

Journal of Materials Chemistry A

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In-Situ* High-Energy Synchrotron X-ray Diffraction Studies and First Principles*Modeling of α -MnO₂ Electrodes in Li-O₂ and Li-ion Coin Cells**Zhenzhen Yang,^a Lynn Trahey,^a Yang Ren,^b Maria K. Y. Chan,^{*c} Chikai Lin,^aJohn Okasinski,^b and Michael M. Thackeray^a^a*Chemical Sciences and Engineering Division*^b*X-ray Science Division, Advanced Photon Source*^c*Center for Nanoscale Materials**Argonne National Laboratory, 9700 South Cass Avenue, Argonne, IL 60439, USA**Corresponding author: **Maria Chan (mchan@anl.gov)****Abstract**

Despite their technological challenges, non-aqueous rechargeable lithium-oxygen cells offer extremely high theoretical energy densities and are therefore attracting much attention in a rapidly emerging area of electrochemical research. Early results have suggested that, among the transition metal oxides, alpha manganese dioxide (α -MnO₂) appears to offer electrocatalytic properties that can enhance the electrochemical properties of Li-O₂ cells, particularly during the early cycles. In this study, we have investigated the hybrid Li-ion/Li-O₂ character of α -MnO₂ electrodes in Li-O₂ coin cells by in-situ high-energy synchrotron X-ray diffraction, and compared the results with conventional Li/ α -MnO₂ coin cells assembled under argon. Complementary first principles density functional theory calculations have been used to shed light on competing lithium insertion and lithium and oxygen insertion reactions within the α -MnO₂ tunnel structure during discharge, relative to lithium peroxide or lithium oxide formation.

1. Introduction

Electrochemical Li-O₂ cells have attracted substantial attention in recent years because their high intrinsic energy density (about 3600 and 5200 Wh/kg for Li/Li₂O₂ and Li/Li₂O cells, respectively, based on the mass of the active electrode materials only) can potentially meet the requirement for electric vehicle applications.¹⁻³ A key component of the cell is the porous oxygen electrode (the cathode). Because the oxygen-oxygen bond is not completely severed during the electrochemical formation of lithium peroxide, Li₂O₂, this partial discharge reaction is, in principle, reversible;^{2, 3} this reaction is therefore preferred to the full discharge reaction that generates the Li₂O structure in which the oxygen ions form a cubic-close-packed array.⁴ In practice, the O₂ electrode is limited by 1) sluggish kinetics of the oxygen reduction/oxidation reactions, 2) undesired side reactions, e.g., with electrolyte solvents, and 3) inefficient design,⁵⁻⁷ all of which influence the power and energy output, as well as the energy efficiency of the cell. For example, current Li-O₂ cells are typically charged at 0.1-0.5 mA/cm², whereas Li-ion batteries can be typically charged at >10 mA/cm².^{7, 8} Furthermore, the potential difference between charge and discharge reactions in Li-O₂ cells is often greater than 1.0 V, which results in a low energy efficiency (typically <60%) relative to Li-ion cells (>90%).⁷ Thus, there is a pressing need for efficient and effective electrocatalysts to improve the rate of O₂ reduction and oxidation reactions, thereby reducing high overpotentials, particularly during charge.

Prior research has suggested that transition metal oxides, particularly manganese oxides and iron oxides, are promising candidates as electrodes/electrocatalysts for Li-O₂ cells, and that MnO₂, in particular, improves the reversibility and lowers the overpotential during charge and discharge.⁹⁻¹² In fact, MnO₂ is not new to the lithium battery community; it has served as an insertion electrode in primary and rechargeable lithium batteries for many years.¹³⁻¹⁹ Nonetheless, its function in Li-O₂ cells has still to be explored in detail.

Research of various manganese oxides by Bruce and others^{10, 20, 21, 22} has demonstrated that, when used in Li-O₂ cells, the crystal structure and morphology of the MnO₂ electrode/electrocatalyst have a significant effect on the electrochemical behavior of the cells. One polymorph in particular, α -MnO₂, which has a hollandite-type structure with relatively large, one-dimensional '2×2' tunnels formed by the connection of octahedral [MnO₆] units, operates as a superior catalyst in Li-O₂ cells relative to other MnO₂ polymorphs, such as β -MnO₂ nanowires with narrow 1-D '1×1' tunnels (rutile-type structure) and δ -MnO₂ nanosheets with a two-dimensional, layered-type structure.^{10, 20, 17, 21} These authors have proposed that the open framework of the α -MnO₂ structure plays a key role in the electrochemical reaction, because both intercalated lithium ions and lithium-oxide discharge products can be incorporated in, and removed from, the interstitial space of the α -MnO₂ framework during discharge and charge, respectively.^{8,10,13} Note that the lithium-ion reactions would be accompanied by partial redox of the manganese ions, thereby giving the α -MnO₂ hybrid electrode/electrocatalyst (or Li-ion/Li-O₂ character). However, it is not yet clear how the structural changes in α -MnO₂ electrodes/electrocatalysts relate to reversible Li⁺-ion insertion and extraction reactions and/or to O₂ reduction and oxidation (evolution) reactions, respectively.

The development of *in situ* powder X-ray diffraction techniques, particularly with synchrotron radiation sources, has significantly advanced our understanding of the structural evolution of electrodes during electrochemical cell cycling.²³⁻²⁵ The availability of high flux, high energy synchrotron X-rays allows structural changes of electrochemically active materials in complex environments to be probed quickly and in great detail. The ability to obtain both structural and electrochemical information in real time and in a synchronized manner, and the advantage of comparing multiple measurements from the same sample, has provided a clearer picture about the mechanisms which govern the electrochemical processes that take place in

electrode materials. In this study, *in situ* X-ray diffraction (XRD) measurements were obtained on α -MnO₂ electrodes when operated under argon in standard lithium cells, and under oxygen in Li-O₂ cells. In both types of cells, the XRD data provides evidence of systematic changes to the α -MnO₂ structure on cycling that correspond to lithium/lithium oxide insertion/extraction, whereas the electrochemical profiles of the Li-O₂ cells suggest that oxygen reduction/oxidation also occurs, which includes Li₂O₂ (or Li₂O) formation during discharge, consistent with the concept of a hybrid electrode system.

2. Experimental and Computational Methods

2.1 MnO₂ synthesis

α -MnO₂ was synthesized from a Mn₂O₃ precursor that was prepared by heating electrolytic manganese dioxide (Energizer) in air at 700 °C for 24h. Refluxing the Mn₂O₃ precursor in 4.5M H₂SO₄ for 16 h at 60 °C yielded a dark brown product which was then filtered and rinsed with deionized H₂O. The product was dried in an oven at 275 °C for 16h before being used as the cathode in lithium coin cells. A thermogravimetric analysis (TGA) was obtained for a partially hydrated sample heated at 275 °C and stored in a desiccator for several months, and a freshly heated sample at 275 °C. The samples were heated from room temperature to 700 °C at a rate of 5 °C/min using a NETZSCH instrument (mode: TG 449 F3 Jupiter). The experiment took place in compressed air gas with flow rate of 60ml/min.

