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## 20 **Abstract**

21 Antibiotics are still the most effective agents used to fight bacterial infections. Antibiotics are quickly  
22 metabolised or excreted from the human body, thus they need to be frequent administrated (few times  
23 a day) and their half life is usually an important factor in the therapeutic choice. In order to render the  
24 administration less frequent, antibiotic release from a carrier can be employed.

25 In this work we covalently bound gentamicin to gold nanoparticles capped with cysteine or  
26 glutathione as gold nanoparticles are biologically safe. The conjugates exhibited antimicrobial activity  
27 against both *S. aureus* and MRSA at concentrations as low as 0.1 mg NP/ml consistent with an  
28 antibiotic load of 1-2 % w/w as determined through TGA. No antimicrobial activity was exhibited by  
29 the unconjugated nanoparticles. The release of gentamicin from the conjugates was monitor in buffer  
30 solutions at pH = 7 and the antibiotic concentration continued increasing over two days.

31 This work demonstrates that gold nanoparticles can be employed as antibiotic carriers providing a  
32 continuous release of antibiotic over few days. Glutathione appeared a better coupling agent than  
33 cysteine allowing higher load of gentamicin resulting in lower inhibitory conjugate concentrations.

34

35 **Keywords:** gold conjugates, gentamicin, *S. aureus*, MRSA, drug release

## 36 Introduction

37 Microorganisms can be classified in two distinct categories: non-pathogenic and pathogenic. Cells  
38 belonging to the later are responsible for infections; however, microorganisms can induce infections  
39 in some host species but not in others, i.e. human pathogens may not be pathogenic to animals and via  
40 versa. Antibiotics are still the most effective agents used to fight bacterial infections; they can be  
41 classified depending on the drug molecules structure (penicillin, tetracycline, cephalosporin,  
42 glycopeptide...), mechanism of action (membrane synthesis, protein synthesis, DNA) or their  
43 spectrum of activity. Their administration to patients is generally through oral, topical or parental  
44 route 1; antibiotics are quickly metabolised and excreted from the human body; hence their  
45 concentration rapidly decreases after administration, this leads to the need for frequent administration  
46 and their half-life is usually an important factor in the therapeutic choice. In order to render the  
47 administration less frequent and to improve efficacy, antibiotic release from a carrier has been  
48 suggested as possible approach. 26

49 Gold nanoparticles have found numerous applications as drug delivery vehicles because of their  
50 stability and biological safety. 79 Their synthesis is generally performed through the reduction of  
51 Au<sup>3+</sup> using inorganic agents or through biogenic approaches. Capping agents such as: tiopronin <sup>10</sup>,  
52 glutathione <sup>11</sup> and L-cysteine <sup>12</sup> have also been employed exploiting the thiol affinity toward gold;  
53 moreover they provide stability to the particles and to allow further grafting of molecules to the gold  
54 carrier.

55 Stimuli responsive delivery systems have been developed to enhance the efficacy of drugs; <sup>13</sup>  
56 temperature and pH are examples of triggers used to enable drug release from a carrier. <sup>14,15</sup> pH  
57 responsive systems are generally based on three mechanisms, one is through a covalent bond between  
58 the carrier and the drug that is hydrolysed when required; for this porpoise an amide bond, stable at  
59 physiological pH and broken in acidic conditions, is used. <sup>16-17</sup> Alternatively, the drug is embedded in  
60 a polymer matrix that is dissolved at acidic pH. <sup>18-21</sup> Capsules coated with a sequence of oppositely  
61 charged polyelectrolytes containing the chosen drug can release it when the change of pH induces a  
62 variation of the charge in one of the two electrolytes thus reducing the electrostatic attraction. <sup>22-24</sup>

63 In this work, we synthesised gold nanoparticles capped with either glutathione or L-cysteine and  
64 covalently attached gentamicin to the capping agent; after characterising the physico-chemical  
65 properties of the conjugates, we determined the dose-response antimicrobial activity against  
66 *Staphylococcus aureus* and Methicillin resistant *S. aureus* of the conjugates along with the kinetic of  
67 antibiotic release.

68

## 69 **Materials and Methods**

### 70 **Chemicals**

71 HAuCl<sub>4</sub>•3H<sub>2</sub>O (99.99%), glutathione (99%), L-cysteine, hydrazine (80%), gentamicin (GS), (N-  
72 morpholino) ethanesulfonic acid (MES) buffer, 1-[3-(dimethylamino)-propyl]-3-ethylcarbodiimide  
73 hydrochloride (EDC), N-hydroxysulfo-succinimide sodium salt (sulfo-NHS) and *o*-phthaldialdehyde  
74 reagent solution (OPA) were purchased from Sigma, UK. Methanol and *iso*-propanol were purchased  
75 from Fisher, UK.

76 Buffers were prepared according to standard laboratory procedures. All other chemicals were reagent  
77 grade, stored according to manufacturer's guidelines and used as received.

### 78 **Conjugates preparation**

79 Typically, gold nanoparticles were synthesised from 60 ml of an acidified aqueous solution (acetic  
80 acid:dH<sub>2</sub>O 1:5) of HAuCl<sub>4</sub> (17 mM) containing glutathione or L-cysteine (8 mM) as capping agent  
81 adding 0.2 ml of hydrazine 80% drop wise under vigorous mixing. The nanoparticles were separated  
82 after 1 hour at room temperature adding 50 ml of methanol and centrifuging for 10 min at 2455 g  
83 (Avanti J-25, Beckman-Coulter). The processed was repeated three time and the gold nanoparticles  
84 were allowed to dry on a glass watch for 24 hours.

85 Conjugates were prepared dispersing the 100 mg of Au nanoparticles in 50 ml MES buffer (50 mM,  
86 pH 6.5) in the presence of gentamicin (50 mg) along with sulfo-NHS (25 mg) and EDC (45 mg).

87 After 24 h at room temperature under vigorous mixing, the conjugates were separated adding 25 ml of  
88 methanol and centrifuging for 10 min at 2455 g (Avanti J-25, Beckman-Coulter). The process was  
89 repeated three time and the gold nanoparticles were allowed to dry on a glass watch for 24 hours.

**90 Conjugates characterisation**

91 UV-vis spectra (400 - 700 nm, 1 nm resolution) of the conjugated dispersed in PBS (1 mg/ml) were  
92 recorded in 1 cm quartz cells with a U-3000 Hitachi, UV-vis spectrometer.

93 Infrared spectra (from 4000 to 500  $\text{cm}^{-1}$ ) of the samples were collected with Perkin Elmer Spectrum  
94 One with Ge/Ge UATR.

95 For transmission electron microscopy (TEM) characterization a 4  $\mu\text{l}$  droplet of nanoparticles  
96 suspension was placed on a plain carbon-coated copper TEM grid and allowed to evaporate in air  
97 under ambient laboratory conditions for several hours. Bright field TEM images were obtained using  
98 a TEM (Philips CM12, FEI Ltd, UK) operating at 80kV fitted with an X-ray microanalysis detector  
99 (EM-400 Detecting Unit, EDAX UK) utilising EDAX's Genesis software. Typical magnification of  
100 the images was x 100 000. Images were recorded using a SIS MegaView III digital camera (SIS  
101 Analytical, Germany) and analyzed with the software ImageJ; the diameter of at least 150 particles for  
102 each synthetic condition was determined.

103 Thermogravimetric analysis (TGA) was performed using a Stanton Redcroft, STA-780 series TGA;  
104 data were recorded from 25 to 600  $^{\circ}\text{C}$  with a constant heating rate of 10  $^{\circ}\text{C}$   $\text{minute}^{-1}$ .

