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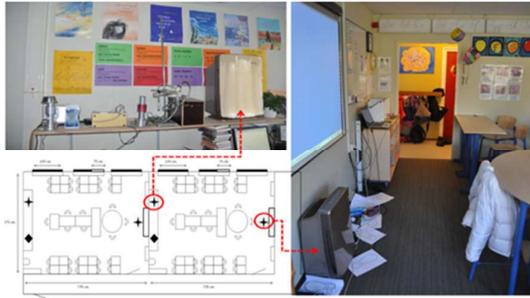
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Table of content entry

Sentence highlighting the novelty of the work:

In situ testing in a primary school classroom showed that combining air filtration with a carpet reduced particulate matter concentrations.

Color graphic (8 x 4 cm):



Environmental impact statement

Public schools often rely only on natural ventilation. If the air exchange rate is low, air pollutants from indoor sources will accumulate. Outdoor air pollutants may infiltrate and also contribute to poor indoor air quality. This may cause respiratory problems in children. In these schools compact air filtration units may capture both airborne particles and gas phase pollutants. If combined with a dust reducing carpet resuspension of large particles may also be reduced. We used a cross-over design to study the effect of combining these technologies. During teaching hours concentrations of airborne particles were reduced 27-43% during. In an unoccupied setting during the weekend this reduction was 51-87%. An influence on gas phase air pollutants could not be demonstrated.

1 **INFLUENCE OF COMBINED DUST REDUCING CARPET AND COMPACT AIR**
2 **FILTRATION UNIT ON THE INDOOR AIR QUALITY OF A CLASSROOM**

3
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14
15 Running head: influence of carpet and air filter on classroom air quality

16
17 **ABSTRACT**

18 Primary schools mostly rely on natural ventilation but also have an interest in affordable
19 technology to improve indoor air quality (IAQ). Laboratory tests show promising results for
20 dust reducing carpets and compact air filtration systems but there is no information available
21 on the performance of these interventions in actual operating classrooms. An exploratory
22 study was performed to evaluate a combination of the two systems in a primary school.
23 Measurements of PM-10 and PM-2.5 were performed by filter sampling and aerosol
24 spectrometry. Other IAQ parameters included black smoke (BS), volatile organic compounds
25 (VOC), nitrogen dioxide (NO₂) and formaldehyde. Both interventions were introduced in one
26 classroom during one week, using another classroom as a reference. In a second week the

27 interventions were moved to the other classroom, using the first as a reference (cross-over
28 design). In three remaining weeks the classrooms were compared without interventions.
29 Indoor IAQ parameters were compared to the corresponding outdoor parameters using the
30 indoor/outdoor (I/O) ratio. When the classrooms were occupied (teaching hours) interventions
31 resulted in 27-43% reductions of PM-10, PM-2.5 and BS values. During the weekends the
32 systems reduced these levels by 51-87 %. Evaluations using the change in I/O ratios gave
33 comparable results. Levels of VOC, NO₂ and formaldehyde were rather low and a
34 contribution of the interventions to the improvement of these gas phase IAQ parameters was
35 inconclusive.

36

37 Key words: black smoke, compact filtration unit, formaldehyde, particulate matter, nitrogen
38 dioxide, school, technology intervention, volatile organic compounds

39

40 INTRODUCTION

41 Population-based studies have shown that urban air pollution has an impact on morbidity and
42 mortality. Specifically children suffer from more respiratory complaints and lung function
43 decrements.¹⁻⁸ There is some discussion about the relative contribution of outdoor air and
44 indoor air pollution to these health effects.⁹⁻¹¹ The concentration of air contaminants may be
45 higher outdoors than in a classroom.¹²⁻¹⁴ A commonly used assumption is that components of
46 outdoor air penetrate into buildings and have an impact on indoor air quality (IAQ).^{10,15}
47 Children spend 5-6 hours per school day in a school indoor environment, and consequently
48 the impact of air pollution on children's health can be significant given the relative high levels
49 of some health relevant IAQ parameters.¹⁶⁻¹⁸
50 Relatively few school buildings are equipped with a HVAC system or similar air treatment
51 system with a high quality filter to remove outdoor air pollutants before supplying the air to

52 the indoor environment. In the Netherlands such systems are present in less than 40 % of the
53 primary schools.¹⁸

54 Retrofitted HVAC installations or standalone air cleaning filters have shown to reduce PM-
55 related indicators of IAQ of schools near high traffic roads.^{13,19} However, most schools do not
56 have the means to install this sophisticated but expensive technology. Therefore, we assessed
57 technologies with a high benefit-cost ratio that can be used temporarily; in anticipation of a
58 structural improvement of an existing building or in anticipation of the school moving to a
59 new building with a state-of-the-art HVAC system. The technologies described in this paper
60 have been previously tested in a laboratory setting with promising results for homes.²⁰⁻²¹ This
61 paper describes an exploratory study to evaluate the impact of a combination of two
62 affordable technical systems on some relevant IAQ indicators and discusses whether it is
63 useful to set up a large scale study in primary schools.

64

65 **MATERIALS AND METHODS**

66 **Selection of the interventions**

67 Suppliers of clean air technologies in The Netherlands were pre-selected, based on
68 information available on the Internet. Only technologies applicable in large rooms such as
69 classrooms were considered and suppliers were invited to provide technical documentation.
70 Six suppliers were selected to demonstrate their products and provide references of schools
71 already using these systems. Suppliers of affordable solutions (in the range of € 500-1000 per
72 classroom per year, based on depreciation of the initial investment in 4 years, including
73 maintenance) were shortlisted. From all provided affordable technical solutions, a dust
74 reducing carpet and a compact air filtration unit were selected by IAQ experts of the Public
75 Health Service Gelderland-Midden, Province of Gelderland and Radboudumc. The dust
76 reducing carpet was the Airmaster[®] produced by Desso in Waalwijk, The Netherlands. The

77 selected air filtration unit was a Philips AC4091 produced by Philips, Drachten, The
78 Netherlands. Tables S1 and S2 in the Supporting Information provide technical descriptions
79 of these interventions and also provide information on the available results of laboratory tests.
80 We expected that combining the dust reducing carpet with air filtration would lead to a better
81 result than either of the two interventions alone. It was hypothesized that coarse particles
82 would be less resuspended from the carpet and that the fraction of particles too small to be
83 deposited by gravity would be effectively removed by air filtration. In this way the two
84 technologies together would be effective over a much wider range of particle-size than
85 expected for each of the technologies, separately.

