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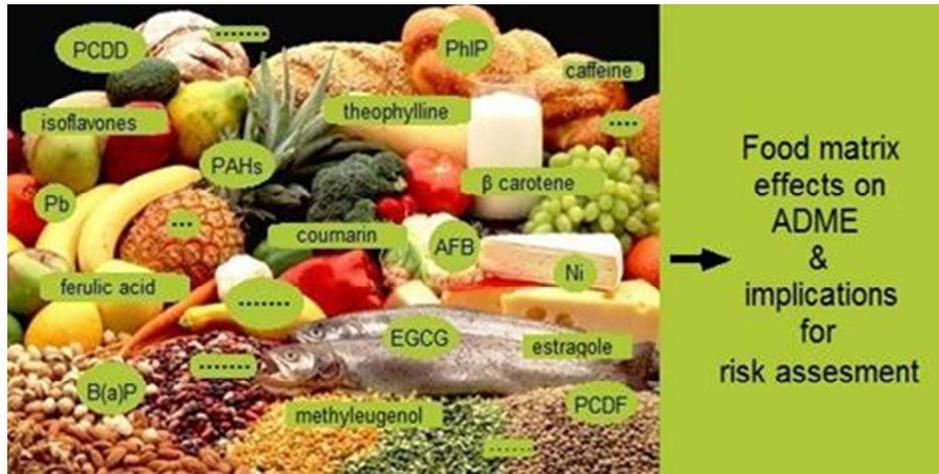


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1 **Matrix-derived combination effects influencing absorption, distribution, metabolism and**  
2 **excretion (ADME) of food-borne toxic compounds; implications for risk assessment.**

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14 **Keywords:** matrix effects, food, ADME, dose dependency, risk assessment

15 **Abstract**

16 Absorption, distribution, metabolism and excretion (ADME) of food-borne toxic compounds may be  
17 influenced by other compounds or constituents present in the food. The present review presents an  
18 overview of evidence currently available on food matrix-derived combination effects influencing the  
19 ADME characteristics of food-borne toxic compounds and the possible implications for risk  
20 assessment. The results obtained indicate that interactions may occur at all levels of ADME and that  
21 the interactions may decrease but also increase the bioavailability and/or toxicity of the compounds of  
22 interest. The overview also illustrates that food matrix-derived combination effects should be  
23 considered on a case-by-case basis, taking into account especially the mode of action underlying the  
24 interactions and the dose dependency of the effects. Especially food matrix-derived combination  
25 effects that proceed by a reversible mode of action, such as for example binding to biotransformation  
26 enzymes or transport proteins, may be detected at concentrations used in in vitro assays and at dose  
27 levels used in animal bioassays but may be absent at dose levels representing realistic human intake. It  
28 is concluded that although food matrix-derived combination effects may exist, their detection in in  
29 vitro assays or in animal bioassays at high dose levels may not improve risk assessment practice  
30 because interactions observed may not be maintained at low realistic levels of intake. Insight in the  
31 mode of action underlying the interactions combined with physiologically based kinetic (PBK)  
32 modelling may prove a way to obtain better insight in whether interactions detected at high dose levels  
33 will still be relevant at more realistic lower intake levels, and thus to what extent these effects should  
34 be taken into account in the risk assessment for human exposure.

35

## 36 **Introduction**

37 An important aspect that should be taken into account when assessing the risk of food-borne toxic  
38 compounds is whether results from long term animal studies with pure compounds dosed by gavage  
39 without the occurrence of the natural food matrix, represent a good starting point for the risk  
40 assessment. For example, a slow or incomplete release of the ingredient from the matrix and/or  
41 inhibition of specific intestinal carriers involved in active uptake of an ingredient, may result in  
42 reduced bioavailability of a compound as compared to the bioavailability of the same compound when  
43 dosed in a pure form by gavage. Schilter et al. (2003) already concluded that such a matrix interaction  
44 would raise serious questions about the use of toxicity data of the pure compound for risk assessment  
45 of the compound within the complex food matrix.<sup>1</sup> In addition to interactions at the level of  
46 absorption, interactions may also occur at the level of distribution, metabolism or excretion, thereby  
47 also influencing bioavailability and toxicity. The present review presents an overview of data available  
48 on matrix-derived combination effects influencing absorption, distribution, metabolism and excretion  
49 (ADME) of food-borne toxic compounds, and evaluates to what extent and how these interactions  
50 should be taken into account in subsequent risk assessment.

51

## 52 **Absorption**

53 Table 1 presents examples of matrix-derived combination effects on absorption of food-borne toxic  
54 compounds and their possible mode of action. From this overview it appears that food matrix-derived  
55 effects on absorption may result from effects of the food matrix on bioaccessibility of compounds  
56 and/or from interactions with processes underlying the actual transport across the intestinal barrier.

57 The transcellular transport of ingested food ingredients across the intestinal barrier is an important  
58 factor determining bioavailability upon oral intake. This transcellular transport of a chemical over the  
59 intestinal epithelium can be largely dependent on the activity of membrane bound active ATP binding  
60 cassette (ABC) transport proteins. The intestinal ABC transporters involved in the efflux of chemicals  
61 from the intestinal cells include P-glycoprotein (Pgp), Multidrug Resistance Proteins (MRPs) and

62 Breast Cancer Resistance Protein (BCRP).<sup>2-5</sup> These transporters are generally located specifically in  
63 the apical (intestinal luminal side) or basolateral (blood/plasma side) membrane of the enterocytes  
64 (Figure 1).<sup>2, 4, 6</sup> As a result ABC transporters are involved in the efflux of bioactive compounds from  
65 the intestinal cells, either to the basolateral blood side, facilitating absorption, or back into the  
66 intestinal lumen, reducing bioavailability. Studies on the role of ABC transporters in oral  
67 bioavailability often focused on oral drugs, but some studies also focused on a possible role of ABC  
68 transporters in determining the bioavailability of food ingredients, including food-borne toxic  
69 compounds.

70 For example, a review by Brand et al. (2006) reported the influence of flavonoids on ABC transporters  
71 and the resulting effects on the bioavailability of several food-borne toxins like the mycotoxin  
72 ochratoxin A, the pro-carcinogen 2-amino-1-methyl-6-phenylimidazo[4,5-*b*]pyridine (PhIP) and of  
73 other food-borne bioactive ingredients such as for example epigallocatechin-3-gallate (EGCG).<sup>7-12</sup>

74 Table 1 includes an overview of these and other examples including effects on the absorption of  
75 ochratoxin A, PhIP, benzo(a)pyrene B(a)P and the drug vinblastine.

