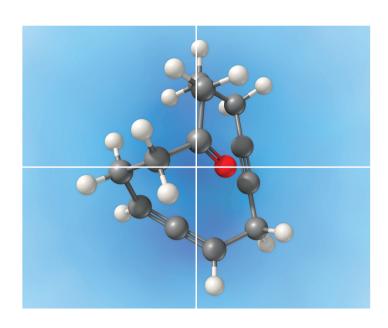
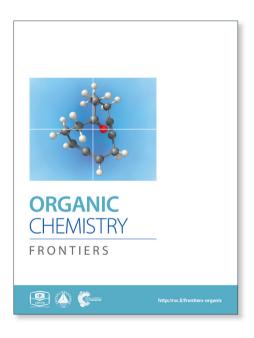
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ARTICLE TYPE

Highly Enantioselective Catalytic aza-Morita-Baylis-Hillman Reaction

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5 Highly enantioselective aza-Morita-Baylis-Hillman (aza-MBH) reaction is one of the most important reactions for the synthesis of optically active α-methylene-β-amino carbonyl compounds. The use of chiral phosphines or amines as organocatalysts can be envisaged for this catalytic 10 asymmetric reaction. This mini review focuses on the important developments with regard to asymmetric aza-MBH reactions catalyzed by chiral phosphines or amines or even organometallic complexes in the recent decades and also on the perspectives that these new developments offer.

15 1. Introduction

The carbon-carbon bond forming reaction is one of the most fundamental reactions in organic synthesis due to its pivotal role in building up various classes of carbon frameworks. Thus, it has been an important challenging and a fascinating 20 area in organic chemistry. 1 Among these diverse carboncarbon bond forming reactions, the Morita-Baylis-Hillman (MBH) reaction has received remarkable and increasing interest since it is well equipped with these important concepts of atom economy and organocatalysis. The classical 25 MBH reaction can be accomplished by addition of α,βunsaturated carbonyl compounds to aldehydes in the presence of tertiary phosphine or amine, giving densely functionalized α -methylene- β -hydroxycarbonyl compounds (Scheme 1, X = O). Instead of aldehydes, imines are also suitable for this 30 reaction if they can be appropriately activated, leading to αmethylene-β-amino carbonyl compounds smoothly, and the process of this case is commonly referred to as the aza-Morita-Baylis-Hillman (aza-MBH) reaction. The origin of Morita-Baylis- Hillman reaction can be dated back to 1968 to

R = aryl, alkyl, heteroaryl, etc.; R' = H, CO₂R", alkyl, etc. X = O, NCO_2R'' , NSO_2Ar , etc. $\mathsf{EWG} = \mathsf{COR}^\mathsf{''}, \mathsf{CHO}, \mathsf{CN}, \mathsf{CO}_2\mathsf{R}^\mathsf{''}, \mathsf{PO}(\mathsf{OEt})_2, \mathsf{SO}_2\mathsf{Ph}, \mathsf{SO}_3\mathsf{Ph}, \mathsf{SOPh}, \mathit{etc}.$

Scheme 1 Tertiary amine or phosphine-catalyzed MBH or aza-MBH reaction.

a pioneering report presented by Morita (phosphine catalyzed reaction)² and then Baylis and Hillman described a similar amine catalyzed reaction in 1972.3 However, it has been ignored by organic chemists for almost a decade after its 50 discovery. Since the mid-1990s, more and more research groups have initiated their work on different facets of this reaction, involving the scope of the substrates, novel catalysts including chiral catalysts, understanding the mechanism and various synthetic applications of MBH adducts. 4 In this mini 55 review, we wish to discuss organocatalytic or organometallic complex-catalyzed asymmetric aza-MBH reaction briefly and we hope that this article can also direct the reader to several exhaustive reviews that have been published for more detailed information.

2. Asymmetric aza-MBH reaction of aldimines

2.1 Amine-catalyzed asymmetric *aza*-MBH reactions

The chiral tertiary amine catalysts based on the quinidine framework such as β-ICD for asymmetric MBH/aza-MBH 65 reaction have been intensively investigated. In 1999, Hatakeyama and co-workers employed a modified cinchona alkaloid β -ICD as the base-catalyst for the first highly enantioselective organocatalyzed MBH reaction of aliphatic aldehydes with the highly reactive Michael acceptor, 70 1,1,1,3,3,3-hexafluoroisopropyl acrylate. 5a This important finding then sparked the catalytic asymmetric MBH reactions. In 2002, we reported the first example of highly enantioselective aza-MBH reactions of aromatic aldimines with MVK (methyl vinyl ketone)/methyl acrylate/acrylonitrile 75 catalyzed by β -ICD, providing thus by far the highest ee values for aza-MBH reaction (Scheme 2.11).5b Concerning aliphatic imines, however, complicated unidentified products rather than normal aza-MBH adducts were obtained under the standard conditions.

