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1	Towards ultra-high peak capacities and peak-production rates using spatial three-
2	dimensional liquid chromatography
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### 16 Abstract

17 In order to successfully tackle the truly complex separation problems arising from areas 18 such as proteomics research, the development of ultra-efficient and fast separation technology 19 is required. In spatial three-dimensional chromatography, components are separated in the 20 space domain with each peak being characterized by its coordinates in a three-dimensional 21 separation body. Spatial three-dimensional (3D-)LC has the potential to offer unprecedented 22 resolving power when orthogonal retention mechanisms are applied, since the total peak 23 capacity is the product of the three individual peak capacities. Due to parallel developments 24 during the second- and third-dimension separations, the analysis time is greatly reduced 25 compared to a coupled-column multi-dimensional LC approach. This communication discusses 26 the different design aspects to create a microfluidic chip for spatial 3D-LC. The use of physical 27 barriers to confine the flow between the individual developments, and flow control by the use 28 of <sup>2</sup>D and <sup>3</sup>D flow distributors is discussed. Furthermore, the *in-situ* synthesis of monolithic 29 stationary phases is demonstrated. Finally, the potential performance of a spatial 3D-LC 30 systems is compared with the performance obtained with state-of-the-art 1D-LC and (coupled-31 column) 2D-LC approaches via a Pareto-optimization approach. The proposed microfluidic device for 3D-LC featuring 16 <sup>2</sup>D channels and 256 <sup>3</sup>D channels can potentially yield a peak 32 capacity of 8,000 in a total analysis time of 10 minutes. 33

34

*Keywords:* Multi-dimensional LC separations; 3D-LC; Spatial chromatography; Proteomics,
Pareto optimization

### 38 **1. Introduction**

39 Analytical techniques hyphenated with mass-spectrometry, such as high-performance 40 liquid chromatography – mass spectrometry (HPLC–MS), have become indispensable tools for 41 biomarker-discovery studies.<sup>1</sup> As proteins are key components in biological processes, proteomic studies are of utmost importance for the discovery of disease biomarkers.<sup>2</sup> In 42 43 biofluids such as human plasma, both the large number of analytes (tens of thousands of different proteins) and their enormous dynamic concentration range (up to ten orders of 44 magnitude) pose a huge analytical challenge.<sup>3</sup> To reduce spectral complexity and to minimize 45 ion-suppression effects, high-efficiency separations are required prior to mass-spectrometric 46 47 analysis. However, current HPLC-MS approaches, including two-dimensional LC strategies, 48 cannot provide the resolution required for the identification and quantification of all 49 constituents present in the truly complex samples encountered in life-science research.<sup>4</sup> Hence, 50 the development of novel separation technology is required to achieve ultra-high peak capacities within a reasonable time, allowing the analysis of a multitude of samples. 51

52 Three-dimensional liquid-chromatographic (3D-LC) separations may offer ultra-high 53 peak capacities, given that the total peak capacity is the product of the three individual peak 54 capacities provided that orthogonal retention mechanisms are achieved.<sup>5</sup> In 1995, Jorgenson *et al.* demonstrated the potential of a column-based online 3D-LC ( $^{T}LC \times ^{T}LC \times ^{T}CE$ ) separation 55 for peptides, by coupling size-exclusion chromatography (SEC), reversed-phase (RP-)LC, and 56 a capillary zone electrophoresis (CZE) approach.<sup>6</sup> The individual peak capacities were 57 58 estimated to be 5 (SEC), 23 (RP-LC), and 24 (CZE), respectively, yielding a total estimated 59 peak capacity of 2800 in a total analysis time of 6 hours. In a recent study, Davydova et al. 60 concluded that by adding a third dimension separation, a two-fold gain in peak capacity could be obtained, compared to conventional  $^{T}LC \times ^{T}LC$  separations using two coupled columns.<sup>7</sup> 61

62 As an alternative to a column-based approach, three-dimensional separations can be 63 performed by analyte migration to different positions in a three-dimensional separation body (<sup>X</sup>LC $\times$ <sup>X</sup>LC), which extends in three directions (X, Y, Z) in space.<sup>8</sup> After completing the 64 <sup>1</sup>D development (X axis), all fractions are developed in parallel in the <sup>2</sup>D separation (X-Y 65 plane). Finally, all <sup>2</sup>D fractions are developed in parallel in a <sup>3</sup>D separation (Z axis). Due to 66 parallel <sup>2</sup>D and <sup>3</sup>D developments of all obtained fractions, the analysis time can be greatly 67 reduced compared to a column-based  $^{T}LC \times ^{T}LC \times ^{T}LC$  approach. However, major challenges 68 69 that need to be addressed before such technology can be realized include confinement and flow 70 control in the three subsequent individual developments and the implementation of suitable

stationary phases providing orthogonal retention mechanisms.<sup>8</sup> Because components are separated in space, rather than in time, detectors with a spatial resolution should be applied for *in-situ* detection, such as confocal imaging techniques. Alternatively, it is possible to perform the third dimension in time, so as not to retain the separated components in the threedimensional separation body, but to elute them in the last dimension ( ${}^{X}LC \times {}^{T}LC$ ). This implies that the components are spatially resolved in the first and second dimension, but temporally resolved in the third dimension.

