

Environmental Science Nano

Accepted Manuscript



This is an *Accepted Manuscript*, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this *Accepted Manuscript* with the edited and formatted *Advance Article* as soon as it is available.

You can find more information about *Accepted Manuscripts* in the [Information for Authors](#).

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal's standard [Terms & Conditions](#) and the [Ethical guidelines](#) still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this *Accepted Manuscript* or any consequences arising from the use of any information it contains.

Biological and Environmental Media Control Oxide Nanoparticle Surface Composition: The Roles of Biological Components (Proteins and Amino Acids), Inorganic Oxyanions and Humic Acid

Imali A. Mudunkotuwa and Vicki H. Grassian

Department of Chemistry, University of Iowa, Iowa City

Nano Impact Statement: Surfaces play an important role in the toxicity and fate of nanomaterials. However, what exactly is adsorbed on the surface of nanomaterials in different biological and environmental media is often unknown. In this study, the surface composition and speciation of oxide nanoparticles – TiO_2 and $\alpha\text{-Fe}_2\text{O}_3$ – in a range of different media. The extent of surface adsorption depends on the solution phase composition and the affinity of different components to adsorb to the nanoparticle surface. Examples presented here show that there are a range of possible surface interactions, adsorption energetics and adsorption modes including reversible adsorption, irreversible adsorption and co-adsorption.

Biological and Environmental Media Control Oxide Nanoparticle Surface Composition: The Roles of Biological Components (Proteins and Amino Acids), Inorganic Oxyanions and Humic Acid

Imali A. Mudunkotuwa and Vicki H. Grassian

Department of Chemistry, University of Iowa, Iowa City

Abstract

Current practices of initial nanoparticle characterization with respect to particle size, shape, surface and bulk composition prior to experiments to test, for example, cellular interaction or toxicity, will not accurately describe nanomaterials in a given medium. The use of initial characterization data in subsequent analyses inherently assumes that nanoparticles are static entities. However, nanoparticle characterization, which is crucial in all studies related to their applications and implications, should also include information about the dynamics of the interfacial region between the nanomaterial surface and the surrounding medium. The objective of this tutorial review is to highlight the importance of *in situ* characterization of metal oxide nanoparticle surfaces in complex media. In particular, several examples of TiO_2 (5 nm) and $\alpha\text{-Fe}_2\text{O}_3$ (2 nm) nanoparticles, in different environmental and biological media, are presented so as to show the importance of the *milieu* on oxide surface composition. The surface composition is shown to be controlled by the adsorption of biological components (proteins and amino acids), inorganic oxyanions (phosphates and carbonates) and environmental ligands (humic acid). The extent of surface adsorption depends on the solution phase composition and the affinity of different components to adsorb to the nanoparticle surface. The examples presented here show that there is a range of possible surface interactions, adsorption energetics and adsorption modes including reversible adsorption, irreversible adsorption and co-adsorption.

Introduction

Development of sustainable nanotechnology includes understanding the environmental health and safety of engineered nanomaterials. Recognition of this fact has led to numerous studies focused on the interactions of engineered nanomaterials with environmental and biological systems. Experimental studies focused on these interactions are often done by placing nanoparticles in a variety of biological and environmental matrices with different ligand types of varying concentrations at different pH, ionic strength and relative humidity conditions.¹⁻³ However, since nanoparticle surfaces have high free energy, thermodynamic driving forces will act to minimize the surface energy, nanoparticles will undergo different chemical and physical transformations including dissolution, aggregation, surface reconstruction and surface ligand adsorption. Therefore it is important to consider nanoparticles as dynamic entities that undergo transformations that depend on the solution pH, ionic strength and composition. In environmental or biological systems, the surrounding *milieu* will drive these interactions. Therefore, there can be significant changes in the composition and properties of the nanomaterial surface under a range of conditions.^{2,4,5} Several types of transformations and changes in the physicochemical properties of nanomaterial surfaces are shown pictorially in Figure 1. These processes include surface adsorption, ligand displacement, dissolution, re-precipitation and reaction chemistry. One or more of these may play a role in the behavior of nanoparticles in solution. In addition, which processes occur will depend on the details of solution properties, e.g. pH, ionic strength, presence of solutes etc.

In particular, surface modification of nanoparticle occurs when nanoparticles are placed in biological and environmental media. These modifications depend on a number of important variables. One of the key variables controlling surface modifications of nanomaterials is surface

charge.⁶⁻⁹ If the surface is positively charged, negatively charged ligands would have a higher affinity towards the surface – in these cases electrostatic interactions dominate. In cases where the surface is of negative charge, electrostatic interactions prevail again but now with positively charged ligands showing preferential binding. These interactions with negatively or positively charged ligands can potentially reverse the surface charge of the nanoparticles in the media. Since surface charge has been shown to play an important role in cellular uptake, toxicity and immune responses, these surface interactions clearly need to be fully understood. In fact, Bozich and coworkers have demonstrated in a recent study on the toxicity of functionalized Au nanoparticles to *Daphnia magna* that the positively charged Au nanoparticles having higher affinity towards the negatively charged surface of the cellular membranes caused more damage than their negatively charged counterparts.⁶ Similar results have been reported by Liu and coworkers as well using Au nanoparticles and phagocytic/non-phagocytic cell lines.¹⁰ Furthermore, surface charge is very much dependent on the pH and the ionic strength of the medium.⁹ For a given medium the same nanoparticle has the potential to have different behaviors depending upon on the solution pH and the ionic strength resulting in different levels of uptake and toxicity.

In addition, nanoparticles are often deliberately functionalized for different desired properties including enabling the formation of stable suspensions.^{11,12} The general conjugation strategies used for biomedical applications can be broadly categorized as covalent/dative, non-covalent and encapsulation.¹³ Some of these strategies result in the presence of labile functional groups on the surface whereas others do not. These labile functional groups can be easily displaced by the components in the biological medium, especially proteins.¹⁴ This can have both favorable and unfavorable implications for the intended use of the engineered nanoparticle of

interest.¹⁵ For nanoparticles used in targeted drug delivery systems with antibody-functionalization, the displacement of its surface functional groups can be problematic. When functional groups are strongly and irreversibly bound then displacement is not possible. However, environmental transformations can still occur and biological components may co-adsorb on to the surface yielding a change in surface charge, size and hydrophobicity.

Figure 2 gives a summary of some examples of different transformations that have been observed to occur with metal and metal oxide nanomaterials in atmospheric or aquatic environments.^{14,16-20} These transformations can potentially affect the nanomaterial atmospheric and solution phase behavior by altering transport properties, and the state of the nanoparticle (whether isolated, aggregated or dissolved into ions), which will ultimately affect their uptake and toxicity.²¹ Although atmospheric transformations can be mapped by characterization techniques that can be used under vacuum conditions, transformations in the aqueous media are more difficult to follow.^{22,23} Therefore, in this tutorial review we show and discuss transformations of oxide nanoparticle surfaces (α -Fe₂O₃ and TiO₂ – Electronic Supplementary Information Figure S1) due to the adsorption of ligands in different biological and environmental media (Electronic Supplementary Information – Table S1). The tutorial review focuses on several case studies including new results recently conducted in our laboratory. Additionally, this review also suggests that surface charge and functionality imparted on nanoparticle surface through engineered functionalization may be significantly altered in complex mixtures.

Surface Transformations of Nanomaterials in Different Biological Media.

As already noted, a key limitation in our ability to predict nanomaterial fate and transformation in complex biological and environmental media is often directly connected with the lack of

understanding of the dynamics at the surface, i.e. the complexity of the interfacial region between the nanoparticle surface and the aqueous phase surrounding it.²⁴ While extensive nanoparticle characterization is now conducted as a standard protocol prior to proceeding with any form of application/implication studies, this information fails to capture surface processes that take place when nanoparticles are placed into different media.⁵ Often these media formulations are prepared to simulate and/or be compatible with different types of biological and environmental systems and consist of a variety of inorganic and organic ligands that include, but are not limited to chlorides, phosphates, carbonates, organic acids, natural organic matter (NOMs), steroids, amino acids, proteins and lipids. Once nanoparticles are exposed to these complex matrices depending on the nature of interaction there can be either surface or bulk transformations taking place. In any event, the biological/environmental identity of the nanomaterial is subject to change thus yielding information gleaned from initial characterization data to be of potential limited use.