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Scheme 2.11 Asymmetric *aza*-MBH of *N*-tosylimines with MVK/methyl acrylate/acrylonitrile catalyzed by β -ICD.

⁵ Hatakeyama and co-workers almost simultaneously reported the β -ICD-catalyzed aza-MBH reaction of various aryl diphenylphosphinoyl imines **4** with 1,1,1,3,3,3-hexafluoroisopropyl acrylate (HFIPA) **5** in DMF at low temperature, producing (S)-adducts **6** in up to 97% yields with high ee values, in contrast to the MBH reactions of aldehydes with **5**, which afford (R)-selectivity. Moreover, to demonstrate the synthetic utility of the products, sequences of transformations were conducted for synthesis of β -lactam **7** (Scheme 2.12). Moreover, R (Scheme 2.12).

Scheme 2.12 β -ICD-catalyzed *aza*-MBH reaction of **4** with **5**.

Soon after shi's report, Adolfsson and co-workers demonstrated the use of chiral quinuclidine-derivative β -ICD as catalyst in the one-pot, three-component aza-MBH rection, leading to the desired products in moderate to good yields with high ee values (Scheme 2.13).

Scheme 2.13 β -ICD-catalyzed three-component *aza*-MBH reaction.

Due to the fact that a different stereochemistry for the *aza*- 30 MBH reaction involving different Michael acceptors was observed, we reinvestigated systematically the reaction of *N*-sulfonated imines with different activated olefins. It was found that the *aza*-MBH reaction of *N*-sulfonated imines with phenyl acrylate, α -naphthyl acrylate, acrolein or acrylonitrile catalyzed by β -ICD afforded (*S*)-enriched adducts **10**, **11** and **12**, respectively (Scheme 2.14). Acrylonitrile is less reactive than acrolein, phenyl acrylate and α -naphthyl acrylate, and a higher temperature (0 °C) is required for its reaction, giving the desired products **12** in lower yields (43-55%) and moderate ee values (35-40%).

Notably, when methyl or ethyl vinyl ketone was subjected to

this reaction in DMF-MeCN (1:1) mixtures at low temperature (-30 °C), (*R*)-adducts were observed, which is opposite to the *aza*-MBH reaction of *N*-sulfonated imines with phenyl acrylate, α-naphthyl acrylate, acrolein or acrylonitrile. *N*-Mesyl or *N*-SES-protected imines gave the similar results (Scheme 2.15).⁸

Scheme 2.14 β -ICD-catalyzed *aza*-MBH reactions of *N*-sulfonated imines with acrylates, acrolein or acrylonitrile.

Scheme 2.15 β -ICD-catalyzed *aza*-MBH reactions of imines with alkyl vinyl ketones.

In 2005, Sasai and co-workers designed and synthesized an efficient and novel bifunctional organocatalyst **15** for the enantioselective *aza*-MBH reaction for the first time. They found that the reaction outcomes were deeply influenced by the position of the Lewis base attached to BINOL and the acid-base-mediated functionalities for the activation of the substrate and the fixing of conformation of the organocatalyst are harmoniously performed to promote the reaction with high enantiocontrol (Scheme 2.16).

Scheme 2.16 Bifunctional organocatalysts for the asymmetric *aza*-MBH reaction

Although β -ICD demonstrated as an efficient catalyst in *aza*-MBH reaction, the substrate scopes are only limited to arylaldimines. To resolve this problem, Masson and Zhu *et al.* developed a novel bifunctional catalyst **17** derived from β -75 ICD, which in combination with β -naphthol served as a highly

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effective dual catalyst for the asymmetric aza-MBH reaction, leading to the corresponding adducts in high yields and enantioselectivities in most cases of aromatic imines. 10 As for aliphatic N-sulfonated imines, the reactions could also 5 proceed smoothly to give the desired products in moderate yields (38-57%) with high ee values (84-87%) for the first time. It was assumed that the pairing of cooperative H-bond is important and nucleophilic addition of the (Z)-enolate onto the re-face of the (E)-imine via the less crowded transition state 10 **TS-1** was proposed to account for the observed (S)enantioselectivity in the adduct **19** (Scheme 2.17).¹⁰

Scheme 2.17 Asymmetric aza-MBH reactions of imines 18 15 with β-naphthyl acrylate.

