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## Glitter in 2D monolayer

*Dedicated to Prof. Jack Simons on the occasion of his 70<sup>th</sup> birthday*

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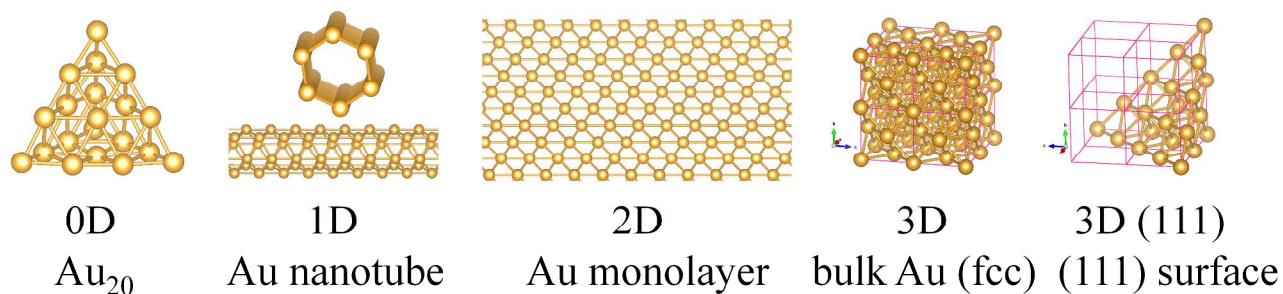
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**Abstract:** We predict a highly stable and robust atomically thin gold monolayer with hexagonal close packed lattice stabilized by metallic bonding with contributions from strong relativistic effects and aurophilic interactions. We have shown that the framework of the Au monolayer can survive 10 ps MD annealing simulations up to 1400 K. The framework is also able to survive large motions out of the plane. Due to the smaller number of bonds per atom in the 2D layer compared to the 3D bulk observe significantly enhanced energy per bond (0.94 vs. 0.52 eV / bond). This is similar to the increase in bond strength going from 3D diamond to 2D graphene. It is a non-magnetic metal, and was found to be the global minima in the 2D space. Phonon dispersion calculations demonstrate high kinetic stability with no negative modes. This 2D gold monolayer corresponds to the top monolayer of the bulk Au(111) face-centered cubic lattice. The close-packed lattice maximizes the aurophilic interactions. We find that the electrons are completely delocalized in the plane and behave as a 2D nearly free electron gas. We hope that the present work can inspire the experimental fabrication of novel free standing 2D metal systems.

## I. Introduction

Gold has played a special role in human society throughout history. This unique role is closely related to its unusual resistance to oxidation, and also its exceptional stability during chemical reactions, extreme pressures, and elevated temperatures.<sup>[1]</sup> The exceptional stability of bulk gold is mainly ascribed to its strong relativistic effect<sup>[2]</sup> and so-called aurophilic attractions.<sup>[3]</sup> As one of the noblest of all metals, unlike other transition metal catalysts, gold had long been viewed as catalytically inactive. While bulk gold is known as the most chemically inert metal in the periodic table, nanometer-sized gold particles are exceptionally active as catalysts in a wide range of chemical transformations.<sup>[4]</sup> It has been shown that the catalytic properties of gold nanoparticles are sensitive to many factors, including the nature of the substrate, and the size, shape, and charge state of the gold particles.<sup>[4-5]</sup> Furthermore, some intriguing and surprising structural motifs have been discovered for gold clusters, such as planar structures up to  $\text{Au}_{12}^-$ ,<sup>[6]</sup> the hollow golden cage  $\text{Au}_n^-$  ( $n=16-18$ ),<sup>[7]</sup> the golden pyramid  $\text{Au}_{20}^-$ ,<sup>[8]</sup> and the non-icosahedral low-symmetry  $\text{Au}_{55}^-$ .<sup>[9]</sup> Considering the dramatic and surprising differences in gold chemistry between the bulk and nanoscale phases, one can see that the quantum effects, dimensionality effects, and size and shape effects are especially significant for gold. Furthermore, novel forms of gold nanostructures, including helical multi-shell gold nanowires,<sup>[10]</sup> and helical single walled gold nanotubes<sup>[11]</sup> have been fabricated experimentally.

In an exciting recent development, free-standing atomically thick iron membranes suspended in graphene pores have been fabricated experimentally.<sup>[12]</sup> These iron layers were small with and just up to 10 atoms wide inside the pores. These iron patches had a different structure than the predicted full 2D isolated layer. This demonstrates the potential of perforated graphene as a support for small 2D membranes, and paves the way for novel 2D structures to be formed. Zhao *et al.* also used density functional theory (DFT) calculations to study these systems, and predicted that the largest thermodynamically stable patch would be 12 atoms across.<sup>[12]</sup> This method could potentially be used to fabricate a small free-standing Au monolayer. Koskinen and Korhonen have studied the solid and liquid phases of a small monolayer gold patch in a graphene hole ( using density functional tight binding, and also DFT).<sup>[13]</sup> This 49 atom gold patch stayed solid up to 700 K, and then at 900 K formed an unusual 2D liquid layer.



**Scheme 1.** We follow the evolution of gold structures from 0D Au<sub>20</sub> clusters to 1D gold nanowires and nanotubes to the 2D gold monolayer. We then continue to the 3D bulk gold Au fcc structure. Note that many of these elements contain the (111) surface in common.