**105 Gentamicin release quantification**

106 Conjugates were dispersed in citric acid –  $\text{Na}_2\text{HPO}_4$  buffer pH = 7 (5 mg/ml) and incubated at 37  $^{\circ}\text{C}$ .  
107 At prefixed times samples were taken and the gentamicin in the buffer was quantified thorough  
108 fluorescence spectroscopy using o-phthaldialdehyde. 100  $\mu\text{l}$  of buffer containing gentamicin were  
109 mixed with 100  $\mu\text{l}$  of iso-propanol and 100  $\mu\text{l}$  of OPA reagent solution (Sigma, UK); after 30 min at  
110 room temperature in the dark, 200  $\mu\text{l}$  of the mixture were transferred in a black 96 wells plate and the  
111 fluorescence determined (excitation wavelength = 340 nm and emission wavelength = 450 nm) with a  
112 fluoroscan (FLUOROstar Optina, BMG labtech); standards of known gentamicin concentration were  
113 also analysed simultaneously. The tests were performed in duplicates on conjugates from three  
114 independent batches.

**115 Antimicrobial testing**

116 *Staphylococcus aureus* (NCIMB 9518) and Methicillin resistant *S. aureus* - MRSA (NCTC 12493)  
117 were stored at -80 °C. Brain Heart Infusion (BHI) Agar plates were streaked with the frozen cultures  
118 and cells were grown at 37 °C for. Stocks were then stored at -4 °C for no more than a week. Cell  
119 cultures were prepared inoculating 10 ml of fresh sterile BHI broth and incubating at 37 °C statically  
120 for 24 hours. Cells were diluted in fresh BHI broth to a final concentration of  $10^4$  CFU/ml and 150  $\mu$ l  
121 of this suspension were poured in each well of a row of a 96 wells plate. Au-gentamicin conjugates  
122 were dispersed in sterile BHI broth at a concentration of 14 mg/ml and 150  $\mu$ l were added to the first  
123 well of the 96 wells plate. From this, 150  $\mu$ l were poured in the second well resulting in half  
124 concentration of Au conjugates; the process was repeated as far the penultimate well; the last well was  
125 filled with PBS and acted as control. The plates were then incubated at 37 °C for 24 hours and  
126 bacterial cells were counted through serial dilutions in sterile PBS and plating on BHI Agar (plates  
127 incubated 24 hours at 37°C). The tests were performed on three independent cultures on conjugates  
128 from three independent batches.

129

## 130 **Results and discussion**

131 The gold conjugates were prepared in a two step synthesis; in the first the Au capped nanoparticles  
132 were prepared and purified, the second step was the conjugation of gentamicin to the nanoparticles  
133 via condensation reaction (Figure 1) in the presence of EDC and NHS to activate the carboxyl group  
134 present on both capping agents. The nanoparticles were synthesised according to a modified Brust  
135 method<sup>25-27</sup> where the acid pH of the aqueous solution was required to achieve stable nanoparticles  
136 whilst the undesired by-products and unreacted starting compounds were removed through methanol  
137 washing as the gold nanoparticles are non soluble in such solvent as verified by NMR.

138 The suspension of gold capped nanoparticles in PBS exhibited maximum absorbance at 560 nm,  
139 whilst the glutathione capped nanoparticles had a maximum shifted at 550 nm (Figure 2); this was in  
140 accordance with the colour of the aqueous suspension being dark red (for glutathione capped) to  
141 purple (L-cysteine capped). The gold conjugates appeared rounded (Figure 3) regardless of the  
142 capping agent (data not shown); the diameter was  $5.2 \pm 1.1$  nm and  $7.8 \pm 1.2$  for glutathione and L-

143 cysteine capped nanoparticles respectively; in both cases the conjugation did not affect the gold core  
144 size of the nanoparticles as expected and also found in previous gold conjugates.<sup>11</sup> These two results  
145 are in agreement as larger nanoparticles exhibit absorbance peaks at progressively greater wavelength  
146 (from red to purple to dark violet).

147 FTIR (Figure 4 and Figure 5) revealed that the hydrogen-sulphur bond of the -SH group presented in  
148 both glutathione and L-cysteine (at  $\sim 2560\text{ cm}^{-1}$ ) disappeared after the synthesis of the gold  
149 nanoparticles demonstrating the stabilisation conferred to the nanoparticles by the strong affinity of  
150 gold for sulphur. Other than the -SH band the spectra of the capped gold nanoparticles were  
151 remarkably similar to those of the pure capping agent; whilst the further binding of gentamicin to  
152 either glutathione or L-cysteine was not detectable through FTIR.

153 The organic fraction composition of the gold nanoparticles was determined through TGA (Figure 6a),  
154 both samples exhibited mass loss when the temperature increased above 150-200 °C corresponding to  
155 the loss of the organic fraction (capping agent and/or gentamicin), whilst the remaining fraction was  
156 the gold core of the conjugates the remained unaffected at temperatures as high as 600 °C; the profile  
157 of TGA analysis of the individual capping agents (Figure 6b) appeared similar to that of the gold  
158 nanoparticles but almost total thermal decomposition occurred; gentamicin was the most resistant to  
159 thermal degradation. The percentage of glutathione appeared less than L-cysteine, 17 % and 22 %  
160 w/w respectively. Additionally, the molar concentration of glutathione on the nanoparticles was also  
161 lower than cysteine; the molecular weight of cysteine is about a third of glutathione (307 vs. 121  
162 g/mol) while the similar organic amount and size of the gold nanoparticles thus suggesting that steric  
163 interactions prevent glutathione coupling with gold. Similar amount of organic fraction were reported  
164 for glutathione capped gold nanoparticles despite different ratio between capping agent and  $\text{Au}^{3+}$   
165 during nanoparticles preparation. The ratio between metal and thiol capping agent appeared to  
166 influence the amount of organic fraction for gold nanoparticles<sup>10,11</sup> as for silver.<sup>28</sup>

167 The amount of gentamicin bound to the conjugates was calculated as the difference between the  
168 metallic core of the capped nanoparticles and that of the conjugates. More gentamicin was present on  
169 glutathione capped (2.5 % w/w) than on L-cysteine capped gold nanoparticles (1.2 % w/w). This  
170 could be due to the two carboxyl groups exhibited by glutathione compared to the single carboxyl

171 group of cysteine. The simultaneous presence of amino and carboxyl groups in both capping agents  
172 could have resulted in inter-particles conjugation; however this was not observed in virtue of the high  
173 excess of gentamicin used. The molecular weight of the nanoparticles can be estimated to be around  
174  $1.2 \times 10^6$  g/mol (assuming a perfectly rounded particle made of metal gold ( $\rho = 19.3$  g/cm<sup>3</sup>) with a  
175 diameter of 6 nm), such the conjugation reaction employed  $\sim 0.080$   $\mu$ mol nanoparticles and  $\sim 100$   
176  $\mu$ mol of gentamicin. Similar gold conjugates with tin-chlorin e6 (SnCe6) had comparable molecular  
177 weight and the analogous conjugation reaction (amide bond formation between glutathione and  
178 SnCe6) also in that case did not caused inter-particles bonding despite being carried out with lower  
179 molar ratio between reactant and particles than in this work.<sup>11</sup> *S. aureus* and MRSA were chosen in  
180 this work as main representatives of infectious agents; they are the main sources of infections related  
181 to i.e. intravenous catheters, post orthopaedic surgeries and community acquired infections. No  
182 antimicrobial activity was exhibited by the unconjugated nanoparticles at the highest concentration  
183 tested (3.5 mg NP/ml); this was expected as gold nanoparticles are known to be relatively inert against  
184 cells, nevertheless this was essential to prove the antimicrobial activity was due to only the antibiotic  
185 released from the nanocarriers.