Figure 3 demonstrates how ligand adsorption from different media impact nanoparticle surface composition. Two different nanoparticles, 2 nm iron oxide (Figure 3a – left panel) and 5 nm titanium dioxide (Figure 3a – right panel), when exposed to different biological and environmental media for a variety of different experiments conducted in our laboratory give very different surface spectra as measured by ATR-FTIR spectroscopy.^{24,25} These studies start with a thin film of hydrated nanoparticles placed on to an ATR crystal. Oxide nanoparticles surfaces are truncated by hydroxyl groups which readily adsorb water as seen by the broad O-H stretching mode centered at 3350 cm^{-1} as well as the water bending mode at 1640 cm^{-1} . There is no readily apparent organic contamination on these surfaces. Additionally, metal oxide lattice vibrations are observed at lower frequency, in the $800 - 1000\text{ cm}^{-1}$ wavenumber region.

As these nanoparticles are exposed to different biological and environmental media, i.e. aqueous solutions of differing composition containing biological components and inorganic salts, there are large changes observed in these spectra, which can be easily seen in the difference spectra plotted in Figure 3b – 3d. The difference spectra subtract out the water absorption and lattice vibration. The remaining absorption bands arise from the vibrations of new surface species. These changes show quite conclusively that in each medium these nanoparticles have unique surface composition. This unique surface identity is determined by the ligands that interact with the nanoparticle surface with the highest affinity for adsorption. The layer of surface ligands attributing the unique identity to the nanoparticle is commonly referred to as the “corona”. Studies have shown the corona to be retained upon cellular uptake.²⁶ However, once inside the cells nanoparticles can then be taken in the lysosome where the corona is degraded and bare nanoparticles are exposed.²⁷ The mechanism of corona degradation is not yet fully understood. However, at lower pH, different inorganic salts and different ligands within the lysosome are considered to play a role in this process.^{26,27} Thus investigating the interactions between surface ligands and nanoparticles surfaces takes a step towards elucidating these degradation mechanisms.

For single component solution systems it can be assumed that the surface coating is fairly uniform, e.g. when nanoparticles are deliberately surface functionalized with one adsorbate. Figure 3b – left panel shows an example of the adsorption of L-aspartic acid on 2 nm α -Fe₂O₃ nanoparticles. Aspartic acid is adsorbed onto the particle surface and there is nothing else to compete with its adsorption except for water molecules. However, with increasing complexity other components can easily adsorb and provide a competitive adsorption process. In several of the other spectra shown in Figure 3, the spectra consist of broad absorption bands, which may

correspond to multiple adsorbed species and/or different environments on the nanoparticle surface. For example, M9 media, a well-known buffered solution used for cell and embryo nanotoxicity studies, are composed of Na_2HPO_4 , KH_2PO_4 , NaCl , NH_4Cl , MgSO_4 , CaCl_2 and glucose.^{25,28} When 2 nm $\alpha\text{-Fe}_2\text{O}_3$ nanoparticles are exposed to this medium the vibrational bands corresponding to adsorbed phosphates (HPO_4^{2-} and H_2PO_4^-) dominate the ATR-FTIR spectrum indicating preferential binding of the phosphates to the particle surface (Figure 3c – left panel).^{29,30} However, this does not prevent lysozymes from adsorbing to the surface when it is also introduced to the medium as observed by absorption bands at 1653 and 1542 cm^{-1} (Figure 3d – left panel).²⁵ While these data highlight the fact that both phosphates and lysozymes have high affinity towards the nanoparticle surface, they also raise further questions about competitive adsorption, strength of the adsorption and the overall role of ligand adsorption in nanoparticle interactions with cells and/or organisms.

TiO_2 nanoparticles (Figure 3 – right panel) also show similar behavior in terms of ligand adsorption from its surrounding medium. As discussed previously, when these particles are exposed to moderately hard reconstituted water (MHRW) which contains mainly sodium bicarbonate, the surface is immediately covered by adsorbed carbonate as seen by the spectrum shown in Figure 3b – right panel.²⁴ MHRW is commonly used for culture and testing of various invertebrates and vertebrates, including *Daphnia magna*, *Ceriodaphnia dubia*, *Pimephales promela* and *Daphnia pulex*³¹⁻³³ (supplied by Professor Rebecca Klaper University of Wisconsin-Milwaukee as noted in Ref. 24). These are *in vivo* models that are commonly used in many nanotoxicology studies. The main point here is that upon exposure to this medium, the initial surface characterization of nanomaterials being studied may not accurately describe the surface properties as the carbonate adsorption can result in changes in surface charge that will govern

nanoparticle solution phase behavior. Not only does MHRW impact surface composition, but so does Roswell Park Memorial Institute medium (RPMI) used as a tissue and cell culture medium.²⁴ Similar to the M9 solution, RPMI consists of high level of phosphates in addition to the amino acids, vitamins, 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) and several other inorganic salts. All these components have the potential to adsorb onto the nanoparticle surface (Figure 3c – right panel).²⁴ However, with the addition of fetal bovine serum (FBS), which contains BSA protein, there is preferential protein binding observed which is similar to what was observed with lysozyme adsorbed in M9 medium (Figure 3d – right panel). A general trend observed in both the cases is the high affinity of proteins toward the nanoparticle surfaces as has been discussed in several other studies.³⁴⁻³⁶

Relative Affinities of Surface Adsorbed Ligands.

The ultimate surface identity of nanomaterials in biological media with a number of different components is determined by the relative affinities of each component towards the nanoparticle surface.³⁷ Depending on the initial surface ligand affinity the nanoparticle surfaces may or may not undergo further changes. Surface ligands with relatively low affinity are easily displaced by those with higher affinity. Tsai and coworkers has shown in their investigations, when low molecular weight thiolated polyethelene (SH-PEG) functionalized gold nanoparticles are exposed to bovine serum albumin (BSA) the functional groups get displaced.¹⁴ However, when high molecular weight SH-PEG is on the surface, the incoming BSA co-adsorb and the existing functional group only rearranges on the surface. Bagaria and coworkers have shown in their investigations on FePt nanoparticles functionalized with oleic acid and oleyl amine that mercaptoalkanoic acid displaces both functional groups.³⁸ The knowledge gained by similar

studies can be extensively used in tailoring the nanoparticle surface functionalities. Additionally, these occur under strictly controlled experimental conditions. However, few studies have measured such processes that take place spontaneously in biological and environmental media on nanomaterial surfaces in environmental health and safety studies. Such transformations can have a significant impact on the experimental outcomes related to nanoparticles.

A rapid approach of assessing the surface ligand affinity is to conduct desorption experiments following the adsorption. In our work the tool of choice is ATR-FTIR spectroscopy (see Supporting Information). An example study investigating relative affinities of the surface adsorbed species on 2 nm α -Fe₂O₃ nanoparticles exposed to M9 solution with lysozyme is given in Figure 4a. These spectra clearly show decreasing peak intensities (1077 and 989 cm⁻¹) corresponding to the surface phosphate desorption and constant peaks for adsorbed lysozymes (1653 and 1542 cm⁻¹). With further analysis for these two regions as given in Figure 4b it is evident that normalized integrated absorbance corresponding to lysozymes is a constant while for phosphate it decreases and approaches zero. Therefore, this inorganic oxyanion, phosphate, shows reversible adsorption suggesting that it can be considered as labile surface ligands (Figure 4c). Since the phosphate is charged, its adsorption will impact the surface charge of the nanoparticle. In contrast, lysozyme irreversibly adsorbs to the surface. Interestingly, this bound lysozyme has been proposed to lead to the impairment of antimicrobial function.²⁵ This irreversible binding may contribute towards the lowered antimicrobial activity by lowering the “free” lysozyme concentration in the medium.