2.2 Cell design

Li-O₂ coin cells (size 2032) with X-ray transparent windows were specifically designed and constructed to study real-time electrochemical processes at Argonne's Advanced Photon Source and to monitor, *in situ*, phase changes at the oxygen electrode during electrochemical cycling. A schematic representation of the cell is shown in Figure 1a. Porous cathodes consisted

of 32% α - MnO_2 , 41% PVdF binder, and 27% conductive carbon. The cathode laminates were made by mixing a slurry of the solid components in a 4:1 ratio of dry acetone and propylene carbonate, respectively, casting the slurry onto glass and, thereafter, drying and cutting the thin film into a disc electrode, $\frac{1}{2}$ " in diameter. A lithium foil was used as the counter electrode and separated from the MnO_2 -carbon disc by two layers of quartz fiber (Whatman QMA) to prevent internal shorting. The electrolyte was a 1M solution of LiTFSi in tetraethylene glycol dimethyl ether, $\text{CH}_3\text{O}-(\text{CH}_2\text{CH}_2\text{O})_4-\text{CH}_3$ (TEGDME) purified through standard measures to minimize water.

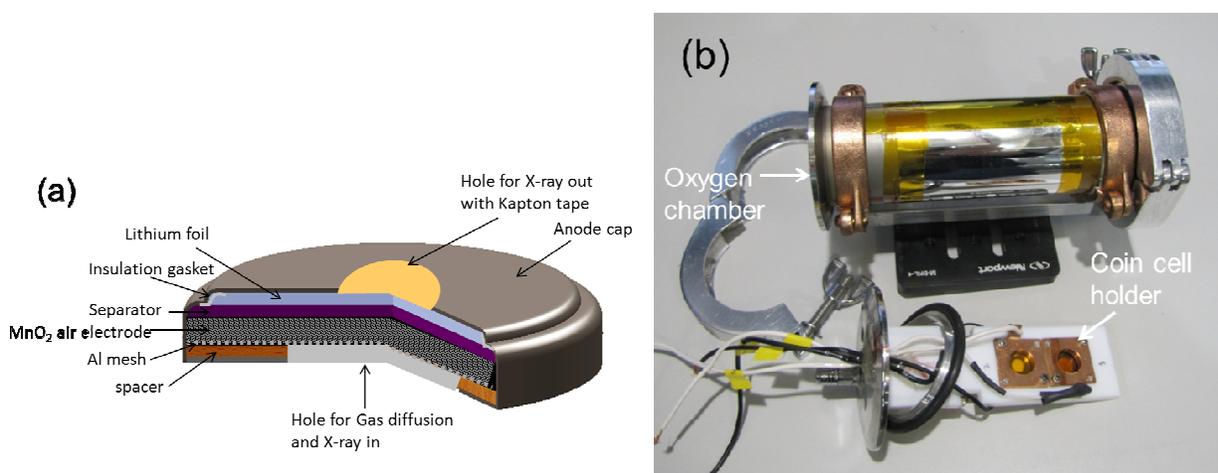


Figure 1. Schematic view of (a) a coin cell and (b) the oxygen chamber used for the *in situ* X-ray experiments. A copper holder was used to house the coin cell within the chamber that had airtight aluminized mylar windows through which the X-rays passed during the experiments.

A glass chamber (Figure 1b) to house the Li- O_2 cell was designed and manufactured as follows: A stainless steel cylinder, 5 cm in diameter, was sealed on both ends by O-rings and stainless steel clamps. Gas inlets and outlets and electrical circuits were accommodated in the plates. Circular holes, approximately 7 mm in diameter in the cathode can and 3 mm in diameter in the anode lid of the coin cell, permitted transmission of the X-rays through the entire cell; the lid was covered by a thin Kapton tape, whereas the base of the can remained open, the electrolyte being contained within the cell by wicking to separator fibers, the MnO_2 -carbon electrode and a

porous Al mesh at the base of the cell (Figure 1a). The coin cell was contained in a copper holder which, in turn, was embedded in a Teflon plate to prevent the possibility of short circuiting. Two aluminized mylar foil windows in the chamber were aligned in the direction of the X-ray beam and detector. A more detailed description of the cell design and chamber is provided in a recent paper by Ryan et al.²⁶ Coin cells were assembled and sealed in the chamber in an Ar-filled glove box. After removal from the glove box, the chamber was purged with O₂ for 40 min through the inlet and outlet ports, and allowed to soak for 2 hrs.

Standard Li/ α -MnO₂ coin cells (also size 2032) were assembled, using the same TEGDME electrolyte and sealed under argon for comparative electrochemical evaluation.

2.3 Electrochemical Cycling

Both Li/ α -MnO₂-O₂ and standard Li/ α -MnO₂ (Ar) coin cells were charged and discharged at 0.05 mA at room temperature and electrochemically controlled by a MACCOR battery cycler. For the first two cycles, the Li/ α -MnO₂-O₂ cell was first discharged for 10 h and then charged for 10 h before being discharged to 2.0 V without a time limitation, and finally charged for 7h before termination of the *in situ* experiment. The Li/ α -MnO₂ (Ar) coin cell was subjected to only one discharge to 2.2 V and a single charge to 4.4 V to monitor differences in the voltage profiles of the two types of cell, for comparison.

2.4 *In situ* X-ray measurements

XRD patterns were collected continuously using a high-energy synchrotron X-ray beam (20.49 keV, wavelength 0.60505Å) at Sector 1-BM-B of the Advanced Photon Source at Argonne National Laboratory. The diffraction scans were typically 5 min in duration with a relatively long 30 min intermission between scans to reduce X-ray damage to the samples. A Perkin-Elmer large area detector covered the 0-40° 2 θ range of the complete scan. For most figures, the two-dimensional diffraction patterns were calibrated and converted to a conventional

intensity vs. 2θ format using the program Fit2D.²⁷ XRD patterns of the α -MnO₂ electrode powders were collected to determine and confirm the purity of the materials. The software package GSAS with the EXPGUI interface was used for Rietveld refinements of unit cell and other structural parameters.^{28, 29}

2.5 First principles density functional theory calculations

Density functional theory (DFT) calculations of the parent and various lithiated and Li₂O-containing α -MnO₂ structures were performed using the plane wave code VASP,³⁰ with supplied projector augmented wave (PAW) potentials.³¹ The soft version of the PAW potential for oxygen was used. To treat the exchange-correlation, the generalized gradient approximation (GGA) of Perdew-Becke-Ernzerhof³² (PBE) with the Hubbard- U correction in the implementation of Dudarev et al.³³ was employed. The value of U was chosen to be 3.5 eV in order to reproduce experimental formation enthalpies of 5 compounds in the Li-Mn-O system.³⁴ Kinetic energy cutoffs of 350 eV for the plane wave basis set, and Γ -centered grids of 8000 Å³/V k-points, where V is the volume of the computational cell, were used. The cell parameters and the internal coordinates of the atoms were relaxed until the total energy converged to 0.1 meV/cell, and then re-relaxed to ensure the consistency of plane wave basis set.

