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# 24 Abstract

25 Dietary fiber and phenolic compounds are two recognized dietary factors responsible for 26 potential effects on human health; therefore, they have been widely used to increase 27 functionality of some foods. This paper focuses on showing the use of both compounds as 28 functional ingredients to enrich foods; and at the same time describes the use of a single 29 material that combines the properties of two compounds. However, the last part of the work describes some facts related to the dietary fiber and phenolic compounds interaction, which 30 31 could affect the bioaccesibility and absorption of phenolics in the gut. In this sense, the 32 purpose of the present review is to compile and analyze evidence relating to the use of dietary fiber and phenolic compounds to enhance technological and nutritional properties of 33 34 foods, and hypothesized some of the possible effects in the gut after their ingestion.

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Key words: dietary fiber, phenolic compounds, functional ingredients, bioaccesibility,
bioavailability

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# 48 Introduction

Dietary fiber and phenolic compounds are two plant food constituents that are 49 50 associated with many health benefits and have been demonstrated to reduce risk for developing cancer and some chronic diseases<sup>1</sup>. Therefore, the intake and the use of these 51 52 compounds as functional ingredients to enrich foods have been increasing in order to provide health benefits to consumers<sup>2, 3</sup>. Dietary fiber has an essential role in intestinal 53 health and appears to be significantly associated with a reduction of cholesterolaemia and 54 modification of the glycaemic response<sup>4</sup>. Furthermore, phenolic compounds have potent 55 56 antioxidant properties and free radical scavenging that protect against oxidative damage to important biomolecules<sup>5</sup>. All these properties are associated with the chemical structures of 57 these compounds, that determine their subsequent physiologic and nutritional properties as 58 functional ingredients<sup>6</sup>. 59

Dietary fiber and phenolic compounds are generally studied separately, probably 60 because of differences in their chemical structures, physicochemical and biological 61 properties, and metabolic pathways<sup>7</sup>. However, there is scientific evidence suggesting that 62 indigestible components of dietary fiber (polysaccharides) can be associated to other food 63 constituents, such as phenolic compounds<sup>7, 8</sup>. This interaction can occur during fruit 64 ripening, food processing or during the gastrointestinal process, and can be ascribed to the 65 ability of polysaccharides to bind and trap phenolic compounds at several sites<sup>7</sup>. Therefore, 66 67 dietary fibers with associated phenolic compounds have become increasingly interesting, as these could be useful for the food industry to enhance the bioactive and technological 68 properties of products. 69

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70 While some authors have identified dietary fiber with associated phenolic compounds 71 with an exceptional biological antioxidant capacity from mango peels, unripe whole mango, pineapple shells, guava pulp, grape pomace and other vegetable materials<sup>9-12</sup>. This 72 73 material promises enhancing functional properties of foods and at the same time increase the antioxidant capacity of the product with exceptional effects on human health<sup>13-17</sup>. 74 However, the antioxidant activity in vivo of dietary fibers with associated phenolic 75 compounds is still disputed, because the bioavailability of the antioxidants is no guarantee, 76 77 due the evidences indicating that dietary fiber may negatively affect the release and absorption of some molecules, including phenolic compounds<sup>18</sup>. 78

In this context, the aim of this review is to analyze the use of dietary fiber and phenolic compounds as advantage functional ingredients, as well as to describe the chemical interaction that can arise between these two molecules (fiber and phenolics), which can provide functionality to the food but may impact on the bioactive effects of the compounds after their intake.

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#### 85 Dietary fiber and phenolic compounds

Dietary fiber and phenolic compounds are two important plant constituents that are associated with multiple physiological effects; so their study, consumption and use as functional ingredients have widely increased<sup>19</sup>. According to AACC<sup>20</sup> and DeVries *et al.*<sup>21</sup> dietary fiber is defined as "the remnants of the edible part of plants or analogous carbohydrates that are resistant to digestion and absorption in the human small intestine, with complete or partial fermentation in the large intestine". Non starch polysaccharides are consider as the main dietary fiber components and they are classified by their solubility as

insoluble (IDF) and soluble dietary fiber (SDF). IDF includes cellulose, hemicellulose or 93 94 quitin, however resistant starch is also consider a type of IDF; whereas the SDF includes 95 non-starch polysaccharides such as pectins,  $\beta$ -glucans, gums, mucilages, oligosaccharides or inulin<sup>22</sup>. Besides, other indigestible compounds can be considered as part of the dietary 96 97 fiber structure, such as resistant protein, phenolic compounds, waxes, saponins, phytates, and phytoesterols that exist in plant cell structures<sup>23</sup>. In fact, some works hypothesize that 98 several bioactive benefits of dietary fiber are determined by the action of some linked 99 compounds, such as phenolic compounds<sup>24, 25</sup>. 100

High dietary fiber diets are associated with improvement in gastrointestinal health and 101 reduction and treatment of some cardiovascular diseases and some forms of cancer<sup>26, 27</sup>. 102 103 Indeed, a reduction of hyperlipidemia, hypertension, modification of the glucose tolerance and insulin response and increased satiety and hence some degree of weight management 104 are other physiological effects associated with dietary fiber consumption in humans<sup>4</sup>. 105 Furthermore, dietary fiber is widely used to enrich foods, because it can impart some 106 functional properties (e.g. increase water holding capacity, emulsification and/or gel 107 formation, viscosity, adsorption/binding or fermentability)<sup>6</sup>. In this sense, consumption of 108 dietary fiber rich foods as well as the use of dietary fiber as functional ingredient has 109 increased. 110

Phenolic compounds are other important bioactive compounds identified in plant foods, and represent a wide variety of compounds characterized by a phenolic structure (aromatic ring bearing one or more hydroxyl groups)<sup>28</sup>. They are present in all plant organs, having great significance in plant physiology and protecting plants against pathogens, parasites, predators and plagues<sup>29</sup>. Their structure is diverse, and can be classified into different

groups as a function of the number of phenol rings that they contain, and structural elements that bind these rings into one another<sup>30</sup>. Distinctions are thus made between phenolic acids, flavonoids, lignans, and stilbenes. In addition to this diversity, phenolic compounds may be associated with carbohydrates (singles and complex), lipids, organic acids, and as mentioned, some phenolic compounds can also be linked to cell wall components (cellulose, hemicelluloses, lignin)<sup>29</sup>.

