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Recyclable organocatalysts based on hybrid silicas

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Abstract The quest for efficient and “greener” catalysts for chemical processes has set a precedent for the development of reliable methods for their synthesis. Those methods based on heterogeneous platforms present an excellent opportunity as they allow recyclability and easy work-up and handling. Hybrid organosilica-based materials are of interest particularly as heterogeneous platforms which can operate with a synergy between the well-defined properties of the organocatalytic fragments and the structural and mechanical stability as well as the presumed chemical inertness of the silica matrices. This review focuses on the recent advances on the incorporation methods to strongly bound organocatalysts into organosilica scaffolds and their promising applications in supported organocatalysis.

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1. Introduction

Organocatalysis involves the acceleration of a chemical reaction by a substoichiometric amount of a metal-free organic compound. Although this type of catalysis has for long been overlooked, most probably due to the prominence of organometallic catalysis, the recent past decades have witnessed a tremendous growth of this field.¹⁻³ Moreover, the availability of natural organic molecules in optically active form has enabled the extremely fast development of asymmetric organocatalysis for the synthesis of highly valuable chiral building blocks.⁴⁻¹² Organocatalysis represents an eco-friendly and cost-effective methodology for fine chemical synthesis, especially when metal traces are of real concern, particularly for pharmaceutical industry. Ideal organocatalysts must be robust, readily available through easy synthesis and are commonly small molecules that can be rapidly degraded in the environment without significant effluent generation. Still then, the usual work-ups (e.g. column chromatography) remain a major drawback with tedious separation of the catalyst from the reaction media. Besides, the reactions often require high catalyst loadings and the reuse of the organocatalyst becomes of economic interest. A straightforward step to ensure low environmental impact and to facilitate an easy recovery and recycling is to tether organocatalysts on solid polymeric supports, in particular hybrid silicas, to benefit from the usual advantages of heterogeneous catalysis.¹³⁻¹⁸ In order to achieve more sustainable catalytic processes by improving the yield, productivity and energy efficiency, recent contributions have also been made on immobilized organocatalysts in continuous-flow systems.^{19, 20}

The formation of hybrid silica materials is attractive as a means to achieve supported catalysts, as these materials combine the advantages of a silica matrix (high surface area, thermal and mechanical stability and presumed chemical inertness) with the properties of the organic moiety.^{21, 22} Thus, hybrid organic-inorganic materials have attracted synthetic chemists offering the possibility to engineer interesting properties, particularly in the field of asymmetric organometallic catalysis via judicious combination of chiral organic ligands and transition metals.²³ Over the past decade, soon after the boom of homogeneous asymmetric organocatalysis, numerous organocatalysts have been anchored on solid supports. Compared to organic polymers, hybrid silica materials offer the advantage to enable engineering at will the solid matrix on which the catalyst is anchored, by tuning the surface properties and the pore size and geometry. Indeed, since the advent of mesostructured silica materials in the 1990s,²⁴ organically-modified mesoporous silicas are being widely investigated as catalyst supports.^{18, 25-27} The sol-gel synthesis enables to design materials with controlled pore size and shape, which can then be functionalized with various organic functionalities to tune the surface properties. Depending on the application envisioned for the supported catalyst, the hybrid silica support can thus be designed at will to improve the catalytic activity and selectivity. Factors such as the pore size in mesostructured materials, the hydrophilic/hydrophobic balance and the presence of surface silanol groups may indeed strongly influence the catalytic activity and selectivity. In addition to the opportunities usually associated with supporting catalysts on inert supports, such as simplified purification procedures and the recovery or the continuous use of the catalysts, the use of hybrid silicas as supports may enhance the catalytic properties if the material is suitably designed. Therefore, the design of efficient organocatalytic silica materials requires not only a good understanding of the mechanisms behind the catalytic processes, but also mastering the synthesis and characterization tools for silica-based materials. In this review, jointly written by sol-gel and organist chemists with experience in the field, we first aim to present the different possibilities for synthesising the organocatalyst-containing hybrid silicas in order to design efficient supported systems, and taking into consideration the greenness of the synthesis. Then the main classes of catalysts and the main reactions are summarized starting from achiral to chiral ones to end up with a discussion on the main catalytic results, covering the recent literature until the end of 2014.

2. Design of the organosilica material

Over the past decade, a multitude of silica-based materials have been designed to immobilize organocatalysts, aiming at developing eco-friendly and cheap heterogeneous systems for chemical transformations. The main features for these materials are: easy synthesis; low cost; easy recovery of the catalytic material; good reusability; good diffusion of the reactants within the structure; low dispersity of catalytic sites; controlled interaction between the surface and the reactants. In addition, the synthesis of the modified organocatalyst and of the materials should be performed following the *green chemistry* principles.²⁸ In the following paragraphs, we will present the different types of silica-based materials that have been used for supporting organocatalysts as well as the functionalization strategies; then we will emphasize on the control of the surface properties.

2.1. Pure silica materials

Silicas are easily synthesized by the sol-gel process, or can be purchased from commercial sources. Indeed, besides silica gels for chromatography, MCM-41 and SBA-15 mesostructured materials are now commercially available. However, only for pure silica a myriad of materials have already been described, which differ by their shape, porosity and pore organization or surface properties. Therefore, careful descriptions of the morphological and textural properties (specific surface area, pore volume and pore size distribution) of the materials are of the utmost importance and should be given in all cases. This includes particles size and shape, N₂-sorption experiments as well as the description of the thermal treatments applied. Indeed, the pore size can affect drastically the rate of diffusion of the reactants within the porous structure. Additionally, thermal treatments are known to increase the condensation of the silica gels, and to decrease the amount of silanol groups on the surface. These are examples of major parameters which may affect the properties of the resulting materials.

Simple silica gels are obtained industrially by the sol-gel process by the acidic condensation of sodium silicate. They usually feature high specific surface areas and pore volumes and small mesopores (for example, for the commercial Merck Si-60 silica gel: ca 500 m² g⁻¹ BET specific surface area, 0.68 cm³ g⁻¹ pore volume and average pore diameter of 7.0 nm).²⁹ However, their pore size distribution is not uniform, which means that they feature an important dispersity of environments. Therefore, a large diversity of catalyst environments is expected for organocatalysts grafted on these types of silica. Note that a wide variety of different mesoporous silica materials can be obtained in the laboratory by simple sol-gel reactions, and that the resulting surface area, pore

sizes and distribution may vary a lot depending on the type of catalyst (acid, base or nucleophile) and on the synthetic conditions used.³⁰

Mesostructured silicas were first reported in the early 1990s and have become soon after extremely popular.³¹⁻³³ They are obtained by the sol-gel hydrolysis and condensation of silica sources in the presence of surfactants acting as soft templates thanks to a cooperative self-assembling mechanism of surfactant molecules and oligomeric silicates during the synthesis.³⁴⁻³⁷

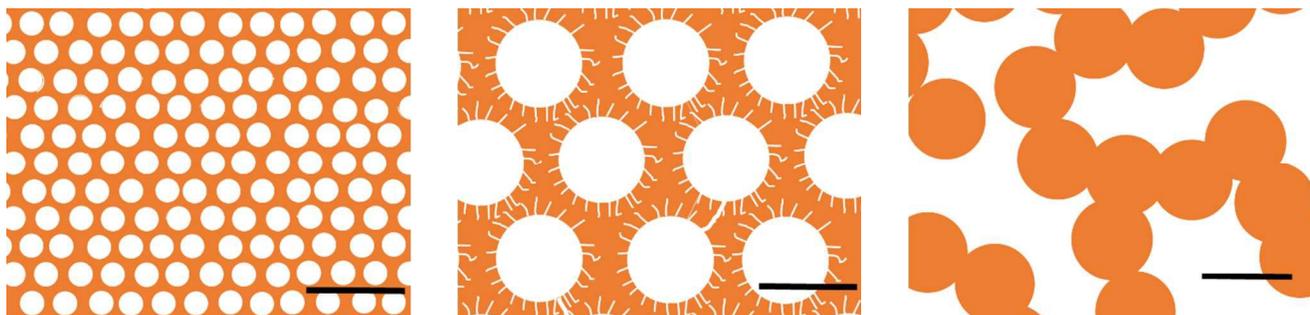


Figure 1: Schematic representation of typical (a) MCM-41 (pore size 2.5 nm, wall thickness 1 nm); (b) SBA-15 (pore size 9 nm, pore thickness 3 nm) and (c) porous, non-structured silica (average pore size 7 nm). Orange: silica, white: pores. Scale bar: 10 nm.

MCM-41 and SBA-15 are the two main families of mesostructured silicas that are being used for supporting catalysts. Typically, MCM-41 materials are prepared by the hydrolysis and condensation of a silica source in the presence of an alkyltrimethylammonium surfactant in basic medium. After extraction or calcination of the template, the material is obtained with a 2D-hexagonal arrangement of cylindrical mesopores, with a long-range organization. The structure of MCM-41 materials looks particularly interesting for catalysis as long, regularly aligned cylindrical pores with smooth surfaces are present in these materials, which allows the grafted functions to have close environments. If the parent materials (synthesized from hexadecyltrimethylammonium bromide) have small pore diameters of *ca* 2-3 nm depending on the synthesis conditions, analogous mesostructured silicas can also be prepared with diameters up to 10 nm.³² However, these materials feature thin pore walls (0.5-1.0 nm at the thinnest),^{38, 39} which results in a poor hydrothermal stability (total vanishing of the pore order in less than 6 h in refluxing water).^{40, 41} Although procedures have been developed to increase this water stability, this may limit the recyclability of MCM-41-based materials. SBA-15 materials are obtained under acidic conditions and using a triblock copolymer (usually Pluronic P123, (PEO)₂₀(PPO)₇₀(PEO)₂₀) as structure-directing agent. Similarly to MCM-41, SBA-15 features an organized porosity with a 2D-hexagonal structure (*P6mm* space group). However, wider pores and thicker pore walls are usually obtained (typically, pore diameter of 8.9 nm and wall thickness of 3.0 nm).⁴⁰ This confers a very high hydrothermal stability to these materials. Although many papers represent an ideal structure for SBA-15, with perfect cylindrical pores, XRD and physisorption analyses completed by transmission electron tomography revealed the presence of microporosity and two interconnected porous structures, the main cylindrical pores being highly corrugated.^{35, 42, 43}

Apart from SBA-15 and MCM-41, a wide variety of mesostructured silica materials exist with diverse morphologies and texture. Indeed, silicas can be obtained with cubic porous structures or hollow sphere morphologies, but the use of silica supports with such original structures has remained almost unexplored.⁴⁴

Silica nanoparticles can also easily be obtained using classical sol-gel techniques. The nanometric size provides the advantages of enhanced surface over volume ratio, and shorter pore length, thus easier diffusion in the case of mesoporous MCM-41 silica nanoparticles. On the one hand, dense silica nanoparticles are easily produced either by the Stöber method or by reverse microemulsion. These techniques also enable the formation of core-shell Fe₃O₄@SiO₂ nanoparticles.^{45, 46} On the other hand, the synthesis of MCM-41 nanoparticles with tunable morphologies is now well documented, and core-shell structures with magnetic cores are also described.⁴⁷

2.2. Organic functionalization

Covalently anchoring the organic functionalities in the solid material can affect importantly the catalytic properties. When designing a catalytic material, several functionalization strategies should be considered (Figure 2). It is indeed possible to perform the functionalization at the stage of the synthesis of a material from molecular organosilane precursors (sol-gel routes), or to start from a preformed silica or organosilica, then perform the functionalization (post-functionalization strategies).

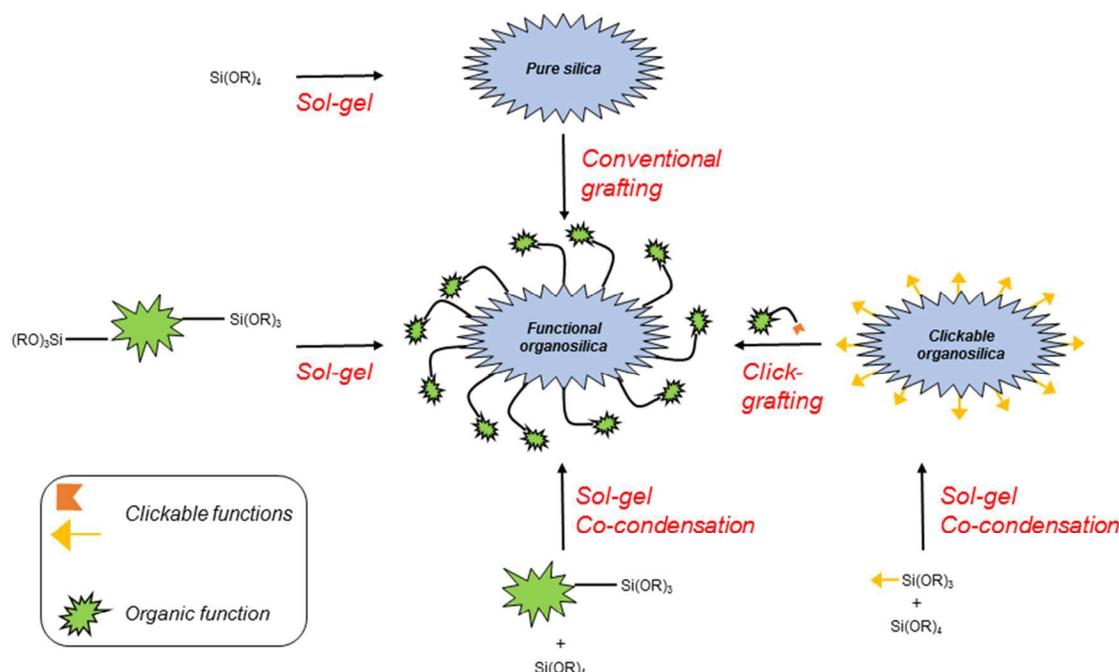


Figure 2: Overall scheme of the synthetic strategies for the formation of functional organosilicas.

2.2.1. SYNTHESIS OF FUNCTIONAL ORGANOSILANES BEARING ORGANO-CATALYSTS

Regardless of the functionalization method envisioned, the covalent incorporation of organic groups can only be efficient if strong Si-C bonds are present between the siloxane network and the organocatalyst, as the immobilization of organics through C-O-Si linkages results in very poor hydrolytic stability. The availability of appropriate organo(alkoxy)silanes is therefore a prerequisite for catalyst immobilization. Several organo(alkoxy)silanes bearing simple functionalities, such as amino, mercapto, iso(thio)cyanato, are commercially available and widely used to produce materials that can be post-functionalized (See section 2.2.3). However, the formation of more sophisticated organosilanes requires more elaborated synthesis conditions, as organo(alkoxy)silanes are known to be moisture-sensitive, and must therefore be prepared and stored under inert conditions. The classical reactions leading to such compounds are the hydrosilylation of alkenes, using a platinum catalyst. This reaction is very straightforward for simple functional alkenes, but might undergo limitations when catalyst-poisoning groups or easily reduced functions are present. This strategy has been used to prepare proline-derived organosilanes,⁴⁸ but also to functionalize silicones with cinchona alkaloids thanks to the presence of double bonds in the structure of these compounds.⁴⁹ Simple reactions such as peptide formation⁵⁰ or addition of alcohols^{51, 52} or amines⁵³ on silylated isocyanates have also been used to form proline or prolinamide-based precursors. The use of (3-azidopropyl)triethoxysilane (AzPTES) upholds growing interest, either to form P=N linkages through the Staudinger reaction,⁵⁴ or to create strong triazole linkers by the reaction with organic alkynes through the copper-catalyzed azide-alkyne cycloaddition reaction (termed CuAAC). This new methodology enables a very fast preparation of organo(alkoxy)silanes in high yield thanks to microwave activation.^{55, 56} In particular, bridged organosilanes can be easily produced thanks to ramified clickable organo(alkoxy)silanes.⁵⁷ Another click methodology for the production of sol-gel precursors was developed simultaneously using the thiol addition to alkenes under UV irradiation, enabling the formation of protected proline or cinchona alkaloids-based organosilanes.⁵⁸ This method has been extended to the UV-promoted thiol-alkyne coupling to form bridged organosilanes.⁵⁹ The use of moisture-sensitive alkoxy silane compounds can sometimes be avoided through substitution by allylsilanes, which enables easy purification by chromatography. In these groups, the allyl to silicon bond can be slowly hydrolyzed to silanols, which can be used *in situ* for sol-gel reactions⁶⁰ or post-functionalization.⁶¹ Alternatively, the use of triisopropoxy groups offers similar advantages in terms of stability of sol-gel precursors.⁶² These methodologies may be particularly adapted for immobilizing polar organocatalysts in sol-gel derived materials.

2.2.2. FUNCTIONALIZATION DURING THE SOL-GEL PROCESS

Similarly to the sol-gel synthesis of pure silica, mixed organosilicas can be obtained by the co-condensation of silica precursors (SiO_4 environments: tetraalkoxysilanes or silicate solutions) with organo(alkoxy)silanes (R-SiO_3 environments). For catalytic materials, the molar ratio between the former and the latter compounds usually lies in the range of 5 to 100. Depending on this ratio, as well as on the structure of the organic fragments involved, the materials can feature very different morphological and textural properties. For example, for prolinamide-based materials obtained by the co-condensation of n equivalents of tetraethoxysilane (TEOS) with one equivalent of organosilane, a BET specific surface area of $400 \text{ m}^2 \text{ g}^{-1}$ was obtained for $n = 19$, whereas for $n = 5$, no surface was detectable by routine N_2 -sorption measurements at 77 K.⁵² This trend is quite general, as the organic moieties at high loadings may prevent the diffusion of nitrogen at such a low temperature.⁶³ However, even if no surface area can be detected, such materials can often exhibit very interesting catalytic properties.^{51, 52, 64}

Notably, the sol-gel co-condensation reactions can also be performed in the presence of surfactants in order to create ordered porosity.⁶⁵ This co-condensation synthesis can be performed using various types of surfactants (CTAB, P123, F127, dodecylamine...) to afford a myriad of porous materials.⁶⁶ With simple functional organo(alkoxy)silanes such as 3-mercaptopropyl-, 3-chloropropyl- or 3-cyanopropyltriethoxysilane, well-organized materials can be obtained with up to 25 mol% of functional silane. However, when larger organic fragments are incorporated, the maximum amount for which a mesostructure is obtained is reduced drastically, due to stronger interactions between the organics and the surfactant.

Pure organosilicas, featuring only C-SiO₃ silicon environments, can also be obtained by the sol-gel process through the hydrolysis and condensation of organo(alkoxysilanes). Only a handful of materials can be obtained from precursors containing a single trialkoxysilyl group, as usually their hydrolysis-condensation leads to small oligomers. To avoid this issue, bridged organosilanes where organic functionalities are anchored to at least two trialkoxysilyl groups can be used. Through the sol-gel process, they are transformed into bridged silsesquioxanes, of general formula R-[SiO_{1.5}]_n with n ≥ 2.^{67, 68} This confers them the regular and the highest possible organic loading of all organosilica materials, as the inorganic charge is minimized. Bridged silsesquioxanes synthesized without structure directing agent and bearing bulky organic functionality usually feature negligible porosity.^{51, 64} However, several reports have demonstrated good catalytic activities for such materials despite this low porosity. In order to get porous materials, it is possible to use surfactants during the sol-gel process, but porosity is only obtained when small or rigid organic units are used. The resulting materials, called periodic mesoporous organosilicas (PMOs),⁶⁹ feature high surface areas and pore volumes. They can result from the interaction between the silicate groups and the surfactant, but also between the organic fragments and the surfactant.⁷⁰ The hydrophobic nature of the PMO matrix might result in improved catalytic properties, but such matrices have only been scarcely studied as catalyst support. Indeed, it is possible to synthesize mixed PMOs by a co-condensation approach, and even clickable PMOs (see section 2.2.3.).^{57, 71} Furthermore, simple⁷² or elaborated core-shell⁷³ or even multipodal⁷⁴ nanostructures have very recently been reported, which may find valuable application for supporting organocatalysts.

2.2.3. POST-FUNCTIONALIZATION

The difficult control over the texture when using the sol-gel co-condensation method or when preparing bridged silsesquioxanes or PMOs can be a serious issue for those who want to design a catalytic material with well-defined pore structure and morphology. Since a plethora of procedures have already been described in the literature for the synthesis of silica materials with tunable shapes and porosity, it is tempting to start from a commercial or well-defined silica material, then post-functionalize it with an appropriate organosilane. A typical post-functionalization procedure consists in stirring a mixture of a silica material and an organo(alkoxy)silane in refluxing toluene. Indeed, surface silanol groups as well as siloxane bridges react with the alkoxy silane functions to create siloxane linkages.^{75, 76} Alternatively, it is also possible to react surface silanol groups with hydrogenosilanes using tris(pentafluorophenyl)boron as catalyst. This reaction takes place in only 5 minutes in the case of MCM-41, with important functionalization rates of 0.5-1.5 mmol g⁻¹ depending on the grafted entity.⁷⁷ Although quite general, the grafting methods suffer several important drawbacks. Indeed, due to diffusion issues, the grafting preferentially occurs on the external surface and at the pore entrance, before taking place inside the pores. This can even lead to pore blocking phenomena when voluminous functions are incorporated. Furthermore, the amount of grafted functions is hardly predictable, as this will depend on the steric hindrance of the silane used, the solvent⁷⁸ and other reaction parameters. Aggregation of the grafted organics can also be observed, when organic groups able to self-assemble are used. This factor was exemplified by Asefa *et al.*, by grafting (3-aminopropyl)triethoxysilane (APTES) on MCM-41 in toluene or ethanol. While grafting in ethanol yielded materials with isolated amine groups, the grafting in toluene gave a higher density of amine functions in close proximity, due to the strong hydrogen bonding between them in this non-polar solvent.⁷⁹

To circumvent the latter issues, many groups have opted for chemical reactions on organic fragments incorporated within organosilicas. Starting from organosilicas possessing well-located reactive functions (included for example by co-condensation reactions involving small-size, non-self-assembling organosilanes) it is indeed possible to conduct chemical reactions leading to more complex grafted fragments. For example, mesoporous materials bearing thiol, amine, chloride, azide or propargyl groups can be reacted with organocatalysts derivatized with an appropriate organic function. The functionalization reaction should thus be highly selective, high-yielding, occurring under mild conditions and easy to monitor. *Click* reactions, as defined by Sharpless in 2001,⁸⁰ constitute a set of chemical transformations fulfilling all these requirements. The click chemistry concept was introduced in the context of drug discovery, but was very soon extended to all fields of chemistry to refer to the *Lego* construction of functional molecules, polymers or materials, including silica-based materials.⁸¹ Amongst all click reactions, the addition of thiols to alkenes and the copper-catalyzed azide-alkyne cycloaddition reaction are the most popular, and have been widely applied to support homogeneous catalysts on silica supports.⁸² Indeed, synthetic protocols have been reported for mesoporous organosilicas (based on SBA-15 or MCM-41 structures) obtained by the co-condensation method, with tunable morphologies and loadings of reactive groups.⁸³⁻⁸⁶ Interestingly, it has been demonstrated that SBA-15-N₃ materials obtained by co-condensation with low loadings of azide functions (< 2 mol%) can easily be transformed into materials with site-isolated catalysts through the CuAAC reaction, by contrast to SBA-15-N₃ obtained by the grafting method.⁸⁷ Furthermore, an important advantage of the CuAAC reaction over the other click transformations is the easy monitoring of the grafting conversion, thanks to the strength and localization of the N₃ band in FTIR.

2.3. Towards multi-functional materials

Catalytic organosilica materials are much more than simple supported catalysts, as the catalytic properties result not only from the functionality introduced on the support, but also from the siloxane framework. Indeed, the organosilica surface exhibits accessible silanol functions, that have a mild acidic character (the isoelectric point of silica lies between 1.5 and 3.5).^{30, 88} Thus, this enables electrophilic activation of carbonyl groups or nitroalkenes resulting in enhanced reactivity towards nucleophiles. Efforts have thus

been made either to inhibit such an electrophilic assistance by passivation of the surface, or to control these surface interactions for bifunctional catalysis.⁸⁹ Multi-functional materials have also been designed using more complex strategies.

The passivation of the surface of organosilica materials with trimethylsilyl groups is classically carried out through the treatment of the material with chlorotrimethylsilane or hexamethyldisilazane as a milder reagent. Alternatively, diphenyldichlorosilane can lead to passivated surfaces through bridging and bulkier Ph₂Si groups. As a result of the pore size decrease and of the higher density, a decrease of the specific surface area and pore volumes is observed. This passivation strategy is generally used to inhibit hydrogen bonding activation of the substrate by the silica surface,^{90, 91} but it is also believed to facilitate the diffusion of organic species, particularly in very polar environments, through enhanced hydrophobicity of the materials.⁹²

Amine-grafted silicas are being intensively studied as heterogeneous catalysts for Henry and aldol reactions.⁷⁸ It is now well established that cooperative activation of the ketone by the base and of the aldehyde by the surface silanol groups takes place in Henry reactions. This was proven either by passivating the surface, or by modifying the linker length between the surface and the amine group. Indeed, when short C1 linkers are used, amine-silanol quenching would occur, whereas longer distances (C3-C5) would allow for efficient cooperative catalysis.^{93, 94} The enantioselectivity levels reached by supported asymmetric catalysts are also greatly affected by the state of the surface. For instance, for proline-catalyzed aldol reactions between propanone and 4-nitrobenzaldehyde, the surface is believed to easily form hydrogen bonds with the aldehyde, competing with the stereo-directing carboxylic acid group, and thereby creating a racemic pathway.⁴⁸ More recently, it was shown that the enantiomeric excesses obtained with cinchonidine for the Michael addition of thiols on cyclohexenone decreased upon immobilization on aerosil or SBA-15 materials due to competitive catalysis by the acidic surface silanol groups or the silanol groups localized on the grafted fragment or direct interaction between the hydroxyl function of cinchonidine and the silica surface. Therefore, passivation of the surface by TMS groups and mild washings of the material with ethanol led to the recovery of the enantioselectivity reached in homogeneous catalysis.⁹¹ The extent of hydrogen-bonding interactions between the substrate and the surface may also vary according to the pore size, thus the curvature of the surface.^{89, 90} These confinement effects should be taken into account when designing supported organocatalysts.