186 The conjugates exhibited antimicrobial activity against *S. aureus* and MRSA (Figure 7 and Figure 8).  
187 When glutathione was employed, the MIC was 220  $\mu$ g NP/ml irrespectively of the bacteria species  
188 tested. On the other hand, when cysteine was employed as capping agent, the MIC was 440  $\mu$ g NP/ml  
189 for MRSA (Figure 7) and 880  $\mu$ g NP/ml for *S. aureus* (Figure 8). For both bacterial species the MIC  
190 corresponded to the MBC.

191 Gentamicin was released from the glutathione capped Au conjugates for at least two days; however,  
192 when L-cysteine was used the released was completed after 24 hours (Figure 9). Furthermore, the  
193 overall amount of antibiotic released was greater for glutathione capped conjugates than L-cysteine.  
194 From the known amount of gentamicin conjugated to the gold nanoparticles in both cases, it is  
195 estimated that about 25% of the gentamicin bound was released after 48 hours when glutathione was  
196 used, whilst only about 10% was released from L-cysteine capped nanoparticles after the same time.

197 The MIC for pure gentamicin was 4  $\mu\text{g/ml}$  for MRSA and less than 2  $\mu\text{g/ml}$  for *S. aureus* (data not  
198 shown); therefore, the higher resistance of *S. aureus* to the conjugates is not related to the antibiotic  
199 used but is connected to interference of cells growth with the antibiotic release from the carrier,  
200 possibly through pH changes of the media. Moreover, the higher activity of the glutathione capped  
201 conjugates is related to the higher amount of gentamicin released from these nanocarriers.

202 The possibility of triggering the release using some environmental factor change (stimuli responsive  
203 system) has been suggested as an option to improve the efficacy of antibiotics. The approach would  
204 rely on the shift in pH from physiological to acidic when *Staphylococcus* infections develop;<sup>24</sup> these  
205 infections are common in patients after undergoing orthopaedic surgery.<sup>1,29</sup> In this way, antibiotics  
206 would remain unused when not required prolonging the time span of effectiveness of materials  
207 containing this drug delivery system; this proposition seems particularly suited to antibiotic laden  
208 bone cements as the commercial formulation (were antibiotic are simply mixed with the cement)  
209 generally stop releasing antibiotic after a few months,<sup>2,30,31</sup> whilst infection offset can occur even  
210 after years from implantation.<sup>29</sup> Irrespectively of the pH-response mechanism employed (bond  
211 breakable at acidic pH between carrier or polymer matrix dissolution), such approach would only  
212 respond to an infection already significantly developed, in virtue of the pH shift needed to trigger the  
213 release; it is very unlikely to provide an effective prevention approach. The main consequence of the  
214 need for infections to develop first before they could be treated is the inability of antibiotics to  
215 inactivate virulence factors already released in the tissue surrounding the pathogen cells.<sup>32</sup> Such  
216 compounds are produced by the bacteria during growth and are responsible for the damages caused by  
217 the infectious agent; for example *S. aureus* produces more than 40 virulence factors,<sup>33</sup> some of them  
218 are V8 protease, alpha-haemolysin causing lysis of red blood cells<sup>33</sup> and sphingomyelinase that are  
219 known to kill proliferating T lymphocytes, suggesting a role for this toxin in evasion of the host  
220 immune response.<sup>34</sup> Because of this, the perceived benefit of being able to retain antimicrobial  
221 activity would be counterbalanced by the greater damages and discomfort caused by infections when  
222 a pH responsive system is used.

223 Another major drawback of this technology would be the narrow spectrum of activity, narrower than  
224 the free antibiotic, as many infections, of significant incidence, do not result in pH changes, for

225 example *E. coli*. Hence, in such circumstances, the antibiotic would remain bound and the infection  
226 would need to be treated with a separate administration of antimicrobial drugs. The system developed  
227 here is capable of releasing antibiotic also at physiological pH, therefore would be able to retain the  
228 spectrum of activity of the original antibiotic, but the persistence release from the carrier would  
229 reduce the frequency/number of administrations, positively impacting on nurses time and patient  
230 compliance because simpler treatment protocols (i.e. few administrations) are more likely to be  
231 adhered than more complex ones. This impacts positively on the fight against the rise of antibiotic  
232 resistance among bacteria as the inappropriate use of antibiotics is a major cause in resistance rise and  
233 spread.

234

## 235 **Conclusion**

236 This work demonstrates that gentamicin can be conjugated to gold nanoparticles and that these can be  
237 employed as antibiotic carrier providing a continuous release of antibiotic over few days; hence they  
238 could constitute a delivery systems capable of reducing the number of administrations and, in turn, the  
239 direct cost associated and the indirect resulting from non compliance. The unconjugated gold  
240 nanoparticles do not exhibit any antimicrobial activity. Glutathione appeared a better capping agent  
241 than cysteine allowing higher load of gentamicin resulting in lower minimum inhibitory  
242 concentrations of the conjugate against both MRSA and *S. aureus*.

243

## 244 **Acknowledgments**

245 The authors would like to thank Arthritis Research UK (ARUK:18461) for providing financial  
246 support to this project.

247

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334 **Figure captions**

335

336 Figure 1. Reaction scheme for Au gold nanoparticles capped with glutathione.

337

338 Figure 2. UV-vis spectra of Au nanoparticles capped with glutathione (solid line) and L-cysteine  
339 (dashed line).

340

341 Figure 3. Example of TEM image for gold nanoparticles capped with glutathione. Bar equivalent to  
342 100 nm.

343

344 Figure 4. Infrared spectra of glutathione (solid line), gentamicin (dashed and dotted line), Au-  
345 glutathione conjugates (dotted line) and Au-glutathione-gentamicin (dashed line) from 4000 to 400  
346  $\text{cm}^{-1}$ .

347

348 Figure 5. Infrared spectra of L-cysteine (solid line), Au-L-cysteine conjugates (dotted line) and Au-L-  
349 cysteine-gentamicin (dashed line) from 4000 to 400  $\text{cm}^{-1}$ .