76 The example on PhIP is especially of interest because it illustrates that matrix-derived combination  
77 effects can result not only in reduced absorption but may also result in an increase of the transport  
78 across the intestinal barrier. The transcellular transport of PhIP in Caco-2 cell monolayers was  
79 increased upon addition of the flavonoid myricetin, reflected by an increase in the transport of PhIP  
80 from the apical to the basolateral compartment, observed at physiologically relevant concentrations of  
81 PhIP and myricetin.<sup>9</sup> Myricetin inhibits the ABC transporter-mediated excretion of PhIP from the  
82 intestinal cells back to the apical luminal side, resulting in increased possibilities for transport of PhIP  
83 to the basolateral side and a possible increased bioavailability of PhIP.<sup>9</sup> In subsequent studies it was  
84 shown that other flavonoids, including flavone, kaempferol, luteolin, quercetin, chrysoeriol and  
85 naringenin exert a similar effect on the transport of PhIP through Caco-2 monolayers.<sup>10</sup>

86 Based on these data available in literature, it can be concluded that flavonoid-mediated inhibition of  
87 ABC transporters may affect the bioavailability of bioactive food ingredients and/or food-borne toxic  
88 compounds upon oral uptake. Flavonoids are present in a wide variety of foods of plant origin and

89 botanical preparations and therefore it is likely that these compounds affect the bioavailability of  
90 substances of concern simultaneously occurring in food or a botanical preparation of interest.

91 The occurrence of a difference in the bioavailability of a compound when ingested within a food  
92 matrix or as a pure compound may also be due to impaired release from the food matrix. Table 1 also  
93 presents several examples for which such an effect on release of an active or toxic compound from the  
94 food matrix has been observed. Of special interest are studies reporting that the oral bioavailability of  
95 green tea catechins can be enhanced when consumed in the absence of food. Chow et al. (2005), for  
96 example, demonstrated in a clinical study in healthy human volunteers that greater bioavailability of  
97 free catechins can be achieved by taking high grade green tea polyphenol extract capsules on an empty  
98 stomach after an overnight fast.<sup>39</sup> This dosing condition is expected to influence the biological effects  
99 of tea catechins.

100 Other examples reveal effects of the food matrix on the bioavailability of ferulic acid,  $\beta$ -carotene and  
101 other carotenoids, isoflavones, polycyclic aromatic hydrocarbons (PAHs) including B(a)P, dioxins and  
102 dibenzofurans, polyphenols including EGCG and other catechins, and coumarin (Table 1).

103 Another interesting example of interactive effects reducing the bioavailability can be found in the  
104 studies reporting that the bioavailability of aflatoxins (i.e. aflatoxin B1 (AFB)) could be reduced by  
105 chlorophyllin present in green leafy vegetables (e.g. spinach).<sup>13-15</sup> Chlorophyllin was shown to form a  
106 strong non-covalent complex with AFB in vitro and it was suggested that this complex formation  
107 between chlorophyllin-like compounds and carcinogens having an at least partially planar aromatic  
108 structure may contribute to the chemopreventive activities associated with a high green vegetable  
109 intake.<sup>15</sup> The authors even demonstrated that concomitant exposure to AFB and chlorophyllin resulted  
110 in the inhibition of AFB-induced hepatocarcinogenesis in rainbow trout by chlorophyllin, as a result of  
111 the formation of a tight chemically stable molecular complex between chlorophyllin and AFB.<sup>13-15</sup>  
112 Thus, chlorophyllin may reduce the DNA damage caused by AFB in vivo, by acting as an 'interceptor  
113 molecule' that blocks the absorption of AFB from the diet. Based on its protective effects and lack of  
114 any apparent toxicity in humans chlorophyllin was used in a clinical trial in China. Administration of  
115 chlorophyllin three times a day led to a 50% reduction in the median level of urinary excretion of  
116 aflatoxin-N<sup>7</sup>-guanine, which was used as a biomarker for systemic bioavailability.<sup>16</sup> Chlorophyllin was

117 also reported to bind to planar aromatic carcinogens such as B(a)P thereby significantly reducing  
118 B(a)P-DNA adduct formation in normal human mammary epithelial cells.<sup>17</sup> Chlophyllin was also  
119 demonstrated to be effective in the reduction of transplacental cancer risk if given with the PAH  
120 carcinogen dibenzo(a.1)pyrene.<sup>18</sup>

121 An example of a food matrix effect on the bioavailability of heavy metals was reported by Nielsen et  
122 al. (1999) for nickel.<sup>19</sup> These authors studied the influence of food intake and gastric emptying/fasting  
123 on the absorption and retention of nickel from drinking water in eight male volunteers fasted overnight  
124 before being given nickel in drinking water combined, at different time intervals, with standardized  
125 1400 kJ portions of scrambled eggs. The study demonstrated that the bioavailability of nickel in the  
126 matrix of scrambled eggs was considerably reduced compared to the situation where nickel in drinking  
127 water was ingested during fasting. Table 1 presents several additional examples where food matrix  
128 effects influence the bioavailability of metals including lead, mercury, cadmium, chromium, copper,  
129 nickel, manganese, and zinc.

130 Based on all examples presented in Table 1 and above it can be concluded that the absorption of food-  
131 borne chemicals can be affected by food-matrix based combination effects via several modes of action  
132 including especially the impaired release from the food matrix and/or influence on transport proteins.  
133 These matrix-derived combination effects may result in reduced absorption, but, as in the case of the  
134 inhibition of intestinal apical ABC transporters may also result in increased absorption.

135

### 136 **Distribution**

137 Only a few studies were identified reporting on possible food matrix-derived combination effects at  
138 the level of distribution. Table 2 presents some examples of matrix-derived combination effects on  
139 distribution of food-borne toxic compounds and their possible mode of action.

140 The limited number of examples available reflects that experimental evidence for the effect of matrix-  
141 derived combination effects on distribution of food-borne toxic compounds is scarce but it can be  
142 anticipated that interactions at the level of distribution may originate from interaction at the level of  
143 activity of different efflux transporters, resulting in effects on the distribution to specific target tissues,

144 and/or affecting the elimination by secretion into bile and urine. In theory, the disposition of drugs and  
145 bioactive compounds may be affected through interactions with the activity of different efflux  
146 transporters, including Pgp and breast cancer resistant protein (BCRP) known to be involved in  
147 distribution to target tissues and/or elimination via secretion into bile and urine.<sup>39</sup> Furthermore, dietary  
148 factors affecting lipid profiles may affect distribution and target tissue concentrations as well, thereby  
149 possibly inducing changes in subsequent metabolism and bioactivation and toxicity. Food-food  
150 interactions at the level of plasma protein binding have not been reported, but such interactions could  
151 theoretically occur similar to what has been reported for combined food-drug exposures.

152

### 153 **Metabolism**

154 In addition to the possible alterations in the rate and extent of absorption and distribution, the food or  
155 botanical-derived matrix may have an effect on the metabolism of the active chemical substance(s) of  
156 interest. Table 3 presents examples of matrix-derived combination effects on metabolism of food-  
157 borne toxic compounds and their possible mode of action. The effects can occur directly at the level of  
158 both phase 1 and phase 2 metabolism, or be due to the influences on the level of expression of  
159 metabolic enzymes. Various examples of food-food or food-drug interactions at the level of  
160 metabolism exist.

