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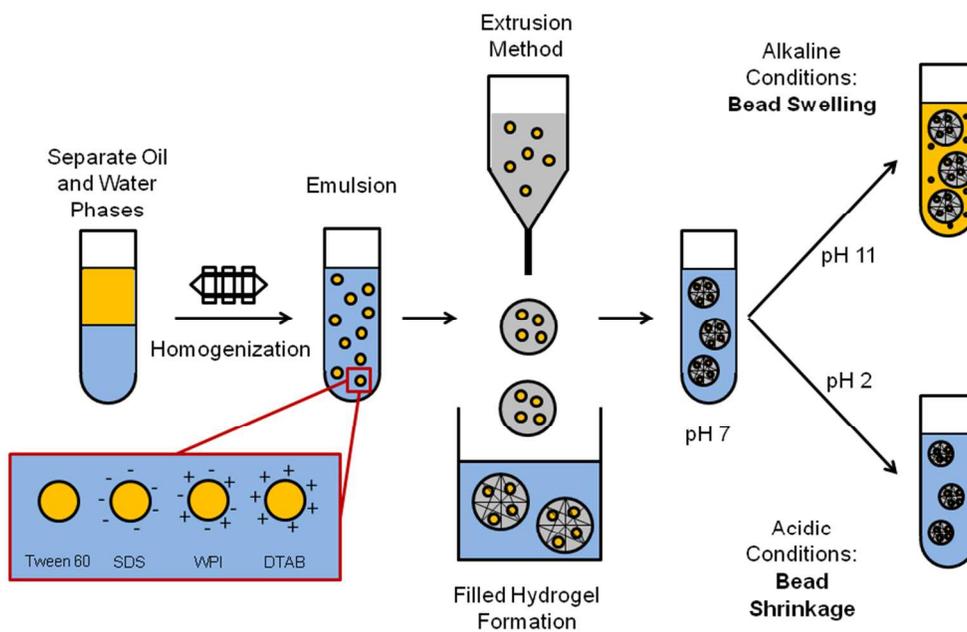
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Retention and Release Oil-in-Water Emulsions from of Filled Hydrogel composed of Calcium Alginate: Impact of Emulsifier Type and pH



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# Retention and Release of Oil-in-Water Emulsions from Filled Hydrogel Beads composed of Calcium Alginate: Impact of Emulsifier Type and pH

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## **Running Title:**

Alginate beads as controlled release systems for oil-in-water emulsions stabilized by differently charged emulsifiers

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1 **ABSTRACT**

2 Delivery systems based on filled hydrogel particles (microgels) can be fabricated from  
3 natural food-grade lipids and biopolymers. The potential for controlling release  
4 characteristics by modulating the electrostatic interactions between emulsifier-coated  
5 lipid droplets and the biopolymer matrix within hydrogel particles was investigated. A  
6 multistage procedure was used to fabricate calcium alginate beads filled with lipid  
7 droplets stabilized by non-ionic, cationic, anionic, or zwitterionic emulsifiers. Oil-in-  
8 water emulsions stabilized by Tween 60, DTAB, SDS, or whey protein were prepared by  
9 microfluidization, mixed with various alginate solutions, and then microgels were formed  
10 by simple extrusion into calcium solutions. The microgels were placed into a series of  
11 buffer solutions with different pH values (2 to 11). Lipid droplets remained encapsulated  
12 under acidic and neutral conditions, but were released under highly basic conditions (pH  
13 11) due to hydrogel swelling when the alginate concentration was sufficiently high. Lipid  
14 droplet release increased with decreasing alginate concentration, which could be  
15 attributed to an increase in the pore size of the hydrogel matrix. These results have  
16 important implications for the design of delivery systems to entrap and control the release  
17 of lipophilic bioactive components within filled hydrogel particles.

18 **Keywords:** Hydrogel Beads; Oil-in-water emulsion; Alginate; DTAB; SDS; WPI;  
19 Tween 60 Microfluidization; Release

20

## 21 1. INTRODUCTION

22 There is considerable interest within the food industry in the development of  
23 functionalized delivery systems for bioactive components, such as vitamins, minerals,  
24 and nutraceuticals.<sup>1, 2</sup> For lipophilic bioactives, emulsion-based delivery systems are  
25 particularly suitable because they can be designed to have specific functional properties,  
26 such as improved dispersion, compatibility within food matrices, protection against  
27 chemical degradation, resistance to environmental stresses, and controlled release  
28 profiles.<sup>3-7</sup> The nature of the release profile required for a particular commercial  
29 application depends on the precise product, *e.g.*, burst, sustained, or triggered release.<sup>8, 9</sup>

30 In recent years, there has been considerable interest in the utilization of filled  
31 hydrogel beads as food-grade delivery systems for lipophilic bioactives.<sup>6, 10</sup> The nature of  
32 the hydrogel matrix can be designed to swell or disintegrate under a specific set of  
33 environmental conditions (*e.g.*, pH, ionic strength, temperature, or enzyme activity),  
34 thereby allowing release of any encapsulated lipid droplets.<sup>11</sup> In addition, interactions  
35 between lipid droplets and hydrogel matrices (such as electrostatic, hydrogen or  
36 hydrophobic bonds) can be modulated to retain or release the lipid droplets under  
37 different environmental conditions.

38 Hydrogel beads composed of alginate are capable of encapsulating a wide range of  
39 sensitive bioactives because their interior is chemically inert.<sup>12</sup> Alginate beads can be  
40 used to control the stability, retention, and release of encapsulated lipids by modifying  
41 their porosity and degradability.<sup>13-15</sup> Alginate is an unbranched biopolymer consisting of

42 (1→4) linked  $\beta$ -D mannuronic acid (M) and  $\alpha$ -L-guluronic acid (G) residues that may  
43 vary in composition and sequence depending on its origin.<sup>16, 17</sup> It can form ionotropic gels  
44 in the presence of multivalent cations such as  $\text{Ca}^{2+}$ ,  $\text{Sr}^{2+}$ ,  $\text{Ba}^{2+}$ , or  $\text{Fe}^{3+}$  due to the  
45 formation of cationic bridges between the guluronic-rich regions along the biopolymer  
46 backbone. The resulting hydrogels have an egg-box structure consisting of zig-zag  
47 alginate molecules (box) held together by cations (eggs).<sup>14, 18</sup> In general, the bioactive to  
48 be encapsulated is mixed with an alginate solution, and the mixture is then injected into a  
49 solution containing divalent cations, which results in the formation of hydrogel beads.<sup>10</sup>  
50 Alginates are acceptable for use in the food, cosmetic, and pharmaceutical industries as  
51 functional ingredients to create hydrogels.<sup>19</sup>

52 Various studies have demonstrated that the encapsulation efficiency of alginate  
53 beads depends on bead properties such as size, shape, pore size, and surface  
54 morphology.<sup>20</sup> It was reported that the encapsulation efficacy of lipid droplets depends on  
55 the degree of alginate crosslinking and emulsion stability.<sup>21</sup> Photographic images  
56 revealed that the bead size increased with increasing oil loading.<sup>22</sup> The properties of  
57 alginate beads can be further tailored by coating them with oppositely charged  
58 biopolymers, such as chitosan.<sup>23</sup> Recent research has focussed on the utilization of  
59 hydrogel beads to improve the oral bioavailability of lipophilic compounds, as well as to  
60 control the release of water-insoluble molecules within the human gastrointestinal tract. It  
61 was shown that the release of free fatty acids was reduced from around 100% to 12%  
62 when the lipid droplets were encapsulated within calcium alginate beads.<sup>14, 24</sup> The authors  
63 proposed that the bead matrix was able to restrict the access of digestive enzymes and  
64 other surface-active components to the surface of the encapsulated lipid droplets, which

65 resulted in a decreased rate and extent of lipid digestion. Moreover, it has been  
66 demonstrated that the porosity of alginate hydrogels is reduced when exposed to acidic  
67 conditions, but is increased when they are exposed to alkaline conditions - a fact that has  
68 important consequences for the development of delivery systems with triggered release  
69 profiles.<sup>13, 14</sup>

70 The objective of the present study was to establish a better understanding of the  
71 factors influencing the release of lipid droplets from filled alginate beads. The pore size  
72 of alginate beads might be tuneable by altering pH, whereas the surface charge of lipid  
73 droplets might influence their interaction with the hydrogel matrix.<sup>13</sup> To this purpose, oil-  
74 in-water emulsions stabilized by differently charged emulsifiers (non-ionic, anionic,  
75 cationic, and zwitterionic) were prepared by microfluidization. The emulsions were then  
76 mixed with alginate solutions that were dripped into calcium solutions to induce hydrogel  
77 bead formation. To study the influence of pH on the release characteristics, filled alginate  
78 beads were placed in buffer solutions with different pH values. We hypothesized that  
79 lipid droplets having an oppositely charged surface to the alginate matrix would remain  
80 trapped within the beads due to electrostatic attraction, whereas similarly charged  
81 droplets might freely diffuse out of the beads (provided the pores are big enough). The  
82 information obtained from this study will be useful for designing hydrogel beads that can  
83 release encapsulated bioactive lipids in response to different environmental conditions.

## 84 **2. MATERIALS AND METHODS**

### 85 **2.1. Materials**

86 Sodium alginate (alginic acid sodium salt from *Macrocystis pyrifera*, #50K0180, medium  
87 viscosity, 20 - 40 cps of 1% aqueous solution) was purchased from Sigma-Aldrich Co.  
88 (St. Louis, USA). Medium chain triglyceride (MCT) oil (MIGLYOL<sup>®</sup>812) was purchased  
89 from Warner Graham Company (Sasol GmbH, Germany). Whey protein isolate (WPI)  
90 was donated from Davisco Foods International Inc. (Le Sueur, MN56058, USA).  
91 Polysorbate 60 (Tween 60, #MKBJ0348V), sodium dodecyl sulfate (SDS,  
92 #SLBG6615V), dodecyltrimethylammonium bromide (DTAB, #BCBM9657V, purity  $\geq$   
93 98%), and calcium chloride anhydrous (purity  $> 96.0\%$ ) were obtained from Sigma-  
94 Aldrich Co. (St. Louis, USA). Double-distilled water was used for the preparation of all  
95 samples. All concentrations are expressed as mass percentage (% w/w).