86

87 **Selection of the school**

88 In the pre-selection of the schools, CO₂ was used as criterion to evaluate ventilation. Schools
89 in the province of Gelderland with indoor air concentrations during teaching hours in excess
90 of 1 400 ppm in one or more of the classrooms were pre-selected. This represents the 98th
91 percentile of schools with insufficient ventilation. Fourteen schools in the province of
92 Gelderland with poor natural ventilation performance were selected and these schools
93 received a letter with information about the study and an announcement of a short interview
94 by telephone to find out about the interest of the school to participate. Based on this interview
95 three schools were short-listed and visited by the research team. Finally, a primary school in
96 Arnhem was selected for this study. The authors submitted a short request to the ethics
97 committee to find out if medical ethics approval should be considered. The committee advised
98 not to submit a formal request because no personal information is collected and because of the
99 very limited role of the children and teachers in the study.

100

101

102 **Study design**

103 The study was planned in a cross-over design over a period of five weeks (Figure 1). During
104 the first, third and fifth week, two classrooms were compared in the baseline setting (without
105 interventions). Air sampling was performed in unoccupied classrooms during four periods of
106 two days (weekends) and in occupied classrooms during periods of five days (teaching hours).
107 In the second week the combined interventions of dust reducing carpet and air filtration was
108 introduced in classroom A on Friday afternoon for a period of 7 days. In the fourth week the
109 combined interventions were introduced in classroom B on the same day and for a similar
110 period of time. The other classroom was used as a reference. All indoor and outdoor air
111 sample collection was synchronized for comparison.

112

113 **School**

114 The school was a semi-permanent one-storey building, consisting of wooden floors, walls and
115 a flat wooden roof (Figures S1-S2). This building was a satellite to a double storeyed
116 permanent main (stone) building located in a quiet residential setting with no large connecting
117 roads within 300 m from the school. The semi-permanent building provides space to four
118 classrooms that can be reached from the main entrance via a corridor along the longest side of
119 the building (Figures S1-S2). In addition to the classrooms, there is a toilet and a storage
120 room. The two classrooms that were selected for this study were adjacent and were used by
121 two groups of 10-12 year old children, grades 7 and 8. During the teaching hours, each of the
122 two classrooms (floor surface 735 x 575 cm and height 260 cm) was occupied by 27 children
123 and a teacher. This corresponds to an average available surface of 1.5 m² per person. In each
124 classroom there were three windows with ventilation grids for natural ventilation. Only the
125 middle window (127 x 55 cm) could be opened (see Figure S2). Convective heaters were

126 present on one side of each classroom below the window. The two classrooms were identical
127 in geometry and also in lay-out (see Figure 2).
128 Because of the high occupancy, the ventilation grids and the window were continuously left
129 open (day and night) and also during the weekend when the roller shutters were closed. In
130 addition, the door to the corridor was open most of the time. The exchange rate was well
131 below 1 h^{-1} and could not be exactly quantified because of the low air exchange rate caused
132 by the low outdoor wind speed (see Supporting Material for a description of the weather
133 conditions). The low ventilation rate caused the carbon dioxide concentrations to go up during
134 the lessons to a median of 1 500-2 100 ppm (see Supporting Material Tables S4-S5 for day
135 average carbon dioxide concentrations
136

137 **Interventions**

138 On Friday of study week 1 and 3 at 4:00 pm the interventions were installed in the classroom.
139 The Airmaster[®] carpet tiles were taped on the existing linoleum floor, covering the entire
140 floor surface, using two-sided adhesive tape that would allow removal of the tiles without a
141 trace. During the week (on Tuesday and Thursday) the carpet was vacuumed by the
142 researchers using a vacuum cleaner provided with the carpet, according to instructions given
143 by Desso. Two air filtration units were placed (Figure S2). Both units were fitted with a
144 complete set of new filters. The first air filtration unit was placed on the floor in front of the
145 classroom and a second unit was placed on a shelf in the back of the classroom at 150 cm
146 from the floor. For this study the units were operating continuously (day and night) at a
147 capacity of $270 \text{ m}^3/\text{h}$ (setting '4', see Table S2). This setting was chosen in agreement with
148 the teachers to keep noise levels under the general background noise level of 30-35 dB(A) in
149 an unoccupied classroom.

150

151 IAQ parameters

152 Air temperature, relative humidity and levels of CO₂ were continuously monitored using Atal
153 air quality monitoring equipment (type EX-EA80, Purmerend, The Netherlands).
154 Concentrations of PM-10 and PM-2.5 were determined by drawing air through a Harvard
155 impactor at a flow of 10 L/min, using an air suction pump (Air Diagnostics and Engineering,
156 Naples, ME, USA).²² PM was collected on PTFE-coated membrane filters (Gelman Science,
157 Ann Arbor, MI, USA). The filter loads were determined gravimetrically and will be presented
158 as PM-10 (grav) and PM-2.5 (grav). PM concentrations were also continuously measured
159 using a type 1.109 aerosol spectrometer (Grimm Aerosoltechnik, Ainring, Germany). Three
160 spectrometrically measured fractions were aggregated and will be reported as: PM-10 (spec),
161 PM-2.5 (spec) and PM-1.0 (spec). Measurements of VOC were performed using an adsorbent
162 tube with activated charcoal (SKC, Eighty Four, PA, USA), according to NIOSH method
163 1500. Formaldehyde was collected on adsorbent tubes loaded with 2,4-
164 dinitrophenylhydrazine (DNPH)-impregnated silicagel (SKC, Eighty Four, PA, USA)
165 according to NIOSH method 5700. For both VOC and aldehyde measurements, air sampling
166 pumps (Buck VSSTM-5, Orlando, Florida, USA) were operated at a flow rate of 200 mL/min.
167 NO₂ was collected using Palmes diffusive samplers.²³

168

169 Outdoor air quality parameters

170 For temperature, relative humidity, VOC, NO₂ and formaldehyde, the same methods were
171 used as indoors. For outdoor measurement of air concentrations of PM-10 and PM-2.5 beta
172 attenuation monitoring (BAM) was used (BAM-2010 air sampler with a BX-802 PM-10 head
173 and a BX-808 PM-2.5 cyclone supplied by Met One Instruments, Grants Pass, OR, USA). As
174 this equipment arrived two days later these measurements were started with a delay on
175 Wednesday, November 3rd.