3. Results and Discussion

3.1 The α -MnO₂ Structure

α -MnO₂ exists in nature in several mineral forms;³⁵ it has a 2×2 tunnel structure which is typically stabilized by cations at the center of the tunnels such as Ba²⁺ (hollandite) and K⁺ (cryptomelane), as shown schematically in Figure 2a. The presence of these cations results in a slight reduction of the manganese ions for charge balance. It has also been demonstrated that the tunnel can be occupied by NH₄⁺³⁶ or H₃O⁺ (or H₂O),¹⁵ in which case the center of the tunnel is

occupied by a negatively charged N^{3-} or O^{2-} anion, respectively. The water in the tunnel can be replaced through an ion-exchange process to produce a Li_2O -stabilized product (Figure 2b).^{13, 37}

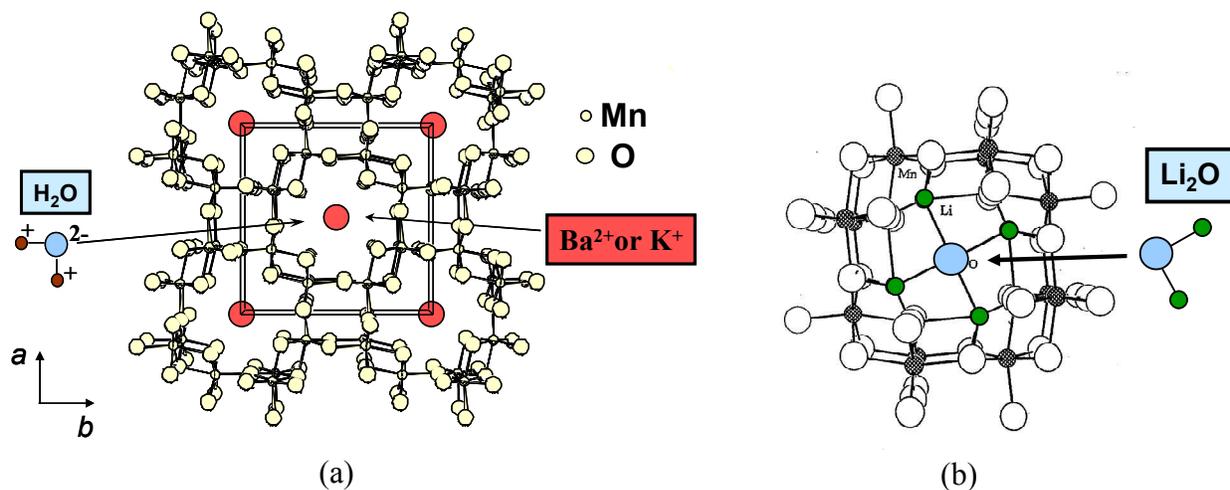


Figure 2. The structures of $\alpha\text{-MnO}_2$ as represented by the minerals (a) hollandite (Ba-stabilized) and cryptomelane (K-stabilized)³⁸ and (b) lithia-stabilized $\alpha\text{-MnO}_2$.¹³

The amount of stabilizing cationic or anionic species appears to be essentially constant throughout the series of $\alpha\text{-MnO}_2$ (Mn_8O_{16}) compounds, i.e., between 1.2 and 1.6 per Mn_8O_{16} units for $\text{Ba}_{1.2}\text{Mn}_8\text{O}_{16}$,³⁹ $\text{K}_{1.3}\text{Mn}_8\text{O}_{16}$,³⁶ $\text{Rb}_{1.3}\text{Mn}_8\text{O}_{16}$,³⁶ $(\text{NH}_4)_{1.4}\text{Mn}_8\text{O}_{16}$,³⁶ and $(\text{H}_2\text{O})_{1.6}\text{Mn}_8\text{O}_{16}$.¹⁵ When hydrated $\alpha\text{-MnO}_2$ is ion-exchanged with lithium, an X-ray refinement of the structure has yielded the composition $0.15\text{Li}_2\text{O}\cdot\text{MnO}_2$,¹³ or $(\text{Li}_2\text{O})_{1.2}\text{Mn}_8\text{O}_{16}$, in general agreement with the composition of related compounds structures, indicating that $\alpha\text{-MnO}_2$ tunnel structures are stabilized, in general, by approximately 0.15 to 0.20 cations or anions, located at the tunnel center, per formula unit.

3.2 Structural Characterization of Hydrated and Dehydrated $\alpha\text{-MnO}_2$

The powder XRD patterns of two partially hydrated (275°C) samples and one dehydrated (400 °C) $\alpha\text{-MnO}_2$ sample are shown in Figure 3. The patterns are remarkably similar, suggesting that the size of the 2×2 cavity is largely unaffected by the dehydration process. The refined

lattice parameters, together with the water content of the samples, as determined from the TGA plots in Figures 4a and b, are listed and compared with data from earlier work in Table 1.

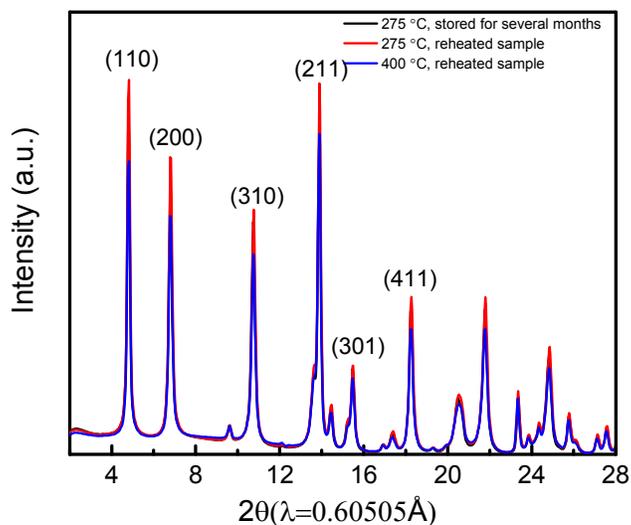


Figure 3. Synchrotron XRD patterns ($\lambda=0.60505\text{\AA}$) of partially dehydrated and fully dehydrated $\alpha\text{-MnO}_2$.

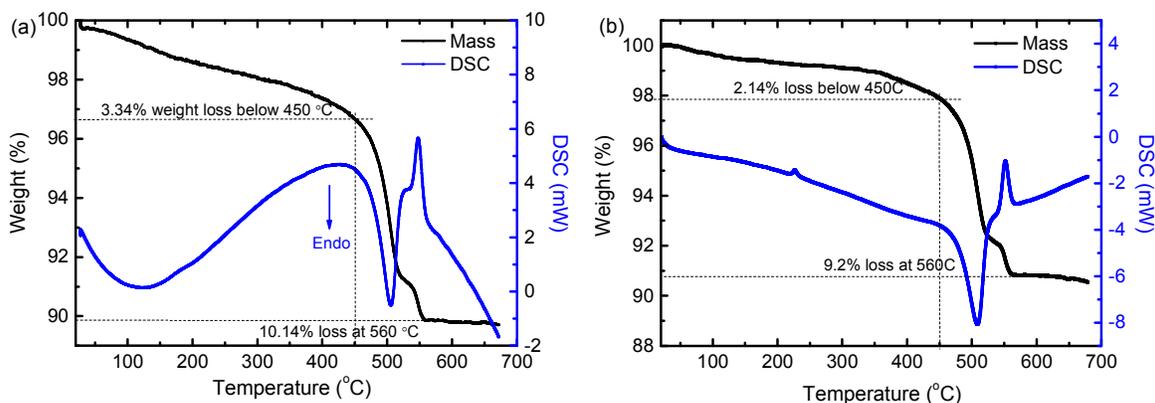


Figure 4. TGA data of two partially dehydrated $\alpha\text{-MnO}_2$ samples: (a) after heating at 275 °C and stored in a desiccator for several months, and (b) a freshly heated sample at 275 °C.