## 96 **2.2. Solution preparation**

97 Aqueous emulsifier solutions were prepared by dispersing 1% Tween 60, SDS, and WPI  
98 into 5 mM phosphate buffer (pH 7), respectively, whereas DTAB was dissolved in 5 mM  
99 phosphate buffer (pH 3) followed by stirring for at least 2 hours. A stock alginate solution  
100 (3%) was made by dispersing powdered alginate into double-distilled water and stirring  
101 overnight. Stock hardening solution was prepared by dissolving 1 M  $\text{CaCl}_2$  into double-  
102 distilled water followed by stirring for at least 30 min.

## 103 **2.3. Emulsion preparation, characterization, and stability**

104 The emulsions were prepared by homogenization of 10% MCT and 90% aqueous  
105 emulsifier (1%, 5 mM phosphate buffer, pH 3 or 7) solution using a high shear blender  
106 (Barmix, Biospec Products, Bartlesville, OK) for 3 min followed by five passes at 10,000  
107 psi (68.95 MPa) through a microfluidizer (M-110L, Microfluidics, Newton, MA).

### 108 **2.3.1. Particle size measurement**

109 Dynamic light scattering was used to determine the particle sizes of emulsions (Nano ZS,  
110 Malvern Instruments, Malvern, UK). Samples were diluted to a droplet concentration of  
111 approximately 0.005% with an appropriate buffer to prevent multiple scattering effects.  
112 The foundation of this technique is based on the scattering of light by moving particles  
113 due to Brownian motion in a liquid.<sup>25</sup> The movement of the particles is then related to the  
114 size of the particles. The instrument reports the mean particle diameter (z-average) and  
115 the polydispersity index (PDI) ranging from 0 (monodisperse) to 0.50 (very broad  
116 distribution).

### 117 **2.3.2. Surface charge measurement**

118 Surface charge ( $\zeta$ -potential) was measured using an electrophoresis instrument (Nano ZS,  
119 Malvern Instruments, Malvern, UK). Samples were diluted approximately 1:1000 with an  
120 appropriate buffer to avoid particle interaction effects. Diluted samples were loaded into  
121 cuvettes, placed into the measurement chamber, and then the  $\zeta$ -potential was determined  
122 by measuring the direction and velocity that the particles moved in the applied electric  
123 field. The  $\zeta$ -potential measurements were reported as the average and standard deviation  
124 of measurements made from two freshly prepared samples, with 3 readings made per  
125 sample.

### 126 **2.3.3. Influence of pH on base emulsion stability**

127 Oil-in-water emulsions stabilized by charged emulsifiers were diluted with 5 mM  
128 phosphate buffer (pH 3 and 7) to the same final oil droplet concentration (5%), and then

129 the pH was adjusted from 2.0 to 11.0 using 0.1 and 1 M HCl and/or NaOH. All samples  
130 were kept for 2 min after reaching the final pH value before transferring (10 ml) into  
131 glass test tubes and then stored overnight at room temperature before analysis.

## 132 **2.4. Alginate bead preparation, characterization, and stability**

### 133 **2.4.1. Unloaded beads**

134 An extrusion technique was utilized to generate unloaded alginate beads. A  
135 programmable automated pipette (Rainin SE4, Mettler Toledo, Oakland, CA) was used to  
136 inject 2 mL of sodium alginate solution (0.5% and 1.5%) into 15 mL of CaCl<sub>2</sub> hardening  
137 solution (50 mM) with continuous stirring at 200 rpm. An injection rate of 1.4 s per drop  
138 was used, whereas a collecting distance of 2 cm between dripping tip and liquid surface  
139 was enough to form spherical hydrogel beads. The beads formed were allowed to  
140 crosslink with divalent calcium ions for 30 min at room temperature.

### 141 **2.4.2. Filled beads**

142 Filled alginate beads were accordingly prepared as mentioned in section 2.4.1, whereby  
143 stock emulsions stabilized by differently charged emulsifiers were mixed with stock  
144 alginate solutions. The final oil droplet concentration obtained was 5% MCT, whereas the  
145 alginate concentration varied between 0.5% and 1.5%.

### 146 **2.4.3. pH stability - Size determination**

147 The alginate beads formed as mentioned above were placed into a series of continuously  
148 stirred buffer solutions with different pH values (2 - 11) and stored for 6 h at room

149 temperature before analysis. Please note, that we used double-distilled water and  
150 adjusted the pH values accordingly using 0.1 and 1 M HCl and NaOH. A magnetic stirrer  
151 at 300 rpm was used to maintain a homogenous suspension of hydrogel particles. The  
152 dimensions (diameter) of the beads were determined using a digital micrometer (0 - 300  
153 mm, EC10, High Precision Digital Caliper, Tresna Instruments, Guilin, China). The bead  
154 diameter of at least 10 individual beads was measured and the mean and standard  
155 deviation was calculated. A digital camera (PowerShot SX110 IS, Canon, USA) was used  
156 to assess the appearance of the alginate beads as a function of pH.

#### 157 **2.4.4. pH stability - Surface charge determination**

158 The alginate beads prepared using the extrusion method described earlier were too large  
159 to analyze using the particle electrophoresis instrument. Consequently, we prepared  
160 smaller alginate beads for these experiments using a commercial encapsulation unit (B-  
161 390, Büchi, Switzerland) under the same conditions mentioned above (0.5% alginate, 50  
162 mM CaCl<sub>2</sub>). The beads formed were allowed to crosslink with divalent calcium ions for  
163 30 min at room temperature, placed into a series of continuously stirred buffer solutions  
164 with different pH values (2 - 11) and stored for 6 h at room temperature before analysis..  
165 The surface charge ( $\zeta$ -potential) was then measured using the particle electrophoresis  
166 instrument (Nano ZS, Malvern Instruments, Malvern, UK).

#### 167 **2.5. Release of oil-in-water emulsions from alginate beads**

168 Turbidity measurements were used to determine the release of lipid droplets stabilized by  
169 various emulsifiers (WPI, SDS, DTAB, Tween 60) from alginate beads into the  
170 surrounding aqueous phase. Filled beads (0.5% or 1.5% alginate, 50 mM CaCl<sub>2</sub>, 30 min

171 hardening) were gently separated from the aqueous phase using a Nutsch-type filter (pore  
172 size < 1 mm) and placed into a series of continuously stirred buffer solutions with  
173 different pH values (2 and 11). Aliquots were taken from the aqueous phase at regular  
174 time intervals and the turbidity was measured at 600 nm using a UV/vis  
175 spectrophotometer (Ultrospec 3000 Pro, GE Healthcare Bio-Sciences, Piscataway, NJ).

## 176 **2.6. Statistical analysis**

177 All experiments were repeated at least 2 times using freshly prepared samples. Means and  
178 standard deviations were calculated from a minimum of three measurements using Excel  
179 (Microsoft, Redmond, VA, USA).

## 180 **3. RESULTS AND DISSCUSION**

### 181 **3.1. Properties of lipid-droplets coated by different emulsifiers**

182 In general, the release of colloidal particles trapped within alginate beads may be retarded  
183 through two different mechanisms: (i) restricted diffusion due to the small dimensions of  
184 the pores in the hydrogel matrix; (ii) restricted diffusion due to attractive interactions  
185 between the particles and the hydrogel matrix.<sup>13</sup> The relative importance of these two  
186 mechanisms may change when solution pH is changed, since this may change the pore  
187 size and/or electrostatic interactions of the hydrogel matrix. Previous studies have  
188 reported that the pore size of hydrogel beads may range from around 5 to 200 nm  
189 depending on their composition and preparation method, as well as the prevailing  
190 environmental conditions.<sup>26, 27</sup> We therefore produced emulsions that contained lipid  
191 droplets within this size range so as to determine the influence of hydrogel matrix

192 properties as well as emulsion surface properties on their release. Four differently  
193 charged emulsifier types were utilized to stabilize oil-in-water emulsions: Tween 60  
194 (nonionic), SDS (anionic), DTAB (cationic), and WPI (zwitterionic). A microfluidizer  
195 was used to prepare base emulsions under constant homogenization conditions (10,000  
196 psi, 5 passes). The particle size distributions of freshly produced samples were assessed  
197 immediately after homogenization and are shown in **Fig. 1**, whereas mean particle  
198 diameters and  $\zeta$ -potentials of all emulsions are reported in **Table 1**. The results indicate  
199 that emulsions containing relatively small droplets could be produced using the different  
200 emulsifiers. In comparison to WPI, low molecular weight surfactants such as DTAB,  
201 SDS, or Tween 60 are known to be more effective in reducing the interfacial tension  
202 between the oil and water phase, and adsorb more rapidly to droplet surfaces during  
203 homogenization, thus resulting in smaller particle sizes, as shown in **Table 1**.<sup>28</sup> The  
204 particle size distributions of all emulsions were fairly similar, which is an important  
205 prerequisite for comparing the release of lipid droplets based on charge characteristics.  
206 The polydispersity indices ranged between about 0.116 and 0.159 which indicates that the  
207 particle size distributions were fairly narrow, which may also have an impact on their  
208 release behaviour.