176

177 **Gravimetical and chemical analysis.**

178 PM-10 and PM-2.5 filter loads were determined gravimetrically, following 24 h of adjustment
179 to the conditions of the weighing room at the Institute of Risk Assessment Sciences of the
180 University of Utrecht, The Netherlands.²⁴⁻²⁵ Blank filters were used to adjust for modifying
181 factors in the weighing process. Black smoke (BS) was determined for PM-10 and PM-2.5
182 and is reported as BS (PM-10) and BS (PM-2.5). The BS values were determined by light
183 absorption of the surface of the loaded membrane filters using an EEL Reflectometer model
184 43 (Diffusion Systems, London, United Kingdom) as described by Fischer and co-workers
185 (2000)²⁶ and expressed in 10^{-5} m^{-1} . VOCs were quantified using an in-house developed and
186 validated method. Prior to analysis, activated charcoal tubes were extracted with carbon
187 disulfide. Each extract was analyzed using gas chromatography with flame ionization
188 detection (GC-FID). The used analytical method allowed single-run identification and
189 quantification of over 180 different compounds. Quantification was based on compound-
190 specific relative response factors. The recovery upon carbon disulfide extraction was pre-
191 assayed for each compound. From the results of the VOC-analysis, the sum of all detected and
192 quantified substances was calculated as total VOC (TVOC) in mg/m^3 . Each sample was
193 injected simultaneously on two capillary analytical columns (60 m) with different polarity
194 (SPB-1 and WAX10, Supelco Inc., Bellefonte PA, USA), using split injection. For the
195 analysis of formaldehyde the 2,4-DNPH-formaldehyde complex was analyzed by HPLC with
196 UV-detection. NO_2 was extracted with Saltzmann reagent and analysed by UV-vis
197 spectrometry. A more detailed description of the analysis of VOC, formaldehyde and NO_2 can
198 be found in Scheepers and co-workers (2011).¹⁸

199

200

201 **Calculations and data analysis**

202 All air sampling in the occupied setting was related to the time periods, corresponding to the
203 teaching hours during week days (8:00 am – 3:00 pm). In the unoccupied setting during the
204 weekend days, the same time interval was selected using timers on the pumps of VOC,
205 formaldehyde and PM. From the continuously logged data registered for temperature, relative
206 humidity, CO₂ and for the logged data in the aerosol spectrometer, the same time intervals
207 were selected, using the internal time registration of these instruments. For PM-10 and PM-
208 2.5 filter samples, a time-weighted average was calculated based on the gain in filter weight.
209 PM-concentrations from the aerosol spectrometer data and the percent changes of all relevant
210 air quality indicators were calculated as previously reported:¹⁸

211 Relative changes of all air quality indicators were determined by calculation of the difference
212 in air concentration C_1 in the classroom with no intervention and the concentration C_2 in the
213 classroom with interventions divided by C_1 , and multiplied by 100. When both classrooms
214 were compared without interventions the lower value was subtracted from the higher value:

$$215 \quad \text{Change in IAQ parameter} = \frac{(C_1 - C_2)}{C_1} * 100 \% \quad (1)$$

216 Indoor/outdoor (I/O) ratios were calculated for each IAQ parameter, based on the outdoor and
217 indoor arithmetic means, calculated over time-intervals between 8:00 am and 3:00 pm of
218 weeks or weekends. The I/O ratios for different days were therefore aggregated by calculation
219 of arithmetic means and standard deviations, separately over the weeks and weekends, for
220 both studied conditions (with and without the combined interventions). I/O ratios in the
221 baseline and intervention setting were compared in a two-sided Student's t-test, following
222 log-transformation of the data.

223 From the I/O ratios, the relative change in each of the IAQ parameters was calculated,
224 comparing the condition with and without interventions in both occupied and non-occupied
225 settings, separately, first for classrooms A and B, separately, and also by aggregating the

226 results from both classroom for both conditions studied. The change in I/O ratio for the
227 classroom with no intervention r_1 and for the classroom with intervention r_2 was calculated
228 as:

$$229 \quad \text{Change in I/O ratio} = \frac{(r_1 - r_2)}{r_1} * 100 \% \quad (2)$$

230

231 **Activity patterns and air exchanges**

232 During the entire study a researcher was observing the activity patterns and kept a journal to
233 register the number of occupants and their activities. The teacher was asked not to use open
234 fire (e.g. candles) or organic solvents (e.g. glue) in the classroom during the study period. In
235 all studied settings (unoccupied and occupied, during intervention and non-intervention
236 periods) the level of natural ventilation was kept the same (grids of windows opened, one
237 window opened, door opened). On Wednesday afternoon after the last lessons the air
238 exchange rate was estimated using carbon dioxide as a tracer. The gas was dispersed using a
239 fire extinguisher and homogenized using a ventilator. An initial carbon dioxide level of
240 approximately 10.000 ppm was monitored until it decreased to the background, using an
241 infrared spectrophotometer (Miran 1A, Foxboro Instruments, Plano, TX, USA).. The air
242 exchange rate was calculated assuming an exponential decrease.¹⁸

243

244

245 **RESULTS**

246 The results will be presented expressed as changes in IAQ parameters and also by I/O ratios.
247 Both comparisons from a nonoccupied (weekend) to an occupied setting (teaching hours) as
248 the comparison of no intervention (native) to intervention (introduction of combined carpet
249 and air filtration) will be discussed.

