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1 Microbial composition of purified waters and  
2 implications for regrowth control in municipal water  
3 systems

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## 9 **Water Impact Statement**

10 Highly purified waters are depended upon for a variety of laboratory, industrial, and other  
11 applications. The same general principle of disinfection alongside removal of nutrients, such as  
12 organic carbon and nitrogen, to prevent downstream regrowth is applied in municipal water  
13 systems to protect public health. Here we survey the microbial assemblage composition of  
14 thirteen laboratory-grade water purification systems and identify which microbes are  
15 associated with regrowth. We observed a wide diversity of DNA sequences, with a 2-log  
16 increase in total bacterial gene markers in less than 10 days. This study highlights the practical  
17 limits of nutrient limitation as a means of microbial control and indicates that additional  
18 measures are also needed to deliver high quality drinking water, especially when pathogen re-  
19 growth is a concern.

## 20 **Abstract**

21 The limits of water treatment to control microbial regrowth were examined using highly  
22 purified waters. Measurable microbial genetic material was detected in the product water in a  
23 survey of thirteen laboratory pure water systems. Illumina 16S rRNA gene amplicon sequencing  
24 revealed surprisingly diverse microbial assemblages, confirmed to be active in bioassays, with  
25 no direct relationship to quality or maintenance of the systems. With storage under both light  
26 and dark conditions, a 2-log increase in bacterial genetic markers was observed within 10 days,  
27 indicating viable oligotrophic communities despite rigorous treatment steps. With growth,  
28 microbial communities shifted concurrent with enrichment of Proteobacteria groups capable of  
29 nitrogen fixation (*Bradyrhizobium*) and H<sub>2</sub> oxidation (*Comamonadaceae*). This study has

30 implications not only for laboratory studies, which rely on highly purified waters, but also for  
31 municipal drinking water, which depends on treatment to reduce nutrients sufficiently to limit  
32 downstream regrowth of microorganisms.

## 33 **1 Introduction**

34 Water purification systems are core infrastructure in research labs and for many  
35 industrial applications, with production scales varying from 1-2 to tens of thousands of  
36 L/day. These systems employ a range of treatment approaches to achieve a high  
37 standard of water quality suitable for the target application<sup>1-4</sup>.

38 The American Society for Testing and Materials International (ASTM) classifies three  
39 types of highly purified water based on specific attributes and use of the produced water  
40 – “ultra-pure”, “reagent grade”, and “bio-application grade” water. “Ultra-pure” water is  
41 defined for use in industrial applications and is characterized by a wide range of physical,  
42 chemical, and biological parameters, depending on the specific use. For example  
43 minimum resistivity ranges from 0.5 – 18.2 MΩ·cm<sup>2</sup>. Since impurities (i.e. ionic  
44 compounds) conduct electricity through water, resistivity is considered to be directly  
45 proportional to the purity of the water. “Reagent grade” water is commonly used in a  
46 variety of laboratories, with minimum resistivity of 18.0 MΩ·cm<sup>1</sup>. “Bio-application grade”  
47 water is intended for use in clinical, pharmaceutical, or biomedical applications, and has  
48 more stringent standards with respect to colony forming units (CFUs) and total organic  
49 carbon (TOC)<sup>3</sup>. ASTM standards for both reagent grade and bio-application water advise  
50 against any storage of produced water and dictate periodic monitoring of relevant water  
51 quality parameters in addition to in-line measurements.

52 Treatment processes for purified water can vary, but several technologies are commonly  
53 employed individually, or in combination, to meet the specific standards of each  
54 application<sup>1,5</sup>. Reverse osmosis (RO) uses pressure to pass water through a membrane  
55 that generally allows water molecules, but not ions, to permeate. Ion-exchange resins  
56 (IER) have an affinity for dissolved ions, removing them from the aqueous phase and  
57 replacing them with H<sup>+</sup>, OH<sup>-</sup>, or other ions. Distillation acts through boiling the water  
58 and condensing the steam to generate water with very low dissolved salts and depletion  
59 of other constituents with a higher boiling point than water itself. Ultraviolet (UV)  
60 irradiation kills or inhibits bacteria by damaging DNA and thus its ability to replicate. UV  
61 can also degrade organic carbon in low-pressure drinking water scenarios<sup>6</sup> or destroy it  
62 to less than 5 ppb in pure water applications<sup>7</sup> thus indirectly limiting subsequent  
63 microbial growth. Activated carbon filters take advantage of the vast surface area of  
64 activated carbon and its affinity for organic and non-polar chemical impurities to remove  
65 them from the water. The high surface area also makes activated carbon an ideal  
66 attachment substrate for microbial biofilms, which can in turn degrade residual organic  
67 carbon and remove other constituents, thus improving overall biostability of the water<sup>8-</sup>  
68 <sup>11</sup>. A variety of materials and pore-sizes can be employed in filtration to remove particles  
69 by sieving and other mechanisms, with ultrafiltration removing particles larger than 0.1-  
70 0.001 $\mu$ m. Recirculation is also sometimes used to limit regrowth, but few studies have  
71 specifically examined this process<sup>12</sup>. Regular disinfection of pure water systems is  
72 beneficial for reducing bacterial concentrations in product water, but levels have been  
73 observed to increase back to pre-disinfection levels within three weeks.<sup>13</sup>.

74 Survival and regrowth of bacteria is a concern in highly purified water systems just as  
75 it is in the treatment and distribution of municipal drinking water<sup>14</sup>. In highly purified  
76 water systems, the concern may be even greater as even minute levels of microbial cells  
77 can be detrimental to intended uses, such as rinsing of electrical components<sup>15</sup>. In the  
78 scientific community, consistent and high water quality is crucial for conducting  
79 reproducible and comparable experiments across laboratories<sup>16</sup>. One logical approach  
80 for limiting microbial regrowth in any water system is to minimize the availability of  
81 nutrients available for growth<sup>17</sup>. In drinking water systems, removal of assimilable  
82 organic carbon (AOC), or carbon that is readily available to bacteria for growth<sup>11,18</sup>, has  
83 gained attention as a means of limiting regrowth in continuously flowing water  
84 distribution systems. Reduction of AOC below 10 µg/L has been cited as a critical  
85 threshold for microbial control in drinking water distribution systems with little or no  
86 disinfectant<sup>19</sup>, and levels less than 100 µg/L have been recommended to control growth  
87 of bacteria with moderate levels of disinfectant<sup>20,21</sup>.

88 Remarkably, despite the stringency of the treatment methods applied and the  
89 extreme oligotrophic conditions achieved, highly purified water systems can be host to  
90 significant microbial growth<sup>15,22,23</sup>, and even pathogens like *Pseudomonas aeruginosa*<sup>24</sup>.  
91 In particular, IERs<sup>25</sup> and activated carbon<sup>9</sup> can provide suitable biofilm attachment  
92 substrate and access to organic matter. A diverse range of bacteria have been observed  
93 in highly purified water systems using both culture-based and molecular-based tools<sup>26-29</sup>.  
94 However, little is known about potential for microbial growth in laboratory grade  
95 water<sup>22,30</sup>, and the few studies that have attempted to fully characterize the microbial

96 communities observed are limited to industrial application systems<sup>26-29</sup>. Identification of  
97 the bacteria in highly purified water has traditionally used culture-based  
98 techniques<sup>26,29,31</sup>, which are particularly limited for oligotrophic microbes that are  
99 characteristic of these low-nutrient environments. Culturability with heterotrophic plate  
100 count (HPC) methods may represent as little as 0.001% for potable drinking water<sup>32</sup>.  
101 Molecular methods, which capture both the cultured and uncultured fractions of  
102 bacteria, have been used in only a limited number of the studies<sup>26-30</sup> and, to the authors'  
103 knowledge, next-generation DNA sequencing has not been reported for deep profiling of  
104 the microbial community composition of highly purified water systems.