350

351 Figure 6. Thermal Gravimetric Analysis (TGA) of Au conjugates (a) and pure compounds (b).

352 — Au-glutathione                      ..... Au-glutathione-GS

353 - - - - - Au-L-cysteine                      - · - · - Au-L-cysteine -GS

354 — glutathione                      - · - · - L-cysteine                      - - - - - GS

355 Figure 7. Antimicrobial activity of Au-glutathione (a) and Au-L-cysteine (b) against MRSA. Solid bar  
356 conjugates conjugates with gentamicin and white bars conjugates without gentamicin. \* represent  
357 bacterial count below detection limit

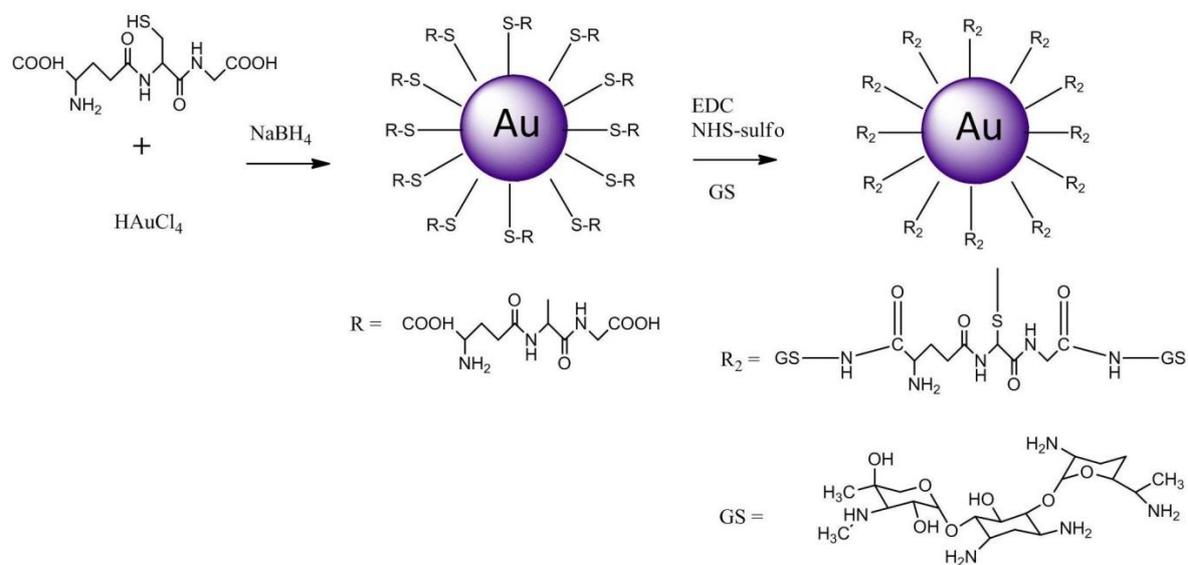
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359 Figure 8. Antimicrobial activity of Au-glutathione (a) and Au-L-cysteine (b) against *S. aureus*. Solid  
360 bar conjugates conjugates with gentamicin and white bars conjugates without gentamicin.\* represent  
361 bacterial count below detection limit

362

363 Figure 9. Release of gentamicin from Au-glutathione (●) and Au-L-cysteine (○) over time in buffer  
364 pH = 7

365

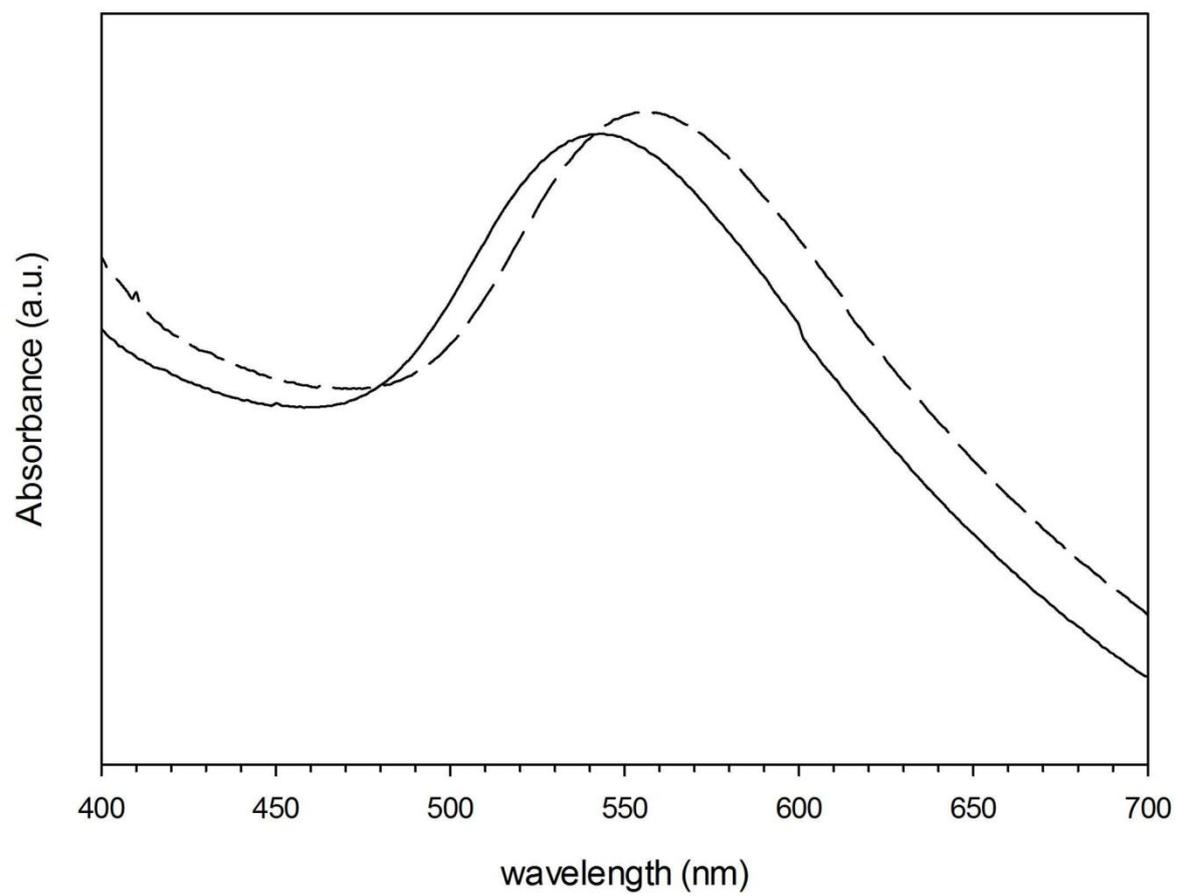


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367 Figure 1

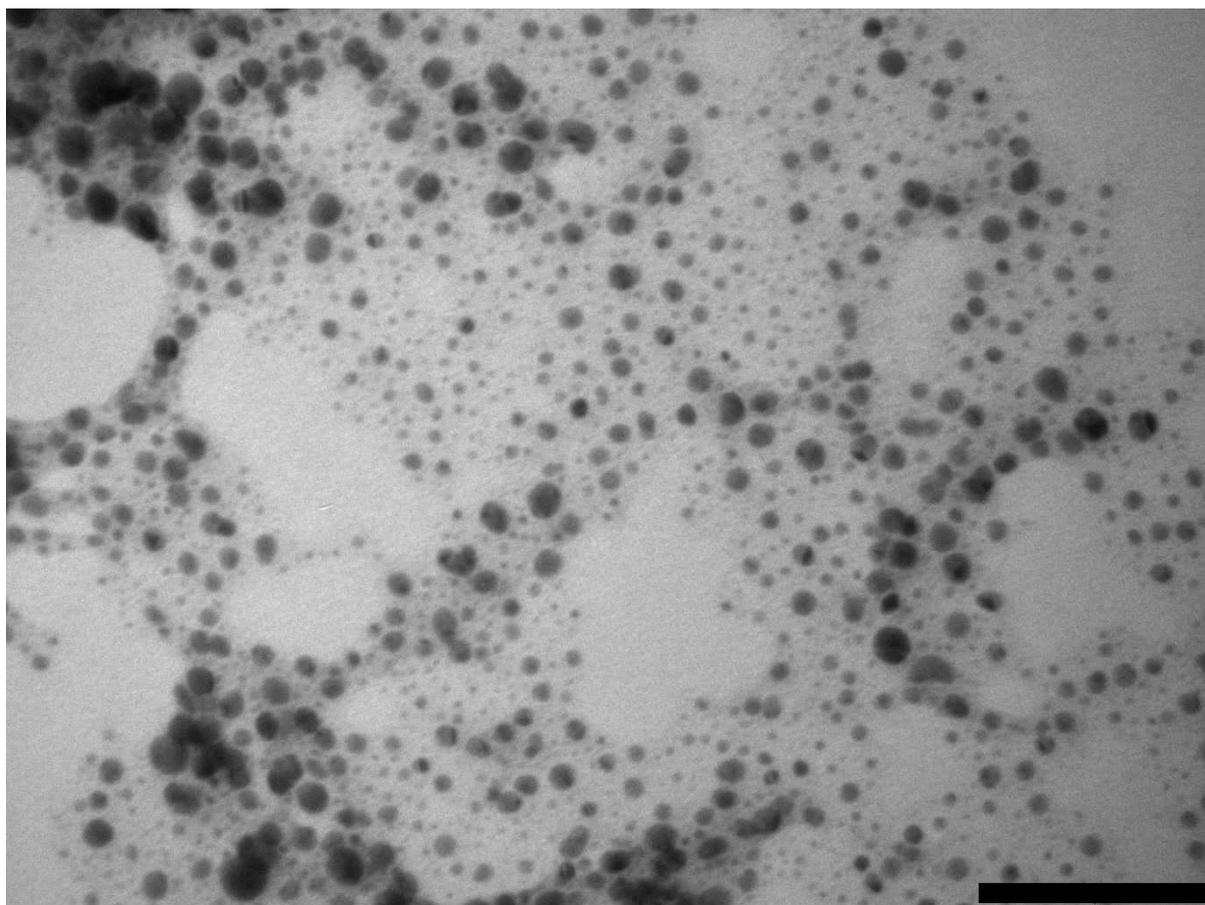
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371 Figure 2