In another study in our laboratory with BSA adsorption on TiO₂ nanoparticles, it was observed that BSA protein binds irreversibly to the TiO₂ NP surface (Figure 5a) and is not displaced upon exposure to citric acid solution (1 mM). In fact, ATR-FTIR spectroscopy

demonstrated that citric acid co-adsorbs onto the BSA coated TiO₂ NP surface. Sequential introduction of citric acid to BSA coated TiO₂ NP surface results in an overall increase in the IR spectral intensity with time (Figure 5b). This was further confirmed by the difference spectra obtained as illustrated in Figure 5c. The difference spectra shows no negative features upon citric acid adsorption which would be the case if any displacement of BSA is taking place. We hypothesize that the smaller size of citric acid molecule relative to the BSA enables it to diffuse on to the surface of the nanoparticles without displacing the BSA protein (Figure 5d). The corresponding vibrational band assignments for the adsorbed BSA and citric acid are given in Table 1. Interestingly, co-adsorbed citric acid was found to irreversibly adsorb to the surface as desorption studies in pure optima™ water did not result in any decrease in the intensity of the peaks. Co-adsorption can attribute multiple functionalities for a particular nanomaterial system, which in terms of toxicity studies can result in uncontrolled uptake and distribution within a given biological system. According to the extensive review on nanoparticle functionalization with biological molecules to facilitate bionanotechnology, this field is still in its development stage although considerable progress has been made over time.¹³ One of the challenges stated here is the controlled and triggered delivery/release at the target location. This requires thorough understanding of these bio-conjugated nanoparticle dynamics in their local environments.

Interaction of Natural Organic Matter with Engineered Metal Oxide Nanomaterials.

Investigations conducted with nanomaterials in environmental media must assume there will be an interaction with natural organic matter (NOM). Many studies in the literature have provided evidence of altered aggregation behavior in the presence of NOM, which is a key indicator of changing surface properties in the presence of NOM.³⁹⁻⁴² NOM adsorption in many of these

cases has shown to promote aggregation but at the same time reduce the uptake and toxicity to test species such as soil organisms.

Figure 6 is an illustration of some initial studies conducted in our laboratories investigating Suwanee river humic acid (SRHA) adsorption on to 2 nm α -Fe₂O₃ (1.5 mg) and 5 nm TiO₂ (1.5 mg) probed by ATR-FTIR spectroscopy. These spectra were collected over a period of 6 hours as the humic acid solution (20 μ g/mL, pH 4.4) was introduced over the respective nanoparticle thin film. The resulting spectra have been recorded and analyzed. The corresponding vibrational modes are assigned and compared to literature values (Table 2). SRHA readily adsorbs to both metal oxide surfaces with α -Fe₂O₃ nanoparticles showing a relatively stronger adsorption. In particular, the ATR-FTIR spectra of α -Fe₂O₃ adsorbed SRHA shows two new bands at 1348 and 1470 cm⁻¹ during initial time points, which correspond to strongly adsorbed carboxylate groups to the surface iron atoms.^{43,44} Furthermore, spectral evolution as a function of time shows clear differences between the two metal oxide nanoparticles. As time increases, additional bands grow in and overlap with these two initially observed bands at 1348 and 1470 cm⁻¹. This suggests that SRHA adsorbed directly on the α -Fe₂O₃ surface has a different mode of adsorption to the ones in subsequent layers. Desorption experiments conducted showed no decrease in the band intensities suggesting both adsorption modes are irreversible. Although TiO₂ nanoparticles showed a single mode of adsorption it was also observed to be irreversible. Such irreversible surface modifications in actual environmental media containing SRHA can result in the formation of NP embedded NOMs. The properties of these new entities can potentially impact the underlying experimental design by changing transport properties and uptake. No investigations have been conducted so far on the long-term effects of NP embedded NOMs. Additionally, much work is still needed to determine the effects

exerted by similar nanomaterials on the structure of NOMs under ambient environmental conditions. In fact there is evidence of photocatalytic degradation of NOMs in the presence of TiO₂ nanoparticles.^{45,46} However, in order to have a key understanding about these modes of interactions a hyphenated set of tools and techniques are required due to the extremely complex structure of humic acid.

Conclusions and Some Recommendations

The dynamic nature of the nanomaterial surfaces makes *in situ* characterization in biological media quite challenging. There are no standards reported in terms of post characterization as there are with initial characterization methods that can be done prior to toxicity experiments. Therefore, it is unclear which physicochemical characterizations are important. Nevertheless in the literature there are several studies that provide helpful indications of important physicochemical parameters that need to be measured either *in situ* or post experiments.

The most likely change that can occur with nanomaterials in biological media is surface functionality. The components of the biological media adsorb on to these surfaces and change the surface identity as discussed in the previous section. The composition of this adsorbed layer is one important parameter that needs to be determined. This is important as it can be a “trojan horse” effect whereby contaminant molecules will be carried in and cause undesirable effects within biological systems.^{47,48} It also has the ability to adsorb and remove components from the biological media.²⁵ In terms of toxicity studies this can interfere and/or mask any effects due to nanoparticles themselves and in terms of applications can cause uncontrolled functionality at the surface. Furthermore, surface ligand adsorption can cause nanomaterial dissolution and

generate, ions, and even smaller nanoparticles with enhanced mobility and uptake properties.¹⁶ Many techniques including, but not limited to, ATR-FTIR spectroscopy, mass spectrometry, and surface enhance Raman spectroscopy are capable of providing information on the composition of the surface adsorbed layers.^{25,49,50}

Not only the composition but also the thickness of this surface layer and the surface coverage are important characteristic features that can facilitate better interpretation of experimental data.⁵¹ Pease and coworkers have used electrospray-differential mobility analysis (ES-DMA) to determine the DNA surface coverage on Au nanoparticle surface by measuring the size before and after surface functionalization. A similar approach can be used to obtain the coating thickness for nanoparticles during their post characterization. The thickness of the surface adsorbed layer will determine the overall size of nanoparticles and therefore will directly affect their uptake by cells.⁵² Not only that, in applications where the functionality stems from the nanomaterial core, the surface coverage and the thickness of the surface adsorbed layer will be critical parameters that need to be controlled. For an example, where surface enhanced Raman spectroscopy (SERS) is used as the method of detection, the surface coating thickness plays a key role.⁵³⁻⁵⁵

Nanoparticle aggregation can vary between different biological media as a result of their surface functionality, pH and ionic strength. Thus another key characterization that needs to be conducted *in-situ* and after experiments is the aggregate size. This has proved to be significantly different from the initially characterized primary particle size in almost all the occasions. The aggregation also plays a key role in nanoparticle uptake and masking the size dependent properties of nanomaterials.^{56,57} Furthermore, there is always the possibility of particle dissolution, which will have the opposite effect of aggregation and therefore post analysis of the

particle size and shape is also important to better understand the experimental observations. A comparison between the initial characterization and post characterization will provide invaluable information as to how the surface transformations proceeded during a given experimental set up. Dynamic light scattering (DLS) and nanoparticle tracking analysis (NTA) are examples of techniques providing ensemble measurements while electron microscopy can provide useful information on the particle size post exposure.

There are also instances where even the nanoparticle core can get modified subsequent to these surface modifications. For example, in one of our recent studies it was found that Cu nanoparticles upon exposure to citric and oxalic acid undergo complete surface and core oxidation into Cu_2O within 24 hours.¹⁶ Thus, if these Cu nanoparticles are used in chronic toxicity studies, the results will reflect the toxicity of Cu_2O nanoparticles rather than Cu nanoparticles itself. This example also emphasizes the need for *in situ*, which is a grand challenge that has been difficult to overcome, or, at the very least, post characterization of nanomaterials in nanotoxicology studies.