Previously reported data have shown that hydrated $\alpha\text{-MnO}_2$ samples, after drying at 100 °C, typically contain about $0.3\text{H}_2\text{O}$ per MnO_2 unit,¹⁵ which is associated with both surface- and lattice water (Table 1). The TGA data of the sample that had been initially dried at 275 °C and stored in a desiccator for several months (Figure 4a) showed a weight loss of about 0.83 wt%

below 120 °C, corresponding to $0.04\text{H}_2\text{O}\cdot\text{MnO}_2$, which was attributed to the desorption of weakly adsorbed surface water; the lattice water released between 120 and 450 °C was 2.51 wt %, corresponding to $0.13\text{H}_2\text{O}\cdot\text{MnO}_2$, thus yielding a total water content of the parent sample of $0.17\text{H}_2\text{O}\cdot\text{MnO}_2$. The TGA data of the freshly heated sample showed that the H_2O content was $0.11\text{H}_2\text{O}$ (Figure 4b), i.e., lower than that of the stored sample, indicative of the tendency for dehydrated samples to re-absorb the lost water. Above 450 °C, the samples lose oxygen and transform to Mn_2O_3 , as already described by others.^{13, 15}

Table 1. Variation in the unit cell parameters of tetragonal $\alpha\text{-MnO}_2$ -based materials

Reference/ Composition	Description	<i>a</i> (Å)	<i>c</i> (Å)	Vol. (Å ³)
<i>Rossouw et al.</i> ¹⁵				
$0.3\text{H}_2\text{O}\cdot\text{MnO}_2$ (lattice and surface H_2O)	hydrated, as prepared, TGA analysis (H_2O), XRD	9.7799	2.8534	272.92
$0.2\text{H}_2\text{O}\cdot\text{MnO}_2$ (lattice water only)	hydrated, as prepared, neutron refinement (O)	9.7826	2.8573	273.44
$\alpha\text{-MnO}_2$	dehydrated at 300 °C, XRD neutron refinement (O)*	9.7851 9.7876	2.8627 2.8650	274.10 274.45
Li_xMnO_2	discharged electrode, XRD	10.039	2.8539	287.62
<i>Rossouw et al.</i> ³⁷				
' $0.12\text{Li}_2\text{O}\cdot\text{MnO}_2$ '	Li ion-exchanged, XRD	9.844	2.85	276.18
<i>Johnson et al.</i> ¹³				
$0.33\text{H}_2\text{O}\cdot\text{MnO}_2$	hydrated, as prepared, TGA, H-analysis, XRD	9.8107	2.8502	274.33
$\alpha\text{-MnO}_2$	dehydrated at 275 °C, XRD	9.7502	2.8607	271.96
$0.05\text{Li}_2\text{O}\cdot\text{MnO}_2$	Li ion-exchanged, XRD	9.8180	2.8595	275.64
$0.10\text{Li}_2\text{O}\cdot\text{MnO}_2$	Li ion-exchanged, XRD	9.9035	2.8531	279.83
$0.15\text{Li}_2\text{O}\cdot\text{MnO}_2$	Li ion-exchanged, XRD	9.9646	2.8499	282.98
$\text{Li}_{0.26}(0.15\text{Li}_2\text{O}\cdot\text{MnO}_2)$	chemically-lithiated product (LiI, 25 °C), XRD	10.169	2.846	294.30
$\text{Li}_{0.42}\text{MnO}_2$	chemically-lithiated product (LiI, 25 °C), XRD	10.039	2.852	287.43
<i>This work</i>				
$0.17\text{H}_2\text{O}\cdot\text{MnO}_2$	dehydrated at 275 °C stored in dessicator (mths) 1 st TGA analysis (H_2O), synchrotron XRD	9.7914	2.8633	274.51
$0.11\text{H}_2\text{O}\cdot\text{MnO}_2$	dehydrated at 275 °C 2 nd TGA analysis (H_2O), synchrotron XRD	9.7732	2.8639	273.55
$\alpha\text{-MnO}_2$	dehydrated at 400 °C, <i>in situ</i> synchrotron XRD	9.8138 9.79*	2.8754 2.87*	276.93 275*

*Values estimated for 25 °C from the 400 °C *in situ* measurements and the thermal expansion coefficients of $\beta\text{-MnO}_2$.⁴⁰

The a and c lattice parameters of the partially hydrated and dehydrated α -MnO₂ tetragonal unit cell (space group = I4/m) with values between 9.77 and 9.79 Å and 2.85 and 2.87 Å, respectively, were essentially unchanged by the dehydration process, in good agreement with the earlier X-ray and neutron data of Rossouw et al.^{15, 41} By contrast, in the XRD study by Johnson et al.,¹³ the refined lattice parameters were $a/b = 9.81$ and $c = 2.85$ Å for a hydrated product and $a/b = 9.75$ and $c = 2.86$ Å for a dehydrated product, respectively, showing more pronounced difference between the hydrated and dehydrated products in the a/b lattice parameter. The comparisons reflect small inconsistencies in the published X-ray and neutron data, particularly in the a/b value of the dehydrated product mentioned above. The lattice parameters of a dehydrated α -MnO₂ sample were therefore obtained, *in situ*, by synchrotron XRD at the APS to determine accurate lattice parameter values for baseline α -MnO₂. Since the *in situ* measurement was performed at 400 °C whereas the other measurements were made at 25 °C, however, adjustments need to be made to account for the effect of thermal expansion. Since thermal expansion coefficients are not available for α -MnO₂, the values for β -MnO₂ were used.⁴⁰ The β -MnO₂ phase has the additional advantage that the 1×1 tunnels are too small for H₂O intercalation, thus ensuring that the temperature dependence of lattice parameter is solely due to thermal expansion. After adjusting for thermal expansion, the baseline lattice parameters for dehydrated α -MnO₂ at 25 °C were determined to be $a/b = 9.79$ and $c = 2.87$ Å (Table 1).

In our DFT calculations, the a/b and c lattice parameters of pure (dehydrated) α -MnO₂ were found to be 9.91 Å and 2.93 Å respectively, i.e., 1.2% and 2.0% larger than the respective baseline values (9.79 Å and 2.87 Å) for dehydrated α -MnO₂ determined, *in situ*, by synchrotron XRD (Table 1). The volume of the dehydrated unit cell (287.75 Å³) is over-predicted by 4.5%.

3.3 DFT Model for Volume Changes with Li/Li-oxide Insertion

Despite the discrepancy in nominal lattice parameters between DFT calculations and XRD measurements, DFT calculations can be used to predict increases in unit cell volumes, relative to dehydrated α -MnO₂, as a function of the Li/Li₂O content in the tunnels. Using α -MnO₂ supercells of 8-24 formula units, various quantities of Li and/or Li₂O were inserted into the 2×2 tunnel structure at various configurations to compute the total energy using DFT+*U* calculations, as described in Section 2.5. A total of 15 xLi₂O•MnO₂, 44 Li_yMnO₂, and 101 xLi₂O•Li_yMnO₂ structures were considered. For each composition (i.e. each set of x and y), the lowest energy configuration and those with energies within 30 meV/Mn of the lowest were considered likely configurations. The optimized volumes of these structures were compared to that of dehydrated α -MnO₂, and the percentage changes calculated.

Figure 5a shows the DFT-calculated percentage volume change of various xLi₂O•Li_yMnO₂ structures, compared to dehydrated α -MnO₂, plotted against the total Li content per Mn. The xLi₂O•MnO₂ structures show a nearly linear behavior and were fitted to a least squares straight line through the origin ($\% \Delta V_x = 25.06x$). The Li_yMnO₂ structures exhibit a quadratic dependence on Li content and were fitted to a least square quadratic line through the origin ($\% \Delta V_y = 17.16y^2 + 5.76y$). The two separate trends in x and y do not adequately predict the volume changes of the mixed xLi₂O•Li_yMnO₂ structures. Therefore, a least squares quadratic fit in the variable xy was performed ($\% \Delta V_{xy} = -233.79x^2y^2 + 45.98xy$) for the residual. The final DFT-based model:

$$\% \Delta V_{\text{total}} = 25.06x + 17.16y^2 + 5.76y + 233.79x^2y^2 + 45.98xy \quad (1)$$

predicts on average 101% of the percentage volume change of the mixed xLi₂O•Li_yMnO₂ structures, with a correlation coefficient of 0.993 and a root-mean-squared error of 0.66

percentage points. Figure 5b shows the percentage volume change predicted from the DFT-based model vs the actual DFT-calculated values.