The implementation of multiple functionalities on a silica surface can also be mastered during the synthesis of the materials. Cooperative basic/acidic activation by an amine and a H-bond donor was first investigated by Lin using secondary amines and urea groups incorporated within MSNs by co-condensation, for Henry, aldol and cyanation reactions.^{95, 96} Later on, inspired by the structure of the Takemoto catalyst, Puglisi *et al* designed a catalytic material containing bis(trifluoromethyl)phenylthiourea and tertiary amine functions, that were shown to work hand-in hand during the catalytic Michael addition of acetylacetone on β -nitrostyrene.⁹⁷ Randomly-distributed independent functions can also be implemented through click reactions from a single parent material.^{98, 99} This approach was recently developed by the group of Studer, with the synthesis of materials featuring both azide and alkene, or azide and alkoxyamine functions.⁹⁹ This allows for a diversity-oriented synthesis of bifunctional materials. Moreover, the synthesis of mesoporous silica nanoparticles with homogeneously distributed pairs of communicating functions was recently reported thanks to two consecutive CuAAC reactions.¹⁰⁰

Finally, in order to precisely control the distance between two catalytic functions, it is possible to incorporate a parent organosilane during the synthesis (either by grafting or co-condensation), and to release functional groups from it. This can be done by the ring opening of a sultone with sodium bisulfide, resulting in neighbouring thiol and sulfonic acid groups,^{101, 102} or by the thermolysis of a multifunctional fragment containing carbamate and xanthate groups for the generation of amines and thiols.¹⁰³

To conclude this section, a myriad of silica-based materials can be prepared for supported organocatalysis. Many synthetic factors can be varied, to tune the morphology, porosity and interfacial properties, which all play a key role in the catalytic properties. An important issue is now to implement multiple functionalization or to control the interactions between the surface and the transition state. From a *green chemistry* perspective, the eco-design of the catalyst should take into account the need of atom economy during the materials synthesis, and avoid energy-consuming calcination steps. Therefore, catalysis with simple silicas, or organosilicas obtained through the co-condensation method without structure-directing agents should be privileged unless better catalytic results are obtained using templated meso-structured materials (such as MCM-41 or SBA-15) thanks to confinement effects.

3. Main classes of catalysts and main reactions

3.1. Achiral catalytic organosilicas

3.1.1. ACIDIC ORGANOSILICAS

Efficient and recyclable silica-based heterogeneous organocatalysts containing covalently attached acidic functions such as sulfonic (-SO₃H) or carboxylic (-COOH) groups have been described (Figure 3) Thus, sulfonic-acid functionalised silica-gel, (**M1a**)¹⁰⁴ and mesostructured silicas MCM-41, (**M1b**),^{105, 106} SBA-15 (**M1c**)^{102, 107-110} and HMS (**M1d**)^{105, 107, 109} have been mostly obtained by post-grafting of (3-mercaptopropyl)trialkoxysilanes to preformed silicas followed by thiol oxidation, although direct template-assisted co-condensation of mercaptoalkoxysilanes with tetraethyl orthosilicate (TEOS) has also been reported (**M1e**).^{105, 107-109} Benzenesulphonic acid groups have been introduced by co-condensation of TEOS with 2-(4-chlorosulphonylphenyl)ethyltrimethoxysilane followed by hydrolysis of the sulfonyl chloride group (**M2**).¹⁰⁷

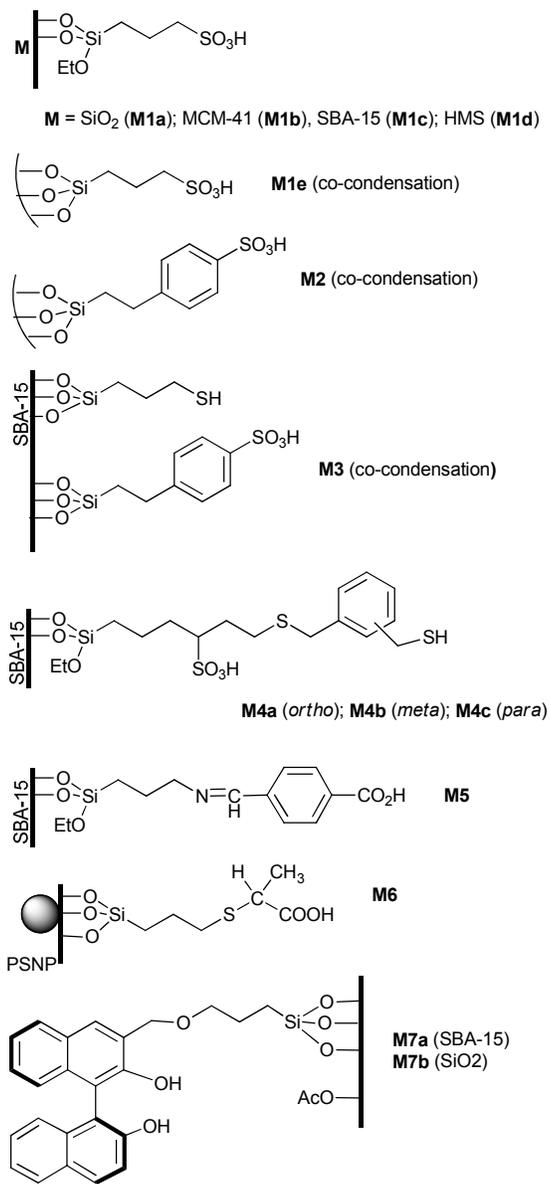
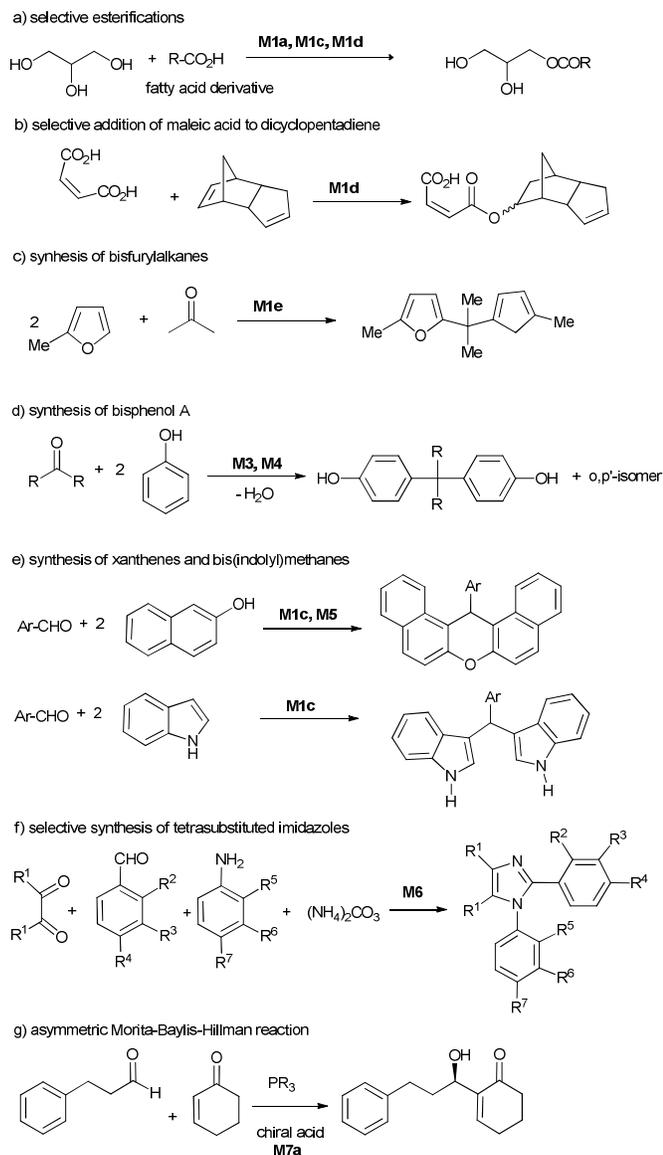


Figure 3. Silica-supported acid organocatalysts

These sulfonic acid functionalized organosilicas have been applied as catalysts in esterification reactions of fatty acids with alcohols¹⁰⁷ or polyols (Scheme 1).^{104, 105, 109} They provide selective monoesterification reactions of glycerol due to the strong hydrophilic interactions between the silica framework and the polyol, which are expected to favour a rapid desorption of the amphiphilic monoglyceride from the catalytic surface thus inhibiting the polymerization reactions. Improved selectivities with respect to homogeneous and common solid acid catalysts were also found in the formation of bisfurylalkanes by condensation of 2-methylfuran and acetone and in the addition of maleic acid to dicyclopentadiene (Scheme 1).^{105, 109} Propylsulfonic acid functionalized SBA-15 **M1c** was also active for the one-pot synthesis of xanthenes and bis(indolyl)methanes from the reaction of substituted benzaldehydes with 2-naphthol or indole, respectively (Scheme 1). The use of this heterogeneous acid increases the yields by avoiding polymerization reactions occurring under homogeneous acidic catalysis.¹¹⁰ A plausible mechanism has been proposed in which the electrophilicity of the aldehyde is enhanced by interaction with the acidic sites on the catalyst surface, thus favouring the attack of the aromatic nucleophiles.



Scheme 1. Reactions catalyzed by silica-supported acids

Davis has prepared thiol/sulfonic acid-functionalized SBA-15 materials for cooperative catalysis by two approaches, one of them consisting on acidic surfactant-assisted co-condensation using both monomers, (3-mercaptopropyl)trimethoxysilane (MPTMS) and 2-(4-chlorosulphonylphenyl)-ethyl-trimethoxysilane in different molar ratios (**M3**) (Figure 3).¹⁰⁸ In the other approach, a sultone-derived organotrialkoxysilane was grafted on SBA-15 to give a versatile intermediate material for generating bifunctional catalysts with thiol/sulfonic acid pairing by sultone ring opening with thiol-containing nucleophiles (**M4a-c**) (Figure 3).¹⁰² These materials **M3** and **M4** have been investigated in the formation of bisphenol A by condensation of phenol and acetone (Scheme 1). By immobilizing the two functional groups on the same solid, both the reaction rate and regioselectivity are enhanced. A mechanism explaining the role of thiol group in the reaction has been suggested by the authors. They hypothesize that thiol further activates the carbonyl of acetone to nucleophilic attack by phenol via a charged sulfur intermediate.¹⁰⁸ Moreover, the highest activity is reached when the two acid/thiol groups are as close as possible in the material.¹⁰²

Bhaumik and coworkers have reported the post-synthesis modification of SBA-15^{106, 111} by introducing -COOH functionalities via Schiff-base condensation of 3-aminopropyl grafted SBA-15 with 4-formylbenzoic acid (**M5**) (Figure 3). This acid functionalized mesoporous material was used for the synthesis of xanthenes via condensation between aromatic aldehydes and 2-naphthol. However, the reaction with sulfonic-acid functionalized MCM-41 (**M1b**), with stronger acidic sites, proceeds more rapidly than with this carboxylic acid functionalized SBA-15 (**M5**). Recently, post-synthesis grafting of -COOH groups on porous silica nanoparticles (PSNP) has also been performed.¹¹² Thus, **M6** (Figure 3) was prepared by reacting the silanols of the PSNP with MPTMS and subsequent treatment with 2-bromopropionic acid. **M6** promoted the chemoselective synthesis of tetrasubstituted imidazoles in water by a four-component reaction involving 1,2-diketone, aldehyde, an amine and ammonia (Scheme 1). A plausible mechanism has been proposed to explain the role of the supported acid and the selectivity for the tetrasubstituted product

versus the trisubstituted imidazole. Although the carboxylic acid was essential for the condensation reaction, the authors state that the silanol groups of the silica surface also contribute by increasing the electrophilicity of the carbonyl carbon. The superiority of this material with respect to other solid acid catalysts has been established.

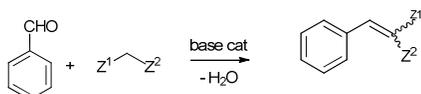
The Morita-Baylis-Hillman reaction of aldehydes with electron-deficient alkenes is promoted by nucleophilic amines and phosphines (Scheme 1). It has been demonstrated that the addition of chiral mild Brønsted acids of BINOL type to a phosphine promoter resulted in a significant increase of the reaction rate and a highly enantioselective process. A catalytic cycle has been proposed to explain these effects.¹¹³ Based on this result, Gao has synthesized by post-grafting method several chiral BINOL functionalized amorphous silica and SBA-15 materials for the asymmetric Morita-Baylis-Hillman reaction of 3-phenylpropanal and cyclohexenone, achieving modest enantioselectivities (see **M7a-b** for significant example of functionalization, **M7a** gave the best results) (Figure 3).¹¹⁴

3.1.2. BASIC ORGANOSILICAS.

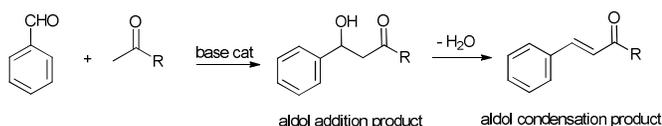
Many organic reactions take place under basic or nucleophilic catalysis, and much effort has been already devoted to the asymmetric versions of these reactions. The use of immobilized chiral amines for asymmetric induction will be treated in sections 3.2-3.4.

Thus, the aldol-type Knoevenagel condensation between active methylene compounds (malonates, cyanoacetates, malononitrile) and aldehydes or ketones to afford electron-deficient alkenes require weak basic catalysis (amines are usually employed) or base-acid pair catalysis (*ie* piperidine/acetic acid) (Scheme 2). The amine can act as a base to activate the pre-nucleophile by generating the corresponding enolate and/or as a nucleophile forming the imine or iminium salt of the carbonylic compound, enhancing its electrophilicity. Mixed aldol reactions involving aromatic aldehydes and alkylketones under basic catalysis give the aldol intermediates, which are dehydrated in most cases to afford the α,β -unsaturated carbonyl compounds (Claisen-Schmidt aldol condensation), a dehydration step that is especially favorable because the resulting enone is conjugated with the aromatic ring (Scheme 2).

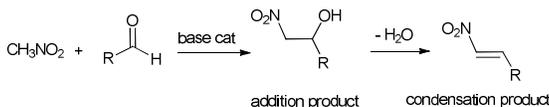
a) Knoevenagel condensation



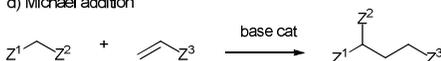
b) aldol condensation (Claisen Schmidt)



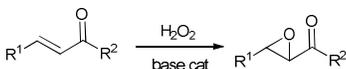
c) Henry or nitroaldol reaction



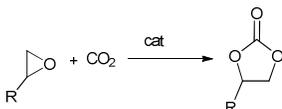
d) Michael addition



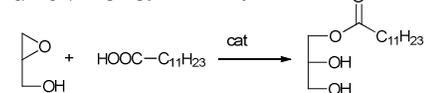
e) base-catalyzed nucleophilic epoxidation of enones and enals



f) cycloaddition of CO2 to epoxides



g) ring opening of glycidol with fatty acids



Scheme 2. Reactions under basic or nucleophilic catalysis

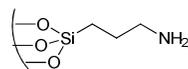
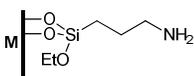
The nitro-aldol or Henry reaction is a classical carbon-carbon bond forming process in which a nitroalkane reacts with an aldehyde or ketone in the presence of a base to form β -nitroalcohols (Scheme 2).¹¹⁵ If dehydration takes place the corresponding conjugated

nitroalkenes are obtained (Henry condensation). Sometimes a side reaction can occur to afford the 1,3-dinitroalkane by a Michael addition of the starting nitroalkane to the nitroalkene product. Nitroaldol reactions may be catalyzed or promoted by inorganic or organic bases (tertiary amines, guanidines), quaternary ammonium salts or Lewis-acid type activators for the carbonyl group.

Another base-catalyzed process leading to the formation of C-C bonds is the Michael reaction, which involves the nucleophilic conjugate addition of an *in-situ* generated carbanion (enolate of a ketone, aldehyde or active methylene compound) to an α,β -unsaturated carbonyl compound (Scheme 2). An analogous 1,4-addition process to Michael acceptors can be extended to other nucleophiles, such as amines (aza-Michael) or the conjugate base of hydrogen peroxide (base-catalyzed nucleophilic epoxidation of alkenones) (Scheme 2).

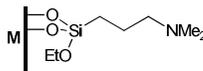
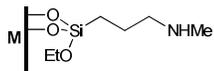
On the other hand, a reaction of industrial interest is the cycloaddition of CO₂ to epoxides to produce cyclic carbonates, which constitute the raw materials for polycarbonates (Scheme 2). The homogeneous process operates under organic or inorganic halides, such as tetraalkylammonium bromides or potassium iodide. The mechanism include nucleophilic opening of the oxirane ring by the halide. The Lewis acid counteraction favours the reaction by enhancing the electrophilicity of the epoxide. Thus, a solid catalyst with both acidic and basic/nucleophilic characteristics should be effective for this cycloaddition. The ring opening reaction of glycidol with fatty acids is the most convenient procedure for the selective preparation of monoglycerides, useful industrial products with emulsifying and lubricating properties (Scheme 2). The reaction takes place under basic (amines, metal alcoholates or other stronger bases) or nucleophilic catalysis (ammonium salts).

The immobilization of organic bases/nucleophiles in a silica matrix enables not only an easy work-up and recovery of the catalysts in all the above-mentioned processes, but the support also provides an interesting synergistic effect in some cases (Figure 4). Moreover, some reactions, such as aldol-type Claisen-Schmidt and Henry condensations, can benefit from acid-base cooperativity provided by silica-based catalysts containing both isolated acid and basic groups. The acid sites will provide activation of the carbonyl electrophile and the basic sites will deprotonate the pre-nucleophile.



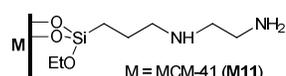
M8f (co-condensation, SBA-15 type material)
M8g (sol-gel co-condensation)

M = SiO₂ (M8a); MCM-41 and MCM-48 (M8b, M8c), SBA-15 (M8d), MSN (M8e)

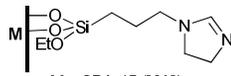


M = SiO₂ (M10a), MCM-41 (M10b), SBA-15 (M10c)

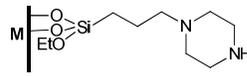
M = SiO₂ (M9a), MSN (M9b), MCM-41 (M9c), SBA-15 (M9d)



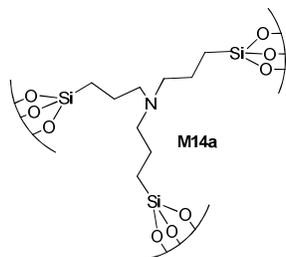
M = MCM-41 (M11)



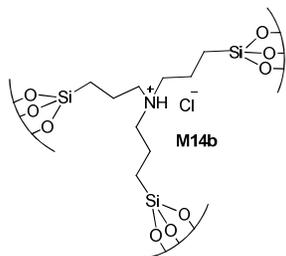
M = SBA-15 (M12)



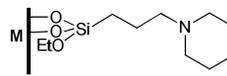
M = SiO₂ (M13a), MCM-41 (M13b), SBA-15 (M13c)



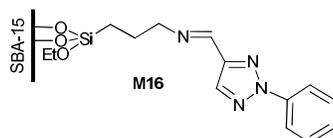
M14a



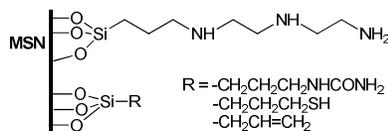
M14b



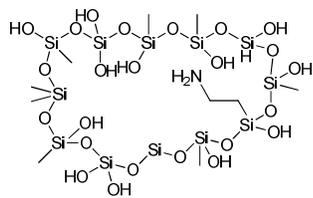
M = SiO₂ (M15a), MCM-41 (M15b), SBA-15 (M15c)



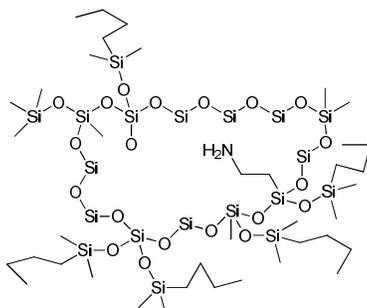
M16



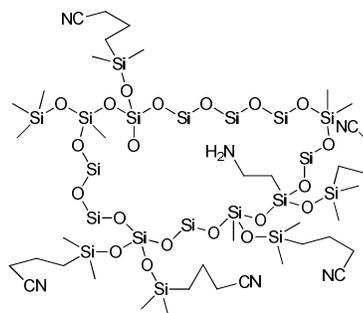
R = -CH₂CH₂CH₂NHCONH₂
-CH₂CH₂CH₂SH
-CH₂CH=CH₂ (M17a-c)



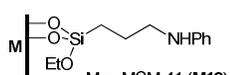
M18a



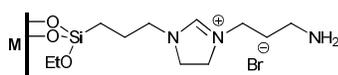
M18b



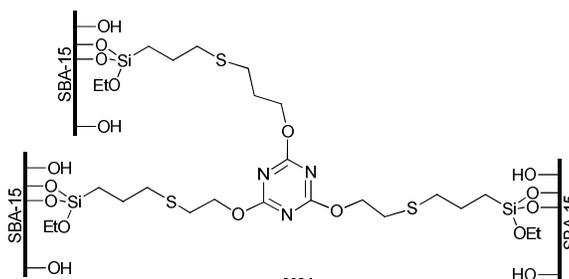
M18c



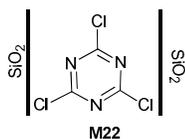
M = MCM-41 (M19)



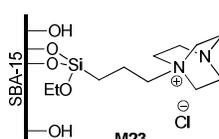
M = MCM-41 (M20a), SBA-15 (M20b)



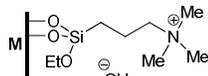
M21



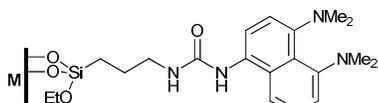
M22



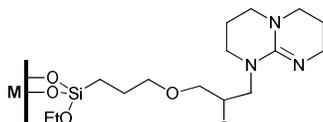
M23



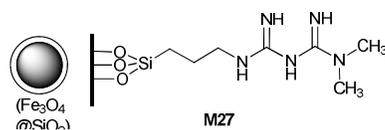
M = MCM-41 (M24)



M = SiO₂ (M25a), MCM-41 (M25b)



M = MCM-41 (M26a), SiO₂ (M26b)



M27

Figure 4. Silica-supported basic organocatalysts

Thus, aminopropyl groups have been grafted on silica gel (**M8a**),^{93, 116, 117} on mesostructured MCM-type silicas (**M8b**, **M8c**),^{118, 119} and on SBA-15 (**M8d**),^{94, 120} whereas aminopropyl-functionalized mesoporous silica nanoparticles (**M8e**)¹²¹ and materials **M8f**¹²² and **M8g**¹²³ have also been obtained by co-condensation methods. Materials **M8a-c** and **M8f** have been used as supported catalysts for Knoevenagel condensation. Materials **M8a**, **M8d** and functionalized nanoparticles **M8e** promoted the aldol condensation between 4-nitrobenzaldehyde and acetone, and the authors studied the cooperative effect of the weakly acidic surface silanol groups and amine to explain the rate enhancement.^{94, 117, 120, 121}

A substrate inhibition was also observed in the aldol condensation with **M8e** that can be partially reduced by modifying the structure of the amine from primary (**M8e**) to secondary (**M9b**).¹²¹ In a step further, Jones prepared several silica-supported alkyl amines similar to **M8d** but with different length of the alkyl linker (from C1 to C5) attaching the amine to the silica surface, demonstrating that the linker length strongly affects the catalytic cooperativity in the aldol condensation.⁹⁴ A related report on the cooperativity effects in the self-condensation of *n*-butanal has recently appeared, which involve silica-supported simple primary, secondary and tertiary amines (**M8a**, **M9a**, **M10a**).⁹³ The xerogel **M8g** allowed the selective synthesis of (*E*)-nitrostyrenes from nitroalkanes and aromatic aldehydes by Henry condensation.¹²³ Asefa has demonstrated an enhanced catalytic efficiency for the Henry condensation of an aminopropyl-derived MCM-41 material (**M8b**) which was prepared by post-grafting in a polar protic solvent (ethanol) at 78 °C instead of using the classical grafting conditions (refluxing in toluene for a long reaction time).⁷⁸ This effect was attributed to the spatially distributed and isolated organoamine and silanol groups present in the obtained material, which had a smaller number of organoamine moieties and more silanol groups than the more densely functionalized materials prepared under standard conditions. An extension of this study of cooperative catalysis in the nitroaldol condensation has been described by the same group through the synthesis of a series of organoamine-functionalized MCM-41 samples containing various densities of grafted linear monoamines, diamines and triamines and rigid substituted aromatic amines in different degrees of site isolation.¹²⁴ This group also performed a similar study for aldol condensation with supported secondary amine **M9c** and other MCM-41 grafted amines.¹²⁵ On the other hand, diamino-functionalised catalyst **M11**, prepared through anchoring of (3-trimethoxysilylpropyl)ethylenediamine to MCM-41, has been reported for Knoevenagel and aldol condensations¹²⁶ and also for Henry condensation, to afford selectively the nitroalkenes under mild conditions.¹²⁷

Besides these simple amines, other basic compounds have also been heterogenized for catalytic purposes, such as dihydroimidazole functionalized SBA-15 (**M12**), which was obtained through co-condensation with N-(3-triethoxysilylpropyl)-4,5-dihydroimidazole.¹²² In this case, acid-base cooperative catalysis involving weakly acidic silanol groups of the matrix has also been claimed. Commercial silica-immobilized piperazine **M13a** was used in aldol condensations of aromatic aldehydes with acetone and in the synthesis of coumarins by Knoevenagel reaction of diethyl malonate with salicylaldehyde followed by lactonization.¹²⁸ Periodic mesoporous organosilicas (PMO) containing tertiary amine and ammonium substructures (**M14a**, **M14b**) have been found to act as recyclable organocatalysts in the Knoevenagel condensation, Henry reaction and ring opening of glycidol with lauric acid.⁷⁰ Monoglyceride synthesis has also been performed by Brunel with MCM-41-functionalized silicas with primary and tertiary amino groups obtained by grafting (**M8a** and **M15a**).¹²⁹ In this case, silylation of residual SiOH groups of the parent materials was found to improve the yields by avoiding the polymerization of the starting glycidol by these acidic groups. A Schiff base supported MCM-41 (**M16**) has recently been reported as an excellent recyclable catalyst for Knoevenagel condensations in water.¹³⁰ It was prepared by reaction of APTES supported MCM-41 with 2-phenyl-2*H*-1,2,3-triazole-4-carbaldehyde. In the tentative mechanism proposed by the authors the imine nitrogen atom acts as a base to deprotonate the malonitrile generating an iminium ion; after reaction of malonitrile enolate with the aldehyde, this iminium ion protonates the alkoxide intermediate, and the Schiff base is also involved in the subsequent dehydration.