250

251 **Changes in IAQ parameters**

252 The relative changes calculated from the comparison of classroom A and B in the unoccupied
253 and occupied settings (using equation 1) are presented in Figure 3. The unoccupied setting
254 showed that the classrooms are very similar with respect to particle-related IAQ parameters,
255 with relative changes from classroom A to B of -9.6 to 11.6% for all measured PM-related
256 parameters (PM-10 (grav), PM-2.5 (grav), PM-10 (spec), PM-2.5 (spec) and PM-1.0 (spec),
257 as well as BS (PM-10) and BS (PM-2.5). Introduction of the combined interventions in
258 classroom A (second weekend) and classroom B (fourth weekend) showed a consistent
259 influence of the interventions on the PM-related IAQ parameters with an average relative
260 change ranging from -51.0% to -87.1% in the unoccupied setting (Table S6). As shown in
261 Figure 3 the contribution of the interventions to all PM-related IAQ parameters were close to
262 reaching statistical significance at $p < 0.05$. However, the statistical significance for the
263 changes in PM-10 (grav), PM-2.5 (grav) and BS (PM-10) could not be evaluated because only
264 one observation in the baseline setting was available (see Tables S4-S5).

265 As shown in Figure 3 the observations in the presence of the combined interventions showed
266 a pattern that was similar to the baseline, with corresponding effects in the same direction
267 (VOC, formaldehyde) or in the opposite direction for NO₂ (changing from a reduction to an
268 increase). In other words, for VOC, formaldehyde and NO₂ our results indicated that for these
269 IAQ parameters in the nonoccupied setting the classrooms A and B were very different. In our

270 study, the following VOC were detected in both classrooms: acetonitrile, ethanol, n-heptane,
271 limonene, 2-methylbutane, methylcyclohexane, iso-propanol, n-pentane and toluene.

272 In the occupied setting (teaching hours) the relative changes for particulate matter (PM)-
273 related IAQ parameters at baseline showed much more variation (up to 20 % for PM-10
274 (grav)).

275 In the occupied setting the changes were approximately twofold smaller compared to the
276 influence of the interventions in the unoccupied intervention setting, ranging from -27.4% to -
277 42.7%. The performance of the combined interventions resulted in reductions of around 30%
278 for PM-10-related IAQ parameters (27.3%, for PM-10 (grav), 32.9 % for PM-10 (spec) and
279 36.0% for BS (PM-10)) and reductions of around 40 % for PM-2.5-related parameters (40.5%
280 for PM-2.5 (grav), 41.8% for PM-2.5 (spec) and 42.7 % for BS (PM-2.5)) and PM-1.0 (spec)
281 of 40.0%. This suggests that the interventions are somewhat less effective in reducing coarse
282 particles (PM-10) compared to finer fractions (PM-2.5 and PM-1.0). In accordance with this,
283 the PM-10 (grav) and PM-10 (spec) results did not indicate a statistical significant change
284 attributable to the interventions (p-values of 0.637 and 0.144, respectively), whereas all the
285 measured IAQ parameters related to the fine fractions PM-2.5 and PM-1.0 and both BS (PM-
286 10) and BS (PM-2.5) showed an effect that was tested statistically significant at $p < 0.05$
287 (Table S6).

288 The results of VOC, formaldehyde and NO_2 at baseline again suggest that classrooms A and
289 B cannot be compared for these IAQ parameters, also in the occupied setting. Similar to the
290 unoccupied setting there was no net effect that could be attributed to the combined
291 interventions because for these parameters the variation between the classrooms were of a
292 similar magnitude in the baseline setting as during the periods of intervention in both
293 occupied and unoccupied settings.

294

295 **I/O ratios**

296 For weekend days the average I/O ratios in the baseline setting indicated that indoor levels for
297 all studied IAQ parameters in the classrooms were higher indoors than outdoors (I/O ratio >
298 1), except for formaldehyde and for VOC in classroom B (Figure 4a and Table S7). From
299 unoccupied hours to teaching hours, this pattern changed for all PM I/O ratios, shifting values
300 < 1. For VOC (classroom B) formaldehyde and NO₂ no change is observed. In the unoccupied
301 setting, introduction of the combined interventions reduced the I/O ratios for PM-10 and PM-
302 2.5, ranging 0.30-0.48, to average values for both classrooms, ranging 0.05-0.13. These
303 changes were statistically significant at $p < 0.05$ for all PM-related IAQ parameters.

304 In the occupied setting (Table 4b and Table 7) the results of PM-2.5 indicated a shift from an
305 I/O ratio of around 1.00 (1.06-1.17) to values below 0.50 (0.48 for spectrometry and 0.43,
306 based on gravimetry). This relative change corresponds to a statistical significant reduction of
307 the I/O ratio of approximately 60 % ($p < 0.01$). For PM-10 the I/O ratio ranged from 2.91 to
308 4.33 at baseline. The combined interventions had an impact but the I/O ratios for PM-10 still
309 remained well above unity (means of 1.62 for spectrometry and 1.49 for gravimetry).

310 Nevertheless, the relative change in the I/O ratio (calculated using equation 2) for PM-10
311 showed reductions of 53% for the gravimetric measurement and 60% for the spectrometric
312 measurement ($p = 0.015$ for both parameters). For formaldehyde and NO₂ the occupied setting
313 did not indicate a consistent influence of the combined interventions. For VOC a shift to a
314 negative I/O ratio is observed for classroom A but not for classroom B.

315

316

317

318 **DISCUSSION**

319 This study describes the IAQ effects of a combination of two interventions when applied in an
320 occupied primary school classroom., using a crossover design. In addition, measurement were
321 performed in an unoccupied setting over the weekend. The study shows a reduction of 27-43
322 % in particulate air pollution during teaching hours, and a 51-87 % effect during weekends.
323 For gaseous air pollution components no conclusive effect was found. Earlier studies on the
324 influence of technology interventions on IAQ in schools were (only) based on a comparison
325 of I/O-ratios at baseline and intervention settings in the same classroom.^{13,18-19} In this study
326 we evaluated the influence of technology interventions by comparing two different
327 classrooms. The classrooms were first compared in a native situation (baseline). Next, the
328 combined interventions were introduced in one classroom and an identical measurement
329 program was conducted in this classroom and in a second (reference) classroom at the same
330 time. In a second study period, the interventions were moved to the second classroom, using
331 the first classroom as a reference. With this cross-over study design it was possible to
332 standardize for the influence of general indoor and outdoor factors such as the influence of
333 weather conditions, temporal changes in the general outdoor air quality and other (unknown)
334 factors changing over the study period.