On the basis of above mechanistic assumption, the author assumed that this dual catalytic system should favor the (S)aza-MBH product regardless of the nature of Michael ₂₀ acceptor used. Therefore, they developed a new β -ICD-amide catalyst 20 to investigate the reaction between N-tosylimine and alkyl vinyl ketone and found that an achiral protic additive was capable of inversing the β -ICD and β -ICD-amide catalyzed enantioselective aza-MBH reaction between N-25 sulfonylimines and MVK/EVK, therefore providing another solution to the enantio-complementarity associated with this family of catalysts (Scheme 2.18).¹¹

30 **Scheme 2.18** Asymmetric aza-MBH reactions of N-sulfonated imines with alkyl vinyl ketones.

Subsequently, Zhu's group reported another β -ICD-amide catalyzed and β-naphthol co-catalyzed aza-MBH reaction 35 using readily available α-amidosulfones 22 as substrates to afford uniformly the (S)-adducts 23 in high yields and excellent enantioselectivities (Scheme 2.19). 12 At almost the same time, we demonstrated the similar asymmetric aza-MBH reaction of N-protected imines 24 or N-protected α-40 amidoalkyl phenyl sulfones 22 with MVK or EVK catalyzed by β -ICD, affording highly enantioselective aza-MBH products 25 in good yields with high enantioselectivities (Scheme 2.190).13

20b Ar = Ph Scheme 2.19 Asymmetric aza-MBH reaction of 22 with activated olefins.

50 Scheme 2.190 Asymmetric aza-MBH reactions of imines with MVK or EVK catalyzed by β -ICD.

Chiral thiourea is also an efficient catalyst for the aza-MBH reaction in the presence of achiral nucleophilic additive, 55 Nagasawa and coworkers first reported a highly efficient chiral thiourea catalyst for the enantioselective MBH reaction in 2004. 14a Subsequently, Jacobsen and coworkers reported a chiral thiourea catalyst 26 combined with a stoichiometric amount of DABCO for highly enantioselective aza-MBH 60 reaction of nosylimines with methyl acrylate, affording the desired products in high ee values (Scheme 2.191). 14b

Scheme 2.191 Highly enantioselective aza-MBH reaction 65 catalyzed by chiral thiourea and DABCO.

2.2 Phosphine-catalyzed asymmetric aza-MBH reaction

70 Chiral phosphines have been intensively used as efficient organocatalysts in MBH/aza-MBH reactions. 15 In 2003, we first demonstrated that chiral LBBA (Lewis base and Brønsted acid) bifunctional phosphine CP1 derived from 1,1'-bi-2,2'naphthol (BINOL) could catalyze the aza-MBH reaction of N-75 tosylimines with activated olefins effectively, affording the

corresponding adducts 28, 29, 30 and 31 in good yields with high ee values, respectively (Scheme 2.21).16 The addition of molecular sieves increased chemical yields because they could remove the ambient moisture that caused the decomposition of 5 N-sulfonated imines. It was found that the presence of a phenolic hydroxyl group in catalyst CP1 plays a crucial role in this reaction and the phosphine catalyst without phenol moiety could not catalyze this reaction smoothly. We have proposed a detailed mechanism to rationalize the 10 stereochemistry of the produced adducts. The reaction might be initiated by nucleophilic addition of phosphorus centre in the catalyst CP1 to MVK, and the phenolic OH group acts as Brønsted acid to stabilize the in situ formed key zwitterionic intermediate A and reaction intermediate B through hydrogen 15 bond. Subsequent hydrogen transfer and β-elimination produces the desired products (Scheme 2.22).¹⁶ Notably, the key enolate intermediate A, which was stabilized by intramolecular hydrogen bonding, has been observed by ³¹P and ¹H NMR spectroscopy. During the investigations on aza-20 MBH reaction, we found that catalyst CP1 also demonstrated good asymmetric induction for the aza-MBH reaction of ethyl (arylimino)acetates with MVK or EVK under mild conditions to give the corresponding adducts in moderate to high yields as well as good to high enantioselectivities, 17 however, 25 Catalysts CP1 could not give good enantiomeric excess in the reaction of N-(arylmethylene)diphenylphosphinamides with various activated olefins such as phenyl acrylate, acrylonitrile or MVK.18

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Scheme 2.21 CP1-catalyzed asymmetric *aza*-MBH reactions.