78 This study concerns the design aspects for the construction of a microfluidic device for comprehensive spatial three-dimensional liquid chromatography ( $^{X}LC \times ^{T}LC$ ). A first 79 80 prototype of the device is presented. The use of physical barriers to confine the flow between the <sup>1</sup>D and <sup>2</sup>D developments, and the use of <sup>2</sup>D and <sup>3</sup>D flow distributors is demonstrated. 81 82 Furthermore, the *in-situ* synthesis of a macroporous methacrylate-ester-based monolithic 83 stationary phase is demonstrated. Finally, the potential of spatial three-dimensional 84 chromatography is discussed in terms of peak capacity and analysis time in comparison with state-of-the-art 1D-<sup>T</sup>LC and (coupled-column) 2D-<sup>T</sup>LC approaches using a Pareto-optimization 85 approach.9 86

87

### 88 **2. Theory**

89 A Pareto-optimization approach was applied to calculate the potential performance in terms of peak capacity  $(n_c)$  and analysis time  $(t_{\omega})$  for protein separations with 1D-<sup>T</sup>LC, 2D-90 <sup>T</sup>LC, and <sup>X</sup>LC $\times$ <sup>X</sup>LC $\times$ <sup>T</sup>LC systems. The Pareto-optimality approach relies on the ability to find 91 a single expression that relates all the objectives of the optimization.<sup>9</sup> When this expression is 92 93 found, the so-called Pareto front can be defined, which corresponds to those experimental 94 conditions in which it is not possible to improve one objective without worsening the other(s). 95 When this approach is applied to system optimization in n-dimensional chromatography, at 96 least two objectives arise, *i.e.*, (maximum) peak capacity  $(n_c)$  and (minimum) analysis time 97  $(t_{\omega})$ . In order to obtain an expression that relates both objectives, the plate-height equation and 98 the pressure-drop equation have to be combined. The reduced van-Deemter plate-height 99 equation has been used to relate the reduced plate height ( $h = H/d_{dom}$ ) to the reduced mobile-100 phase velocity ( $v = u_0 \cdot d_{dom}/D_m$ ):

$$101 h = a + \frac{b}{v} + c \cdot v (1)$$

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102 where *a*, *b*, and *c* represent the reduced eddy-diffusion, longitudinal-diffusion and resistance-103 to-mass-transfer parameters, respectively. For all systems the use of a polymer-monolithic 104 stationary phase for the gradient separations of proteins was assumed. Therefore, equal plate 105 characteristics (*a*, *b*, *c*) were applied for the 1D, 2D, and 3D-LC separations, see Table I. The 106 domain size ( $d_{dom}$ ) is defined as the sum of the macropore and polymer microglobule size.<sup>10</sup> 107 The pressure drop across the column/channel length (*L*) is governed by Darcy's law, according 108 to:

109 
$$\Delta P = \frac{u_0 \cdot \phi \cdot \eta \cdot L}{d_{dom}^2}$$
(2)

110 where  $\phi$  is the flow resistance,  $u_0$  the mobile-phase velocity of an unretained component, and 111  $\eta$  the mobile-phase viscosity. For the column-based <sup>T</sup>LC and <sup>T</sup>LC×<sup>T</sup>LC systems, the maximum 112 operating pressure was kept constant at 100 MPa, *i.e.*, ultra-high-pressure conditions. The 113 maximum pressure drop for <sup>X</sup>LC×<sup>X</sup>LC×<sup>T</sup>LC systems was fixed at 2 MPa. The equation that 114 links the total analysis time ( $t_{\omega}$ ) in gradient-elution mode with the column dead time ( $t_0$ ) and 115 gradient time ( $t_G$ ) is:

