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1	Isolation and antitumor efficacy evaluation of a polysaccharide
2	from <i>Nostoc commune</i> Vauch.
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20 ABSTRACT

Nostoc commune Vauch. has been traditionally used as a healthy food and 21 22 medicine for centuries especially in China. It has been demonstrated that the polysaccharides isolated from Nostoc commune Vauch. exhibit strong antimicrobial 23 and antioxidant activities. However, little is known about their anticancer property 24 and the underlying mechanisms of action. Herein, we report the isolation of a 25 26 polysaccharide from Nostoc commune Vauch. (NVPS), and its physicochemical properties were analyzed. In an attempt to demonstrate the potential application of 27 NVPS in tumor chemotherapy, the *in vitro* antitumor activity was determined. NVPS 28 significantly suppressed the growth and proliferation of MCF-7 and DLD1 cells. The 29 molecular mechanism underlying this *in vitro* antitumor efficacy was elucidated, and 30 31 the results indicated that NVPS simultaneously triggered intrinsic, extrinsic and endoplasmic reticulum stress (ERS)-mediated apoptotic signaling pathways. 32 Collectively, these findings demonstrate that NVPS could be used as a novel 33 promising source of natural antitumor agent. 34

35 Keywords: Nostoc commune Vauch., polysaccharide, NVPS, antitumor efficacy,

36 apoptosis

37 **1. Introduction**

The cyanobacterium *Nostoc commune* Vauch., popularly named *Nostoc commune*, belongs to the colonial form of Nostoc.¹ It distributes widely around the world, especially in China.² Colonies of *Nostoc commune* Vauch., harvested from natural localities, have been highly appreciated by consumers for centuries in China 42

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and increasingly recognized by people in other countries.³ It has been claimed that

	una mereasingry recognized by people in outer countries. It has been elamica that
43	the edible terrestrial alga has high nutritional value, because it contains abundant
44	protein ⁴⁻⁷ and dietary fiber, ⁶ carbohydrates, a great variety of vitamins and mineral
45	elements, lower fat, 7-8 and other nutrients. Indeed, Nostoc commune Vauch. has been
46	shown to exhibit a broad spectrum of biological activities, such as antitumor,9
47	antimicrobial, ^{10,11} anti-inflammation and antioxidant ^{12,13} properties. In our previous
48	study, we purified and identified a water stress protein (WSP1) from Nostoc
49	<i>commune</i> Vauch., and evaluated its antitumor effects both <i>in vitro</i> and <i>in vivo</i> . ⁹
50	Cancer still remains one of the most deadly diseases and a global threat to human
51	health and life. Taking into account the serious side effects and toxicity of
52	conventional chemotherapeutic agents, ^{14,15} a great deal of research effort has been
53	focused on discovering anticancer polysaccharides or complexes from natural
54	resources for the development of effective and safe therapeutics. Commonly known
55	as a structurally diverse class of biomacromolecules, polysaccharides play diverse
56	and crucial roles in many biological processes. ¹⁶ In recent years, polysaccharides
57	derived from natural resources have attracted considerable attention due to their
58	non-toxicity even at higher concentration, higher efficiency and potential therapeutic
59	applications. ^{17,18} It has been documented that polysaccharides isolated from Nostoc
60	commune Vauch. exhibit a range of biological activities, including antimicrobial and
61	antioxidant effects. ¹⁹⁻²¹ However, to the best of our knowledge, there have been few
62	studies into the anticancer efficacy of polysaccharides from Nostoc commune Vauch

In the present investigation, we isolated a polysaccharide from Nostoc commune

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64 Vauch. (NVPS) and examined its antitumor activity for the first time. The molecular weight of NVPS was about 2.4×10^5 Da as determined by high-performance gel 65 permeation chromatography (HPGPC). The cell viability, apoptosis, and changes in 66 the protein levels involved in intrinsic and extrinsic apoptotic signaling pathways 67 after NVPS exposure were assessed. Moreover, quantitative real-time PCR and 68 western blotting analysis were performed to quantify the expression of genes and 69 70 proteins implicated in endoplasmic reticulum stress (ERS)-mediated apoptotic 71 pathway. These encouraging results demonstrate that NVPS holds a great potential to serve as an effective and safe antitumor agent for chemotherapy. 72