105         The purpose of this study was to survey the microbial assemblages inhabiting a range of  
106 laboratory-grade water systems using Illumina sequencing to deeply profile 16S rRNA gene  
107 amplicons and to determine the effect of storage on microbial communities. In addition to  
108 providing insight into the microbial ecology of these extremely oligotrophic systems, the results  
109 also serve as a reference point to the practical limits of water quality that can reasonably be  
110 attained via nutrient limitations in water systems, with and without storage. The systems  
111 analyzed in this study employ the highest standards of treatment, and thus represent a best-  
112 case scenario for all oligotrophic waters. The results have important implications in light of  
113 certain emerging advanced water systems that employ costly reverse osmosis and UV  
114 treatments.

## 115 **2 Methods**

116 Two studies were undertaken to characterize the bacterial communities that colonize  
117 laboratory grade waters. First, a survey was conducted with laboratory grade water systems

118 representing a range of treatment and maintenance approaches housed in several laboratories  
119 across the Virginia Tech campus. Second, an experiment was conducted to gain insight into the  
120 biostability of a subset of waters by tracking bulk water bacterial growth during storage.

## 121 **2.1 Survey of Water Purification Systems**

122 Thirteen laboratory grade water purification systems were included in this study.  
123 Information about age and maintenance history of the systems was obtained from lab  
124 users (Table 1).

125 Systems were sampled using pre-sterilized 1 L high-density polyethylene (HDPE)  
126 Nalgene bottles with polypropylene caps, which had previously been soaked and rinsed  
127 in reagent grade water for more than 1 month. Two consecutive 1 L samples were  
128 collected from each system using the highest flow conditions possible. In order to  
129 capture the maximum possible microbial contamination, water was not intentionally  
130 flushed before sampling.

131 After sample collection, an additional 60 mL was collected for adenosine triphosphate  
132 (ATP) analysis. Samples were stabilized on site by filtering to capture cellular contents  
133 using a Quench-Gone LuminUltra (NB, Canada) syringe filter. Cells were lysed to release  
134 and preserve ATP for analysis by filtering 1 mL of UltraLyse (LuminUltra) through the  
135 syringe. Stabilized samples were maintained on ice until further analysis.

136 Water flow rates were determined at the time of sample collection by recording the  
137 time required to fill containers of pre-determined volume. Water samples were  
138 immediately placed on ice in a cooler. Upon return to lab, all samples were maintained  
139 at 4 °C until filtration, which was carried out within 12 hours of sample collection.

140 Blanks consisted of 1 L of water sterilized by autoclaving under standard conditions. Trip  
141 blanks and field blanks consisted of 1 L of laboratory grade water (Barnstead; system C-3, Table  
142 1) stored in the same type of container as the samples. This system was selected based on  
143 extensive experience with the system suggesting optimal performance and convenient access  
144 to an autoclave to minimize contamination. Field blanks were opened at each site for an  
145 equivalent duration of sample collection while trip blanks remained closed. Filter blanks were  
146 not exposed to water and were analyzed as a quality control to monitor any potential  
147 background sources of contamination from the filter, DNA extraction procedure, and laboratory  
148 manipulation.

## 149 **2.2 Time Series Study**

150 Two experiments were conducted to determine the effects of storage on microbial  
151 composition of laboratory grade water. The first one was conducted from 1/30/2013 –  
152 2/9/2013 [Time Study 1] and the second was conducted from 5/31/2013 – 7/1/2013  
153 [Time Study 2]. Time Study 1 (9 days) was carried out under exposure to ambient light in  
154 order to account for possible phototrophic effects, whereas Time Study 2 was carried  
155 out over a longer time frame (32 days) in a closed cabinet shielded from light in order to  
156 exclude phototrophy. Sacrificial samples were collected after 0, 1, 2, 3, 6, and 9 days in  
157 Time Study 1, and were collected after 0, 1, 3, 7, 14, 21, and 32 days in Time Study 2.  
158 Both were conducted in a temperature-controlled laboratory at room temperature,  
159 20°C.

160 In each experiment, nanopure water (Barnstead; system C-3, Table 1) was aliquotted  
161 into a glass Pyrex 10 L media storage bottle with screw cap that had both been acid washed and

162 sterilized via autoclaving. Water was thoroughly mixed via manual shaking then distributed into  
163 six or seven (respectively for Time Study 1 and 2) Pyrex 1 L media storage bottles with screw  
164 caps that had been acid washed and sterilized via baking at 550°C for 4 hours (glass bottles) or  
165 autoclaving (caps). Approximately 1 L was transferred into each storage bottle under sterile  
166 conditions and was subsequently tightly capped. Time 0 samples were taken immediately after  
167 distribution of all waters.

### 168 **2.3 ATP and AMP Quantification.**

169 ATP provides an indicator of viable biomass activity levels, while adenosine  
170 monophosphate (AMP) is an indicator of cell stress. ATP and AMP concentrations, and their  
171 ratios, were measured using a LuminUltra® Quench-Gone™ Aqueous Test Kit (LuminUltra).  
172 Preserved samples were analyzed according to manufacturer protocol within 12 hours to  
173 determine ATP, AMP, and the ATP:AMP index.

### 174 **2.4 Sample Concentration and DNA Extraction**

175 For each sampling event, the entire liter was sacrificed for filtration. Each sample event  
176 included a filter blank sample (analysis of the filters only). Time zero samples were  
177 collected immediately after transfer into the 1 L incubation bottles. Each storage bottle  
178 was shaken vigorously by hand in the same fashion prior to sample concentration.

179 Samples were concentrated onto 0.22 µm pore-size sterile mixed cellulose ester filters  
180 (Millipore, Billerica, MA) by vacuum filtration using sterile technique. The filter was folded and  
181 torn using sterile tweezers and transferred to a Lysing Matrix A tube provided in the FastDNA®

182 SPIN Kit (MP Biomedicals, Solon, OH). DNA extraction was conducted according to  
183 manufacturer instructions.

## 184 **2.5 Quantitative Polymerase Chain Reaction (q-PCR)**

185 All DNA samples were analyzed with quantitative polymerase chain reaction (q-PCR),  
186 which was applied to quantify bacterial 16S rRNA genes as an indicator of the level of total  
187 bacteria<sup>33</sup>. Briefly, the primers BACT1369F: CGGTGAATACGTTTCYCGG and Prok:  
188 GGWTACCTTGTTACGACTT were used with a denaturation step of 98°C for 2 minutes and 40  
189 cycles with 98°C for 5 s and 55 °C for 5 s. Blank qPCR reactions and calibration curves spanning  
190 seven orders of magnitude were included in every run. The calculated limit of quantification  
191 was 5 copies/mL based on the lowest point on the curve and assuming a 2L sample volume for  
192 DNA extraction. Q-PCR was carried out using a CFX96™ Realtime system (Bio-Rad, Hercules,  
193 CA). Q-PCR assays were previously validated for drinking water samples in terms of specificity  
194 and limit of quantification<sup>34</sup>. Previous tests (data not shown) indicated that a 1:10 dilution was  
195 appropriate for dilution of potential inhibitors and consistent quantification of highly purified  
196 water samples.