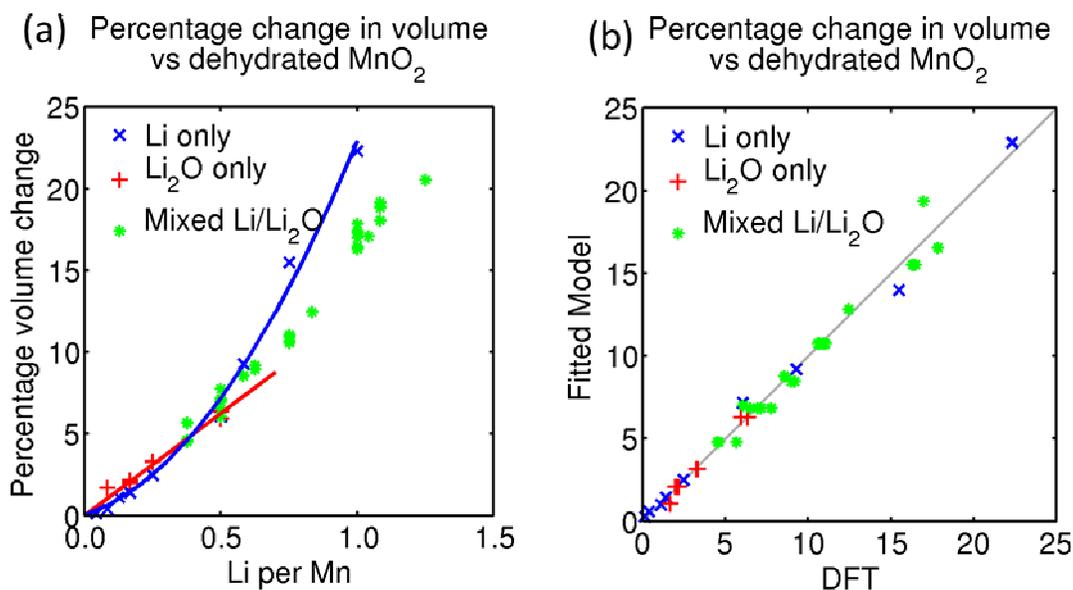


Figure 5. (a) Percentage volume change (relative to dehydrated α - MnO_2) of various Li- and Li_2O - inserted α - MnO_2 structures, as calculated from density functional theory, plotted against Li content. (b) Percentage volume change (relative to dehydrated α - MnO_2) of the DFT-based fitted model (Equation 1) vs the calculated values. The DFT-based model allows the prediction of the percentage volume change of $x\text{Li}_2\text{O}\cdot\text{Li}_y\text{MnO}_2$ compared to dehydrated α - MnO_2 for values of x and y which satisfies $x \leq 0.25$ and $2x + y \leq 1.0$.

Using the above DFT-based model (Equation 1), we compare the predicted volume changes for several compositions with the data for Li_2O - and Li-inserted α - MnO_2 reported by Johnson et al.¹³ The results are shown in Table 2. Using the baseline volume (for dehydrated α - MnO_2) reported by Johnson et al., the root-mean-squared (RMS) error of the predictions compared to the experimental measurements is 0.3 percentage points. Using the baseline volume found in the current work, the RMS error increases to 0.9 percentage points, but the overall trend is still captured. Overall, the DFT predictions are in reasonably good agreement with the experimental data.

Table 2. Percentage volume changes of various compositions of Li- and/or Li₂O-inserted MnO₂, relative to dehydrated α -MnO₂, from previous XRD studies^{13, 21} and from the DFT-based model described above. Since the baseline volume of dehydrated MnO₂ is substantially different in the current work compared to Ref. 13, both sets of results are presented. Regardless of the baseline volume of dehydrated MnO₂ used, the volumes for Li₂O, Li, and Li₂O+Li inserted MnO₂ were taken from Ref. 13.

Composition	Percentage change in volume (compared to dehydrated α -MnO ₂)		
	XRD (baseline from current work)	XRD (baseline from Ref. 13)	DFT-based model
0.05Li ₂ O•MnO ₂	0.29	1.35	1.3
0.10Li ₂ O•MnO ₂	1.82	2.90	2.5
0.15Li ₂ O•MnO ₂	2.96	4.05	3.8
Li _{0.422} MnO ₂	4.58	5.69	5.5
0.15Li ₂ O•Li _{0.26} MnO ₂	7.08	8.22	7.9

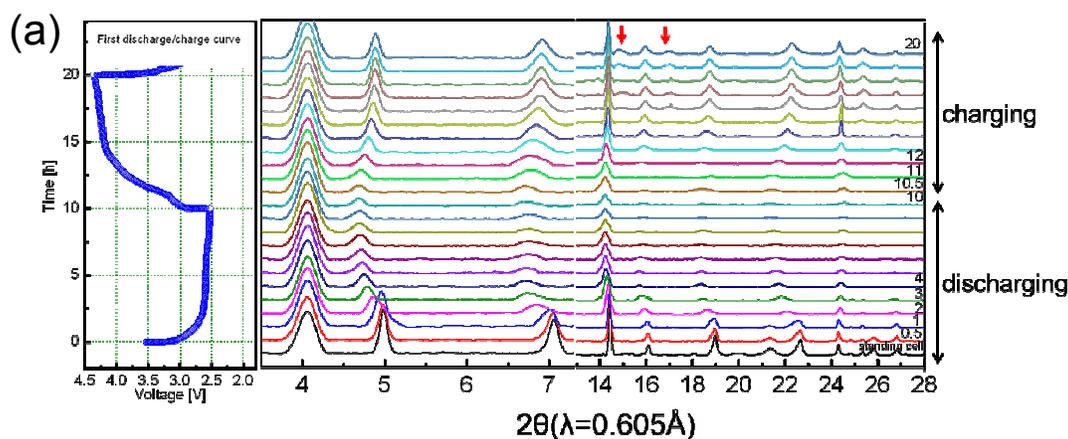
3.4 Structural Changes of α -MnO₂ in Electrochemical Cells

3.4.1 Starting electrode in electrochemical cell

Prior to the electrochemical evaluation of the electrodes, an XRD pattern of an α -MnO₂ electrode was obtained, *ex-situ*, after loading the electrode in a Li-O₂ cell after being immersed in the TEGDME electrolyte (data not shown). Refinement of the α -MnO₂ pattern showed a slight expansion (1.4%) of the unit cell with a=b=9.8506Å, c=2.8592Å and cell volume=277.45 Å³ relative to the partially dehydrated parent electrode material (0.11H₂O•MnO₂) with a=b=9.7732Å, c=2.8639Å and cell volume=273.55 Å³ (Table 1). The increase in the unit cell volume is tentatively attributed to the ion exchange of Li⁺ from the liquid LiTFSi/TEGDME electrolyte with protons from the occluded H₂O component, which would be consistent with the trend of xLi₂O•MnO₂ compositions in Table 1. The measured cell volume is consistent with the predicted, DFT-calculated composition of xLi₂O•MnO₂ where x = 0.04 (using the baseline volume from this work) or 0.08 (using the baseline volume from Ref 13).