73 **2. Materials and methods**

74 **2.1. Materials and reagents**

75 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), dimethyl sulfoxide (DMSO), bovine serum albumin (BSA) were purchased from Sigma. 76 77 Antibody against GRP78 was obtained from Cell Signalling Technology, Inc. 78 (Beverly, USA). Antibody against CHOP was from Protech (Wuhan, China). 79 Antibody against Caspase-8 was purchased from Bio-world (St.Louis Park, USA). Antibodies against Activated caspase-3, Caspase-9 were obtained from Beyotime 80 81 Institute of Biotechnology (China). β -tubulin and GAPDH were purchased from 82 Abmart (Shanghai, China). Secondary anti-mouse and anti-rabbit antibodies were 83 obtained from Invitrogen. All other chemicals and regents used in this study were of 84 analytical grades or the highest purity grades available.

85 **2.2. Preparation of NVPS**

86	The colonies of Nostoc Commune Vauch. were collected from Longnan City of
87	Gansu Province in the autumn of 2013 and identified by a specialist. The thallus of N .
88	Commune Vauch. were oven-dried at 60 °C until a constant weight (100 g) and milled
89	into a powder using a grinder. The powder was extracted with distilled water (1:50,
90	w/v) under reflux condition for 3 h. After two cycles, the solution was filtered and
91	concentrated to 10% of the previous volumes with a rotary evaporator under reduced
92	pressure. The obtained solution was deproteinized by 10.6% potassium ferrocyanide
93	(w/v) and 21.9% zinc acetate (w/v). The process was repeated three times, and
94	followed by centrifugation. The supernatant was dialyzed for 2 days against distilled
95	water (Mw cutoff: 3000 Da) in order to eliminate monosaccharide, ions and other
96	small molecules. Subsequently, 3 volumes of 95% (v/v) ethanol was added to
97	precipitate the polysaccharides. The obtained polysaccharide was further purified by
98	dissolution and precipitation for three times at 4°C. Finally, the refined
99	polysaccharide pellets were dissolved completely in distilled water, and then
100	lyophilized to yield opalescent water-soluble polysaccharides (NVPS).

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2.3. Structural analysis of NVPS

Analysis of monosaccharide composition was performed by GC-MS, using a Finnigan ion trap GC-MS equipped with a DB-5 MS column. Briefly, 50 mg of NVPS fine powder was hydrolysed with 50 mL 2 M trifluoroacetic acid (TFA) at 110 °C in a sealed tube for 3 h. The hydrolysate was reduced by NaBH₄, followed by acidification with acetic acid. Then acetic anhydride and 1-methylimidazole were added as the acetylization reagent and catalyst of derivatization reaction, respectively. The solution

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108 was extracted twice using 2 mL of CH₂Cl₂ after termination by ddH₂O. The organic phase was removed and 200 μ L of CH₂Cl₂ was added. 1 μ L sample was added to 109 110 auto-sampler vials with inserts and analyzed by GC-MS. Total uronic acid contents were measured by m-hydroxydiphenyl method using galacturonic acid or glucuronic 111 acid as the standard. Fourier transform infrared (FTIR) spectra studies were 112 performed in the range of 4000–400 cm⁻¹ on TENSOR 27 FTIR spectrometer (Bruker, 113 Germany) using the standard KBr disk method. ¹H NMR analysis of NVPS (20) 114 mg/mL) in D₂O was carried out on a Bruker AVANCE III 600 MHz spectrometer 115 116 with the operating frequency of 600 MHz.

117 **2.4. Cell culture and maintenance**

Human breast cancer MCF-7 cells and colorectal cancer DLD1 cells were 118 119 incubated in RPMI-1640 medium (Gibco, USA) supplemented with 10% (v/v) 120 heat-inactivated fetal bovine serum (FBS, Gibco) and penicillin/streptomycin (100 U/mL and 100 µg/mL, respectively; Gibco/Invitrogen, USA). MCF-7 and DLD1 cells 121 were maintained at 37 °C with 5% fully humidified CO₂ in a Thermo Scientific Forma 122 123 Direct-Heat CO₂ incubator (Thermo Fisher, USA) for 36 h and 48 h, respectively. NVPS was dissolved in RPMI-1640 medium and adjusted to various concentrations 124 125 with culture medium before use.