Scheme 2.22 Detailed mechanism of *aza*-MBH reaction 35 catalyzed by **CP1**.

Having identified **CP1** as an effective catalyst for the *aza*-MBH reaction, ¹⁶ we envisaged that replacing the phenol group in catalyst **CP1** with other groups such as a (thio-)urea might also give good reaction outcomes, because acidic NH protons are good hydrogen-bonding donors for hydrogen bond formation, which can also stabilize the similar intermediates in *aza*-MBH reaction. ¹⁹ As hypothesized, the chiral phosphine-thiourea **CP2** in combination with benzoic acid indeed proved to be a very successfully catalytic system for *aza*-MBH reaction of *N*-tosylimines with MVK, EVK, PVK or acrolein, 67-97% ee and 61-98% yields of the corresponding adducts **32** were obtained (Scheme 2.23). ²⁰ To the best of our knowledge, this was the first report on the synthesis and

50 application of chiral phosphine-thiourea catalysts in asymmetric catalysis.

Scheme 2.23 CP2-catalyzed asymmetric *aza*-MBH reactions.

Subsequently, to further improve the catalytic activity and enantioselectivity, we developed a series of bifunctional chiral phosphine-amide catalysts, ²¹ and found that catalyst **CP3** with a moderate acidic amide proton displayed the best asymmetric induction for *aza*-MBH reaction of *N*-sulfonated imines with MVK or EVK (Scheme 2.24). ²¹ We also designed and synthesized sterically congested bifunctional chiral phosphine-amide catalysts ²² and investigated their application in the asymmetric *aza*-MBH reactions of *N*-sulfonated imines with MVK or EVK under mild conditions. The corresponding *aza*-MBH adducts **33** can be obtained in good-to-excellent yields and moderate-to-good enantioselectivities. ²²

70 Scheme 2.24 CP3-catalyzed asymmetric aza-MBH reactions.

Inspired by the observation that long perfluoroalkane chains, so called "pony" tails, in a variety of chiral ligands can improve the enantioselectivities under identical conditions.

To We also synthesized chiral phosphine Lewis bases CP4 and CP5 bearing long perfluoroalkane chains as "pony tails" and investigated their performance in catalytic asymmetric aza-MBH reaction. Indeed, catalyst CP5 was more effective in the aza-MBH reaction of *N*-sulfonated imines with MVK than the previously reported chiral phosphine CP1. The performance of catalyst CP4 was not so impressive presumably due to the steric bulkiness (Scheme 2.25).

To be a variety of chiral phosphine CP1.

85 Scheme 2.25 CP4 or CP5-catalyzed aza-MBH reactions.

In our previous report of chiral phosphine Lewis base CP1-catalyzed asymmetric *aza*-MBH reactions, we also disclosed that

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a phenolic hydroxy group played a key role in this bifunctional organocatalyst, with intramolecular hydrogen bonding affording the corresponding aza-MBH adduct in high ee. 16 We envisioned that increasing the number of hydrogen bond donating groups can 5 significantly stabilize the key phosphonium enolate and produce the corresponding adducts in good yields and high ee. Herein, we synthesized chiral phosphine catalyst CP6 bearing multiple phenol groups, and it was found that in the aza-MBH reaction of N-sulfonated aldimines with MVK using CP6, the corresponding 10 adducts 35 can be obtained in >90% ee and good to high yields at -20 °C or room temperature (25 °C) in THF for most of the substrates using MVK, EVK, or acrolein as a Michael acceptor (Scheme 2.26). 25 On basis of the same hypothesis, Sasai, 26a Ito, 26b and Liu^{26c,26d} independently reported multifunctional catalysts 15 derived from BINOL for the asymmetric aza-MBH reaction, affording the corresponding adducts in good yields and high ee