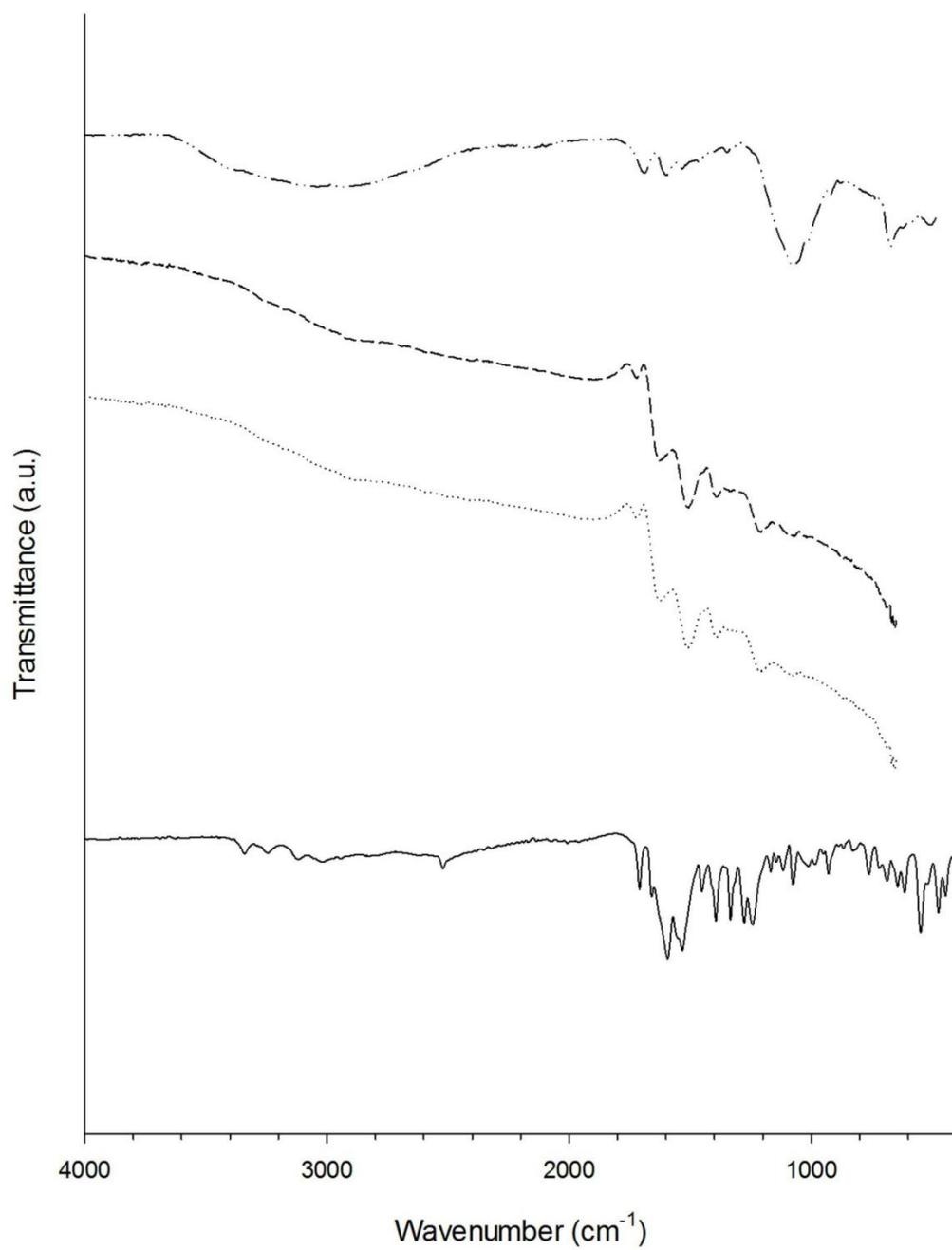


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373 Figure 3

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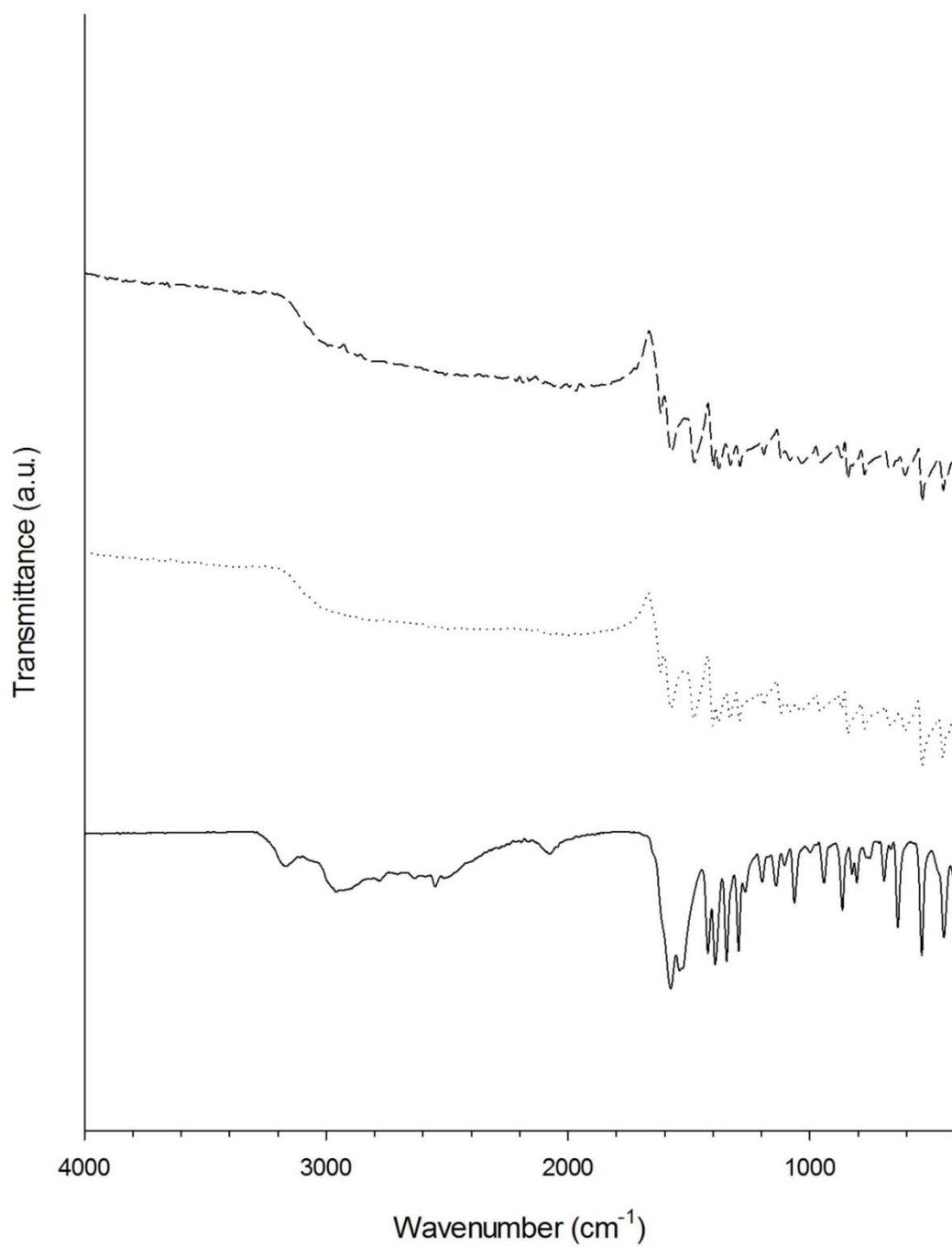