## 197 **2.6 Illumina Sequencing of 16S rRNA Gene Amplicons.**

198 Illumina amplicon sequencing was applied to a subset of samples to characterize the  
199 compositions of the microbial assemblages of the water systems. Bacterial and Archaeal 16S  
200 rRNA genes were amplified with barcoded primers 515F/806R<sup>35</sup> using published protocols<sup>36</sup>. In  
201 order to normalize depth of reads/sample, 20 ng of DNA of each amplification product were  
202 mixed according to quantification using the Qubit® ds DNA HS Assay Kit (Invitrogen™) and

203 Qubit<sup>®</sup> 2.0 Fluorometer. Combined PCR products were cleaned using QIAGEN PCR Purification  
204 Kit. Sequencing was performed on an Illumina Miseq<sup>®</sup> benchtop sequencer using paired-end  
205 250 bp protocol by the Virginia Bioinformatics Institute (Blacksburg, VA).

## 206 **2.7 Statistical Methods and Data Analysis**

207 Statistical analysis for quantitative measures was performed using JMP (SAS, Cary, NC)  
208 and R (<http://www.r-project.org/>). In order to appropriately compare blanks to samples, all q-  
209 PCR data was normalized to two liters, assuming that the volume of the samples was common  
210 to that of both the water and filter blanks. Given that data were not normally distributed, non-  
211 parametric tests including the Mann-Whitney U Test (Willcox) and the Kruskal Wallis Test were  
212 used to compare means of groups for q-PCR data. Least-squared regression was applied to  
213 determine correlations. Significance was set at  $\alpha=0.05$ .

214 Sequence reads were contigued using PAired-eND Assembler for DNA Sequences  
215 (PandaSeq)<sup>37</sup>. QIIME (Quantitative Insights Into Microbial Ecology) was used as a pipeline for  
216 sequence analysis. Operational Taxonomical Units (OTUs) were assigned using uclust<sup>38</sup> based on  
217 97% similarity to the Greengenes database<sup>39</sup>. Weighted and unweighted Unifrac<sup>40</sup> distance was  
218 computed between all samples using an equal sampling depth of 11,000 sequences/sample.  
219 Unweighted Unifrac distances are constructed based on which unique OTUs are present,  
220 whereas weighted Unifrac distance also takes into consideration the abundance of each OTU. A  
221 smaller distance indicates that communities are more similar and composed of more closely  
222 related taxonomical OTUs. These distances were employed for multidimensional scaling (MDS)  
223 and analysis of similarity (ANOSIM) as implemented in Primer-E software (Plymouth, United  
224 Kingdom). ANOSIM produces global R values which range from 0 to 1, with 1 indicating that

225 samples within the group are more similar to each other than any samples outside the group<sup>41</sup>.  
226 Bootstrapped jackknife trees were produced in QIIME using Unifrac distances.

## 227 **3 Results**

### 228 **3.1 Survey of Water Purification Systems**

229 The treatment, maintenance, and operating conditions of the thirteen laboratory grade  
230 systems included in this survey are described in Table 1. All samples were collected  
231 within a three day period in December 2012 [mean outdoor temperatures 51-57°F (11-  
232 14° C)].

233 The systems represented a range of treatment and maintenance conditions, ages of  
234 systems, and quality of feed water. Yet, similar in-line resistivity readings were noted  
235 across most of the systems (mean 18.24 MΩ·cm, 95% CI [18.02 - 18.46 MΩ·cm], outliers  
236 C-1, B-4, A-3; N=12). Quantification of 16S rRNA genes suggested measurable levels of  
237 bacteria (Figure 1) even when systems had final UV treatments designed to remove  
238 organic carbon and disinfect the water at the point of use. Although the average for all  
239 blanks together was lower than that of samples ( $p = 0.03$ , Wilcox), that of particular  
240 blank types exposed to water did not vary significantly from the samples (for trip blanks  
241 –  $p=0.0572$ , for field blanks –  $p = 0.9$ ; Wilcox). The average across all samples and across  
242 field blanks were nearly equal. Notably, samples were capped immediately after  
243 sampling and remained closed until analyzed, whereas field blanks were opened as  
244 much as 6 times in a day. Trip blanks remained tightly capped throughout the sampling  
245 day. Both field and trip blanks were originally collected at the same time from the same  
246 system (C-3), autoclaved prior to the experiment, and subject to the same holding times

247 and temperature shifts during sampling. Filter blanks (filter only- no contact with water)  
248 yielded significantly lower concentrations of 16S rRNA when compared to all other  
249 samples, which were exposed to either 1 L (blanks) or 2 L (all sample locations) of  
250 laboratory grade water ( $p = 0.0026$ , Wilcox).

251 Most samples were characterized by very low levels of ATP, in the range of  $< 0.5$  pg/mL,  
252 which assay manufacturers describe as indicative of “good” microbial control for drinking water  
253 (Figure 2A). However, three samples, all collected on the same day, were in the range of 0.5 -10  
254 pg/mL which is indicative of “preventative measures needed”. As all of the high values were  
255 collected on the same day, it is possible that this could be due to systematic error in ATP  
256 measurements on that particular day. In contrast to the ATP data, sampling days were not  
257 significantly different for q-PCR data ( $p = 0.86$ , Kruskal Wallis). The AMP Index was above 3.0 for  
258 all samples, which assay manufacturers describe as “lethal stress” (Figure 2B). Neither ATP nor  
259 AMP correlated with 16S rRNA gene measurements ( $p > 0.05$ , Least Squared Regression).

### 260 **3.2 Effect of Storage on Levels of Bacterial Gene Markers**

261 A 2-log increase in 16S rRNA genes was observed within about 10 days in both the Time Study 1  
262 (10 days) and Time Study 2 (32 days) storage experiments (Figure 3). In Time Study 2, the  
263 concentration of 16S rRNA genes stabilized within  $\pm 1$ -log by the final 2 weeks of the  
264 experiment.

### 265 **3.3 Comparison of the Microbial Assemblages**

266 A cross section of samples ( $n=19$ ) were selected for microbial profiling by Illumina  
267 sequencing of 16S rRNA gene amplicons. From the field survey, 5 of 13 water

268 purification systems (A-1, A-2, A-5, C-1, and C-3) and all three types of blanks from the  
269 two days encompassed by those samples were subject to amplicon sequencing. From  
270 Time Study 1, samples from Day 0, 6 and 9 were selected. From Time Study 2, samples  
271 from Day 0, 7, 14, 21 and 32 were selected.

272 Samples from all studies were pooled together for ANOSIM analysis, which  
273 demonstrated that the storage time was a significant factor driving the microbial  
274 community structure ( $R = 0.646$ ,  $p = 0.001$ , ANOSIM). The strongest difference was  
275 observed between samples aged 0-1 days and those aged more than 8 days ( $R = 0.836$ ,  $p$   
276  $= 0.001$ , ANOSIM). A two dimensional MDS plot (Figure 4) illustrates the shift in  
277 composition of the microbial assemblages that took place as the water aged. The  
278 microbial composition did not cluster based on the kind of water purification system  
279 that the field samples were collected from, i.e. distinct clusters were not apparent.  
280 Blanks clustered closely with the samples that were not subject to storage and none of  
281 the three types of blanks (trip, field, and filter) could be differentiated from the samples  
282 ( $R = -0.012$ ,  $p = 0.51$ , ANOSIM). A distinct cluster was apparent between samples aged  
283 six and seven days in Time Study 1 and Time Study 2, respectively. This suggests that the  
284 composition of microbial assemblages converge as water ages, regardless of the source  
285 of the water and despite differences in experimental set-up (i.e., shielding from light in  
286 Time Study 2).