335

336 **Indoor and outdoor air quality**

337 Variability in PM-10 values was large due to episodes of elevated air pollution resulting from
338 stagnant weather conditions (Figure S3 and a description of the weather conditions in the
339 Supporting Material). With an average of 44.3 $\mu\text{g}/\text{m}^3$ calculated from all of the day averages,
340 the PM-10 value at the school location exceeded the EU air quality guideline for PM-10 of 40
341 $\mu\text{g}/\text{m}^3$ (year-average). The pattern of PM-10 and PM-2.5 corresponds well to the registration
342 of PM-10 at a nearby regional air monitoring station no. 738 at Wekerom-Riemsterdijk, 30

343 km NW from the study location. However the average PM-10 concentration at this regional
344 study of $36.2 \mu\text{g}/\text{m}^3$ was lower than the average PM-10 and very similar to the PM-2.5
345 concentration measured at the school (study period average of $36.2 \mu\text{g}/\text{m}^3$). That the PM-2.5
346 concentrations were close to air levels of PM-10 during most of the days, indicated that
347 particulate air pollution is driven by fine rather than coarse particles with only a minor
348 contribution from local sources.²⁷ Fine particles can effectively penetrate the indoor
349 environment and likely influenced indoor air levels in this study.¹⁵ BS values derived from
350 PM-10 and PM-2.5 were very similar, presumably because the soot component absorbing the
351 light is primarily present in the submicron particle fraction.²⁵⁻²⁶

352

353 **Interventions**

354 Based on the volume of the classrooms, the capacity of the air filtration units would
355 theoretically be sufficient to treat the air 4.8 times every hour. However, the air filtration unit
356 was designed for a residential setting and not intended for use in classrooms with such high
357 occupancy. The presence of so many persons per m^2 and the low air exchange rate caused
358 extremely high PM-levels, resulting in the pre-filter to become clogged on Thursday of the
359 first intervention period (study week 2). The problem could be solved by cleaning of the pre-
360 filter according to instructions given by the supplier (see Table S2). Visual inspection of the
361 carpet also showed deposition of debris, indicating heavy soiling during the teaching hours.
362 Due to these 'heavy duty' conditions it is not surprising that the overall effectiveness
363 observed in this study of 30-60 % is much lower compared to the results obtained under
364 laboratory conditions (reporting overall effectiveness of 80-85% or higher, see Tables S1 and
365 S2).

366

367

368 **I/O ratios**

369 I/O ratios are useful to take into account the changes in general outdoor air quality. It is
370 difficult to judge to what extent indoor or outdoor pollutants contribute to the overall risk of
371 respiratory health.²⁸ Some studies suggest that health complaints in children aggravate such as
372 in asthma, specifically related to school indoor air quality.²⁹⁻³⁰ Results of Ebel and co-
373 workers (2006) indicate that also cardiovascular health effects are associated with PM-10,
374 PM-10-2.5 and PM-2.5 of outdoor origin and not of indoor origin.¹¹ Koenig and co-workers
375 (2005) observed similar effects of outdoor-generated PM-2.5 vs. indoor generated PM-2.5 on
376 a biomarker of inflammation (exhaled NO) in children with asthma.¹⁰

377 The I/O ratios observed in this study are in line with results reported in previous studies that
378 characterized an unoccupied classroom as a relative clean indoor environment where
379 pollutants are mostly originating from outdoor air by the introduction of untreated (natural)
380 ventilation air.^{10,21,18,31-32}

381 From the unoccupied setting in the weekends to the occupied setting during the teaching
382 hours, the I/O ratios for PM-10 were increased by tenfold (from 0.24-0.42 to 2.9-4.3),
383 suggesting that occupancy is a driving factor of indoor PM-10 levels. Compared to PM-10
384 the increase for PM-2.5 was only 2-3 fold (from 0.36-0.48 to 1.1-1.2) due to higher
385 penetration of PM-2.5 in the unoccupied setting) and a smaller contribution of resuspension in
386 the occupied classroom.

387 In the occupied setting pollutants such as PM-10 are resuspended from floors causing I/O
388 ratios to go up to levels between 1 and 10.^{16,19,21,33-35} Most of the PM-10 originates from
389 indoor sources³⁵ and consists of earth crust materials, detrition of building materials and
390 chalk^{15,31,33}. This coarse particle fraction may also contain bio-allergens such as skin flakes,
391 molds, insect and mite debris or pollen which have an allergenic and pro inflammatory
392 potential and may contain endotoxins.^{9,36-37} A recent study in The Netherlands suggests that

393 levels of allergens from animals are higher in schools than in a home environment.³⁸
394 Removing indoor-generated coarse particles may therefore be in the interest of the occupants.
395 In the occupied setting VOC concentrations varied over two orders of magnitude and were
396 strongly influenced by the contribution of ethanol (total VOC was 0.10-2.1 mg/m³ and total
397 VOC without ethanol ranged from 0.03 to 0.20 mg/m³). The levels in classroom B were
398 higher compared to classroom A but no specific substances were identified to explain these
399 differences. The shift in the I/O ratio from 0.1 in classroom A to 10 in classroom B (Figure
400 4b) is likely due to indoor conditions related to occupancy and activities (e.g. differences in
401 personal care products by occupants and/or differences in cleaning activities). Other studies
402 reported increased I/O ratios from an unoccupied setting (weekend) to an occupied setting
403 (teaching period).^{19,35,39-40} Of the observed VOC ethanol is likely to be primarily of
404 endogenous origin since it was high in all week samples and much lower in weekends. The
405 detection of ethanol, isopropanol and limonene in two weekends may be related to their use in
406 cleaning.⁴¹⁻⁴² The observation of limonene in all occupied periods may also be explained from
407 the use of personal care products.⁴¹ Only few substances were detected simultaneous in
408 indoor and outdoor air (n-pentane, 2-methylbutane and xylene), indicating their possible
409 outdoor origin.