161 Many studies report on direct interactions at the level of phase 1 and phase 2 enzymes. These include  
162 effects on cytochromes P450 (CYPs) involved in phase 1 metabolism by PAHs, flavonoids like  
163 diadzein, lutein and apigenin, psoralen and 5- and 8-methoxypsoralen (Table 3).

164 Well known is the inhibitory effect of flavonoids (*e.g.* naringin, naringenin, kaempferol and quercetin)  
165 and furanocoumarins (*e.g.* bergamottin and 6',7'-dihydroxybergamottin), occurring in grapefruit juice,  
166 on CYP3A4 activity.<sup>56-58</sup> Resulting from the grapefruit juice-mediated inhibition of human CYP3A  
167 activity in the small intestine, the level of pre-systemic metabolism of prescription drugs mediated by  
168 CYP3A4 has been found to be reduced increasing their oral bioavailability.<sup>59</sup> Although it seems to be  
169 widely recognized that the major flavonoid in grapefruit juice, naringin and its aglycone naringenin,  
170 significantly alter the clearance/elimination of CYP3A4-dependent drugs, due to inhibition of human  
171 CYP3A4 activity, it has also been reported that the importance of this interaction is dependent on

172 individual patient susceptibility, the type and amount of grapefruit juice and other administration  
173 related factors.<sup>60</sup> In spite of the fact that in vitro findings support the conclusion that naringenin and  
174 6',7'-dihydroxybergamottin may be the active ingredients, other studies indicated that these  
175 ingredients may contribute but are not the major active ingredients causing the grapefruit juice-drug  
176 interactions in human.<sup>59, 60</sup> These findings show the importance of in vivo validation of combination  
177 effects detected in in vitro model systems, since in vivo kinetics and bioavailability of active  
178 ingredients may be different than in the in vitro model.

179 Many diet-derived compounds have been shown to influence the biotransformation of one of the most  
180 potent known human dietary carcinogen AFB, and some efficiently protect against AFB-induced  
181 genotoxicity.<sup>61</sup> These observations have been related to inhibition of the activity of CYP1A2 and  
182 CYP3A4 the major enzymes involved in the bioactivation of AFB to its genotoxic epoxide metabolite  
183 *exo*-AFB-8,9-epoxide.

184 Such interactions at the level of CYP1A2 and CYP3A4 mediated metabolism have been frequently  
185 encountered. Dietary flavonoids (i.e. flavone, galangin tangeretin) showed a potent inhibition of  
186 CYP1A2 in vitro.<sup>61</sup> Daidzein, an isoflavone in soybean, inhibited CYP1A2 activity and modified the  
187 pharmacokinetics of CYP1A2-dependent drug elimination in healthy volunteers.<sup>62</sup> As already  
188 indicated above it is widely recognized that the major flavonoid in grapefruit juice, naringin and its  
189 aglycone naringenin, significantly alter clearance of CYP3A4-dependent drugs, due to inhibition of  
190 human CYP3A4 activity. Naringenin was also found to be an effective inhibitor of AFB activation in a  
191 CYP3A4-dependent in vitro system.<sup>63</sup> In line with this observation, grapefruit juice markedly reduced  
192 liver DNA damage in rats in vivo induced by AFB, and it was shown in the same study that hepatic  
193 CYP3A4 activity was significantly decreased after intake of grapefruit juice, whereas hepatic CYP1A  
194 and GST contents remained unchanged.<sup>64</sup> These results suggest that grapefruit juice suppresses AFB-  
195 induced genotoxicity in rat liver through inhibition of the bioactivation of AFB and not through  
196 enhanced detoxification.

197 An example of a possible matrix effect that proceeds via direct interaction at the phase 2 enzymes can  
198 be found in the effects of the basil ingredient nevodensin on the sulfotransferase (SULT) mediated  
199 bioactivation of the allylalkoxybenzenes like estragole and methyleugenol, known to be also present in

200 basil.<sup>65-68</sup> The bioactivation of the allylalkoxybenzenes to their ultimate carcinogen requires the  
201 involvement of SULTs converting the 1'-hydroxymetabolite formed by CYPs to a 1'-sulfooxy  
202 metabolite that is the ultimate electrophilic and carcinogenic metabolite that can covalently bind to  
203 DNA (Figure 2).<sup>69-71</sup>

204 Jeurissen et al. (2008) demonstrated that the level of DNA binding of the proximate carcinogenic  
205 metabolite 1'-hydroxyestragole to DNA in vitro but also to DNA in intact HepG2 human hepatoma  
206 cells could be inhibited by a methanolic basil extract.<sup>72</sup> The flavonoid nevadensin was identified as the  
207 major compound responsible for this observed in vitro inhibition of estragole bioactivation and  
208 subsequent DNA-adduct formation by the methanolic basil extract.<sup>65</sup> A similar food-matrix derived  
209 inhibition of SULT mediated bioactivation was identified for the allylalkoxybenzene safrole present in  
210 herbs like mace by malabaricone C, also present in mace.<sup>73</sup> In subsequent in vivo studies in which rats  
211 were simultaneously dosed with estragole and nevadensin or with safrole and malabaricone C  
212 containing mace extract it was shown that the SULT inhibitors also significantly inhibited DNA-  
213 adduct formation in vivo.<sup>66, 73</sup> These examples are especially of interest because these studies also  
214 reported the dose dependent behaviour of the interactions using physiologically based kinetic (PBK)  
215 modeling. Based on insight in the mode of action of the SULT inhibition, proceeding for nevadensin  
216 by reversible non-competitive type inhibition with a  $K_i$  value of 4 nM, the interaction could be  
217 incorporated in the Michaelis-Menten equations of the PBK models developed to predict the levels of  
218 formation of the reactive 1'-sulfooxymetabolite and subsequent DNA adduct formation in the liver.<sup>66</sup>

219 Upon incorporating this reversible mode of SULT inhibition by nevadensin or malabaricone C into the  
220 PBK model, the dose dependency of this matrix derived combination effect could be studied.<sup>66, 73</sup>

221 Figure 3 presents an overview of the outcomes thus obtained which revealed that the matrix-derived  
222 combination effect will be significant at dose levels used in rodent bioassays, but that the effect is  
223 predicted to be only limited or even absent at realistic human exposure levels. This appeared due to the  
224 fact that nevadensin is a reversible non-competitive inhibitor and that upon realistic low dose exposure  
225 the concentrations reached in the liver will be lower than the  $K_i$  of 4 nM for SULT inhibition by  
226 nevadensin, thus not resulting in effective inhibition. Only upon high dose levels used in animal  
227 bioassays this  $K_i$  of 4 nM can easily be reached and significant inhibition can be detected. This result

228 implies that when real food preparations would be tested in rodent bioassays the results obtained may  
229 not be representative for the human situation with low dose exposure and not necessarily provide a  
230 better starting point for risk assessment than testing the compound of concern in isolation. For the  
231 current example the results even indicate that the experiments with the pure compound may provide a  
232 better starting point for the risk assessment of low dose exposure than testing of basil itself, since at  
233 low dose levels the matrix-derived combination effect will be absent. This indicates that the  
234 incorporation of a matrix-derived combination effect in risk assessment should be done on a case-by-  
235 case basis taking into account mode of action-based analysis of the dose dependency of the  
236 interactions detected. Mode of action-based PBK models were shown to provide a useful tool to  
237 perform such analyses.