287 An unweighted UniFrac analysis, which does not take into consideration the relative  
288 abundance of each new OTU, produced similar trends, although clustering was generally  
289 weaker when subject to MDS and ANOSIM analysis. As with the weighted analysis discussed

290 above, age of sample was a significant factor driving the kinds of microbes detected ( $R = 0.55$ ,  $p$   
291  $= 0.002$ , ANOSIM), and all three types of blanks were not distinct from samples also aged 0 days  
292 ( $R = -0.012$ ,  $p = 0.75$ , ANOSIM). Based on MDS (Figure 5) analysis, clustering distances were  
293 greater than with weighted UniFrac analysis, indicating that abundant species, rather than rare  
294 species, were particularly important in defining community differences. Jack-knife clustering  
295 (Figures S1 and S2) also indicated greater distinction as a function of water age with weighted,  
296 rather than unweighted, analysis, further indicating that abundance and growth were a critical  
297 factor in the differences observed among the microbial assemblages.

### 298 **3.4 Composition of the Microbial Assemblages**

299 Analysis of the 16S rRNA gene amplicon sequences indicated clear shifts in the overall  
300 compositions of the microbial assemblages during water storage (Figure 6). Through  
301 taxonomic analysis of the DNA sequences, it was possible to identify which groups of  
302 bacteria were associated with the genetic material detected and estimate how the  
303 populations shifted during water storage. In particular, Proteobacteria, especially Alpha  
304 Proteobacteria and Beta Proteobacteria, tended to dominate with greater storage time.  
305 The phyla with the highest abundance across most samples included Firmicutes,  
306 Bacteroidetes, Actinobacteria and Proteobacteria. Actinobacteria, Firmicutes and  
307 Bacteroidetes were all detected in greater relative abundance in the samples that were  
308 not subject to storage, including samples collected from the field survey of water  
309 systems and blank samples, than samples with greater storage time. Overall, a surprising  
310 diversity was suggested, even in filter-blank samples that were not exposed to water.

311 Of Actinobacteria, *Mycobacteria* 16S rRNA gene sequences were found in all samples,  
312 and were highest in relative abundance in the systems A-5 (3.1%), C-1 (3.8%), and Time  
313 Study 2 Day 0 (2.7%). In Time Study 2, the relative prevalence of *Mycobacteria* appeared  
314 to decrease with time, with Day 7 (1.9% of amplicons) to a low on Day 21 (0.08% of  
315 amplicons). Prevalence of *Mycobacteria* also decreased with storage time in Time Study  
316 1. Within Firmicutes, both Clostridia and Bacilli were detected among the amplicon  
317 sequences. The most common taxa detected within Bacteroidetes was  
318 Chitinophagaceae.

319 The candidate phylum TM6 was ubiquitous to all samples, including filter blanks,  
320 although on average it made up only 0.2% of the amplicon pool across samples. It was at  
321 highest concentration on Day 6 of the Time Study 1 (subject to light exposure) (1.2% of  
322 amplicons).

323 Cyanobacteria were found in all samples and were in highest relative abundance  
324 (3.8% of amplicons) in the A-5 system. In Time Study 1, they were found in highest  
325 abundance in the Time 0 sample. Clade MLE1-12 was identified in 17 of 19 samples,  
326 including blanks. Of the phylum Chloroflexi, the greatest relative abundance of  
327 phototrophic OTUs (1.2%) was found in the Filter Blank\_12.3 sample.

328 Nitrifying bacteria were sporadically found in low relative abundances. *Nitrospira* was  
329 found with the greatest relative abundance in Field Blank\_12.3 (0.6%) and  
330 Nitrosomonadaceae were found in greatest abundance in Time Study 2, Day 0 (1.2%).  
331 Proteobacteria were detected in greater relative abundance in samples with greater  
332 storage time. Alpha- Beta- and Gamma- Proteobacteria were the most prevalent classes.

333 Gamma Proteobacteria encompass many pathogens including *Legionella*, which was  
334 detected in this study at the genus level in two samples with only 1 OTU/sample.  
335 Gamma Proteobacteria became a less significant class with greater storage time. The  
336 relative dominance of Alpha- and Beta-Proteobacteria in relation to each other varies  
337 over time (Figure 6).

338 Alpha Proteobacteria detected in laboratory grade water systems was dominated by  
339 the genus *Bradyrhizobium* within the family *Bradyrhizobiaceae* and the class Rhizobiales.  
340 *Bradyrhizobium* accounted for up to 90% of OTUs detected in samples collected at Day  
341 14 and Day 32 of Time Study 2, as well as 55% of OTUs detected in samples collected on  
342 Day 9 of Time Study 1.

343 Among Beta Proteobacteria, the order Burkholderiales dominated and was highly  
344 variable. Within this order, the *Ralstonia* genus within the *Oxalobacteraceae* family and an  
345 unidentified genus in the *Comamonadaceae* family dominated. The *Comamonadaceae* family  
346 dominated in samples allowed to stagnate for longer periods of time, accounting for 60% and  
347 57% of OTUs detected in samples collected on Day 7 and 21 of Time Study 2, and 75% and 41%  
348 of OTUs detected in samples collected on Day 6 and 9 of Time Study 1. *Ralstonia* accounted for  
349 60% of OTUs detected in the initial sample for Time Study 1.

## 350 **4 Discussion**

### 351 **4.1 Comparison of Microbial Assemblage Composition of Various Water**

#### 352 **Purification Systems**

353 All of the systems analyzed in this study were used for similar applications and all were  
354 advertised to provide Type 1 reagent grade water or better. Resistivity readings were

355 generally above 18.0 MΩ·cm (Table 1), indicating acceptable quality according to  
356 standard criteria. ATP readings also indicated reasonable water quality and that  
357 surviving cells were under “lethal stress,” suggesting that the biomass that was present  
358 was not initially thriving. This is expected, as nutrient limitation and ultrafiltration in  
359 highly purified water treatment systems are likely to place high stress on any surviving  
360 bacteria.

361 Despite these positive indications of water quality, 16S rRNA genes were still detected  
362 in all samples. As DNA detection methods cannot differentiate between live and dead  
363 cells, detection of 16S rRNA does not necessarily indicate that systems were  
364 contaminated with live bacteria. Autoclaved water samples still yielded detectable  
365 signal, possibly as a result of intact DNA released from killed cells. All samples exposed  
366 to water yielded higher concentrations of 16S rRNA genes than filter blanks, suggesting  
367 that DNA contamination persists in many types of laboratory grade waters and that the  
368 source of all DNA contamination was neither the filter itself nor the filtering and DNA  
369 extraction process. Field blanks, which were opened throughout the day at each  
370 sampling location, yielded 16S rRNA gene concentrations that were higher than trip  
371 blanks, which were not opened throughout the day, but similar to that of the samples.  
372 Given that system samples were capped immediately after collection, this suggests that  
373 the process of opening the bottles for sampling contributes to bacterial contamination.  
374 All detected concentrations of DNA were considerably lower than that of the local  
375 municipal tap water that fed the systems, which was previously reported to range from  
376  $10^2$  to  $10^6$  gene copies/mL, with an average of about  $10^4$  gene copies/mL, using the same

377 quantification methods<sup>34</sup>. Thus, purification methods did succeed in reducing bacterial  
378 contamination compared with the source tap water, which contained disinfectant  
379 residual of ~2 mg/L chloramine. These samples were taken during an unseasonably  
380 warm winter, which is noted here since season commonly has an effect on drinking  
381 water<sup>42</sup>. However, there is no evidence that season had a major influence in the present  
382 study.