As we know, dimensionality is one of the most important parameters that influence material properties. The same chemical compounds can exhibit dramatically different properties depending on whether they are arranged in 0D, 1D, 2D, or 3D crystal structures. This is clearly demonstrated by the different carbon allotropes, including fullerenes (0D),<sup>[14]</sup> nanotubes (1D),<sup>[15]</sup> graphene (2D),<sup>[16]</sup> and diamond (3D). These carbon allotropes materials are having a huge impact on modern nanoscience and technology. Below, we will see a similar progression for gold materials.

The relationships between the different gold allotropes can be established from a topological perspective. We follow the changes in structure and properties in the gold materials as we go from clusters to nanoparticles to 1D nanotubes or nanowires to the 2D layer, to the 3D bulk phase (see Scheme 1). We note that the Au(111) bulk surface is also widely used as a substrate for adsorption and surface science studies.<sup>[17]</sup> Each of the four faces of Au<sub>20</sub> is a (111) surface. Conceptually, Au nanotubes can be created by rolling a section of the Au monolayer into a seamless cylinder. Similar to carbon nanotubes,<sup>[18]</sup> gold nanotubes can also be indexed by a pair of integers (n, m). Depending on the values n and m, the nanotubes may be zigzag, armchair, or chiral. Also, the Au monolayer consists of a single layer of the Au(111) surface.

This free-standing Au 2D monolayer sheet could potentially be used as a substrate for the adsorption of small molecules (or biological molecules) for analysis in an electron microscope. Furthermore, functional groups could be added to the surface to modify or improve the electronic properties, optical properties, and potentially even catalytic activity.

In this work, we study the free-standing two-dimensional monolayer gold. A free-standing gold monolayer has not been fabricated or reported experimentally to date. We hope that these

results will inspire experimental fabrication of novel free-standing 2D metal layers. It is important to elucidate the unusual structure, chemistry, and intriguing physics of these new 2D materials.

## II. Computational methodologies

First-principles calculations were performed using density functional theory with the generalized gradient approximation (GGA) with the Perdure–Burke–Ernzerhof (PBE)<sup>[19]</sup> exchange–correlation functional. We used the projected augmented wave (PAW)<sup>[20]</sup> pseudopotential for Au with a plane wave cutoff energy of 500 eV as implemented in the VASP code.<sup>[21]</sup> It is well known that the relativistic effect can be divided into three terms: the mass-velocity correction, the Darwin term, and the spin-orbit (SO) coupling term, in which the first two terms are called the scalar relativistic (SR) effect. Usually, the SR is much larger than the SO and plays a decisive role in determining the correct geometrical structures of the ground state of gold materials.<sup>[22]</sup> Following commonly used methods in the community, crystal structures were optimized at the scalar-relativistic (SR) level, and the single point energy calculations were performed at the spin-orbit (SO) level. The positions of atoms, lattice parameters, and angles were fully optimized using the conjugate gradient (CG) method. Therefore, the maximum force acting on each atom is less than  $10^{-3}$  eV/Å. The criterion for energy convergence is  $10^{-6}$  eV/cell. We put the monolayer Au sheet on the  $xy$  plane with the  $z$  direction perpendicular to the layer plane. A vacuum space of 16 Å in the  $z$  direction was used to avoid interactions between adjacent layers. The Brillouin zone was sampled with a  $21 \times 21 \times 1$   $\Gamma$ -centered Monkhorst-Pack (MP)<sup>[23]</sup> K-points grid (sampling resolution  $2\pi \times 0.02$ ). Lattice dynamics were evaluated using the finite displacement method<sup>[24]</sup> implemented in the CASTEP package<sup>[25]</sup> in Materials Studio 7.0. This was done at “ultrafine” level within the local-density approximation (LDA) CA-PZ and using ultrasoft pseudopotentials. The energy cutoff was set to 440 eV and the SCF tolerance was set to  $5 \times 10^{-7}$  eV/atom. The Brillouin zone was sampled with a  $21 \times 21 \times 1$  MP grid for both phonon dispersion and phonon density of states. The supercell defined by the cutoff radius was set to 9.0 Å for the finite displacement method. The supercell volume will be 25 times that of the normal cell. The separation of dispersion in the Brillouin zone was set as  $0.003 \text{ \AA}^{-1}$ , which represents the average distance between Monkhorst-Pack mesh q-points used in the real space dynamical matrix calculations.

*Ab initio* Born-Oppenheimer molecular dynamics (BOMD) simulations were performed to assess the thermal stability of Au monolayer. The scalar-relativistic DFT-D and the Tkatchenko-Scheffler (TS) method were used in CASTEP<sup>[25]</sup> in Materials Studio 7.0. MD simulation in NVT ensemble were carried out for 10 ps with a time step of 1.0 fs (parameters: accuracy fine, SCF =  $3 \times 10^{-6}$ , smearing = 0.04, DIIS = 20, Nosé-Hoover,<sup>[26]</sup> Nosé Q = 2, Nosé chain length = 2). We fixed the center of mass for the 1338 K – 1600 K simulations. Materials Studio was also used to create the initial structures and visualize the results. Root Mean Square Deviation (RMSD) was calculated using the differences nearest neighbor bond lengths and the 0K optimized bond length. We feel that it is important to include the dispersion interactions in the calculations due to the long bond length extensions encountered at elevated temperatures.

The crystal structure predictions were performed with evolutionary algorithm as implemented in the USPEX code.<sup>[27]</sup> In these calculations, initial structures are randomly produced using planar group symmetry. All newly produced structures are relaxed and relaxed energies are used for selecting structures as parents for the new generation of structures (produced by carefully designed variation operators, such as heredity and soft mutation). In these calculations, we considered systems with up to 18 atoms in the unit cell, and used 30 structures in each generation, with 60% of the lowest-enthalpy structures allowed to produce the next generation through heredity (60%), lattice mutation (30%), and atomic permutation (10%); in addition, two lowest-enthalpy structures were allowed to survive into the next generation. The structure relaxations during the evolutionary algorithm were performed using the PBE functional as implemented in VASP. The VESTA software<sup>[28]</sup> was used for visualization and plot.