238 An example of botanical ingredients that can interact with the pharmacokinetics of prescription drugs  
239 via induction of expression of metabolic enzymes are compounds present in St. John's wort. St. John's  
240 wort can induce CYP3A4, and this increased CYP3A4 activity can result in a decrease of plasma  
241 levels of several prescription drugs including alprazolam, irinotecan and indinavir.<sup>74-77</sup> In addition, St.  
242 John's wort may interfere with the efficacy of oral contraceptives.<sup>78, 79</sup>

243 Other dietary compounds of different origin (e.g., constituents of brassica vegetables and hops) have  
244 been shown to modify expression of human hepatic enzymes involved in the oxidation of AFB.<sup>61</sup>

245 Other examples include effects on CYP or glutathione S-transferase (GST) expression levels (Table  
246 3).

247 These examples illustrate that in addition to direct effects on biotransformation enzymes, metabolism  
248 of toxic compounds can also be affected upon combined exposure via enzyme induction.

249 Interaction at the level of DNA repair enzymes or genotoxicity reflects another type of interaction at  
250 the level of metabolism.

251 Sanyal et al. (1997) investigated the effects of five food-borne possible antimutagens  
252 (cinnamaldehyde, tannic acid, vanillin, coumarin and caffeine) on spontaneous and heterocyclic amine  
253 (HCA)-induced MN frequencies in human derived Hep-G2 cells. For all these compounds it has been  
254 claimed that they may act as antimutagens via interactions with DNA repair enzymes.<sup>80</sup> In  
255 combination experiments with the HCA 2-amino-3-methylimidazo-[3,4-f]quinoline (IQ), post-

256 treatment of the cells with the tested antimutagens resulted in a pronounced (75 - 90%) reduction in IQ  
257 induced MN formation. The largest effects were seen with vanillin, coumarin and caffeine which were  
258 active at concentrations <5 µg/ml concentrations which may be relevant for daily human exposure.  
259 Further experiments indicated that these compounds also attenuated the mutagenic effects of other  
260 HCAs including PhIP, MeIQ (2-amino-3,4-dimethylimidazo[4,5-f]quinoline), and MeIQx (2-amino-  
261 3,8-dimethylimidazo[4,5-f]quinoxaline).<sup>80</sup>  
262 Altogether the examples presented reveal that interactions at the level of metabolism may occur via  
263 interactions with both phase 1 and phase 2 enzyme activities, influencing detoxification and  
264 bioactivation of a toxic compound via either enzyme inhibition or influences on the level of enzyme  
265 expression. In addition, interactions have been reported at the level of DNA repair enzymes. Direct  
266 interactions with biotransformation enzymes often occur via reversible binding which implies that the  
267 effects will be dose-dependent and may be observed only at relative high dose levels, often applied in  
268 animal bioassays, but may no longer be relevant at low doses that better represent daily human intake.  
269 The examples also illustrate that in vivo validation of matrix-derived interactions detected in in vitro  
270 models is essential since due to limited bioavailability of active ingredients effects observed in vitro  
271 may not be relevant in vivo. This clearly illustrates that matrix-derived combination effects should be  
272 evaluated on a case-by-case basis taking mode of action, toxicokinetics as well as dose dependency of  
273 the relevant interactions into account. This also implies that although matrix-based combination  
274 effects may exist, their detection in animal bioassays at high dose levels may not improve risk  
275 assessment practice because interactions observed at the high dose levels in animal bioassays may not  
276 be maintained at lower, more realistic levels of intake. PBK modeling proved to be an adequate way to  
277 study these aspects.

278

## 279 **Excretion**

280 Combined exposure may not only affect the level of formation of the metabolite responsible for  
281 induction of adverse effects but may also alter formation of metabolites that can be excreted. Table 4

282 presents examples of matrix-derived combination effects on excretion of food-borne toxic compounds  
283 and their possible mode of action.

284 Often the modes of action underlying these modified excretion characteristics have not been identified.  
285 They could be a reflection of interactions at the level of absorption or metabolism but they may also  
286 reflect interaction at the level of ABC transport proteins that are involved in excretion toward bile and  
287 urine.

288

### 289 **Discussion and conclusions**

290 In the present review we collected examples available in literature of studies that demonstrate an  
291 influence on ADME characteristics of food-borne toxic compounds by other compounds or  
292 constituents present in the food. The results obtained indicate that interactions have been documented  
293 to occur at all ADME levels. However, what also became evident from this overview is that the actual  
294 mode of action underlying the reported effects on ADME characteristics has often not been elucidated.  
295 Mode of actions that were identified were mainly related to effects on transport proteins or on  
296 biotransformation enzymes either through direct inhibition or through effects on gene expression that  
297 affect enzyme activities. Other modes of action included effects on tissue distribution or  
298 biotransformation activities via dietary fat affecting tissue lipid composition, effects on bio-  
299 accessibility from the food matrix and/or effects on DNA repair enzymes. From the examples  
300 available it became also clear that the interactions may decrease but also increase the bioavailability  
301 and/or toxicity of the compounds of interest. The overview also illustrates that matrix-derived  
302 combination effects should be considered on a case-by-case basis, taking into account not only the  
303 mode of action of the interactions, but especially also their dose dependency. Matrix and combination  
304 effects detected at dose levels used in animal bioassays may turn out to be absent at dose levels  
305 representing realistic human intake. This can especially be the case for reversible interactions between  
306 inhibitors and biotransformation enzymes, transporter proteins or DNA repair enzymes. Given that  
307 these reversible interactions will be dependent on whether in vivo concentrations of the matrix-derived  
308 inhibitors will reach the relevant  $K_i$  values for the inhibition, it can be foreseen that inhibition will be

309 detected at high dose levels used in animal experiments but may be less relevant at dose levels  
310 representing realistic human intake. This may also hold for the nuclear receptor mediated gene  
311 induction by compounds influencing the expression levels of biotransformation enzymes. This implies  
312 that although matrix-based combination effects may exist and can be demonstrated in in vivo  
313 experimental studies, their detection at high dose levels may not improve risk assessment practice  
314 because interactions observed at these high dose levels may not be maintained at lower, more realistic  
315 levels of intake. Insight in the mode of action underlying the interaction combined with PBK  
316 modelling may prove a way to obtain better insight in whether matrix-based interactions detected at  
317 high dose levels will still be relevant at more realistic lower intake levels, and thus to what extent  
318 these effects should be taken into account in the risk assessment for human exposure. The outcome of  
319 such a study may be that experimental data on the pure compound may even better predict what will  
320 happen at low dose levels than experimental data on the food of interest.