It is worth to mention that the issue of selectivity in nitroaldol or Henry reaction catalyzed by silica-immobilized amines has been dealt by several authors. On one hand, Lin synthesized bifunctionalized mesoporous silica nanospheres containing a 3-[2-(2-aminoethylamino)ethylamino]propyl group and three different secondary groups (ureidopropyl, mercaptopropyl and allyl) (**M17a-c**) by co-condensation procedures.⁹⁵ The results of competitive reactions between nitromethane and differently substituted aromatic aldehydes suggested that the hydrophobic secondary groups (mercaptopropyl and allyl) played a significant role in favoring the reaction with the more hydrophobic aldehydes as they penetrate preferentially into the mesopores. On the other hand, Katz has published an interesting study on acid-base bifunctional and dielectric outer-sphere effects in heterogeneous aminocatalysis for Henry, Knoevenagel and Michael reactions.¹³¹ He used a synthetic strategy based on bulk silica imprinting to prepare three materials **M18a-c** with different polarities and silanol contents. Co-condensation of (3-triethoxysilylpropyl)-*tert*-butylcarbamate with tetraethyl orthosilicate afforded a carbamate-containing hybrid silica which was thermally deprotected to generate a polar/acidic material **M18a**. By capping accessible silanol groups of the carbamate material with dimethyl butyl chlorosilane and cyanopropylchlorosilane and subsequent thermal deprotection, nonpolar/non acidic and polar/non acidic materials **M18b** and **M18c** were obtained, respectively. From the different selectivity found with these materials, he proposed that the Henry reaction may proceed via two mechanisms, namely, acid-base imine mechanism and ion-pair mechanism, each one giving rise to a different final product for this reaction. The first one involves the formation of an iminium ion from the aldehyde, the aminocatalyst and silanol groups, which would react as an enhanced electrophile towards the nitrocompound to give selectively the α,β -unsaturated product. In the absence of outer-sphere acidity, an ion-pair mechanism is operating in which the aminocatalyst removes the proton of the nitrocompound to generate the enolate (ion-pair), which attacks the carbonyl group, the β -nitroalcohol being formed in this case. Shantz achieved high selectivity to the nitroalcohol addition product by grafting secondary and tertiary amines on the MCM-41 surface (**M9c**, **M10b**, **M13b**, **M15b**, **M19**), while the nitroalkene condensation product predominated for primary amines (**M8b**). Capping of surface silanol groups resulted in reduced activity of the materials, in a clear indication of their cooperative effect.¹³² Similar results had been described for the same reaction by Asefa, who proposed that while supported secondary amines **M9c** and **M10b** follow the ion-pair mechanism to yield β -nitroalcohols, primary amine functionalized material

M8b preferentially follow imine mechanism to afford β -nitrostyrenes.¹³³ In a step further, the same author has broadened the scope of the nitroaldol reaction for a variety of differently substituted benzaldehydes and he has shown an interesting substituent-dependent selectivity.¹³⁴ Thus, for the secondary amines **M9c** the outcome of the reaction was found to be dependent on the nature of the substituent of the aldehyde reactant. Whereas electron-donating substituents stabilize the imine intermediate and favor the formation of the condensation product, electron-withdrawing substituents facilitate the ion-pair mechanism, favoring the addition product.¹³⁴

Task-specific basic ionic liquids (TSILs) immobilized on MCM-41 and SBA-15 (**M20a** and **M20b**) have also been described for the Knoevenagel reaction in aqueous medium.¹³⁵ N-(3-aminopropyl), N(3)-(3-triethoxysilylpropyl)-4,5-dihydroimidazolium bromide hydrobromide was grafted onto the corresponding mesoporous silica and then the protonated amines were neutralized in the materials. Although the primary amino groups constitute the true catalytic species, the authors have found much better reusability in comparison with the amino-functionalized mesoporous silica lacking the dihydroimidazolium moiety. Moreover, the supported basic TSILs showed better catalytic performance as compared with a non-supported basic TSIL, which has been ascribed to the depressed reaction between the amine groups and the acidic hydrogen at C-2 of the dihydroimidazolium cation in the supported TSIL and to acid-basic cooperativity between the silanol groups of the support and amine groups.¹³⁵

Post-synthetic modification of SBA-15 with thiols, followed by a thiol-ene click reaction using 2,4,6-triallyloxy-1,3,5-triazine has afforded **M21**, which catalyzed the one-pot three-component condensation of aromatic aldehydes, malononitrile and activated phenols for the synthesis of 2-amino-4*H*-chromenes.¹³⁶ Non-covalently silica-supported 2,4,6-trichloro-1,3,5-triazine (Silica-TCT) (**M22**) catalyzes Hantzsch multicomponent preparation of 1,4-dihydropyridines from aromatic aldehydes, 1,3-dicarbonyl compounds and ammonium acetate.¹³⁷ Material **M23** has recently been obtained by immobilization of 1,4-diazabicyclo[2.2.0]octane (DABCO) over SBA-15 *via* grafting procedures and it has been used for the synthesis of biologically active functionalized spirochromenes *via* three-component reaction of isatins, activated methylene reagents and 1,3-dicarbonyl compounds.¹³⁸

The heterogenized Brønsted base catalyst **M24** has been obtained by Corma by anchoring tetraalkylammonium hydroxide on the surface of MCM-41. It was found active and selective for carrying out Knoevenagel and aldol condensation as well as Michael additions, and was applied for the selective synthesis of chromenes and coumarins.¹³⁹

Silica-supported stronger and hindered amine bases such as 1,8-bis(dimethylaminonaphthalene) (DMAN) and 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD) (proton sponges) have also been described. Corma grafted DMAN onto amorphous silica and MCM-41 by using the monosilylated derivative 4-[(triethoxysilyl)propylaminocarbonylamino]-1,8-bis(dimethylamino)naphthalene (**M25a,b**) and the synergistic effect of the support by cooperative acid-base catalysis was also pointed out for the Knoevenagel condensation and Claisen-Schmidt synthesis of chalcones and flavanones.¹⁴⁰ Guanidine bases were covalently tethered to MCM-41 by Jacobs's group.¹⁴¹ They reacted the surface silanol groups with (3-trimethoxysilylpropoxy)methylloxirane and the resulting glycidylated MCM-41 was treated with excess of TBD to afford **M26a**. This heterogenized base was used as a catalyst for Knoevenagel condensations; Michael reactions and nucleophilic epoxidations of alkenones with H₂O₂.¹⁴¹ The same MCM-TBD material **M26a** was applied by Jaenicke as catalyst for the reaction of glycidol with lauric acid and the preparation of jasminaldehyde through the aldol condensation of heptaldehyde with benzaldehyde under continuous flow conditions.¹⁴² Sartori performed a continuous-flow two-step process by combining sequentially two column reactors, the first one packed with a silica gel-supported primary amine (**M8a**) to promote a nitroaldol condensation, and the second one consisting of a silica gel-supported TBD (**M26b**) to consequently catalyze the Michael addition of β -dicarbonyl compounds to the nitrostyrenes obtained in the previous step.¹⁴³ Biguanide-functionalized Fe₃O₄/SiO₂ nanoparticles (**M27**) with a core-shell structure were prepared through the covalent attachment of metformin to the chloropropylgrafted magnetic nanoparticles and they were evaluated in three-component processes, i.e. domino Knoevenagel condensation/Michael addition/cyclization reaction for the preparation of chromenes and tetrahydrobenzo[b]pyrans.¹⁴⁴

3.1.3. IONIC ORGANOSILICAS

It is worth noting that also non-basic (dihydro)imidazolium-containing organosilicas have been reported as recyclable catalysts for the Knoevenagel reaction of aromatic aldehydes with malononitrile and ethyl cyanoacetate under neat conditions. Materials **M28a-b** and **M29a-b** (Figure 5) bearing imidazolium and dihydroimidazolium salts in different dilutions were prepared using template-based sol-gel methodologies.⁶⁴ The experiments suggested a certain degree of cooperativity between the surface of the matrix and the active sites and underlined the crucial role of the (dihydro)imidazolium salt. In these cases no basic catalysis can be claimed. Speculations have been drawn from the relatively high acidity of the proton at the C-2 position of the heterocyclic ring, which could be on the origin of the catalysis through the activation of both the electrophile and the active methylene compound by hydrogen bond formation with the carbonyl group of the aldehyde and the cyano and/or ester group of the pre-nucleophile. Like in classical acid-catalyzed aldol-type reactions the process would take place through an "enol-type" intermediate. However, an alternative catalytic nucleophilic pathway involving halide anion cannot be discarded,¹⁴⁵ as its nucleophilicity is enhanced due to the presence of soft counteranion. Other examples for the same reaction include an imidazolium-functionalized SBA-15-type material **M30a** prepared by co-condensation with TEOS¹⁴⁶ and a related material **M30b** obtained by co-condensation of the same monomer with TEOS under acidic conditions without template assistance (Figure 5).¹⁴⁷

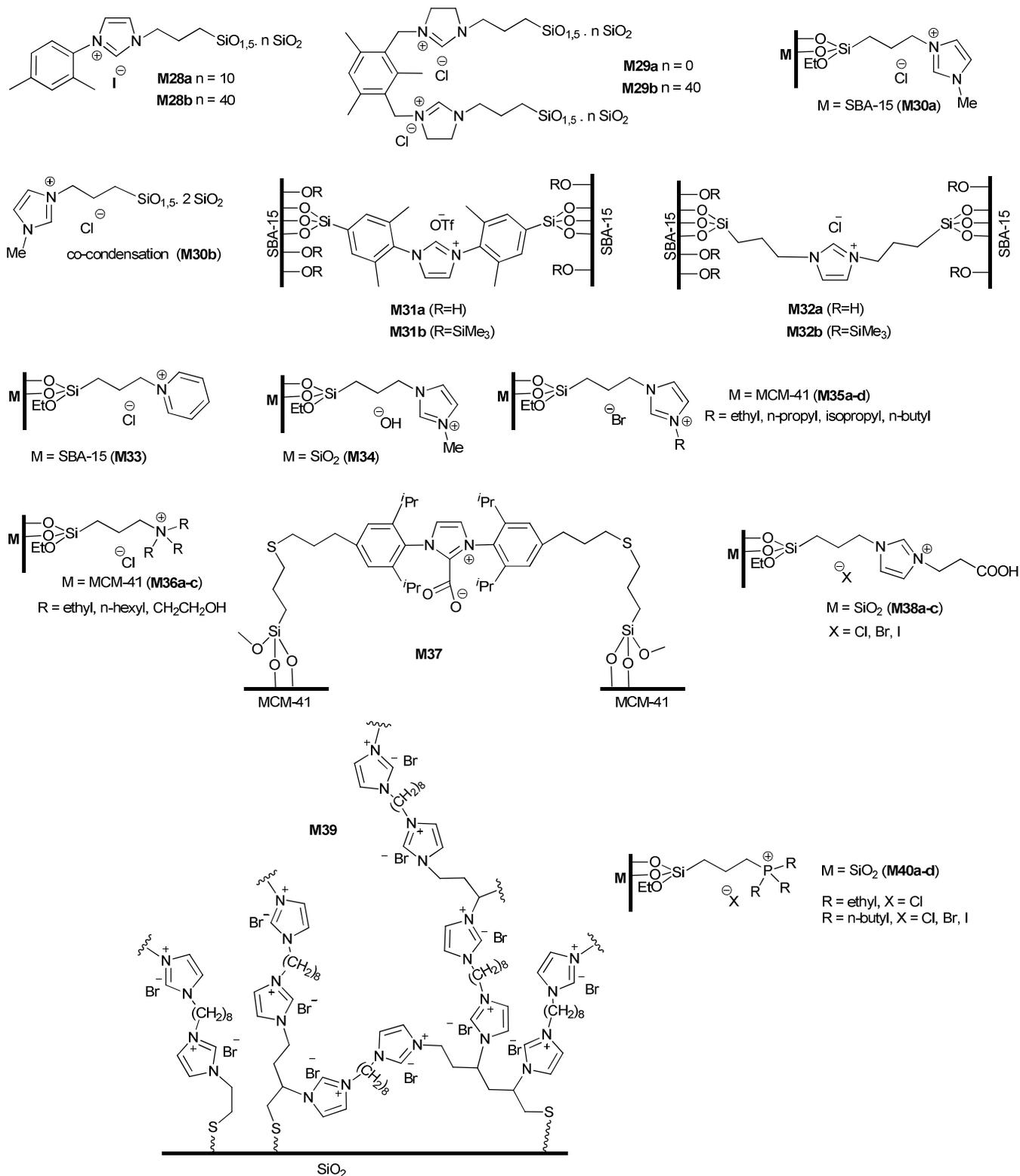


Figure 5. Ionic organosilicas as nucleophilic organocatalysts

Heesemann and Thomas reported the synthesis of SBA-type silica bearing imidazolium moieties (**M31a-b** and **M32a-b**) by post-synthesis grafting reactions using bis-silylated imidazolium precursors (Figure 5).⁹² These materials were found efficient and reusable heterogeneous organocatalysts for Henry reactions leading to β -nitroalcohols and for the cycloaddition of carbon dioxide to epichlorohydrin. In the case of Henry reaction a passivation of the materials was required for high catalytic activity.

Other ionic organosilicas have been described for the conversion of CO_2 and epoxides into cyclic carbonates. Thus, **M30a** and **M33** (Figure 5) bearing imidazolium and pyridinium moieties, respectively, tethered to the surface of SBA-15 by grafting

procedures were used for the preparation of propylene carbonate from CO₂ and propylene oxide.¹⁴⁸ Additionally, **M30a** exhibited high catalytic activity for aza-Michael addition of amines to α,β -unsaturated carbonyl compounds and the Biginelli reaction of aldehydes, ethyl acetoacetate and urea.¹⁴⁸ A silica-grafted imidazolium hydroxide (**M34**) (Figure 5)¹⁴⁹ and imidazolium functionalized MCM-41 type materials (**M35a-d**) (Figure 5)¹⁵⁰ have also been reported for the cycloaddition of CO₂ to epoxides. The materials **M35a-d** were synthesized via a template-directed co-condensation of TEOS with (3-chloropropyl)triethoxysilane, followed by reaction of the resulting mesostructured material with imidazole and subsequent alkylation with alkyl bromides. The same group applied a similar methodology to anchor quaternary ammonium salts to a mesostructured silica matrix (**M36a-c**) (Figure 5) for the cycloaddition of CO₂ to allyl glycidyl ether.¹⁵¹ Lu prepared a CO₂ adduct of a *N*-heterocyclic carbene (NHC) functionalized MCM-41 (**M37**) (Figure 5) by reacting 1,3-bis-(4-allyl-2,6-diisopropylphenyl)imidazolium chloride with MPTMS, subsequent grafting of the resulting bis-silylated compound to MCM-41, deprotonation of the acidic proton of the imidazolium moiety with a strong base and trapping the formed carbene intermediate with CO₂.¹⁵² The reversible CO₂ capture-release ability of this MCM-41-NHC was investigated by *in situ* diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS). This material **M37** proved to be an efficient recyclable heterogeneous catalyst for the regioselective cycloaddition of CO₂ to epoxides and aziridines. The proposed mechanism involves nucleophilic attack of the carboxylate group of the zwitterionic moiety to the strained three-membered heterocyclic rings to generate a new zwitterionic species. Then the oxy or nitrogen anions formed in this first step perform an intramolecular nucleophilic attack to the carbonyl group, yielding the cyclic carbonates or oxazolidinones and the MCM-41-NHC intermediate, which quickly reacts with CO₂ to regenerate the initial adduct **M37**.¹⁵² Imidazolium salts containing carboxylic acid moieties were grafted onto silica gel (**M38a-c**) (Figure 5) and tested as catalysts in the cycloaddition of CO₂ to epoxides.¹⁵³ In this case, the hydrogen bond donor carboxylic acid group was demonstrated to have a synergistic effect with halide anions, as it activates the epoxide ring towards the nucleophilic attack of the halide anion. Pescarmona has recently synthesized multilayered covalently supported ionic-liquid phase (mlc-SILP) materials (**M39** as an example in Figure 5) by grafting bis-vinylimidazolium salts on thiol-functionalized silica gel via a click reaction under radical conditions, with concomitant cross-linking between the bis-vinylimidazolium units to form an oligomeric network.¹⁵⁴ These materials were active as heterogeneous catalysts for the reaction of CO₂ with various epoxides. The effect of the lengthening of the organic linker and the nature of the halide counterion has been studied, as the halide anion initiates the catalytic reaction by nucleophilic opening of the oxirane ring.

Also the guanidine-based catalyst MCM-41-TBD (**M26a**) (Figure 4)¹⁵⁵ and phosphonium salts immobilized onto silica (**M40a-d**) (Figure 5)¹⁵⁶ have been used in the cycloaddition reaction of CO₂ to oxiranes. In the first case, the authors hypothesize that the hydroxyl group present on the material **M26a** would activate the epoxide ring, by hydrogen-bond formation, towards the nucleophilic attack of a zwitterionic species formed from CO₂ and TBD basic moiety. In the second case, the activity of the heterogenized phosphonium salts is greatly enhanced with respect to that of the homogeneous catalysts, which is attributed to a synergistic effect of the silica matrix. Thus, the surface silanol groups would activate the epoxide ring towards the nucleophilic attack of the halide anion.

3.1.4. BIFUNCTIONAL ORGANOSILICAS

Mimicking the enzymatic catalysis, cooperative interactions of acidic and basic sites placed on the same catalytic system have also been investigated to perform some carbon-carbon bond-forming processes, such as aldol or nitroaldol reactions, by simultaneous dual activation of both the electrophile and the nucleophile. Moreover, some one-pot cascade reactions requiring acid and basic catalysis in a sequential manner can also be promoted with such type of catalysts (for instance a deacetalization followed by aldol-type reaction). As the acidic and basic components would interact in homogeneous systems leading to inactive salts, their immobilization in solid matrices has been envisaged to enable these groups to co-exist and function in the same reaction mixture. However, this is a challenging task as the positioning of these groups in the support should be precisely tuned to prevent the undesired complete acid-base neutralization and to optimize the cooperative effect.

Moreover, it should be taken into account that the spatially isolating requirements of the two groups would be not the same for sequential cascade reactions than for the cooperative acid-base reactions. Some acid-base bifunctional reusable heterogeneous catalysts (Figure 6) have been described by the group of Iwasawa in which the Brønsted acidic function is provided by the amorphous acidic silica-alumina (SA) support surface (SiO(H⁺)Al) and the basic groups are grafted primary and tertiary amines (materials **M41a** and **M42**).¹⁵⁷ Whereas primary supported amine **M41a** efficiently catalyzed the nitroaldol reaction of nitroalkanes with aldehydes (presumably through the formation of an imine intermediate), the immobilized tertiary amine base **M42** promoted the Michael reaction of α -substituted ethyl cyanoacetates with electron-deficient alkenes and the cyanoethoxycarbonylation of aldehydes and ketones with ethyl cyanofornate. IR studies revealed that the interaction between the immobilized NEt₂ groups and the acidic sites on the SA surface were much weaker than the direct interaction between the free amines and the same acidic SA surface. Mechanistic proposals for all the reactions are given involving the dual activation of the donor and acceptor substrates on the SA surface. In a step further, this author has reported a heterogeneous combined tertiary and primary amine catalyst immobilized on acidic silica-alumina (**M43**)¹⁵⁸ for the efficient and selective one-pot synthesis of 1,3-dinitroalkanes under very mild conditions. The transformation proceeds through a Henry condensation followed by a Michael addition of a second molecule of nitroalkane to the resulting nitroalkene intermediate. As the supported single amines and the corresponding amines in solution exhibited almost no significant activity in this reaction, the effect of this multifunctional catalyst **M43** is clearly evidenced in the promotion of this one-pot reaction sequence. A cooperative reaction mechanism has been proposed.

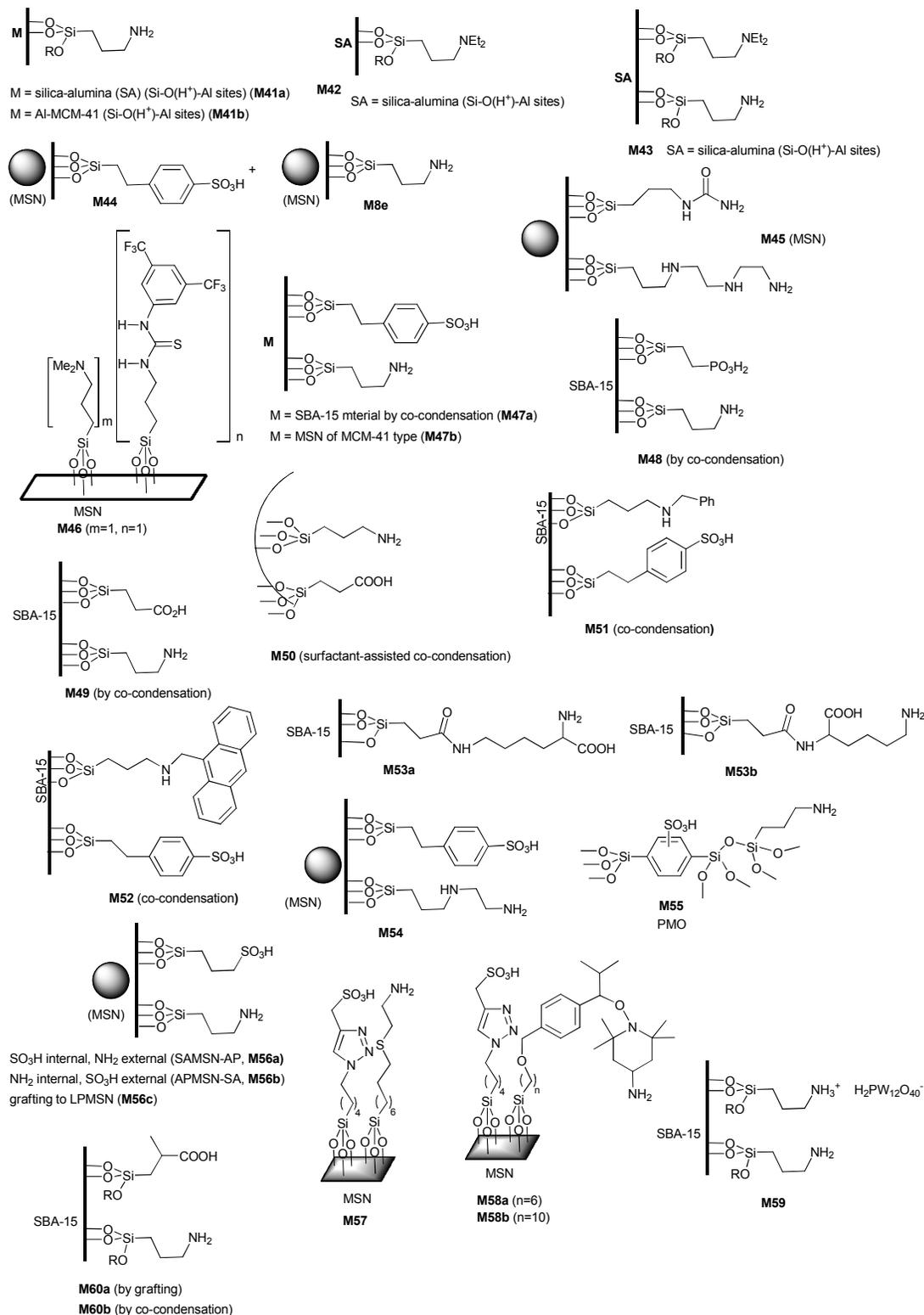


Figure 6. Silica-supported bifunctional organocatalysts containing acid and basic sites

The same group has found that the interaction between surface acidic sites and basic amine groups in **M42** can be controlled by the pretreatment temperature of the SA before grafting. The amine-acid interactions, estimated by variable-contact-time-array measurements on solid state ¹³C CP-MAS NMR spectroscopy, strongly affected the catalytic performances of the thermally treated materials for 1,4-addition reactions of nitroalkanes and thiols to various electron-deficient alkenes.¹⁵⁹ Thiel has also reported amine-functionalized moderately acidic mesostructured alumino-silicates **M41b**, prepared by grafting APTMS) on Al-MCM-41 in

refluxing ethanol, as efficient and reusable catalysts for the nitroaldol condensation between aromatic aldehydes and nitromethane.¹⁶⁰

On the other hand, the compatibility and catalytic efficiency of physical mixtures of two materials based on mesoporous silica nanoparticles (MSN) containing confined bases (**M8e**) and acids (**M44**) inside the MCM-41 type porous channels has been demonstrated in a one-pot cascade process involving acid-catalyzed deprotection of 4-nitrobenzaldehyde dimethyl acetal followed by a base-catalyzed Henry condensation in nitromethane to generate the corresponding nitroalkene.¹⁶¹ Both materials have been synthesized via co-condensation of TEOS and the corresponding organosilane, (2-(4-chlorosulfonylphenyl)ethyltrimethoxysilane or APTMS, in the presence of cetyltrimethylammonium bromide (CTAB) as template under basic conditions.