$$116 t_0 = \frac{t_\omega}{\frac{t_G}{t_0} + 1} (3)$$

where the approximation  $t_{\omega} = t_G + t_0$  is implicit. Both objectives ( $t_{\omega}$  and  $n_c$ ) are connected via 117 Darcy's and Van Deemter equations. We start with the connection between  $t_{\omega}$  and  $t_0$  via Eq. 3, 118 in which the parameter  $t_G/t_0$  is optimized. As  $L = u_0 \cdot t_0$ , a connection between  $t_{\omega}$  and  $L/u_0$  is 119 obtained. To calculate and compare the performance limits of 1D-TLC, 2D-TLC, and 120 <sup>X</sup>LC×<sup>X</sup>LC×<sup>T</sup>LC systems we have considered  $\Delta P$ ,  $\phi$ ,  $\eta$  as fixed parameters (in order to reduce 121 the complexity of the calculations) and  $d_{dom}$  and L as variable parameters to optimize. Table I 122 123 summarizes the values of parameters that were fixed and provides all variables and 124 corresponding ranges in which they were optimized. Next, a given value of  $t_{\omega}$  defines a single pair of values of  $u_0$  and L, which in turn provide a single plate-height value via Eq. 1. In a last 125 126 step, *H* can be related to the peak capacity  $(n_c)$ .

127 In conventional time-based one-dimensional gradient liquid chromatography (1D-128 <sup>T</sup>LC), the peak capacity ( ${}^{1}n_{c}$ ) is defined as:<sup>11</sup>

129 
$${}^{I}n_{c} = \frac{t_{G}}{t_{0}} \cdot \frac{\sqrt{L}}{4 \cdot R_{s} \cdot (1 + k_{e}) \cdot \sqrt{H}} + 1$$
 (4)

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130 where  $R_s$  the resolution ( $R_s = 1$ ), and  $k_e$  the retention factor at the moment of elution which 131 depends on the gradient steepness factor ( $S \cdot \Delta \varphi$ ), and can be estimated by:<sup>12</sup>

132 
$$k_e = \frac{t_G}{t_0} \cdot \frac{1}{S \cdot \Delta \varphi}$$
(5)

133 In a time-based two-dimensional gradient <sup>T</sup>LC×<sup>T</sup>LC system, the total peak capacity 134 ( $^{2}n_{c}$ ) can be calculated as the product of the peak capacities of each dimension, assuming the 135 use of orthogonal retention mechanisms in the two dimensions:<sup>7</sup>

$$136 \quad {}^{2}n_{c} = \frac{{}^{l}t_{G}}{4 \cdot R_{s} \cdot \sqrt{\frac{\left({}^{l}k_{e}+I\right)^{2}}{\frac{L_{l}}{H_{l}}} \cdot \frac{{}^{l}t_{\omega}^{2}}{\left({}^{l}\frac{t_{G}}{t_{0}}+I\right)^{2}} + \frac{{}^{2}t_{\omega}^{2}}{\delta_{det}^{2}}} \times \frac{4 \cdot R_{s} \cdot \sqrt{\frac{\left({}^{2}k_{e}+I\right)^{2}}{\frac{L_{2}}{H_{2}}} \cdot \frac{{}^{2}t_{\omega}^{2}}{\left({}^{2}\frac{t_{G}}{t_{0}}+I\right)^{2}} + \left(\frac{1}{FF}\right)^{2} \left(\frac{{}^{l}F}{F} \cdot \frac{{}^{2}t_{\omega}}{\delta_{inj}}\right)^{2}}}{\left({}^{2}\frac{t_{G}}{t_{0}}+I\right)^{2}} + \frac{1}{2} \left(\frac{1}{FF}\right)^{2} \left(\frac{{}^{2}F}{F} \cdot \frac{{}^{2}t_{\omega}}{\delta_{inj}}\right)^{2}}{\left({}^{2}\frac{t_{G}}{T} + I\right)^{2}} + \frac{1}{2} \left(\frac{1}{FF}\right)^{2} \left(\frac{{}^{2}F}{F} \cdot \frac{{}^{2}t_{\omega}}{\delta_{inj}}\right)^{2}}$$

137 where  $\delta_{det}^2$  and  $\delta_{inj}^2$  are the parameters that take into account low frequency of detection (in the 138 first dimension) and injection band-broadening effects (in the second dimension). No focusing 139 effect was considered between the subsequent developments, hence the focusing factor (*FF*) 140 was fixed at 1. *F* is the flow rate, which can be determined as:

141 
$$F = \left(\frac{d_c}{2}\right)^2 \cdot \frac{\pi \cdot \varepsilon_T \cdot L}{t_0}$$
(7)

142 where  $d_c$  is the column diameter and  $\varepsilon_T$  the total porosity. The second-dimension column 143 diameter  $({}^{2}d_{c})$  was considered twice as large as the first-dimension column diameter  $({}^{1}d_{c})$ .