The consumption of foods rich in phenolic compounds is associated to various 122 123 physiological effects, such as preventing cancer and some chronic diseases, due to their potent antioxidant properties and free radical scavenging<sup>30</sup>. Thus, the consumption and 124 incorporation of these molecules to foods have been increasing, in order to enhance health 125 promotion<sup>2</sup>. Phenolic compounds are widely ubiquitous in fruits, vegetables, cereals, nuts 126 and furthermore in plant-based beverages such as wine, beer and tea<sup>29</sup>. However, the 127 128 biological properties and health effects of phenolic compounds depend on their respective intake and bioavailability, which can be affected by different factors including the binding 129 of phenolic compounds within the food matrix, especially dietary fiber<sup>18, 30-32</sup>. The 130 131 maximum concentration in plasma rarely exceeds 1µM after the consumption of 10-100 mg of a single phenolic compound. Nevertheless, the total plasma phenol concentration is 132 probably higher due to the presence of metabolites formed in the body's tissues<sup>33</sup>. 133

Therefore, dietary fiber and phenolic compounds are two food constituents which present distinct functional properties. However, recent evidence suggest that phenolicscarbohydrates complexes present in food are generally higher than that of simpler compounds, and these type of interaction have been underestimated in many papers mainly due to analytical problems<sup>34</sup>. Due the importance and interest of different researchers to

elucidate the biological role of this complex, more studies are being developed. Regarding
to these molecules Saura-Calixtro<sup>32</sup> established a new concept for those polyphenols
attached to macromolecules such as fiber, which is well described in the next section.

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# 143 Dietary fiber with phenolic compounds associated: a new concept and a potential food 144 ingredient

Nowadays, dietary fiber concept has evolved towards broader concept where healthy 145 146 effects attributed only to non-starch polysaccharides and lignin components; is changing in order to consider that food as a complex matrix capable of carrier other non-digestible 147 foods constituents that are resistant to digestion and absorption in the human small 148 intestine, with complete or partial fermentation in the large intestine<sup>8, 24, 25</sup>. Phenolic 149 compounds are the most abundant antioxidants in plant foods that can be found chemically 150 associated with the fiber matrix (Figure 1). In this sense, the concept of "antioxidant 151 dietary fiber" has been recently introduced and was defined as a dietary fiber concentrate, 152 containing significant amounts of natural antioxidants (mainly phenolic compounds) 153 associated with non digestible compounds<sup>32</sup>. This material combines the physiological 154 properties of both dietary fiber and phenolic compounds, and promises to be a potential 155 food ingredient useful to enhance the bioactive and technological properties of products 156 (Figure 2). 157

The most abundant phenolic compounds linked to dietary fiber belong to the chemical class of hydroxycinnamic acids. In fruits, these type of compounds are mainly polymeric tannins and after hydrolysis the most common phenolic compounds are gallic and ellagic acid<sup>35</sup>. However, in cereals the main compound linked to fiber is ferulic acid followed by 162 diferulic acids, and by sinapic, *p*-coumaric and caffeic acid<sup>25</sup>. In this conjunction, it is 163 estimated that around 2.5% of the dietary fiber content present in fruits is associated with 164 phenolic compounds <sup>7</sup>. Indeed, 95% of grain phenolic compounds are linked to dietary fiber 165 polysaccharides, mainly  $\alpha$ -arabinoxylans, as diferulates covalently bound through ester 166 bonds<sup>25</sup>.

There are certain requirements that the material should have to be considered as an 167 "antioxidant dietary fiber" and a potential food ingredient: (1) dietary fiber content should 168 169 be higher than 50% on a dry matter basis. (2) one gram of "antioxidant dietary fiber" should have a capacity to inhibit lipid oxidation equivalent to, at least, 200 mg of vitamin E 170 and a free radical scavenging capacity equivalent to, at least, 50 mg of vitamin E. (3) the 171 antioxidant capacity must be an intrinsic property, derived from natural constituents of the 172 material. In this context, it can be suggested that phenolic compounds could be dietary fiber 173 174 constituents in some food matrices, and that these compounds could confer the antioxidant activity attributed to the dietary fiber as beneficial effect. However, the physiological 175 antioxidant effect of dietary fibers with associated phenolic compounds is still disputed, 176 because the chemical interaction between these two molecules might prevent the release 177 and absorption of phenolics. 178

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# 180 Sources of dietary fiber with associated phenolic compounds

In order to take advantage of the properties of this new dietary fiber concept, some authors
investigated plant foods sources of dietary fiber with phenolic compounds associated. **Table 1** shows the difference in total dietary fiber and phenolic compounds content from
different whole fruits, byproducts and antioxidant dietary fiber; being this last one the once

that presents the greatest bioactive properties. In recent years, the search of novel source of 185 dietary fiber with antioxidant properties focused widely in plant food by-products. Jiménez-186 Escrig<sup>9</sup> reported that pulp and peel of guava fruit are a good source of natural antioxidants, 187 that could be used to obtain dietary fiber with antioxidant activity. In another study, 188 Chantaro<sup>12</sup> reported the feasibility of using carrot peels, a byproduct from food industry, to 189 produce dietary fiber with antioxidants associated (phenolic compounds and carotenoids), 190 which may be used as a food ingredient. Pineapple shells were reported as a promising 191 192 source of dietary fiber (70.6%) containing a high concentration of associated phenolics (mainly myricetin) that exhibit antioxidant activity. This property together with the neutral 193 color and flavor makes it a suitable fiber for a wide range of applications as a food 194 ingredient<sup>10</sup>. Vergara-Valencia et al.<sup>43</sup> obtained a mango dietary fiber concentrate with 195 antioxidant capacity which could be an alternative for the development of products with 196 balanced dietary fiber components and low glycemic response. Lecumberri et al.<sup>44</sup> obtained 197 a dietary fiber powder with intrinsic antioxidant capacity (derives from soluble polyphenols 198 and condensed tannins) from cocoa. The by-products of Prensal Blanc white grape (Vitis 199 *vinifera*) are an excellent source of dietary fiber with antioxidant properties<sup>46</sup>. Nilnakara *et* 200 *al*. obtained an antioxidant dietary fiber powder from cabbage outer leaves<sup>47</sup>. Rufino *et al.*<sup>11</sup> 201 reported that BRS-Pará acaí fruits can be considered as an excellent source of dietary 202 203 fiber/antioxidants associated.