### 209 **3.2. pH-stability of base emulsions**

210 The purpose of this series of experiments was to identify the influence of pH on the mean  
211 particle size and charge of the base emulsions stabilized by WPI, DTAB, SDS, and  
212 Tween 60 (**Fig. 2**). For the protein-stabilized emulsions, the typical pH dependence of  
213 interfacial charge was observed (**Fig. 2a**): the charge on the WPI-coated lipid droplets  
214 went from highly negative (-60 mV) at pH 11 to highly positive (+46 mV) at pH 2, with a

215 point of zero charge around pH 5, which is close to the isoelectric point ( $pI$ ) of the  
216 adsorbed protein.<sup>29</sup> As expected, WPI-coated lipid droplets were highly susceptible to  
217 droplet aggregation near the  $pI$  because the electrostatic repulsive forces were  
218 insufficiently strong (**Fig. 2b**).<sup>29, 30</sup> In contrast, emulsions stabilized by low molecular  
219 surfactants such as SDS, DTAB, and Tween 60 remained stable over the entire pH range  
220 (**Fig. 2b**) which is also in agreement with previously published studies.<sup>31, 32</sup> For example,  
221 *Surh et al* (2005) demonstrated that the mean particle diameter of SDS-stabilized  
222 emulsions remained relatively small when the pH was shifted from 3 to 8.<sup>33</sup> This effect  
223 can be attributed to the strong electrostatic and/or steric repulsion between the surfactant-  
224 coated lipid droplets. The relatively high negative charge on the droplets coated with  
225 Tween had been reported in numerous other studies, where it has been attributed to  
226 adsorption of hydroxyl ions or anionic impurities. Moreover, changes in the charges in  
227 the droplets coated with ionic surfactants could be due to electrostatic screening effects  
228 associated with the addition of acid or alkaline solutions to adjust the pH.<sup>31</sup>

### 229 **3.3. pH-induced changes of unloaded hydrogel beads**

230 In this section, we characterized the influence of pH on the properties of unloaded  
231 calcium alginate beads so as to better understand their pH dependent release properties.  
232 Beads were prepared under neutral conditions using two different alginate concentrations  
233 (0.5% and 1.5%) but similar hardening conditions (50 mM  $\text{CaCl}_2$ , 30 min) to potentially  
234 alter pore size.<sup>21</sup> The prepared beads were separated from the hardening solution and then  
235 placed into a series of continuously stirred buffer solutions with different pH values (2 -  
236 11) for 6 h at room temperature before analysis (**Figs. 3 to 5**). At both alginate  
237 concentrations, bead dimensions remained fairly constant when they were incubated in

238 solutions ranging from pH 3 to 9 (**Fig. 3**). However, bead shrinkage occurred when the  
239 solution pH was reduced from 3 to 2, whereas bead swelling was observed when the pH  
240 was increased from 9 to 11. Our results therefore agree with previous studies that have  
241 reported that alginate beads shrink when stored under acidic conditions and swell when  
242 stored under basic solutions.<sup>13, 18, 20</sup> It has been proposed that acid shrinking occurs due to  
243 a decrease in the repulsive electrostatic charges due to protonation of any free carboxyl  
244 groups on the alginate molecules.<sup>34</sup> In addition, calcium ions are known to dissociate at  
245 low pH, allowing the alginate chains to come closer together leading to the formation of  
246 hydrogen bonds.<sup>35</sup> However, the alginate beads maintained their overall spherical shape  
247 regardless of the storage conditions (**Fig. 4**).<sup>10, 36</sup> The swelling observed at high pH values  
248 may have been due to increased electrostatic repulsion between similarly charged  
249 biopolymer chains.

250         Surface charge measurements were conducted to gain further insights into the  
251 origin of the shrinking and swelling behavior of calcium alginate beads as a function of  
252 pH. The alginate beads used in the other studies were too large to measure using the  
253 particle electrophoresis instrument, and so we prepared some smaller alginate beads ( $d =$   
254  $500\ \mu\text{m}$ ) under similar hardening conditions (0.5% alginate, 50 mM  $\text{CaCl}_2$ , 30 min)  
255 utilizing an instrumental encapsulation device. The pH-dependence of the  $\zeta$ -potential is  
256 shown in **Fig. 5**. The results indicate that the observed changes in bead dimensions could  
257 be attributed to the electrical characteristics of the biopolymer molecules in the hydrogel  
258 beads. The beads were negatively charged at all pH values, indicating that there was an  
259 excess of anionic groups on the alginate molecules. However, the magnitude of the  
260 negative charge decreased with decreasing pH, which can be attributed to a loss of

261 negative charge on the carboxyl groups at pH values around their  $pK_a$  value ( $\approx 3.5$ ).<sup>14</sup>  
262 These data support the theory that the beads shrink at low pH due to a reduction in  
263 electrostatic repulsion between alginate chains, but swell at high pH due to an increase in  
264 electrostatic repulsion.

### 265 **3.4. Release behaviour of lipid droplets trapped in alginate beads**

266 In this section, we examined a number of factors influencing the release of charged lipid  
267 droplets from the hydrogel beads: alginate concentration; pH; and emulsifier charge. At  
268 equivalent alginate and calcium concentrations, filled hydrogel beads had similar  
269 dimensions and shapes as unloaded hydrogel beads, which indicated that lipid droplet  
270 loading did not affect overall bead morphology.<sup>21</sup> After incubation in the calcium bath  
271 (for 30 min), the filled hydrogel beads were separated from the surrounding aqueous  
272 phase by filtration and suspended in buffer solutions having pH values ranging from 2 to  
273 11. Information about lipid droplets released from the beads was provided by turbidity  
274 measurements of the aqueous solution surrounding them: a higher turbidity indicated that  
275 more lipid droplets were released. The results of these experiments are summarized in  
276 **Figures 6 to 8.**

#### 277 **3.4.1. Effect of alginate concentration**

278 In this section, we examined the influence of alginate concentration (0.5 or 1.5%) on lipid  
279 droplet release from the hydrogel beads (**Fig. 6 and 7**). At all pH values, the turbidity of  
280 the aqueous phase (which is a measure of the amount of lipid droplets released) was  
281 appreciably higher at the lower alginate concentration. Enhanced droplet release may  
282 have occurred because the hydrogel beads with the lower alginate concentration had

283 larger pores.<sup>10</sup> Previous studies have reported that the internal bead structure becomes  
284 more porous at lower alginate concentrations, which would facilitate the movement of  
285 lipid droplets through the hydrogel matrix and into the surrounding aqueous phase.<sup>20, 27</sup>

#### 286 **3.4.2. Effect of pH**

287 In this section, we examine the influence of pH on lipid droplet release from the hydrogel  
288 beads (**Fig. 6**). In general, the amount of lipid droplets released from the hydrogel beads  
289 increased at higher pH values for both alginate concentrations and all surfactant types,  
290 which can be attributed to an increase in the pore size of the hydrogel matrix.<sup>13, 14</sup>  
291 Previous studies have reported that a looser hydrogel network is formed within calcium  
292 alginate beads under alkaline conditions, which would account for the faster rate of lipid  
293 droplet release at higher pH levels observed in our study.<sup>17, 20</sup>

#### 294 **3.4.3. Effect of surface charge**

295 The purpose of these experiments was to determine the influence of emulsifier type on  
296 the release of lipid droplets from alginate beads. The nature of the emulsifier clearly had  
297 a pronounced influence on the release characteristics of the lipid droplets from the beads  
298 (**Fig. 6**). In the more porous beads (0.5% alginate), the release of the surfactant-coated  
299 lipid droplets followed the following order: Tween > SDS > WPI. This effect may be  
300 attributed to the electrostatic interactions between the lipid droplets and hydrogel matrix  
301 (**Fig. 6A**). The non-ionic lipid droplets (Tween) would be expected to have a relatively  
302 weak interaction with the anionic biopolymer molecules and therefore be released  
303 rapidly. The anionic lipid droplets (SDS) would be expected to have an electrostatic  
304 repulsion with the biopolymer molecules in the hydrogel matrix, but they may have been

305 some attraction due to salt bridging with calcium ions that hindered their movement. The  
306 protein-coated droplets (WPI) were released more at high pH than at low pH, which may  
307 have been due to an increase in pore dimensions at high pH, as well as the fact that there  
308 would be an electrostatic attraction between lipid droplets and hydrogel matrix at low pH  
309 (where they have opposite charges), but a repulsion at high pH (where they have similar  
310 charges). However, mixing alginate solutions (0.5%) with DTAB-stabilized emulsions  
311 caused the mixture to form aggregates which hindered a subsequent hydrogel formation.  
312 Similar phenomena are observed when multilayered emulsions are formed using  
313 electrostatic deposition: at sufficiently low polymer concentrations, bridging  
314 flocculation between oppositely charged biopolymers and lipid droplets occurs leading to  
315 the formation of large aggregates that rapidly form a cream layer.<sup>37</sup> This would account  
316 for the fact that the turbidity of the surrounding aqueous phase remained relatively high at  
317 all pH values for the DTAB system (**Fig. 7**). In general, the differences between the  
318 emulsifiers was less at the higher alginate concentration used, which may have been  
319 because the release rate was slower due to the smaller pore size (Fig. 6B).

#### 320 **3.4.4. Effect of surface charge on the release kinetics**

321 Information about the kinetics of lipid droplet release were obtained by measuring  
322 changes in turbidity with time. After hydrogel formation, the beads were dispersed in  
323 either pH 2 or pH 11 buffer solutions, since the most notable changes in bead dimensions  
324 were observed under these two conditions (**Figs. 3 and 4**). As expected, the release of the  
325 lipid droplets was appreciably higher after 6 hours incubation in the buffer solution at pH  
326 11 than at pH 2 regardless of emulsifier type used to stabilize the lipid droplets (**Fig. 8**).  
327 However, the kinetic experiments indicated that the lipid droplets were not continuously

328 released from the hydrogel beads over time. Instead, it appeared to be a delay time of  
329 around 2 to 3 hours where no release was observed, followed by a rapid release, and then  
330 a relatively constant value. The release behaviour was fairly similar for all four  
331 emulsifiers used, which suggested that changes in hydrogel pore size were more  
332 important than electrostatic effects.<sup>13, 27</sup> It is possible that the electrostatic interactions  
333 were weakened at this high pH because of the relatively high ionic strength associated  
334 with adding alkali (NaOH) to increase the pH. We hypothesize that the beads swelled  
335 slowly at pH 11, until the pore size was large enough for the lipid droplets to be easily  
336 released. However, further investigations are needed to refine the system.