126 **2.5. Cell viability assay**

The inhibition of NVPS on the proliferation of MCF-7 and DLD1 cells was assessed *in vitro* by MTT assay. MCF-7 and DLD1 cells (8×10^3 cells/well) were seeded in 96-well culture plates and incubated overnight. After removing the medium,

6

130	100 μ L NVPS of different concentrations (0, 8, 17.5, 35, 70 and 100 μ g/mL) in fresh
131	RPMI-1640 medium were added to each well, and then the wells were incubated for
132	36 or 48 h. After treatment, the cells were incubated with 100 μL fresh medium and
133	20 μL MTT solution (5 mg/mL) for another 4 h. DMSO (150 $\mu L)$ was added to each
134	well to dissolve the formazan crystals, and the absorbance at 570 nm was measured
135	with a microplate reader (Tecan Infinite F50, Switzerland).

136 **2.6. Cell cytotoxicity of NVPS**

The cytotoxicity of NVPS toward MCF-7 and DLD1 cells was measured using a trypan blue assay. Briefly, MCF-7 and DLD1 cells were seeded in a 96-well plate at a density of 2×10^4 cells/well. After 24 h incubation at 37°C with 5% CO₂, the medium was removed and replaced with NVPS solution of different concentrations (0, 8, 17.5, 35, 70 and 100 µg/mL). After another 24 h of incubation, cytotoxicity was determined.

143 **2.7. Colony formation assay**

MCF-7 and DLD1 cells were seeded into 24-well plates at 5×10^3 cells per well and allowed to attach overnight. Various concentrations of NVPS solution (0, 35, 50, 70, 100 or 0, 70, 100, 150, 200 µg/mL) were added and incubated for six days. The cells were then fixed with 6% glutaraldehyde and stained with 0.1% crystal violet. The colony formation was captured by a stereomicroscope (SZX16, Olympus, Japan).

149 2.8. Apoptosis analysis and mitochondrial membrane potential (MMP) assay

MCF-7 and DLD1 cells $(5 \times 10^5$ cells/well) were seeded in 6-well flat-bottom plate and incubated overnight, then treated with serial NVPS solution (MCF-7: 0, 70, 150

152	μ g/mL; DLD1: 0, 100, 200 μ g/mL). Apoptosis assay was performed with annexin
153	V-FITC apoptosis detection kit (BIO-BOX ,China) following the manufacturer's
154	instructions. Briefly, 1.5×10^5 cells were collected, washed with cold phosphate
155	buffered saline (PBS), and resuspended in 500 μL Annexin V binding buffer
156	containing 5 μ L Annexin V-FITC and 5 μ L PI, incubated for 15 min in the dark. Flow
157	cytometric analysis was carried out on Accuri C6 (BD Bio-science, USA). MMP was
158	monitored using JC-1 mitochondrial membrane potential assay kit (BIO-BOX, China)
159	according to the instruction manual. Tested cells were treated by the same procedure
160	as above, stained with JC-1 at 37 °C for 20 min in darkness and analyzed for their red
161	and green fluorescence from JC-1 using an Accuri C6 flow cytometer.

162 **2.9. Western blotting**

163 After NVPS treatment, cells were collected with ice-cold phosphate-buffered 164 saline (PBS), and incubated with lysis buffer for 40 min on ice. The cell lysates were homogenized and then centrifuged at 13,000 g for 40 min at 4°C to remove cellular 165 debris. Protein concentrations were examined by the BCA protein assay. An equal 166 amount of protein (60 µg) were loaded in each lane, separated by 10-15% 167 SDS-PAGE and transferred to PVDF membranes (Millipore, USA). After blocking 168 169 with 5% skim milk in TBST (10 mM Tris, 150 mM NaCl and 0.1% Tween20) for 1.5 170 h at room temperature (RT), the membrane was incubated with primary antibodies 171 overnight at 4°C, and subsequently with the respective horseradish peroxidase (HRP)-tagged secondary antibodies (Sigma) for 2 h at RT. The labeled proteins of 172 interest were visualized by exposing the blots to X-ray film in a dark room using an 173

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174 enhanced chemiluminescence (ECL) kit (Sigma).