### III. Results and discussion

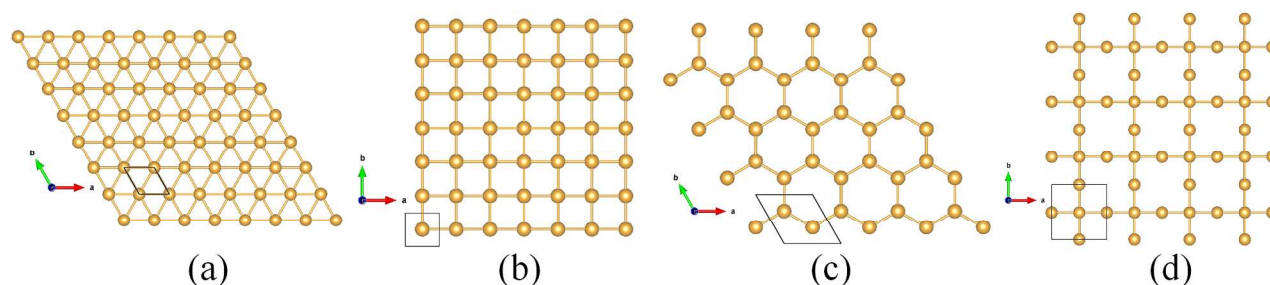
In this section, we will discuss the stability and viability of the predicted free-standing Au monolayer through several evaluation indexes. These include the thermodynamic stability via comprehensive structure search and cohesive energy evaluation, kinetic stability via phonon dispersion calculation, thermal stability and viability at elevated temperature via molecular dynamics simulations. Then, we reveal the unique chemical bonding in the gold monolayer using the electron localization function, electronic properties, and mechanical properties.



## A. Stability and Viability of Au monolayer

### A.1. Crystal Structure Search

The ground state structure of the Au monolayer was obtained using a comprehensive evolutionary algorithm structural search with USPEX,<sup>[27]</sup> followed by the full relaxation of random structures with VASP<sup>[21]</sup> (see details in Sec. II). The structural search predicts that the global minimum structure of the free-standing Au monolayer is a hexagonally close packed (HCP) sheet (Fig. 1a), which will become a new member in the planar hypercoordinate flatland.<sup>[29]</sup> Other motifs, such as Fig.1b square, Fig.1c honeycomb, and Fig.1d tetracoordinate have relative energies of +299 [313], +647 [652], +757 [788] meV/atom respectively at the SR [SR+SO] level. These all have significantly higher energies than the HCP ground state. We then performed an analysis of properties including chemical bonding, cohesive energy, mechanical properties, dynamic stability, thermal stability, and electronic properties.



**Figure 1.** Different motifs of 2D Au-monolayer: (a) hexagonal close packed, (b) square, (c) honeycomb, (d) tetracoordinate.

We have considered various structures of 2D Au including both planar and buckled. The buckled structure of Au automatically transforms to the exactly planar motif during the geometry optimization. The space group of the Au monolayer is  $P6/mmm$  (#191). One unit cell of the Au monolayer consists of one Au atom, the optimized lattice constants of  $a = b = 2.755 \text{ \AA}$ . The calculated Au-Au bond lengths are  $2.755 \text{ \AA}$ . Therefore, the hexagonal close packed Au monolayer is the global minimum structure in the 2D space. Furthermore, the closely packed motifs with a maximum of Au-Au bonds, and evenly distributed coordination in the 2D plane can maximize the stability of this 2D monolayer sheet. This is consistent with and further evidence the fact that the

chemistry of gold is dominated by its strong relativistic effect<sup>[2]</sup> and so-called aurophilic attractions.<sup>[3]</sup> The gold monolayer sheet predicted to be the global minimum is very exciting and it holds great potential to be realized experimentally.