In general, there is increasing interest to find new sources of dietary fibers with specific bioactive constituents that may add new healthy properties to the traditionally commercialized products. Fruits, cereals and grains are a potential source of this material. However, as mentioned, agronomic by-products, such as peels, seeds and unused flesh, can

208 present similar or even higher contents of these bioactive compounds, and have 209 traditionally been undervalued. Nevertheless, it is widely known that plant foods are an 210 excellent source for both isolated phenolic compounds and dietary fiber.

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# 212 Dietary fiber and phenolic compounds as functional ingredients

213 In recent years, interest in nutrition and disease prevention is driving consumer demand for 214 value-added foods or functional foods enriched with an ingredient able to provide or promote a beneficial action for human health<sup>51</sup>. These compounds are the so-called 215 216 functional ingredients, which provide benefits additional to nutritional and energetic; and at the same time are able to improve the technological functionality of a food. The term 217 218 "functional ingredient" is meant to convey the function of these new ingredients, which is 219 to produce a positive health outcome via physiological activity in the body <sup>52</sup>. It has become 220 recognized that these compounds markedly influence quality of life factors, such as modulation of performance or reducing risk of acquiring a variety of diseases, by 221 modifying one or more physiologic processes<sup>53</sup>. There are a diverse group of compounds 222 223 classified as functional ingredients, for example, carotenoids, flavonoids, dietary fiber, 224 phenolic compounds, allyl compounds, glucosinolates, and peptides, among others.

Dietary fiber and phenolic compounds holds all the characteristics required to be considered as important functional ingredients, due to their physiological roles. Dietary fiber play an important role increasing the volume of fecal bulk, decreasing the time of intestinal transit, cholesterol and glycaemia levels, trapping substances that can be dangerous for the human organism (mutagenic and carcinogenic agents), stimulating intestinal microflora proliferation , etc<sup>4</sup>. Moreover, dietary fiber improves technological

properties of the food, such as water-holding capacity, swelling capacity, increasing 231 viscosity, texture or gel formation which is essential in formulating certain food products<sup>54</sup> 232 (Figure 2). In the case of beverages and drinks, the addition of dietary fiber increases their 233 viscosity and stability. Additionally, fiber-rich byproducts may be incorporated into food 234 235 products as inexpensive, non-caloric bulking agents for partial replacement of flour, fat or sugar, as enhancers of water and oil retention and to improve emulsion or oxidative 236 stabilities<sup>54</sup>. Also, phenolic compounds are involved in decreasing the risk of chronic 237 238 diseases, such as cardiovascular disease and cancer, and are useful against lipid peroxidation in food processing<sup>19, 55</sup>. There are many benefits that these compounds provide 239 as functional ingredients; therefore, dietary fiber with associated phenolic compounds is a 240 novel promising material for the food processing and nutrition industry, because it 241 242 combines the properties of both molecules in a single material.

Various foods such as bread, meat, fish and beverages<sup>13, 56, 57</sup> have been enriched with 243 different sources of dietary fiber and phenolic compounds with satisfactory results (Table 244 245 2). The literatures contain many reports about addition of dietary fiber to food products 246 such as baked goods, beverages, confectionery, dairy, frozen dairies, meat, pasta and soups. Among the most known and consumed dietary fiber enriched foods are breakfast cereals 247 and bakery products such as integral breads and cookies<sup>61, 62</sup>, as well as milk and meat 248 derived products. Some types of soluble fibers, such as pectins, inuline, guar guam and 249 carboximethyl-cellulose, are utilized in milk products<sup>62</sup>. Guar gum and inuline are added 250 during cheese processing to decrease its % fat without losing its organoleptic characteristics. 251 Moreover, for the elaboration of jams and marmalades, the most common added fibers are 252 those consisting of pectins with different degree of esterification, obtained mainly from 253

fruits and are a factor in keeping the stability of the final product<sup>64</sup>. On the other hand, 254 255 phenolic compounds as functional ingredients act as an antimicrobials, antioxidants, flavorings and thickener agents<sup>2</sup>. In general, phenolic compounds are added mainly into 256 meat and fresh-cut fruits and vegetables to avoid enzymatic browning, lipid oxidation, 257 258 bacterial contamination and increase the antioxidant capacity and health benefits of products. Therefore, delaying lipid oxidation and preventing bacterial cross-contamination 259 260 are highly relevant to food processors. However, phenolic compounds are also attracting 261 more and more attention not only due to their antioxidants properties, but as anti-262 carcinogenic and anti-inflamatory agent. Among the most common materials used as a source of phenolic compounds are herb extracts and citrus fruits<sup>69</sup>. 263

Several patents have been published about the addition of this product to increase the health benefits status of the supplemented foods. For example, Myllymaki<sup>73</sup> claimed the formulation of a rye cereal product having higher dietary fiber and phenolic content . This cereal is a good source of dietary fiber (38%) and also contains a significant fructan concentration (7g/100g), which according to the suggested new dietary-fiber concept is also a component of dietary fiber.

Another patent reports the preparation process and health benefits of a grape antioxidant dietetic fiber concentrate <sup>74</sup>. The powder obtained from black or white grape skins had the following characteristics expressed in dry weight: total dietary fiber content of 65-80%, content of bioactive compounds 15 25% (soluble and insoluble condensed tannins, flavonoids, proanthocyanidins and other polyphenols), 11 to 15% protein, 5 to 8% crude fat <sup>74</sup>. According to these authors, the incorporation of dietary fiber and phenolic compounds can be utilized for the preparation of functional foods assumedly useful to improved health

benefits and technological properties. However, these studies need to be accompanied byquality and sensory evaluations.