175 **2.10. Quantitative real-time PCR**

176	Total RNA was extracted from treated cells using Trizol reagent. Complementary
177	DNA (cDNA) was synthesized from 500 ng RNA with PrimeScript RT Master Mix
178	(Takara). All the qRT-PCR samples were performed using SYBR Green PCR Master
179	Mix (Takara) on an Applied Biosystems Step One-Plus [™] Real-Time PCR System
180	(Applied Biosystems) under the following conditions: 95 °C for 30 s, followed by 40
181	cycles at 95 °C for 5 s, 64 °C for 34 s. The relative expression of each targeted gene
182	was normalized by subtracting the corresponding GAPDH threshold cycle (Ct) values
183	using the $\Delta\Delta$ Ct comparative method. The RT-PCR primers used were as follows:
184	GRP78, 5'-CTGTGCAGCAGGACATCAAGTTC-3' (forward) and
185	5'-TGTTTGCCCACCTCCAATATCA-3'(reverse);
186	CHOP, 5'-GGAAACAGAGTGGTCATTCCC-3' (forward) and
187	5'-CTGCTTGAGCCGTTCATTCTC-3'(reverse);
188	GAPDH, 5'-GCACCGTCAAGGCTGAGAAC-3' (forward) and

189 5'- TGGTGAAGACGCCAGTGGA-3'(reverse).

190 **2.11. Statistical analysis**

All determinations were performed at least three times and the data were presented as the mean \pm standard deviation (SD). IC₅₀ values were calculated by regression analysis. Image J software (National Institutes of Health) was used to quantify the band intensities and the Student's t-test was used to test the differences between the two groups. Differences were considered statistically significant when

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196 *P*<0.05.

197 **3. Results and discussion**

198 **3.1. Preparation and structural characterization of NVPS**

The procedure for preparation of the polysaccharide from N.commune Vauch. 199 (NVPS) is given in Fig. S1 (Supplementary data). NVPS was isolated from the thallus 200 of *N.commune* Vauch. through hot water extraction and ethanol precipitation, 201 202 followed by deproteinization, dialysis against water and lyophilization. The total 203 carbohydrate and uronic acid content of NVPS were 85.3% and 10.3% respectively, and the extraction yield was 8.73% of dry mass. NVPS was eluted as a typical peak 204 on HPGPC, and its apparent molecular weight was estimated as 2.4×10^5 Da (data not 205 shown). The monosaccharide compositions of NVPS were determined by TFA 206 207 hydrolysis and GC-MS analysis, as shown in Fig. 1A and summarized in Table S1 208 (Supplementary data). It can be seen that NVPS is a representative heteropolysaccharide that consists of glucose, arabinose, xylose, mannose and 209 galactose with the corresponding mole proportions of 1, 4.96, 182.02, 0.97 and 3.15, 210 respectively. Thus, xylose is the major monosaccharide constructing the backbone of 211 NVPS. 212

FTIR was used to investigate and confirm the chemical structure of NVPS. Fig. 1B presents the FTIR spectrum of NVPS. The large peak at around 3423 cm⁻¹ is assigned to the stretching vibrations of hydroxyl group. The weak absorption band at about 2927 cm⁻¹ was attributed to the C–H stretching vibrations.²² The strong absorption peaks at around 1630 and 1415 cm⁻¹ were attributed to antisymmetric and

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symmetric stretching vibrations of carboxyl group, which was an indication of the presence of uronic acid in NVPS.²³ The wavenumbers between 800 and 1200 cm⁻¹ represent the finger print region for carbohydrates.²⁴ It is noteworthy that a striking band observed at 1065 cm⁻¹ is the characteristic absorption peak of furan glycosides.²⁵ Furthermore, the band appeared at 896 cm⁻¹ is typical for β -xylans, and it is ascribed to glycosidic C₁-H deformation mode with ring vibration contribution and OH bending modes.²⁶⁻²⁸