### 337 **CONCLUSIONS**

338 The present study has shown that filled hydrogel beads composed of calcium alginate can  
339 be used as delivery systems for lipid droplets. The release behaviour of the lipid droplets  
340 is mainly dominated by the alginate concentration and solution pH, which can be  
341 attributed to changes in hydrogel pore size and electrostatic interactions between lipid  
342 droplets and biopolymer molecules within the hydrogel matrix. This study suggests that  
343 alginate beads will be able to encapsulate lipid droplets over a wide range of conditions  
344 that might occur in foods and in the human body. However, one would expect these  
345 beads to be broken down by microbes within the lower gastrointestinal tract of humans.  
346 Consequently, these filled hydrogel beads may be particularly useful as colonic delivery  
347 systems for lipophilic bioactive molecules. In addition, it may be possible to develop  
348 triggered release systems by altering the electrostatic interactions between lipid droplets  
349 and biopolymer molecules in the hydrogel matrix, but further work is required to refine  
350 these systems. In general, filled hydrogel beads produced by extrusion provide a cost-

351 effective and simple to scale-up method that might be easily implemented in food  
352 processing lines.

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431 **Table 1.**

432 Mean particle (z-average) diameter, polydispersity index (PDI), and  $\zeta$ -potential of oil-in-  
433 water emulsions (10.000 psi, 5 passes) stabilized by differently charged emulsifiers.

434 Note: all emulsions were initially prepared at the given pH.

Emulsifier	pH	Z-Average Diameter (nm)	PDI (-)	$\zeta$ -Potential (mV)
WPI	7	188.8 $\pm$ 2.6	0.159 $\pm$ 0.014	-53.4 $\pm$ 2.0
SDS	7	137.9 $\pm$ 1.2	0.131 $\pm$ 0.003	-82.2 $\pm$ 2.1
Tween 60	7	180.7 $\pm$ 2.6	0.116 $\pm$ 0.004	-9.7 $\pm$ 2.4
DTAB	3	145.2 $\pm$ 1.7	0.155 $\pm$ 0.010	+56.6 $\pm$ 0.9

435

436 **FIGURE CAPTIONS**

437 **Fig. 1.** Particle size distribution of oil-in-water emulsions (10% MCT, 1%  
438 emulsifier, 10000 psi, 5 passes) stabilized by differently charged  
439 emulsifiers: WPI, SDS, Tween 60, and DTAB.

440 **Fig. 2.** (A)  $\zeta$ -potential and (B) mean particle diameter (z-average) of base  
441 emulsions (5% MCT, 0.5% emulsifier (WPI, SDS, Tween 60, DTAB),  
442 10000 psi, 5 passes) as a function of pH (2 - 11). Emulsions were stored  
443 24 h prior to analysis.

444 **Fig. 3.** Mean particle diameter of alginate beads (0.5% and 1.5% alginate, 50 mM  
445 CaCl<sub>2</sub>, 30 min hardening) as a function of pH (2 - 11). An average was  
446 calculated out of 10 beads.

447 **Fig. 4.** Visual appearance of alginate beads depending on pH (2 - 11). Black grid  
448 is 5 x 5 mm, whereas white grid is 2 x 2 mm.

449 **Fig. 5.** Surface charge ( $\zeta$ -potential) of alginate beads (0.5% biopolymer, 50 mM  
450 CaCl<sub>2</sub>, 30 min hardening) depending on pH (2 - 11). Note: Alginate beads  
451 were prepared using a commercial encapsulation unit.

452 **Fig. 6.** Turbidity development of hydrogel beads composed of 0.5% (A) and 1.5%  
453 alginate (B) (50 mM CaCl<sub>2</sub>, 30 min hardening) loaded with oil-in-water  
454 emulsions (5% MCT, 0.5% emulsifier (WPI, SDS, Tween 60, DTAB),  
455 10000 psi, 5 passes) as a function of pH (2 - 11). (Please note that bead

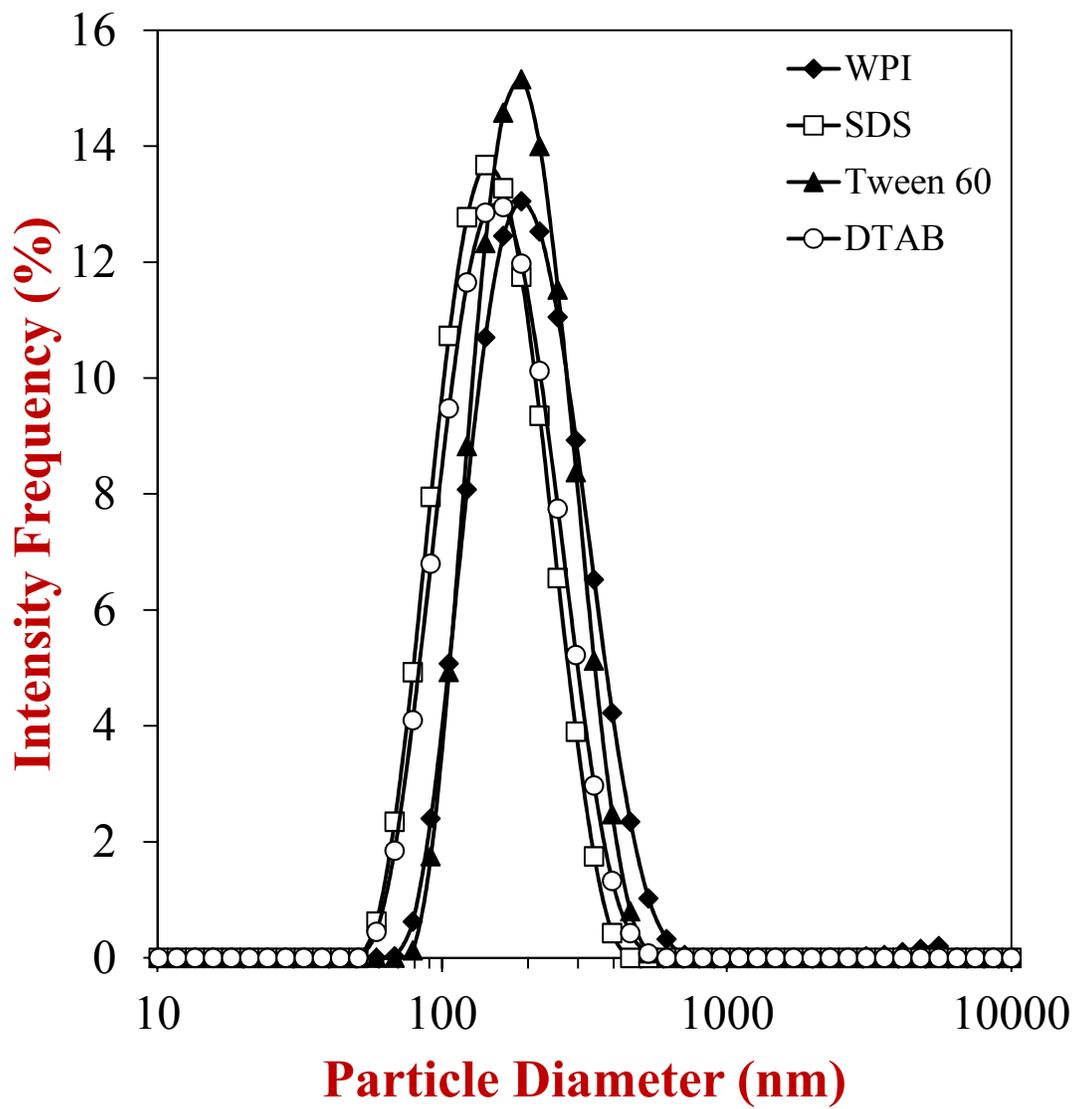
456 formation using 0.5% alginate in combination with DTAB-stabilized  
457 emulsions was not possible due to heavy aggregation).

458 **Fig. 7.** Visual appearance of filled alginate beads (5% MCT, 0.5% emulsifier,  
459 10000 psi, 5 passes) depending of pH (2 - 11). Each cuvette contains 50  
460 beads. (\* Bead formation was not possible due to heavy aggregation).