Dietary fiber with associated phenolic compounds obtained from wine grape pomace 279 was added to yogurt, and salad dressings<sup>75</sup>. The addition resulted in 35-65% reduction of 280 281 peroxide values in all samples. Total phenolic content and DPPH radical scavenging activity were 958-1340 mg GAE/kg product and 710-936 mg AAE/kg product, 282 respectively. The addition was mostly liked by consumers based on the sensory study. 283 284 Fibers extract from *Lentinus edodes* mushrooms containing 514 g/kg of (1-3)-beta-glucans was added to wheat flour<sup>76</sup>. Replacement of a portion of wheat flour with the extract 285 resulted in lower values of pasting parameters and also caused significant changes in starch 286 287 gelatinization. When the same extract was incorporated into cake formulations, batter viscosity increased with more shear-thinning behaviors and elastic properties improved. 288 289 However further studies are needed to find the health benefits of this addition, and particularly the presence of associated phenolic compounds in edible mushrooms. 290

The use of dietary fiber with associated phenolic compounds from grape has been 291 reported to inhibit food lipid oxidation. Sanchez-Alonso et al.<sup>13</sup> observed a 57% lipid 292 inhibition measured by TBARS in frozen minced mackerel patties, treated with 2% grape 293 antioxidant dietary fiber. Authors reported that this protective effect could be either by the 294 295 chelation action of fiber over prooxidant metals, or the antioxidant capacity of the polyphenols present in the material. Similar results were observed for raw and cooked 296 chicken hamburgers stored 14 days at 4°C, in which not only the lipid oxidation was 297 inhibited, but also an increase of radical scavenging capacity in fortified hamburgers was 298 observed<sup>15</sup>. Even though there are no studies of the effect of these protected food products 299

300 over total plasma oxidative status of consumers, it may be hypothesized that the 301 consumption of these products may exert a beneficial effect over the consumer as a result 302 of less free radical intake.

Although great achievements have been made by using dietary fiber and phenolic compounds as functional ingredients, as well as the material that combines both molecules; further investigations about structure and functionality within the food matrices (proteins, lipids and water activity) and the bioavailability effects after intake are needed.

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### **308** Dietary fiber effect over phenolic compounds in the human digestive tract

As stated above, in recent years, there is a growing interest among researchers on the 309 310 formulation of food products with dietary fiber and phenolic compounds due to their 311 linkage to human health. However, consumption of food rich in some nutrients or bioactive 312 compounds not guarantees their bioavailability in the digestive tract, therefore, its biological effect is not insured<sup>77</sup>. The bioavailability or absorption in the gut is in many 313 cases quite uncertain or varies for the same food depending on processing conditions, 314 315 presence of other compounds, and so on. Furthermore, there are some specific factors that 316 could affect the absorption of the molecules in the gut, such as food microstructure, 317 structure and molecular weight of the compound, and chemical interactions between food constituents<sup>29</sup>. This last factor is very relevant because recent scientific data appear to 318 319 demonstrate that in the case of certain nutrients and bioactive compounds the state of the 320 matrix of natural foods or the microstructure of processed foods may improve or hinder their nutritional response in vivo. In fact, it has recently been stated that the generation of 321 functional foods fortified with fiber rich and phenolic compounds could result in a loss of 322

absorption of the antioxidants, because fiber may trap the antioxidant molecules, decreasing
the proposed food functionality<sup>18</sup>. However, some evidence suggests that phenolic
compounds entrapped into dietary fiber can reach the colon and exert a biological effect,
playing an important role in the intestinal health<sup>24</sup>.

The next sections describe the possible interactions that may arise between phenolic compounds and dietary fiber, and how these interactions can affect the adequate bioavailability of these compounds.

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#### 331 Dietary fiber and phenolic compounds chemical interactions

As previously described, some plant foods are rich sources of dietary fiber that carry 332 putatively bioactive compounds embedded in it, phenolic compounds in particular; these 333 334 indicate that both molecules are able to interact chemically in the food matrix<sup>7</sup>. Phenolic 335 compounds have both hydrophobic aromatic rings and hydrophilic hydroxyl groups with the ability to bind to polysaccharides and proteins at several sites on the cell wall surface<sup>7</sup>. 336 337 They are linked by hydrogen bonding (between the hydroxyl group of phenolic compounds 338 and oxygen atoms of the glycosidic linkages of polysaccharides), hydrophobic interactions, 339 and covalent bonds such as ester bonds between phenolic acids and polysaccharides 340 (Figure 3). Interactions can be dependent on size particle, specific porosity and surface 341 properties, which can restrict the size of the molecules that penetrate. Pore size in the cell 342 wall can range from 4 to 10 nm in diameter, which may restrict penetration of phenolic compounds with molecular masses larger than 10 kDa (equivalent to 34 units to catechin)<sup>78</sup>. 343 344 Dietary fiber can interact and bind during gastrointestinal digestion with antioxidants present in the food matrix. These interactions can be trough either hydrogen bonds, strong 345

(covalent) or through physicochemical entrapment exerted by dietary fiber<sup>18</sup>. Considering that these bonds are weak, they are stable only above a minimum critical length, and their formation and disruption often occur as sharp, cooperative processes in response to comparatively small changes in, for example pH or solvent quality in the gastrointestinal tract (that is the nature and concentration of dissolved solids in the chyme). In this context, the possible interactions that may arise between dietary fiber and phenolic compounds can decrease or delay their absorption in the gut as mentioned in early sections.

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#### 354 *Effect on bioaccesibility and bioavailability*

355 Bioavailability is defined as the proportion of a nutrient that is digested, absorbed, and utilized in normal metabolism; bioaccessibility is a commonly used term to describe the 356 amount of an ingested nutrient that is available for absorption in the gut after digestion<sup>18, 77</sup>. 357 358 In this sense, bioavailability strictly depends on bioaccessibility, and it is well know that the biological properties of nutrients and bioactive compounds, such as phenolic 359 compounds, depend on this release-absorption process. It has been reported that phenolic 360 361 compounds are released from the food matrix in the upper area of the gastrointestinal tract by direct solubilization in the intestinal fluids at physiological conditions (37 °C, pH 1-7.5) 362 363 and/or by the action of digestive enzymes (enzymatic hydrolysis of protein, carbohydrates, and lipids favors the release of phenolics from the food matrix)<sup>24</sup>. These accessible 364 365 compounds (low molecular weight phenolics) are at least partially absorbed through the 366 small intestine mucosa. However, another part of phenolics are not bioaccesible; these 367 compounds pass undissolved and unaltered through the upper intestine in association with 368 the food matrix, including dietary fiber, which alters the efficiency of the physical,

enzymatic, and chemical digestion processes<sup>79</sup>. Moreover, this bioavailability can be even lower for large molecular weight food polyphenols, as is the case of hydrolyzable and condensed tannins and complex flavonoid conjugates with several sugars and acylated with hydroxycinnamic acids. Therefore, it is generally accepted that the bioavailability of phenolics is rather low, even though high variability in the bioavailability of the different polyphenols may be observed, and extresed as the relative urinary excretion of the intake range from 0.3% for anthocyanins to 43% for isoflavones such as daidzin<sup>80</sup>.