144 To calculate the Pareto front for a spatial three-dimensional device, we have extended 145 the peak-capacity equation for spatial <sup>X</sup>LC×<sup>X</sup>LC derived by Guiochon *et al.*<sup>13</sup> The total peak 146 capacity of the spatial three-dimensional device ( ${}^{3}n_{c}$ ) was calculated according to:

147 
$${}^{3}n_{c} = \frac{\sqrt{L_{3}}}{16 \cdot H^{5/2} \cdot \left(1 + \frac{1}{2.3 \cdot \frac{t_{G}}{t_{0}} \cdot S \cdot \Delta \varphi}\right)} \cdot \frac{t_{G}}{t_{0}} \cdot \left(\sqrt{L \cdot H + \frac{\alpha^{2}}{12}} - \sqrt{\frac{\alpha^{2}}{12}}\right)^{2}$$
(8)

Eq. 8. takes into account a spatial 3D-LC device containing a discrete number of  ${}^{2}D$  and  ${}^{3}D$ channels, and the peaks are eluted from the third dimension, hence the  ${}^{3}D$  step of the elution corresponds to time-based chromatography.

151	It is important to note that the Pareto fronts calculated for the multi-dimensional
152	separations represents the maximum (theoretical) peak capacity that can be achieved assuming
153	the use of orthogonal retention mechanisms in the subsequent developments.

156 **Table I.** Parameters and their (range of) variables used to calculate the Pareto-optimal fronts

157 for  $1D^{-T}LC$ , coupled-column  $2D^{-T}LC$ , and spatial  $3D^{-LC}$ .

Name	Value	Units
Resolution, <i>R</i> <sub>s</sub>	1	
Domain size, $d_{dom}$		μm
Total porosity, $\varepsilon_T$	0.509	
Bed tortuosity, $\gamma$	0.75	
Flow-resistance factor, $\phi$	700	
Mobile-phase viscosity, $\eta$	0.001	Pa∙s
Diffusion coefficient, $D_m$	10-10	m²/s
Reduced eddy-dispersion contribution, a	1.5	
Reduced longitudinal-diffusion contribution, b	1	
Reduced mass transfer contribution, c	0.15	
Maximum pressure drop for <sup>T</sup> LC and <sup>T</sup> LC× <sup>T</sup> LC, $\Delta P$	100	MPa
Gradient duration for <sup>T</sup> LC, first-dimension <sup>T</sup> LC× <sup>T</sup> LC and <sup>X</sup> LC× <sup>X</sup> LC× <sup>T</sup> LC, ${}^{1}t_{G}/t_{0}$	5-30	
Gradient duration for second-dimension <sup>T</sup> LC× <sup>T</sup> LC and <sup>X</sup> LC× <sup>X</sup> LC× <sup>T</sup> LC, ${}^{2}t_{G}/t_{0}$	1-10	
Gradient steepness factor, $S \cdot \Delta \varphi$	30	
Retention time of the last-eluting compound in second dimension for <sup>T</sup> LC× <sup>T</sup> LC, ${}^{2}t_{\omega}$	0.1-4	min
Column-diameter ratio for <sup>T</sup> LC× <sup>T</sup> LC, ${}^{I}d_{c}/{}^{2}d_{c}$	1:2	
Focusing factor, FF	1	
Parameter taking into account low detection frequency (in the first dimension), $\delta_{det}^2$	4.76	
Parameter taking takes into account injection band broadening (in the second dimension), $\delta_{inj}^2$	4	
Maximum pressure drop for <sup>X</sup> LC× <sup>X</sup> LC× <sup>T</sup> LC, $\Delta P$	2	MPa
Gradient duration third dimension for ${}^{X}LC \times {}^{X}LC \times {}^{T}LC$ , ${}^{3}t_{G}/t_{0}$	1-10	
Cross section <sup>1</sup> D channel for ${}^{X}LC \times {}^{X}LC \times {}^{T}LC$	1×1*	mm
Cross section <sup>2</sup> D channel for ${}^{X}LC \times {}^{X}LC \times {}^{T}LC$	0.5×0.5*	mm
Diameter <sup>3</sup> D channels for ${}^{X}LC \times {}^{X}LC \times {}^{T}LC$	0.5*	mm
Length <sup>1</sup> D channel for $^{X}LC \times ^{X}LC \times ^{T}LC$	29*	mm
Length <sup>2</sup> D channel for <sup>X</sup> LC× <sup>X</sup> LC× <sup>T</sup> LC		mm
Length <sup>3</sup> D channel for ${}^{X}LC \times {}^{X}LC \times {}^{T}LC$	3*	mm

158 \* Denotes the parameters for the constructed spatial microfluidic 3D-LC device depicted in Fig. 1D.