321 From the examples presented it becomes clear that when a matrix effect is advocated to support the  
322 safety of a botanical or a botanical ingredient, data need to be provided that support the occurrence of  
323 the matrix effect in vivo at relevant levels of intake. This may best be achieved through mode of action  
324 based PBK modelling enabling extrapolation of matrix effects detected at high dose levels to low dose  
325 levels that better reflect estimated human daily intake.

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329

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503 Table 1: Examples of matrix-derived combination effects on absorption of food-borne toxic  
 504 compounds and their possible mode of action.

Matrix-derived combination effect	Possible mode of action
A significant cellular accumulation of ochratoxin A in Caco-2 cells was observed upon co-incubation with chrysin, quercetin, genistein, biochanin A, or resveratrol, all at concentrations that can be expected in the gastrointestinal tract. <sup>8</sup>	Competitive inhibition of the MRP efflux pump in the apical membrane of the cells, proposed to be MRP2. <sup>20</sup> Inhibition of the apical MRP2-mediated excretion of ochratoxin A from the intestinal cells back to the apical luminal side, would explain the increased cellular accumulation resulting in increased possibilities for transport of ochratoxin A to the basolateral side.
An increase of the transcellular transport of PhIP in Caco-2 cell monolayers upon addition of the flavonoid myricetin. <sup>9</sup> This example is discussed in some more detail in the main text.	Myricetin inhibits the ABC transporter-mediated excretion of PhIP from the intestinal cells back to the apical luminal side, resulting in increased possibilities for transport of PhIP to the basolateral side. <sup>9</sup>
The extracellular transport of benzo(a)pyrene (B(a)P) metabolites from Caco-2 cells exposed to 3-hydroxy-B(a)P was inhibited by co-exposure with luteolin. <sup>21</sup>	Luteolin interacts with the transporter breast cancer resistant (BCRP) protein. <sup>21</sup>
Intracellular accumulation of the drug vinblastine was increased in the presence of EGCG. In addition, EGCG potentiates the cytotoxicity of vinblastine in CH <sup>R</sup> C5 cells. <sup>22</sup> The inhibitory effect of EGCG on Pgp was also observed in human Caco-2 cells. <sup>22</sup>	EGCG inhibits the binding and efflux of vinblastine by Pgp in the multidrug-resistant cell line CH <sup>R</sup> C5. <sup>22</sup>
The level of ferulic acid metabolites recovered in the	Impaired release from the food matrix. <sup>23-25</sup>

urine of rats amounted to only 3% of the ingested dose when ferulic acid was provided in a complex cereal matrix of <i>Triticum durum</i> , whereas the metabolites represented 50% of the dose when ferulic acid was dosed as a pure compound. <sup>23-25</sup>	
The bioavailability of $\beta$ -carotene was reported to be one order of magnitude higher when provided as a pure compound added to food (e.g. salad dressing) than when present naturally in mixed vegetables. <sup>26</sup>	Impaired release from the food matrix.
The bioavailability of carotenoids is higher from salads ingested with full fat than with fat free salad dressing. <sup>25</sup>	Effect of fat on release from the food matrix.
The aqueous bioaccessible fraction of isoflavones determined in vitro using simulated oral, gastric and small intestinal digestion, was shown to be higher from foods containing fat and protein than from an isoflavone supplement consumed without food. <sup>27</sup>	Effect of fat on release from the food matrix.
Absorption of [ <sup>14</sup> C]-phenanthrene, [ <sup>14</sup> C]-TCDD or [ <sup>14</sup> C]-B(a)P administered in milk to pigs with a direct relationship between the PAH absorption and fat absorption. <sup>28</sup>	Role of dietary fats in the bioavailability of polycyclic aromatic hydrocarbons (PAHs). <sup>29</sup>
Effects on the uptake of B(a)P by dietary fibre and beef. <sup>30, 31</sup>	Role of dietary fats in the bioavailability of polycyclic aromatic hydrocarbons (PAHs). <sup>29</sup>
Influence of fatty acid lipid composition on PAH (7,12-dimethylbenz[a]anthracene) bioavailability. <sup>32</sup>	Role of dietary fats in the bioavailability of polycyclic aromatic hydrocarbons (PAHs). <sup>29</sup>
Rate of absorption of EGCG was higher in rats following the combined exposure to EGCG and	The absorption rate constant (K <sub>a</sub> ) of pure EGCG after intragastral administration was

<p>decaffeinated green tea (equivalent dose of EGCG of 14.6 mg/kg) in comparison to the situation in which pure EGCG (75 mg/kg) was intragastrically administered to the rats.<sup>33</sup></p>	<p><math>1.4 \pm 0.6 \text{ min}^{-1} * 10^{-3}</math> and <math>K_a</math> of EGCG from decaffeinated green tea was <math>5.0 \pm 2.6 \text{ min}^{-1} * 10^{-3}</math>. Mode of action not known.</p>
<p>Pomegranate juice was found to show higher antiproliferative, apoptotic and antioxidant activity in vitro than the individual polyphenols extracted from the fruit.<sup>34,35</sup></p>	<p>Mode of action has not been identified.</p>
<p>A plant matrix of cinnamon influenced the bioavailability of coumarin, albeit to a limited extent.<sup>36</sup> The authors demonstrated that the relative extent of coumarin absorption (measured as urinary excretion of the coumarin main metabolite 7-hydroxycoumarin) from powder of cassia cinnamon or from rice pudding was only slightly lower (89 % and 87% respectively) than that of isolated coumarin. Surprisingly, the extent of absorption of coumarin in cinnamon tea was slightly higher amounting to 105% of that of the isolated coumarin.</p>	<p>Theoretically this observation may be explained by the fact that components of the cinnamon matrix that interfere with the absorption of coumarin are not transferred from the cinnamon powder to the tea during preparation.</p>
<p>Administration of green tea extracts to fasting dogs leads to a no-observed-adverse-effect-level (NOAEL) for EGCG being at least 10-fold lower than that derived from the study in pre-fed dogs.<sup>37</sup> This may suggest that conditions in which green tea extracts are taken combined with food consumption may minimize possible risks. Sarma et al. (2008) even concluded that clinical pharmacokinetic and animal toxicological</p>	<p>Mode of action has not been identified.</p>