410

411 **Effect of combined interventions**

412 The influence of the combined technology interventions on IAQ parameters was calculated in
413 two ways: by comparison of the levels of IAQ parameters from the two classrooms in a cross-
414 over design and by comparing I/O ratios over the study periods with and without the
415 interventions installed. This cross-over strategy has the advantage that the measurement of the
416 general outdoor quality is not required for the calculation of the relative changes, assuming
417 that the influence of this factor will be the same for each of the two classrooms (IAQ

418 parameters were measured simultaneously). To avoid a systemic contribution of the room and
419 its occupants, the classrooms were compared in a cross-over design (interventions were
420 moved from classroom A to classroom B). An intrinsic weakness of the cross-over approach
421 is that two different classrooms with different groups of occupants are compared. In this study
422 the classrooms could be well compared because they were exact copies in terms of building
423 materials used, furnishing and lay-out (Figure 1). They also had the same geographical
424 orientation and similar ventilation practice and a similar number of occupants. Nevertheless,
425 variability can still occur due to differences in the occupants of the classroom and their
426 behaviour and activity patterns. In our study we concluded that the two classroom settings
427 were sufficiently similar in PM-related IAQ parameter levels and their I/O for the purpose of
428 studying the contribution of technology interventions.

429 The second way of assessing the influence of the interventions was by the more commonly
430 used calculation of the I/O ratios. This adjustment is important if results of measurements,
431 taken on different days, are compared because the general outdoor conditions continuously
432 change IAQ parameters. The advantage of this approach is that the I/O ratios themselves are
433 informative. They can be compared to reported ratios in previous studies (see previous
434 section). A limitation of using I/O ratios is that additional outdoor measurements, preferably
435 at the study location, are required.

436 Despite these differences, the results of both approaches lead to similar conclusions for the
437 research question studied. In the occupied setting the reduction in PM-10 was around 30%,
438 whereas the change in the indicators of finer particles (PM-2.5, BS of PM-10, BS of PM-2.5
439 and PM-1.0) were close to 40 %. It is suggested that the coarse particles, if resuspended, go
440 up and down and are not taken up by the airflow before being deposited again due to gravity.
441 The finer fraction is more likely to remain airborne sufficiently long to be taken to the
442 filtration unit and be removed from the air.¹⁸

443 For the gas-phase air pollutants an influence of the combined interventions could not be
444 evaluated, mainly because of a too high variability in both indoor and outdoor air levels,
445 combined with a too low number of observations. Such high variability in VOC was also
446 observed in other recent work.¹⁹ The I/O ratios for formaldehyde remained above unity, also
447 during the weekends, confirming that this substance is primarily generated from indoor
448 sources such as building materials and furniture.⁴³⁻⁴⁴

449

450 **Method evaluation for follow-up studies**

451 The strengths of this study were an extensive air sampling effort to characterize climate,
452 ventilation and health-relevant IAQ parameters in time-synchronized campaigns in two
453 different classrooms to find out if they can be compared. Most parameters were also
454 determined outdoor at the study location, using the same or a very similar type of air
455 monitoring equipment. The study design allowed evaluation of the combined interventions by
456 comparison of the classrooms for their IAQ with and without the interventions and also by
457 comparing the I/O ratios for the IAQ parameters of both classrooms. A further useful addition
458 was the measurement of the IAQ parameters during the teaching hours (occupied setting) as
459 well as the same clock-times on weekend days (non-occupied setting). This demonstrated the
460 major impact of the users and their activities on the different IAQ parameters combined with
461 the performance of the two technology interventions.

462 This study also has some limitations. It was only carried out during the cold season. Although
463 the school personnel assured the researchers that the ventilation regime during the cold season
464 was the same as during the summer, there may be many other seasonal factors such as the
465 temperature and humidity conditions and the composition of outdoor air pollution. In this
466 study we have not evaluated the performance of the technological interventions over a
467 sufficient long period to be able to assess a possible decrease of the performance over time.

468 Earlier studies of the use of carpets in homes and offices showed that maintenance such as
469 cleaning activities may have an influence on exposure of asthmatic patients to bioallergens
470 and subsequent respiratory complaints.⁴⁵⁻⁴⁶

471 Another limitation of this study is that the results might be specific to the primary school
472 selected for this study (building type, geographical and meteorological setting) and it is not
473 certain if results obtained in this study can be applied to other schools in this or other climate
474 zones. This exploratory study should therefore be followed-up by a study in cross section
475 reflecting different types of school buildings.

476 This study did also not include any health-related endpoints. Nevertheless, the coherent
477 influence on the studied PM-related air pollution parameters suggests that there might be a
478 benefit for health, in particular for respiratory disease in children.⁸ This should be confirmed
479 in follow-up studies, involving a considerable number of schools that also take into account a
480 wide range in building types and seasonal characteristics.

481 An obvious limitation of this study is that the influence of each of the interventions was not
482 studied separately, but with the results presented in this paper it appears worthwhile to further
483 study the impact of the dust reducing carpet and air filtration units, separately.

484

485

486 CONCLUSION

487 The combined use of an especially designed low dust carpet combined with two compact air
488 filtration units has an impact on PM-related IAQ parameters that are considered to be relevant
489 for the health of children. During teaching hours the observed reductions are reduced by 50
490 %, due to increased PM concentrations and changed air flow patterns as a result of occupancy.
491 In future studies one method (either gravimetric or spectrometric determination) could be
492 used to study the change in PM concentrations. The influence on gas-phase parameters could
493 not be assessed due to a too large variability, which makes it difficult to compare the two
494 classrooms and their respective I/O ratios. Due to these obstacles the outcome of this study
495 regarding the influence of the combined interventions on VOC, formaldehyde and NO₂ is
496 considered inconclusive.

497 This study also showed that relative changes in a cross-over design can be used to study
498 effects of interventions on IAQ. This does not require simultaneous characterization of
499 outdoor quality. If it is not possible to find classrooms that can be compared in a cross-over
500 design changes in I/O ratios may also be used to study interventions. In this study the results
501 observed in the cross-over design were similar to the results of I/O ratios.

