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1 **Hydroxytyrosol induces apoptosis in human colon cancer cells through ROS**
2 **generation**

3

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9

10 **Running title:** HT-induced colon cancer cell death

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18 **Keywords:** FOXO3a, catalase, SOD, Akt, mitochondrial dysfunction

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22

23 Abstract

24 Cancer cells are usually under higher levels of oxidative stress compared to normal
25 cells. We hypothesized that the introduction of additional reactive oxygen species
26 (ROS) or the suppression of antioxidant activity may selectively enhance cancer cell
27 killing by generating oxidative agents through stress overload or stress sensitization.
28 The aim of this work was to test whether hydroxytyrosol (HT), one of the major
29 polyphenolic constituents of extra virgin olive oil, could exert anti-cancer effects on
30 human colon adenocarcinoma cells via its ability to induce apoptosis through ROS
31 generation. HT exhibits preferential anti-proliferative effects on human colon cancer
32 cells (DLD1 cells) but not normal colon epithelial 1807 cells. HT causes oxidative
33 stress, activates the phosphoinositide 3-kinase/Akt pathway, phosphorylates FOXO3a
34 and then downregulates FOXO3a's target genes. Combined with SOD or catalase
35 treatment, there are different responses in HT treated DLD1 cells. The results support
36 the hypothesis that the two main species of ROS, superoxide and H₂O₂, play different
37 roles in cancer survival. The present work shows that HT induces apoptotic cell death
38 and mitochondrial dysfunction by generating ROS in colon cancer cells. This research
39 presents important evidence on the *in vitro* chemopreventive effect of HT and shows
40 that the disruption of the intrinsic redox status promotes cancer cell death.

41

42

43 Introduction

44 The regular consumption of extra virgin olive oil is believed to protect against a
45 variety of pathological processes, including the development of cancer, particularly
46 colon cancer¹. Although extra virgin olive oil is rich in a variety of phenolic
47 compounds, hydroxytyrosol (HT) has been the subject of most investigations
48 primarily because it is the most bioavailable. In contrast to its benefits to normal cell

49 function, the anticancer properties of HT have been previously demonstrated in HL60
50 leukemia cells ^{2,3}, prostate cancer cells ⁴ and colon cancer cell lines ^{3,5}.

51 Cancer cells often have increased ROS generation from the mitochondria ⁶ or
52 NADPH oxidase ⁷ and decreased levels of antioxidant enzymes, such as manganese
53 superoxide dismutase (MnSOD, SOD2), CuZnSOD (SOD1), and catalase ⁸. A small
54 shift toward an oxidizing condition in cells may lead to elevated proliferation and the
55 induction of an adaptive response. However, a high oxidizing condition often results
56 in cell injury and cell death. Persistently high ROS levels in cancer cells often lead to
57 increased cell proliferation and adaptive responses that may contribute to
58 tumorigenesis, metastasis, and treatment resistance. Further exposure to exogenous
59 ROS is hypothesized to push tumor cells, which already have high constitutive
60 oxidative stress levels, towards cell death, whereas normal cells may still maintain
61 redox homeostasis through adaptive responses. Therefore, regulating the intracellular
62 redox state may represent an ideal strategy to selectively sensitize cancer cells to
63 oxidative stress-inducing therapy.

64 Our previous studies have shown that HT induces phase II enzyme activation, which
65 activates the endogenous antioxidant system and glutathione production and is
66 capable of stimulating mitochondrial biogenesis in ARPE-19 and 3T3-L1 cells ⁹⁻¹².
67 These effects both eliminate the production of reactive oxygen species (ROS) and
68 prevent oxidative damage.

69 However, the effects of HT on colon cancer cells have not been well studied.
70 Therefore, the aim of the present work was to ascertain the contribution of HT and its
71 anti-proliferative effects on epithelial colorectal adenocarcinoma cells and to
72 investigate its cellular mechanism of action.

73 Here, we report that HT is more effective in reducing the viability of colon cancer
74 DLD1 cells than that of non-malignant colon epithelial (CRL1807) cells. HT induced
75 mitochondrial dysfunction, resulting from superoxide-mediated defects in apoptosis.

76 Similar to other reports about the pro-oxidation activity of HT, *in vitro* hydrogen
77 peroxide generation may be the main reason for its chemopreventive activity.

78 **Materials and Methods**

79 *Materials*

80 Hydroxytyrosol was purchased from Cayman Chemical Company;
81 5-(and-6)-chloromethyl-2', 7'-dichlorodihydrofluorescein diacetate acetyl ester
82 (DCFDA) were purchased from Molecular Probe (Eugene, OR). Antibodies against
83 Akt, phospho-Akt Ser 473, phospho-FOXO3a Ser 253, cleaved PARP1, cleaved
84 caspase-3 and cleaved caspase-7 were purchased from Cell Signaling (Beverly, MA).
85 Antibodies against PI3K, SOD1 and β -actin were purchased from Santa Cruz
86 Biotechnology (Santa Cruz, CA). The anti-catalase antibody was purchased from
87 Novus Biologicals (Littleton, CO). The anti-SOD2 antibody was purchased from
88 Millipore (Billerica, MA). Annexin V and PI were purchased from Sigma-Aldrich (St.
89 Louis, MO). Trypsin and 5,5',6,6'-tetrachloro-1,1',3,3'-tetra
90 ethylbenzimidazolylcarbocyanine iodide [JC-1; CBIC2(3)], Dulbecco's modified
91 Eagle's medium (DMEM), fetal bovine serum (FBS), gentamicin, and L-glutamine
92 were purchased from Invitrogen (Carlsbad, CA).