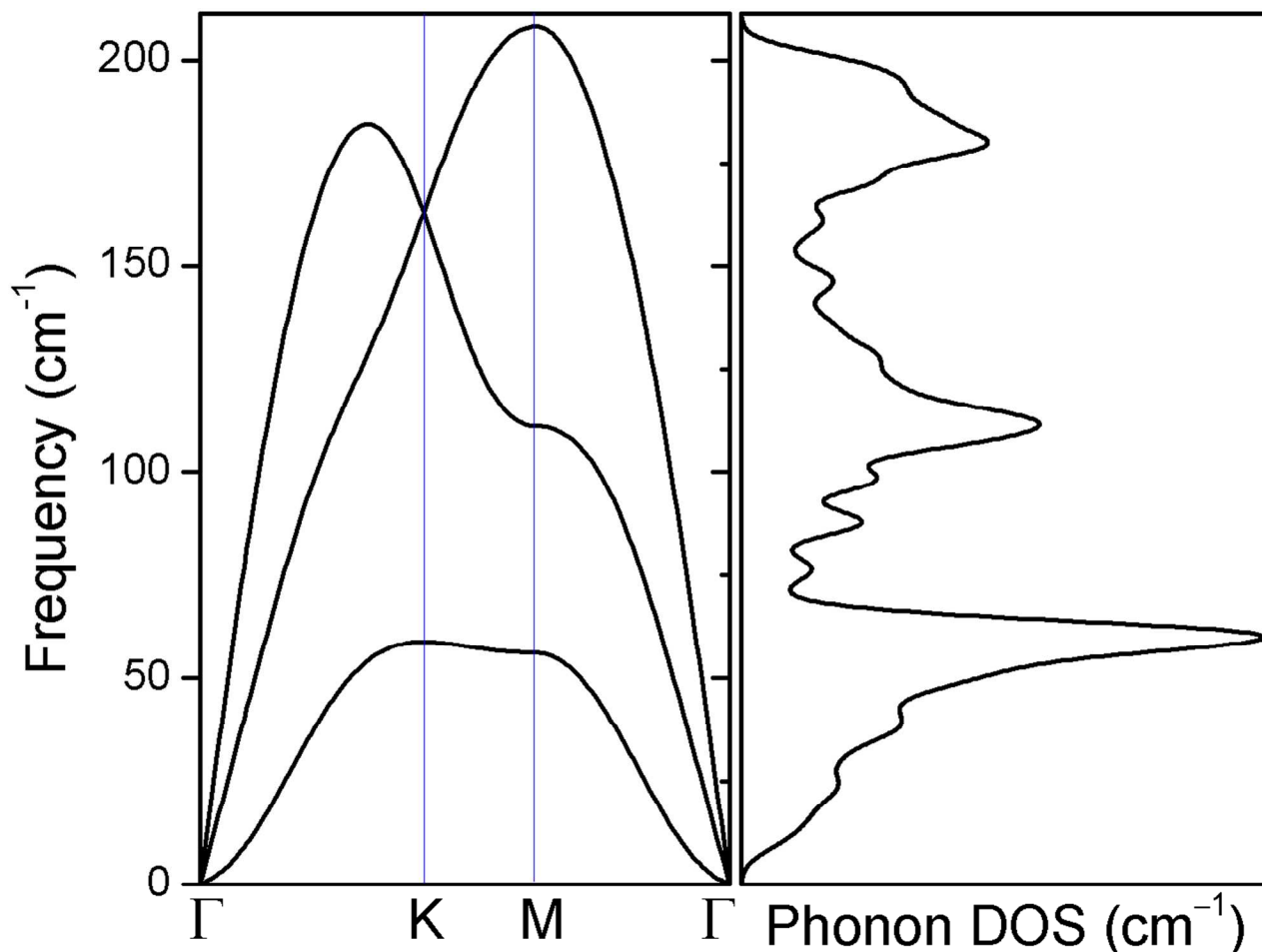
### A.2. Cohesive energy of 2D Au monolayer

To evaluate the stability of this structure, we first computed the cohesive energies  $E_{\text{coh, monolayer}} = (mE_{\text{Au-atom}} - E_{\text{Au-monolayer}})/m$ , and  $E_{\text{coh, bulk}} = (mE_{\text{Au-atom}} - E_{\text{Au-bulk}})/m$ . With  $E_{\text{Au-atom}}$ ,  $E_{\text{Au-monolayer}}$ , and  $E_{\text{Au-bulk}}$  being the total energies of a single Au atom, one unit cell of the Au monolayer and one unit cell of bulk gold in face-centered cubic (fcc) phase, respectively. The Au monolayer has a cohesive energy of 2.71 [2.82] at the SR [SR+SO] levels, respectively. The Au bulk has a cohesive energy of 2.98 [3.11] eV/atom respectively. One can see the spin-orbit (SO) interaction has a small effect on the cohesive energy. This is consistent with the previous studies on the effects of spin-orbit (SO) interaction on gold chemistry. Although the cohesive energy of the 2D Au monolayer is a bit smaller than that of 3D bulk fcc phase, it is still substantial considering the lower number of bonds per atom (6 vs. 12, respectively). Therefore each bond is stronger in the sheet than in the bulk (0.94 eV / bond vs. 0.52 eV / bond, respectively). The framework of the 2D Au monolayer is stabilized by the closely packed hexacoordinate lattice, which forms the maximum number of chemical bonds within the plane. The close packed configuration can form an alliance of chemical bonds, which further strength and stabilize this 2D sheet.

### A.3. Phonon dispersion of 2D Au monolayer

The necessary and sufficient condition for dynamical stability of a crystal at low temperature is phonon stability. The dynamical stability of the Au monolayer was tested by calculating the phonon dispersion along the high-symmetry lines  $\Gamma$ —K—M— $\Gamma$  (Fig. 2). The absence of soft modes within the entire Brillouin zone clearly indicates that the Au monolayer is a minimum on the potential energy surface. All the frequencies are real, demonstrating good kinetic stability. The highest frequency reaches up to  $220 \text{ cm}^{-1}$ , indicating robust Au-Au interaction.



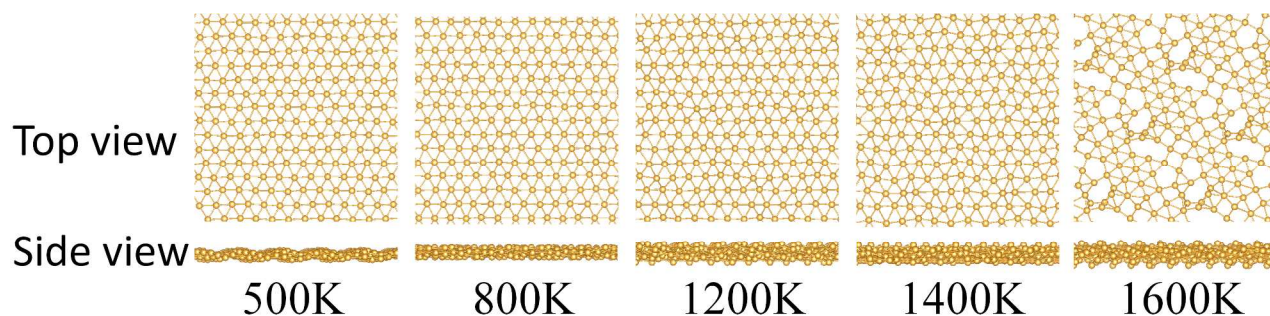


**Figure 2.** Phonon dispersion and phonon density of states of the Au monolayer.  $\Gamma$  (0, 0, 0), M (0, 1/2, 0), K (1/3, 2/3, 0) refer to special points in the first Brillouin zone in reciprocal space.