502

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509

510

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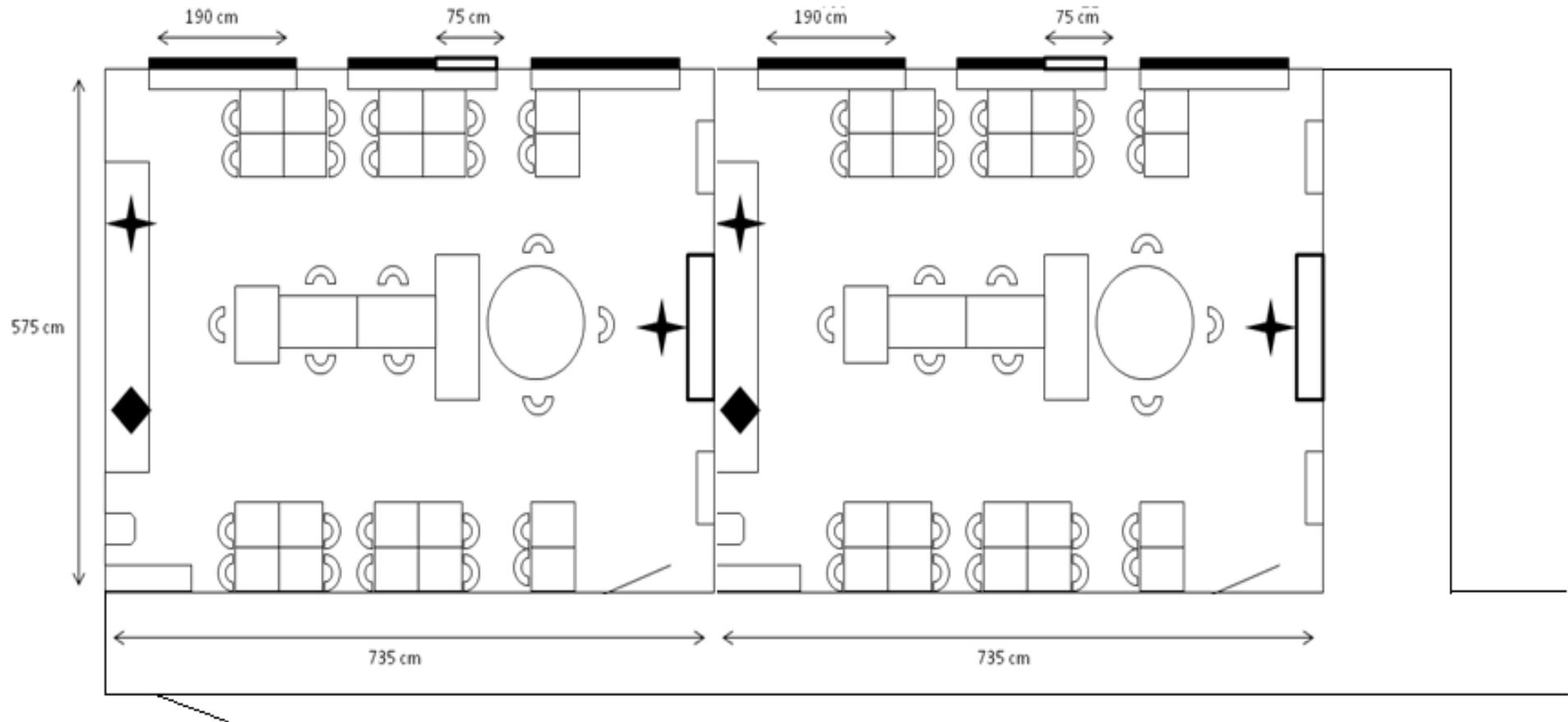
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Week number	44		45		46		47		48
Research week	Week-1	Weekend-2	Week-2	Weekend-3	Week-3	Weekend-4	Week-4	Weekend-5	Week-5
Dates	1-4	5-6	7-11	12-13	14-18	19-20	21-25	26-27	28-2 dec
Classroom A		COMBINED INTERVENTION							
Classroom B						COMBINED INTERVENTION			

1

2 Figure 1: Schematic overview of the study period with the combined interventions crossing over from classroom A (2nd week) to classroom B (4th
 3 week).



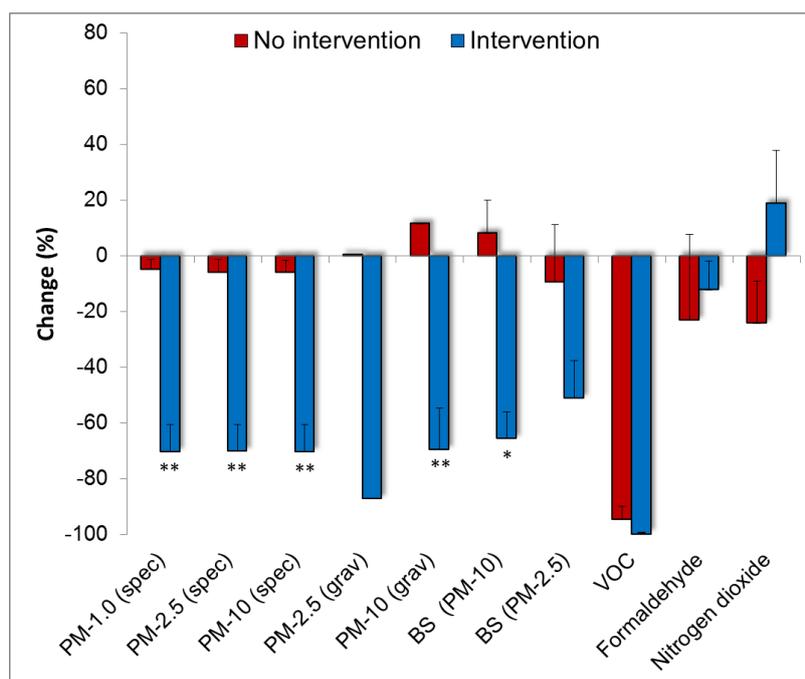
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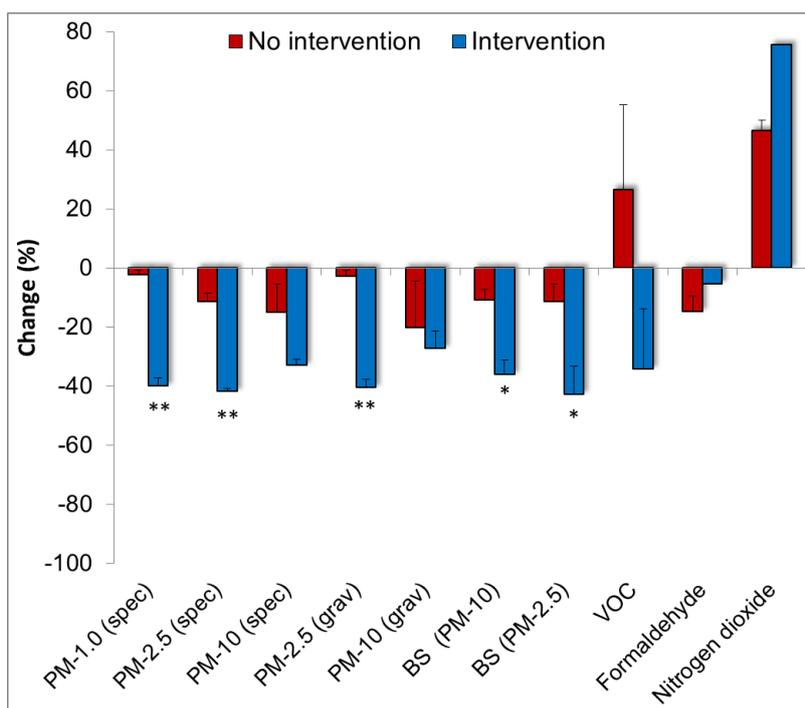
Figure 2: Lay-out of the two classrooms that were compared in a cross-over design. ◆ Air sampling equipment; ✦ Compact air filtration