376 Several factors can explain this variability, among them food matrix, particularly dietary fiber components, plays an important role. There is ample evidence that the physical 377 state of the food polysaccharides play a key role in the bioaccessibility of many bioactive 378 food components, such as antioxidants<sup>77, 81, 82</sup>. It is known that dietary fiber can reduce the 379 bioavailability of macronutrients and biomolecules, especially fat, and some minerals and 380 trace elements in the human digestion<sup>83</sup>. In general, the two main effects of dietary fiber in 381 the foregut are to prolong gastric emptying time and to retard absorption of nutrients<sup>84</sup>. 382 383 Both are dependent on the physicochemical characteristics of the fiber, and in particular, its 384 influence on the viscosity of the bolus. Dietary fiber can act in the small intestine in three main physical forms: as soluble polymer chains in solution, as insoluble macromolecular 385 assemblies, and as swollen, hydrated, sponge-like networks<sup>85</sup>. Therefore, the dominant 386 387 factors involved in the influence of dietary fiber on antioxidant digestion are: 1) physical trapping of antioxidants within structured assemblies such as fruit tissue, and 2) enhanced 388 viscosity of gastric fluids restricting the peristaltic mixing process that promotes transport 389 of enzymes to their substrates, bile salts to unmicellized fat, and soluble antioxidants to the 390 gut wall<sup>86</sup>. For these reason, interactions of phenolic compounds with dietary fiber is 391

expected, and may affect their releasing during digestion and interfere with absorption in
the gut<sup>18</sup>.

In this context, the limited bioavailability of antioxidants associated with dietary fiber is determined by their low bioaccessibility in the small intestine due to the physical and chemical interactions between antioxidants and the indigestible polysaccharides of cell wall. However, all non-absorbable antioxidants reach the large intestine and remain in the colonic lumen where they may contribute to a healthy antioxidant environment<sup>78</sup>.

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#### 400 Functional and biological properties in the gut

Dietary fiber associated with phenolic compounds possess some functional and 401 biological properties, such as antioxidant capacity and colonic fermentation<sup>87</sup>. The 402 appreciable amount of phenolic compounds linked or entrapped by dietary fiber provides a 403 404 significant antioxidant capacity that may have pronounced effects into biological systems, such as gastrointestinal tract. Phenolic compounds associated with dietary fiber may have 405 significant effects in intestinal health. The antioxidant dietary fiber is transported largely 406 407 unaltered along the small intestine all the way to the colon. The intestinal microbiota ferments the antioxidant dietary fiber matrices and phenolic compounds are gradually 408 released in the intestinal lumen and partially absorbed by gut epithelial cells. Therefore, 409 non-absorbable phenols and non-fermented phenolic compounds remain in the colonic 410 tissue scavenging free radicals and counteracting the effects of dietary fiber pro-oxidants<sup>7</sup> 411 (Figure 4). At the same time, the partial or total fermentation of dietary fiber constituents 412 (cellulose, hemicelluloses, pectins, resistant starch, fructans, arabinoxylans, etc) release 413 several beneficial short-chain fatty acids (SCFA), such as phenylacetic, phenylpropionic 414

and phenylbutyric acids<sup>78</sup>. These compounds may exert systemic effects in conjunction 415 with phenolic compounds, for example the induction of cellular differentiation and 416 apoptosis<sup>88, 89</sup>. Moreover, epidemiological studies have shown an inverse association 417 between dietary fiber with associated antioxidants consumption and colon cancer, mainly 418 419 due to the effect of SCFA (butyrate hypothesis) on the modulation of genes associated with this disease<sup>90</sup>. Recently, Lizarraga *et al.*<sup>91</sup> analyzed the effect of consumption of grape 420 antioxidant fiber over 26393 mice genes, observing that 363 genes were unregulated and 421 422 641 down regulated. From the analysis of these results, the authors suggested that the beneficial health effect was because its consumption downregulated nuclear receptor 423 424 signaling, lipid biosynthesis (TNF and PPAR $\alpha$ ) and energy metabolism, pathways associated with obesity and cancer. At the same time, antioxidant and detoxification 425 enzymes (Fase I and II), apoptotic (BFAR and CARD14), immune system and tumor 426 suppression genes (NBL1) were unregulated. These results clearly show the beneficial 427 effect of dietary fiber with associated phenolic compounds. In particular, phenolic 428 compounds, dietary fiber components and their metabolites come into contact with the gut 429 430 wall for up to several hours (more than 24). For these reason, the antioxidant environment formed into the colon could modulate the incidence of certain kind of degenerative diseases 431 such as colon cancer. 432

Furthermore, the beneficial effect of consumption of dietary fiber with phenolic has been associated with the proliferation of lactobacillus, and in a less degree Bifidobacterium, both *in vitro* and *in vivo*, and an inhibition of pathogenic bacteria (*Escherichia coli*, *Clostridium*), that improves the overall gastrointestinal health. This beneficial effect may be explained in terms of the presence of phenolic compounds such as (+)-catechins, (-)- epicathechin and (-)-epicatechin-3-O-gallate, and tannins in the material, which exert
antimicrobial activity against pathogenic bacteria in the gut. The same authors suggested
that dietary fiber with phenolic compounds embedded, modifies the gut morphology
improving gastrointestinal absorption.