### A.3. Molecular Dynamics Simulation of 2D Au Monolayer

To verify that this new material will be stable at ambient conditions, we have performed *ab initio* molecular dynamics simulations over a range of temperatures. A  $5 \times 6$  supercell was used in a periodic boundary condition. A series of individual MD simulations were carried out to evaluate the thermal stability of the materials. For the Au monolayer, simulations were run at 500, 800, 1200, 1338, 1400, 1600, and 2000 K. The simulations extended to times up to 10 ps for most simulations, and up to 18 ps at 1338 K. Note that the melting point of bulk Au is 1338 K. Ignoring the first ps as a warm up period, the framework was maintained up to 1400 K for 10 ps, but melted by 1600 K after 4 ps, completely melted at 2000 K after 1ps. Bond length extensions of 14%, 17%, 21%, 36%, and 43% were observed for 500, 800, 1200, 1338, and 1400 K simulations respectively.

RMSD values of 0.10, 0.15, and 0.12 were calculated for these 500, 800, and 1200 K. Snapshots taken at the end of each simulation are shown in Figure 3. At 1600 K, we observe holes in the 2D layer, left behind by atoms that have moved on top of the layer. Note that Koskinen and Korhonen have observed interesting 2D liquid behavior in an periodic boundary condition  $8 \times 8$  unit cell DFT molecular dynamics simulation of this system at 1600 K.<sup>[13]</sup> We have also confirmed this behavior using an  $8 \times 8$  cell, and will discuss these results in a future paper.



**Figure 3.** Snapshots of the final frame of each molecular dynamics simulation from Au monolayer at 500, 800, 1200, 1400, and 1600 K (top and side views).

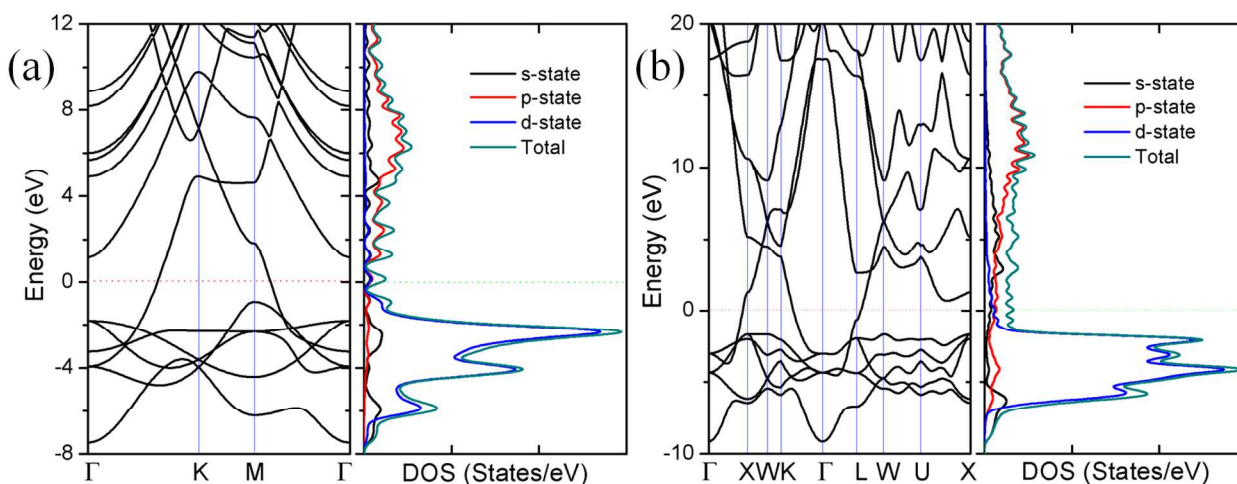
## B. Electronic structures of Au monolayer

To get insight into electronic properties, we have computed the band structure as well as its density of states (DOS). As shown in Figure 4, the material shows a band structure typical for metals. The metallic character of Au monolayer is demonstrated by the Fermi level ( $E = 0$ ) being located inside the bands, and no observation of a band gap at this energy. We see that a nearly-free electron like band meets the Fermi level halfway up, indicating that one valence electron has been donated into the nearly-free electron gas (similar to the bulk). Therefore, similar to the bulk, the Au monolayer is a diamagnetic metal.

The partial density of states (PDOS) analysis shows that below the Fermi energy the major contribution comes from Au  $5d$ -states. Whereas the states at the Fermi level are dominated by the  $6p$ -,  $5d$ -,  $6s$ -states, with Au- $6p$  larger than the Au- $5d$  and Au- $6s$ . There is apparent hybridization between Au  $5d$ - and  $6s$ -states. This is consistent with the fact that the relativistic stabilization of its outer  $6s$  orbital. The relativistic effects also lead to destabilization of the  $5d$  orbitals, reducing the  $6s$ - $5d$  energy gap and enhancing  $s$ - $d$  hybridization. We observe a sharp peak 2.1 eV below the Fermi level. This is consistent with the bulk density of states, and should lead to similar

interactions with visible light.<sup>[30]</sup> We conclude that the 2D monolayer material may have similar color to the gold 3D bulk (although more detailed calculations that account for excitons in 2D would be appropriate for higher accuracy and reliability of this comparison).