3.4.2 *In situ* analysis of Li/ α -MnO₂-O₂ coin cell

A typical *in situ* XRD data set of a Li/ α -MnO₂-O₂ cell, obtained during an initial discharge and charge over a 20 h period, is shown in Figure 6a. The electrochemical data are presented in a voltage vs. time plot to correlate with the time-resolved XRD patterns, from which the background noise was removed for clarity. The cell was cycled between 2.5 - 4.5 V at 0.05 mA for 10 h per half cycle. The combined XRD and electrochemical data indicate that the cell discharges in three distinct steps: During scans 1-2, the cell voltage decreases sharply from 3.5 to 3.0 V in 30 minutes while, during scans 3-7, the potential decreases gradually to 2.5 V over a 5 h period. Thereafter, during scans 8-12, the cell discharges at approximately 2.5 V for another 5 h. It is clear from the XRD patterns of the first 7 scans that significant changes occur to the α -MnO₂ structure during the first two steps.



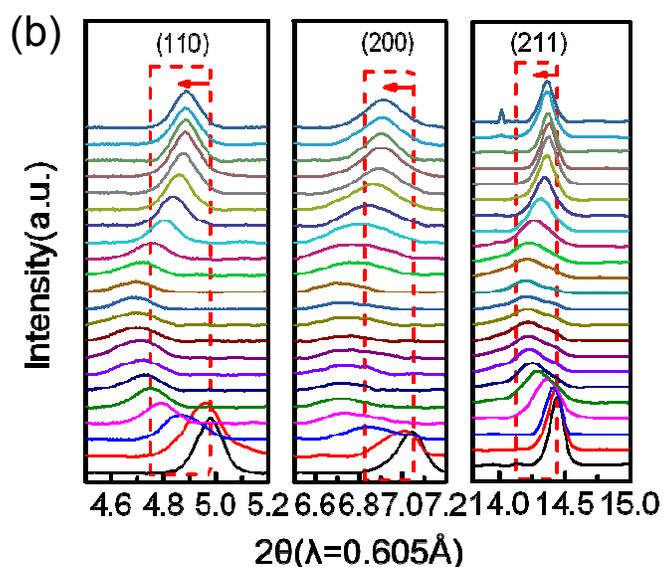


Figure 6. (a) *In situ* XRD patterns (background subtracted) of a Li/ α -MnO₂-O₂ cell during the initial discharge/charge. Patterns were recorded every 30 minutes during discharge/charge, with 2θ ranges 4-8°(left) and 14-28°(right) (partial data provided in the figure). The two red arrows point to peaks to LiF (JCPDS 45-1460). (b) Expanded time-resolved data showing changes in the position and intensity of the (110), (200) and (211) peaks of the tetragonal α -MnO₂ unit cell during cycling.

The shift of the α -MnO₂ peaks to lower 2θ values (higher d-spacing), i.e., from 4.98 to 4.75 ° 2θ during the first 7 scans of discharge, indicates an expansion of the unit cell as a result of lithium (and perhaps some oxygen) insertion into the α -MnO₂ host structure. These data are consistent with the formation of $x\text{Li}_2\text{O}\cdot\text{Li}_y\text{MnO}_2$ compounds^{4, 13, 42} (Table 1) and with previous DFT calculations that have shown that these compounds should be produced electrochemically between 3.0V and 2.7 V relative to a metallic lithium anode.^{17, 21, 43}

The voltage plateau at approximately 2.5 V appears to be associated with Li₂O₂ (and possibly Li₂O) formation. However, there was no evidence of crystalline Li₂O₂ or Li₂O products in the synchrotron XRD patterns, even after discharge to 2.0 V on a third cycle, consistent with experiments using Fourier Transform Infrared (FTIR) spectroscopy and Mass Spectroscopy (MS) as probes to detect these products.⁴⁴⁻⁴⁶ Because DFT calculations reveal that $x\text{Li}_2\text{O}\cdot\text{Li}_y\text{MnO}_2$ compounds are produced close to the electrochemical potential at which Li₂O and Li₂O₂ are

formed,²¹ these two lithium-oxides cannot be excluded unequivocally as possible intermediate products during the early stages of the electrochemical reaction below 3 V. It is interesting to note, however, that in the absence of α -MnO₂, crystalline Li₂O₂ has been identified as a discharged product in Li-O₂ cells that contain TEGDME-based electrolytes.⁴⁷

In order to examine in more detail the competition between lithium insertion/manganese reduction and oxygen reduction reactions during discharge and, conversely, lithium extraction/manganese oxidation and oxygen oxidation during charge, a 90-h, *in situ* electrochemical experiment was conducted at 0.05 mA whereby changes in the α -MnO₂ lattice parameter values (a=b, c, and cell volume) were monitored *in situ* by synchrotron XRD as a function of the discharge/charge profile (Figure 7a). The figure shows three cycles of a Li/ α -MnO₂-O₂ cell (top) with corresponding variations in the lattice parameters of the α -MnO₂ electrode/electrocatalyst (bottom). Table 3 lists the lattice parameters of the α -MnO₂ structure at the start of the experiment and at the end of discharge and charge for each of the three cycles. Figure 7b shows the ranges of total Li and O content during cycling contents as deduced from the DFT-based model described in Section 3.3 and the measured unit cell volume changes. Because both Li₂O and Li-insertion gives rise to volume increase, the amount of Li and O is not uniquely defined. However, it is possible to constrain the amount of Li to within approximately ~20% at all points of electrochemical cycling, thus revealing the variations of Li-content during cycling. Since the effect of oxygen on volume is more subtle than that of Li, this analysis is less effective in narrowing the range of O content, and only a probable increase during the first discharge and possible decrease at the very end of charge can be deduced.

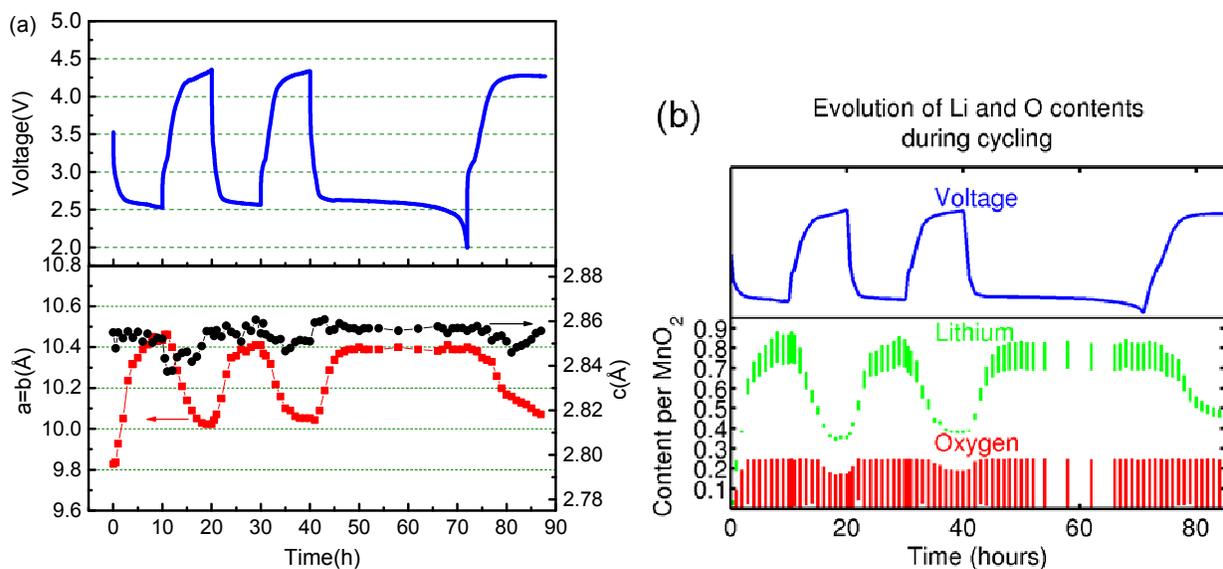


Figure 7. (a) Three cycles of a Li/ α -MnO₂-O₂ cell (top) during which the lattice parameter of the α -MnO₂ electrode/electrocatalyst were determined, *in situ*, by synchrotron XRD (bottom). (b) Changes in Li (green) and O (red) contents during cycling as deduced from XRD measurements and DFT-based model.