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443 Conclusion and future research

The use of phenolic compounds and dietary fiber as food ingredients is of great interest 444 not only as means of improving the functionality of food products, but also to formulate 445 functional foods with health benefits, such as reducing cholesterolaemia, modifying the 446 447 glucaemic response, prevention the development of cancer and some other cardiovascular 448 disease. Furthermore, it is well known the physicochemical association between these two bioactive compounds (fiber and phenolic compounds) that has created a new material that 449 450 combines the functional properties of both fiber and antioxidants (mainly antioxidant capacity) and in last few years it has been used as a functional ingredient. However, there is 451 evidence that this association may not be only exert beneficial effects, but also some non-452 453 wanted effects, because dietary fiber may affect the bioaccesibility and bioavailability of phenolic compounds, and consequently reduce its healthy and biological effects. 454 455 Nevertheless, it has been stated that due to this fiber-phenolic compounds interactions, an 456 appreciable amount of phenolic compounds are carried out by dietary fiber through the gastrointestinal tract, producing antioxidant metabolites in the colon and creating an 457 458 antioxidant environment for the prevention of diseases such as colon cancer. However, future research is needed to verify this hypothesis. 459

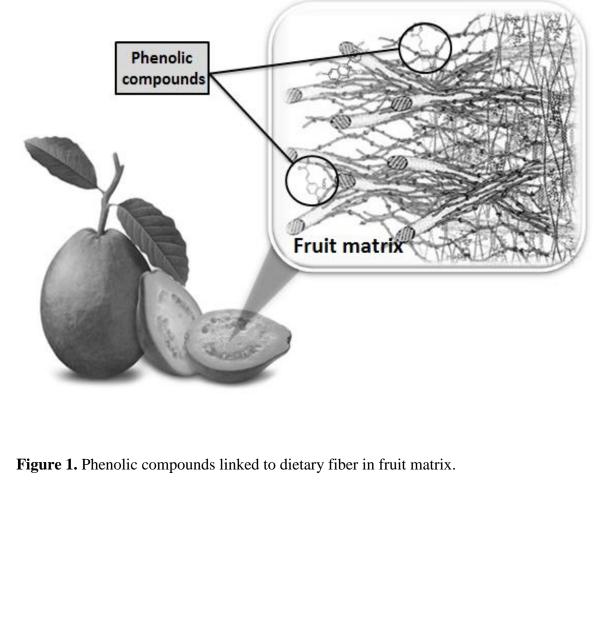
| <ul> <li>very promising area. Future work is needed to elucidate the real contribution of functional</li> <li>foods enriched with dietary fiber to the wellbeing of consumers. For this reason, more</li> <li>studies on bioaccesibility and bioavailability, both <i>in vitro</i> and <i>in vivo</i>, from different</li> <li>formulations in new products and sources of dietary fiber/phenolic compounds are needed.</li> <li>In addition, the role of fiber as a control-released system of bioactive compounds in colon</li> <li>must be study with more detail.</li> <li><b>References</b></li> <li>L. Hooper and A. Cassidy, <i>J Sci Food Agr</i>, 2006, 86, 1805-1813.</li> <li>J. Ayala-Zavala, V. Vega-Vega, C. Rosas-Dominguez, H. Palafox-Carlos, J. Villa-Rodriguez, M. W. Siddiqui, J. Davia-Aviña and G. González-Aguilar, <i>Food Res Int</i>, 2011, 44, 1866-1874.</li> <li>L. Day, R. B. Seymour, K. F. Pitts, I. Konczak and L. Lundin, <i>Trends Food Sci Tech</i>, 2009, 20, 388-395.</li> <li>J. W. Anderson, P. Baird, R. H. Davis Jr, S. Ferreri, M. Knudtson, A. Koraym, V. Waters and C. L. Willams, <i>Nutr Rev</i>, 2009, 67, 188-205.</li> <li>WHO, <i>WHO technical report series 916, Geneva</i>, 2003, 149 p.</li> <li>A. J. Borderias, I. Sánchez-Alonso and M. Pérez-Mateos, <i>Trends Food Sci Tech</i>, 2005, 16, 458-465.</li> <li>F. Saura-Calixto, <i>J Agric Food Chem</i>, 2011.</li> <li>S. G. Gáyago-Ayerdi, S. Arranz, J. Serrano and I. Goñi, <i>J Agric Food Chem</i>, 2007, 55, 7886-7890.</li> <li>J. A. Limenez-Escrig, M. Rincón, R. Pulido and F. Saura-Calixto, <i>J Agric Food Chem</i>, 2001, 49, 5489-5493.</li> <li>J. A. Limenez-Iscrig, M. Rincón, R. Pulido and F. Saura-Calixto, <i>J Agric Food Chem</i>, 2001, 49, 5489-5493.</li> <li>J. A. Larrauri, P. Rupérez and F. Saura-Calixto, <i>J Agric Food Chem</i>, 2001, 49, 5489-5493.</li> <li>J. A. Larrauri, P. Rupérez and F. Saura-Calixto, <i>J Agric Food Chem</i>, 2001, 49, 5489-5493.</li> <li>J. Sánchez-Alonso and A. J. Borderias, <i>Int J Food Sci Technol</i>, 2008, 41, 1987-1994.</li> <li>L. Sánchez-Alonso and A. J. Borderias and F. Saura-Calixto, <i>J Aquat Food Pred</i> 7,</li></ul> | 460 | In this context, research on dietary fiber/phenolic compounds association offers to be a    |  |  |  |  |
|---|-----|---|--|--|--|--|
| <ul> <li>studies on bioaccesibility and bioavailability, both <i>in vitro</i> and <i>in vivo</i>, from different</li> <li>formulations in new products and sources of dietary fiber/phenolic compounds are needed.</li> <li>In addition, the role of fiber as a control-released system of bioactive compounds in colon</li> <li>must be study with more detail.</li> <li><b>References</b></li> <li>L. Hooper and A. Cassidy, <i>J Sci Food Agr</i>, 2006, 86, 1805-1813.</li> <li>J. Ayala-Zavala, V. Vega-Vega, C. Rosa-Domínguez, H. Palafox-Carlos, J. Villa-Rodriguez, M. W. Siddiqui, J. Dávila-Aviña and G. González-Aguilar, <i>Food Res Int</i>, 2011, 44, 1866-1874.</li> <li>L. Day, R. B. Seymour, K. F. Pitts, I. Konczak and L. Lundin, <i>Trends Food Sci Tech</i>, 2009, 20, 388-395.</li> <li>J. W. Anderson, P. Baird, R. H. Davis Jr, S. Ferreri, M. Knudtson, A. Koraym, V. Waters and C. L. Williams, <i>Nutr Rev</i>, 2009, 67, 188-205.</li> <li>WHO, <i>WHO technical report series 916, Geneva</i>, 2003, 149 p.</li> <li>A. J. Borderías, I. Sánchez-Alonso and M. Pérez-Mateos, <i>Trends Food Sci Tech</i>, 2005, 16, 458-465.</li> <li>S. G. Sáyago-Ayerdi, S. Arranz, J. Serrano and I. Goñi, <i>J Agric Food Chem</i>, 2007, 55, 7886-7890.</li> <li>A. J. Borderías, I. Sánchez and F. Saura-Calixto, <i>J Agric Food Chem</i>, 2001, 49, 5489-5493.</li> <li>J. J. A. Larrauri, P. Rupérez and F. Saura-Calixto, <i>Z Lebensm Unters Forsch</i>, 1997, 205, 39-42.</li> <li>M. S. M. Rufino, J. Pérez-Jiménez, S. Arranz, R. E. Alves, E. S. de Brito, M. S. P. Oliveira and F. Saura-Calixto, <i>Food Res Int</i>, 2011, 44, 2100-2106.</li> <li>P. Chantaro, S. Devahastin and N. Chiewchan, <i>LWT-Food Sci Technol</i>, 2008, 41, 1987-1994.</li> <li>I. Sánchez-Alonso and A. J. Borderias, <i>Int J Food Sci Technol</i>, 2008, 42, 971-976.</li> <li>M. E. Diaz-Rubio, J. Serrano, J. Borderias and F. Saura-Calixto, <i>J Aquat Food Prod T</i>, 2011, 20, 295-307.</li> <li>F. Figuerola, M. L. Hurtado, A. M. Estévez, I. Chiffelle and F. Asenjo, <i>Food Chem</i>, 2005, 91, 305-401.</li> </ul>  | 461 | very promising area. Future work is needed to elucidate the real contribution of functional |  |  |  |  |
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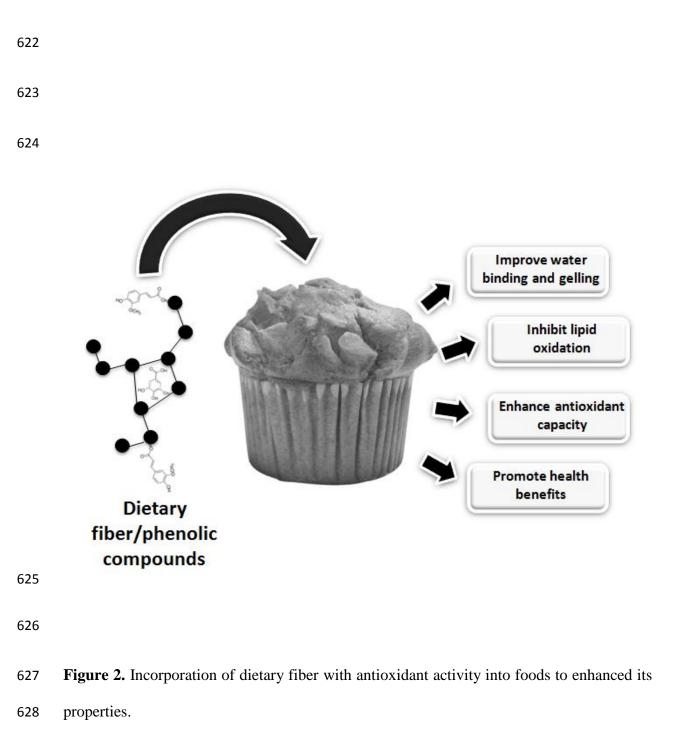
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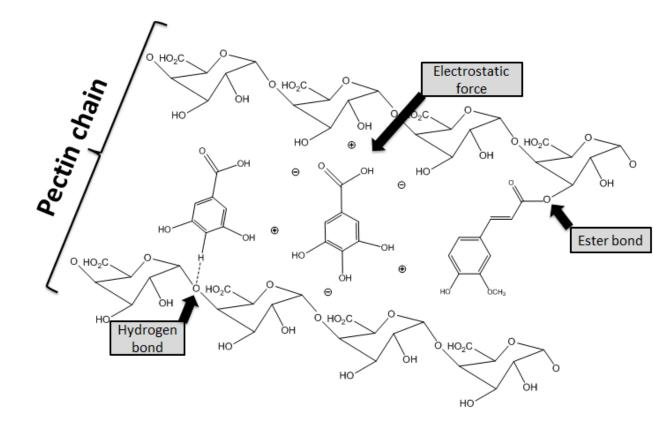
- 612 Figures