In order to get more insight into the structure of NVPS, its ¹H NMR spectrum 225 was measured in D₂O. As presented in Fig. 1C, the dominating signals at 4.404, 226 3.374, 3.476, 3.685, 3.998 ppm were assigned to xylose residues.^{27,29,30} And the 227 signals from other monosaccharides can not be detected, which maybe due to their 228 229 much lower content when compared to xylose. This is consistent with the GC-MS 230 results that NVPS is composed of xylose, arabinose, galactose, glucose and mannose with a ratio of 182.05:4.96:3.15:1:0.97, and xylose is the predominant component of 231 NVPS. 232

3.2. Inhibition of cancer cell proliferation

To verify whether NVPS exhibits antitumor activity, we examined the effect of NVPS on proliferation of MCF-7 and DLD1 cells by MTT assays. As shown in Fig. 2A, NVPS significantly suppressed the growth of both cancer cell lines in a dose and time-dependent pattern. As summarized in Table S2 (Supplementary data), the IC₅₀ values of NVPS for MCF-7 and DLD1 cell lines were 0.067 and 0.11 mg/mL respectively, indicating that NVPS has a stronger inhibitory effect against breast cancer MCF-7 cells.

In order to determine whether this inhibitory effect of NVPS on cancer cells is associated with cytotoxicity, we measured the cytotoxicity of NVPS by trypan blue colorimetric assay. It can be seen from Fig. 2B that NVPS exhibited no clear cytotoxicity against MCF-7 and DLD1 cells in a broad concentration range (8–100 μ g/mL) for 24 h, the cell viability remained above 90% even at the highest concentration of 100 μ g/mL. Thus the cytotoxicity of NVPS is negligible, and the anti-proliferation effect is not caused by its cytotoxicity.

248 To further evaluate the long-term effect of NVPS on cell survival, we performed clonogenic survival assay to examine the ability of MCF-7 and DLD1 cells to form 249 colonies 5 days after NVPS treatment. Consistent with antiproliferative results 250 251 obtained by MTT assay (Fig. 2A), a dramatic loss in colony-forming potential was 252 observed for NVPS-treated cells as compared with controls (Fig. 2C). Colony 253 formation of MCF-7 and DLD1 cells was reduced by approximately 50% at 50 and 70 254 μ g/mL, respectively. On the basis of these lines of evidence, a definite conclusion can 255 be made that NVPS exhibits a remarkable antitumor effect on MCF-7 and DLD1 256 cells.

3.3. Induction of apoptosis by NVPS

Cell death has conventionally been divided into three types: apoptosis, autophagy, and necrosis. Apoptosis, also known as programmed cell death, is considered to be the major type of cell death that occurs in response to most of the frontline chemotherapeutic agents. It is also a strictly regulated process of cellular suicide

which is characterized by several morphological and cellular hallmarks including cell shrinkage, plasma membrane blebbing, chromatin condensation, DNA fragmentation and cleavage of key cellular proteins.³¹

To explore the underlying mechanism of cell death induced by NVPS, MCF-7 and 265 DLD1 cells were exposed to NVPS and double stained via an FITC Annexin V 266 Apoptosis Detection Kit followed by flow cytometry analysis. It could be seen clearly 267 268 from Fig. 3A that NVPS induced apoptosis of MCF-7 and DLD1 cells in a dose-dependent manner. As presented in Fig. 3B, the fraction of Annexin V-positive 269 270 MCF-7 cells was 4.5% before treatment and 17.2% and 32.1% after treatment with 271 NVPS at concentrations of 70 and 150 µg/mL, respectively; while the fraction of 272 Annexin V-positive DLD1 cells was 4.5% before treatment and 26.7% and 28.5% 273 after NVPS treatment at concentrations of 100 and 200 µg/mL, respectively. 274 Furthermore, NVPS induced higher apoptosis in MCF-7 cells (32.1%) than in DLD1 275 cells (28.5%) even at a lower concentration (150 vs 200 μ g/mL), this was consistent with the *in vitro* MTT antitumor study (Table S2, Supplementary data). It seems that 276 277 MCF-7 cells are more sensitive to NVPS than DLD1 cells. These results indicate that 278 apoptosis induction account for the growth inhibition of MCF-7 and DLD1 cells.