Table 3. Variation in the cell parameters of a α -MnO₂ electrode in a Li/O₂ cell at the end of discharge and top of charge - first three cycles.

State of Charge	$a=b$ (Å)	c (Å)	Cell Volume (Å ³)
Starting electrode (in cell)	9.8280	2.8550	275.76
End of 1st discharge	10.4340	2.8521	310.50
Top of 1 st charge	10.0233	2.8556	286.89
End of 2nd discharge	10.4097	2.8546	309.33
Top of 2 nd charge	10.0521	2.8510	288.08
End of 3rd discharge	10.3994	2.8558	308.84
Top of 3 rd charge	10.0712	2.8557	289.65

The changes in the lattice parameters in Figure 7 and Table 3 clearly demonstrate that the α -MnO₂ structure participates in the redox activity of the electrochemical cell; they also show that the expansion/contraction of the α -MnO₂ unit cell during cycling is substantially anisotropic and that the lattice parameters, calculated by Rietveld refinement with GSAS software, are highly correlated with the electrochemical process, at least during the first two, 10-hour cycles (Figure 7).

During the initial 10-h discharge of the Li/ α -MnO₂-O₂ to approximately 2.5 V, the a/b lattice parameter increases significantly from 9.8280 to 10.4340 Å with minimal change to c parameter (\sim 2.85 Å); the volume expands by 12.5%. The Li content at the end of first discharge as deduced from the DFT-based model is \sim 0.8 per Mn, as compared to \sim 0.1 per Mn at the beginning of electrochemical cycling. During the subsequent 10-h charge to approximately 4.3 V, it is evident that not all the lithium is extracted from the electrode because a/b contracts only to 10.0233 Å while the corresponding cell volume decreases by 8.2%, indicating that another electrochemical process occurs during the 10-h charge to compensate for the diminished capacity supplied by the α -MnO₂ electrode structure. In fact, after the first discharge, it appears that subsequent charge cycles never reduce the Li content to below \sim 0.35 per MnO₂. On the subsequent 2 cycles, the a/b lattice parameter at the end of discharge decreases steadily, while increasing at the top of charge – a manifestation that the redox activity of the α -MnO₂ electrode continues to decline on cycling, which we attribute tentatively to the formation of lithium oxide products at the surface of the α -MnO₂ electrode particles, thereby clogging the pores at the surface and preventing easy transport of Li⁺ ions in and out of the ‘2×2’ channels during discharge and charge. Indeed, allowing the cell to discharge without a time restraint to 2.0 V on the 3rd discharge results in a voltage plateau at \sim 2.6 V, which is consistent with Li₂O₂ (and Li₂O) formation (Figure 7a, bottom). Of particular significance, is the lattice parameter vs. time plot of the 3rd discharge in Figure 7a (bottom) – it shows an initial rapid increase of a/b from to 10.0521 to 10.3994 Å (Table 1), consistent with the insertion of lithium into the α -MnO₂ electrode structure. Thereafter, there is a negligible change in a/b value for the remainder of discharge (\sim 25 h) to 2.0 V, and correspondingly little to no change in Li and O contents in MnO₂, which is fully consistent with the formation of a lithium-oxide product at the MnO₂ surface. Although, on average, there is little change to the c parameter during cell cycling, noticeable changes do occur

towards the top of charge, when c contracts slightly. We conclude, therefore, that the data show a dual functioning electrode that operates via formal redox reactions involving both manganese ions (Li-ion behavior) and oxygen ions (Li-O₂ behavior).

It is evident from Figure 7b that there is considerable uncertainty in the amount of oxygen present in the 2×2 tunnels throughout electrochemical cycling. One feature in the DFT-based model (Equation 1) is that for total Li content ($2x+y$) of less than 0.4 per Mn, volume changes are (a) higher for higher oxygen content, and (b) not strongly dependent on oxygen content, whereas for higher Li contents, the reverse is true on both points. This feature results in large uncertainties in oxygen contents except at the end of charge, where the data is consistent with oxygen release. Additional measurements, e.g. that of Mn oxidation state from x-ray absorption near-edge spectra (XANES), are necessary in order to ascertain the precise mix of Li- vs. Li₂O-insertion. The results from XANES and EXAFS spectra have shown an oxidation state self-switching behavior occurring due to a combined Li⁺ and lithium oxide insertion mechanism when cycled in O₂.²⁵

3.4.3 *In situ* analysis of a Li/α-MnO₂ coin cell

The electrochemical profile and corresponding *in situ* XRD data for the initial discharge/charge cycle of a Li/α-MnO₂ cell assembled under argon, when discharged to 2.2 V and charged to 4.4 V at 0.05 mA, are shown in Figure 8a; background noise in the XRD patterns was removed for clarity. Unlike the Li/α-MnO₂-O₂ cell which showed a distinct voltage plateau during discharge at 2.5 V (Figure 6a), discharge of the Li/α-MnO₂ cell occurred with decreasing voltage consistent with topotactic lithium insertion into the α-MnO₂ electrode structure over the entire discharge, as described previously by others.^{13, 15, 21}

For the electrochemical evaluation of the standard Li/α-MnO₂, the α-MnO₂ electrode was deliberately not fully dehydrated to maintain the stabilizing effect of the H₂O component on the

α -MnO₂ structure. Neutron and X-ray refinements have thus far demonstrated that the O content at the center of the 2×2 channels of the structure with a site occupancy between 0.15 and 0.20 (see Section 3.1). Johnson et al. have demonstrated that a lithia-stabilized electrode,¹³ 0.15Li₂O•MnO₂, in which the manganese ions are initially tetravalent, delivers a capacity of 195 mAh/g during the initial discharge to 2.0 V at 0.1 mA. Assuming this to be close to the theoretical capacity, this value would correspond to the uptake of approximately 0.7 Li per 0.15Li₂O•MnO₂ unit (as well as an ion-exchanged 0.15H₂O•MnO₂ unit). A fully discharged 0.15Li₂O•MnO₂ electrode would, therefore, have the composition 0.15Li₂O•Li_{0.7}MnO₂ (or LiMnO_{2.15}) in which the average manganese oxidation state is 3.3+, implying the structure would be Jahn-Teller distorted because of the relatively high concentration of Mn³⁺ (d⁴) ions. In this respect, the *in situ* XRD data of both Li/ α -MnO₂-O₂ and Li/ α -MnO₂ cells in Figures 6 and 8, respectively, show considerable peak broadening during discharge and sharpening during charge, consistent with the onset and weakening of the Jahn-Teller effect as lithium is inserted into, and withdrawn from the structure. Other strain effects, such as those induced by particle size effects, are also likely to contribute to line broadening. The excessive line broadening and a diffuse splitting of the peaks (highlighted by the arrow in Figure 8a), which was attributed to a Jahn-Teller-induced phase change, unfortunately prevented meaningful analyses of the lattice parameter changes in the α -MnO₂ electrode in the Li/ α -MnO₂ cell (Figure 8a).