Catalyst CP1 demonstrated as an efficient catalyst in aza-MBH reaction of N-tosylimines with MVK and phenyl acrylate. 20 Recently, we also reported the asymmetric aza-MBH reaction of N-protected α-amidoalkyl phenyl sulfones 36 with MVK catalyzed by catalyst **CP1**, affording the corresponding aza-MBH products 37 in good yields with high enantioselectivities (Scheme 2.27).²⁷ the reaction was found to be general with respect to 25 various α-amidoalkyl phenyl sulfones. Later, Sasai reported the first domino process based on the aza-MBH reaction catalyzed by bifunctional chiral phosphine (S)-CP1, affording 1,3disubstituted isoindolines 40 in good yields with excellent diastereo- and enantioselectivities (up to 93% ee).²⁸ The author 30 proposed that this reaction might proceed via a tandem aza-MBH/intramolecular aza-Michael reaction sequence (Scheme 2.28).

35 **Scheme 2.26** Asymmetric *aza*-MBH reaction catalyzed by **CP6**.

PG = Boc. Bz. CO₂Et $-F_3CC_6H_4$, $3-MeC_6H_4$, $3-CIC_6H_4$, $2-MeOC_6H_4$, 51-98% yield, 57-93% ee

Scheme 2.27 CP1-catalyzed asymmetric aza-MBH reactions.

$$R^1$$
 + R^2 NTs R^2 (S)-CP1 (10 mol%) R^2 NTs R^2 40 R^2 NTs R^2 = H, 5-Me, 5-F, 5-Cl, 6-Cl; R^3 + R^3 = Me, Et, Bn, Me R^3 = Me, Et, Bn, Me

Scheme 2.28 CP1-catalyzed asymmetric domino reaction.

Very recently, Lu's group designed and prepared a novel bifunctional phosphine-sulfonamide organic catalyst CP7 derived 45 from L-threonine. CP7 was found to be an efficient catalyst for the asymmetric aza-MBH reaction of N-sulfonylimines with β naphthyl acrylate. Notably, the ortho-substituted aromatic imines, which are well-known to be difficult substrates for aza-MBH reaction, were found to be suitable substrate in this reaction, and 50 the products 42 were obtained in nearly quantitative yields and with up to 97% ee. These results represent by far the best enantioselectivities attainable for the ortho-substituted substrates in the aza-MBH reaction (Scheme 2.29). ^{29a} This catalyst can be also used for the catalytic asymmetric MBH reaction using 55 aldehydes as electrophiles. 29b Later, Sasai and co-workers have developed a novel spiro-type bifunctional organocatalyst CP8 having Lewis base and Brønsted acid moieties for the enantioselective aza-MBH reaction. This bifunctional spirophosphine catalyst CP8 was found to have high asymmetric 60 induction to yield aza-MBH products (Scheme 2.210). 30

Scheme 2.29 CP7-catalyzed asymmetric aza-MBH reaction.

Scheme 2.210 CP8-catalyzed asymmetric *aza*-MBH reaction.

2.3 Metal-catalyzed asymmetric aza-MBH reaction

70 In 2010, Matsunaga, Berkessel and Shibasaki found that La(O-iPr)₃/(S,S)-TMS-linked-BINOL 45 complex combined with a catalytic amount of DABCO could efficiently catalyze the aza-MBH reaction of N-diphenylphosphinoyl imines 44 with methyl acrylate.³¹ The La(O-iPr)₃/(S,S)-TMS-linked-75 BINOL 45/DABCO system was applicable to a broad range of aryl, heteroaryl, alkenyl, and alkyl imines at ambient temperature, giving the desired products 46 in 67-99% yields and 81-98% ee values (Scheme 2.31). Kinetic studies pointed out the importance of both the nucleophilicity of La-enolate 80 and the Brønsted basicity of a La-catalyst for promoting the

Scheme 2.31 Catalytic asymmetric *aza*-MBH reaction of various *N*-Dpp imines with methyl acrylate.