However, besides these monofunctionalized acidic silica-alumina derivatives, several groups have focused on bifunctionalized silica-based materials incorporating both acid and basic groups for cooperative catalysis, taking care of the control of the relative concentrations and the proper spatial arrangements between the functional groups. Lin demonstrated that a general acid group such as ureidopropyl can activate carbonyl electrophiles, through double hydrogen bonding, in cooperation with a general base group (AEP or 3-[2-(2-aminoethylamino)ethylamino]propyl group), both present in **M45**.⁹⁶ Functionalized mesoporous silica nanospheres (MSN) **M45** were synthesized by a similar co-condensation procedure under basic conditions and with a cationic template, as described in the previous example of the same group, but with the sequential addition, in this case, of the two required organosilanes, 3-[2-(2-aminoethylamino)ethylamino]propyltrimethoxysilane and ureidopropyltrimethoxysilane. Then, **M45** was successfully employed as a reusable catalyst for three model reactions with *p*-nitrobenzaldehyde as common electrophile, namely the aldol condensation with acetone leading to mixtures of aldol and alkene, the Henry condensation with nitromethane and the cyanosilylation reaction with trimethylsilyl cyanide. In these reactions, the secondary amines of the AEP group are responsible for the enamine formation with acetone (aldol reaction), the deprotonation of nitromethane (Henry reaction) and the generation of a potential nucleophile from trimethylsilyl cyanide through hypervalent silicate formation (cyanosilylation).⁹⁶

Bifunctional MSN carrying tertiary amine and thiourea residues (**M46**) have also been reported by Benaglia.⁹⁷ The recyclable catalyst **M46**, prepared by the co-condensation method, has been tested in the conjugate addition of acetylacetone to 2-nitrostyrene. The tertiary amine deprotonates the donor nucleophile and the thiourea function activates the electrophile by double hydrogen bonding to the nitro group. The use of this catalyst has been extended to the addition of acetylacetone to *N*-Cbz-benzaldimine.

Moreover, the dual-functionalized acid-base SBA-15 type material **M47a** was prepared by the usual template assisted co-condensation of TEOS with 2-(4-chlorosulfonylphenyl)ethyltrimethoxysilane and APTMS under acidic conditions. It was tested in the aldol reaction of *p*-nitrobenzaldehyde with acetone giving mixtures of the aldol and dehydration products, non polar aprotic solvents giving the best conversions.¹⁶² The acid and basic groups in the support would be in equilibrium between the free acid and base and the ion pair that results from neutralization, an equilibrium that would be more shifted to the free species in non polar aprotic solvents than in polar aprotic and polar protic solvents. The same group investigated this equilibrium between the active species and the inactive ion-pair by varying the pKa of the acid group.¹⁶³ Thus, they compared the efficiencies of **M47a** with those of **M48** and **M49** SBA-15 co-condensation type materials for the same aldol reaction. They observed that the conversion increased significantly with decreasing acid strength (62 % for sulfonic acid/amine, 78% for phosphoric acid/amine, 100% for carboxylic acid/amine). Then, the aldehyde substrate scope for the best material **M49** was explored.¹⁶³

Aldol reactions between aromatic aldehydes and ketones were also studied by the group of Solin under catalysis by bi-functional mesoporous silica materials **M50** containing both carboxylic and amino groups.¹⁶⁴ Several mesoporous silicas **M50** with highly ordered mesopores were synthesized via the cooperative self-assembly of surfactants (gemini cationic, anionic or mixtures of both), co-structure-directing agents (which are the precursors carboxyethylsilanetriol sodium salt and APTES) and TEOS as the silica source, following the procedure described by Che.¹⁶⁵

The aldol reaction of *p*-nitrobenzaldehyde with acetone has again been used as model reaction by Kan and coworkers to study the effect of the steric hindrance in the control and prevention of the interaction between sulfonic acid and secondary amine moieties in bi-functional SBA-15 type materials **M51** and **M52**,¹⁶⁶ **M52** showing a higher catalytic performance (94 vs 80% conversion). These materials were synthesized by a direct synthesis method from the corresponding silylated secondary amines and sulfonyl chloride. Later on, the same group has also chosen the aldol reaction to investigate the effect of the distance between acidic COOH sites and basic NH₂ sites in a silica material on the catalytic cooperative activity. To this aim, they coupled different amine groups of conveniently protected lysine derivatives (ϵ - or α -NH₂ groups) with the activated carboxyl group of carboxyethyl-functionalized SBA-15 to generate the amides **M53a** and **M53b** after deprotection. They found that **M53a** (shorter acid-base distance) was more active than **M53b** (larger acid-base distance).¹⁶⁷

Bifunctionalized MSN of MCM-41 type possessing sulfonic and amino groups (**M47b** and **M54**) have also been developed by Thiel *via* co-condensation method¹⁶⁸ and evaluated in Henry condensation, one-pot deacetalization-nitroaldol condensation and one-pot deacetalization-aldol condensation. The authors emphasized the higher performance of bifunctionalized catalysts in Henry reaction with respect to monofunctionalized samples containing only primary amines and physical mixtures of acid and base heterogeneous catalysts. They demonstrated the cooperative effect between the amine groups and both SO₃H and acidic silanol groups. The mechanism suggested for this reaction involves a dual activation of nucleophiles and electrophiles at the amine base sites and the neighboring acid sites. The organic acids and bases must be in close proximity for a catalytic enhancement. On the other hand, the one-pot cascade processes involve a tandem action of the bifunctionalized catalysts in two consecutive separate reactions: acid catalyzed deacetalization and base catalyzed aldol-type reaction, the presence of both groups in the materials being necessary for obtaining the tandem product.¹⁶⁸

The same group has reported the successful cohabitation of two antagonistic functional groups in a periodic mesoporous organosilica **M55** by locating the acidic SO₃H groups in the hydrophobic framework walls and the basic NH₂ groups directed into the channel pores of hydrophilic silica layers.¹⁶⁹ **M55** was synthesized by a sequence involving hydrolysis of 1,4-bis(triethoxysilyl)benzene and APTMS in the presence of CTAB as a cationic surfactant, protection of the amino groups in the

synthesized PMO-NH₂ with di-*tert*-butyl dicarbonate, sulfonation of the aromatic ring by treatment with chlorosulfonic acid and final thermal deprotection of the amino groups. The accessibility of both groups in **M55** was evaluated in the tandem process consisting in acid deprotection of benzaldehyde dimethyl acetal and subsequent base-catalyzed Henry condensation with nitromethane, achieving good conversions and recyclability of the material up to three runs.

Another approach to favor the coexistence of the acid SO₃H groups and basic NH₂ groups by site-separation within the same material has been developed by Lin by selectively attaching them on the external and internal surface of mesoporous silica nanoparticles (**M56a**, **M56b**).¹⁷⁰ Material **M56a** (ASMSN-AP) was synthesized by co-condensation of TEOS and MPTMS in the presence of CTAB as template under basic conditions, post-synthesis grafting (without template removal) of APTMS onto the external surface, oxidation of mercapto groups to sulfonic acid and final template removal. Material **M56b** (APMSN-SA) was obtained by a similar procedure by changing the order of steps. Both immobilized bifunctional catalysts showed good activities and recyclabilities in the one-pot reaction sequence involving the hydrolysis of dimethyl acetal of *p*-nitrobenzaldehyde and subsequent Henry condensation with nitromethane.¹⁷⁰

Silica nanoparticles with large mesopores have been functionalized with acid and basic groups by grafting procedures (**M56c**) and then used as cooperative heterogeneous catalysts for one-pot conversion of cellulose to 5-hydroxymethylfurfural.¹⁷¹

Eckert and Studer used thiol-ene reaction, Cu-catalyzed alkyne-azide cycloaddition and a radical nitroxide exchange reaction as orthogonal processes to incorporate sulfonic acid and amino groups on the surface of mesoporous silica nanoparticles (MSN) containing orthogonally addressable functionalities. Following this approach, several materials were prepared and tested; among them **M57**, **M58a** and **M58b** were successfully applied to the Henry reaction of nitromethane with benzaldehyde to give *trans*- β -nitrostyrene.⁹⁹ From this study, it was shown that the length of the linker between the inorganic host material and the two catalytically active organic functions has to be carefully adjusted to avoid acid/base quenching. Particles containing only the amino functionality showed lower activities, revealing the importance of cooperative catalysis.

Rothenberg has combined amine base functions and heteropolyacid functions by grafting (3-aminopropyl) groups on SBA-15 support and subsequent treatment with a methanolic solution of phosphotungstic acid. By controlling the ratio of immobilized heteropolyacids and aminopropyl tethers, only part of the amino groups were reacted to afford **M59**.¹⁷² This was found to be an efficient and robust bifunctional acid-base solid catalyst for one-pot cooperative catalysis (deacetalization-Knoevenagel condensation and deacetalization-Henry condensation).

Jones has recently investigated the impact of grafting and co-condensation synthesis methods on the cooperative catalytic properties of acid-base bifunctional SBA-15 type materials **M60a** and **M60b** for the aldol condensation of *p*-nitrobenzaldehyde with acetone.¹⁷³

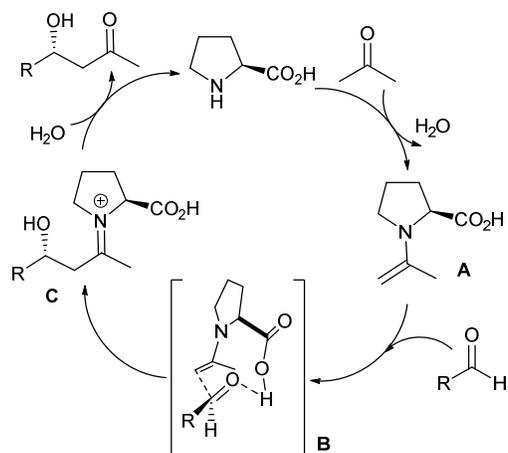
Interesting review articles covering also the topic of acid-base cooperativity on silica-based organocatalysts have been published.¹⁷⁴⁻¹⁷⁶

3.2. Supported chiral amines for enamine activation

The explosive growth of organocatalysis on the last 15 years has been due to the development and efficient application of a wide range of small chiral organic molecules that have led to the stereoselective synthesis of enantiomerically enriched compounds (asymmetric organocatalysis). One of the general mechanisms in asymmetric organocatalysis is the enamine activation for nucleophilic catalysis. It occurs when a chiral secondary amine (*e.g.* proline) interacts with a carbonyl substrate with α -enolizable protons to form a nucleophilic enamine intermediate (the energy of the HOMO of the nucleophile is increased). Simultaneously, the catalyst activates the electrophile through hydrogen bond. This mechanism can operate in intermolecular direct aldol reactions between ketones (acetone, cyclohexanone, cyclopentanone, hydroxyacetone) and aromatic aldehydes.

3.2.1. SUPPORTED PROLINES

The accepted mechanism for the intermolecular proline-catalyzed direct asymmetric aldol reaction mimics that of class I aldolase enzymes and begins with the rate-limiting enamine formation (A) from the ketone donor, followed by the addition of this nucleophile to the carbonyl of the acceptor aldehyde, which is activated by the carboxylic acid of proline (B); then the resulting iminium ion C is hydrolyzed to give the aldol adduct and the regenerated organocatalyst (Scheme 3).¹⁰ Efforts have been devoted by different groups to silica-immobilization of L-proline derivatives as recyclable organocatalysts for direct aldol reaction (**M61** to **M73** in Figure 7).



Scheme 3. Enamine activation pathway in the intermolecular proline-catalyzed asymmetric aldol reaction between a ketone and an aldehyde.

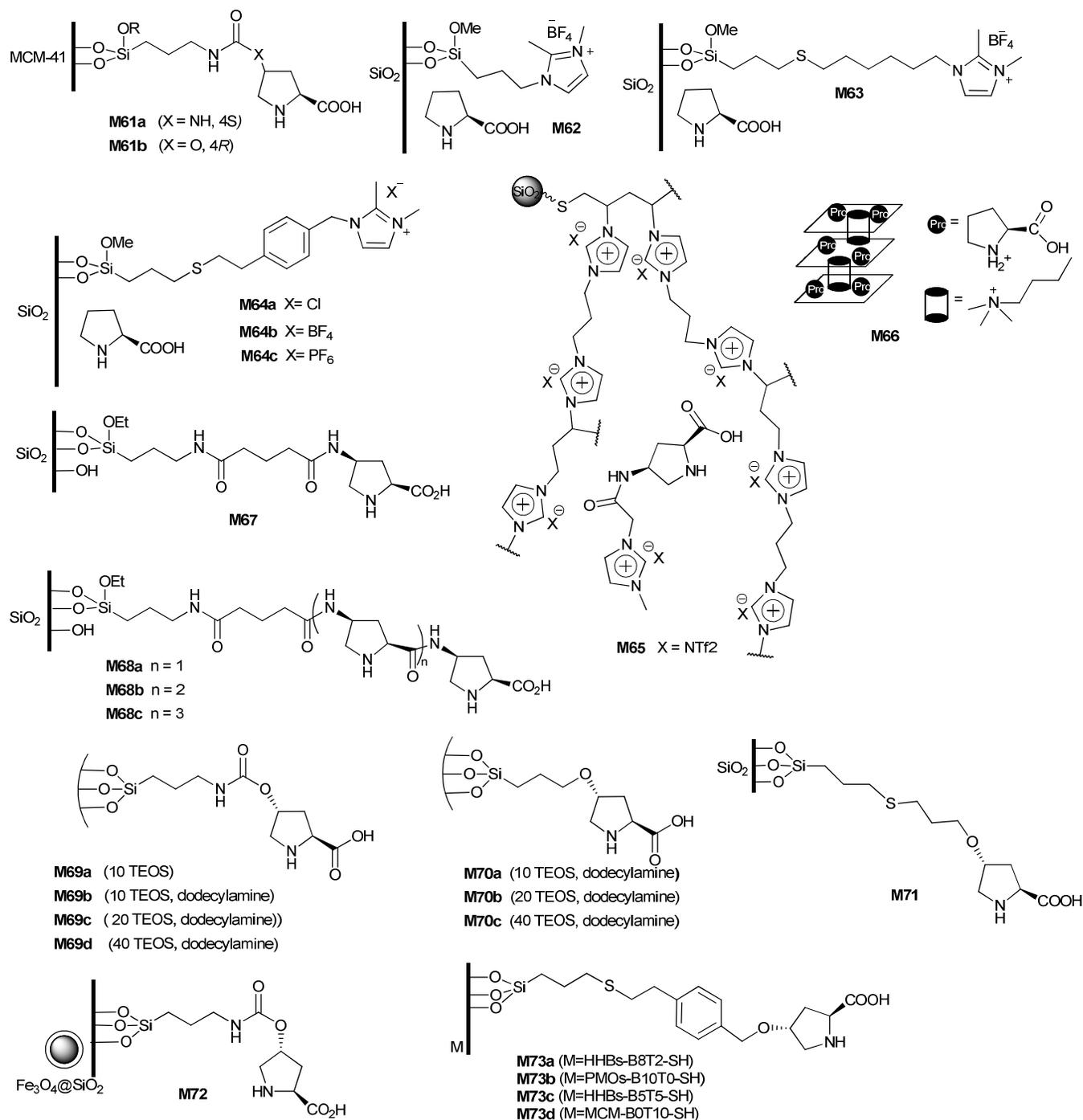


Figure 7. Silica-supported functionalized prolines for asymmetric direct aldol reaction *via* enamine pathway

In pioneering works, Fernández-Mayoralas heterogenized (S)-proline on mesoporous support MCM-41 by a grafting procedure to obtain **M61a**⁵³ and **M61b**.¹⁷⁷ In both cases, they started from protected (2*S*, 4*R*)-4-hydroxyproline to obtain the required silylated compounds. In the case of **M61a** the hydroxy group was replaced by an amino group by a sequence involving tosylation, nucleophilic substitution with sodium azide and reduction of the azide group by hydrogenation. The amino and hydroxyl groups of the protected prolines were reacted with (3-triethoxysilylpropyl)isocyanate to afford the desired silylated urea and carbamate derivatives, respectively, which were deprotected and grafted.

On the other hand, non-covalently anchored proline-based materials **M62**, **M63** and **M64a-c** were prepared by Gruttadauria's group by adsorption of L-proline onto the surface of imidazolium-modified silica gels bearing three different linkers.¹⁷⁸ The silica matrix for **M62** was obtained by direct condensation between silica gel and 1-(3-trimethoxysilylpropyl)-2,3-dimethylimidazolium tetrafluoroborate. The supports for **M63** and **M64a-c** were synthesized by thiol-ene coupling of 3-mercaptoethyl silica gel with the corresponding alkene-functionalized imidazolium salts. Later on, the same group prepared **M65** following a similar

methodology. In this case a *cis*-ion-tagged proline derivative with a robust amide linkage between the catalytic active site and the imidazolium tag was chosen for adsorption on multilayered covalently bonded supported ionic liquid phases (mlc-SILP).¹⁷⁹ A layered clay material (montmorillonite) containing prolinium ions (**M66**) has also been prepared for enantioselective aldol reaction.¹⁸⁰

Silica-supported proline **M67** was synthesized from aminopropyl silica gel, which was first reacted with glutaric anhydride, then the carboxylic group was coupled with *N*-Boc-2(*S*)-carbomethoxy-4(*S*)-aminopyrrolidine and, finally, the orthogonal protecting groups removed. Proline-based peptides **M68a-c** were obtained by a similar procedure.¹⁸¹

Heterogenised silica-based organocatalysts **M69a-d** and **M70a-c** have been prepared by Moreau and Wong Chi Man via the sol-gel process from two silylated derivatives of L-proline, featuring either a carbamate or an ether linker.⁴⁸ The silylated carbamate precursor was obtained as previously described.¹⁷⁷ The precursor bearing the ether moiety was synthesized from *N*-Cbz-*trans*-4-allyloxy-L-proline, which was converted to its corresponding benzylic ester. Upon subsequent hydrosilylation reaction and removal of the benzylic and Cbz protecting groups by hydrogenolysis, the desired compound was achieved. Co-gelification of both monomers with variable amounts of TEOS was performed with and without dodecylamine as porogen, enabling the formation of materials with various organic loadings, pore sizes and surface areas.⁴⁸

Covalently proline-functionalized silica gel **M71** for continuous-flow aldol reaction in packed-bed microreactors has been described by Massi. It was prepared by the thermally or photochemically induced thiol-ene coupling of commercial 3-mercaptopropyl silica gel with *N*-Boc-*trans*-4-allyloxy-L-proline.¹⁸²

Trans-4-hydroxy-L-proline has also been successfully grafted onto core-shell Fe₃O₄@SiO₂ magnetic microspheres through a carbamate functionality (**M72**). A previously mentioned synthetic procedure was followed, namely reaction of protected 4-hydroxyproline with the silylated isocyanate, deprotection and grafting.⁴⁶

Recently, He has also used the thiol-ene coupling approach to anchor a derivative of L-proline to mercaptopropyl-functionalized mesoporous silicas (**M73a-d**).¹⁸³ The parent mesostructured silicas have been obtained under aqueous basic conditions in the presence of cetyltrimethylammonium bromide. By adjusting the molar ratios of 1,4-bis(trimethoxysilyl)benzene, tetraethyl orthosilicate and MPTMS, they achieved silica materials with alternating hydrophobic and hydrophilic blocks in the pore wall (**M73a**, **M73c**), with hydrophobic surface (**M73b**) or with hydrophilic surface (**M73d**). These heterogeneous catalysts have been applied to the asymmetric aldol reaction and also to a more challenging Knoevenagel-Michael cascade reaction.¹⁸³

3.2.2. SUPPORTED PROLINE DERIVATIVES

To expand the scope of enamine catalysis in homogeneous systems, a series of modifications of the structure of natural amino acid L-proline have been accomplished by different research groups, who aimed mainly at improving the solubility and/or enhancing the acidity of the stereodirecting group. The design has focused on the introduction of tunable hydrogen-bonding donor groups to improve the dual activation ability while preserving the molecular scaffold created by nature as a central design element. To this aim different prolinamides, prolinthioamides, prolintetrazoles and prolinulfonamides have been developed for reactions in homogeneous medium.

Figure 8 gathers the organosilicas based on proline mimics which have been applied to asymmetric aldol reaction, mainly prolinamides (**M74-M80**, **M83**, **M84**), although supported prolinulfonamide **M81** and pyrrolidinyl tetrazole **M82** have also been recently reported.

Following a similar methodology as previously described for **M64a-c**, the tripeptide H-Pro-Pro-Asp-NH₂ has been adsorbed on the surface of modified silica gels functionalized with a monolayer of covalently attached 1,2-dimethylimidazolium chloride, tetrafluoroborate or tetrafluorophosphate (**M74a-c**).¹⁷⁸

In the other reports of Figure 8 the organocatalytic moiety has been covalently attached to several silica supports through different linkers and by different approaches. Wang obtained **M75** by direct coupling of Fmoc-L-proline with 3-aminopropyl functionalized silica gel and subsequent deprotection. Repeating the same procedures proline-based peptides **M76a-c** could be prepared.¹⁸¹

Several silicas with SBA-15 mesostructure functionalized with the L-prolinamide group (**M77a-c**) were synthesized by Yang's group by co-condensation of silicon precursors (a mixture of TEOS and Na₂SiO₃) with L-prolinamide modified organosilane in an AcOH/NaOAc buffer using block copolymer P123 as template. Material **M77d** with foam mesostructure was obtained under analogous conditions but with pure TEOS as silicon precursor.¹⁸⁴ For comparison, the same silylated prolinamide derivative was also grafted onto SBA-15 and MCM-41 (**M77h**, **M77i**).¹⁸⁴ Later on, the same group prepared L-prolinamide functionalized hollow nanospheres with different surface properties **M77e-g**.¹⁸⁵ **M77e** was obtained by co-condensation of the organosilane with TEOS in aqueous K₂SO₄ using F127 (EO₁₀₆PO₇₀EO₁₀₆) as template. For **M77f** a similar procedure was used, but with 1,2-bis(trimethoxysilyl)ethane as silicon precursor in aqueous NaOAc. The surface of **M77f** was modified by reaction with trimethoxymethylsilane in refluxing toluene to afford **M77g**.¹⁸⁵ The silylated bifunctional L-prolinamide monomer required for the preparation of materials **M77** was synthesized by DCC mediated coupling of N-[4-(trimethoxysilyl)benzyl]-(1*R*, 2*R*)-diaminocyclohexane with *N*-Boc-L-proline. Removal of Boc group was performed after the synthesis of the materials.¹⁸⁴

We have reported some organocatalytic materials for asymmetric aldol reaction based on prolinamide scaffolds (**M78**, **M79a-d**). Thus, non-porous bridged silsesquioxane **M78** was obtained from a bis-silylated aminoindane-derived prolinamide by sol-gel methodology in the absence of TEOS with a fluoride salt (TBAF) as catalyst. The required monomer was synthesized from *N*-Cbz-4-*trans*-hydroxy-L-proline and (1*S*, 2*R*)-*cis*-1-amino-2-indanol. After amide formation, both hydroxyl groups were reacted with (3-isocyanatopropyl)triethoxysilane to afford the bis-carbamate and the Cbz protecting group was removed by catalytic hydride transfer (Pd/C, cyclohexene).⁵¹ A similar procedure enabled the synthesis of the monosilylated aminoindane-derived prolinamide required for materials **M79a-c**.⁵² Whereas **M79a-b** were prepared by sol-gel co-condensation of the organosilane with different amounts of TEOS (5 and 19 equivalents, respectively) under nucleophilic catalysis (TBAF), **M79c** was obtained by template-assisted hydrolytic polycondensation of the monomer with TEOS (24 equivalents) under acidic conditions and with the neutral surfactant P123 as structure-directing agent. Despite using the typical conditions for SBA-15 type materials, it was not

possible in this case to obtain such a structured porosity, most probably due to the bulky organic part of the precursor. Finally, **M79d** was achieved by grafting on a SBA-15 type mesostructured silica.⁵²

Following a similar methodology as previously described for **M71**, Massi and Cavazzini have recently prepared silica gel-immobilized proline mimetics, namely prolinamide **M80**, prolinsulfonamide **M81** and pyrrolidinyl tetrazole **M82**¹⁸⁶ with the aim to perform aldol reactions under continuous-flow conditions in packed-bed microreactors. The required precursors for thiol-ene coupling to 3-mercaptopropyl silica gel were obtained from N-protected (2*S*, 4*R*)-4-allyloxyproline by standard methods. Transformation of carboxyl group to a tetrazole moiety was achieved by conversion to the primary amide, subsequent dehydration promoted by cyanuric chloride to obtain the nitrile and cycloaddition with sodium azide.