93

94 *Cell culture and treatments*

95 Colorectal adenocarcinoma DLD1 cells were cultured in a monolayer at 37 °C with
96 5% CO₂. The cells were grown in DMEM supplemented with 10% FBS, 2 mmol/L
97 L-glutamine, and 25 μ g/ml gentamicin. For the cell viability assay, the mitochondrial
98 membrane potential assay, the H₂O₂ generation assay and the apoptosis assay, DLD1
99 cells were pretreated with different concentrations (50, 100, or 200 μ mol/L) of HT for
100 24 or 48 h. For the SOD and catalase pretreatment assays, cells were incubated with
101 200 μ mol/L HT for 24 h. Immortalized non-malignant human colon epithelial
102 CRL1807 cells were cultivated in McCoy's Modified 5A media supplemented with

103 10% FBS, 0.1 mmol/L nonessential amino acids, 50 units/ml streptomycin, and
104 50 units /ml penicillin. The cells were maintained in a 5% CO₂ incubator at 32 °C.

105

106 *Cell viability assays*

107 Cell viability was measured by using the
108 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay, which is
109 based on the conversion of MTT to formazan by mitochondrial and cytosol
110 dehydrogenases 13, 14. After cells (about 1×10^3 cells/well) were treated with the
111 indicated concentrations for 24 or 48 h after seeding. At the indicated time, they were
112 incubated with 50 µL MTT solution (5mg/ml) for 4 hr at 37 °C, 5% CO₂. The MTT
113 solution was removed, and 100 µL DMSO was added to each well to dissolve the
114 formazan. The absorbance of the cultures was measured using a multi-well
115 spectrophotometer at a wavelength of 560 nm (Specter Max 190; Molecular Devices,
116 Sunnyvale, CA). Cell viability was expressed as O.D. values. The results were
117 calculated as the percentage of absorbance in the control cultures.

118

119 *Mitochondrial membrane potential assay*

120 Changes in mitochondrial membrane potential were measured using JC-1 staining.
121 When JC-1 enters the mitochondrial matrix and accumulates, it forms red fluorescent
122 J-aggregates. However, the amount of monomeric, green fluorescent JC-1 present in
123 the cytoplasm increases as the mitochondrial membrane potential decreases. The ratio
124 of red to green fluorescence was used to assess the mitochondrial membrane potential.
125 1×10^6 cells were resuspended in 0.5 ml medium and incubated with 10 µg/ml JC-1 for
126 20 min at room temperature. The cells were then washed twice with ice-cold PBS
127 and analyzed by flow cytometry (FACSAria; Becton Dickinson, San Jose, CA). The
128 fluorescence intensity at emission wavelengths of 530 and 590 nm was measured by
129 ratiometric analysis ¹⁵.

130 *Apoptosis analysis*

131 Annexin V-fluorescein isothiocyanate (FITC)/propidium iodide² double staining was
132 used to measure the percentage of apoptotic cells according to the manufacturer's
133 protocol (BD Pharmingen). Briefly, DLD1 cells were grown in 12-well plates in
134 DMEM. When the cells reached 80–90% confluence, the cells were pretreated with
135 HT, SOD or catalase. After 24 or 48 h, the cells were washed with PBS, digested with
136 0.25% trypsin/EDTA, and washed with PBS. The cells were re-suspended in 500 µl of
137 1× binding buffer, and Annexin V-FITC/PI was added. After incubation at room
138 temperature for 10 min, the cells were measured using flow cytometry.

139

140 *Immunoblotting analysis*

141 DLD1 cells were lysed in RIPA buffer. The protein concentration was measured using
142 the Bradford Protein Assay Reagent (Bio-Rad), and 30 µg of sample was separated
143 using SDS-PAGE. The proteins were then transferred to membranes and incubated
144 with antibodies. The blots were then incubated with secondary antibodies conjugated
145 to horseradish peroxidase. The immunoreactive bands were detected with the
146 enhanced chemiluminescence reagent (Amersham).

147

148 *ROS assay with dichlorofluorescein (DCF)*

149 The dichlorofluorescein (DCF) assay was performed using carboxy-H₂DCFDA
150 (sensitive to oxidation; Invitrogen) and oxidized carboxy-DCFDA (insensitive to
151 oxidation; Invitrogen) as optimized by Wan and colleagues¹⁶. The fluorescence in
152 cells preloaded with carboxy-H₂DCFDA was normalized to the fluorescence of cells
153 preloaded with carboxy-DCFDA (ratio of H₂DCFDA/DCFDA) to control for cell
154 number, dye uptake, and ester cleavage differences between the different treatment
155 groups.

156

157 *Statistical analysis*

158 Statistical analysis was performed using either Student's t test (for two-group
159 comparison) or one-way ANOVA (for multiple-group comparison). The data were
160 reported as the mean \pm SEM.