3.4. Induction of the intrinsic and extrinsic apoptosis

Cell death is of vital importance for the normal development and maturation cycle, and a homeostatic balance between the rates of cell proliferation and cell death is crucial for maintaining normal physiological processes.³² When misregulated, the apoptosis process is generally triggered, leading to various diseases including cancer. There are two classical apoptosis signaling pathways: intrinsic (mitochondrial-dependent) pathway and extrinsic (death receptor-mediated) pathway, which are characterized by cleavage activation of procaspase-9 and procaspase-8, respectively.^{33,34}

The intrinsic pathway can be triggered by diverse stimuli, such as radiation, free 288 radicals, viral infections and chemotherapeutical agents,³⁵ and always results in the 289 290 collapse of mitochondrial membrane potential. The loss of mitochondrial membrane 291 potential (MMP), which reflects changes in mitochondrial membrane permeability, is considered as an initial and irreversible step towards early apoptosis via intrinsic 292 pathway.³¹ The disruption of MMP led to the replacement of red fluorescence from 293 294 JC-1 aggregates by green monomers. Therefore, the changes of MMP in MCF-7 and 295 DLD1 cells after NVPS treatment were measured by JC-1 staining and flow 296 cytometry analysis. As presented in Fig. 4A, the distribution of NVPS-treated cells 297 shifted from the red fluorescence region (high MMP) to the green fluorescence region 298 (low MMP). The relative fluorescent intensity ratio of red and green was shown in Fig. 299 4B, and NVPS induced mitochondrial membrane depolarization in a dose-dependent 300 manner.

Moreover, the change of MMP is tightly regulated by the Bcl-2 family members that consist of pro- and anti-apoptotic proteins.³⁶ And there are mounting evidences that the ratio of Bax and Bcl-2, but not Bcl-2 alone, serves as a decisive player, especially during the occurrence of drug-induced apoptosis.³⁷ Our results from western blotting obviously disclosed that NVPS treatment elevated pro-apoptotic Bax

306	while down-regulated anti-apoptotic Bcl-2 expression (Fig. 4C). A significant
307	dose-dependent increase of the Bax/Bcl-2 ratio was observed after NVPS treatment
308	(Fig. 4D). Specifically, the ratio of Bax/Bcl-2 in MCF-7 cells increased by 2.96 and
309	11.56-fold ($P < 0.01$) respectively as compared to control after exposing to NVPS at
310	concentrations of 70 and 150 μ g/mL; while the ratio of Bax/Bcl-2 in DLD1 cells
311	increased by 2.28 and 5.12-fold ($P < 0.01$) respectively relative to untreated cells after
312	NVPS treatment at concentrations of 100 and 200 $\mu\text{g/mL}.$ The increased Bax/Bcl-2
313	ratio is crucial for the activation of the intrinsic apoptosis pathway, and at least
314	partially responsible for the MMP loss induced by NVPS.

315 Tumor suppressor gene p53, which encompasses wild type (wt) and mutation or deficiency type, plays an essential role in the regulation of cell apoptosis. However, 316 317 the wt p53 is a pro-apoptotic gene in certain tumor cells such as MCF-7, while the mutation p53 is an anti-apoptotic gene in some other tumor cells such as DLD1.³⁸ The 318 expression of p53 leads to the downregulation of anti-apoptotic factor Bcl-2 and 319 upregulation of pro-apoptotic factor Bax.^{39,40} Thus the expression of p53 protein was 320 321 assessed by western blotting. It could be seen from Fig. 4C that the expression level of 322 p53 increased in MCF-7 cells and decreased in DLD1 cells dramatically both in a 323 dose-dependent manner, suggesting p53 was involved in the regulation of apoptosis 324 induced by NVPS.

Mitochondrial membrane permeabilization results in the release of various pro-apoptotic proteins such as cytochrome c (cyto c), which binds to apoptotic protease-activating factor 1 (Apaf-1) and forms apoptosome. Then the initiator

procaspase-9 is recruited and cleaved to active caspase-9, which in turn triggers the activation of executioner caspase-3, leading to a cascade of caspase-mediated cleavage reactions and causing cell apoptosis. Additionally, death receptor-mediated extrinsic pathway requires the interaction between death receptors (such as FasR, TRAIL,TNF α) on the surface of cell membranes and their respective ligands.⁴¹ Activation of these receptors typically results in the cleavage of procaspase-8, and followed by the activation of downstream effector caspase-3.