Silica-gel supported binam-derived prolinamide **M83** has been prepared by a sol-gel co-condensation procedure under ammonium fluoride catalysis. First, a photoinduced thiol-ene coupling strategy has been used to synthesize the required monosilylated precursor from the styrylsulfonyl binam derivative. This compound was obtained by reacting 4-vinylbenzenesulfonyl chloride with (*R_a*)-1,1'-binaphthyl-2,2'-diamine, subsequent coupling with N-Boc-D-proline followed by deprotection with trifluoroacetic acid.¹⁸⁷ A direct synthesis has been undertaken to obtain the aldolisation catalyst **M84** based on the tetrapeptide sequence Pro-Pro-Asp-Lys. The triethoxysilylated peptide was achieved by reaction of the terminal amino group of lysine residue with (3-isocyanatopropyl)trimethoxysilane. The material has been prepared by hydrolytic co-condensation of this monomer with TEOS under acidic conditions in the presence of P123 as structure-directing agent.¹⁸⁸

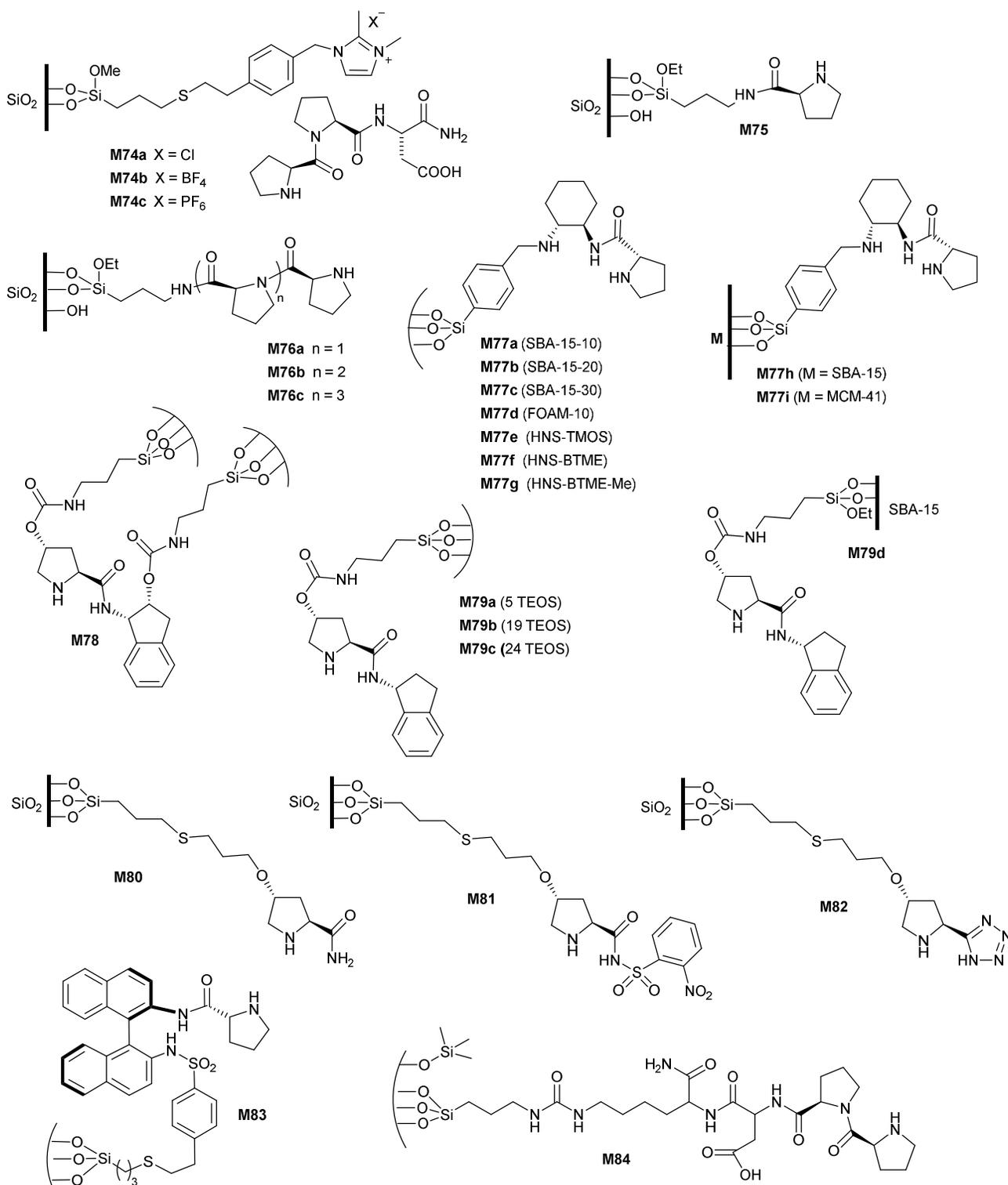


Figure 8. Silica-supported proline mimics for asymmetric direct aldol reaction *via* enamine pathway

An enamine pathway is also followed for the aldol reaction in the case of non-covalently anchored silica-supported chiral amines **M85a-b**¹⁸⁹ and **M86**¹⁸² (figure 9). Solid chiral catalyst **M85a** has been obtained through acid-base interaction of the chiral diamine and SO₃H-containing hollow nanospheres. For this purpose, thiol-hollow nanospheres were synthesized from 1,2-bis(trimethoxysilyl)ethane and MPTMS in NaOAc aqueous solutions, with F127 as micelle template. Subsequent oxidation by H₂O₂ afforded the acid-containing supporting material.¹⁸⁹ In a similar way, **M85b** was prepared from a SO₃H-mesoporous-ethanesilica. Material **M86** was readily obtained in two steps from commercial 3-mercaptopropyl silica gel by a sequence

involving oxidation and further treatment of the resulting SO_3H -silica with (S)-1-(2-pyrrolidinylmethyl)pyrrolidine in acetonitrile at room temperature.¹⁸²

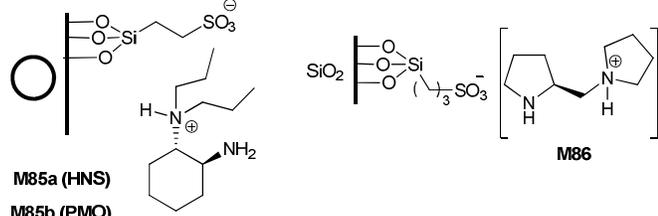
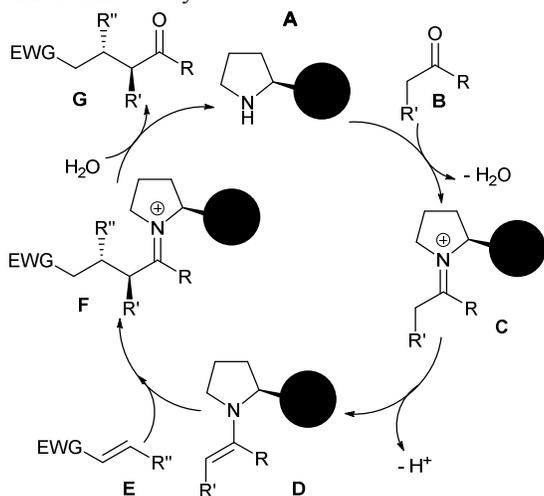


Figure 9. Non-covalently anchored silica-supported chiral amines for direct aldol reaction *via* enamine pathway

Small chiral amines may also catalyze the asymmetric conjugate addition of ketones and aldehydes containing α -enolizable protons to Michael acceptors, such as α,β -unsaturated ketones and conjugated nitroalkenes, by transforming the carbonyl group of the donor into an enamine intermediate. The mechanism through an enamine pathway is outlined in Scheme 4.¹⁹⁰ By reversible reaction between the chiral amine catalyst **A** and the carbonyl donor **B** an iminium ion **C** is generated, which can be more easily deprotonated to form the enamine nucleophilic intermediate **D**. This carbanion equivalent reacts with the electron deficient olefin **E** in order to create the new C-C bond in a stereoselective manner. The subsequent hydrolysis of the α -modified iminium ion **F** formed affords the Michael adduct **G** and restores the aminocatalyst **A**. As the amino catalyst could be trapped by the electrophilic alkene, the reversibility of this trapping is crucial for the occurrence of the desired Michael addition. The diastereo- and enantioselectivity rely on electronic or steric interactions in the transition state leading to **F**, depending on the nature of the stereo-directing group in the aminocatalyst. Thus, electronic interactions would be rationalized by hydrogen bonding in L-proline, tetrazole or thiourea catalysts, but steric shielding would be involved in the facial selectivity when bulky groups are present in the chiral aminocatalyst.



Scheme 4. Enamine activation pathway in the intermolecular asymmetric conjugate addition of α -enolizable carbonyl compounds and Michael acceptors.

Some silica-supported organocatalysts (**M87-M96**) for asymmetric Michael addition following the enamine pathway have been described in the literature and they are summarized in Figure 10.

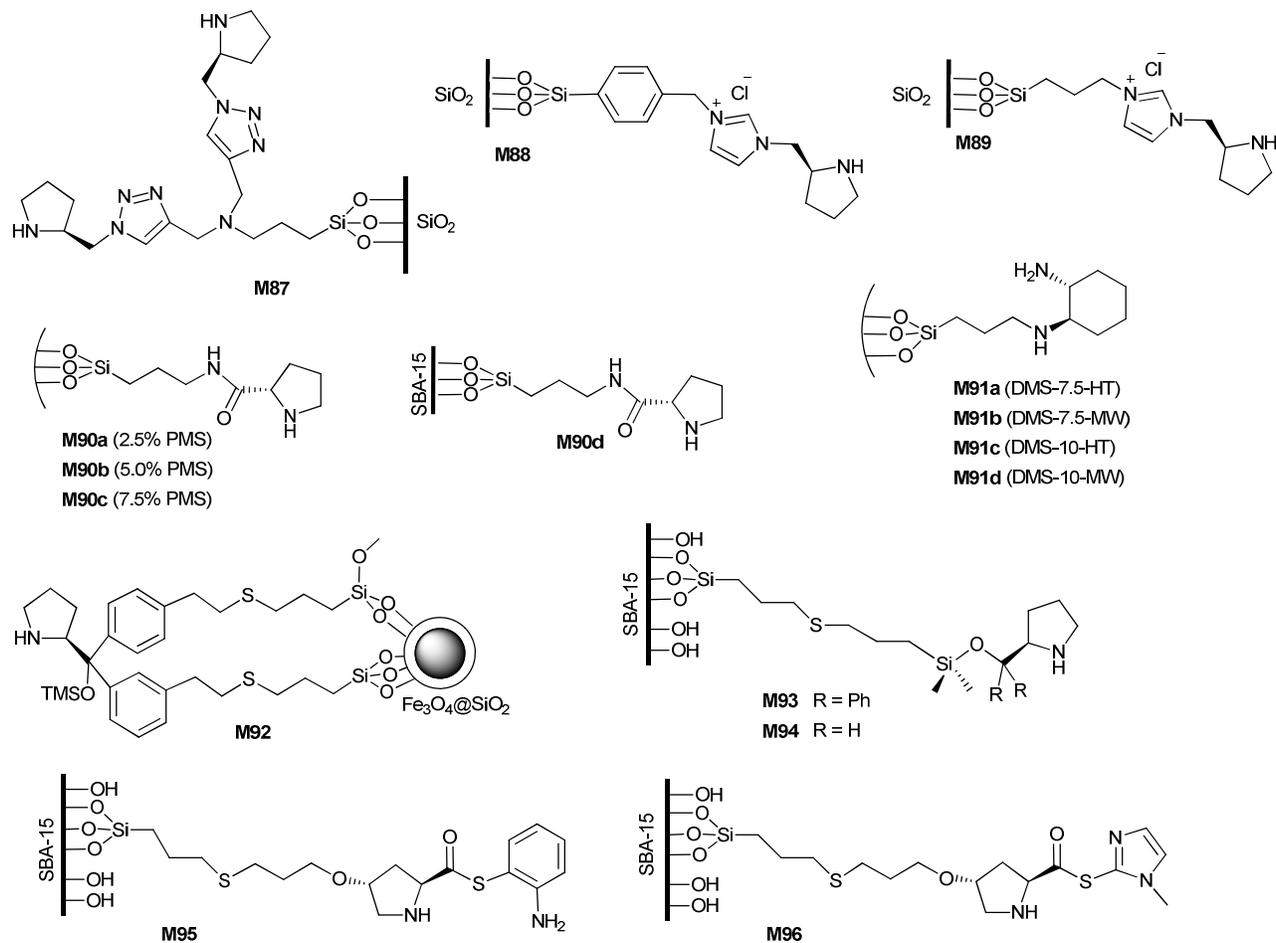


Figure 10. Silica-supported organocatalysts for asymmetric Michael addition *via* enamine pathway

Silica-supported pyrrolidine-triazole **M87** was developed for the Michael addition of ketones to nitroalkenes. A 1,2,3-triazole ring plays a dual role of grafting the chiral pyrrolidine onto the silica surface and of providing a structural element complementary to the pyrrolidine. The triazole moiety was constructed via a Cu(I)-catalyzed azide-alkyne cycloaddition (CuAAC). *N*-Boc-(*S*)-proline was transformed into the corresponding azide derivative in three steps. The dialkyne coupling partner was obtained by treatment of 3-aminopropyl functionalized silica gel with propargyl bromide under basic conditions. Deprotection with TFA was performed on the material after the click reaction.¹⁹¹

The asymmetric Michael addition of ketones to nitrostyrenes was also successfully achieved by the use of silica-immobilized pyrrolidines **M88** and **M89** presenting an imidazolium moiety in the linker, which were obtained by a post-functionalization approach. The synthesis involved treatment of activated silica gel with trichloro-(4-chloromethyl)phenylsilane and (3-chloropropyl)triethoxysilane in refluxing toluene. The resulting materials were reacted with *N*-Boc-(*S*)-1-(pyrrolidin-2-ylmethyl)-1*H*-imidazole, readily available from *N*-Boc-*L*-proline in three steps, to obtain **M88** and **M89**, respectively.¹⁹²

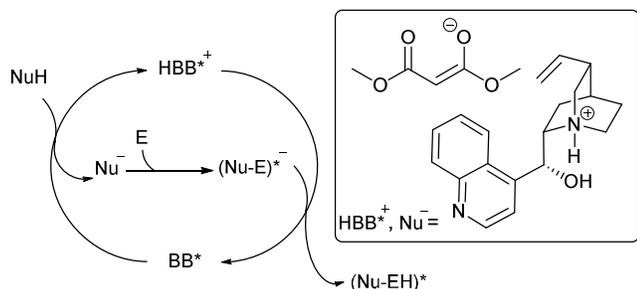
L-Proline functionalized plugged hexagonal templated silicas **M90a-c** were prepared by direct microwave synthesis under acidic conditions using Pluronic P123 as template, sodium metasilicate nonahydrate as silica source and controlled amounts of the prolinamide precursor, (*S*)-*N*-(3-(triethoxysilyl)propyl)pyrrolidine-2-carboxamide. For comparison, **M90d** was also prepared by post-grafting onto conventional SBA-15. **M90a-d** have been tested in the asymmetric Michael addition of diethyl malonate to cyclohexenone and in the asymmetric epoxidation of cinnamaldehyde with hydrogen peroxide.^{50, 193} The suggested mechanism for this epoxidation reaction involves the formation of an enamine intermediate. Following a similar methodology the same group prepared plugged *trans*-(1*R*,2*R*)-diaminocyclohexane functionalized mesoporous silicas **M91a-d** for asymmetric 1,4-addition reactions, by varying the amount of organosilane and the heating method (microwave for **M91a,c** or hydrothermal for **M91b,d**).¹⁹⁴ A superparamagnetic nanoparticle-supported (*S*)-diarylprolinol silyl ether **M92** has been found a very convenient recyclable catalyst for Michael addition. A thiol-ene coupling between MPTMS and (*S*)-2-(((trimethylsilyl)oxy)bis(4-vinylphenyl)methyl)pyrrolidine (available from *L*-proline by a four steps sequence), enabled the formation of the bis-silylated prolinol precursor which was covalently attached to the surface of Fe₃O₄@SiO₂.¹⁹⁵

Efficient and enantioselective Henry-Michael one-pot reaction has been achieved on heterogeneous catalysts **M93-M96** as a result of the synergistic action of inherent achiral silanol groups as acidic sites and chiral proline-derived amines as basic sites.¹⁹⁶ Again, a thiol-ene reaction has been used to immobilize the chiral amines on SBA-15-SH material, which was synthesized from a mixture

of TEOS and MPTMS (4:1) under acidic conditions in the presence of P123. The alkene partners required for **M93** and **M94** were obtained by treatment of allylchlorodimethylsilane with (*S*)-diphenylprolinol and (*S*)-prolinol, respectively. Protection of the amine by Boc group was performed before the coupling and a final deprotection step on the solid materials was achieved with TFA. On the other hand, the alkene precursors for **M95** and **M96** have been prepared from *N*-Boc-*trans*-4-hydroxy-L-proline in two steps, namely O-allylation and thioester formation.¹⁹⁶ Also the materials **M73a-d** (Figure 7), **M78** and **M82** (Figure 8) have been tested in Michael additions.

3.3. Supported Cinchona derivatives for the formation of chiral ion-pairs

Over the past few years a considerable number of publications has arisen dealing with chiral Brønsted bases as catalytic promoters of asymmetric transformations involving the reaction between a relatively acidic pronucleophile and an electrophile. The mechanistic pathway generally adopted for a carbon pronucleophile is depicted in Scheme 5.¹⁹⁷ In the key step, a pro-nucleophile unit loses one proton to render a new species with enhanced nucleophilicity, which forms a chiral ion-pair with the protonated form of the base. Thus, ion pairing arises as the principal interaction between a substrate and a Brønsted base catalyst, which will be responsible for the stereo-induction. Various nitrogen-containing compounds have been used as chiral Brønsted bases, such as tertiary amines, guanidines, amidines and imidazoles. Alkaloids of the Cinchona family constitute a straightforward source of enantiopure base catalysts. To enhance both the activity and the asymmetric induction, ambifunctional catalysts have been designed, which combine the Brønsted basic site with another hydrogen-bonding site. Thus, Cinchona derivatives containing additional urea or thiourea functionalities can anchor both nucleophilic and electrophilic components in the transition state. In that way, concurrent activation of both substrates is achieved and a higher degree of stereochemical order in the transition state is obtained.



Scheme 5. Brønsted base catalytic activation pathway in a carbon pronucleophile-electrophile coupling reaction (exemplified by the cinchonidine-malonate pair). An asterisk denotes chirality.

Following the successful results in homogeneous catalysis with this type of compounds, some authors have recently developed silica-based heterogeneous versions of Cinchona derivatives for conjugate additions (Figure 11; **M97-M103**).

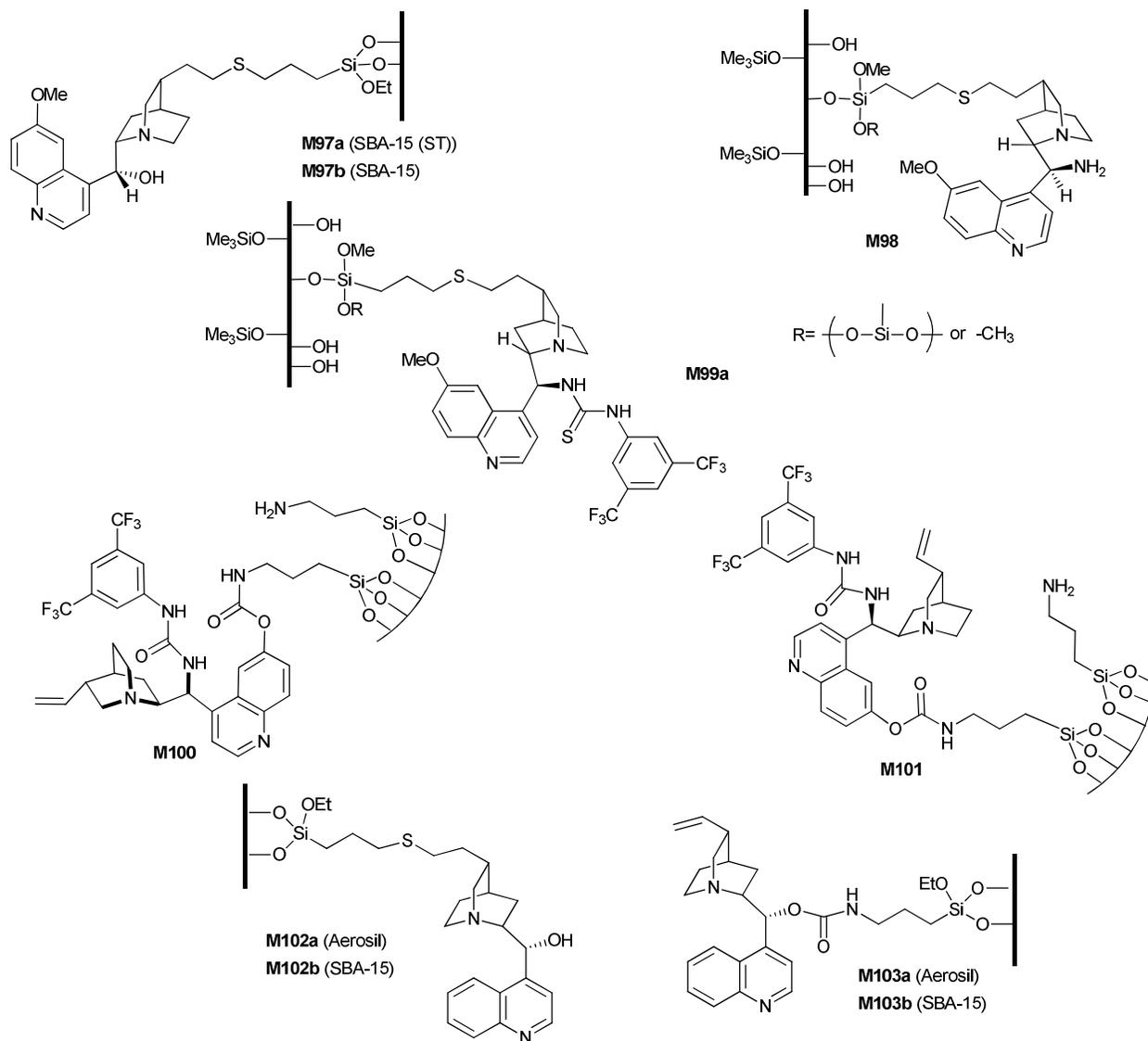


Figure 11. Silica-supported Cinchona derivatives for asymmetric conjugate additions *via* formation of a chiral ion-pair

Heterogeneous catalysts of quinine supported on mesoporous SBA-15 of short mesochannels (**M97a**) and conventional mesoporous SBA-15 (**M97b**) were applied for asymmetric Michael addition of malonitrile to chalcones. The parent silicas functionalized with mercapto groups were prepared by a co-condensation approach and then they were reacted with natural quinine under the thiol-ene click conditions.¹⁹⁸

The immobilization of 9-amino(9-deoxy)*epi*-quinine and 9-thiourea *epi*-quinine at the interior surfaces of mesoporous supports (**M98** and **M99a**, respectively) has been achieved by a step-by-step covalent linking approach, which involves capping of the exterior silanol groups of the as-synthesized MCM-41 or SBA-15, removal of surfactant, grafting of mercaptopropyl linkers and thiol-ene coupling with the corresponding amine. Chiral 9-amino(9-deoxy)*epi*-quinine was synthesized from natural quinine. Further reaction with 3,5-bis(trifluoromethyl)phenyl isothiocyanate afforded the 9-thiourea *epi*-quinine. By modulating the pore size of the mesoporous host the enantioselectivity in the Michael addition has been improved.⁹⁰

Corma has described an asymmetric multicomponent reaction of aldehydes, nitromethane and malonates catalyzed by a chiral mesoporous organosilica incorporating a urea-based cinchona derivative and propylamine groups (**M100**). In this case a different approach has been used to covalently attach the organocatalyst to the support. 9-Urea-(9-deoxy)*epi*-6-hydroxycinchonidine, prepared from quinine in three steps, has been reacted with (3-isocyanatopropyl)triethoxysilane. The sol-gel co-condensation of the resulting silylated carbamate with TEOS catalyzed by NH_4F afforded **M100**. The primary amine sites are derived from partial degradation of the carbamate function and would catalyze the Henry reaction. The bifunctional cinchona-based moiety would be responsible for the subsequent enantioselective Michael addition.¹⁹⁹ The same group has recently reported the preparation of **M101** following a similar methodology by using quinidine as starting compound. The material proved efficient in promoting the asymmetric Henry-Michael domino process to yield some valuable intermediates which have been transformed to GABA-analogues in enantioenriched form.¹⁷⁶

Cinchonidine molecules tethered to silica surfaces (Aerosil or SBA-15) by a grafting method have been found to catalyze the addition of *p-tert*-butylbenzenethiol to 2-cyclohexenone. Two approaches have been envisaged for the derivatization of the chiral base, one where (3-mercaptopropyl)triethoxysilane has been used to create a mercapto linkage through the vinyl moiety of the cinchonidine (**M102a,b**), and a second where a carbamate link is made by reacting (3-isocyanatopropyl)triethoxysilane with the OH group of cinchonidine (**M103a,b**). The effect of the surface of solid and the tether approach on the catalytic performance has been studied.⁹¹