161

162 **Results**

163 *Effects of HT on cell viability*

164 Human colon cancer DLD1 cells and non-malignant human colon epithelial CRL1807
165 cells were treated with 0, 50, 100 or 200 μ mol/L HT for 24 or 48 h. After treatment,
166 an MTT assay was performed to investigate the influence of HT on cell viability. Fig.
167 1a shows that HT inhibited the growth of DLD1 cells in a concentration and
168 time-dependent manner. However, the growth inhibitory effect of HT on CRL-1807
169 cells was less pronounced (Fig. 1b) at the same concentrations.

170 SOD (100 units) and catalase (1000 units) alone had no effect on DLD1 colon cancer
171 cells. Treating DLD1 cells with 200 μ M of HT for 48 h caused a marked increase in
172 cell death, which was increased further when SOD (100 units) was added to the cell
173 culture medium (Fig. 1c). However, catalase (1000 units) addition reduced the amount
174 of cell death (Fig. 1c).

175 These results clearly indicate that HT induces the production of H₂O₂, which is
176 responsible for its induction of cell death in DLD1 cells.

177

178 *Effects of HT on mitochondrial membrane potential*

179 HT decreased the mitochondrial membrane potential in a dose-dependent manner, as
180 shown by the red–green JC-1 fluorescence ratio (Fig. 2a). Similar to the cell viability
181 results, the addition of SOD (100 units) enhanced the decrease in mitochondrial
182 membrane potential induced by HT. In contrast, the addition of catalase (1000 units)
183 resulted in the recovery of the mitochondrial membrane potential (Fig. 2b).

184

185 *HT induced the generation of reactive oxygen species (ROS) in DLD1 cells*

186 Oxidative stress is the major cause of HT-induced cell death⁴. We determined the
187 effect of HT on cellular ROS levels in DLD1 cells using the DCF assay. As shown in
188 Fig. 3a, HT significantly increased the normalized carboxy-H₂DCFDA fluorescence, a
189 general indicator of cellular ROS levels, in a dose-dependent manner after 24 and 48
190 h of treatment. When combined with SOD or catalase treatment, HT decreased the
191 cellular ROS levels in DLD1 cells (Fig. 3b).

192 Similar to other polyphenols, HT is unstable and generates hydrogen peroxide in the
193 cell culture media. Superoxide dismutase¹⁷ catalyzes the superoxide conversion into
194 oxygen and hydrogen peroxide, whereas catalase (CAT) catalyzes the breakdown of
195 hydrogen peroxide into water and oxygen.

196

197 *Effects of HT on apoptosis*

198 We next determined whether the HT-induced growth inhibition was caused by the
199 induction of apoptosis. The results show that HT induced apoptosis in DLD1 cells in a
200 dose-dependent manner after 48 h of treatment (Fig. 4a). The amount of apoptosis
201 was further increased by SOD treatment, but decreased following catalase treatment.
202 Moreover, the addition of SOD alone increased the amount of apoptosis observed in
203 the DLD1 cells (Fig. 4b).

204 To confirm the involvement of apoptotic proteins, the expression levels of three key
205 proteins, cleaved PARP1, cleaved caspase-3 and cleaved caspase-7, were examined in
206 DLD1 cells. The results showed that HT treatment resulted in a dose-dependent
207 increase in cleaved PARP1, cleaved caspase-3 and cleaved caspase-7 expression in
208 DLD1 cells (Fig. 4c).

209

210 *Effects of HT on the Akt/FOXO3a pathway*

211 FOXO3a is a major target of activated Akt. There are three conserved Akt
212 phosphorylation sites on FOXO3a: Thr 32, Ser 253, and Ser 315. HT treatment
213 resulted in an increase in phosphorylation on Ser 253 of FOXO3a in a dose-dependent

214 manner. HT treatment also resulted in an increase in the phosphorylation of Ser 473
215 on Akt (Fig. 5a).
216 FOXO3a regulates a wide range of target genes. Because HT kills cells, in part
217 through the generation of ROS, we examined the expression of two FOXO3a targets,
218 catalase and SOD2. The expression of SOD2 protein was increased in a
219 dose-dependent manner following HT treatment. HT treatment resulted in a decrease
220 in catalase levels in DLD1 cells (Fig. 5b).
221 The phosphorylation of Akt and FOXO3a were further increased by SOD treatment,
222 but decreased following catalase treatment (Fig. 5c).

223

224 Discussion

225 Extra virgin olive oil is a major component of the Mediterranean diet, and its regular
226 ingestion has been proposed to provide protection against the development of
227 numerous diseases, most notably cancer¹. The *in vitro* anticancer properties of HT, a
228 minor component of olives, were investigated in different cell lines^{3-5, 17-19}. Extra
229 virgin olive oil polyphenols have been suggested to possess anticancer effects against
230 colorectal carcinogenesis by inhibiting different stages of the colon carcinogenesis
231 process²⁰ or by inducing apoptosis²¹. The cellular mechanisms by which olive oil
232 polyphenols exert these anticancer effects are still poorly understood. In this study,
233 we showed that HT had an anti-proliferative effect (Fig. 1) and induced apoptotic cell
234 death (Fig. 4) through ROS generation (Fig. 3) in human colon cancer cells. HT
235 cytotoxicity has also been reported in human prostate cancer cells⁴. Our current study
236 confirmed these findings in human colon cancer cells, and we further examined the
237 effects that HT-induced ROS on colon cancer cells. The current findings confirmed
238 this observation from human colon cancer DLD1 cell line, which demonstrated the
239 formation of intracellular ROS when incubated with HT in a time-dependent manner
240 (Fig.3).
241 Over the years, redox regulated cell fate signaling during carcinogenesis has been
242 studied²²⁻²⁴. Despite the dogmatic view of ROS as a detrimental agent, emerging