The band structure indicates these materials are metallic with a large density-of-states (DOS) at the Fermi level. Au monolayer is diamagnetic as confirmed by a spin-polarized computation, indicating that the compound has a nonmagnetic ground state. Solid State Adaptive Natural Density Partitioning (SSAdNDP) was used to search for localized bonding.<sup>[31]</sup> No localized bonding was observed. The bonding is completely delocalized, similar to 3D metals. The predicted gold monolayer is a metal. The bonding situation is sharp contrast to that of isolated gold clusters, which were revealed to have 4c-2e bonds *via* SSAdNDP analysis.<sup>[32]</sup> Therefore, we see that the dimensionality and quantum confinement have profound effects on the chemical bonding of gold systems.



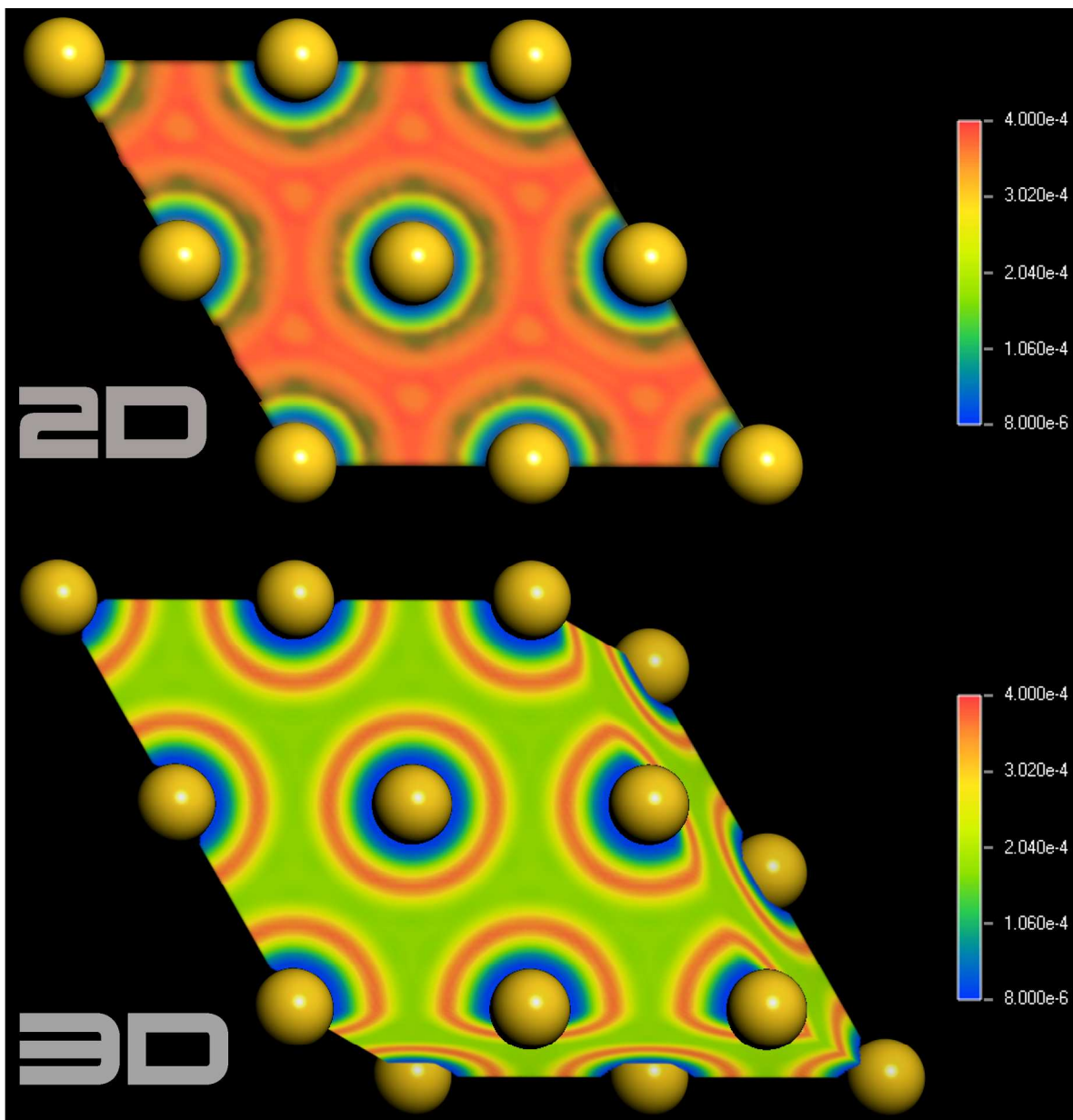
**Figure 4.** Electronic structures of 2D Au monolayer (a) and 3D bulk Au (b). Band structure (left), total density of states (TDOS) and partial density of states (PDOS) (right) are shown. The Fermi level is at 0 eV.