⁵ On basis of the same hypothesis, Sibata and coworkers have developed the first highly enantioselective aza-MBH reaction of acrylonitrile with sulfonated imines using chiral pincer type Pd complexes of 1,3-bis(imidazolin-2-yl)benzene bearing a sterically bulky substituents with a catalytic amount of DABCO. A range of imines can be tolerated in this *aza*-MBH reaction to give the desired products in good yields with good *ee* values (Scheme 2.32).³²

15 Scheme 2.32 Enantioselective aza-MBH reaction catalyzed by palladium(II) pincer complexes.

2.4 Appendix

 ²⁰ Although chiral organocatalyzed or organometallic complex-catalyzed *aza*-MBH reactions have been extensively investigated in the recent decades, other methology for enantioselective *aza*-MBH reaction has been also emerging as an important alternative.³³ In 2006, Leitner and co-workers reported the first example of asymmetric *aza*-MBH reaction in which a chiral reaction medium could induce a high level of enantioselectivity. Using a specifically designed ionic liquid with a chiral anion as the only source of chirality, the desired *aza*-MBH reaction products were obtained in up to 84% *ee* ³⁰ value (Scheme 2.41).³⁴

Scheme 2.41 Highly enantioselective aza-MBH reaction in a chiral reaction medium

3. Asymmetric aza-MBH reaction of ketimines

In 2010, our group and other groups independently reported the MBH reaction of *N*-protected isatins with electron-deficient alkenes to construct 3-substituted 3-hydroxyoxindoles in good

yields and excellent enantioselectivities.²⁹ Based on this work, we speculated that the chiral 3-aminooxindoles could be achieved via the similar strategy. Indeed, the asymmetric *aza*-MBH reaction of isatin-derived *N*-Boc ketimines **51** with MVK catalyzed by chiral amine and phosphine has been developed for the first time, providing a highly efficient and enantioselective synthesis of 3-amino-2-oxindoles bearing quaternary stereogenic center (Scheme 3.1).³⁰

Scheme 3.1 Chiral amine or phosphine-catalyzed asymmetric *aza*-MBH reaction.

At almost the same time, Chen's group developed a highly senantioselective *aza*-MBH reaction with *N*-Ts imines of β , γ-unsaturated α-ketoesters and acrolein for the first time, which relies on the employment of a combined catalytic system of β -ICD and bifunctional BINOL or tertiary amine-thiourea **56**. A spectrum of products **54** and **55** bearing a quaternary chiral center and densely functional groups have been efficiently produced in moderate to excellent enantioselectivity (up to 92% ee) (Scheme 3.2). ³¹

65 Scheme 3.2 β-ICD-catalyzed aza-MBH reaction of ketimines with acrolein.

Subsequently, Sasai and co-workers developed the first highly enantioselective P-chirogenic organocatalyzed *aza*-MBH reaction to produce the desired products **58** with tetrasubstituted carbon stereogenic centers in moderate to excellent enantioselectivities (Scheme 3.3).³²

75 Scheme 3.3 P-chirogenic organocatalyzed aza-MBH reaction of ketimines with MVK or EVK.

For the purpose on the examination of the potential utility of *aza*-MBH reaction, the reaction was carried out on a 1.0 g scale. As showed in Scheme 3.4, as for the substrate *N*-sulfonated imine **59**,

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59 60 the reaction proceeded smoothly, affording the desired product **60** in a similar yield (83%) with the same enantiomeric excess (94% ee) as those reported before. However, when the *aza*-MBH reaction of ketimine **61** with MVK was carried out on a 1.0 g scale, the enantiomeric excess of the desired product **62** decreased remarkably from 97% to 84%. Adding 4Å MS into the reaction system, the ee value of **61** declined from 97% to 91%, suggesting that the water or ambient moisture in the reaction system might affect the reaction outcomes. We speculated that in a large reaction scale, 4Å MS could not completely get rid of the trace of water and ambient moisture in this particular reaction system and the water and ambient moisture might impair the ee value of the reaction product through the intramolecular hydrogen bonding.

Scheme 3.4 Enlarging the reaction scale of the asymmetric *aza*-MBH reaction.

20 Conclusion

In summary, asymmetric aza-MBH reactions have already become a powerful tool in organic chemistry, and have been studied intensively. In the past few decades, it has been 25 demonstrated that great progress has been made in the asymmetric aza-MBH reactions of imines with α,β unsaturated carbonyl compounds and a variety of chiral phosphine or amine organocatalysts has been found to be effective for this reaction. Although many important factors 30 governing the reactions were identified, the present understanding of the basic factors, and the control of reactivity and selectivity remains incomplete. There is no one catalyst which is suitable for all substrates so far, thus the development of effective catalysts and catalyst diversity for 35 asymmetric aza-MBH reactions that are applicable to most of the common activated alkenes and electrophiles still continue to be a challenging issue in this aspect.

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