243 evidence has shown that nontoxic concentrations ROS can act as proliferative and
244 survival signals²³. To that end, a slight increase in intracellular superoxide levels has
245 been shown to promote cancer cell survival by inhibiting apoptotic execution,
246 irrespective of the trigger^{23, 25-28}. Other research has shown that the regulation of cell
247 fate by intracellular redox status was the result of a tight balance in the ratio of
248 superoxide to H₂O₂, and this ratio could be affected by the constitutive expression of
249 antioxidant enzymes, in particular the SODs²⁴. This balance is tightly regulated by a
250 plethora of antioxidant defenses, and the deregulation of these mechanisms could
251 potentially alter the cell fate decision, as is the case in cancer cells that are deficient in
252 the antioxidant enzyme superoxide dismutase¹⁷; depletion of this enzyme leads to the
253 accumulation of superoxide, which stimulates cell survival and proliferation. On the
254 contrary, slight accumulation of H₂O₂ generates an intracellular environment that
255 permits cell death signaling.

256 Polyphenols produce hydrogen peroxide in the auto-oxidation process, which is a
257 common event in cell culture media²⁹. It has been reported that the chemopreventive
258 effect of hydroxytyrosol is due to extracellular hydrogen peroxide³⁰. In our previous
259 studies, we found that NAC, catalase and pyruvate can scavenge hydrogen peroxide
260 generation in media induce by hydroxytyrosol, which prevented prostate cancer cell
261 viability loss⁴. However, superoxide generates in polyphenol (such as EGCG)
262 auto-oxidation process, which works as a catalyst to hydrogen peroxide. SOD can
263 suppress hydrogen peroxide generation via inhibition of the catalytic effect of
264 superoxide on polyphenol auto-oxidation³¹.

265 In our study, we found that combining HT treatment with the addition of SOD
266 significantly increased the amount of apoptosis in H₂O₂ sensitized cells. In contrast,
267 the addition of catalase, which catalyzes the conversion of H₂O₂ to water and oxygen,
268 prevented the loss of viability induced by HT.

269 ROS plays an important role in redox signaling due to its highly regulated activation
270³². The PI3K/Akt/FOXO3a cascade leads to the down regulation of the antioxidant

271 enzymes MnSOD and catalase, which are also involved in the oxidative stress
272 response induced by HT.

273 Many studies suggest that antioxidant systems are critical in protecting against
274 tumor-promoting agents, and that one or more components of these systems are
275 deficient in many forms of cancer³³. Both catalase and manganese SOD (Mn-SOD)
276 appear to be particularly important in this regard.

277 Akt is perhaps the most frequently activated oncoprotein in human cancers. Akt is
278 activated by multiple mechanisms, including Pten mutations, p110-activating
279 mutations, Ras activation, and receptor tyrosine kinase activation^{34,35}. Thus, Akt is an
280 attractive target for cancer therapy, and a strategy that allows for the selective
281 eradication of cancer cells with hyperactivated Akt is highly desirable. It was reported
282 that cancer cells expressing activated Akt are selectively killed by oxidative stress³⁶.
283 Furthermore, our results show that HT treatment increases Akt activity, which further
284 sensitizes the cells to oxidative stress-induced apoptosis.

285 In summary, our study shows that HT induced ROS generation in DLD1 cells, and
286 ROS acted as a second messenger to activate the PI3K/Akt pathway, leading to a
287 decrease in the antioxidant defense capacity through FOXO3a suppression. Our
288 results imply that modulating the intracellular redox state might be an ideal strategy
289 for cancer therapy.

290

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296 University.

297 The author's responsibilities were as follows: L.J.S, C.L and J.K.L designed and
298 conceived the study; L.J.S conducted the cell culture and other experiments assays;
299 C.L undertook statistical analyses; L.J.S interpreted the findings and wrote the

300 manuscript; Jk.L reviewed and edited the paper. All authors read and approved the
301 final manuscript.

302 L.J.S, C.L and JK. L have no conflicts of interest to declare.

303

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369

370 **Figure legends**

371

372 Fig. 1 Inhibition of cell viability by HT treatment in colon cancer cells. (a) Colon
 373 cancer DLD1 cells (24 or 48 h). (b) Normal colon epithelial CRL1807 cells
 374 (24 h). (c) Combination effects of HT and SOD, or catalase on the DLD1 cells
 375 (48 h). The values represent the mean \pm SEM. from three independent
 376 experiments. ** $p < 0.01$ versus the control group. ## $p < 0.01$ versus the HT
 377 group.

378

379 Fig. 2 Changes of mitochondrial membrane potential in colon DLD1 cancer cells.

380 (a) treatments with HT alone (24 or 48 h). (b) Combination effects of HT and
381 SOD, or catalase (48 h). The values represent the mean \pm SEM. from three
382 independent experiments. ** $p < 0.01$ versus the control group. ## $p < 0.01$ versus
383 the HT group.

384 Fig. 3 Changes of H_2O_2 in colon DLD1 cancer cells.

385 (a) treatments with HT alone (24 or 48 h). (b) Combination effects of HT and
386 SOD, or catalase (48 h). The values represent the mean \pm SEM. from three
387 independent experiments. ** $p < 0.01$ versus the control group. ## $p < 0.01$ versus
388 the HT group.