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377 Figure 4

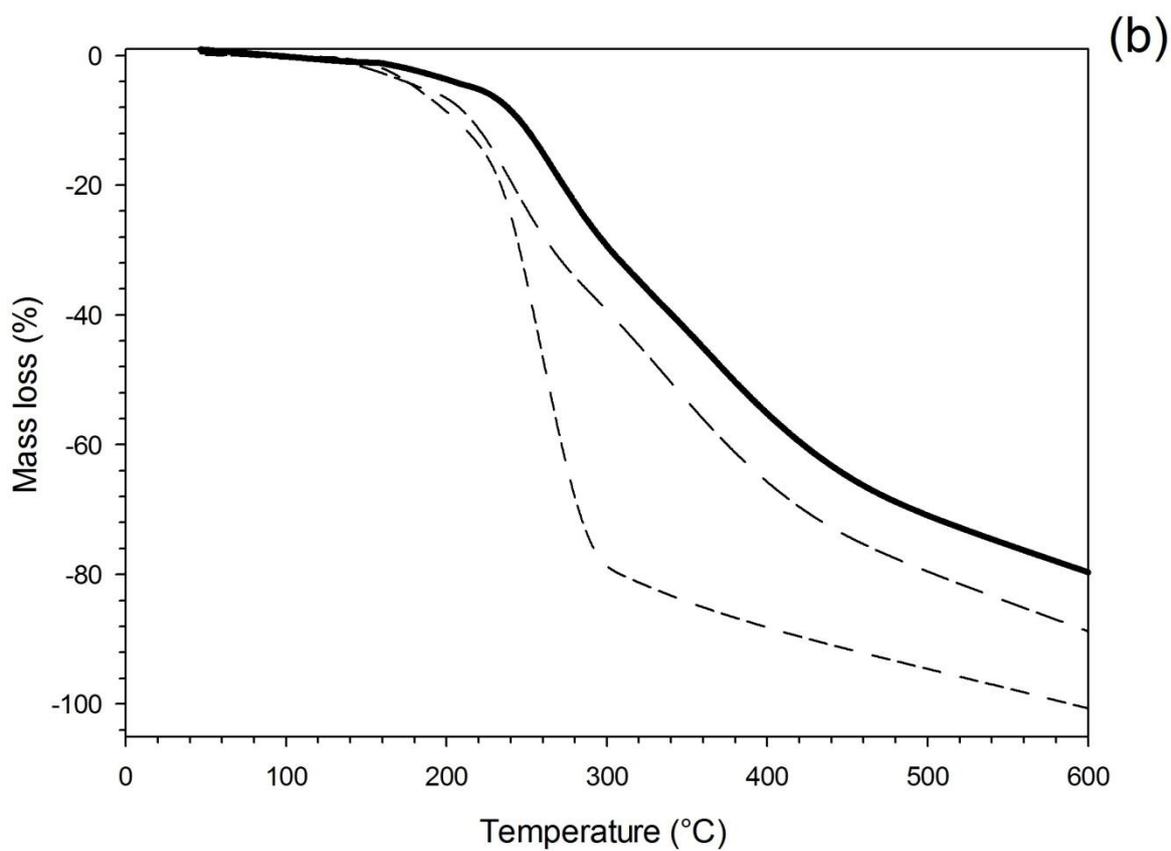
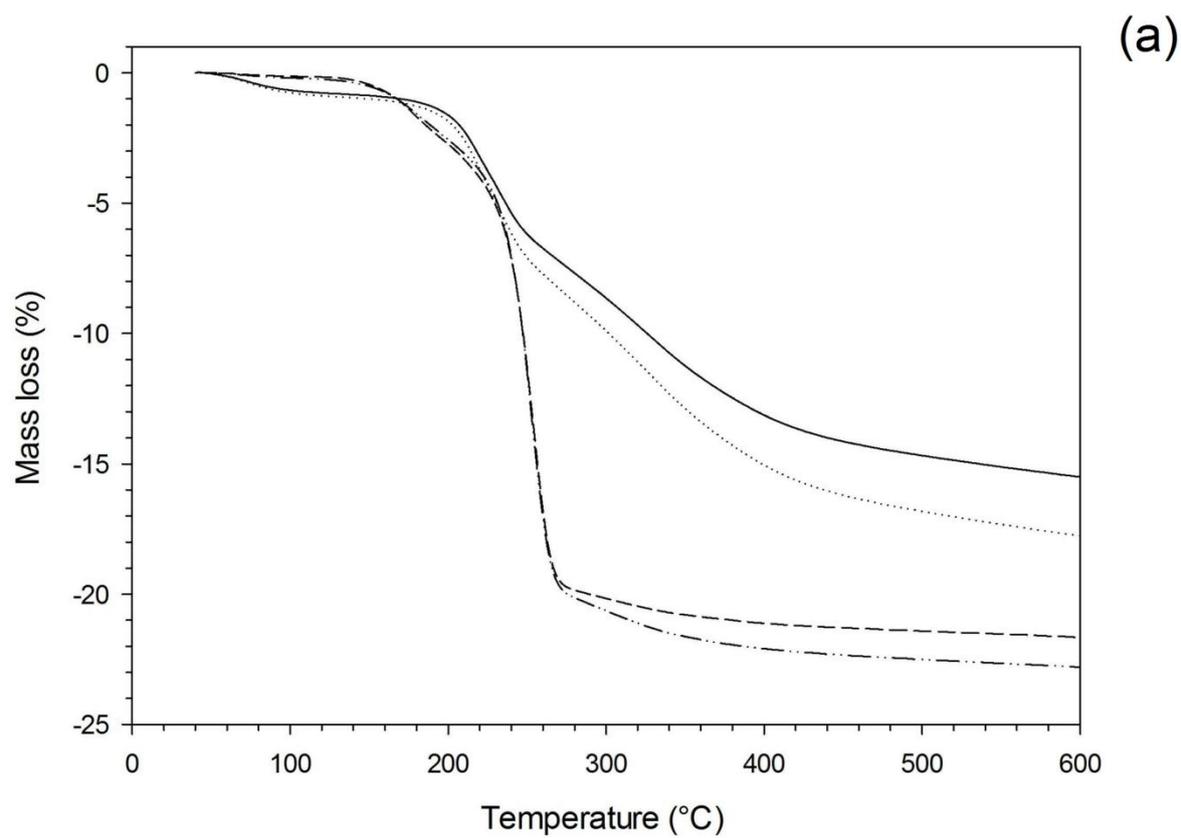
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380 Figure 5