Silica-immobilized Cinchona bases as heterogeneous chiral organocatalysts for other reactions have also been reported (Figure 12, **M99b**, **M104-M107**). Thus, 9-thiourea *epi*-quinine supported on SBA-15 (**M99b**) was applied to the asymmetric Friedel-Crafts reaction of indoles with imines. The synthesis of the material was carried out by thiol-ene reaction between the vinyl moiety of 9-thiourea *epi*-quinine and mercaptopropyl-modified SBA-15.²⁰⁰ An analogous approach was followed by Han to obtain silica-gel supported bis-cinchona alkaloids **M104-M107** from 1,4-bis(quinidiny)anthraquinone or 1,4-bis(quininy)anthraquinone. They were explored for the asymmetric desymmetrization of *meso*-cyclic anhydrides with alcoholysis. The rigidity of the organocatalyst was found to be an important parameter for the enantioselectivity, **M104** giving the best results.²⁰¹

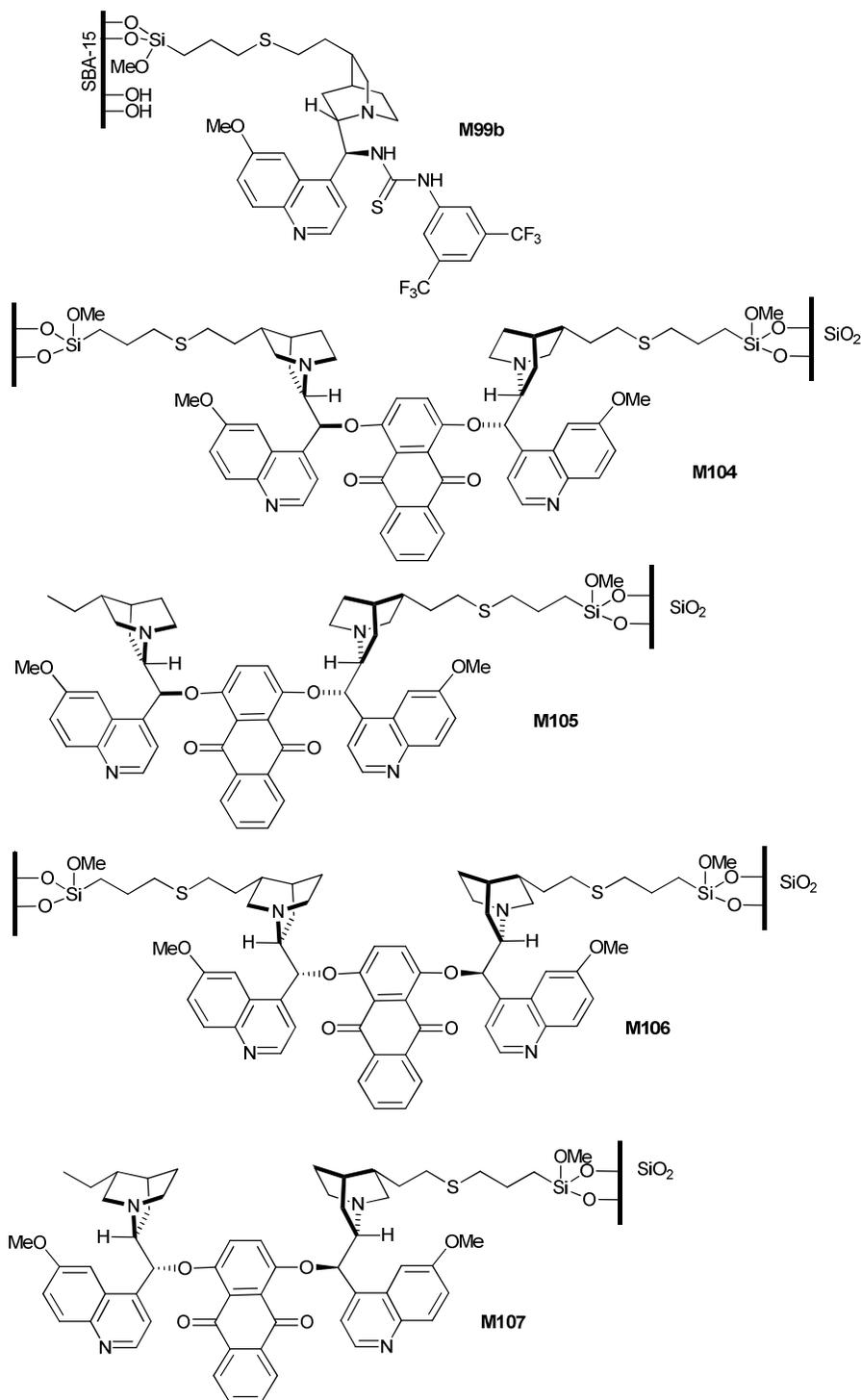
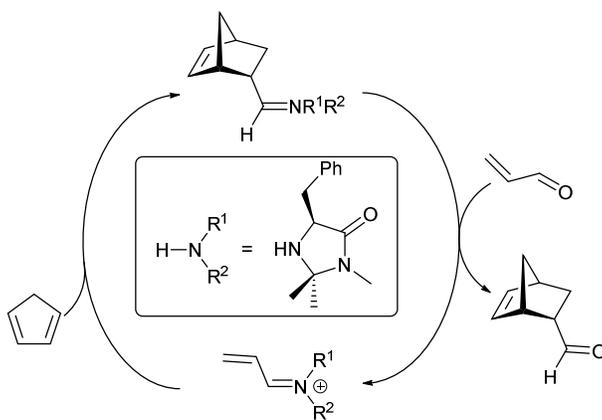


Figure 12. Silica-supported Cinchona derivatives for other asymmetric processes *via* formation of a chiral ion-pair

3.4. Supported chiral imidazolidinones for iminium activation

The iminium activation pathway involves the formation of an iminium ion between an α,β -unsaturated aldehyde (a dienophile in Diels-Alder cycloaddition) and a secondary amine organocatalyst, leading to enhanced electrophilicity of the acceptor substrate (the LUMO of the electrophile/dienophile is lowered). Scheme 6 summarizes the catalytic cycle for the Diels-Alder reaction.²⁰²



Scheme 6. Iminium activation pathway in Diels-Alder reaction catalyzed by MacMillan imidazolidinones.

As the most used chiral organocatalysts following this mechanism are the chiral imidazolidinones developed by MacMillan (Scheme 6), some groups have reported the immobilization of this type of compounds on silica supports for their use as heterogeneous recyclable chiral organocatalysts for Diels-Alder reactions between cyclic or acyclic dienes and α,β -unsaturated aldehydes (Figure 13; **M108-M115**).

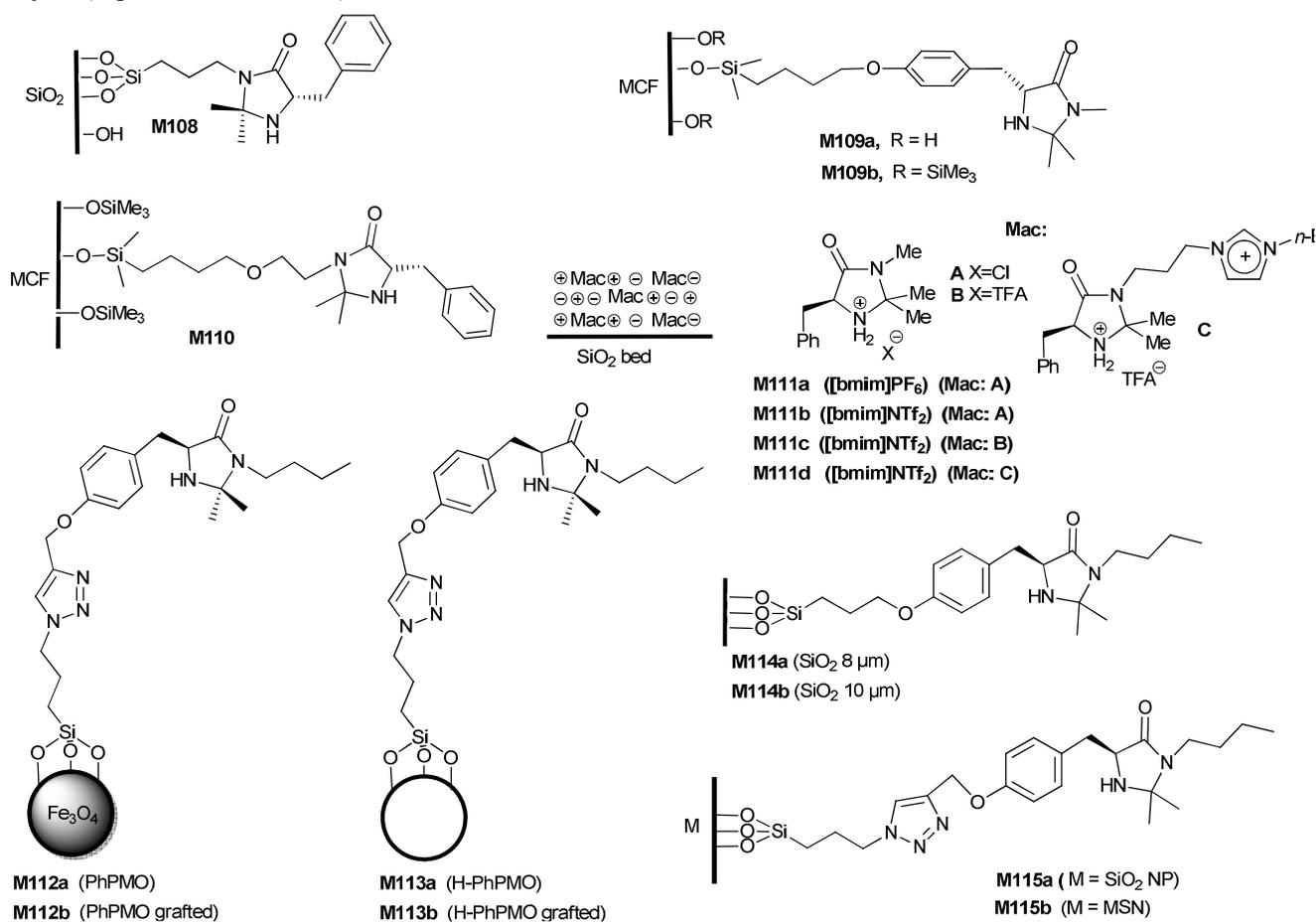


Figure 13. Silica-supported MacMillan imidazolidinones for Diels-Alder reaction *via* an iminium activation pathway

Silica gel-supported chiral imidazolidinone **M108** was achieved through coupling of *N*-Fmoc-protected (*S*)-phenylalanine with *n*-propylamine-functionalized silica gel *via* the acid chloride, deprotection and final cyclization of the silica-bound α -amino amide with 50% acetone in DMF.²⁰³

Siliceous mesocellular foam (MCF) has also been used as support of this type of chiral catalysts. Thus, **M109a,b**, and **M110** showed good catalytic performance and recyclability in both Diels-Alder cycloaddition and Friedel-Crafts alkylation.⁶¹ The characteristics of the linkages, such as length and flexibility, are different in the two types of materials. The required derivatized-imidazolidinone for obtaining **M109** was prepared from (*S*)-tyrosine methyl ester hydrochloride by reaction with methylamine and then acetone. The phenolic group was alkylated with allyl(4-bromobutyl)dimethylsilane. The resulting compound was anchored onto the surface of MCF and pre-capped MCF supports to afford **M109a** and **M109b**, respectively. On the other hand, for the synthesis of **M110**, (*S*)-phenylalanine methyl ester hydrochloride was reacted with ethanolamine and then acetone to generate an imidazolidinone with the ethylene hydroxyl group bound to the amide nitrogen, which enabled the anchoring onto the support as for **M109**.⁶¹

Hagiwara has immobilized several MacMillan's imidazolidinones as supported ionic liquid catalysts (Mac-SILC) in the pores of silica gel (**M111a-d**).²⁰⁴

Functionalization of solid periodic mesoporous organosilica (PMO) spheres and hollow-PMO spheres with MacMillan catalyst has been achieved by the group of Wang (**M112** and **M113**, respectively).²⁰⁵ Two synthetic strategies were followed. One of them involved a hydrolytic co-condensation of 1,4-bis(triethoxysilyl)benzene and (3-azidopropyl)trimethoxysilane in aqueous NaOH in the presence of cetyltrimethylammonium bromide, employing α -Fe₂O₃ nanoparticles as a hard template. Hollow azide-functionalized spheres were obtained after extraction of surfactant and acid etching. Post-modification through click reaction with an alkyne-derivative of a MacMillan imidazolidinone afforded **M113a**. Full PMO spheres **M112a** have been obtained by an analogous pathway in which the acid etching step has been omitted. The second strategy involved the grafting of a monosilylated click derivative of the MacMillan imidazolidinone onto non-functionalized dense and hollow PMO spheres to afford **M112b** and **M113b**, respectively.²⁰⁵

Continuous-flow organocatalyzed Diels-Alder reactions have been carried out on a home-made HPLC column packed with silica-supported salts of chiral imidazolidinones (**M114a,b**).²⁰⁶ A properly modified MacMillan-type catalyst was obtained starting from (*S*)-tyrosine methyl ester in four steps consisting in *N*-butyl amide formation, followed by treatment with acetone, *N*-alkylation of the imidazolidinone formed ring with allyl bromide and platinum-catalyzed hydrosilylation of the alkene with trimethoxysilane. The resulting derivative was grafted to commercially available silicas by standard procedures. Recently, the same group has also employed a triazole-linkage to support an alkyne-imidazolidinone moiety to both azide-functionalized silica and mesoporous silica nanoparticles *via* click chemistry (CuAAC) (**M115a,b**).²⁰⁷

4. Main results in asymmetric catalysis

In this section the main achievements for asymmetric processes involving the previously mentioned silica-supported chiral organocatalysts are detailed. However, some non asymmetric reactions with achiral organocatalysts are also included in the miscellaneous section 4.6.

4.1. Direct asymmetric aldol reaction

The aldol reaction is one of the most useful organic transformations leading to the formation of new C-C bonds with the creation of one or more stereogenic centres. The enamine pathway followed by chiral silica-supported recyclable functionalized prolines and proline mimics has enabled the direct synthesis of aldol products in a diastereo- and enantioselective manner (see figures 7, 8 and 9 for the organocatalysts). Although in some cases other aromatic aldehydes and other ketones have been tested, the activities of the organosilicas have been mostly evaluated via two typically used benchmarks for direct asymmetric aldolisations, namely the reactions of *p*-nitrobenzaldehyde with cyclohexanone and with acetone. As the diverse silica-supported catalysts have been used with different substrates under varying conditions (amount of catalyst, presence or absence of co-catalyst, solvent, temperature), the comparison of their performances only in terms of type of matrix or type of organic-containing moiety becomes difficult. For comparative purposes, we have chosen to present some significant results for these two benchmark reactions in Tables 1 and 2, respectively, which will give the reader an overview of the efficiencies (TON/TOF) and selectivities (*dr*, *ee*) achieved in these particular reactions under the reported conditions for the different catalysts.

Table 1 Asymmetric direct aldol reaction between cyclohexanone and *p*-nitrobenzaldehyde



Entry	Cat.	Co-cat	mol % cat ^a	Solvent/T/t	Yield (%)	dr (<i>anti</i> : <i>syn</i>)	ee		Recycling	TON	TOF (h ⁻¹)
							<i>anti</i>	<i>syn</i>			
1	M65 ¹⁷⁹	-	5.0	H ₂ O/r.t./2.5 h	99	94:6	98	-	15	19.8	7.92
2	M66 ¹⁸⁰	[tmba]NTf ₂ (1 equiv)	30	THF/r.t./16 h	78	63:37	88	- ^b	-	2.6	0.16
3	M71 ¹⁸²	-	10	Toluene/ r.t./24 h	67	4:1	78	2	-	6.7	0.28
4	M72 ⁴⁶	-	20	EtOH/r.t./48 h	92	85/15	80	27 ^c	5	4	0.08
5	M73a ¹⁸³	-	6.7	Brine/25°C/ 24 h	>99	90:10	96	84	>3	15.1	0.63
6	M73b ¹⁸³	-	8.2	Brine/25°C/ 24 h	>99	86:14	72	39	>3	12.0	0.50
7	M73c ¹⁸³	-	5.9	Brine/25°C/ 24 h	31	91:9	84	41	3	3.7	0.15
8	M73d ¹⁸³	-	5.5	Brine/25°C/ 24 h	24	77:23	33	17	3	5.0	0.20
9	M77a ¹⁸⁴	HOAc (60 mol%)	60	CHCl ₃ /-25°C/4 d	87	89:11	90	12	-	1.45	0.02
10	M77b ¹⁸⁴	HOAc (60 mol%)	60	CHCl ₃ /-25°C/4 d	86	70:30	91	42	-	1.43	0.01
11	M77c ¹⁸⁴	HOAc (60 mol%)	60	CHCl ₃ /-25°C/4 d	89	70:30	87	42	-	1.48	0.02
12	M77e ¹⁸⁴	HOAc (60 mol%)	60	CH ₂ Cl ₂ /-25°C/4 d	87	85/15	96	39	4 ^e	0.65	6.7·10 ⁻³
13	M77d ¹⁸⁴	HOAc (60 mol%)	60	CHCl ₃ /-25°C/4 d	85	87:13	75	24	-	1.25	0.01
14	M77e ¹⁸⁵	HOAc (20 mol%)	20	H ₂ O/15°C/17 h	39	71:29	95	18	-	1.95	0.11
15	M77f ¹⁸⁵	HOAc (20 mol%)	20	H ₂ O/15°C/17 h	73	79:21	95	25	-	3.65	0.21
16	M77g ¹⁸⁵	HOAc (20 mol%)	20	H ₂ O/15°C/17 h	96	85:15	96	22	7	4.8	0.28
17	M77h ¹⁸⁴	HOAc	60	CHCl ₃ /-25°C/4d	83	79:21	88	59	-	1.38	0.01
18	M77i ¹⁸⁴	HOAc	60	CHCl ₃ /-25°C/4d	85	80:20	88	16	-	1.41	0.01
19	M78 ⁵¹	-	16	H ₂ O/22°C/ 6 h ^d	97	82/18	74	-	5	4.63	0.77
20	M79a ⁵²	-	10	H ₂ O/r.t./ 24 h	88	86/14	86	-	5	8.8	0.37
21	M79b ⁵²	-	10	H ₂ O/r.t./ 7 h ^d	70 ^e	81/19	88	-	5	7	1
22	M79c ⁵²	-	10	H ₂ O/r.t./ 1 d ^d	97	82/18	88	-	3	9.7	0.4
23	M79d ⁵²	-	10	H ₂ O/r.t./ 8 h ^d	75 ^e	83/17	80	-	5	7.5	0.94
24	M80 ¹⁸⁶	-	10	Toluene/r.t/24 h	45	4:1	23	-	-	4.5	0.19
25	M81 ¹⁸⁶	-	10	Toluene/r.t/24 h	58	3:1	30	-	-	5.8	0.24
26	M82 ¹⁸⁶	-	10	Toluene/r.t/24 h	>95	3:1	82	-	-	9.5	0.39
27	M83 ¹⁸⁷	PhCO ₂ H (5 mol%)	10	neat ^f /25°C/6 h	88	93/7	92	-	9 ^g	8.8	1.5
28	M85a ¹⁸⁹	-	10	H ₂ O/r.t./12 h	91	91/9	96	-	4 ^h	2.6	1.3
29	M85b ¹⁸⁹	-	10	neat/r.t./12 h	91	88/12	92	-	-	1.6	0.8
30	M86 ¹⁸²	-	10	Toluene/ r.t./24 h	>95	2:1	55	2	-	9.5	0.39
31	M71 ¹⁸²	-	-	Toluene/25°C	88 ⁱ	5:1	76	-	Continuous flow mode	-	0.64 ^j
32	M82 ¹⁸⁶	-	-	Toluene/50°C	95 ⁱ	2:1	80	-	Continuous flow mode	-	0.26 ^j
33	M86 ¹⁸²	-	-	Toluene/25°C	50 ⁱ	2:1	40	-	Continuous flow mode	-	-

^a Proline derivative loading. ^b Non described. ^c After 4 cycles both dr *anti*/*syn* and ee values decreased. ^d Longer reaction time required for complete conversion was observed upon recycling. ^e Better values were obtained upon recycling. ^f Reaction performed with 12 equiv of water. ^g A small decrease of the yield was observed after the fifth cycle. ^h Each cycle gave similar dr *anti*/*syn* and ee values but a decreasing conversion was observed. ⁱ Conversion by ¹H NMR analysis of the eluate after 2 h reaction time. ^j Productivity measured in (mmol product) h⁻¹ (mmol catalyst)⁻¹

He and co-workers¹⁸³ (Table 1, entries 5-8) have found that the nature of the surface of the silica-supported proline-derived catalysts has a significant influence on the catalytic performance, **M73a** (hydrophobic and hydrophilic alternating surface) affording better yield, diastereo- and enantioselectivity than **M73b** (hydrophobic surface). However, a rise of the hydrophilic blocks (**M73c**) or a hydrophilic surface (**M73d**) reduces the catalytic activity. They found that the recycled catalysts have preserved the long-range ordered mesoporous structures and the periodic structure.

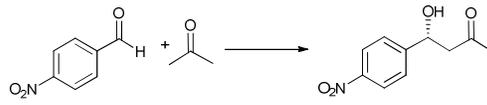
Yang has reported¹⁸⁴ that prolinamide-derived ordered mesoporous silicas prepared by co-condensation (**M73a-c**) (Table 1, entries 9-12) exhibit similar activity and enantioselectivity than materials obtained by grafting methods (**M73h-i**) (Table 1, entries 17-18). The lower enantioselectivity of a foam-like mesostructure (**M77d**) (Table 1, entry 13) was attributed to the disordered pore structure. The use of an acid co-catalyst was found essential in this case for good performances. The low TON values of these catalytic materials (high catalyst loading of 60 mol%)

must be due, at least in part, to the reaction conditions, as the same author¹⁸⁵ described, later on, related prolinamide-functionalized silica hollow nanospheres **M77f-g** (Table 1, entries 17-18) exhibiting higher TON/TOF values by working in water. Moreover, nanospheres with a more hydrophobic surface (**M77g**) showed higher activity than those with a hydrophilic surface (**M77f**). Both He and Yang rationalize their results in terms of formation of an oil-water interface, which play a critical role in the acceleration of the reaction in aqueous media.

A further improvement of the TON values for this reaction in water could be achieved by the use of supported aminoindane-prolinamides **M78**⁵¹ and **M79a-d**⁵² (Table 1, entries 19-23), which, in addition, did not require the presence of a co-catalyst. Despite its non-porous nature, **M78** exhibited performances similar to those found for related homogeneous organocatalysts. Better enantioselectivities than the homogeneous analogues were observed for supported catalysts **M79** derived from a monosilylated monomer. Interestingly, an increase of the porosity (**M79b**) or an ordered structure of the matrix (**M79d**) enhanced the reaction rate but did not significantly affect the selectivity. Longer reaction times required for complete conversion upon recycling materials **M79** could be ascribed to some loss of catalyst. Some leaching of the organocatalyst after nine cycles has been confirmed by Nájera¹⁸⁷ in the case of supported binam-prolinamide **M83** in the presence of a small amount of water (Table 1, entry 27).

Pyrrolidinyl tetrazole **M82** showed superior activity and selectivity for this aldol reaction than prolinamide **M80** and prolinesulfonamide **M81** under the same conditions (compare entries 24-26 in Table 1).¹⁸⁶ Undoubtedly, the differences should be attributed to the organocatalytic motif, the higher acidity of the tetrazole moiety enhancing the hydrogen-bonding with the electrophile (pKa in DMSO of 11,26 for proline tetrazole *versus* 23,81 for prolinamide).²⁰⁸

Table 2 Asymmetric direct aldol reaction between acetone and *p*-nitrobenzaldehyde



Entry	Cat	Co-cat	mol% cat	Solvent/T/t	Conv [%]	Yield (%)	ee	Recycling	TON	TOF (h ⁻¹)
1	M62 ¹⁷⁸	-	30	Acetone/r.t./24 h		99	70 ^a	5 ^b	3.3	0.14
2	M63 ¹⁷⁸	-	30	Acetone/r.t./24 h		93	70 ^a	5 ^b	3.1	0.13
3	M64a ¹⁷⁸	-	30	Acetone/r.t./24 h		98	71 ^a	4 ^b	3.27	0.14
4	M64b ¹⁷⁸	-	30	Acetone/r.t./24 h		96	73 ^a	9	3.2	0.13
5	M64c ¹⁷⁸	-	30	Acetone/r.t./24 h		99	68 ^a	-	3.3	0.14
6	M66 ¹⁸⁰	[tmba]NTf ₂ (1equiv)	30	THF/r.t./16 h		82	81 ^a	10	2.7	0.17
7	M67 ¹⁸¹	-	5	DMSO/r.t./48 h		70	78	-	14	0.29
8	M68a ¹⁸¹	-	5	DMSO/r.t./48 h		82	96 ^a	5	16.4	0.34
9	M68b ¹⁸¹	-	5	DMSO/r.t./48 h		71	91 ^a	-	14.2	0.29
10	M68c ¹⁸¹	-	5	DMSO/r.t./48 h		70	90 ^a	-	14	0.29
11	M69a ⁴⁸	-	30	DMSO/25°C/1 d	100	-	20	-	3.33	0.14
12	M69b ⁴⁸	-	30	DMSO/25°C/1 d	100	-	25	-	3.33	0.14
13	M69c ⁴⁸	-	30	DMSO/25°C/1 d	100	-	36	2 ^c	3.33	0.14
14	M69d ⁴⁸	-	30	DMSO/25°C/1 d	100	-	38	2 ^b	3.33	0.14
15	M70a ⁴⁸	-	30	DMSO/25°C/5 d	64	-	27	-	2.13	0.02
16	M70b ⁴⁸	-	30	DMSO/25°C/5 d	51	-	37	2 ^b	1.7	0.01
17	M70c ⁴⁸	-	30	DMSO/25°C/5 d	32	-	35	-	1.07	0.01
18	M74a ¹⁷⁸	-	5	Acetone/25°C/4 h		99	80 ^d	4 ^b	19.8	4.95
19	M74b ¹⁷⁸	-	5	Acetone/25°C/4 h		99	74 ^d	4 ^b	19.8	4.95
20	M74c ¹⁷⁸	-	5	Acetone/25°C/4 h		99	78 ^d	4 ^c	19.8	4.95
21	M75 ¹⁸¹	-	5	DMSO/r.t./48 h		45	35 ^a	-	9	0.19
22	M76a ¹⁸¹	-	5	DMSO/r.t./48 h		53	48 ^a	-	10.6	0.22
23	M76b ¹⁸¹	-	5	DMSO/r.t./48 h		45	43 ^a	-	9	0.19
24	M76c ¹⁸¹	-	5	DMSO/r.t./48 h		47	45 ^a	-	9.4	0.20
25	M84 ¹⁸⁸	NMM (2 mol%)	2	DMSO/r.t./48 h		60	74	> 5	30	0.63

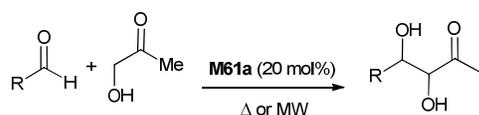
^a Determined by chiral HPLC, *R* configuration. ^b Each cycle gave similar ee values but a decreasing conversion was observed. ^c Both yield and ee values decreased in second run.