389 Fig. 4 Apoptosis induction in colon DLD1 cancer cells.

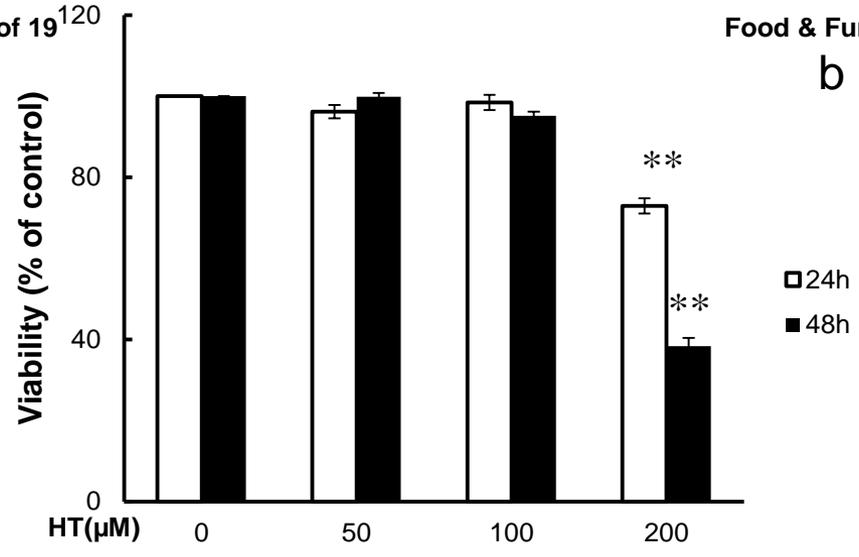
390 Annex V/PI assay for (a) treatment with HT alone (48 h), and (b) combination
391 treatment with HT and SOD, or catalase (48 h). (c) Western blot analysis. The
392 values represent the mean \pm S.E.M from three independent experiments.
393 ** $p < 0.01$ versus the control group. ## $p < 0.01$ versus the HT group.

394

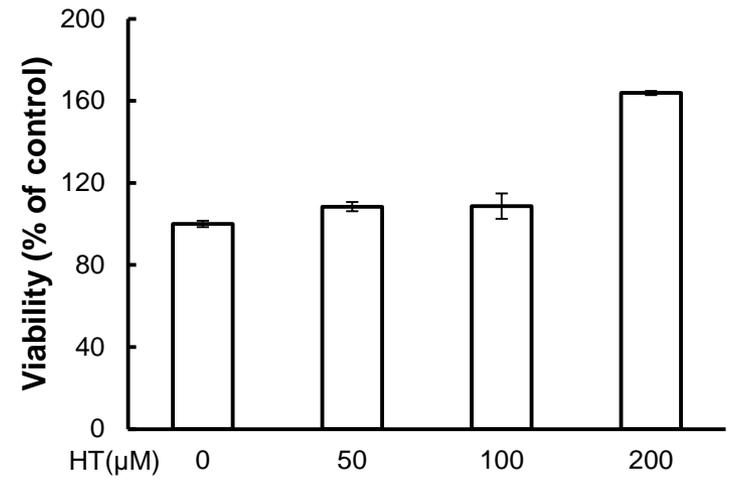
395 Fig. 5 Activation of Akt and FOXO3a, expression of SOD and catalase in colon

396 DLD1 cancer cells with HT treatment alone or combination with SOD or
397 catalase.

a



b



c

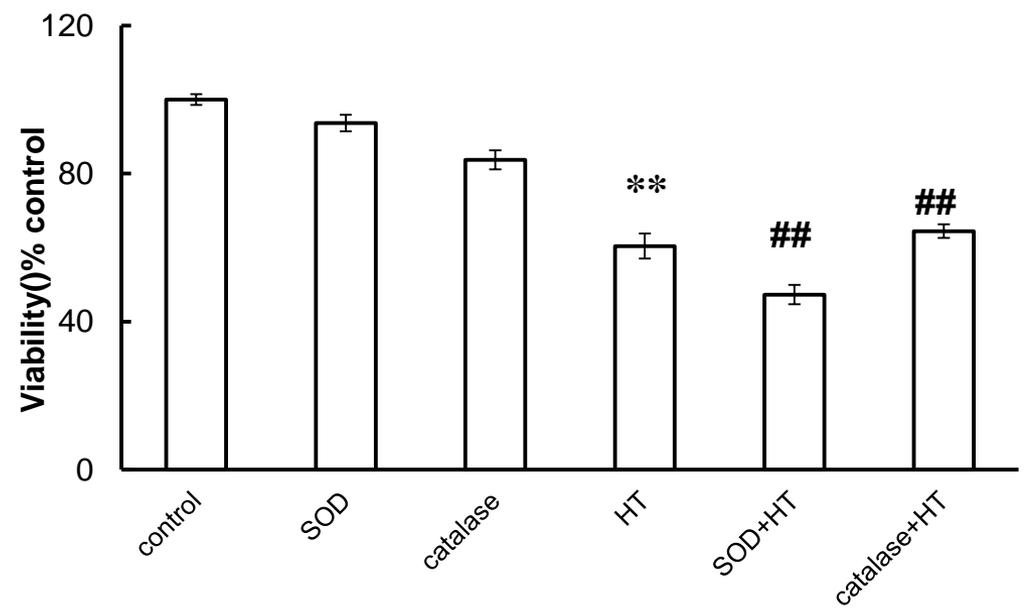


Fig 1. Sun et la.