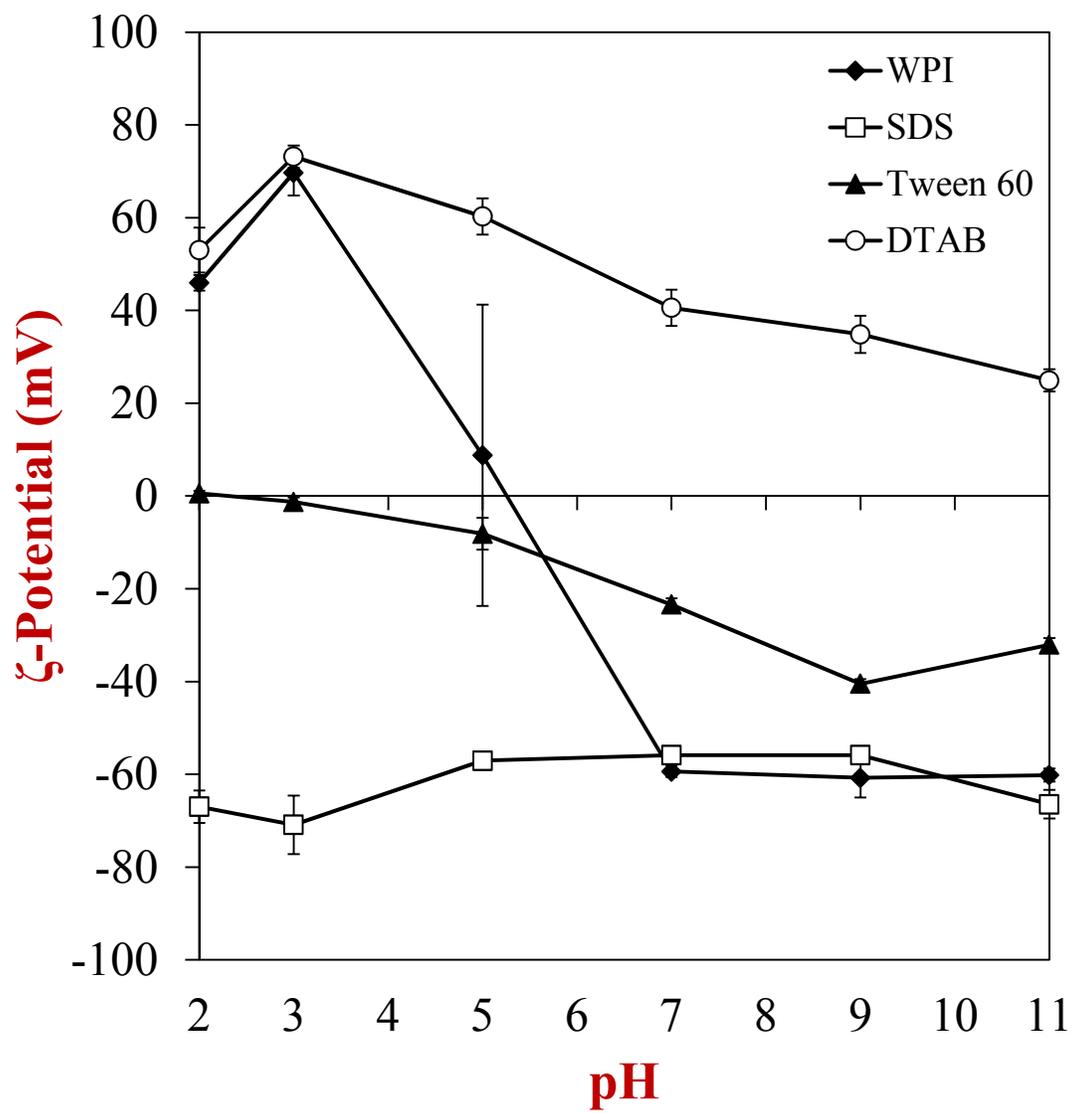
461 **Fig. 8.** Release kinetics of filled hydrogel beads (1.5% alginate, 5% MCT, 0.5%  
462 emulsifier, 10000 psi, 5 passes) depending emulsifier type: (A) WPI, (B)  
463 SDS, (C) Tween 60, and (D) DTAB.

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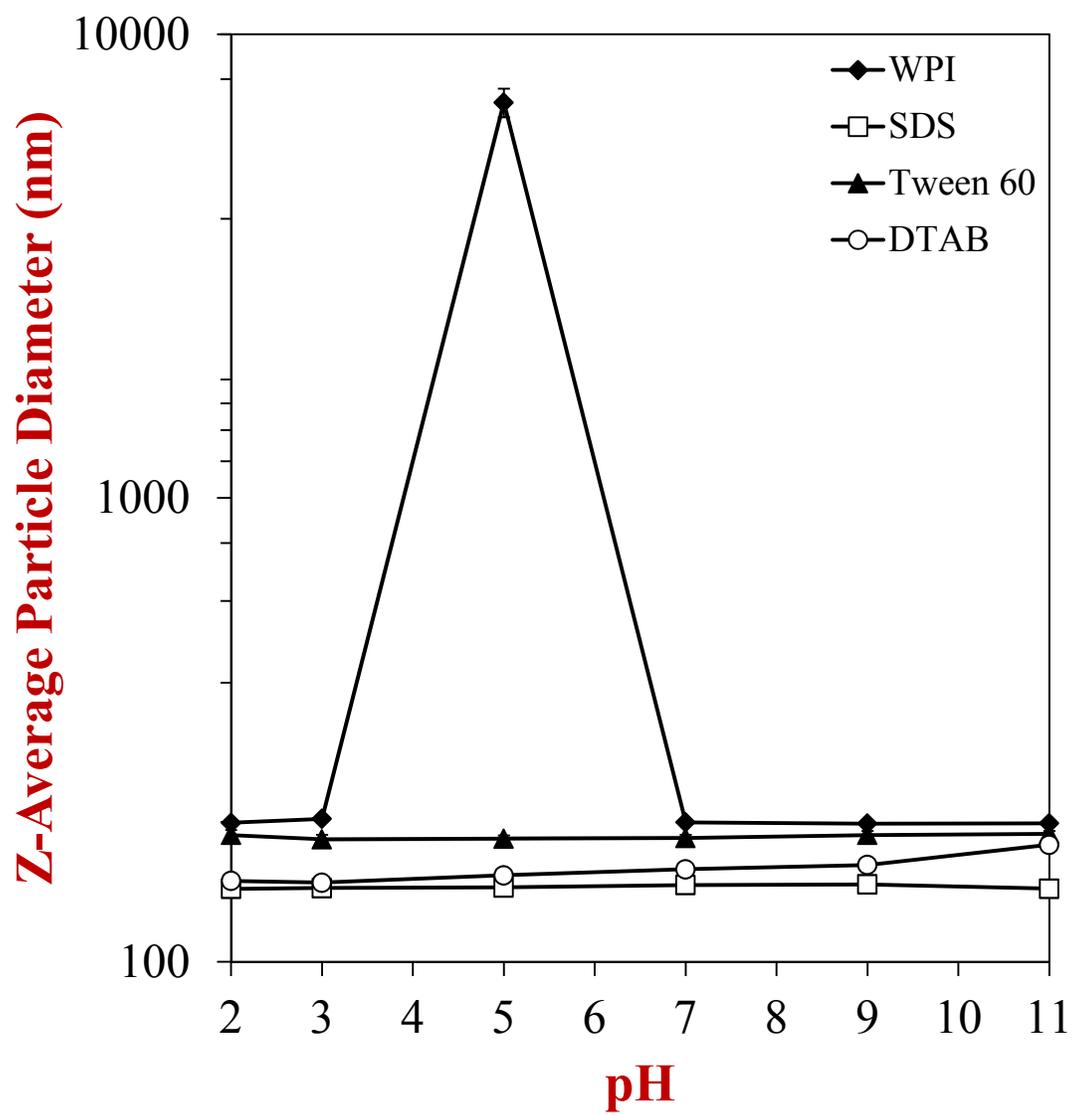
465 Fig. 1.

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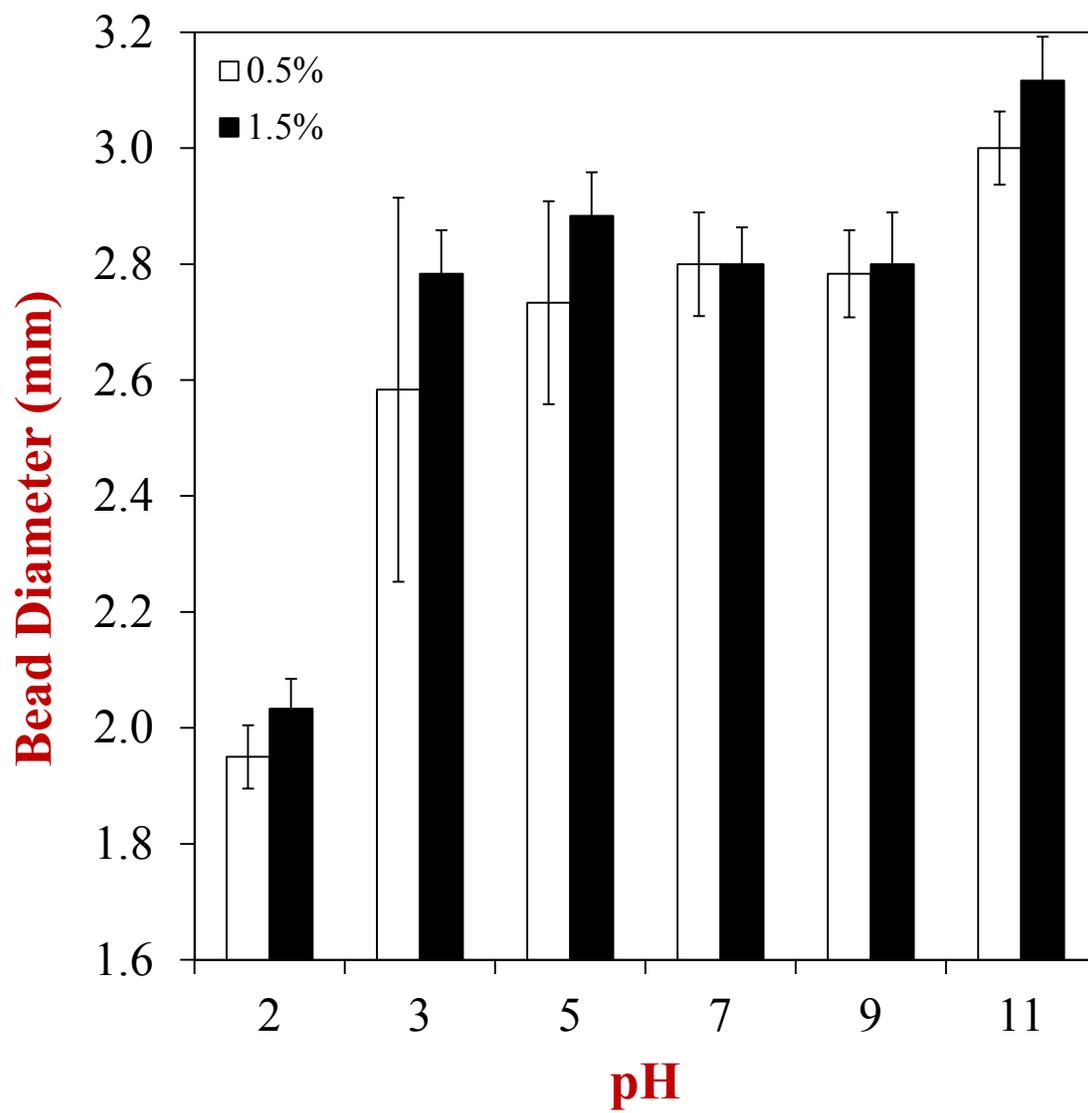
468 Fig. 2a.