### 161 **3.1 Chemicals and materials**

2,2'-Azobis(2-methylpropionitrile) (AIBN, 98%), Butyl methacrylate (BMA, 99%),
ethylene dimethacrylate (EDMA, 98%), 1,4-butanediol (99%), 1-propanol (99.9%), were
purchased from Sigma-Aldrich (Zwijndrecht, The Netherlands). Red 40 dye was purchased
from Kroger (Virginia, USA). 2-propanol (Technical) was purchased from VWR (Leuven,
Belgium). BMA and EDMA were purified by passing the liquids through a bed of activated
alumina, to remove inhibitors. Topas COC substrate material (grade 8007) was purchased from
Kunststoff-Zentrum (Leipzig, Germany)

169

### 170 **3.2 Chip fabrication**

171 Different channel layouts were designed in AutoCAD (Autodesk, San Rafael, CA, 172 USA) and micromachined with a micromilling robot (Datron M7 Compact, Mühltal-Traisa, 173 Germany). After cleaning each plate with 2-propanol the individual plates were sequentially 174 bonded together via solvent-vapor-assisted bonding. Therefore, each plate was exposed to 175 cyclohexane vapor for 7.5 minutes, aligned with the next plate of the stack, and pressed together for 60 minutes by applying a force of 2.5 kN. To visualize flow patterns (using concentrated 176 177 Red 40 dye dissolved in 50:50 v% water:2-propanol) in the 3D flow distributor, a smaller stack of 3 plates was created using the same procedure. Images were recorded using a 1.4 Megapixel 178 179 GC1380C high-resolution CCD camera from Allied Vision Technologies (Munich, Germany). 180 This setup was also used to capture images of the bonded chip sectioned in half. To connect 181 the microfluidic device to LC instrumentation, an aluminium holder was created, compatible 182 with flat-bottom nanoport connections (Upchurch Scientific, Oak Harbor, USA) for 360 µm 183 o.d. capillary fused-silica tubing.

A polymer-monolithic stationary phase was prepared *in-situ* in the confines of the 3D-LC chip via thermal polymerization of a precursor mixture based on 24 wt% BMA, 16 wt% EDMA, 26 wt% 1,4-butanediol, 34 wt% 1-propanol, and AIBN (1 wt% of total monomer content). The chip was placed in a custom-made holder and after filling the chip with the polymerization precursor mixture, the polymerization reaction was initiated with UV light (365 nm) and continued for 30 min. Finally, the device was flushed with MeOH to remove any unreacted monomers and the porogen.

### 192 **3.4 Scanning electron microscopy**

Bonded microfluidic devices were cut into 3 mm x 3 mm sized sections using the micromilling robot. The slices were then sputtered with a 6 nm layer of gold to reduce charging of the non-conductive material. Scanning electron micrographs of the slices were obtained by SEM in secondary-electron-imaging mode, using a JSM-IT300 by JEOL (Tokyo, Japan), operated at an acceleration voltage of 10 keV.

198

### 199 **4 Results and discussion**

### 200 4.1 Design aspects of a microfluidic device for spatial 3D-LC

201 The prototype microfluidic chip for spatial 3D-LC is composed of three modules, each 202 with their own functionality and specific channel layout, see Fig. 1. Cyclic olefin copolymer 203 (COC) has been selected as substrate materials for its high chemical resistance, good optical 204 properties in the UV range allowing for the *in-situ* synthesis of polymer-monolithic stationary 205 phases, and the possibility to bond different chip substrates via solvent-vapor-assisted bonding.<sup>14</sup> The top module of spatial 3D-LC chips features a fractal 3D flow distributor (Fig. 206 207 1A), which is connected to the middle module containing the 'downcomers' of the 3D flow 208 distributor and the <sup>1</sup>D separation channel with a cross-section of  $1 \times 1 \times 42$  mm (w × h × l), see Fig. 1B. The bottom module contains a <sup>2</sup>D flow distributor, 16 parallel <sup>2</sup>D separation 209 210 channels  $(0.5 \times 0.5 \times 29 \text{ mm})$  with 16 through holes in each channel, a 2D flow collector, and 211 256 parallel <sup>3</sup>D channels ( $0.5 \times 3$  mm; i.d.  $\times 1$ ), see Fig. 1C. A photograph of the prototype chip 212 for spatial 3D-LC is depicted in Fig. 1D, with the three layers/modules irreversibly bonded via solvent-vapor-assisted bonding. 213