^d Determined by chiral HPLC, *S* configuration. ^e After 4 cycles both yields and ee values decreased.

The reaction of *p*-nitrobenzaldehyde with acetone has been carried out mostly in an excess of this reagent as solvent or in a common polar solvent for aldol reaction such as DMSO (Table 2). Gruttaduria has used several ionic-liquid

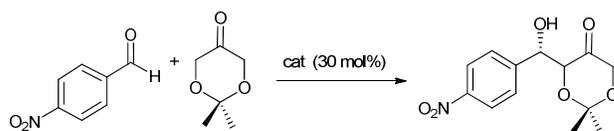
modified silica gels to adsorb L-proline (**M62**, **M63**, **M64a-c**) (Table 2, entries 1-5)¹⁷⁸ Three different linkers were employed to attach the imidazolium moiety to the silica surface, the reuse of the materials being dependent on the nature of the linker. The best recyclability was observed for **M64b**. Thus, using the linker of **M64**, materials **M74a-c** with an adsorbed proline-based tripeptide were prepared and tested (Table 2, entries 18-20),¹⁷⁷ providing better activities and enantioselectivities than **M64a-c** under the same conditions. Higher TON values and selectivities were achieved by proline based peptides covalently attached to silica in DMSO (**M68a-c**, Table 2, entries 8-10).¹⁸¹ The most efficient was **M68a** which was used for five consecutive trials. The same author,¹⁸¹ has found that proline-derived peptides **M76a-c** lacking the carboxyl group (Table 1, entries 22-24) are less efficient and selective than **M68a-c**. Silica-supported prolines prepared by sol-gel co-gelification with TEOS and bearing carbamate (**M69a-d**) or ether linkages (**M70a-c**) have also been tested in DMSO (table 2, entries 11-17),⁴⁸ achieving low or moderate enantioselectivities. The systems bearing ether linkages displayed much slower kinetics. The low enantioselectivity was rationalized considering the competition of the acidic silanols with the COOH proline moieties for the positioning of the aldehyde. The better selectivity observed for materials **M68** in comparison with **M69** and **M70** can be ascribed to the different nature and length of the linker and the catalytic organic motif.

As shown before in Tables 1 and 2, in many cases relatively low amounts of supported organocatalysts have been employed in the absence of an acid co-catalyst, contrarily to the usual requirements under homogeneous conditions, with the added advantage of an easy separation and recycling of the catalyst. It is worth to remark the use of green conditions (water, ethanol, brine or neat) (entries 1, 4, 5-8, 14-16, 19-23, 27-29 in Table 1) and temperatures not exceeding 25 °C. However, the reaction of hydroxyacetone with different aromatic and aliphatic aldehydes to afford α,β -dihydroxyketones was best performed under the assistance of heat or microwaves under catalysis of recyclable (3 runs) proline **M61a** (Scheme 7).⁵³ The same author has used **M61a** and **M61b** for the reaction of 2,2-dimethyl-1,3-dioxan-5-one with several achiral and chiral aldehydes, furnishing useful intermediates for the synthesis of azasugars (Scheme 8).¹⁷⁷ Interestingly, supported prolinamides **M78**,⁵¹ **M79a,b,d**⁵² and **ent-M83a**¹⁸⁷ allowed the challenging intramolecular aldol reaction of a triketone to give the Wieland-Miescher ketone under green conditions (water or neat) (Table 3).

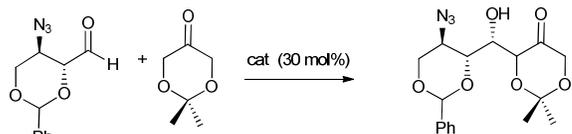


R = Ph DMSO, 90 °C, 24 h; 55% yield, dr (*anti:syn*) = 1:1.4, 70% ee
 R = Ph DMSO, MW, 30 min.; 70% yield, dr (*anti:syn*) = 1:1.4, 80% ee
 R = isopropyl DMSO, rt, 24 h; 45% yield, dr (*anti:syn*) > 20:1, > 99% ee
 R = isopropyl DMSO, MW, 10 min; 60% yield, dr (*anti:syn*) > 20:1, > 99% ee

Scheme 7. Aldol reactions with hydroxyketone



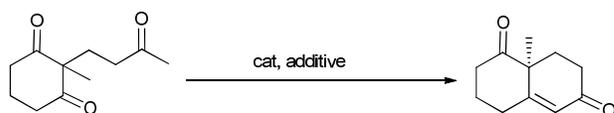
M61a, formamide, rt, 13 h; 96% conv, dr (*anti:syn*) = 2:1, 67% ee (2 runs)
M61b, formamide, rt, 48 h; 88% conv, dr (*anti:syn*) = 2:1, 46% ee



M61a, formamide, rt, 24-72 h; 62% yield, dr (*anti:syn*) = 5:1
M61b, formamide, rt, 24-72 h; 56% yield, dr (*anti:syn*) = 2:1

Scheme 8. Aldol reactions with 2,2-dimethyl-1,3-dioxan-5-one

Table 3 Asymmetric intramolecular aldol reaction

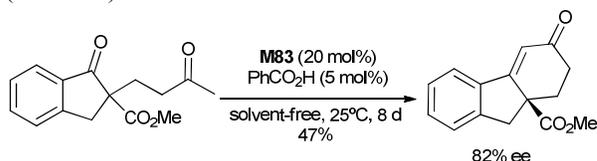


Entry	Cat	Co-cat	mol% cat	Solvent/T/t	Yield (%)	ee	Recycling	TON	TOF (h ⁻¹)
1	M78 ⁵¹	<i>p</i> -NO ₂ C ₆ H ₄ COOH (10 mol%)	16	H ₂ O/r.t./1 d ^a	74 ^b	43	5 ^c	4.6	0.19
2	M79a ⁵²	<i>p</i> -NO ₂ C ₆ H ₄ COOH (10 mol%)	10	H ₂ O/r.t./2 d ^a	61 ^b	44	5	6.1	0.13
3	M79b ⁵²	<i>p</i> -NO ₂ C ₆ H ₄ COOH (10 mol%)	10	H ₂ O/r.t./2 d ^a	60 ^b	42	5	6	0.13
4	M79d ⁵²	<i>p</i> -NO ₂ C ₆ H ₄ COOH (10 mol%)	10	H ₂ O/r.t./2 d ^a	82 ^b	48	5 ^c	8.2	0.17

5	<i>ent</i> - M83 ¹⁸⁷	PhCO ₂ H (5 mol%)	20	neat/25°C/5 d	88 ^d	80	5	4.9	0.04
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^a Longer reaction time up to 5 d required for complete conversion upon recycling. ^b Better values of isolated yields were obtained upon recycling. ^c After 3 cycles ee values decreased. ^d Decreasing yield after the 4th run

Furthermore, **M83** was applied in the kinetic resolution of a racemic keto ester by an intramolecular aldol reaction (Scheme 9).¹⁸⁷

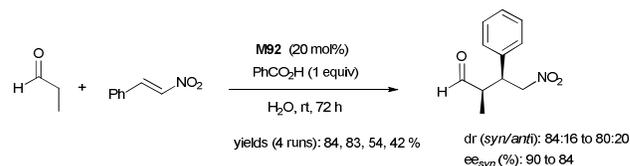


Scheme 9. Intramolecular aldol reaction of a racemic β -keto ester

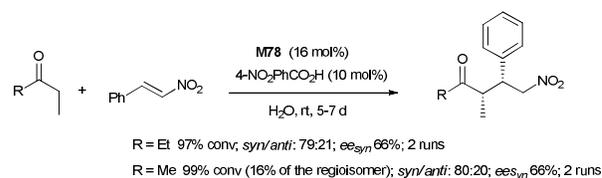
Concerning the effect of solvent, several solvents of different nature have been used for asymmetric aldol reactions with silica-supported organocatalysts, as shown in tables 1-3, going from apolar and hydrophobic (such as toluene) to polar ones (such as DMSO). In proline-catalyzed aldol reactions, the highly polar transition states are stabilized by polar solvents, resulting in faster reactions. On the other hand, the beneficial effect of a small amount of water (oil-water interface), both in the efficiency and the stereoselectivity, has also been observed in both homogeneous and heterogeneous conditions.^{177, 183, 185, 187, 189} This allowed the successful use of apolar hydrophobic solvents and neat conditions by adding some equivalents of water in both cases. However, as mentioned before, the hydrophilic or hydrophobic nature of the support has also been found to have some influence on the yield and selectivity in different media.¹⁸³ Concerning the reusability of the heterogeneous organocatalyst, Massi has found that apolar solvents (toluene, diisopropyl ether) allow better recycling than polar solvents (CH₃CN), decreasing the degradation or leaching of the organic moiety, especially for non-covalently bound supported organocatalysts.^{182, 186}

4.2. Asymmetric Michael reaction and conjugate additions

The asymmetric conjugate addition of carbon nucleophiles to electron-deficient olefins using chiral organocatalysts is another important method for the formation of carbon-carbon bonds in a diastereo- and/or enantioselective manner. Two types of mechanisms can be found in the literature with silica-heterogenized chiral bases. On one hand, an enamine pathway employing secondary amines derived from proline or primary amines obtained from *trans*-1,2-diaminocyclohexane (materials of Figure 10). The second one is a general base mechanism by chiral ion-pair formation followed by tertiary amines of the Cinchona family (materials of Figure 11). The most used donor pre-nucleophiles are malonates, malononitrile, nitroalkanes, and α -enolizable aldehydes and ketones. As acceptor substrates, nitrostyrenes and cyclic or acyclic α,β -unsaturated ketones (cyclohexenone, chalcone) have been tested. As previously mentioned for the aldol reaction, comparison of efficiencies and selectivities for different organocatalysts can only be conveniently established when the same reaction conditions and substrates are involved. The addition of aldehydes to nitroalkenes in water has been performed with diarylprolinol trimethylsilyl ether **M92** affording products in moderate to good yields (up to 96%) with good enantioselectivities (up to 90% ee) and diastereoselectivities (up to 99:1). The catalyst was easily separated by an external magnet (Scheme 10).¹⁹⁵ Cyclic and acyclic ketones have also been reacted with nitroalkenes under catalysis by supported prolinamide **M78**,⁵¹ 5-(pyrrolidin-2-yl)tetrazole **M82**,¹⁸⁶ and pyrrolidines **M87**,¹⁹¹ **M88** and **M89**.¹⁹² An acid co-catalyst was required for good conversions in the addition of 3-pentanone and butanone to nitrostyrene with **M78** in water, obtaining the *syn* diastereoisomer as the major product with moderate enantioselectivities (Scheme 11).⁵¹ Table 4 summarizes some comparative results for the 1,4 addition of cyclohexanone to nitrostyrene. Whereas Cavazzini and Massi¹⁸⁶ have chosen for proline tetrazole **M82** a solvent of low-polarity, such as diisopropyl ether, due to enhanced selectivity over polar DMSO (Table 4, entry 1), much better efficiencies, diastereo- and enantioselectivities for this reaction were achieved under neat conditions by silica-supported pyrrolidines **M87-M89** (Table 4, entries 2-4) after testing a variety of solvents of different polarity.^{191, 192} In this case, both the reactions conditions and the organic moiety of the supported catalyst play an important role on the catalytic results.



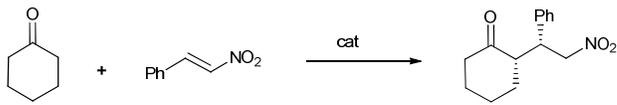
Scheme 10. Asymmetric Michael addition of *n*-propanal to *trans*- β -nitrostyrene



R = Et 97% conv, *syn/anti*: 79:21; *ee_{syn}* 66%; 2 runs
R = Me 99% conv (16% of the regioisomer); *syn/anti*: 80:20; *ee_{syn}* 66%; 2 runs

Scheme 11 Asymmetric Michael addition of 3-pentanone and butanone to *trans*- β -nitrostyrene

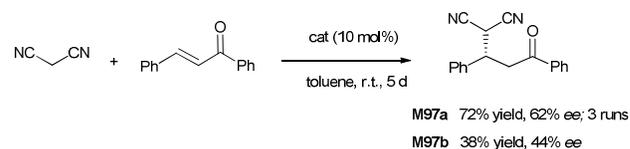
Table 4 Asymmetric Michael addition of cyclohexanone to *trans*- β -nitrostyrene



Entry	Cat	mol%	Solvent/T/t	Yield (%)	<i>dr</i> <i>syn/anti</i>	<i>ee</i> (%)	Recycling	TON	TOF (h ⁻¹)
1	M82 ¹⁸⁶	30	(iPr) ₂ O/r.t./24 h	80	15/1	55	-	2.7	-
2	M87 ¹⁹¹	10	neat/r.t./72 h	97	96/4	91	4	9.7	0.13
3	M88 ¹⁹²	10	neat/r.t./36 h	91	98/2	98	6	9.1	0.25
4	M89 ¹⁹²	10	neat/r.t./36 h	87	98/2	98	-	8.7	0.24

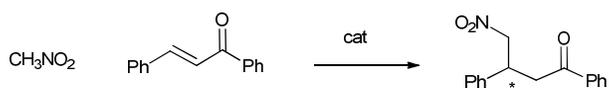
Silica-supported quinines **M97a,b** have been evaluated for the Michael addition of malononitrile to chalcones. Both the activity and the enantioselectivity were enhanced by using the short mesochannels SBA-15 support compared with the conventional analogue (Scheme 12).¹⁹⁸

Trans-1,2-diaminocyclohexane mesoporous silicas **M91a-d**,¹⁹⁴ and heterogenized 9-amino(9-deoxy)epiquinine **M98** and 9-thiourea epiquinine⁹⁰ have been applied as catalysts for asymmetric Michael addition of nitroalkanes to chalcones (Table 5), using in this case an apolar solvent such as toluene. In the case of **M91a-d**, short channels and plugs in the pore structure offered chiral enhancement in the addition. Visible improvement in the activity and enantioselectivity has been achieved by introducing thiourea moiety in the Cinchona derivative (compare **M98** and **M99a**) and dependence of the selectivity on the pore size of mesoporous host has been explored.



Scheme 12 Asymmetric Michael addition of malononitrile to chalcone

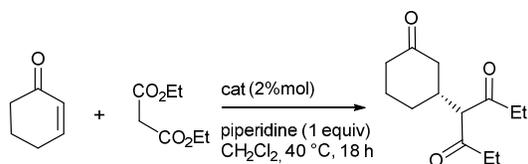
Table 5 Asymmetric Michael addition of nitromethane to chalcone



Entry	Cat	mol% cat	Co-cat	Solvent/T/t	Yield (%)	<i>ee</i> (%)	Recycling	TON	TOF (h ⁻¹)
1	M91a ¹⁹⁴	6	<i>p</i> -TsOH	toluene/r.t./24 h	62	68	3	10.3	0.43
2	M91b ¹⁹⁴	6	<i>p</i> -TsOH	toluene/r.t./24 h	53	79	3	8.8	0.37
3	M91c ¹⁹⁴	6	<i>p</i> -TsOH	toluene/r.t./24 h	73	71	3	12.2	0.51
4	M91d ¹⁹⁴	6	<i>p</i> -TsOH	toluene/r.t./24 h	63	76	3	10.5	0.44
5	M98 ⁹⁰	10	-	toluene/30°C/120 h	35	38 (<i>S</i>)	-	3.5	0.03
6	M99a ⁹⁰	10	-	toluene/30°C/120 h	63	93 (<i>R</i>)	-	6.3	0.05

Chiral enhancement in the diethyl malonate conjugate addition to cyclohex-2-en-1-one has also been observed in L-proline derived materials possessing short channels and plugs in the pore structure (compare **M90a-c** and **M90d** in Scheme 13).^{50, 193}

Zaera has also described the conjugate addition of *p*-*tert*-butylbenzenethiol to 2-cyclohexen-1-one catalyzed by cinchonidines **M102a,b** and **M103a,b**.⁹¹ Although full conversions were achieved after one day in benzene at room temperature with the supported catalysts, a loss of enantioselectivity was observed upon tethering, which was attributed to the interference of the surface of the solid on the catalytic performance.



M90a, conv 49%, ee 64% (2 runs)

M90b, conv 57%, ee 68% (2 runs)

M90c, conv 61%, ee 70% (2 runs)

Scheme 13 Asymmetric Michael addition of diethyl malonate to cyclohexenone

Although a variety of solvents have been reported for diverse Michael additions, as seen from the examples mentioned in this section, some authors concluded, after a solvent screening, that water¹⁹⁵ (Scheme 10) or neat conditions^{191, 192} (entries 2, 3 and 4 in Table 4) were the best options taking into account activity, selectivity and environmental concerns.

4.3. Asymmetric multicomponent reactions

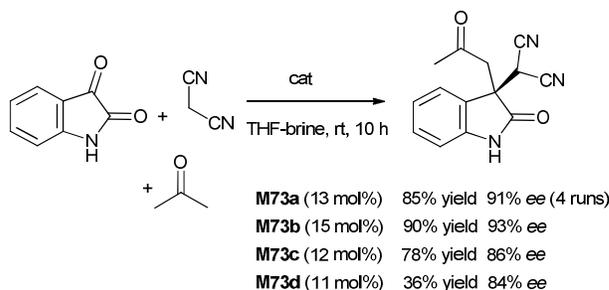
Knoevenagel-Michael cascade reaction of isatin, malononitrile and acetone has been recently found as an effective approach to produce enantiomerically enriched 3,3'-disubstituted oxindoles under catalysis by proline-supported **M73a-d** (Scheme 14).¹⁸³

Asymmetric Henry-Michael one-pot reaction involving benzaldehyde, nitromethane and cyclohexanone has been achieved with proline-derived catalysts **M93-M96** with moderate diastereoselectivities and good enantioselectivities (Scheme 15).¹⁹⁶

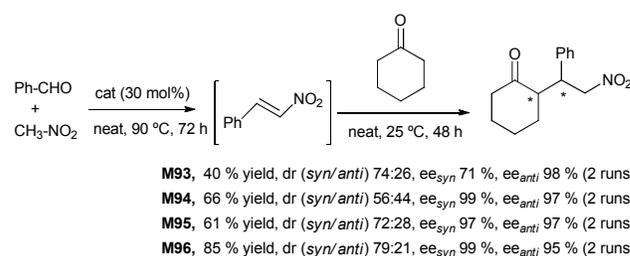
Similar asymmetric multicomponent Henry-Michael reactions between aromatic or heteroaromatic aldehydes, nitromethane and malonates have been accomplished by Corma *et al* by using supported Cinchona derivatives **M100** and **M101** (Scheme 16).^{199, 209}

A three-component synthesis of spiroindolones in water has been reported under catalysis by a reusable silica-supported L-proline **M116**, although the process was not stereoselective. A model condensation reaction of isatin, barbituric acid and malononitrile is represented in Scheme 17. The process would involve a Knoevenagel condensation followed by Michael addition and further cyclization.²¹⁰

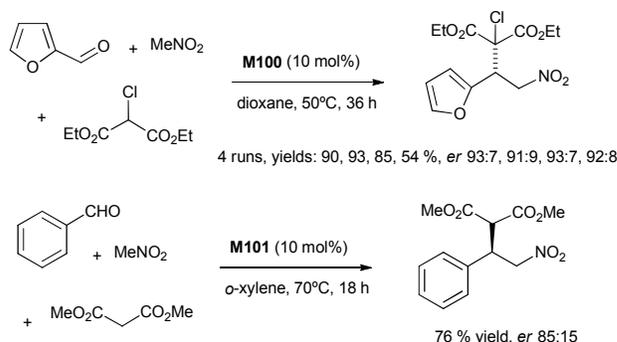
A convenient method for the synthesis of cyclohexenones has recently been developed using a L-proline immobilized silica gel organocatalyst by a continuous-flow approach. The reaction of acetone with aliphatic, aromatic or heteroaromatic aldehydes takes place by initial aldol condensation followed by a Michael addition and subsequent Robinson cyclization. However the process is not enantioselective.²¹¹



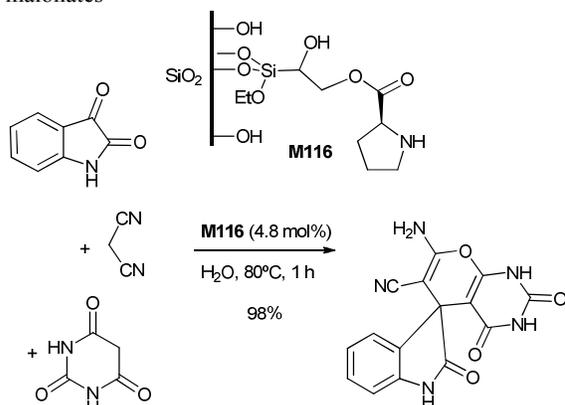
Scheme 14 Asymmetric Knoevenagel-Michael cascade reaction of isatin, malononitrile and acetone



Scheme 15 Asymmetric Henry-Michael one-pot reaction between benzaldehyde, nitromethane and cyclohexanone



Scheme 16 Asymmetric multicomponent Henry-Michael reactions between aromatic aldehydes, nitromethane and malonates



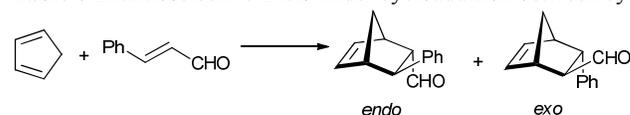
Scheme 17 Three-component synthesis of a spiroindolone

4.4. Asymmetric Diels-Alder cycloadditions

Asymmetric Diels-Alder reactions with several diene and dienophiles have been achieved with silica-supported imidazolidinones *via* an iminium activation pathway (**M108-M115** in Figure 13).

Some comparative results for the reaction between cyclopentadiene and cinnamaldehyde under batch conditions are summarized in Table 6. By immobilizing the enantiomerically pure organocatalysts in different types of supports (silica, MCF, SILC, PMO nanospheres, MSN) low *exo-endo* diastereoselectivities and moderate to good enantioselectivities for both the *endo* and *exo* adducts were obtained under mild conditions. In most cases an acid co-catalyst was added. Water or mixtures of water with other polar solvents (alcohols, acetonitrile, THF) have been mainly used. Partial capping of the MCF silanols in materials **M109** improved the enantioselectivity (compare entries 3 and 4 with entry 2 in Table 6). The more hydrophobic *t*-amyl alcohol was found to be the best choice, among different alcohols tested, in order to prevent the leaching of MacMillan catalyst in **M111a-c** (entries 5,6 and 7 in Table 6), where there is no covalent anchoring to the support. The importance of the counter anion in the recycling has also been observed.²⁰⁴ Other dienophiles have also been explored with **M111b** and the low *endo* selectivity was attributed to inherent steric crowding of the imidazolidinone moiety.²⁰⁴ It is worth noting that hollow structured PMO spheres exhibit higher catalytic activity than solid PMO spheres (compare **M113a** and **M112a** in entries 11 and 9 of Table 6) and catalysts prepared by co-condensation process and click chemistry modification are more active than those synthesized by a grafting method (compare **M113a** and **M113b** in entries 11 and 12 of Table 6).²⁰⁵ Benaglia and Puglisi have also performed this reaction with functionalized silica nanoparticles **M114a,b** and **M115b** under continuous-flow conditions in a homemade reactor, achieving higher TON values than in batch process. Moreover, by regeneration of the organocatalytic column, the life of the reactor has been prolonged for more than 300 h.^{206, 207}

Table 6 Enantioselective Diels-Alder cycloaddition between cyclopentadiene and cinnamaldehyde



Entry	Cat	mol %	Co-cat	Solvent/T/t	Yield (%)	<i>endo:exo</i>	<i>ee</i> (%)		TON	TOF	Recycling
							<i>endo</i>	<i>exo</i>			
1	M108 ²⁰³	3.3	HCl	CH ₃ CN-H ₂ O/r.t./64 h	33	1/1.1	90	90	10	0.16	
2	M109a ⁶¹	5	TFA	THF-H ₂ O/r.t./30 h	93	1/1.3	74	73	18.6	0.62	2 ^a

3	M109b ⁶¹	5	TFA	THF-H ₂ O/r.t./30 h	93	1/1.3	87	83	18.6	0.62	2 ^a
4	M110 ⁶¹	5	TFA	THF-H ₂ O/r.t./30 h	95	1/1.3	85	83	19	0.63	2 ^a
5	M111a ²⁰⁴	10		95% EtOH /r.t./27 h	73	1/1.1	95	90	7.3	0.27	2
6	M111b ²⁰⁴	10		<i>t</i> -amylOH /r.t./22 h	69	1/1.2	87	81	6.9	0.31	6
7	M111c ²⁰⁴	10		<i>t</i> -amylOH /r.t./22 h	74	1/1.2	92	80	7.4	0.34	-
8	M111d ²⁰⁴	10		<i>t</i> -amylOH /r.t./24 h	52	1/1.3	71	64	5.2	0.22	-
9	M112a ²⁰⁵	20	TFA (20 mol%)	H ₂ O/r.t./12 h	84	1/1.1	79	78	3.95	0.33	-
10	M112b ²⁰⁵	20	TFA (20 mol%)	H ₂ O/r.t./12 h	80	1/1.1	59	62	4	0.33	-
11	M113a ²⁰⁵	20	TFA (20 mol%)	H ₂ O/r.t./12 h	98	1/1.1	81	81	4.05	0.34	8 ^a
12	M113b ²⁰⁵	20	TFA (20 mol%)	H ₂ O/r.t./12 h	86	1/1.2	63	62	4.3	0.36	-
13	M114a ²⁰⁷	30	HBF ₄	CH ₃ CN-H ₂ O (95:5) /25°C/48 h	75	53/47	75	76	2.5	0.05	-
14	M114a ²⁰⁷	30	TFA	CH ₃ CN-H ₂ O (95:5) /25°C/48 h	69	51/49	80	82	2.3	0.05	-
15	M114b ²⁰⁷	30	HBF ₄	CH ₃ CN-H ₂ O (95:5) /25°C/48 h	78	52/48	78	77	2.6	0.05	2 ^b
16	M114b ²⁰⁷	30	TFA	CH ₃ CN-H ₂ O (95:5) /25°C/48 h	66	53/47	83	86	2.2	0.05	2 ^b
17	M115b ²⁰⁷	30	HBF ₄	CH ₃ CN-H ₂ O (95:5) /25°C/48 h	75	54/46	91	89	2.5	0.05	-
18	M115b ²⁰⁷	30	TFA	CH ₃ CN-H ₂ O/25°C/48 h	52	55/45	87	97	1.73	0.04	-

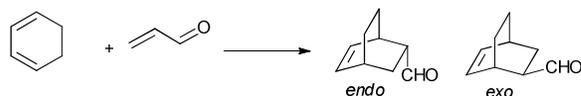
^a Longer reaction time is required upon recycling. ^b Decreasing yields upon recycling

Good activity and high diastereo- and enantioselectivities have been obtained in the cycloaddition reactions of acrolein with 2-methylbutadiene and cyclohexadiene with **M108**²⁰³ and **M111b**²⁰⁴ (Scheme 18).