383 The lack of clustering of microbial communities by any particular identifier amongst  
384 samples from different systems indicates that the particular treatment train in a  
385 laboratory grade water system is not a fundamental factor driving microbial community  
386 composition. Rather, the community may be dictated by the common source water. It is  
387 possible that the entire distribution system is to an extent governed by filtration at the  
388 drinking water treatment plant<sup>43</sup> or the disinfectant used<sup>44</sup>, as reported by others. The  
389 similar background chemistry of the water is also a likely factor shaping the microbial  
390 assemblages<sup>45</sup>. It is also possible that all the systems analyzed provided a similar level of  
391 stress as indicated by the similar resistivity readings, thus selecting for similar  
392 communities.

393 In addition, microbial assemblage compositions of the different systems did not differ  
394 greatly from blanks, which were either autoclaved (field and trip blanks) or not exposed to  
395 water (filter blanks). Thus, the DNA sequences detected may also represent microbes  
396 ubiquitous to the “sterile” environment, and thus a bias to consider in the profiling of microbial  
397 communities from samples with relatively low DNA yields. Much of the detected community  
398 diversity in blanks and samples prior to incubation could also be an artifact of DNA extraction

399 kits, as explored by Salter et al.<sup>46</sup>. However, while it is true that some or most of the DNA  
400 amplicons detected across this study may have represented non-living microbes or  
401 contamination, some portion must have been viable based on the responsive growth observed  
402 when the waters were incubated over time in Time Study 1 and Time Study 2. Live bacteria are  
403 also a clear possibility in highly purified water systems, as others have observed total coliforms  
404 at the effluent of a 10,000 L/day purification system reached 27 or more CFU/100mL<sup>31</sup>.

## 405 **4.2 Comparison with Other Potable Waters and Putative Functional** 406 **Capabilities**

407 The predominant phyla detected were similar to those in drinking water systems in the  
408 U.S. as reported based on sampling of 17 drinking water distribution systems<sup>45</sup>, and  
409 drinking water in China<sup>47</sup>, though the exact compositions and relative abundances differ.  
410 Proteobacteria are metabolically diverse and dominated in both of these prior drinking  
411 water studies (35% and 47% respectively), as well as the present study (minimum in a  
412 sample 42%). However, in the prior drinking water studies, Cyanobacteria was a major  
413 contributor, comprising 29% and 11% of DNA sequences across all samples in each  
414 study, respectively. While Cyanobacteria were also found in the present study, it was in  
415 lower relative abundance (maximum 3.8% in one sample).

416 The OTUs identified were also similar to those reported in other highly purified  
417 waters and reagents. Both *Ralstonia* and *Bradyrhizobium* were isolated from several  
418 industrial ultra pure water systems<sup>28,29</sup>, and *Bradyrhizobium* was isolated from a  
419 pharmaceutical water<sup>27</sup>. *Bradyrhizobium*, Chitinophagaceae, and Comomonadaceae

420 were also found in contamination from the laboratory and reagents in DNA extraction  
421 kits<sup>46</sup>. While their ubiquity across these low-biomass systems could be attributed to DNA  
422 extraction bias, their growth indicates that these taxa thrive in the oligotrophic drinking  
423 water environment.

424 Actinobacteria are Gram positive and play an important role in carbon recycling. Thus  
425 it is not surprising that *Mycobacteria*, extremely slow-growing oligotrophic bacteria  
426 commonly found in drinking water<sup>45,48</sup>, were ubiquitous in these highly purified water  
427 samples. Some mycobacteria from drinking water are associated with disease<sup>49</sup>, but the  
428 resolution of the methodology applied in this study did not allow for identification of  
429 pathogenic species.

430 Firmicutes are known to produce endospores, which may account for their survival  
431 through rigorous treatment processes. Primarily anaerobes (i.e., Clostridia and Bacilli)  
432 were detected. The family Chitinophagaceae within Bacteroidetes has been identified as  
433 surviving within free living amoeba in drinking water<sup>50</sup>. This, along with the presence of  
434 other taxa that are known to infect amoebae in drinking water (including *Bacillus*,  
435 *Ralstonia*, *Mycobacterium*, *Lactococcus*, and *Legionella*)<sup>50</sup> may indicate that amoeba play  
436 an important role in the survival and growth of bacteria in highly purified water.

437 The phylum TM6 is proposed as a symbiont of an unknown organism and it has been  
438 recovered from sinks in hospitals and several other drinking water related biofilms<sup>51</sup>. It  
439 was also a frequently detected phylum based on RNA analysis of both bulk water and  
440 biofilms in a drinking water system in Germany<sup>52</sup>. Further investigation into the phylum  
441 may be of importance to controlling oligotrophic bacteria.

442 Cyanobacteria are generally thought to be phototrophic bacteria, but have also been  
443 detected in municipal drinking water samples shielded from light<sup>45</sup>. The relative  
444 abundance of Cyanobacteria decreased with storage time. Of the other phototrophic  
445 Phyla, Chloroflexi, some of which were reported to be anaerobic<sup>53</sup>, and Chlorobi, were  
446 found only sporadically and were not detected in Time Study 1, which was exposed to  
447 ambient light during storage. Thus phototrophy did not likely contribute measurably to  
448 the observed growth. The clade MLE1-12 was nearly ubiquitous and has also been  
449 identified in drinking water distribution systems<sup>45</sup> and pharmaceutical wastewater<sup>54</sup>,  
450 both of which are typically not exposed to light. Thus, the clade may not truly be  
451 phototrophic, although it is a member of the Cyanobacteria Phylum.

452 The presence of ammonia oxidizers, nitrifiers and denitrifiers suggests that the  
453 nitrogen cycle may play an important role in nutrient-limited purified water  
454 environment. Besides the previously mentioned Nitrospira, a nitrite oxidizer, and  
455 Nitrosomonadaceae, a group of ammonia oxidizers, some species of the genus *Ralstonia*  
456 are associated with opportunistic pathogens and denitrification<sup>55</sup>. DNA of ammonia  
457 oxidizers could also be an artifact of the use of chloramination for secondary disinfection  
458 in source tap water.

459 Proteobacteria appeared to be the primary drivers of growth in both time studies. These  
460 were able to proliferate in extremely oligotrophic environments, perhaps due to the Phylum's  
461 wide variety of available metabolisms. Those that most effectively proliferated include the  
462 *Bradyrhizobium* genus and the *Comamonadaceae* family. Their roles in nitrogen fixation and H<sub>2</sub>  
463 oxidation may play an important role in oligotrophic bacterial growth. *Bradyrhizobium* is

464 commonly associated with nitrogen fixation in soils, and has previously been found in several  
465 ultra-pure water systems<sup>26-28</sup>. It is also associated with free living amoeba in drinking water<sup>50</sup>.  
466 The *Comamondaceae* family is associated with H<sub>2</sub> oxidation<sup>56</sup>.