214 The *in-situ* synthesis of the macroporous polymer-monolithic stationary phase was 215 based on the free-radical polymerization of mono- and divinylic monomers (BMA and EDMA) 216 in the presence of a binary porogen (1,4 butanediol/1-propanol). Previously we demonstrated localized monolith synthesis in the <sup>2</sup>D channels of spatial 2D-LC chip using photomasking.<sup>15</sup> 217 218 The cross-section of the spatial 3D-LC chip depicted in Fig. 2A shows the presence of the 219 poly(butyl-co-ethylene dimethacrylate) monolith situated only in the channels of the 3D flow 220 distributor, 2D flow distributor (and collector), parallel <sup>2</sup>D channels, and the <sup>3</sup>D channels. Fig. 221 2C shows a scanning electron micrographs of the outlet of a third dimension separation channel 222 (that was cut in half). In the magnifications (Fig. 2D-E) the typical interconnected macroporous

structure of the monolith is observed featuring 2-3 µm polymer microglobules clusters. The
monolithic stationary phase appears to be well attached to the COC chip surface.

225 Migration of analytes is ideally confined to one dimension during each of the three 226 stages in order to maximize the separation space. To confine the flow during the <sup>1</sup>D development with minimal dispersion to other channels, the <sup>1</sup>D separation channel was 227 228 micromachined in the bottom of the middle substrate and the 2D flow distributor and the parallel <sup>2</sup>D channels in the bottom substrate, see the view of a cross-sectioned chip (Fig. 2A) 229 230 and the zoom-in (Fig. 2B). Due to the difference in cross-sectional area between the <sup>1</sup>D channel, and the through holes connecting to the <sup>2</sup>D channels and 2D flow distributor, a preferential 231 232 flow path is established. To simultaneously feed the parallel <sup>2</sup>D channels, a radially-233 interconnected 2D flow distributor was designed composed of two ordered arrays of diamond-234 shaped pillars orientated perpendicular to the main flow direction, see Fig. 1C. The first array 235 of pillars distributed the <sup>2</sup>D mobile phase from a point injection across the full width of the chip, whereas the aspect ratio of the second array of diamond-shaped pillars was reduced, in 236 237 order to match the number of flow distributor outlets with the 16 <sup>2</sup>D inlets. Increasing the 238 number outlets by using smaller aspect-ratio pillars and integration of funneling wedges at the interface between the <sup>1</sup>D and <sup>2</sup>D channels prevented the presence of poorly-permeated flow 239 zones.<sup>15</sup> Each of 16 <sup>2</sup>D channels contains 16 through holes spaced evenly across the channel 240 length. The flow for the <sup>3</sup>D development is introduced via a fractal 3D flow distributor that 241 should direct analytes situated in the X-Y plane (in the 16 parallel <sup>2</sup>D channels) to the 3D 242 243 separation body (Z direction). The design of the fractal 3D flow distributor is based on tree-244 like pore networks initiated by earlier work concerning the modeling of biological systems, 245 such as the vascular and respiratory systems and geomorphological systems such as river basins.<sup>16-18</sup> An initial design of the fractal 3D flow was composed of two substrate layers 246 247 allowing the generation of eight successive generations of T-bifurcations, indexed from 0 to 8, 248 resulting in 256 outlets. Fig. 3 shows the distribution of flow in the fractal 3D distributor. The 249 first layer is fed from the top by an inlet channel (index 0), which splits perpendicularly in two 250 channels (index 1), which each again split in two channels (index 2). These first two 251 generations of T-bifurcations form an elementary cell, which is reproduced at a smaller scale 252 for the following generations. After five generations of T-bifurcations in the same plane (see 253 Fig. 3A), vertical 'downcomers' connect the first layer of the distributor with the second layer, 254 containing the final three generations (see Fig. 3B). In the final prototype design, as depicted in Fig. 1A, an improved one-layered flow distributor is presented, omitting a bonding step. 255

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## 256 **4.2 Performance comparison:** 1D-<sup>T</sup>LC *versus* <sup>T</sup>LC×<sup>T</sup>LC *versus* <sup>X</sup>LC×<sup>X</sup>LC×<sup>T</sup>LC