Many investigations use primary characterization data in explaining the toxicity data and size dependent properties. With such an approach, nanomaterials are assumed to be static entities. As highlighted here, it is clear that initial characterization data for nanomaterials is just a starting point and may not be sufficient, especially in biological and environmental systems. Therefore, new standards and protocols for *in situ* and post characterization of nanomaterials are needed. In addition, it is crucial to report the composition and the concentrations at which the laboratory experiments are conducted especially to enable comparison across studies.⁵⁸ Furthermore, *in situ* and post characterization of nanomaterials will provide additional insight into nanomaterial risk assessment. Although it would be more advantages to have techniques that

probe suspended nanoparticles in aqueous media compared to nanoparticle thin films, this tutorial review demonstrates two important concepts. First, the surface composition is controlled by certain biological, inorganic and organic acid components of the medium. Second, ATR-FTIR spectroscopy is a technique capable of probing the dynamic nature of oxide nanoparticle surfaces in increasingly complex *milieu* thus providing insights into nano-biological and nano-environmental interfaces.

Acknowledgment. This material is based on the work supported by the National Science Foundation under grant CBET1424502. Any opinions, findings and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the National Science Foundation. The authors would like to thank Matt Burian for conducting ATR-FTIR experiments on aspartic acid adsorption on $\alpha\text{-Fe}_2\text{O}_3$. A portion of this tutorial were presented at the 9th International Conference on the Environmental Effects of Nanoparticles and Nanomaterials, South Carolina, USA, September 2014.

Reference

1. Luo, P.; Morrison, I.; Dudkiewicz, A.; Tiede, K.; Boyes, E.; O'Toole, P.; Park, S.; Boxall, A. B. *Journal of Microscopy* **2013**, *250*, 32-41.
2. Pokhrel, L. R.; Dubey, B.; Scheuerman, P. R. *Environmental Science: Nano* **2014**, *1*, 45-54.
3. Ozel, R. E.; Wallace, K. N.; Andreescu, S. *Environmental Science: Nano* **2014**, *1*, 27-36.
4. Petosa, A. R.; Jaisi, D. P.; Quevedo, I. R.; Elimelech, M.; Tufenkji, N. *Environmental Science & Technology* **2010**, *44*, 6532-6549.
5. Lowry, G. V.; Gregory, K. B.; Apte, S. C.; Lead, J. R. *Environmental Science & Technology* **2012**, *46*, 6893-6899.
6. Bozich, J. S.; Lohse, S. E.; Torelli, M. D.; Murphy, C. J.; Hamers, R. J.; Klaper, R. D. *Environmental Science: Nano* **2014**, *1*, 260-270.
7. Verma, A.; Stellacci, F. *Small* **2010**, *6*, 12-21.
8. Murthy, A. K.; Stover, R. J.; Hardin, W. G.; Schramm, R.; Nie, G. D.; Gourisankar, S.; Truskett, T. M.; Sokolov, K. V.; Johnston, K. P. *Journal of the American Chemical Society* **2013**, *135*, 7799-7802.
9. Mudunkotuwa, I. A.; Grassian, V. H. *Journal of the American Chemical Society* **2010**, *132*, 14986-14994.
10. Liu, X.; Huang, N.; Li, H.; Jin, Q.; Ji, J. *Langmuir* **2013**, *29*, 9138-9148.
11. Sperling, R. A.; Parak, W. J. *Philosophical Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences* **2010**, *368*, 1333-1383.
12. Amstad, E.; Textor, M.; Reimhult, E. *Nanoscale* **2011**, *3*, 2819-2843.
13. Sapsford, K. E.; Algar, W. R.; Berti, L.; Gemmill, K. B.; Casey, B. J.; Oh, E.; Stewart, M. H.; Medintz, I. L. *Chemical Reviews* **2013**, *113*, 1904-2074.
14. Tsai, D.-H.; Davila-Morris, M.; DelRio, F. W.; Guha, S.; Zachariah, M. R.; Hackley, V. A. *Langmuir* **2011**, *27*, 9302-9313.
15. Lokteva, I.; Radychev, N.; Witt, F.; Borchert, H.; Parisi, J. r.; Kolny-Olesiak, J. *The Journal of Physical Chemistry C* **2010**, *114*, 12784-12791.
16. Mudunkotuwa, I. A.; Pettibone, J. M.; Grassian, V. H. *Environmental Science & Technology* **2012**, *46*, 7001-7010.
17. Pan, Z.; Tao, J.; Zhu, Y.; Huang, J.-F.; Paranthaman, M. P. *Chemistry of Materials* **2009**, *22*, 149-154.
18. Ma, R.; Levard, C.; Michel, F. M.; Brown, G. E.; Lowry, G. V. *Environmental Science & Technology* **2013**, *47*, 2527-2534.
19. Lv, J.; Zhang, S.; Luo, L.; Han, W.; Zhang, J.; Yang, K.; Christie, P. *Environmental Science & Technology* **2012**, *46*, 7215-7221.
20. Levard, C.; Hotze, E. M.; Lowry, G. V.; Brown, G. E. *Environmental Science & Technology* **2012**, *46*, 6900-6914.
21. Elzey, S.; Larsen, R. G.; Howe, C.; Grassian, V. H.: Nanoscience and Nanotechnology: Environmental and Health Impacts. In *Nanoscale Materials in Chemistry*; John Wiley & Sons, Inc., 2009; pp 681-727.
22. Tiwari, A. J.; Marr, L. C. *Journal of environmental quality* **2010**, *39*, 1883-1895.
23. Batley, G. E.; Kirby, J. K.; McLaughlin, M. J. *Accounts of Chemical Research* **2013**, *46*, 854-862.
24. Mudunkotuwa, I. A.; Minshid, A. A.; Grassian, V. H. *Analyst* **2014**, *139*, 870-881.

25. Borcherdig, J.; Baltrusaitis, J.; Chen, H.; Stebounova, L.; Wu, C.-M.; Rubasinghege, G.; Mudunkotuwa, I. A.; Caraballo, J. C.; Zabner, J.; Grassian, V. H.; Comellas, A. P. *Environmental Science: Nano* **2014**, *1*, 123-132.
26. Wang, F.; Yu, L.; Monopoli, M. P.; Sandin, P.; Mahon, E.; Salvati, A.; Dawson, K. A. *Nanomedicine: Nanotechnology, Biology and Medicine* **2013**, *9*, 1159-1168.
27. Chanana, M.; Rivera_Gil, P.; Correa-Duarte, M. A.; Liz-Marzán, L. M.; Parak, W. J. *Angewandte Chemie International Edition* **2013**, *52*, 4179-4183.
28. Ghoul, M.; West, S. A.; Diggle, S. P.; Griffin, A. S. *Journal of Evolutionary Biology* **2014**, *27*, 551-556.
29. Connor, P. A.; McQuillan, A. J. *Langmuir* **1999**, *15*, 2916-2921.
30. Elzinga, E. J.; Sparks, D. L. *Journal of Colloid and Interface Science* **2007**, *308*, 53-70.
31. Lovern, S. B.; Klaper, R. *Environmental Toxicology and Chemistry* **2006**, *25*, 1132-1137.
32. Soucek, D. J.; Kennedy, A. J. *Environmental Toxicology and Chemistry* **2005**, *24*, 1204-1210.
33. Tang, S.; Wu, Y.; Ryan, C. N.; Yu, S.; Qin, G.; Edwards, D. S.; Mayer, G. D. *Chemosphere* **2015**, *120*, 92-99.
34. Monopoli, M. P.; Aberg, C.; Salvati, A.; Dawson, K. A. *Nat Nano* **2012**, *7*, 779-786.
35. Salvati, A.; Pitek, A. S.; Monopoli, M. P.; Prapainop, K.; Bombelli, F. B.; Hristov, D. R.; Kelly, P. M.; Aberg, C.; Mahon, E.; Dawson, K. A. *Nat Nano* **2013**, *8*, 137-143.
36. Braydich-Stolle, L. K.; Breitner, E. K.; Comfort, K. K.; Schlager, J. J.; Hussain, S. M. *Langmuir* **2014**, *30*, 15309-15316.
37. Pelaz, B.; Charron, G.; Pfeiffer, C.; Zhao, Y.; de la Fuente, J. M.; Liang, X.-J.; Parak, W. J.; del Pino, P. *Small* **2013**, *9*, 1573-1584.
38. Bagaria, H. G.; Ada, E. T.; Shamsuzzoha, M.; Nikles, D. E.; Johnson, D. T. *Langmuir* **2006**, *22*, 7732-7737.
39. Baalousha, M.; Manciu, A.; Cumberland, S.; Kendall, K.; Lead, J. R. *Environmental Toxicology and Chemistry* **2008**, *27*, 1875-1882.
40. Collin, B.; Oostveen, E.; Tsyusko, O. V.; Unrine, J. M. *Environmental Science & Technology* **2014**, *48*, 1280-1289.
41. Quigg, A.; Chin, W.-C.; Chen, C.-S.; Zhang, S.; Jiang, Y.; Miao, A.-J.; Schwehr, K. A.; Xu, C.; Santschi, P. H. *ACS Sustainable Chemistry & Engineering* **2013**, *1*, 686-702.
42. Aiken, G. R.; Hsu-Kim, H.; Ryan, J. N. *Environmental Science & Technology* **2011**, *45*, 3196-3201.
43. Gu, B.; Schmitt, J.; Chen, Z.; Liang, L.; McCarthy, J. F. *Environmental Science & Technology* **1994**, *28*, 38-46.
44. Yang, K.; Lin, D.; Xing, B. *Langmuir* **2009**, *25*, 3571-3576.
45. Yigit, Z.; Inan, H. *Water Air Soil Pollut: Focus* **2009**, *9*, 237-243.
46. Nkambule, T. I.; Kuvarega, A. T.; Krause, R. W. M.; Haarhoff, J.; Mamba, B. B. *Environ Sci Pollut Res* **2012**, *19*, 4120-4132.
47. Park, E.-J.; Yi, J.; Kim, Y.; Choi, K.; Park, K. *Toxicology in Vitro* **2010**, *24*, 872-878.
48. Matranga, V.; Corsi, I. *Marine Environmental Research* **2012**, *76*, 32-40.
49. Eigenheer, R.; Castellanos, E. R.; Nakamoto, M. Y.; Gerner, K. T.; Lampe, A. M.; Wheeler, K. E. *Environmental Science: Nano* **2014**, *1*, 238-247.
50. Cialla, D.; März, A.; Böhme, R.; Theil, F.; Weber, K.; Schmitt, M.; Popp, J. *Anal Bioanal Chem* **2012**, *403*, 27-54.