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471 Fig. 2b.

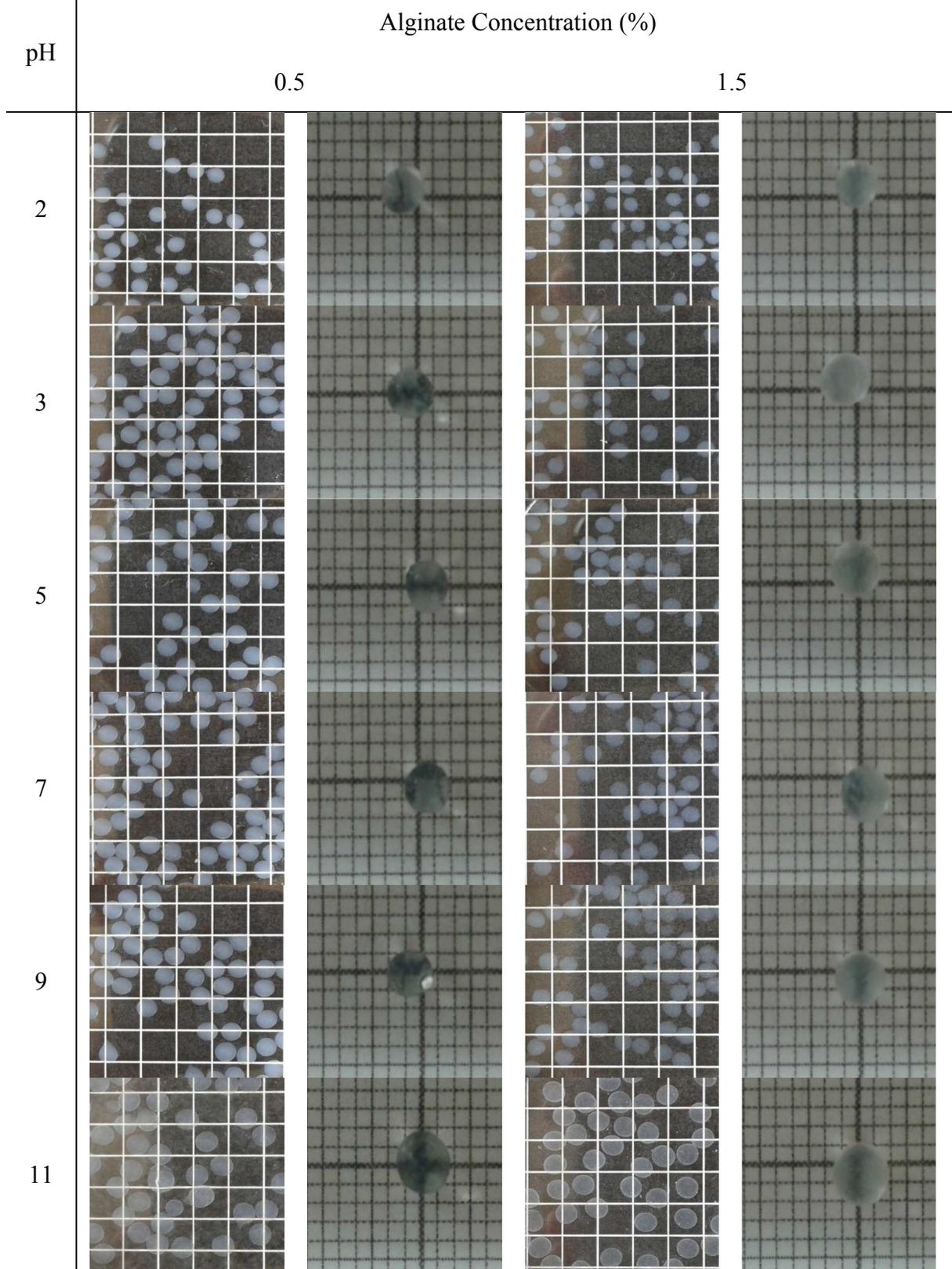
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474 Fig. 3.

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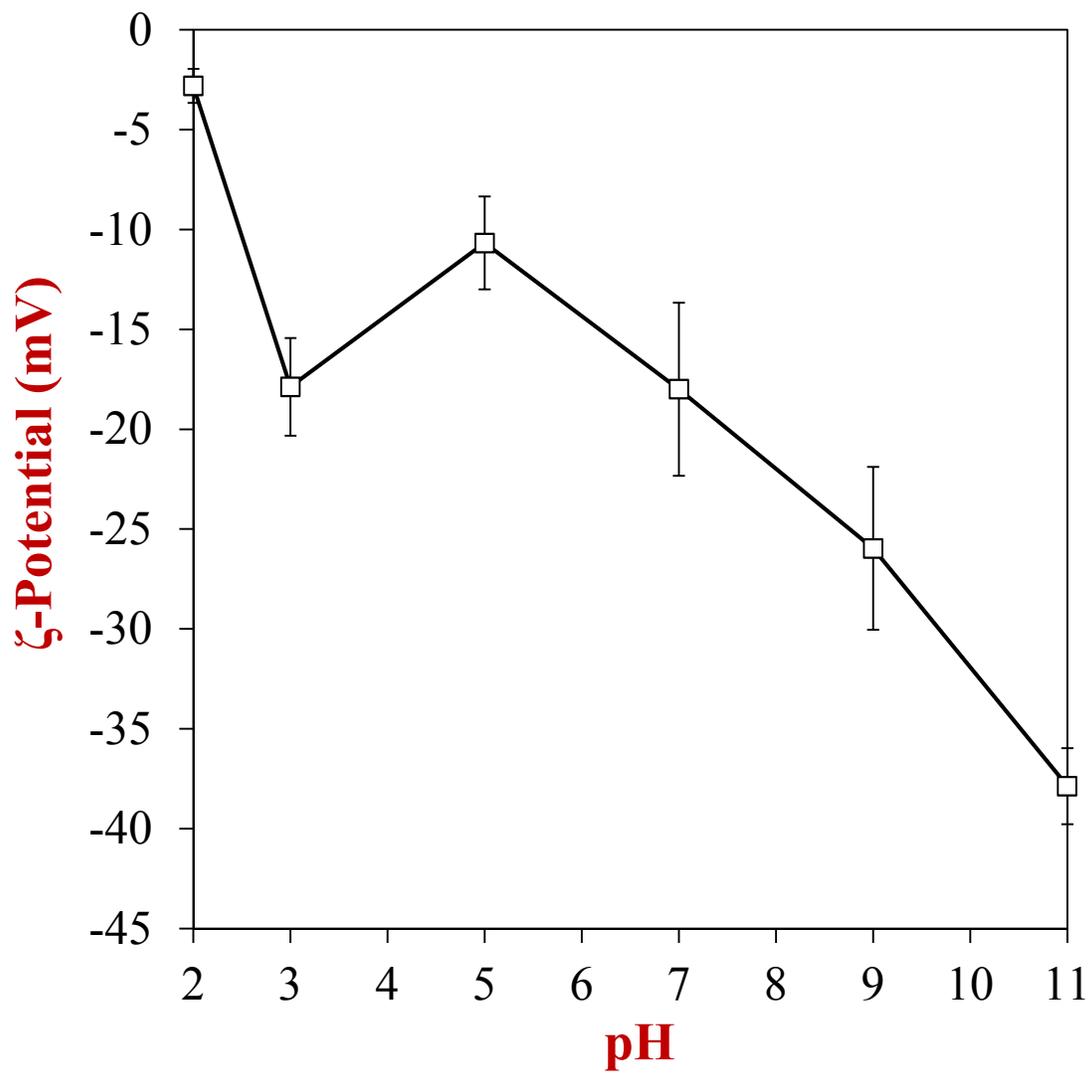
Fig. 4.



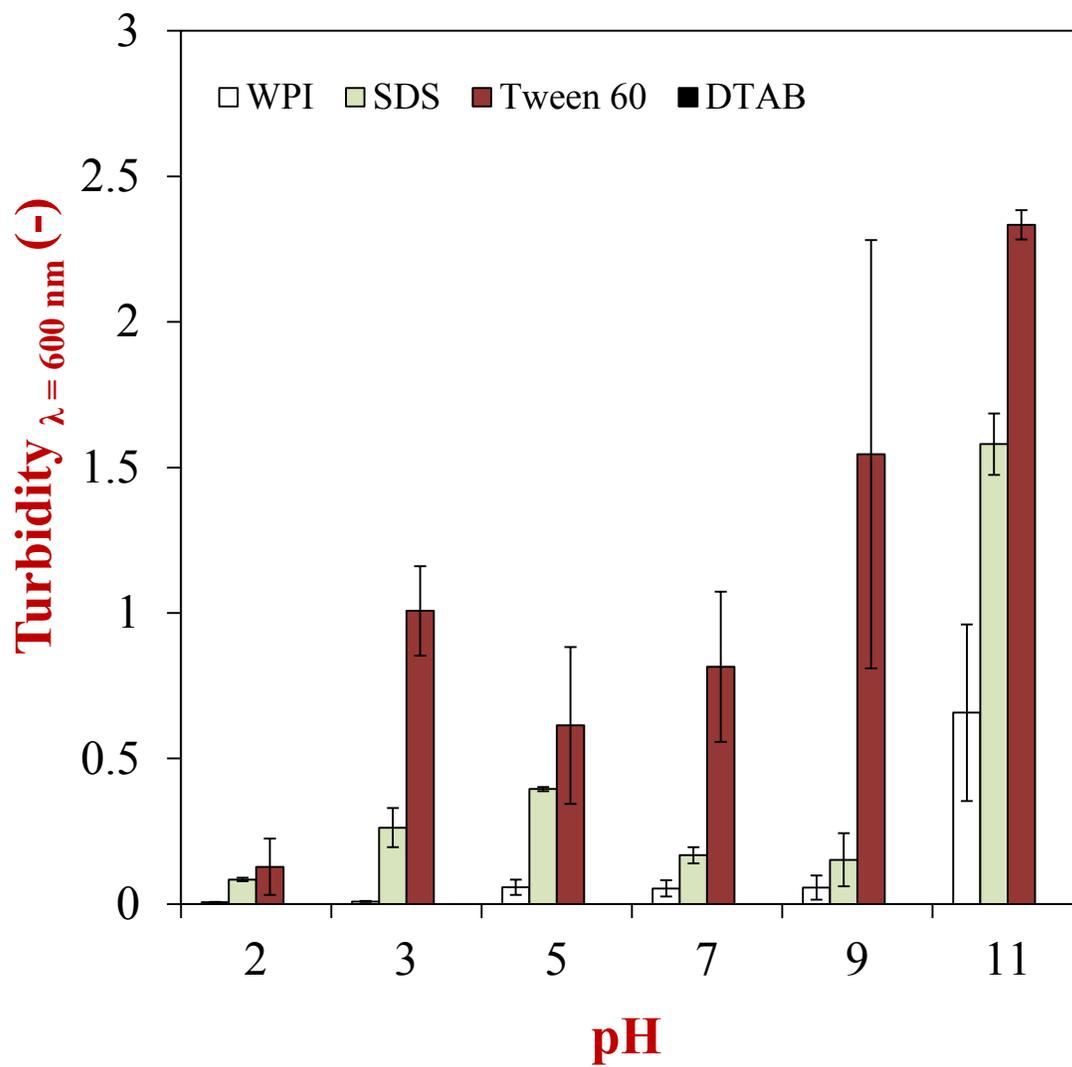
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479 Fig. 5.