## C. Chemical bonding analyses

### C.1. Electron Localization Function

The electron localization function (ELF) provides a good description of electron delocalization in molecules<sup>[33]</sup> and solids<sup>[34]</sup> and is a useful tool for chemical bond classification.<sup>[35]</sup> We calculated the ELF of the Au monolayer to identify its delocalization character. As a comparison,

we also consider the ELF of the bulk gold fcc phase. Slices parallel to the (111) crystal face of bulk gold fcc phase crossing the hexagonal close packed atoms together with the ELF of 2D Au monolayer are plotted in Figure 5. One can see the ELF values for the Au monolayer are very low, similar to bulk Au(111), delocalization in the whole sheet is evident.

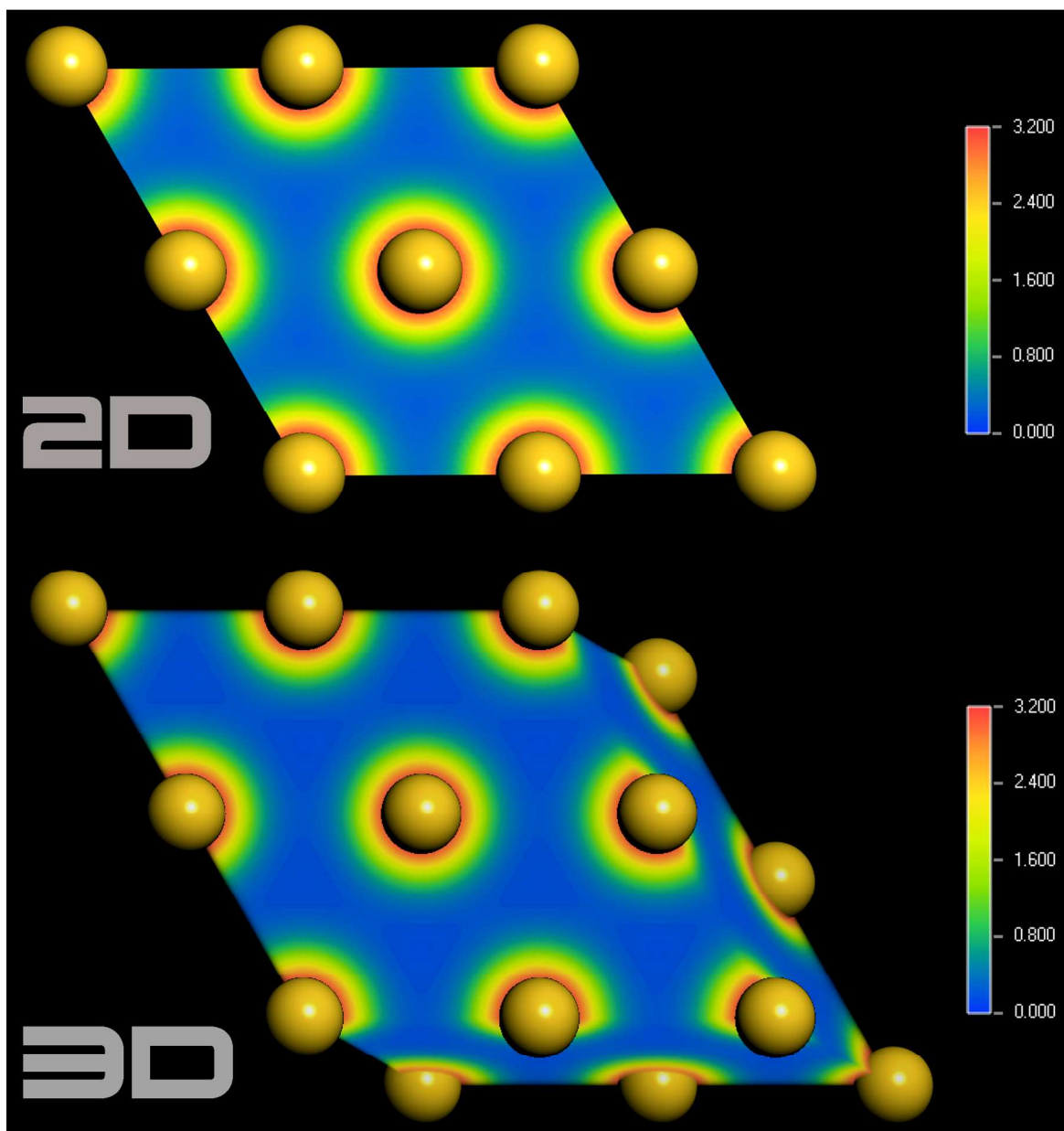


**Figure 5.** Color plot of ELF for 2D Au monolayer (upper panel) and 3D bulk gold (lower panel).

### C.2. Charge Density



The electron charge density distribution provides detailed and useful information on the chemical bonding. In Figure 6 we show the charge density for the 2D Au monolayer and compare to the charge density for 3D bulk gold. We see that these charge density distributions are essentially the same. One valence electron from the monolayer has delocalized into the whole 2D sheet forming a nearly free electron gas.