#### 467 **4.3 Implications for Municipal Water Treatment and Delivery.**

468 The increase in concentration of 16S rRNA genes collected over time from previously  
469 sterilized glass containers is suggestive of regrowth. The experiment was intended to  
470 identify the minimum possible proliferation likely in storage situations. As this study  
471 implemented pre-sterilized and baked labware, aseptic sample collection techniques,  
472 and focus on the bulk water rather than biofilm, it is likely that bacterial proliferation is  
473 even higher under typical storage conditions where such precautions are not taken.  
474 Similar growth occurred under both light and dark conditions, indicating that  
475 phototrophic effects are not likely the driving factor.

476 This study may have implications for use of laboratory grade water as controls in  
477 laboratories. Although laboratory grade water used directly after production will only  
478 cause a minimal q-PCR increase, storage of the same water for as little as 48 hours may  
479 give as much as a 2-3 log increase in 16S rRNA genes detected and may not be adequate  
480 for comparison to experimental samples, especially if samples have inherently low DNA  
481 concentrations (i.e., drinking water experiments).

482 The kinds of microbes detected and their relative abundances were most profoundly  
483 affected by stagnation times. As differences in microbial assemblage compositions were  
484 more pronounced when abundance was taken into account (weighted), this may  
485 indicate that certain subsets of the bacteria present in the systems were especially

486 prone to survive and thrive in the bulk of laboratory grade water. Samples collected on  
487 days six and seven from the two independent time experiments clustered closely  
488 together, indicating that the bacteria subject to re-growth in both experiments may  
489 have had similarly slow growth times, even with a difference in incubation conditions  
490 (light and dark).

491 Results from the storage tests also have important implications for nutrient  
492 limitations as a strategy for the control of bacterial regrowth in municipal waters. Under  
493 conditions engineered to minimize all nutrients including nitrogen, phosphorus,  
494 potassium and organic matter, including UV destruction of TOC (typically 2 ppb) and  
495 sterilization, the lowest level of bacterial growth achievable in bulk water after 10 days  
496 was 3 log 16S rRNA gene copies/mL. Assuming 5 16S rRNA gene copies per bacterial  
497 cell<sup>57</sup>, the number of cells is estimated to be in the range of 2-3 logs/mL. Such stringent  
498 treatment approaches are not generally practical for municipal water systems, and even  
499 if implemented it is extremely difficult to maintain such low levels of nutrient levels in  
500 the distribution system, and even more so in building plumbing. At the end of drinking  
501 water distribution lines, and especially within buildings, stagnation cannot be avoided.  
502 Water age also increases when water-saving devices are used, further contributing to  
503 water quality issues<sup>58</sup>. Stagnation of drinking water has previously been linked with  
504 changes in bacterial quantification and community composition in drinking water  
505 distributions systems<sup>34,59</sup>. Stagnation of drinking water in Switzerland overnight resulted  
506 in a 2-3 fold increase in cell concentrations measured by flow-cytometry, and a change  
507 in microbial composition according to denaturing gradient gel electrophoresis<sup>59</sup>. Even in

508 systems providing a chloramine disinfectant residual, stagnation in the home resulted in  
509 significant increases in concentrations of genes of several organisms of concern<sup>34</sup>.  
510 Stagnation in distillation systems in hospitals supported growth of the opportunistic  
511 pathogen *Pseudomonas aeruginosa*<sup>24</sup>. This study reaffirms that total prevention of  
512 growth as water ages in a distribution system and in buildings is not plausible, as it  
513 occurs even with minimal supply of nutrients and maximized cell stress. Thus, nutrient  
514 limitation as a sole strategy for microbial control in distributed drinking water as it ages  
515 will have limited effectiveness, especially considering accumulation/concentration of  
516 nutrients and biomass in biofilms in ultrapure and potable water systems<sup>18,22,25,32</sup>.

## 517 **5 Conclusions**

518 Surveys of the water purification systems resulted in detection of a surprising array of bacterial  
519 16S rRNA gene sequences. A portion of bacteria were alive and active, growing up to two logs  
520 during storage of as little as ten days, even under sterile set-up and dark incubation conditions.  
521 A shift in the microbial assemblage composition after about one week indicated that the  
522 Proteobacteria phylum was a key player in the regrowth occurring in this extremely oligotrophic  
523 environment. Nitrogen fixing (*Bradyrhizobium*) and H<sub>2</sub> oxidizing (*Comamonadaceae*) bacteria  
524 were particularly dominant in highly purified water allowed to grow in storage for extended  
525 time periods.

## 526 **6 Acknowledgements**

527 This study was funded by the US National Science Foundation (NSF) [CBET award 1336650], The  
528 Alfred P. Sloan Foundation – Microbiology of the Built Environment Program, and the Virginia

529 Tech Institute for Critical Technology and Applied Science (ICTAS). The authors also thank the  
530 Lisa Belden laboratory at Virginia Tech for assistance in DNA sequence analysis. The findings do  
531 not represent the views of the sponsors.

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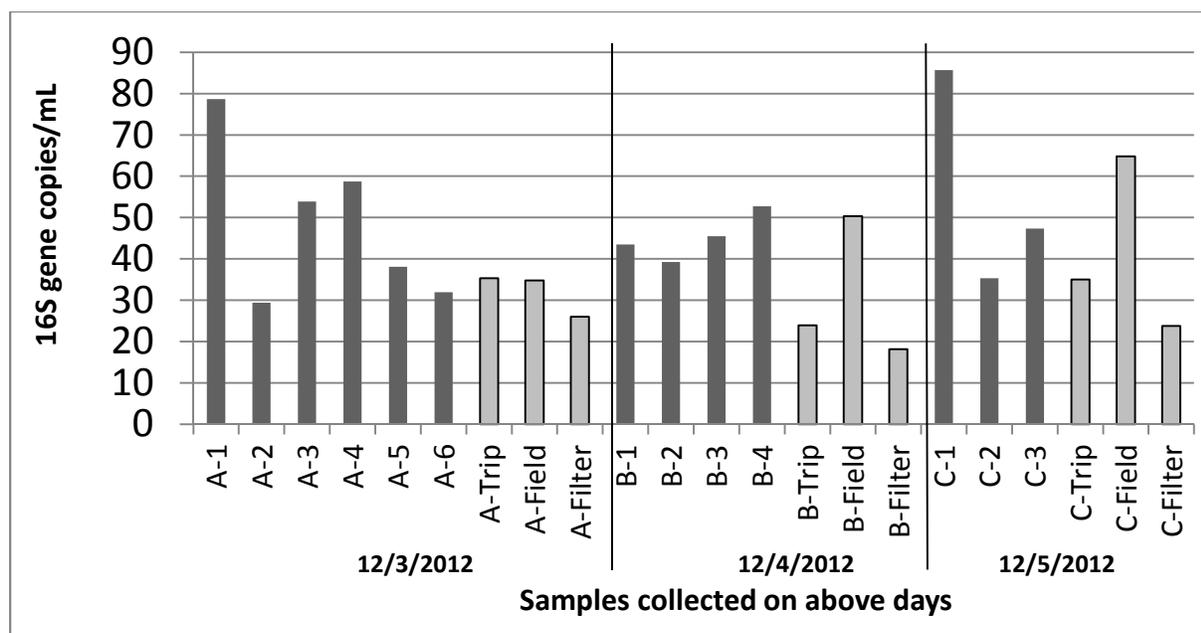
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- 651

652 **TABLE 1.** Specifications for Survey of Water Purification Systems. An X indicates presence of  
 653 each particular treatment technology.