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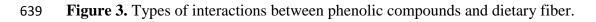






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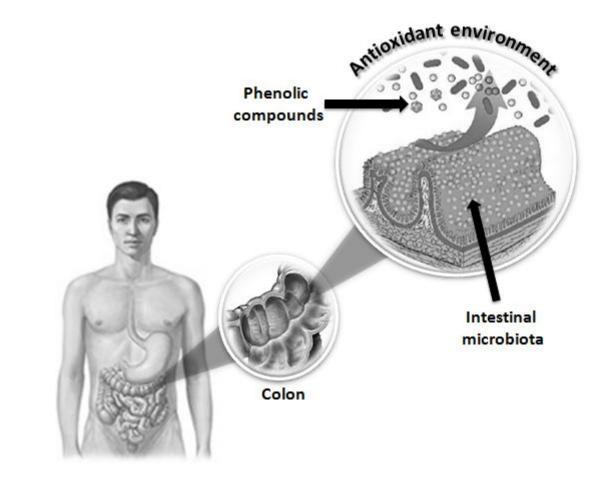


Figure 4. Colon antioxidant environment formed by the action of intestinal microbiota that
ferment the dietary fiber matrices and, phenolic compounds are gradually released at the
intestinal lumen and partially absorbed into gut epithelial cells.