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482 Fig. 6a.

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485 Fig. 6b.

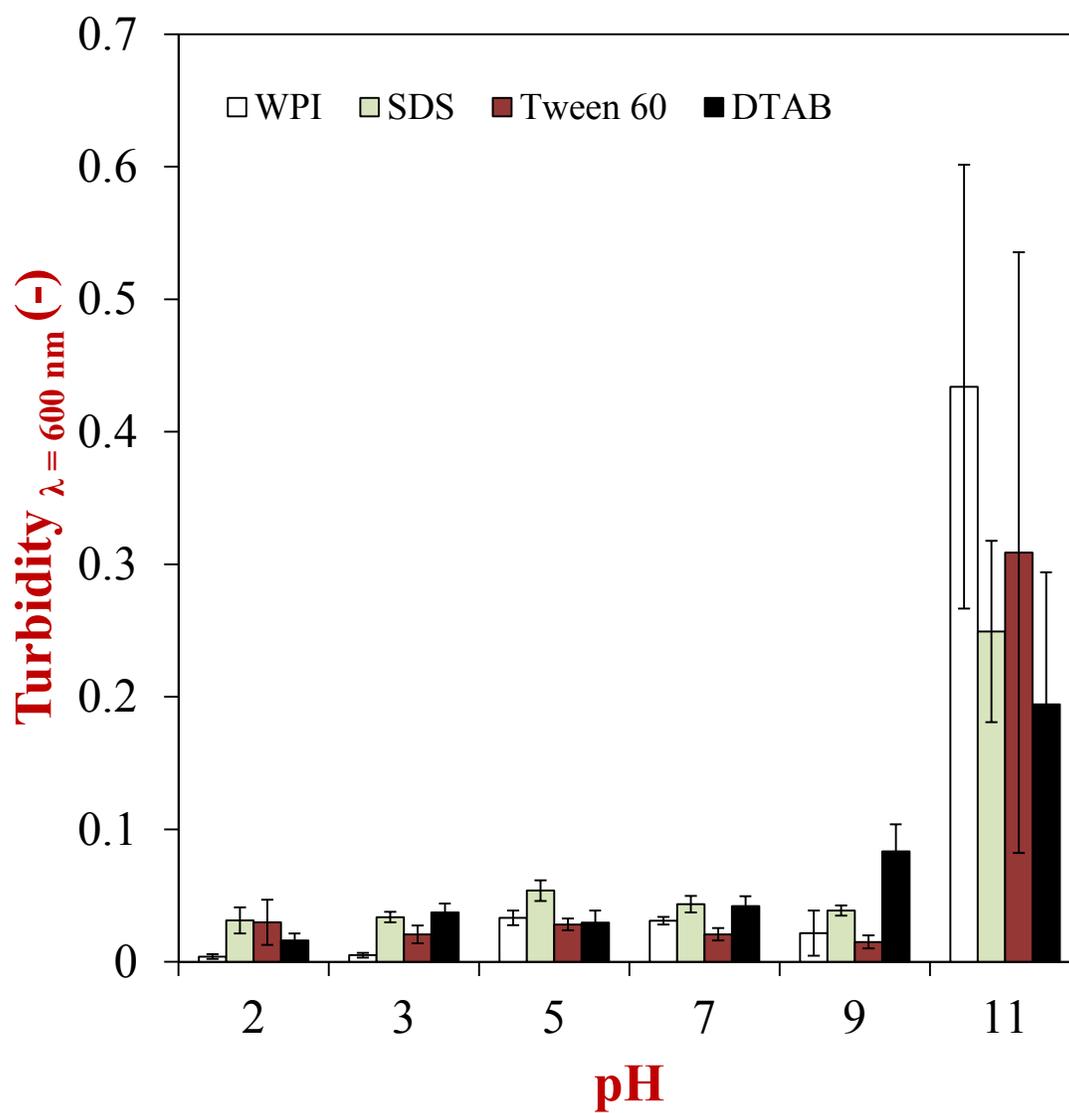
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Fig. 7.

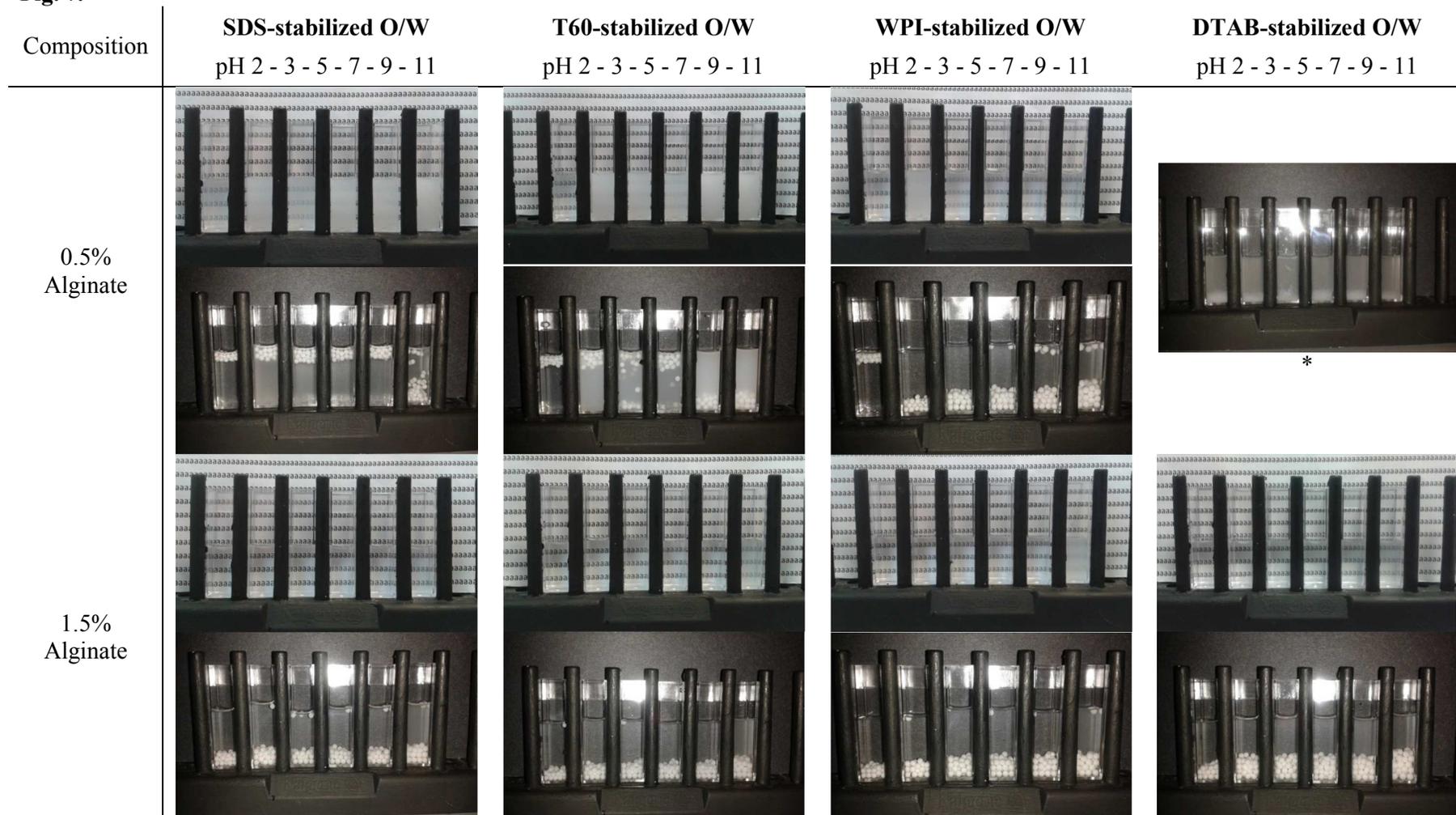


Fig. 8a.