257 Fig. 4 shows the Pareto-optimal fronts calculated for protein separations using a onedimensional <sup>T</sup>LC system, a two-dimensional <sup>T</sup>LC $\times$ <sup>T</sup>LC system (using coupled columns), and a 258 three-dimensional  ${}^{X}LC \times {}^{T}LC$  system consisting of a monolithic cubic separation body, 259 *i.e.*, without discrete <sup>2</sup>D and <sup>3</sup>D channels. The lower (left) part of each front corresponds to 260 261 systems with short column lengths operated in the C-term region of the van-Deemter curve 262 using steep gradients, while the upper (right) part of each curve corresponds to systems using 263 longer columns/channels that are operated in the B-term region. For the one-dimensional <sup>T</sup>LC 264 system, an increase in peak capacity is observed, but this increase levelled off for longer 265 analysis times reaching a value of 470 after 60 minutes. These predictions are in good 266 agreement with peak capacity values reported in literature for gradient separations of proteins 267 on polymer-monolithic stationary phases<sup>19</sup>, validating the magnitude of the reduced van-268 Deemter a, b, and c parameters and flow resistance for the polymer-monolithic column (Table 269 I) applied for the Pareto-optimization calculations. The performance that can be achieved with the  ${}^{T}LC \times {}^{T}LC$  system is significantly better than that for 1D- ${}^{T}LC$ , since the peak capacities in 270 both orthogonal developments can be multiplied, *i.e.*  ${}^{1}n_{c} = 342$  versus  ${}^{2}n_{c} = 2021$  (factor 6) for 271 a total analysis time of 30 min. For the  ${}^{X}LC \times {}^{T}LC$  system, a Pareto-optimal value of the 272 273 (maximum theoretical) peak capacity of approximately 98,370, assuming orthogonal retention 274 mechanisms, was calculated for an analysis time of 30 min. This implies a 209-fold and 50fold improvement in peak capacity in comparison with the one-dimensional <sup>T</sup>LC and two-275 dimensional <sup>T</sup>LC $\times$ <sup>T</sup>LC systems, respectively. For fast separations with a total analysis time of 276 277 10 min the peak capacity increased from 200 calculated for a <sup>T</sup>LC system to 600 in <sup>T</sup>LC $\times$ <sup>T</sup>LC, 278 and 38,500 for the  ${}^{X}LC \times {}^{T}LC$  system. It should be noted that in practice, the gain in peak capacity when moving from 1D-<sup>T</sup>LC to spatial 3D-LC may be lower depending of the 279 280 orthogonality of the retention mechanisms applied. The degree of orthogonality is influenced 281 by the analyte properties, stationary-phase chemistries, and also the mobile-phase conditions 282 used.

When using a fractal 3D-flow distributor, different design constrictions had to be taken into account, affecting the position of the Pareto-optimal front. The number of outlets in the 3D flow distributor equals the number of 3D channels, according to an even power of 2, *e.g.*  $2^8 = 256$  outlets. Since the 3D flow distributor is designed to distribute flow over a square area, the length of the <sup>1</sup>D and <sup>2</sup>D channels should be equal. Furthermore, the number of <sup>2</sup>D channels corresponds to the square root of the number of 3D flow distributor outlets. For instance, 256

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3D flow-distributor outlets imply the use of 16 parallel <sup>2</sup>D channels with 16 through holes 289 (evenly) spaced across the <sup>2</sup>D channel. Fig. 5 shows the Pareto-optimal front of the spatial 290 291 prototype device as depicted in Fig. 1, with fixed channel length while optimizing the operating pressure up to a maximum of 2 MPa. This front is different from the front obtained in Fig. 4, 292 293 in which the pressure was kept fixed, while the lengths were optimized. The proposed <sup>X</sup>LC $\times$ <sup>X</sup>LC $\times$ <sup>T</sup>LC microfluidic device has the potential to yield a peak capacity of 8,100 in an 294 295 analysis time of 11.5 minutes, assuming the use of orthogonal retention mechanisms operated 296 in gradient mode. The performance of the prototype microfluidic 3D-LC device can be 297 significantly improved by increasing the number of discrete channels in the second and third 298 dimension. The dotted line in Fig. 5 depicts the Pareto-optimal front for a spatial 3D-LC device 299 containing 32 <sup>2</sup>D channels and 1024 <sup>3</sup>D columns. This device would allow to generate a peak capacity of 23,000 within the same time period, which corresponds to a 3-fold increase in peak 300 301 capacity.

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### **303 5. Conclusions and perspectives**

304 Spatial 3D-LC has the potential to yield unmatched peak capacities and peak-305 production rates compared to contemporary 1D- and 2D-LC strategies, given the fact that the 306 total peak capacity is (ideally) the product of the three individual peak capacities and parallel 307 <sup>2</sup>D and <sup>3</sup>D developments are realized. This makes spatial 3D-LC technology potentially suitable 308 for high-throughput screening of a multitude of complex samples.