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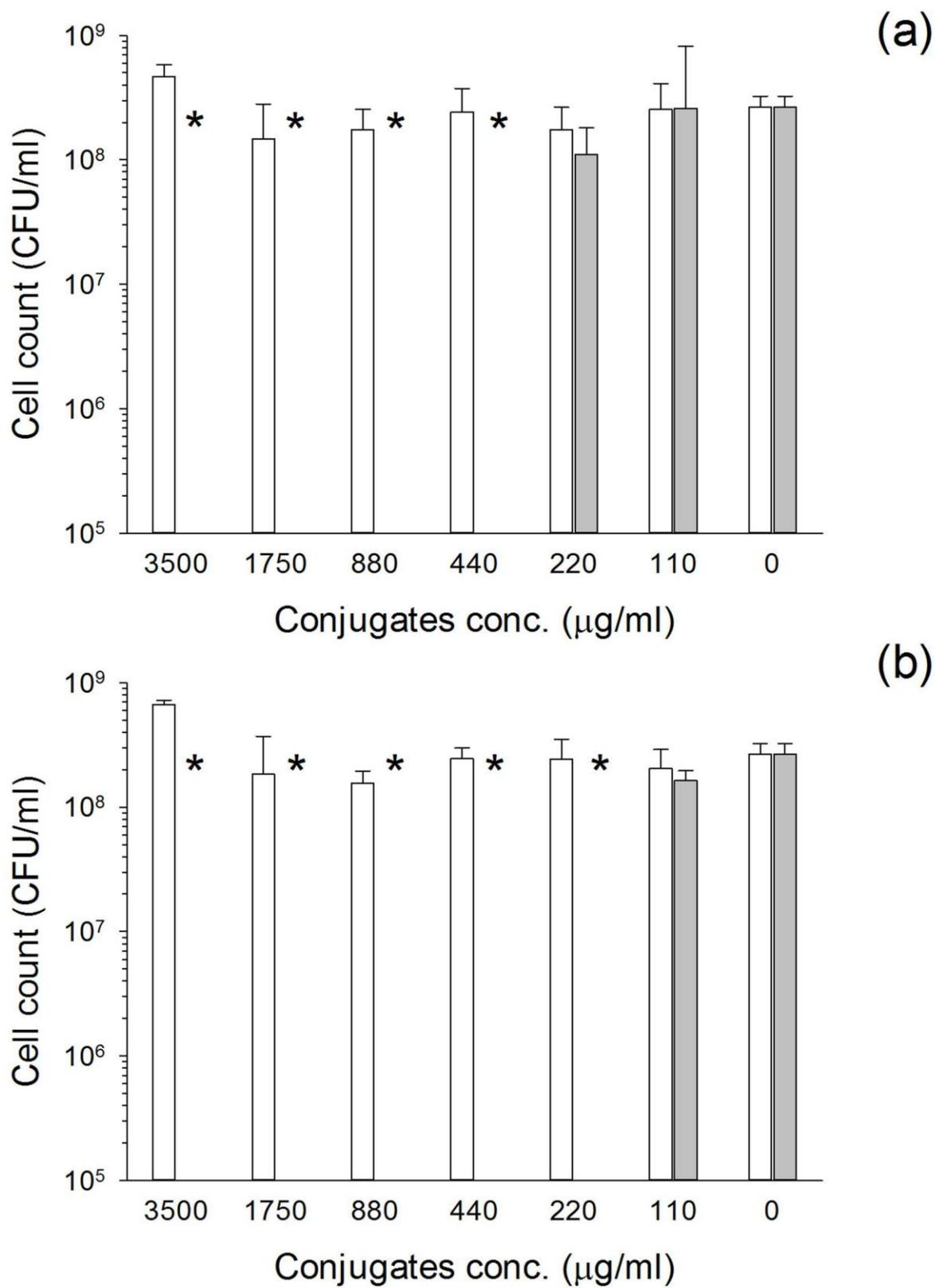