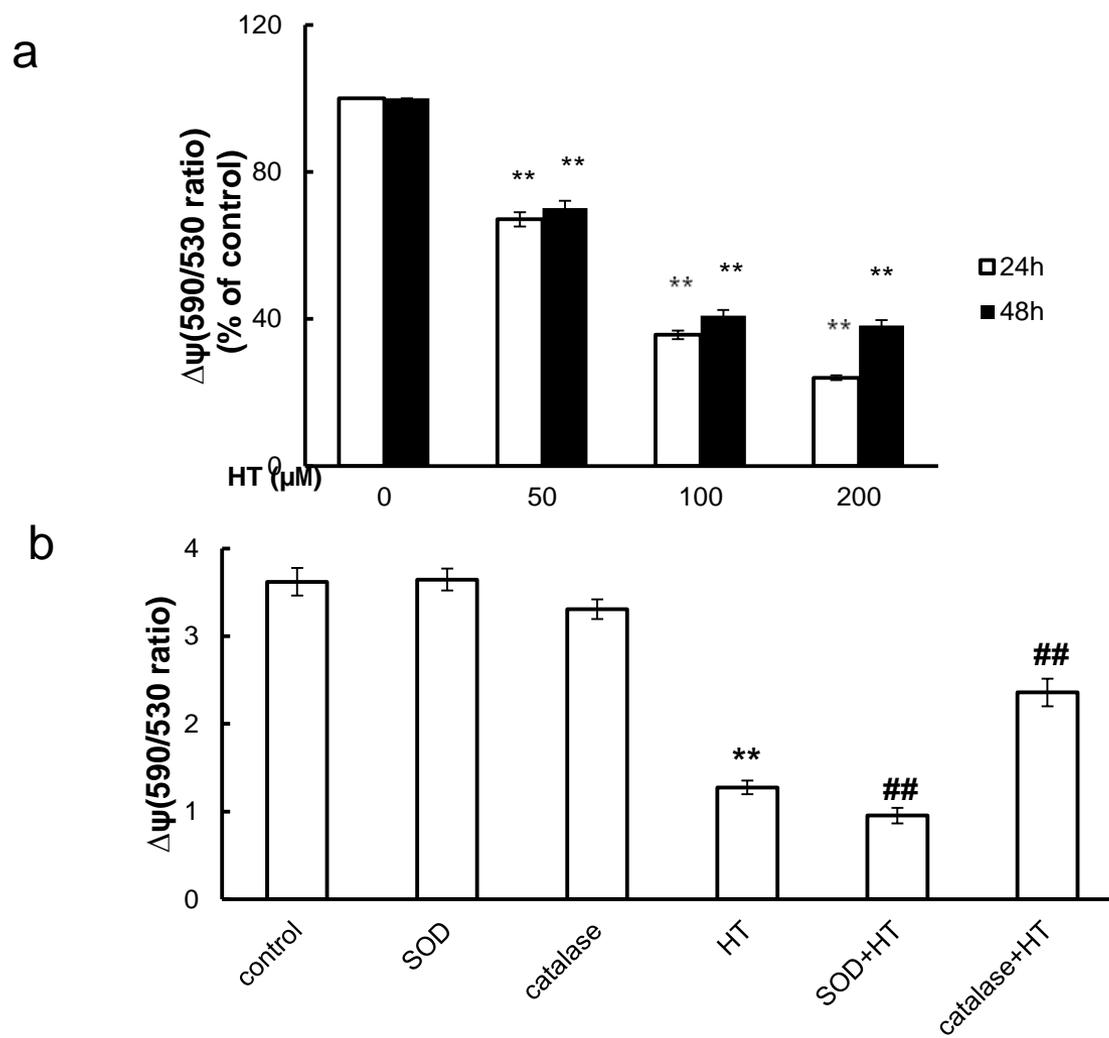
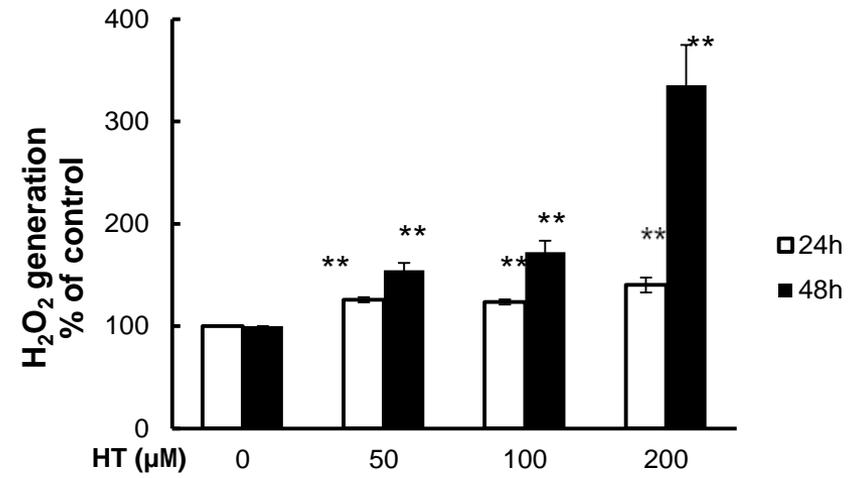