51. Pease, L. F.; Tsai, D.-H.; Zangmeister, R. A.; Zachariah, M. R.; Tarlov, M. J. *The Journal of Physical Chemistry C* **2007**, *111*, 17155-17157.
52. Yokel, R.; MacPhail, R. *Journal of Occupational Medicine and Toxicology* **2011**, *6*, 7.
53. Pierre, M. C. S.; Mackie, P. M.; Roca, M.; Haes, A. J. *The Journal of Physical Chemistry C* **2011**, *115*, 18511-18517.
54. Vendrell, M.; Maiti, K. K.; Dhaliwal, K.; Chang, Y.-T. *Trends in Biotechnology* **2013**, *31*, 249-257.
55. Rodriguez-Lorenzo, L.; Fabris, L.; Alvarez-Puebla, R. A. *Analytica Chimica Acta* **2012**, *745*, 10-23.
56. Albanese, A.; Chan, W. C. W. *ACS Nano* **2011**, *5*, 5478-5489.
57. Zhang, W.; Yao, Y.; Sullivan, N.; Chen, Y. *Environmental Science & Technology* **2011**, *45*, 4422-4428.
58. Kim, J. A.; Salvati, A.; Aberg, C.; Dawson, K. A. *Nanoscale* **2014**, *6*, 14180-14184.

Table 1: ATR-FTIR spectra vibrational band assignments for bovine serum albumin (BSA) and citric acid (CA)

Species	Vibrational mode	Wavenumber (cm ⁻¹)
BSA – amide I	$\nu_s(\text{C=O})^{\text{major}} + \nu_s(\text{C-N})^{\text{minor}}$	1600 – 1700
BSA – amide II	$\nu_s(\text{C-N}) + \delta(\text{N-H})^{\text{out of phase}}$	1510 – 1580
BSA – amide III	$\nu_s(\text{C-N}) + \delta(\text{N-H})^{\text{in phase}}$	1200 - 1400
CA	$\nu_{\text{as}}(\text{COO}^-)$	1585
CA	$\nu_s(\text{COO}^-)$	1398
CA	$\delta(\text{CH})$	1453

Table 2: ATR-FTIR spectra vibrational band assignments for Suwannee river humic acid adsorption.

Functional groups	Vibrational mode	Wavenumber (cm ⁻¹)		
		Literature (solution)	This study	
			TiO ₂	α-Fe ₂ O ₃
Ketones, carboxylic acid, saturated ethers	v _s (C=O)	1730	1712, 1764	1712, 1764
Quinones and conjugated ketons	v _s (C=O)	1640	1690	-
Aromatic alkenes	v _s (C=C)	1580 – 1620	1589	1568
Aliphatic carbons	δ(CH ₂),δ(CH ₃)	1378 – 1460	1403	1388
Phenolic alcohols	v _s (Ph-O-H)	1285 – 1270	1272	1280
Carbohydrates and polysaccharide-like substances	v _s (C-O)	950 – 1125	1055	1073, 1110

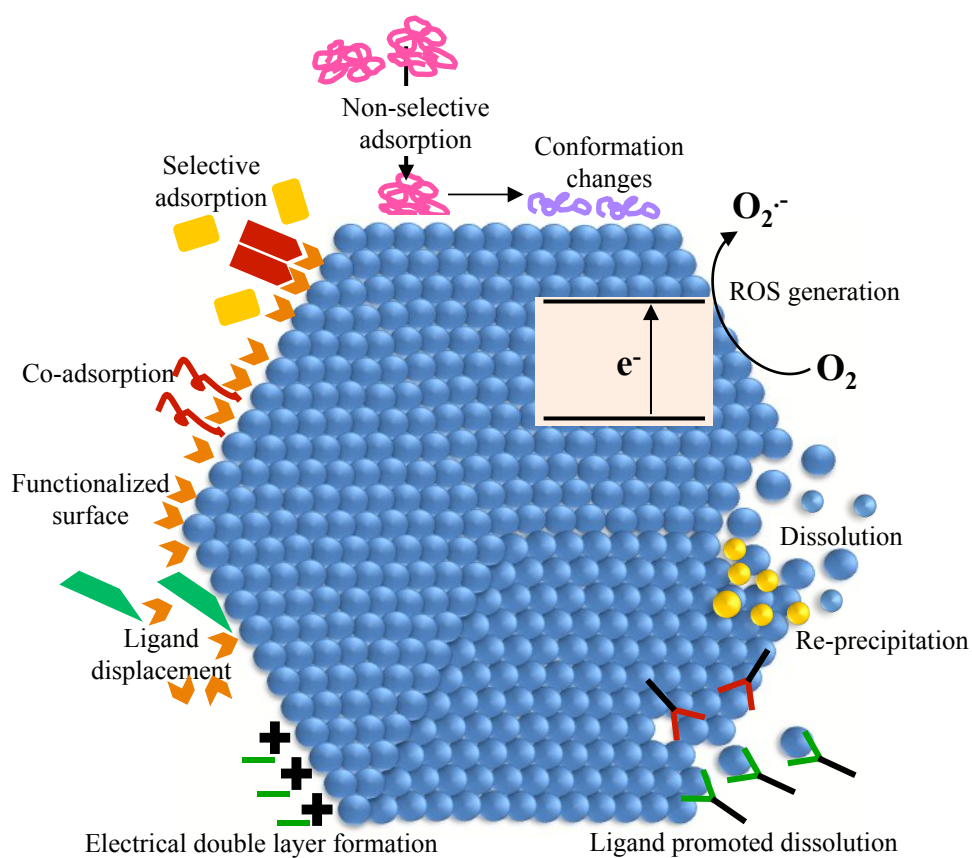


Figure 1: Pictorial diagram showing different physicochemical processes that can occur on nanoparticle surfaces.