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383 Figure 6

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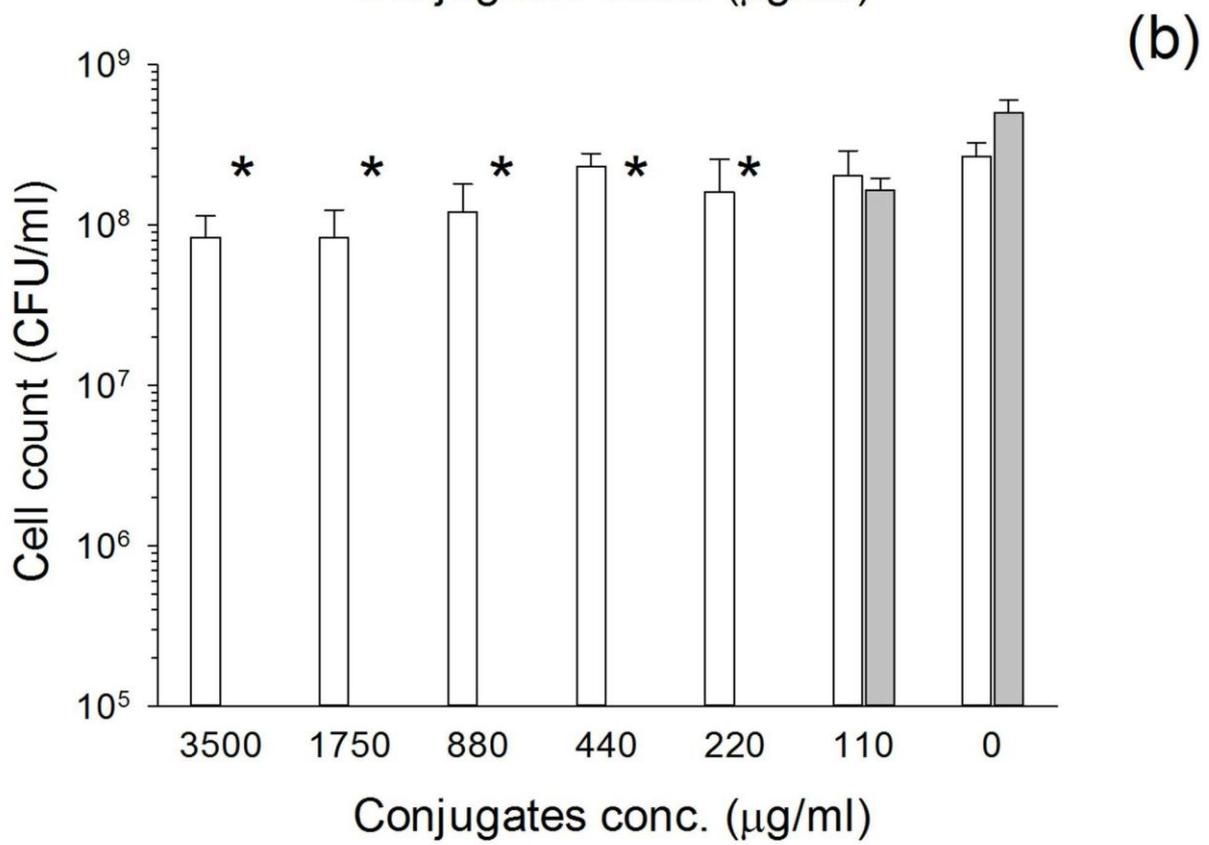
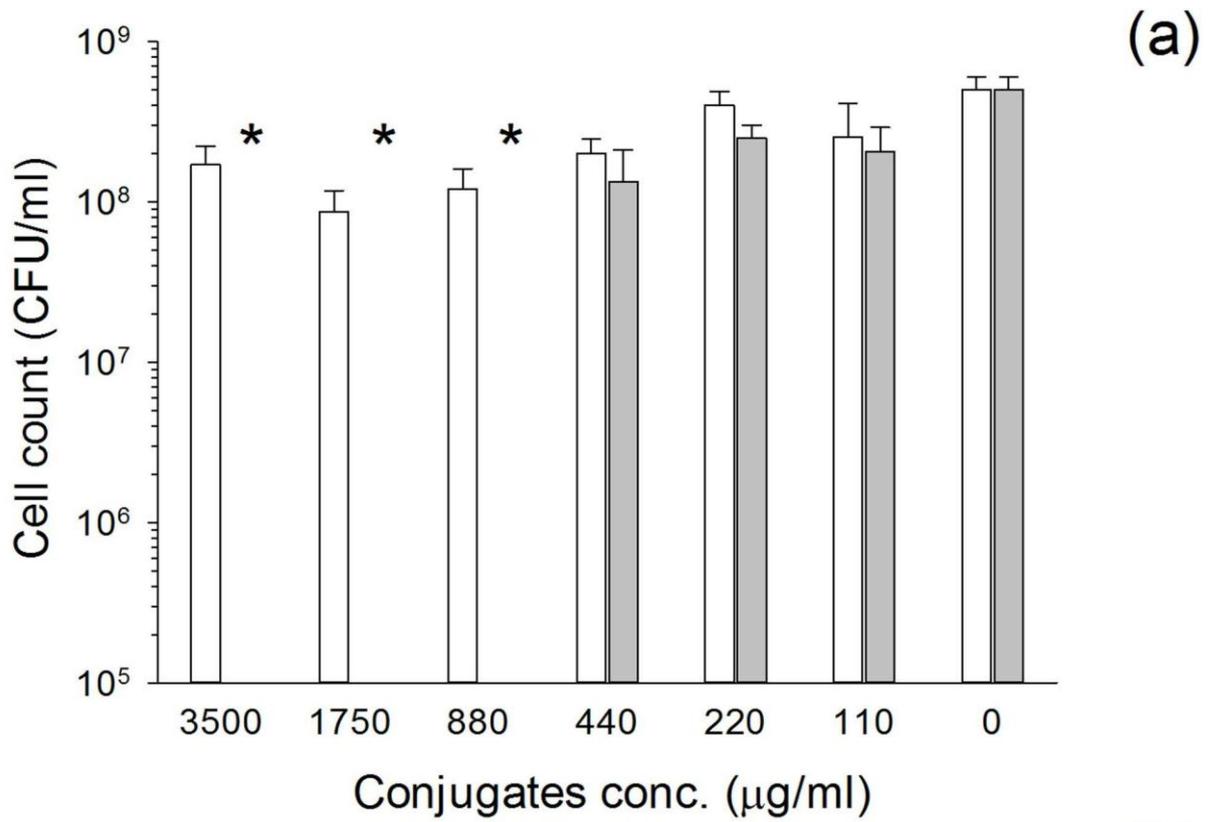
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387 Figure 7

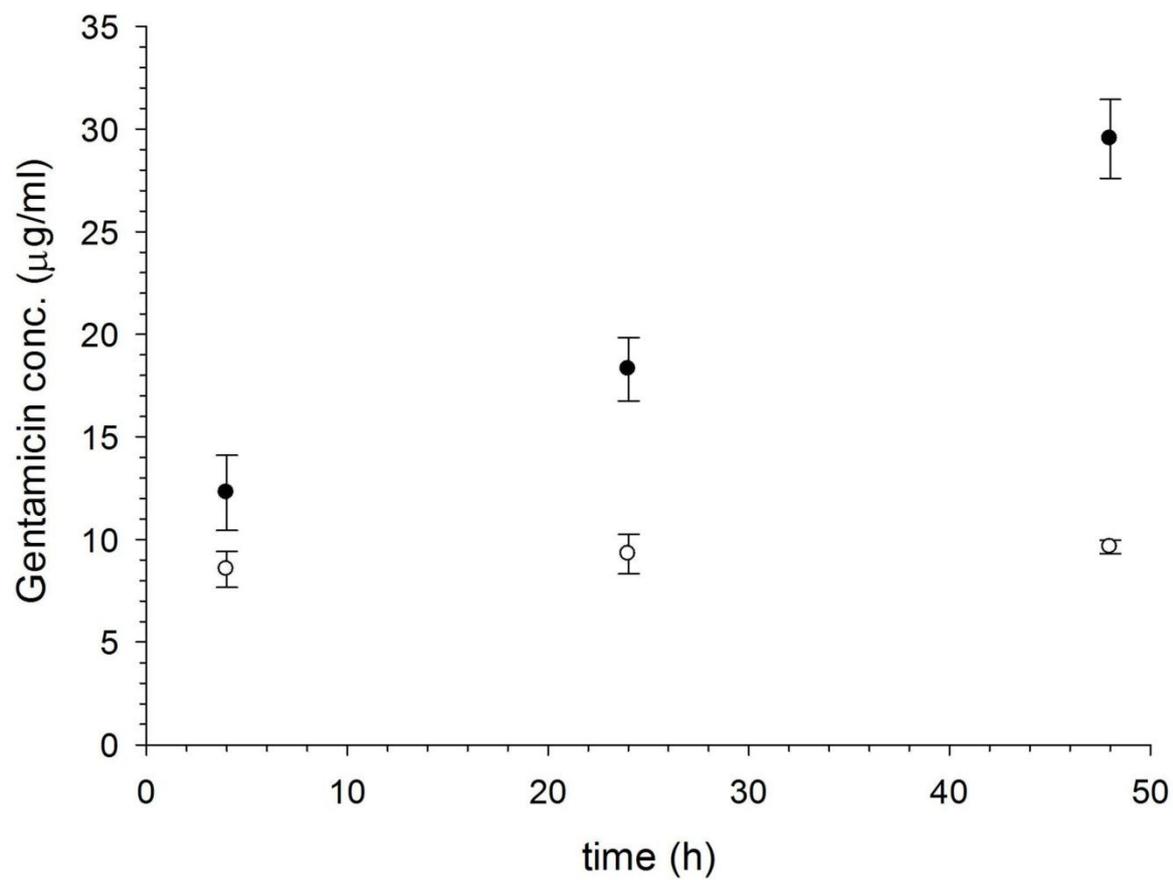
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390 Figure 8

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393 Figure 9