Fig 2. Sun et al.

a



b

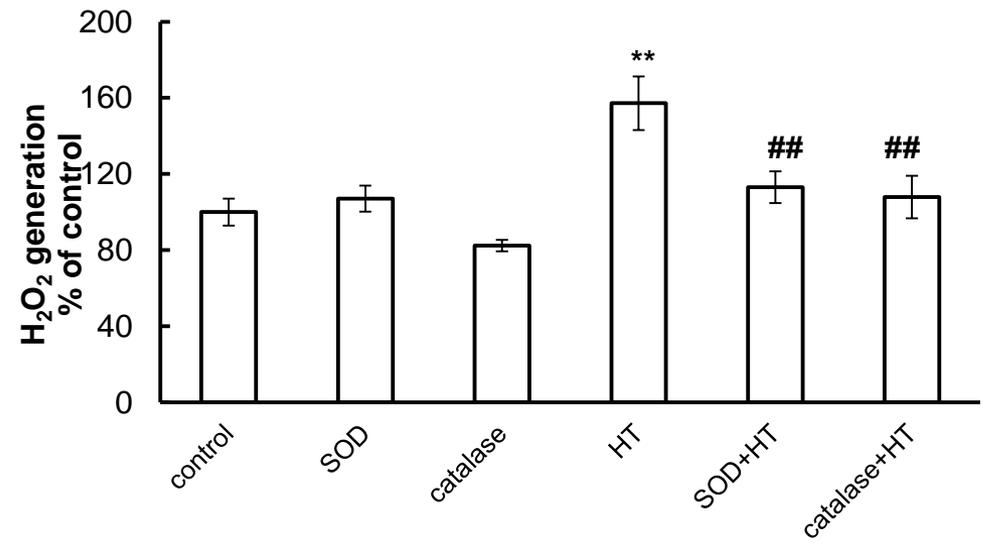


Fig 3. Sun et al.

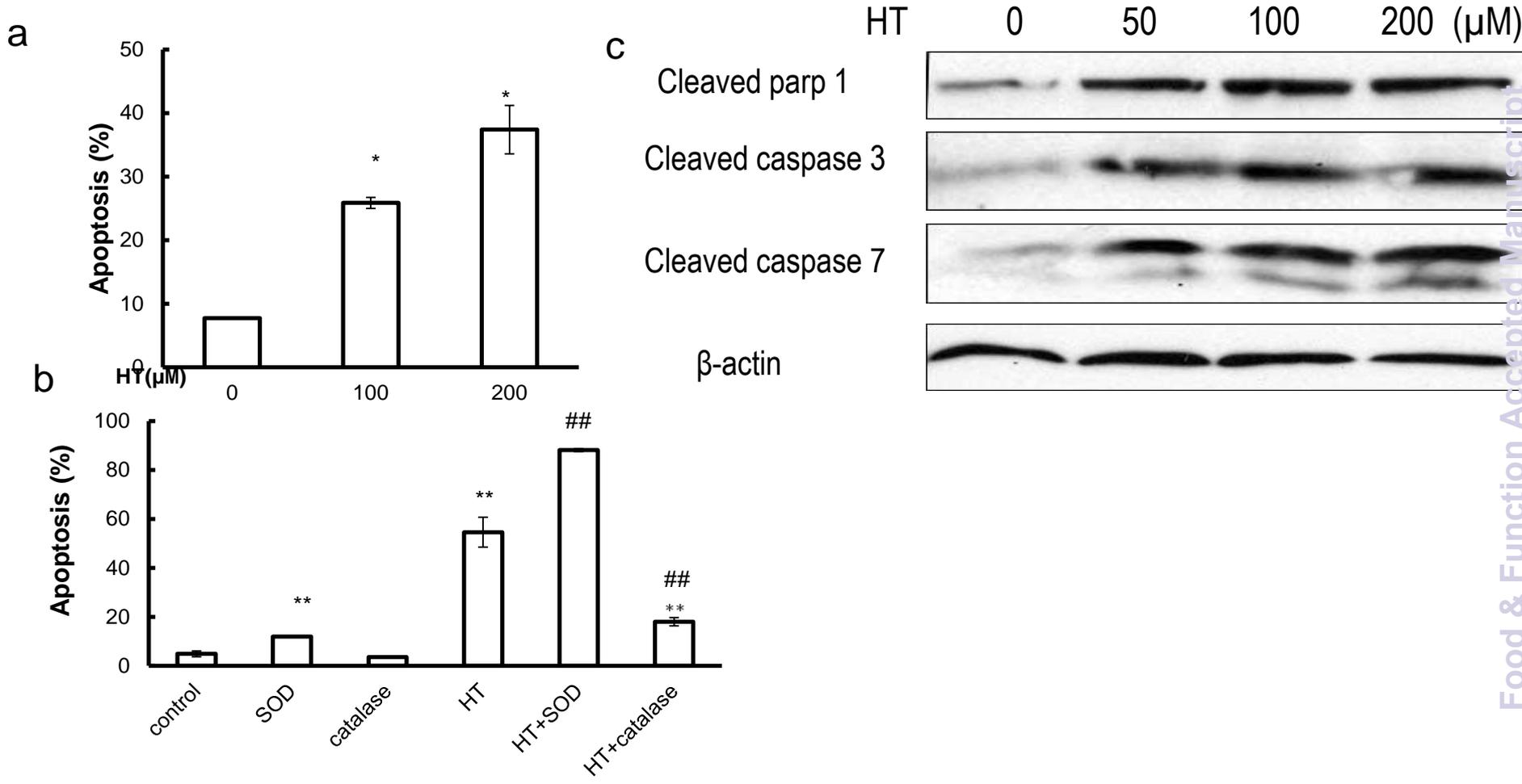


Fig 4. Sun et al.