To further examine the involvement of proteolytic caspases in NVPS-induced 335 336 apoptosis, the expression of caspase-9, caspase-8 and caspase-3 was determined. As displayed in Fig. 4C and E, the extent of caspase-9 and caspase-8 cleavage was 337 elevated obviously and dose-dependently. In addition, the caspase-3 was further 338 activated significantly and induced apoptosis in MCF-7 and DLD1 cells after NVPS 339 treatment (Fig. 4C and E). The results indicated that NVPS could induce the apoptosis 340 in a caspase-dependent manner. All aforementioned findings reveal that the 341 anti-proliferative activity of NVPS is attributed to the activation of both extrinsic and 342 343 intrinsic apoptosis signaling pathways in a caspase-dependent pattern.

344 3.5. Induction of ERS-mediated apoptosis

The endoplasmic reticulum (ER) is an elaborate cellular organelle essential for cell function and survival. Some perturbations in ER function can lead to the accumulation of unfolded or misfolded proteins inside the ER, a cellular condition commonly referred to as endoplasmic reticulum stress (ERS).⁴² ERS triggers the unfolded protein response (UPR), a closely orchestrated collection of intracellular

350	signal transduction reactions designed to restore protein homeostasis. When ER stress
351	is gradually prolonged and the protein accumulated in the ER greatly exceeds its fold
352	capacity, cellular dysfunction and apoptotic cell death often occur.43,44 In response to
353	ERS, the 78-kDa glucose-regulated protein (GRP78) ER chaperone, also known as
354	immunoglobulin heavy chain binding protein (BIP), is upregulated to stabilize protein
355	folding. GRP78 has been recognized as an indicator of ER stress. CHOP
356	(transcriptional factor C/EBP homologous protein), which is also referred to as
357	GADD153, plays an important role in the UPR and it has been identified as one of the
358	most important mediators in ER stress-induced apoptosis.42
359	In order to explore whether the NVPS induced cell death is related to ERS and
360	ERS-mediated apoptosis, we determined the expression of GRP78 and CHOP at both
361	the protein and mRNA level by western blotting and quantitative real-time PCR (Fig.
362	5). It was easy to find that NVPS treatment significantly elevated the expression of
363	GRP78 and CHOP in both MCF-7 and DLD1 cells, and there were statistically
364	significant differences ($P < 0.01$ or 0.05) relative to control cells (Fig. 5A and B).
365	Furthermore, qPCR analysis clearly showed that the expression of GRP78 and CHOP
366	at mRNA level was also upregulated (Fig. 5C). Therefore, NVPS also induced the ER
367	stress-mediated apoptosis.

368 **4. Conclusions**

In summary, we report the isolation and *in vitro* antitumor activity evaluation of a polysaccharide from *Nostoc commune* Vauch. (NVPS) with a molecular weight about 2.4×10^5 Da. The total carbohydrate and uronic acid content of NVPS were 85.3% and

372 10.3%, respectively. NVPS displayed a dose and time-dependent inhibitory effect on the proliferation of MCF-7 and DLD1 cells via initiating cell apoptosis, with an IC_{50} 373 374 of 67 and 110 μ g/mL respectively. Meanwhile, NVPS triggered the intrinsic apoptotic 375 signaling pathway, as indicated by a loss of mitochondrial membrane potential (MMP) 376 and an increase in Bax/Bcl-2 ratio. Western blotting assay showed that NVPS could 377 activate caspase-9 and caspase-8, leading to the activation of downstream effector 378 caspase-3. Intriguingly, an enhancement of Bip and CHOP expression at both protein 379 and gene level was observed after NVPS exposure, indicating that endoplasmic 380 reticulum stress (ERS)-mediated apoptotic pathway also contributes to cell apoptosis 381 in response to NVPS. The possible mechanisms involved in the activation of apoptotic 382 signaling pathway in cancer cells by NVPS were illustrated in Fig. 6. The findings of 383 this study will be helpful not only to uncover the antitumor mechanism of polysaccharides from natural resources, but also to the development of effective and 384 385 safe polysaccharide-based antitumor agents for chemotherapy.