<p>information indicated that consumption of green tea concentrated extracts on an empty stomach is more likely to lead to adverse liver effects than consumption in the fed state.<sup>38</sup></p>	
<p>In a clinical study in healthy human volunteers it was demonstrated that greater bioavailability of free catechins can be achieved by taking high grade green tea polyphenol extract capsules on an empty stomach after an overnight fast.<sup>39</sup></p>	<p>Mode of action has not been identified.</p>
<p>The bioavailability of aflatoxins (i.e. aflatoxin B1 (AFB) could be reduced by chlorophyllin present in green leafy vegetables (e.g. spinach).<sup>13-15</sup> This example is discussed in some more detail in the main text.</p>	<p>Chlorophyllin was shown to form a strong non-covalent complex with AFB in vitro and it was suggested that this complex formation between chlorophyllin-like compounds and carcinogens having an at least partially planar aromatic structure may contribute to the chemopreventive activities associated with a high green vegetable intake.<sup>15</sup></p>
<p>Natural compounds and plant extracts reduce the toxic potential of many food-related toxins: AFB, fumonisins, and ochratoxin A produced by fungi; cholera toxin produced by <i>Vibrio cholerae</i> bacteria; Shiga toxins produced by <i>E. coli</i> bacteria; staphylococcal enterotoxins produced by <i>Staphylococcus aureus</i> bacteria; ricin produced by seeds of the castor plant <i>Ricinus communis</i>; and the glycoalkaloid <math>\alpha</math>-chaconine synthesized in potato tubers and leaves.<sup>40</sup></p>	<p>Complex formation thereby limiting bioavailability. For example, apple juice inhibits the biological (toxicological) activity of the food-borne pathogen Staphylococcal enterotoxin A in vitro because phenolic compounds in the apple juice may reduce the toxicity by binding the toxic protein, decreasing its bioavailability.<sup>41</sup></p>

<p>The bioavailability of nickel in the matrix of scrambled eggs was considerably reduced compared to the situation where nickel in drinking water was ingested during fasting.<sup>19</sup> This study is discussed in some more detail in the main text.</p>	<p>Complex formation between nickel and matrix components.</p>
<p>The bioavailability of ingested soluble lead (Pb) in adults had been found to vary from 2-10% when ingested with a meal to 60-80% when ingested after a fast.<sup>42</sup></p>	<p>Complex formation between lead and matrix components.</p>
<p>Fasting humans absorbed 40-50% of <sup>203</sup>Pb taken in distilled water,<sup>43</sup> irrespective of the addition of Pb carrier up to 100 mg per dose. When taken with tea or coffee, uptake averaged 14% and with beer 19%. Much lower uptakes, ranging from 3 to 7%, were found when <sup>203</sup>Pb was taken with a meal.</p>	<p>Complex formation between lead and matrix components.</p>
<p>Consuming a balanced meal with added soluble <sup>203</sup>Pb reduced lead uptake to 4% and the influence of the food lasted for up to 3 h after consuming a meal.<sup>44</sup></p>	<p>Constituents of food in the gastrointestinal tract decrease the ingested lead absorption, although the exact mechanisms by which this occurs are not entirely understood.<sup>44</sup></p>
<p>In an in vitro digestion model black coffee as well as green and black tea added to each of the fish meal samples (raw fish) significantly reduced mercury bioaccessibility by 50-60%, compared to raw fish mercury bioaccessibility. Corn starch addition did not show significant impact on mercury bioaccessibility. Moreover, it was shown that boiling and frying reduced mercury bioaccessibility by 40% and 60%</p>	<p>Complex formation between mercury and matrix components.</p>

respectively, when compared to raw fish. <sup>45</sup>	
<p>Cadmium, chromium, copper, nickel, manganese, lead and zinc present in the finest size particles (clay fraction) of urban soils are not equally bioaccessible.<sup>46,</sup></p> <p><sup>47</sup> This appeared to be of importance when evaluating the risks associated with geophagy, the practice of eating clay or soil, as practiced by for example children and pregnant and lactating women on parts of the African continent, in Asia, and in South and Central America.<sup>48</sup></p>	Complex formation between metals and matrix components.
<p>The bioavailability of polychlorinated dibenzo-p-dioxins and dibenzofurans (PCDD/F) in young Goettingen minipigs orally exposed to known amounts of PCDD/F either from soil (soil-bound) or as an extract of the same soil by solvent was different.<sup>49</sup></p> <p>Under the experimental conditions and compared to PCDD/F orally administrated in solvent, the soil matrix reduced the bioavailability of PCDD/F by about 70%.</p>	Complex formation between (PCDD/F) and matrix components.
<p>In an in vitro model of gastrointestinal digestion followed by uptake into Caco-2 cells significantly lower amounts of [<sup>14</sup>C]-B(a)P were present in Caco-2 cells from soil containing a higher percentage of organic matter compared to soil with a lower percentage of organic matter.<sup>50</sup></p>	Complex formation between B(a)P and matrix components.
<p>The bioavailability of methyleugenol when given orally in a pure form is likely to be higher than when it</p>	Mode of action has not been identified.

<p>is given as a part of the food matrix. This was derived from the observation that when the bioavailability of methyleugenol from a gingersnaps and orange juice food matrix would be 13.8%, the experimental value observed in a human intervention study would accurately match the values predicted by a physiologically based kinetic (PBK) model.<sup>51</sup></p>	
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508 Table 2: Examples of matrix-derived combination effects on distribution of food-borne toxic  
 509 compounds and their possible mode of action.

Matrix derived combination effect	Possible mode of action
<p>Distribution of EGCG was different when pure EGCG was administered intravenously to rats than when an equivalent dose of EGCG was given intravenously in combination with decaffeinated green tea.<sup>33</sup> The plasma concentration of EGCG and the area under the plasma concentration versus time curve were lower following the intravenous exposure to pure EGCG. In addition, a larger distribution volume was observed following the treatment with pure EGCG.</p>	<p>Interactions at the level of activity of efflux transporters.</p>
<p>Effect of dietary fat on disposition and metabolism of fluoranthene, a food-borne PAH, revealed that fluoranthene DNA adduct formation in several target tissues of F-344 rats was higher when administered through saturated fat compared to mono- and polyunsaturated fat groups.<sup>52</sup></p>	<p>Fat composition of the diet affects disposition and metabolism.<sup>52</sup></p>
<p>An increase in polyunsaturated fat content in the diet greatly elevated the conversion of B(a)P-7,8-dihydrodiol to its ultimate carcinogenic metabolite B(a)P-7,8-dihydrodiol-9,10-epoxide and also resulted in higher levels of DNA binding.<sup>53</sup></p>	<p>The type of lipids available in plasma and their levels may play a role in delivering absorbed PAHs to target organs and/or in oxidation and formation of metabolites via lipid peroxidation related-processes, which were suggested to be enhanced upon intake of a diet rich in polyunsaturated fatty acids.</p>

<p>The high density lipoprotein fraction facilitates B(a)P uptake into hepatocytes, whereas low-density lipoproteins inhibit the uptake.<sup>54</sup></p>	<p>After gastric instillation B(a)P is absorbed via the intestinal lymphatic drainage and transported to the vascular circulation sequestered within lipoproteins. Therefore, it is possible that variations in the lipoprotein composition induce differences in uptake of PAHs into the liver and other organs and may determine the differences not only in organ specific metabolism but also in organ specific DNA adduct formation.</p>
<p>The flavonoid baicalin has been observed to modulate the protein binding of the drug nifedipine, resulting in an increased C<sub>max</sub> of unbound nifedipine.<sup>55</sup></p>	<p>Competition for plasma transport by plasma proteins may influence distribution.<sup>55</sup> Similar interactions between food ingredients influencing plasma protein binding have not been reported, but could theoretically occur.</p>

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513 Table 3: Examples of matrix-derived combination effects on metabolism of food-borne toxic  
 514 compounds and their possible mode of action.