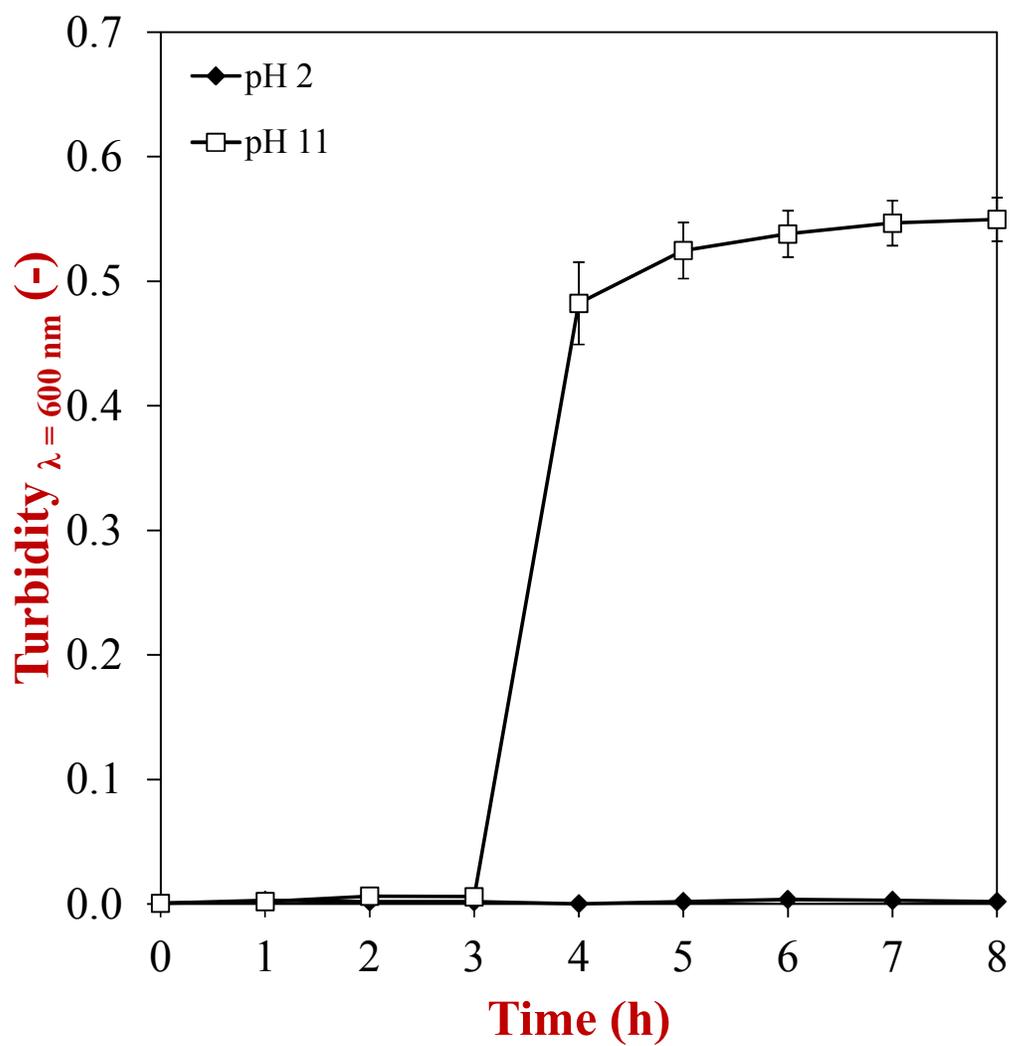


Fig. 8b.

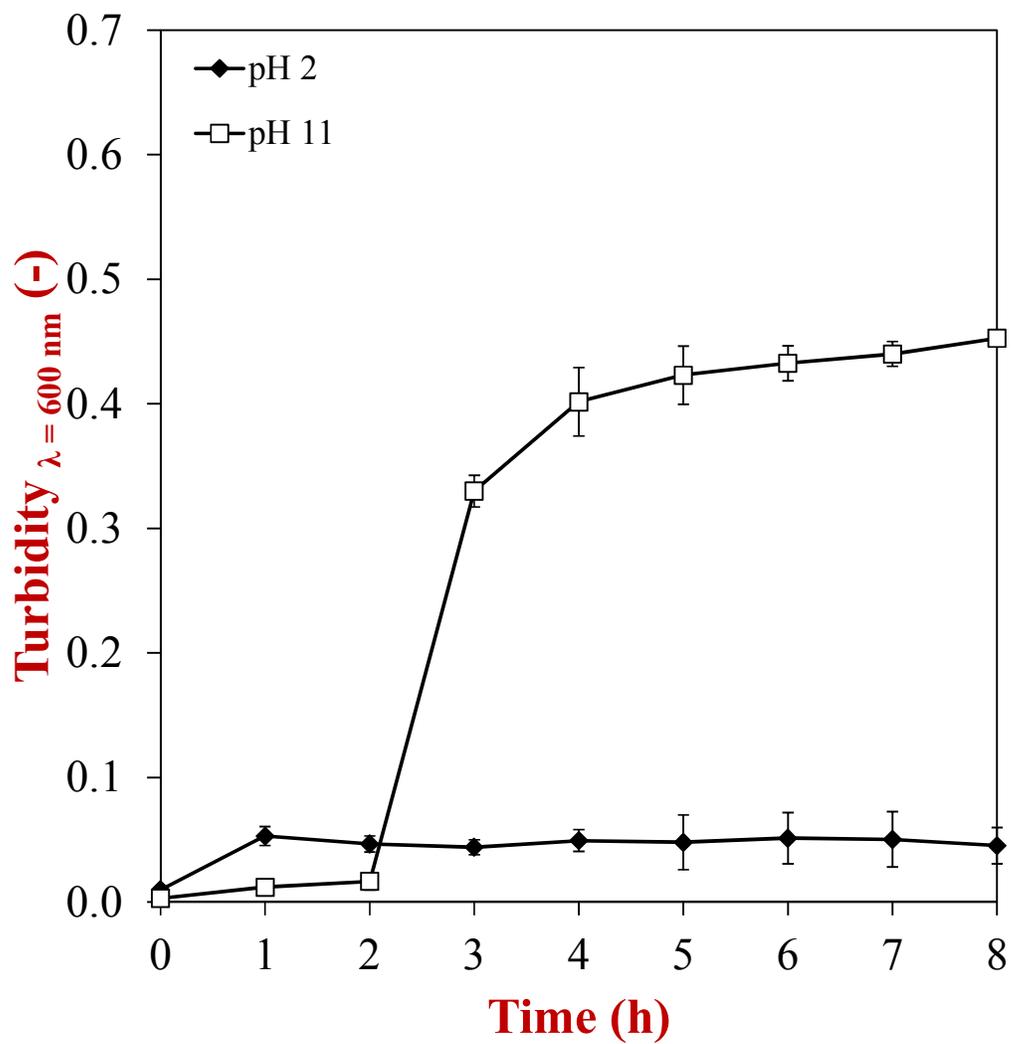


Fig. 8c.

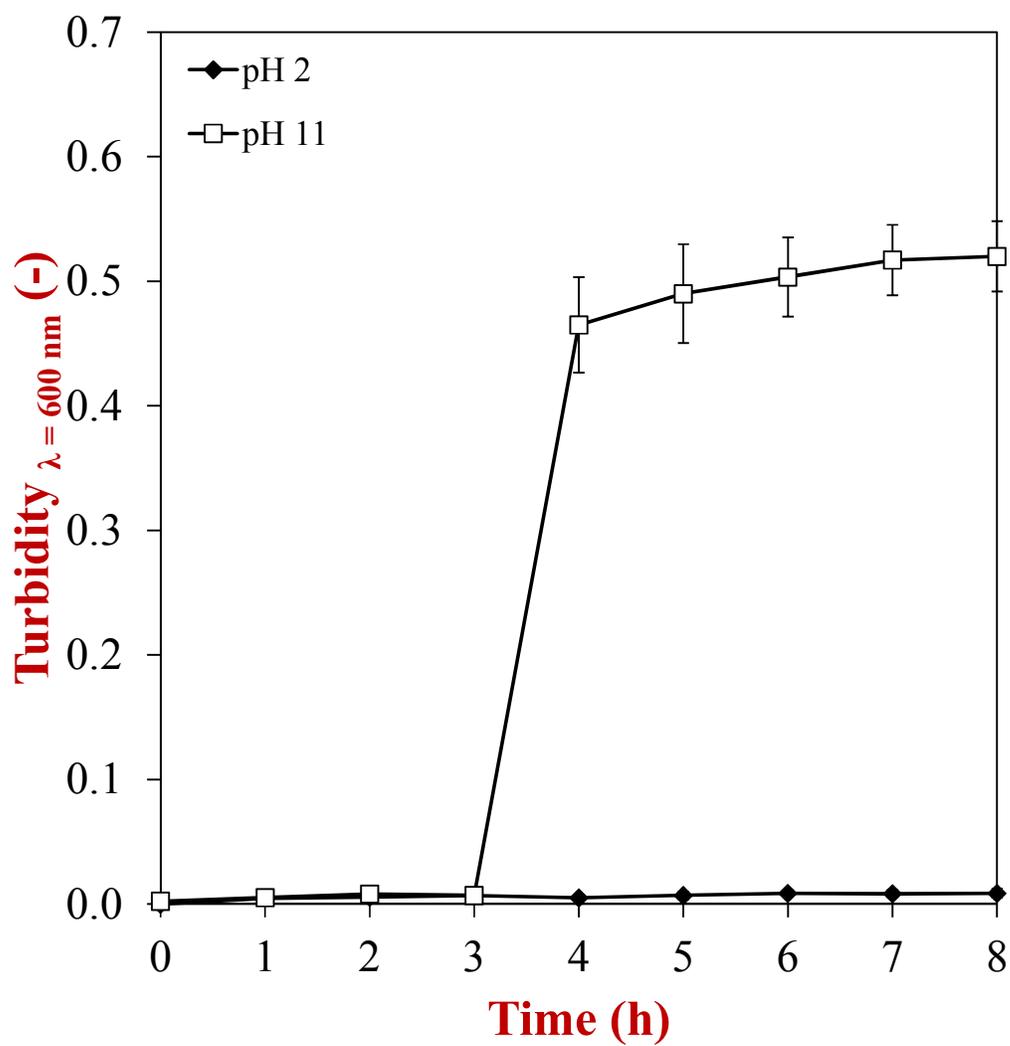


Fig. 8d.