386 Acknowledgments

This study was supported by the National Natural Science Foundation of China (nos. 31271516 and 31201072), Science Foundation for Youths of Shanxi Province (2013021014-1), The R&D Infrastructure and Facility Development Program of Shanxi Province (2015091015), Science Foundation of Zhejiang province (LY15H280008).

392

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464

465	Figure captions
466	Fig. 1. (A) (a) Typical GC chromatogram of mixed standards: (1) Rhamnitol, (2)
467	Ribitol, (3) Fucitol, (4) Arabinitol, (5) Xylitol, (6) Mannitol, (7) Sorbitol, (8)
468	Galactitol; (b) Typical GC chromatogram of NVPS.
469	(B) FTIR spectrum of NVPS in the range of $4000-400 \text{ cm}^{-1}$.
470	(C) ¹ H NMR spectrum of NVPS in D_2O .
471	Fig. 2. <i>In vitro</i> antitumor activity of NVPS against MCF7 and DLD1 cells.
472	(A) Cell proliferation assay. Cells were treated with different doses (0, 8, 17.5, 35, 70
473	or 100 μ g/mL) of NVPS for varying lengths of time (24, 36 or 48 h), and cell
474	proliferation was measured by MTT assay.
475	(B) Cytotoxicity assay. Cells (2×10^4 /well) were treated with different doses for 24 h
476	and then examined by trypan blue dye exclusion method.
477	(C) Dose-dependent clonogenic survival assay. Cells (5 $\times 10^3$ /well) were seeded and
478	cultured for 5 days in the absence or presence of NVPS.
479	Each value represents the mean \pm S.D. of three independent experiments, * indicates
480	that P<0.05 relative to the control cells; ** indicates that P<0.01 relative to the
481	control cells.
482	Fig. 3. Quantitative analysis of apoptotic cells induced by NVPS.
483	(A) Cells were treated with NVPS for 36 h/48 h. After harvesting, cells were
484	double-stained with Annexin V-FITC/PI and analyzed by flow cytometry. All
485	experiments were done independently in triplicate, and representative dot plots of
486	Annexin V-FITC/PI staining are shown. (B) Quantification of apoptotic cells by flow

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487	cytometry. Similar results were obtained from two other independent experiments, *
488	p < 0.05 or ** $p < 0.01$ indicates statistically significant difference with untreated
489	cells.
490	Fig. 4. NVPS induced intrinsic and extrinsic apoptosis in MCF7 and DLD1
491	cells.
492	(A) MCF7 and DLD1 cells were treated with different concentrations of NVPS for
493	36 or 48 h, stained with JC-1 dye and analyzed by flow cytometry
494	(B) Red and green fluorescence ratio of cells treated and untreated by flow
495	cytometric analysis.
496	(C) Relative protein expression profile was analyzed by Western blotting. GAPDH
497	was used as the reference control. The blots showed here are representative of three
498	independent experiments.
499	(D) The corresponding ratio of Bax to Bcl-2.
500	(E) Densitometry analysis of relative protein expression. $*P < 0.05$ or $**P < 0.01$
501	compared with control.
502	Fig. 5. (A) Relative protein expression of ERS-induced apoptosis profile was
503	analyzed by western blotting after treatment with different dose of NVPS for 36/48h.
504	GAPDH was used as reference control. The blots showed here are representative of
505	three independent experiments. The bands shown here are representative of three
506	independent experiments.
507	(B) Densitometry analysis of relative protein expression.
508	(C) Densitometry analysis of semi-quantitative RT-PCR of ERS-induced apoptotic

509	related gene expressions of cells treated with different dose of NVPS for 36/48h.
510	GAPDH gene was used as an internal loading control. The data shown represent the
511	mean ±S.D. for one experiment performed in triplicate. * P <0.05 or ** P <0.01
512	relative to control.
513	Fig. 6. Possible schematic representations of mechanisms involved in the activation

of apoptotic signaling pathway in cancer cells by NVPS.