3

system; ■ Closed window with ventilation grid; □ Opened window.

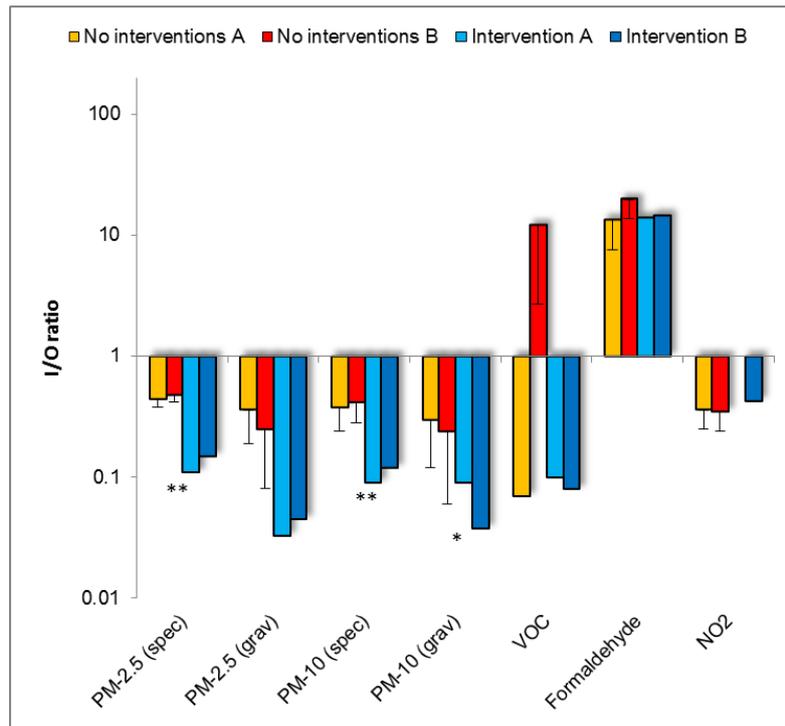


(a)

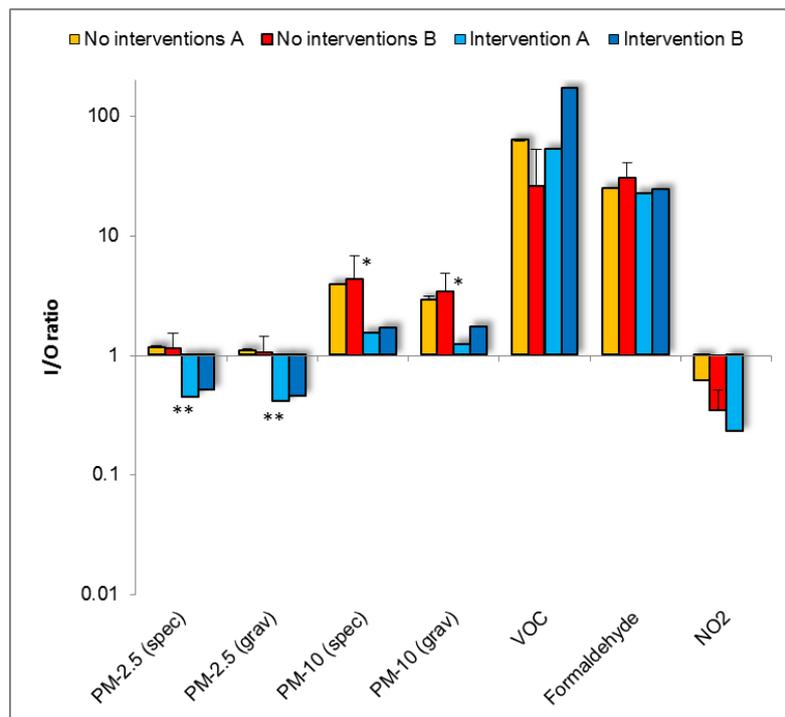


(b)

Figure 3: Change ($AM \pm SD$) in IAQ parameters, based on the comparison of a classroom with and without the combined interventions in an unoccupied setting [weekend, (a)] and in an occupied setting [teaching hours, (b)]; * $p < 0.05$; ** $p < 0.01$. See the methods section for more information on the calculation of ‘change’ (equation 1).



(a)



(b)

Figure 4: Indoor/outdoor (I/O) ratios during weekend (a) and week (b) for combined intervention (single observations for classroom A and B, separately) and at baseline (AM \pm SD of observations in three different periods). * $p < 0.05$; ** $p < 0.005$.