Matrix derived combination effect	Possible mode of action
In a <i>Salmonella typhimurium</i> NM2009 system individual PAHs were shown to inhibit their own metabolism and metabolism of other carcinogens catalysed by cytochrome P450 (CYP)1A1, CYP1A2 and CYP1B1. <sup>81</sup>	Interaction with phase 1 enzymes.
Daidzein, a principle isoflavone in soybean, has an inhibitory effect on the metabolism of the CYP1A2 substrates caffeine and theophylline. <sup>62</sup>	Daidzein, in higher doses may inhibit CYP1A2 activity in vivo.
Luteolin inhibited B(a)P-induced expression and activity of CYP1A1 in Caco-2 cells exposed to B(a)P. <sup>21</sup>	Inhibition of CYP1A1.
Dietary consumption of apiaceous and allium vegetables was shown to inhibit CYP1A2 activity in humans, and it has been demonstrated that some compounds in those vegetables (e.g., apigenin, psoralen, 5- and 8-metoxypsoralen) act as potent inhibitors of human CYP1A2 and significantly reduce human CYP1A2-mediated mutagenicity of AFB in a recombinant in vitro system. <sup>82</sup>	Inhibition of CYP1A2.
Inhibitory effect of flavonoids (e.g. naringin, naringenin, kaempferol and quercetin) and furanocoumarins (e.g. bergamottin and 6',7'-	Inhibition of CYP3A4.

<p>dihydroxybergamottin), occurring in grapefruit juice, on CYP3A4 activity.<sup>56-58</sup> This example is discussed in some more detail in the main text.</p>	
<p>Many diet-derived compounds have been shown to influence the biotransformation of AFB, and some efficiently protect against AFB-induced genotoxicity.<sup>61</sup></p>	<p>These observations have been related to inhibition of the activity of CYP1A2 and CYP3A4 the major enzymes involved in the bioactivation of AFB to its genotoxic epoxide metabolite <i>exo</i>-AFB-8,9-epoxide.</p>
<p>Dietary flavonoids (i.e. flavone, galangin tangeretin) showed a potent inhibition of CYP1A2 in vitro.<sup>61</sup></p>	<p>Inhibition of CYP1A2.</p>
<p>Nevadensin inhibits the sulfotransferase (SULT) mediated bioactivation of the allylalkoxybenzenes like estragole en methyleugenol, also known to be present in basil.<sup>65-68</sup> This example is discussed in some more detail in the main text.</p>	<p>Inhibition of phase 2 enzymes.</p>
<p>St. John's wort can induce CYP3A4, and this increased CYP3A4 activity can result in a decrease of plasma levels of several prescription drugs including alprazolam, irinotecan and indinavir.<sup>74-77</sup> In addition, St. John's wort may interfere with the efficacy of oral contraceptives.<sup>78, 79</sup></p>	<p>Induction of expression of metabolic phase 1 enzymes.</p>
<p>Dietary compounds of different origin (e.g., constituents of brassica vegetables and hops) have been shown to modify expression of human hepatic enzymes involved in the oxidation of</p>	<p>Induction of expression of metabolic phase 1 enzymes.</p>

AFB. <sup>61</sup>	
In the mouse intestinal cell line Apc(-/+), and control Apc(+/+) cells the combined exposure to B(a)P and PhIP resulted in an increase of PhIP derived DNA adducts in the presence of B(a)P. <sup>83</sup>	A B(a)P mediated increase in the expression of CYP1A enzymes which are involved in the bioactivation of PhIP may provide a mechanistic explanation for these observations.
Sulforafane protected animals from AFB-induced tumors, reduced AFB biomarkers in humans in vivo and reduced AFB adduct formation in human hepatocytes. <sup>61</sup>	In human hepatocytes the protective effects of sulforafane were ascribed to repression of human hepatic CYP3A4 expression, rather than induction of protective GSTs. <sup>61</sup>
Another major glucosinolate-derived compound present in broccoli, 3,3'-diindolylmethane (DIM), significantly increased AFB-related DNA damage. <sup>84</sup>	DIM significantly increased DNA adduct formation, in a concentration-dependent manner, due to a significant up-regulation of CYP1A1 and CYP1A2 as well as down-regulation of GSTM1. <sup>84</sup>
Inhibition of B(a)P or cyclophosphamide induced mutagenicity by an aqueous extract of the bark of <i>Cinnamomum cassia</i> , a food flavor. <sup>85</sup> In the bone marrow chromosomal aberration assay and the micronucleus test in mice <i>C. cassia</i> extract significantly inhibited the mutagenicity of B(a)P and cyclophosphamide after pretreatment of the mice with the <i>C. cassia</i> extract orally for seven consecutive days.	The <i>C. cassia</i> pretreatment decreased CYP content but increased GSH content and the activity of GSH dependent antioxidant enzymes, including glutathione S-transferases (GSTs), glutathione reductase and glutathione peroxidase. <i>C. cassia</i> -mediated protection could be due to the induction of phase 2 enzymes involved in the detoxification pathways of B(a)P and cyclophosphamide and/or inhibition of phase 1 enzymes responsible for the bioactivation of these carcinogens. <sup>85</sup>
Disposition and metabolism of fluoroanthene in F-344 rats was influenced by dietary fat, and also	The expression and activities of CYP1A1, CYP1B1 and GSTs were more pronounced when

for B(a)P an effect of the type of dietary fat on biotransformation was reported. <sup>52, 86</sup>	B(a)P was administered through saturated fat, compared to its administration through unsaturated fat or tricaprylin, influencing the biotransformation profiles and the level of formation of B(a)P-DNA adducts.
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518 Table 4: Examples of matrix-derived combination effects on excretion of food-borne toxic compounds  
 519 and their possible mode of action.