System Code Name	Flow Rate (L/min)	In-line Resistivity Reading (M $\Omega$ ·cm)	Components of System/ Feed water*							Frequency of maintenance/ time since last maintenance
			Pre-filter	RO	DI	IER	GAC	UF	UV	
<i>Collected 12.3.12</i>										
A-1	0.96	18.2				X	X	X	X	1.5 years
A-2	1.16	18.2						X	X	2 mo.
A-3	1.13	18.0	X	X				X		2 mo.
A-4	1.61	18.2			X			X		After malfunction
A-5	1.62	N/A			X			X		6 mo.
A-6	0.82	18.3			X			X		6 mo.
<i>Collected 12.4.12</i>										
B-1	1.89	18.2						X	X	1 mo.
B-2	1.76	18.07						X		4 mo.
B-3	1.10	18.2	X	X					X	6mo.
B-4	1.01	19.2		X				X	X	6 mo.
<i>Collected 12.5.12</i>										
C-1	2.25	17.7			X			X		5.5 years
C-2	0.29	18.3						X		2 years
C-3	1.67	18.32			X		X	X	X	6 mo.
*RO = Reverse Osmosis; DI = De-ionized; IER = ion-exchange resin; GAC = granular activated carbon; UF = ultrafiltration; UV = Sterilization with UV light										

654

655 **Figures:**



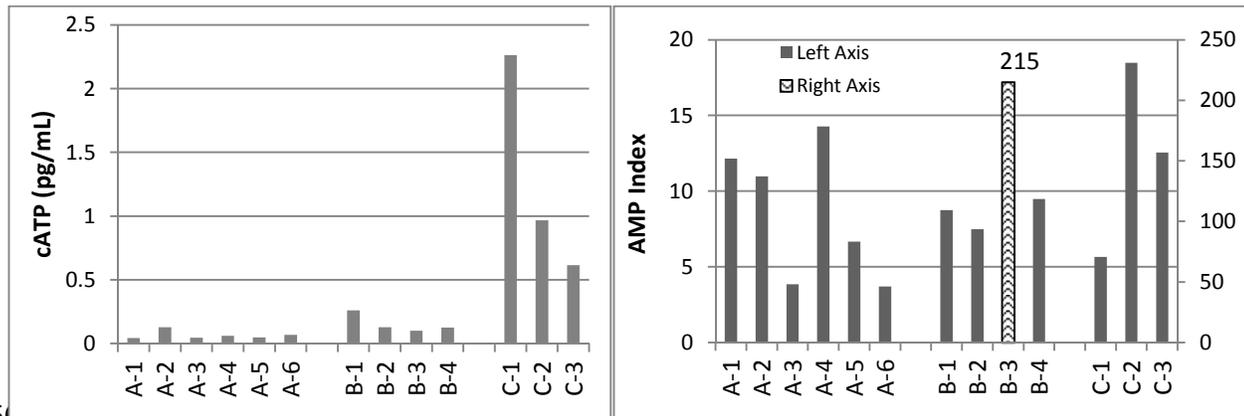
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657 **Figure 1.** Concentration of 16S rRNA genes [gene copies/mL] in 2 L samples of pure water collected from  
 658 a range of systems over a three day period and the corresponding blanks for each day. Trip blanks and  
 659 field blanks consisted of 1 L of autoclaved pure water collected from system C-3 and subject to  
 660 equivalent storage conditions during sampling. Field blanks were opened at each site, trip blanks were  
 661 not. Filter blanks were not exposed to any water. For each bar, n=1, as average of q-PCR analytical  
 662 triplicates.

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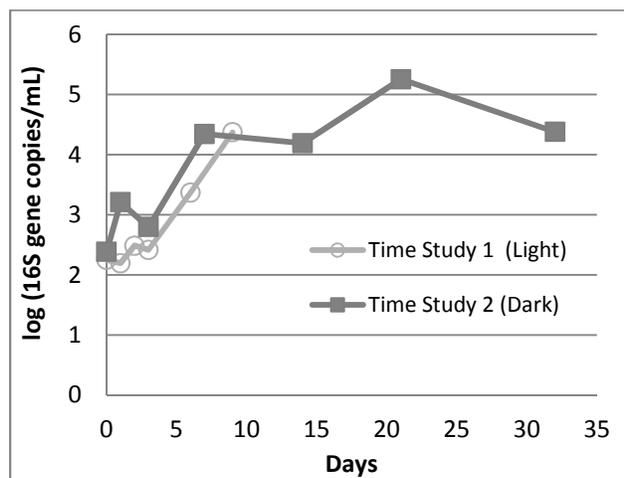
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668 **Figure 2.** A) Concentration of adenosine tri-phosphate (ATP) in various pure water systems (n=1 for each  
669 bar). B) AMP Index, the ratio between measured ATP and adenosine mono-phosphate (AMP) in various  
670 pure water systems (n=1 for each bar).

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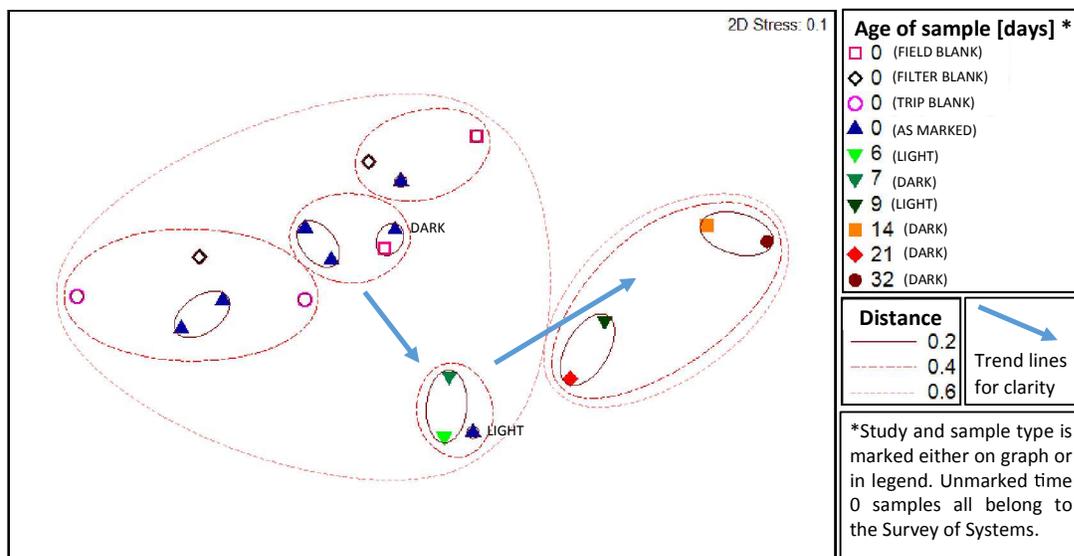


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675

676 **Figure 3.** Log (16S rRNA gene copies) detected by q-PCR in two time storage studies of nano-  
677 pure water from the same system (C-3). In both studies, water was stored in sterilized 1 L glass  
678 containers at room temperature after homogenization of all samples for each study. All growth  
679 conditions were similar between Time Study 1 and Time Study 2, with the exception of light  
680 exposure and the time frame/season. Time Study 1 was conducted in winter and Time Study 2  
681 was conducted in spring (n=1 for each time point).