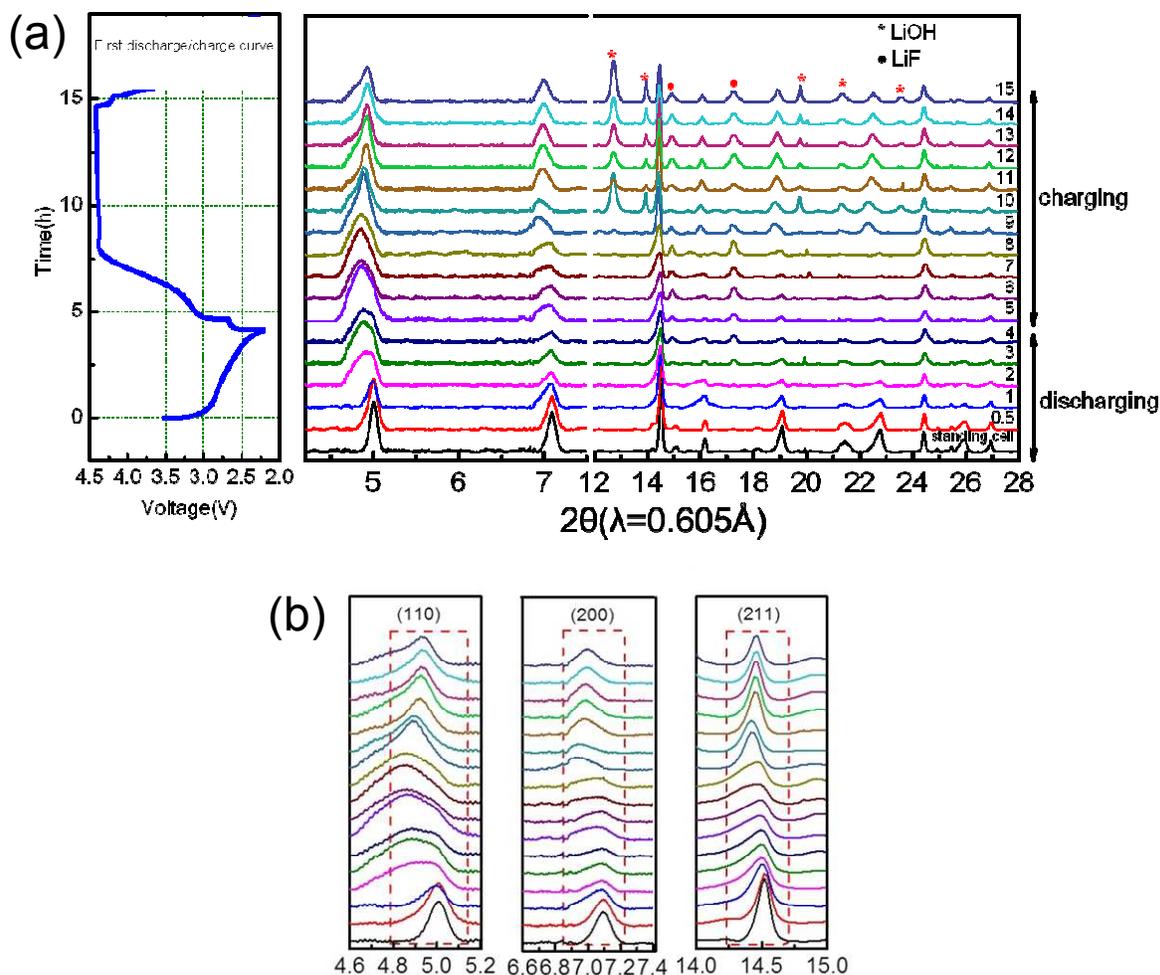


Figure 8. (a) *In situ* XRD patterns (background subtracted) of a Li/ α -MnO₂ cell during the initial discharge/charge using the same electrode material and same cycling program as in the Li/ α -MnO₂-O₂ cell (Figure 6). Patterns were recorded every 30 minutes during discharge/charge, with 2θ ranges 4-8°(left) and 14-28°(right) (partial data provided in the figure). (b) Expanded time-resolved data showing changes in the position and intensity of the (110), (200) and (211) peaks of the tetragonal α -MnO₂ unit cell during cycling.

Finally, it is noteworthy that LiOH and LiF side-products form during charge and discharge, most noticeably when the Li/0.15Li₂O•MnO₂ cell is charged continuously above 4 V; these products are tentatively attributed to electrolyte-induced reactions⁴⁸ due to prolonged oxidation at high voltage, and is a topic for future study.

4. Conclusions

α -MnO₂ has been studied, *in situ*, by high energy, synchrotron X-ray diffraction as an electrode in Li/ α -MnO₂-O₂ and conventional Li/ α -MnO₂ coin cells during electrochemical cycling. Density functional theory modeling has been used to quantify the evolution of Li and O contents in the 2×2 tunnels in the structure of α -MnO₂ during cycling. The α -MnO₂ unit cell expands and contracts anisotropically as a result of lithium insertion/extraction reactions, as expected, and the electrode particles undergo strain during cycling. These reactions are partially reversible, with the electrode cycling between ~0.35 to ~0.8 Li per MnO₂ after the first cycle. When operated under oxygen, competing reactions occur between lithium and the oxygen electrode, most noticeably during discharge below ~2.6 V, thereby giving the cell both Li-ion and Li-O₂ character. No evidence of crystalline Li₂O₂ or Li₂O formation was apparent from the synchrotron data, suggesting that the α -MnO₂ plays a role in the oxygen reduction reaction. Because of the relative insensitivity of unit cell volume to oxygen content at high Li contents, a question that still remains is the extent to which oxygen is removed from, and reinserted into, the '2×2' tunnels of a xLi₂O• α -MnO₂ (or xH₂O• α -MnO₂) electrode structure during charge and discharge, respectively, in a Li-O₂ cell. Further characterizations are required to fully explore the extent of concomitant Li- and 'Li₂O'-insertion into MnO₂.

Acknowledgements

This work was supported as a part of the Center for Electrochemical Energy Science, an Energy Frontier Research Center funded by the US Department of Energy, Office of Science, Basic Energy Sciences under award number DE-AC02-06CH11. Use of the Advanced Photon Source, a US DOE Office of Science User Facility operated by Argonne National Laboratory, was supported by DOE under Contract No. DE-AC02-06CH11357. Use of the Center for Nanoscale Materials was supported by the U. S. Department of Energy, Office of Science, Office

of Basic Energy Sciences, under Contract No. DE-AC02-06CH11357. Naba K. Karan, Mahalingam Balasubramanian, Rick Spence and Charles Kurtz are thanked for their kind help with the *in-situ* cell design and fabrication.

The submitted manuscript has been created by UChicago Argonne, LLC, Operator of Argonne National Laboratory (“Argonne”). Argonne, a U.S. Department of Energy Office of Science laboratory, is operated under Contract No. DE-AC02-06CH11357. The U.S. Government retains for itself, and others acting on its behalf, a paid-up nonexclusive, irrevocable worldwide license in said article to reproduce, prepare derivative works, distribute copies to the public, and perform publicly and display publicly, by or on behalf of the Government.

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