Matrix derived combination effect	Possible mode of action
<p>Nevadensin may increase the excretion of the 1'-hydroxymetabolites of allylalkoxybenzenes.<sup>87-91</sup></p>	<p>The relative decrease in the formation of 1'-sulfoxymetabolites occurring in the presence of a botanical matrix containing SULT inhibitors (see section on metabolism), may result in a relative increase in the formation of other 1'-hydroxy metabolites such as 1'-hydroxyglucuronide and 1'-oxo metabolites. Such a shift in metabolism towards detoxification could subsequently lead to an increase in the biliary and urinary excretion of these metabolites.<sup>87-91</sup></p>
<p>In rats EGCG was found to be more rapidly eliminated following the intravenous or intragastric administration of pure EGCG compared to that following the concomitant exposure with decaffeinated green tea.<sup>33</sup> In line with these findings, Johnson et al. (1999) reported that the mortality pattern in an oral rat study indicated that a green tea extract was more toxic than would be predicted based on its EGCG content alone.<sup>92</sup></p>	<p>Mode of action has not been identified.</p>
<p>When nickel was mixed into scrambled eggs or taken simultaneously with eggs a 10-fold lower amount of the administrated dose (2.5%) was</p>	<p>Mode of action has not been identified.</p>

excreted in comparison with the amount (25.8%) excreted in urine when eggs were taken 4 h prior to nickel containing drinking water. <sup>19</sup>	
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523 **Figure legends**

524 Figure 1. Cellular location of intestinal ABC transporters. For references see Brand et al. (2006).

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526 Figure 2. Bioactivation pathway of estragole indicating the inhibition by nevadensin at the level of  
527 SULT mediated conversion to the ultimate carcinogenic metabolite 1'-sulfoxyestragole.

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529 Figure 3. PBK-model based prediction of the dose dependent food-matrix-derived effect of the basil  
530 ingredient nevadensin on the DNA adduct formation in rats by the genotoxic carcinogen estragole also  
531 present in basil. Black bars represent the predicted DNA adduct formation in absence of nevadensin  
532 and the grey bars the level of DNA adduct formation in presence of nevadensin. The ratio of  
533 nevadensin to estragole was kept constant at 1:1.7, which reflects a possible ratio in basil, and the dose  
534 of both compounds was increased as indicated. The PBK model used was the model described by  
535 Alhusainy et al. (2013).<sup>66</sup>

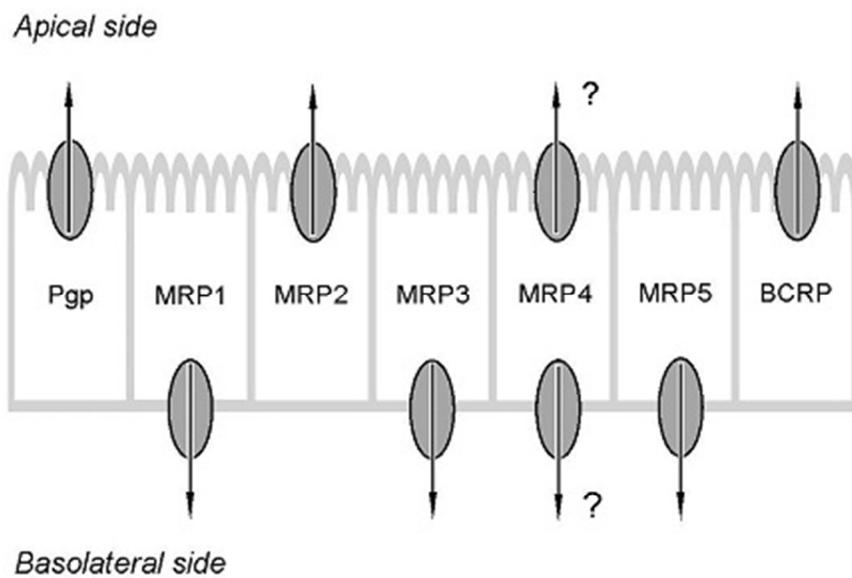


Figure 1. Cellular location of intestinal ABC transporters. For references see Brand et al. (2006).  
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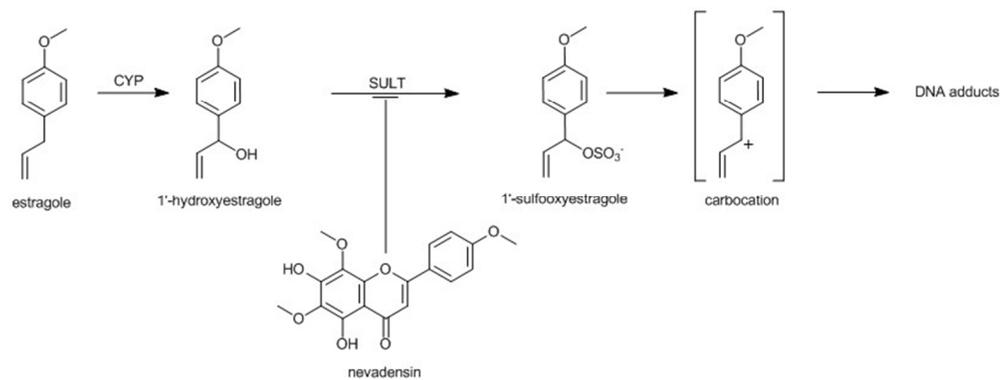


Figure 2. Bioactivation pathway of estragole indicating the inhibition by nevadensin at the level of SULT mediated conversion to the ultimate carcinogenic metabolite 1'-sulfoxyestragole.  
171x65mm (120 x 120 DPI)

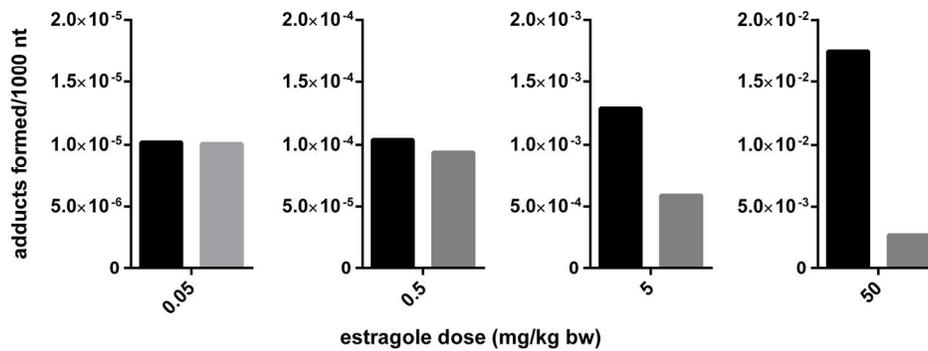


Figure 3. PBK-model based prediction of the dose dependent food-matrix-derived effect of the basil ingredient nevadensin on the DNA adduct formation in rats by the genotoxic carcinogen estragole also present in basil. Black bars represent the predicted DNA adduct formation in absence of nevadensin and the grey bars the level of DNA adduct formation in presence of nevadensin. The ratio of nevadensin to estragole was kept constant at 1:1.7, which reflects a possible ratio in basil, and the dose of both compounds was increased as indicated. The PBK model used was the model described by Alhusainy et al. (2013).68  
81x32mm (600 x 600 DPI)