309 To create orthogonal retention mechanisms in the subsequent developments, a 310 combination of isoelectric focusing (IEF) followed by two subsequent reversed-phase 311 separations at high and low pH is envisioned. This can be achieved using a single monolithic stationary phases present in the <sup>2</sup>D and <sup>3</sup>D channels, as described in the current study. 312 313 Alternatively, photografting approaches could be applied to functionalize the surface chemistry 314 at the surface at a predetermined location using photomasks. In this way, ion-exchange (IEX) functionalities may be incorporated in the <sup>2</sup>D channels, while the <sup>3</sup>D would feature a RP 315 316 gradient separation. A combination of IEF×IEX×RP would allow focusing of the analytes 317 between the different developments, enhancing the detection sensitivity while the channel 318 configuration, *i.e.*, combination of column lengths and i.d. applied, becomes less critical since 319 "injection" band-broadening effects in each dimension becomes absent.

320 The application of mass-spectrometric detection techniques is mandatory in a proteomics setting. Therefore, a prototype 3D-LC chip was proposed that allows the elution of 321 the analytes out of the separation body ( $^{X}LC \times ^{X}LC \times ^{T}LC$ ). Analytes can then be detected in the 322 323 effluent by using a "printing" method in pre-defined intervals, followed by the use of an 324 imaging technique such as MS, to obtain a three-dimensional image of how the components 325 leave the separation body over time. However, even when state-of-the-art MS imaging 326 techniques are employed, the MS analysis of the numerous "prints" may become the time-327 limiting step. To exploit the full potential of spatial 3D-LC decreasing the MS time is 328 mandatory. Hence, the success of spatial 3D-LC will partly depend on new developments in 329 the field of MS technology, such as frequency tripled solid-state lasers with high pulse-330 repetition rates.<sup>20</sup>

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### 391 FIGURE CAPTIONS

**Figure 1.** The spatial 3D-LC device consists of 3 modules. (A) shows the top module featuring the fractal 3D flow distributor (1), (B) shows the center module featuring 256 'downcomers' (2) and the <sup>1</sup>D separation channel (3). (C) shows the bottom module featuring the <sup>2</sup>D flow distributor (4), <sup>2</sup>D separation channels (5), the flow collector (6), and 256 parallel <sup>3</sup>D channels (7). (D) shows a photograph of the assembled spatial 3D-LC device. The arrows represent the three subsequent developments in the X, Y, and Z direction, respectively.

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Figure 2. (A) Photograph of a cross section of the microfluidic chip along its length. A 399 monolithic stationary phase has been created *in-situ* in the channels of the 3D flow distributor 400 and 'downcomers', 2D flow distributor and collector, parallel <sup>2</sup>D channels and the array of <sup>3</sup>D 401 channels. (B) depicts physical barriers to confine the flow during the <sup>1</sup>D development, for the 402 403 sake of clarity, an empty chip was sectioned for this purpose. Note: the physical barrier appears 404 to be asymmetrical, which is caused by the presence of the final row of diamond-shaped pillars 405 of the 2D flow distributor. Nomenclature as in Figure 1. (C) shows a SEM image of the bottom of a <sup>3</sup>D channel sectioned in half. (D) illustrates attachment to the channel wall. (E) a higher 406 407 magnification showing the microglobule size.

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Figure 3. Fractal 3D distribution of a red dye. (A) shows the distribution patterns after 5
generations of T-bifurbications and (B) after 8 successive generations yielding 256 outlets.

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412 **Figure 4.** Pareto fronts for the optimization of the total peak capacity and analysis of one-413 dimensional <sup>T</sup>LC (dotted line), two-dimensional (coupled-column) <sup>T</sup>LC×TLC system (dashed 414 line) operated at a maximum pressure of 100 MPa, and spatial three-dimensional 415  ${}^{X}LC \times {}^{T}LC$  (solid line) operated at a maximum pressure of 2 MPa. Other parameters for 416 calculations are defined in Table I.

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Figure 5. Pareto fronts for the proposed <sup>X</sup>LC×<sup>T</sup>LC microfluidic device containing 16 <sup>2</sup>D
channels and 256 <sup>3</sup>D channels and fixed channel lengths (full line) and spatial chip containing
32 <sup>2</sup>D channels and 1024 <sup>3</sup>D channels (dotted line). Operating pressure was varied between 0
and 2 MPa, other parameters for calculations are defined in Table I.



- 423
- 424 For TOC only
- 425
- 426 Spatial three-dimensional (3D-)LC is based on a novel concept and potentially offers
- 427 unprecedented resolving power.



430 Figure 1





433 Figure 2



436 Figure 3