682



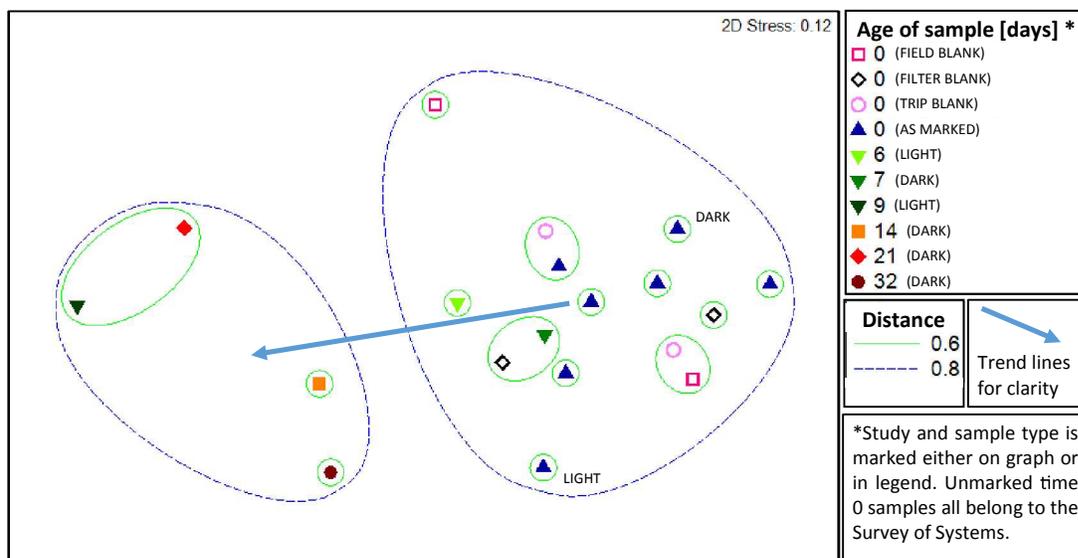
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685 **Figure 4.** Comparison of microbial assemblage composition in highly purified water and their  
 686 shifts during storage according to multi-dimensional scaling (MDS) of weighted Unifrac distance  
 687 matrices. Select samples from two distinct time studies in both light (Time Study 1) and dark  
 688 (Time Study 2) conditions are represented. Additional time 0 samples from five additional  
 689 systems and blanks are from the field survey of pure water systems. A smaller distance  
 690 between samples indicates greater similarity, i.e. samples within a circle marked 0.2 are more  
 691 similar than those in a circle marked 0.6. The relative abundance of unique OTUs is taken into  
 692 consideration in this weighted analysis.

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697 **Figure 5.** Multi-dimensional scaling (MDS) of an unweighted Unifrac distance analysis. A smaller

698 distance between samples indicates greater similarity. The abundance of unique OTUs is not

699 taken into consideration in this unweighted analysis. Select samples from two distinct time

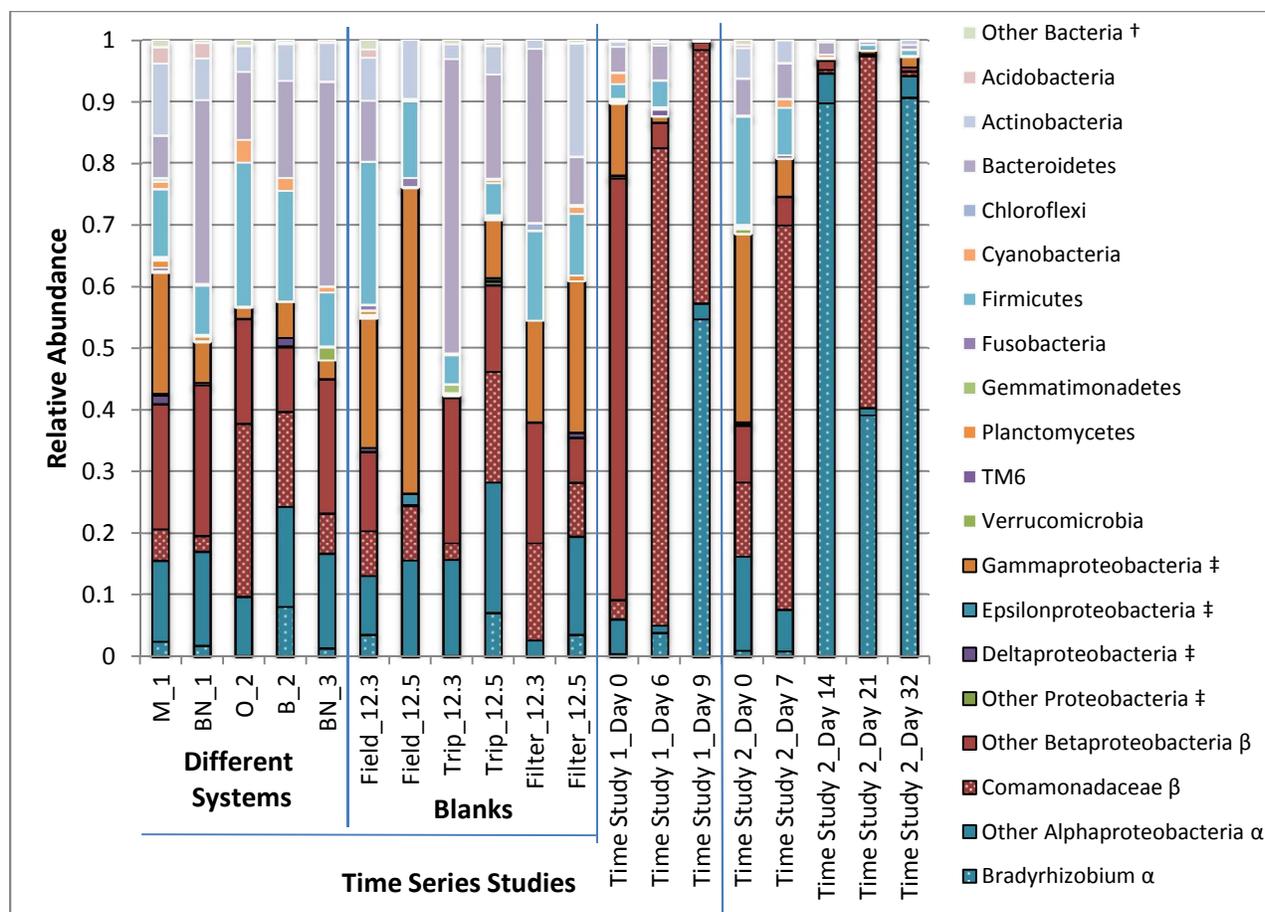
700 studies under both light (Time Study 1) and dark (Time Study 2) conditions are represented.

701 Additional time 0 samples from five additional systems and blanks are from the field survey of

702 pure water systems. A smaller distance between samples indicates greater similarity, i.e.

703 samples within a circle marked 0.2 are more similar than those in a circle marked 0.6.

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707 **Figure 6.** Comparison of the relative abundance of the Phyla detected in pure water samples. Select  
 708 samples from two distinct time studies in both light (Time Study 1) and dark (Time Study 2) conditions  
 709 are represented, as well as samples from several pure water systems and blanks collected during that  
 710 survey campaign. Taxa separated by phylum unless otherwise marked. †Other bacteria includes all  
 711 phyla that contributed to less than 1% of all samples. ‡Proteobacteria subdivided into classes (Alpha-,  
 712 Beta-, Gamma-, Delta-, Epsilon- proteobacteria and other). β Betaproteobacteria further divided into  
 713 the family Comamonadaceae and other. α Alphaproteobacteria further divided into the genus  
 714 *Bradyrhizobium* and other.

715