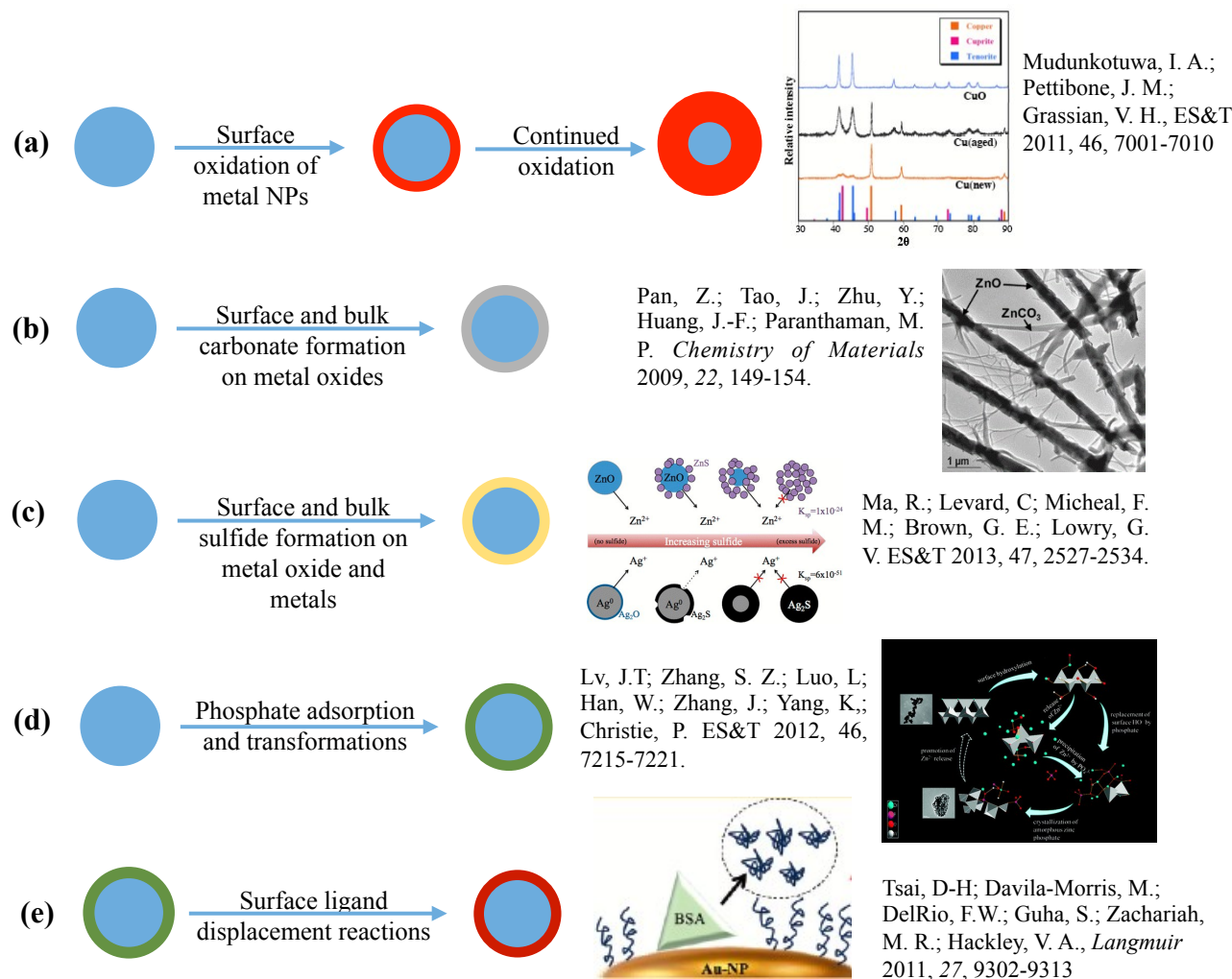


Figure 2: Environmental transformations of metal and meta oxide nanomaterials. Here (a) and (b) are generally observed in atmospheric environments while (c) –(e) are more commonly observed in aqueous environments. See references 14 and 24-28.

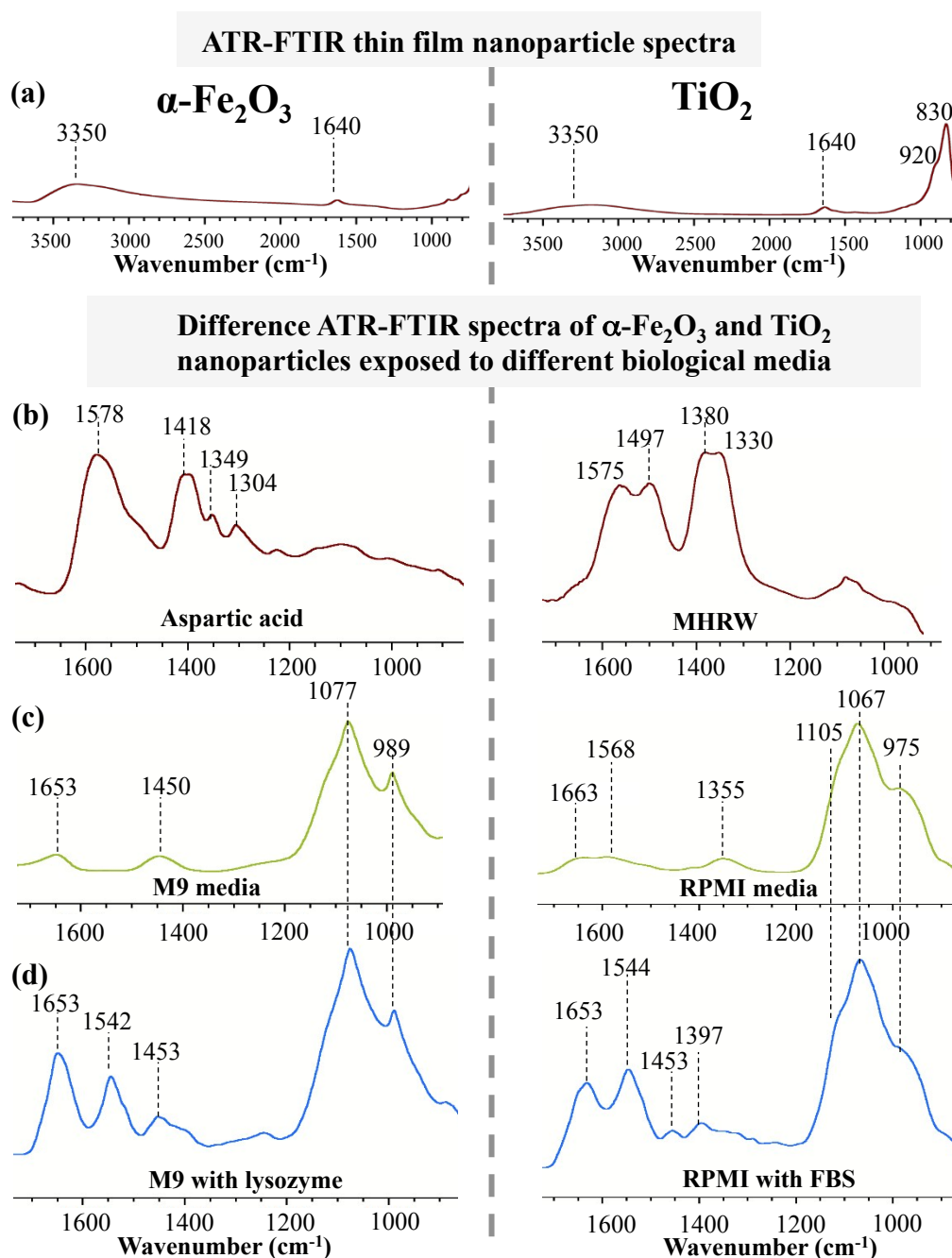


Figure 3: Some specific examples of aqueous phase surface transformations for nanomaterials in different biological media as indicated by ATR-FTIR spectroscopy. (a) The pristine $\alpha\text{-Fe}_2\text{O}_3$ (top left) and TiO_2 (top right) nanoparticles have no surface organics initially. The region between 800-1800 cm^{-1} in these spectra shows only the H_2O bending vibration at 1640 cm^{-1} and lattice vibration at lower wavenumbers. Subsequent difference spectra in the **left panel** are shown for $\alpha\text{-Fe}_2\text{O}_3$ nanoparticles exposed to (b) 1 mM aspartic acid at pH 7.5, (c) M9 media at pH 7.4 and (d) M9 media with 600 $\mu\text{g/mL}$ lysozyme at pH 7.4. In the **right panel**, difference IR spectra corresponds to TiO_2 nanoparticles exposed to solutions of (b) moderately hard reconstituted water-MHRW, (c) RPMI media and (d) RPMI media with 10% w/v fetal bovine serum-FBS.