**Figure 6.** Color image of the electron charge density of 2D Au monolayer (upper panel) and 3D bulk Au (lower panel).

#### D. Mechanical properties

Bulk gold is a malleable and ductile metal and has widely application in electronic engineering, mechanic engineering, electronic devices, etc. Below, we probe the mechanical properties of Au monolayer. The mechanical properties are important for potential application of the Au monolayer material. The gold monolayer has three independent elastic constants:  $c_{11}$ ,  $c_{12}$ ,  $c_{44}$ . Our calculations generate  $c_{11} = 52.9$  GPa,  $c_{12} = 30.6$  GPa, and  $c_{44} = 11.1$  GPa, which follow a correlation of  $c_{11} = c_{12}$ ,  $c_{44} = (c_{11} - c_{12})/2$ . The Au monolayer shows very good elastic property and can be rolled into nanotubes (see Scheme 1). Indeed, a single-walled gold nanotube (SWGT) was experimentally found in a UHV electron microscope at 150 K by Oshima *et al.*, which was considered as a (5,3) SWGT composed of five atomic rows coiling around the tube axis.<sup>[11]</sup>

The in-plane Young modulus, (or in-plane stiffness), is commonly used to evaluate the mechanical stability of 2D materials. We compare the calculated value of our new material to previous theoretical results<sup>[36]</sup> for several commonly known 2D materials, including silicene and germanene. For the Au monolayer, the in-plane stiffness was computed to be 56 N/m. This value is significantly higher than our predicted result for a free-standing 2D Ag layer with 31 N/m.<sup>[37]</sup> This is also higher than germanene (42 N/m), and comparable to silicene (61 N/m) computed at the same theoretical levels. Thus, the Au monolayer shows good mechanical stability.

#### IV. Conclusions

In summary, we have comprehensively explored the freestanding 2D Au monolayer sheet. Molecular dynamics simulations show that Au monolayer is stable during short 10 ps annealing runs up to 1400 K. The framework is able to survive large motions out of the plane. Due to the smaller number of bonds per atom in the 2D layer compared to the 3D bulk observe significantly enhanced energy per bond (0.94 vs. 0.52 eV / bond). This is similar to the increase in bond strength going from 3D diamond to 2D graphene. It is a nonmagnetic metal. Some of the electrons are delocalized over the whole sheet and form a nearly free 2D electron gas. The Au monolayer has a planar hexacoordinated gold configuration. The metallic bonds hold the gold atoms together in the 2D plane. The Au-Au interactions were strengthened and maximized by strong relativistic effects and aurophilic attractions. Local structural stability is predicted by the absence of any imaginary phonon modes. An evolutionary algorithm search confirmed that the Au monolayer is

the global minimum structure in the 2D space. Considering the rapid development of experimental techniques for fabrication of low-dimensional materials in recent years, we are optimistic that the freestanding 2D Au monolayer can be fabricated experimentally in the near future. Our results provide new insights into possible applications of Au systems. We hope these results inspire fabrication and study of novel 2D metal and alloy systems.

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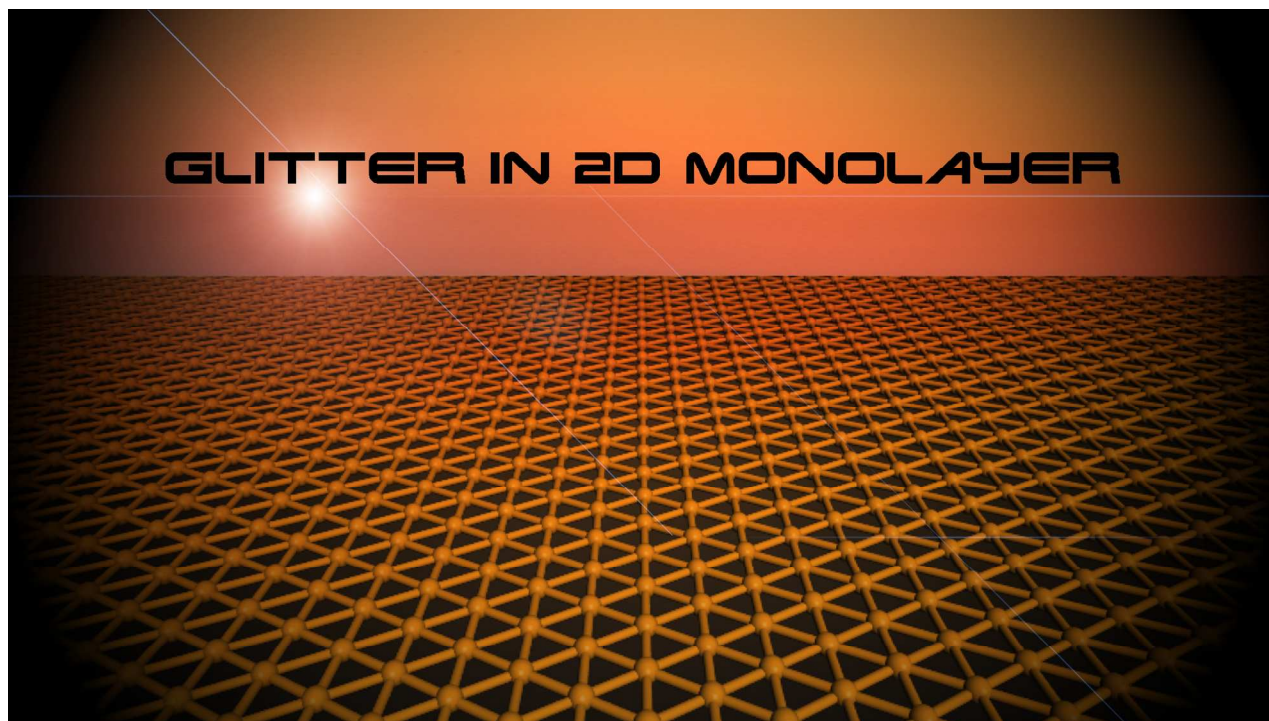


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## Table of Contents Graphic and Synopsis



We for the first time predict a highly stable and robust atomically thin gold monolayer with hexagonal close packed lattice stabilized by strong relativistic effects and aurophilic attractions with maximum number of Au-Au bonds in 2D plane.