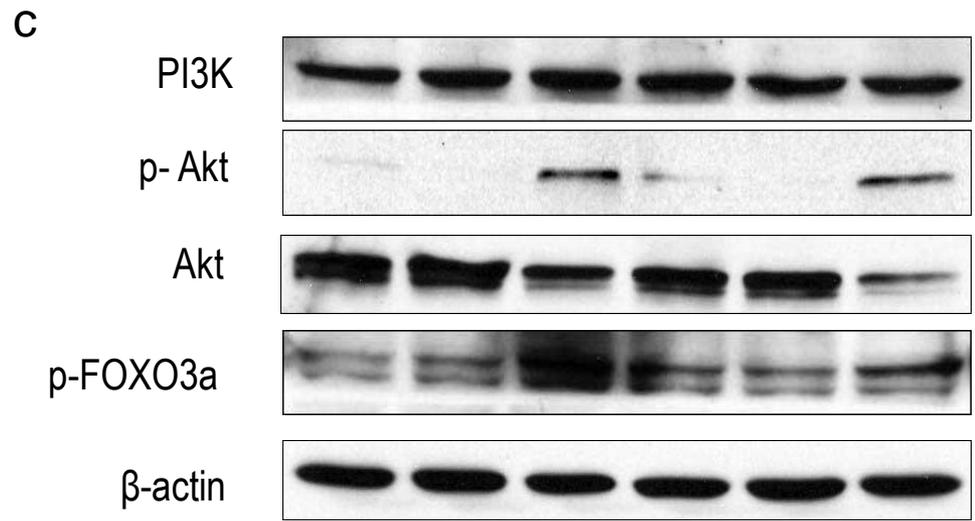
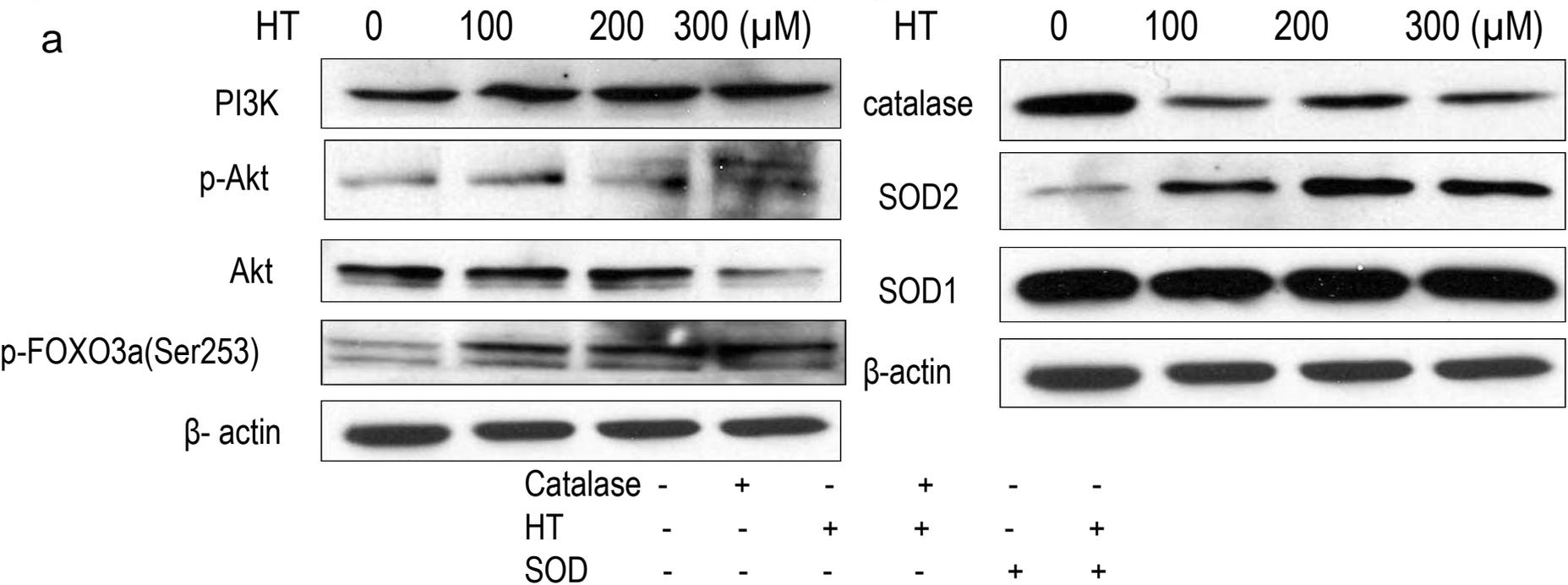


Fig 5. Sun et al.