M108 (20 mol%), CH₃CN-HCl_{aq}, r.t., 24 h; 79% yield, *endo* 90% ee

M111b (10 mol%), *t*-amylOH, r.t., 26 h; 80% yield, *endo* 93% ee



M108 (20 mol%), CH₃CN-HCl_{aq}, r.t., 24 h; 83% yield; *endo*/*exo* 14:1; *endo* 90% ee

M111b (10 mol%), *t*-amylOH, r.t., 48 h; 80% yield; *endo*/*exo* 18:1; *endo* 80% ee

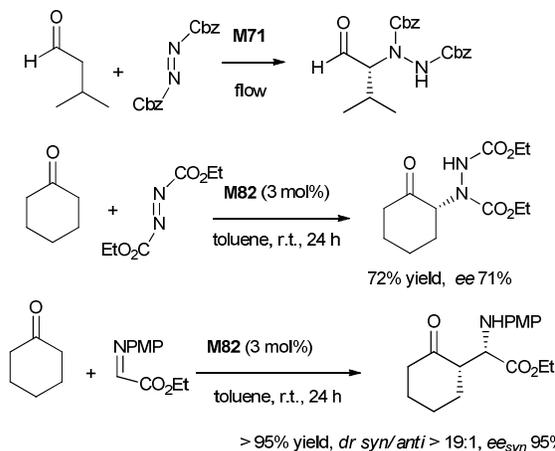
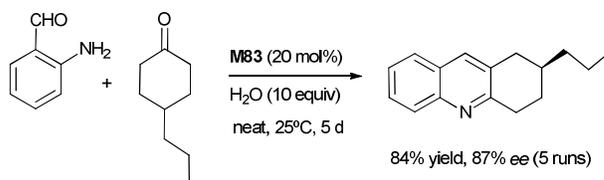
Scheme 18 Asymmetric Diels-Alder cycloadditions

4.5. Other asymmetric reactions with organosilicas derived from proline, Cinchona alkaloids or MacMillan imidazolidinones

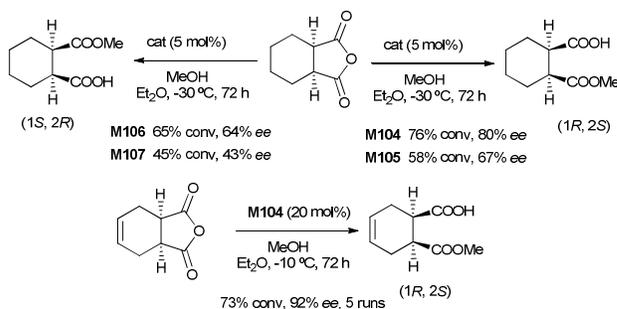
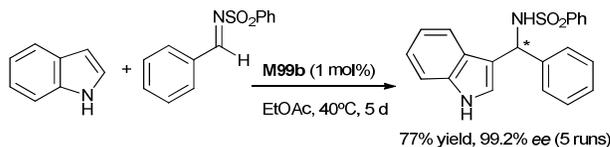
Supported proline **M71** catalyzed the α -amination of isovaleraldehyde with dibenzyl azodicarboxylate under continuous-flow conditions¹⁸² and pyrrolidinyl tetrazole **M82** was tested in model Mannich and α -amination reactions in batch mode with cyclohexanone as donor substrate¹⁸⁶ (Scheme 19). Binam-prolinamide **M83** has been found a good recyclable catalyst for the Friedlander condensation between cyclohexanone derivatives and 2-aminoaromatic aldehydes under solvent-free conditions (Scheme 20).²¹²

Silica-immobilized bis-cinchona alkaloids **M104-M107** were explored for the desymmetrization of *meso*-cyclic anhydrides with alcoholysis, achieving high enantioselectivity and recyclability (Scheme 21).²⁰¹ Supported 9-thiourea *epi*-quinine **M99b** exhibited enhanced enantioselectivity in the asymmetric Friedel-Crafts reaction of indoles with imines as a result of the immobilization inside the nano-sized channels of the SBA-15 (Scheme 22).²⁰⁰

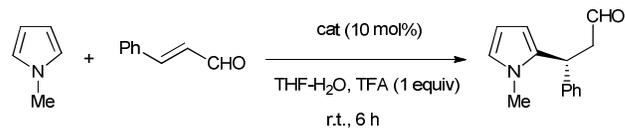
Heterogenized imidazolidinones **M109a,b** and **M110** have also been successfully applied for the enantioselective Friedel-Craft alkylation of *N*-methylpyrrole with *trans*-cinnamaldehyde (Scheme 23).⁶¹ For comparative purposes with heterogeneous metal-containing catalysts, we should mention that asymmetric Friedel-Crafts alkylation of indoles with nitroalkenes has been performed with catalytic systems prepared by mixing copper(II) salts, a chiral ligand and different supports (SiO₂, Al₂O₃, molecular sieves, zeolites) with TON values ranging from 6 to 12 depending on the support.²¹³ Corma has also reported enantioselective Friedel-Crafts hydroxyalkylation of 1,3-dimethoxybenzene using silica-supported chiral copper(II) bisoxazoline (TON: 7-8).²¹⁴ Thus, organocatalysts used in the reactions of schemes 22 and 23 present better or similar performances (TON: 77 and 7.2) than more conventional metal-containing catalysts.

Scheme 19 Asymmetric Mannich and α -amination reactions

Scheme 20 Asymmetric Friedlander condensation

Scheme 21 Desymmetrization of *meso*-cyclic anhydrides

Scheme 22 Asymmetric Friedel-Crafts reaction of indoles with imines



M109a 72% yield, 67% ee; M109b 71% yield, 68% ee; M110 72% yield, 74% ee

Scheme 23 Asymmetric Friedel-Crafts alkylation of *N*-methylpyrrole with cinnamaldehyde

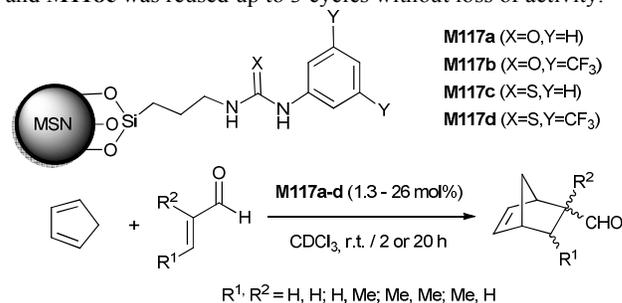
4.6. Miscellaneous

4.6.1. ACID AND BASE-CATALYZED DIELS-ALDER CYCLOADDITIONS

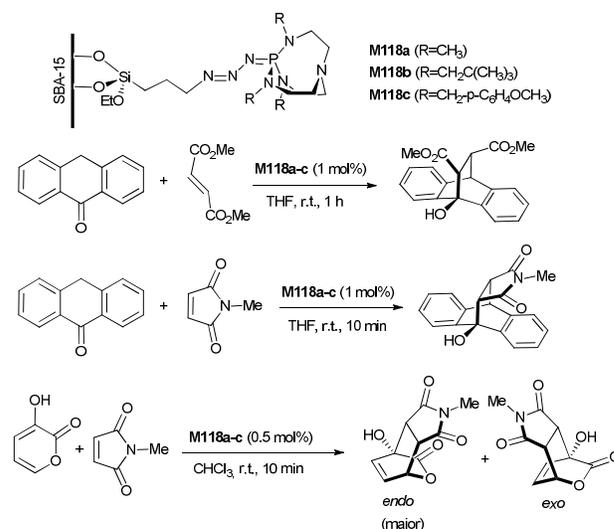
Urea- and thiourea-functionalized mesoporous silica nanoparticles **M117a-d** have been used as Lewis acid catalysts for carbonyl activation in the Diels-Alder reactions between cyclopentadiene and several α,β -unsaturated aldehydes (Scheme 24). They exhibited a superior catalytic activity than their homogeneous analogues, the reactivity

enhancement being attributed to the surface hydrogen bonding between the urea/thiourea moieties and silanol groups and to the site isolation effect induced by heterogenization. The recycling of **M117b** has been successfully achieved up to 10 runs in the reaction between cyclopentadiene and methyl vinyl ketone.²¹⁵

On the other hand, proazaphosphatranes (Verkade's superbases) immobilized onto SBA-15 by post-synthetic grafting (**M118a-c**) have also been evaluated as recyclable organocatalysts in the Diels-Alder reactions of anthrone and 3-hydroxy-2-pyrone with dienophiles (Scheme 25). High activities and *endo/exo* selectivities have been found, and **M118c** was reused up to 3 cycles without loss of activity.⁵⁴



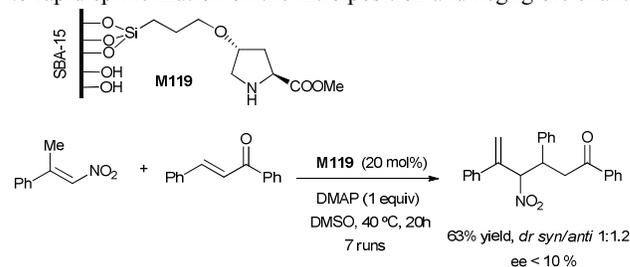
Scheme 24 Diels-Alder cycloadditions with urea/thiourea-functionalized MSN



Scheme 25 Diels-Alder cycloadditions with supported bases

4.6.2. NUCLEOPHILIC CROSS-CONJUGATE ADDITION

A reusable proline-modified SBA-15 **M119** promoted the intermolecular conjugate addition between nitroalkenes and enones (Scheme 26).²¹⁶ The proposed mechanism involves secondary amine nucleophilic addition to the nitroalkene followed by sequential enone-conjugate addition. However, low diastereoselectivity was achieved due to rapid epimerization on the nitro position and negligible enantiomeric excesses were observed.



Scheme 26 Nucleophilic cross-conjugate addition

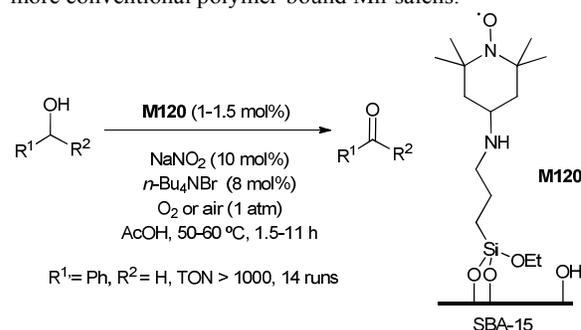
4.6.3. SILICA-SUPPORTED ORGANOCATALYSTS FOR OXIDATION REACTIONS

Karimi has reported a green, transition-metal-free SBA-15-supported TEMPO catalyst **M120** as highly efficient and selective for the aerobic oxidation of a wide range of alcohols, including secondary and even sterically hindered ones, substrates that were reluctant to oxidation with previous heterogeneous systems involving TEMPO/O₂ in combination with transition metals (Scheme 27).²¹⁷ This recyclable heterogeneous organocatalyst (14 consecutive

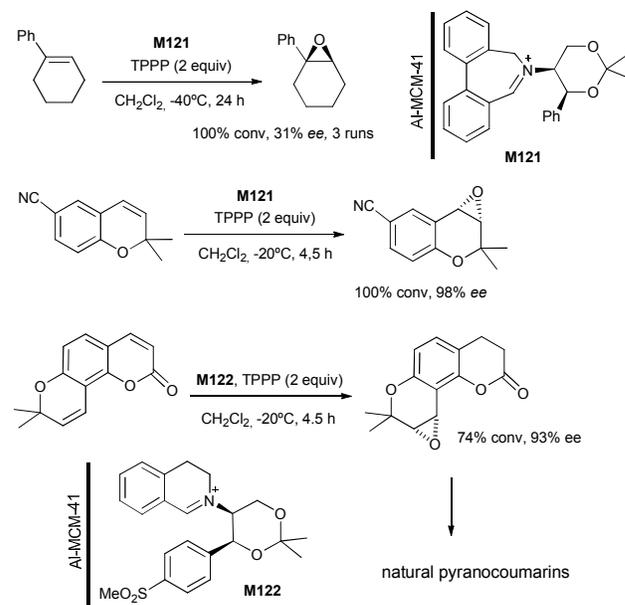
runs) turned out to be very sustainable and neither the organic group nor the nanometer-scale voids and channels of the SBA-15 support were significantly affected by multiple uses.

Proline-derived organosilicas **M90a-d** have been tested in the asymmetric epoxidation of cinnamaldehyde with hydrogen peroxide with moderate enantiomeric excesses.¹⁹³ The suggested mechanism for this reaction involves the formation of an enamine intermediate.

Enantiomerically pure iminium cations adsorbed in Al-MCM-41 **M121** and **M122** have been described as effective catalysts for the asymmetric epoxidation of alkenes in the presence of tetraphenylphosphonium monoperoxosulphate (TPPP) as oxidant (Scheme 28). The process would involve the formation of a chiral oxaziridinium intermediate capable of transferring an oxygen atom directly to the prochiral substrate.²¹⁸ The catalysts were found reusable, but although enantiomeric excesses remained constant, the conversions decreased upon recycling. These supported organocatalysts, however, give lower conversions at higher reaction times than more conventional polymer-bound Mn-salens.²¹⁹



Scheme 27 Silica-supported TEMPO for aerobic oxidation



Scheme 28 Silica-supported chiral iminium salts for alkene epoxidation

4.6.4. SILICA-SUPPORTED THIAZOLIUM SALTS

Thiazolium salts have been grafted to different mesoporous supports (MCM-41, PMO-Ph, PMO-Et) to afford **M123a,c,d**. Materials **M123b** and **M123e** were obtained by further modification of the silica matrix in **M123a** (Figure 14).^{220, 221}

The organocatalysts **M123** have been evaluated, in the presence of a base, in the benzoin condensation (Scheme 29) as well as in the cross-coupling of aldehydes with acyl imines to deliver α -amidoketones (Scheme 30), reactions that proceed through formal acyl anion intermediates.^{220, 221} An enhancement of the catalytic activity was achieved by increasing the hydrophobicity of the surface support. Interestingly, without NEt_3 as free base, the benzoin condensation proceeded with **M123e** although with a low yield (20% in 48h) while with the two other MCM41-type catalysts, **M123a,b** no conversion was observed. This clearly indicates that even grafted tertiary amine can get involved in the reaction.

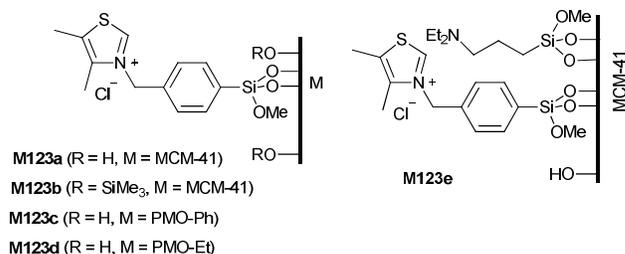
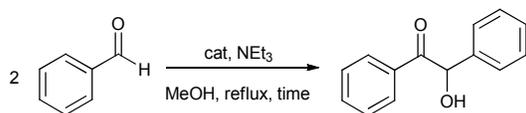
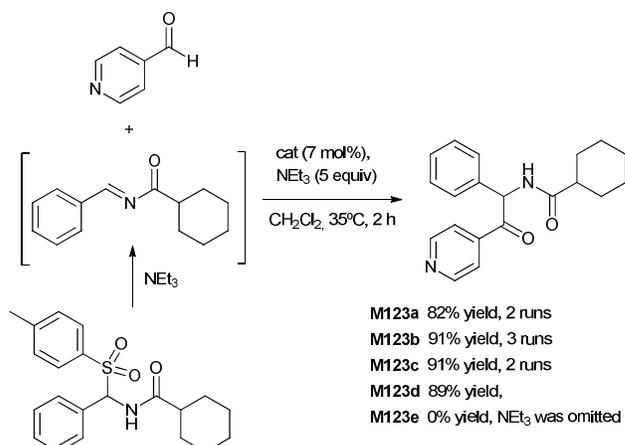


Figure 14 Silica-supported thiazolium salts for nucleophilic acylation reactions



M123a time= 48 h, 74% yield, 2 runs
M123b time= 48 h, 81% yield,
M123c time= 24 h, 85% yield, 2 runs
M123d time= 24 h, 71% yield, 2 runs
M123e time= 48 h, 20% yield, NEt₃ was omitted

Scheme 29 Benzoin condensation



Scheme 30 Reaction of aldehydes with acylimines

5. Conclusions

Silica-based organocatalytic materials have known a tremendous development over the past years. This research field covers both simple achiral acids and bases supported on silicas for C-C bond formation, but also the emerging families of chiral organocatalysts which are being used for the asymmetric synthesis of fine chemicals. Organocatalysis is complementary to organometallic catalysis, with usually lower activities, but with the advantage of cheaper operation, avoiding traces of metallic species in the products, and providing new organic transformations.

The main reasons for supporting an organocatalyst are to simplify the separation of the catalyst from the reaction mixture, to facilitate the isolation of the product and to recover and reuse the catalyst. This is especially important in the case of expensive organocatalysts which are used in relatively high amounts when compared with organometallic catalysts. For hybrid silicas, the insolubility of the materials allows not only an easy separation by filtration when working in batch-mode, but also the implementation of continuous flow processes. Moreover, working with immobilized catalysts has enabled in some cases the use of greener solvents, and avoiding the use of co-catalysts.

Several criteria should be taken into account when developing a silica-supported organocatalyst. As a decrease of chemical activity is expected upon immobilization, homogeneous organocatalysts of high catalytic efficiency should be preferably envisioned. Versatility in scope (a variety of substrates for a given reaction and/or several types of reactions) is also desirable for the homogeneous candidate. For the case of asymmetric reactions, the stereoselectivity depends on the adoption of a right and well-defined orientation of the substrate relative to the

catalytic centre. It appears that the immediate surroundings of the active site can favour or disfavour the right approach for the stereoselection to take place. In this sense, the characteristics of the matrix and the nature and length of the linker can exert a crucial influence on the selectivity. The presence of silanol groups on the surface of the silica support placed near the active site of the immobilized organocatalyst has thus to be taken into account, as it may cause a deleterious effect. The cost of the starting material (*i.e.* 4-hydroxy-L-proline is more expensive than L-proline) can affect the choice of the synthetic strategy for the immobilization of the organocatalyst. The derivatization site on the catalytic moiety and the linker used to graft it on the material have also been found to influence the catalytic performance and selectivity.

The degree of functionalization of the support is another important factor in organocatalytic reactions where a high loading of catalyst is needed. In principle, the sol-gel methodologies should enable a higher number of organic motifs per gram compared with grafting procedures and, accordingly, a decrease of the weight amount of immobilized catalyst needed. On the other hand, chemically stable and robust heterogenized organocatalysts are required. The silica-supported organocatalysts usually present high chemical stability under the mild conditions employed in organocatalytic reactions, with retention of the nanostructure of the support upon recycling, especially for those where the catalytic moiety is covalently bound to the silica backbone. The leaching of the catalytic moiety is much lower than in more labile supported metal-based catalysts, where in some case the loss of the metal in appreciable amounts is still a real problem.

From the material's point of view, it is still very difficult to draw precise design criteria for preparing efficient organocatalytic materials. Indeed, very few studies have addressed the influence of the type of supporting material on the catalytic properties for a given reaction, and the few reactions studied give results that may appear counter-intuitive, such as good catalytic activities for non-porous materials. Concerning mesostructured materials, though many reports and reviews mention the importance of confinement for improving the selectivity of the catalytic processes such effects have been only scarcely characterized for basic catalysis, which can often be related to the proximity between catalytic moieties and proximal silanol groups. Therefore, much work has to be done in order to find general design criteria to achieve efficient organocatalytic materials. Some recent examples have shown that mesostructured materials are not always the best solution to get high catalytic activity or asymmetric induction, and that much simpler and greener materials such as those obtained by sol-gel co-condensation can afford interesting results.^{52, 199, 209} A wide range of supporting materials is now achievable by the various techniques summarized in Section 2, and should therefore be investigated more in depth. Another challenge to address when studying supported organocatalysts is to understand the environments of the catalytic sites and their diversity, their accessibility by the reagents, and the evolution of the catalytic materials during and after use. Spectroscopic studies would allow understanding better the processes behind the catalyst deactivation and the decrease of selectivity usually observed in order to improve further the catalytic materials and the experimental protocols for catalysis.

Supporting a given organocatalyst can therefore be viewed from two extreme perspectives. From the first point of view, one can design a material so that the organocatalysts are anchored in an inert matrix, ideally without interaction neither with the matrix nor between different catalytic sites (single-site catalysts) to reproduce the properties of a successful homogeneous catalyst with the advantages of the ease of recovery and purification of the products. In the second approach the silica-supported catalyst can be viewed as a totally new catalytic entity, in which the properties arise not only from the organic catalyst itself, but also from complementary interactions with the surface or between various catalytic sites. In this approach, several catalytic materials must be synthesized and compared to determine the lead material to be used for a given transformation.

Adopting this perspective of the catalytic material, and on the basis of the research already performed to support organocatalysts, one can now envision to design materials able to perform new catalytic transformations hardly achievable in solution, for example by placing several catalytic sites in close vicinity, eventually in confined or chiral environments to promote new transformations. This opens a vast field of research on organocatalytic materials.

Bio sketches

Meritxell Ferré received the Degree in Chemistry from the Universitat Autònoma de Barcelona (UAB) and her Master degree from the same University in 2011. She has completed the PhD (2015) at the UAB under the direction of Prof. R. Pleixats working in silica-supported catalysts. During this period she made some short stays at Institut Charles Gerhardt (ICG) in Montpellier.

Roser Pleixats graduated in Chemistry and obtained her PhD from the Universitat Autònoma de Barcelona (UAB). After a postdoctoral stay at the Université des Sciences et Techniques du Languedoc (USTL) in Montpellier with Prof. R. J. P. Corriu and E. Colomer, she returned to UAB where she became Associate Professor in 1991 and Full Professor of Organic Chemistry in 2004. Her current research interests focus on metal nanoparticles and organosilica-based materials for catalysis and nanomedicine.

Michel Wong Chi Man studied at the University of Montpellier (France) before completing an AvH Postdoctoral Fellowship at the University of Heidelberg (Germany). Currently he is a CNRS Research Director working at the Institut Charles Gerhardt in Montpellier and is also serving on the Board of the ISGS (International Sol-Gel Society) since 2011. He is an expert in organosilicon and hybrid silica chemistry and over the past two decades he developed pioneering work on bridged silsesquioxanes (BS) producing significant scientific advances in this field. He was the first to report right- and left-handed helical BS and self-structured BS on the nanoscale. He has also developed BS for application in several fields: catalysis, optics, separation chemistry....

Xavier Cattoën graduated from ENS Cachan then completed his PhD in 2004 under Prof. G. Bertrand and Dr D. Bourissou in Toulouse after a one-year stay at UC Riverside (USA). He was a post-doctoral researcher at ICIQ (Tarragona, Spain) in Prof. M. A. Pericàs' group then was appointed CNRS researcher in 2007 at ICG Montpellier, and finally moved to the Néel Institute, Grenoble in 2013. His research interests focus on the organic functionalization of silica-based materials for application in nanomedicine and catalysis.

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