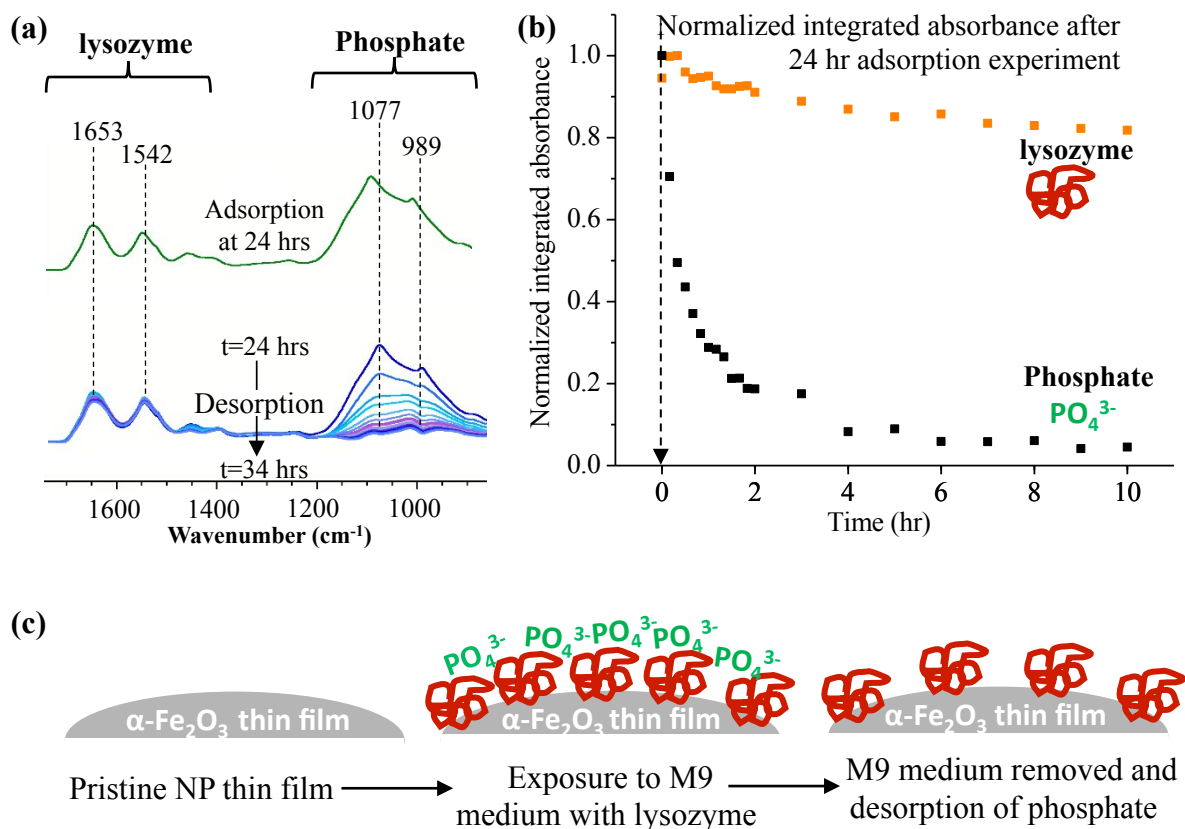


Figure 4: Relative affinity of organic and inorganic components in the biological media. (a) ATR-FTIR spectra of $\alpha\text{-Fe}_2\text{O}_3$ exposed to 600 $\mu\text{g/mL}$ lysozyme containing M9 solution. During the adsorption experiment the peak intensity of both lysozyme and phosphates increased with time and reached a maximum (green). In the desorption experiments (blue), only the phosphate peak intensity decreased to zero. (b) The normalized integrated absorbance for lysozyme (1350-1730 cm^{-1}) and phosphate (845-1215 cm^{-1}) during desorption experiment with water (pH 7) plotted as a function of time. These data show irreversible and reversible binding of lysozyme and phosphates respectively, on $\alpha\text{-Fe}_2\text{O}_3$ nanoparticles. (c) Cartoon representation of the adsorption and desorption processing occurring on the nanoparticle thin film surface.