| 651 | Table 1. Total dietary fibe | r (TDF) and extractable polyphenols | (EP) in raw fruits, fruits byproducts |
|-----|-----------------------------|-------------------------------------|---------------------------------------|
|-----|-----------------------------|-------------------------------------|---------------------------------------|

and sources of antioxidant dietary fiber.

|                       | TDF     | EP           |           |
|-----------------------|---------|--------------|-----------|
|                       | (% dry  | (mg GA/g dry | Reference |
|                       | matter) | matter)      |           |
| Raw fruit             |         |              |           |
| White guava           | 5.3     | 1.5          |           |
| Red guava             | 2.7     | 23           |           |
| Carambola             | 2.7     | 22           |           |
| Mango                 | 1.8     | 0.5          | 36        |
| Papaya (cv. Red Lady) | 1.7     | 0.4          |           |
| Blueberries           | 2.4     | 5.3          | 37        |
| Grape                 | 1.5     | 1.4          |           |
| Pineapple             | 1.4     | -            |           |
| Apple                 | 3.2     | 2.1          |           |
| Orange                | 1.1     | 3.3          |           |
| Strawberry            | 2.3     | 3.6          | 38        |
| Durian                | 1.2     | 3.0          |           |
| Snake fruit           | 1.1     | 2.1          |           |
| Mangosteen            | 0.9     | 1.9          |           |
| Byproduct             |         |              |           |
| Banana peel           | 7.6     | 9.2          | 39        |
| Guava peel            | -       | 58           | 9         |
| Mango peel            | 28      | 70           | 10        |
| Mango seed            | -       | 117          | 40        |
| Jackfruit seed        | -       | 27           | 41        |
| Carrot peel           | 45      | 13           | 42        |
| Pomegranate peel      | -       | 249          | 45        |
| Grape stem            | 77      | 116          |           |
| Antioxidant dietary   |         |              |           |
| fiber                 |         |              |           |
| Cocoa powder          | 60      | 1.3          | 44        |
| Guava pulp            | 48      | 26           |           |
| Guava peel            | 49      | 77           | 9         |
| Jamaica               | 33      | 61           | 45        |
| Orange-lime           | 69      | -            | 10        |
| Pineapple shells      | 70      | -            |           |
| Cauliflower           | 6.0     | 3.4          | 48        |
| Mango peel            | 51      | 96           | 49        |
| Cabbage leaf          | 51      | 3.4          | 50        |
| Acaí                  | 71      | 15           | 51        |

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| Functional<br>food            | Functional ingredient | Source                 | Results   | Reference |
|-------------------------------|-----------------------|------------------------|---|-----------|
| Cookies and<br>bread          | Dietary fiber         | Mango                  | Products with balanced<br>components and low<br>predicted glycemic index<br>response  | 43        |
| Bologna<br>cooked<br>sausages | Dietary fiber         | Lemon albedo           | Similar sensory<br>properties to<br>conventional sausages<br>but improvement in the<br>nutritional properties   | 59        |
| Cookies                       | Dietary fiber         | Extruded<br>wheat bran | Dietary fiber content was<br>increased and the<br>glycemic index was low  | 60        |
| Yogurt                        | Dietary fiber         | Acacia                 | Greater therapeutic<br>effects in patients with<br>irritable bowel syndrome   | 61        |
| Cupcakes                      | Dietary fiber         | Oat and<br>wheat       | Addition of 30% dietary<br>fiber improved quality<br>characteristics of<br>cupcakes. Also<br>prolonged the shelf-life<br>of the cakes by delaying<br>the moisture loss and the<br>increase in crumb<br>firmness | 64        |
| Fresh potatoes                | Phenolic<br>compounds | Oregano                | Increase antioxidant activity and reduction of  | 66        |

**Table 2.** Effect of functional foods enriched with dietary fiber, phenolic compounds and dietaryfiber with phenolic compounds associated.

# acrylamide content

| Bread                       | Phenolic<br>compounds<br>(proanthocyanidins)             | Grape seed   | High antioxidant activity<br>and reduce the Νε<br>(carboxymethyl) lysine<br>formation, related to<br>health risks   | 67 |
|-----------------------------|--|--|---|----|
| Cooked pork<br>meat patties | Phenolic<br>compounds                                    | Rapeseed and pine bark   | Inhibition of protein<br>oxidation between 42<br>and 64%  | 68 |
| Cheese product              | Phenolic<br>compounds                                    | Herb extracts<br>(cinnamon<br>stick, oregano,<br>clove,<br>pomegranate<br>peel, and<br>grape seed) | Plant extracts were<br>effective against Listeria<br>monocytogenes,<br>Staphylococcus aureus,<br>and Salmonella enterica.<br>Also, extracts increased<br>the stability of cheese<br>against lipid oxidation | 69 |
| Dough biscuits              | Dietary fiber with<br>phenolic<br>compounds<br>associate | Mango peel   | Dietary fiber and<br>polyphenols content<br>increase 14% and 90%,<br>respectively.  | 71 |
| Cake                        | Dietary fiber with<br>phenolic<br>compounds<br>associate | By-product of apple juice  | Increase the dietary fiber<br>and polyphenols content,<br>to 14% and 7.16 m/g,<br>respectively  | 72 |
| Yogurt and salad dressing   | Dietary fiber with<br>antioxidants<br>associated         | Wine grape<br>pomace   | Increase dietary fiber and<br>total phenolic content,<br>also delay lipid oxidation<br>of samples during  | 73 |

refrigeration storage

| Fish mince<br>horse<br>mackerel | Dietary fiber with<br>phenolic compounds<br>associate  | Fucus<br>vesiculosus spp | Prevent lipid oxidation<br>during 5 months of<br>frozen storage at -20 °C.<br>Also, reduced total yield<br>after thawing and<br>cooking after up to 3<br>months of frozen<br>storage. | 74 |
|---------------------------------|--|--------------------------|---|----|
| Maccaroni<br>products           | Dietary fiber with<br>phenolic compounds<br>associated | Mango peel               | Enhance nutritional and<br>technological quality.<br>The dietary fiber content<br>increase 9% and<br>exhibited improved<br>antioxidant properties                                     | 49 |
|                                 |  |                          |   |    |