In this article, we has reviewed the efforts toward understanding the synthesis strategies,
 778 microstructural evolution, related formation mechanism, and performance evaluation of SnO₂ various

779 morphologies including SnO₂ QDs, thin films, and composites prepared by electron-beam irradiation,
780 pulsed laser ablation, and SnO₂ planted graphene strategies. The following conclusions can be drawn
781 from the present work:

782 In the section 2, the electron-beam irradiation strategies for growth behavior of SnO₂ nanocrystals
783 were assessed in detail. It can be emphasized that the crystallinity of irradiated SnO₂ powders is better
784 than unirradiated one. The BET specific surface area of the irradiated sample is much higher, which is
785 almost doubled at 1400 kGy. The appearance of new Raman peaks is closely related to the relaxation of
786 Raman selection rule due to the EBI effects in the increasing of QD density and high concentration of
787 defects in surface site. Under 1400 kGy irradiation dose, the high-quality of SnO₂ QDs with diameter
788 ranging from 2 to 4 nm can be obtained. The clear lattice fringes of the QDs indicated that the crystal
789 planes of the QDs are structurally perfect and uniform. Slight misorientations changing in the QD
790 orientation demonstrated that the grain boundary must migrate toward the smaller crystal nucleus,
791 resulting in a single larger QD. The results revealed that the electron-beam irradiation method is a
792 potentially powerful technique to achieve SnO₂ nucleation and QD growth.

793 In the section 3, SnO₂ thin films prepared at different substrate temperature with interesting fractal
794 features were presented. The experimental evidence indicated that the fractal clusters with various
795 sizes, densities, and fractal dimensions were affected by different substrate temperature. The formation
796 of significant fractal features was described by (i) operation of the KrF excimer laser; (ii) production of
797 the tin dioxide plasma; (iii) cooling of the tin dioxide plumes; (iv) deposition of the tin dioxide plume
798 on the Si substrate; (v) grain rotation; (vi) formation of coherent boundary between grains followed by
799 coalescence; (vii) growth of SnO₂ nanocrystals along preferred crystallographic directions; and (viii)
800 formation of the fractal structure. The CO gas sensing behavior was sensitively dependent on fractal
801 dimensions, fractal densities, and average sizes of the fractal clusters. The sensitivity increases with

802 increasing CO concentration and decreasing fractal dimension. The Random Tunneling Junction
803 Network (RTJN) mechanism can be applied to explain this gas sensing behavior.

804 In the section 4, a ultrasonic approach for the facile synthesis of SQDs/GNS composites using GO as
805 supporting materials and SnCl₂ as a precursor was presented in detail. The microstructural analysis
806 indicated that the GNS was exfoliated and decorated with SnO₂ QDs, which was dispersed uniformly
807 on both sides of the graphene. The size distribution of SnO₂ QDs was estimated to be a ranging from 4
808 to 6 nm and their average size was calculated to be about 4.8 ± 0.2 nm. The clear lattice fringes of
809 SQDs indicated that the crystal planes of SQDs were structurally perfect and uniform. Investigations of
810 Raman spectra showed that the SQDs/GNS composites contained both *D* band and *G* band. The
811 increased I_D/I_G intensity ratio in SQDs/GNS composites was closely related to the SQDs on the GNS.
812 The BET specific surface area (250 m²/g) of the SQDs/GNS was higher than that of the GNS (205
813 m²/g). The results revealed that the incorporation of well-dispersed graphene-based sheets with metal
814 oxide is an effective way to achieve the SnO₂ nucleation and SnO₂ QD growth.

815

816 5.2. Outlook

817 In fact, the rise of low dimensional SnO₂ nanostructured materials has opened up new routes for their
818 use in various materials engineering applications. Significant impacts have also been made along the
819 way. Of course, investigation in the SnO₂ based applications still poses many critical problems that
820 need to be addressed and understood. In particular, these crucial problems include:

821 (i) Development of improved methods of structurally controlled growth of semiconductor SnO₂
822 nanomaterials based on adjustment of structural parameters. For example, the electron-beam irradiation
823 techniques should be further applied to the preparation and modification of materials.

824 (ii) Challenging issue for future research in the field of the fractal semiconductors is the fabrication
825 of the related advanced controllable micro/nanostructures with novel and improved properties. Most
826 surface, interface, and quantum structures can be good functional parts of advanced applications. For
827 example, the formation processes of SnO₂ nanocrystals and fractal clusters can be reasonably described
828 by the fractal theory.

829 (iii) Achievement of better understanding of the interaction between low-dimensional SnO₂-based
830 nanomaterials and other elemental species arising from the process of doping, modification, and
831 formation of composites, and associated micro/nanostructure changes caused by composition
832 modulation. For example, the incorporation of well-dispersed graphene-based nanosheets with metal
833 oxide is an effective way to achieve the nucleation and growth of the metal oxide nanocrystals and
834 quantum dots.

835 There is still a lot of room for development in semiconductor SnO₂ nanomaterials to realize its full
836 potential. Future work in this field should focus on the above mentioned areas to enable commercial
837 SnO₂ micro/nanodevices to be available for practical applications.

838

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849

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