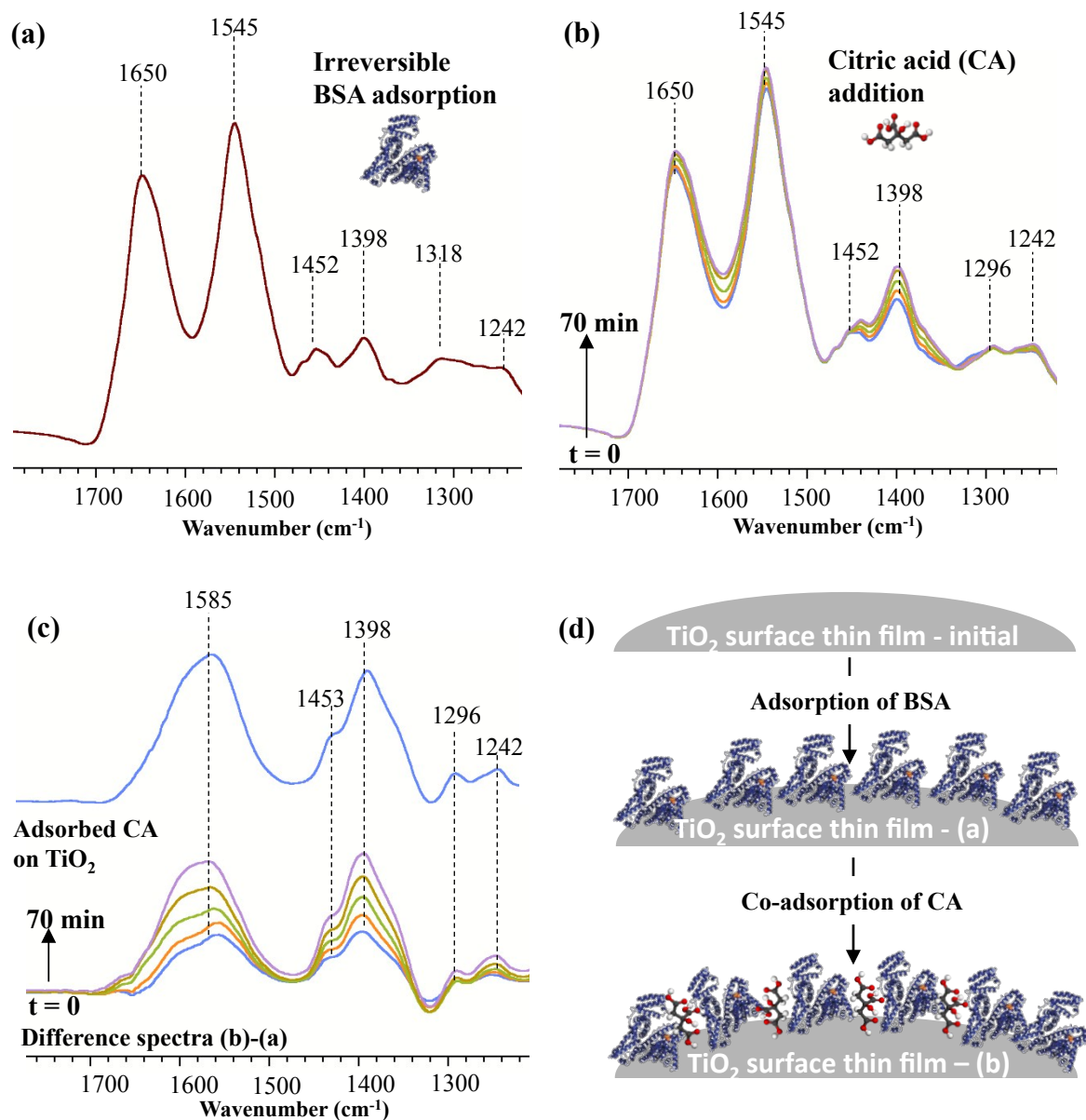


Figure 5: Co-adsorption of bovine serum albumin (BSA) and citric acid on the 5 nm TiO_2 nanoparticle thin surface. (a) The BSA (1mg/mL) adsorbed TiO_2 NP surface was flushed with water for 1 hour but no reduction in the signal intensity was observed indicating irreversible adsorption. (b) When BSA coated TiO_2 NP surface was then exposed to 1 mM citric acid, there was some increase in the peak intensities as a function of time. (c) Spectral subtraction, i.e. spectra shown in (b) – spectrum (a), gives the difference spectra which shows new absorption bands corresponding to adsorbed citric acid. Although the exact nature of these surface interactions are not well understood, the absence of any negative features in the spectrum indicates that BSA is not being displaced by citric acid but instead citric acid co-adsorbs on the surface with BSA. (d) A cartoon representation of the stepwise adsorption processes given by the ATR-FTIR spectra in (a) and (b) leading to the coadsorption of BSA and CA.

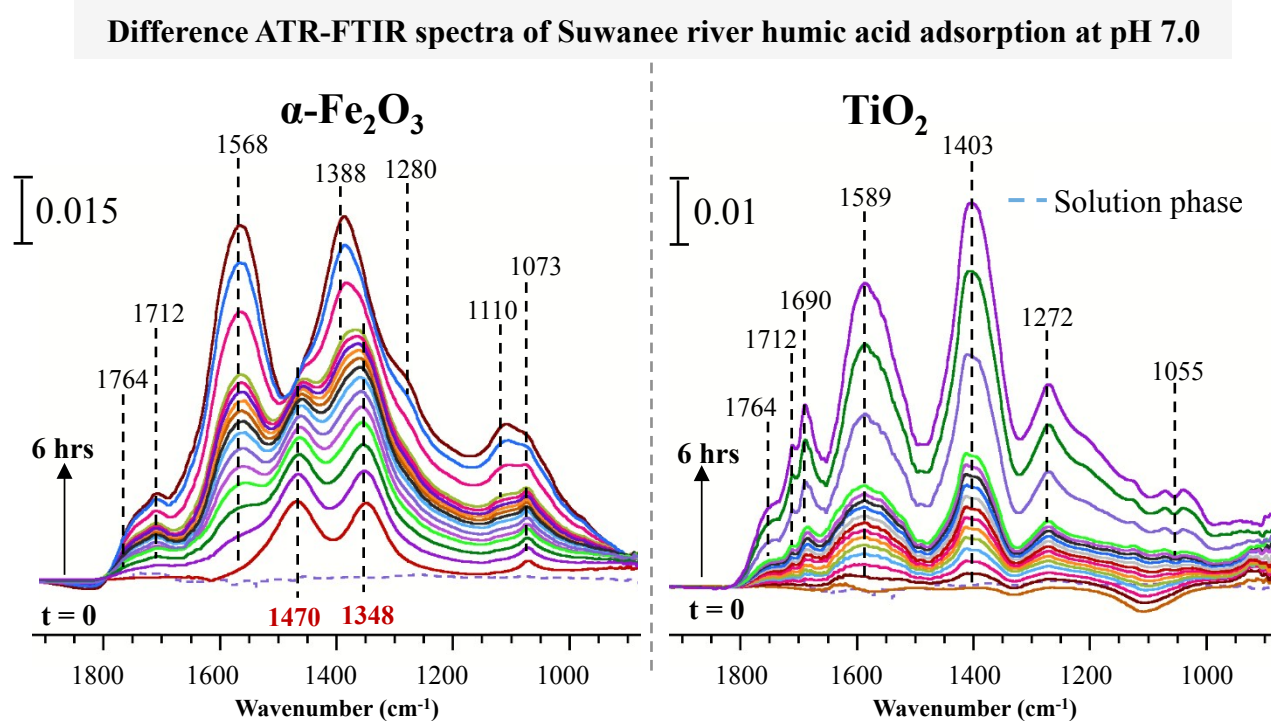


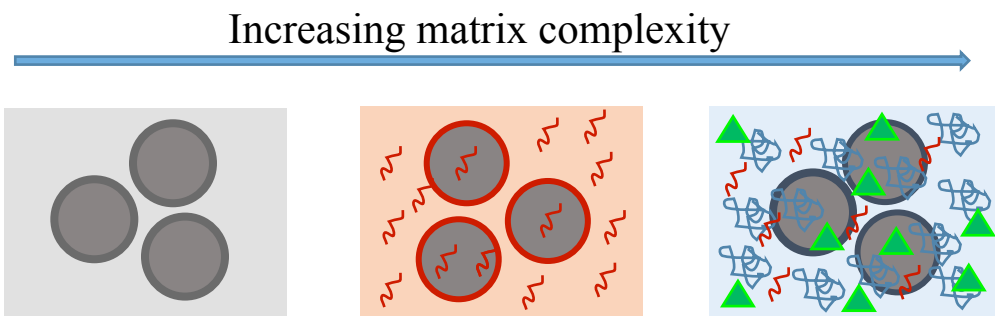
Figure 6: Adsorption of Suwanee river humic acid (20 $\mu\text{g/mL}$) on 2 nm $\alpha\text{-Fe}_2\text{O}_3$ (left) and 5 nm TiO_2 (right) nanoparticle surfaces showing the evolution of the spectra as a function of time. The dotted lines correspond to the solution phase humic acid (20 $\mu\text{g/mL}$) spectra and show no contributions to the adsorbed phase spectra. There are clear differences in the adsorbed humic acid spectra on the two nanoparticle surfaces indicating possibly different surface structures and interactions. The adsorption bands at 1470 and 1348 cm^{-1} are unique to $\alpha\text{-Fe}_2\text{O}_3$ and correspond to strongly adsorbed functional groups with the iron oxide nanoparticle surface.



Vicki H. Grassian is currently the F. Wendell Miller Professor of Chemistry at the University of Iowa. Her research interests are in the areas of environmental molecular surface science, heterogeneous atmospheric chemistry, climate impact of atmospheric aerosols, and environmental and health aspects of nanoscience and nanotechnology. She is a Fellow of several societies including the American Association for the Advancement of Science, Royal Society of Chemistry and American Chemical Society. In 2013 Professor Grassian was named the inaugural Editor-in-Chief of the journal *Environmental Science: Nano*. In 2014, she was awarded the Royal Society of Chemistry John Jeyes Award for her pioneering contributions to the chemistry of environmental interfaces, heterogeneous atmospheric chemistry and the environmental implications of nanomaterials.



Imali Ama Mudunkotuwa received a BS degree in Chemistry in Chemistry from the University of Colombo (Sri Lanka) in 2007. She then went to graduate school in Chemistry at the University of Iowa where she received her Ph.D. in 2013. As a graduate student, she received the Lynn Anderson Award for Research Excellence. She is currently a postdoctoral research scholar in the Department of Chemistry at the University of Iowa. Her research interests are focused on understanding molecular interactions at the solid-liquid interface of nanomaterials and developing analytical techniques for rapid assessment of occupational exposure to industrially relevant nanoparticles.



Evolution of nanoparticle surface composition in increasingly complex biological and environmental matrices