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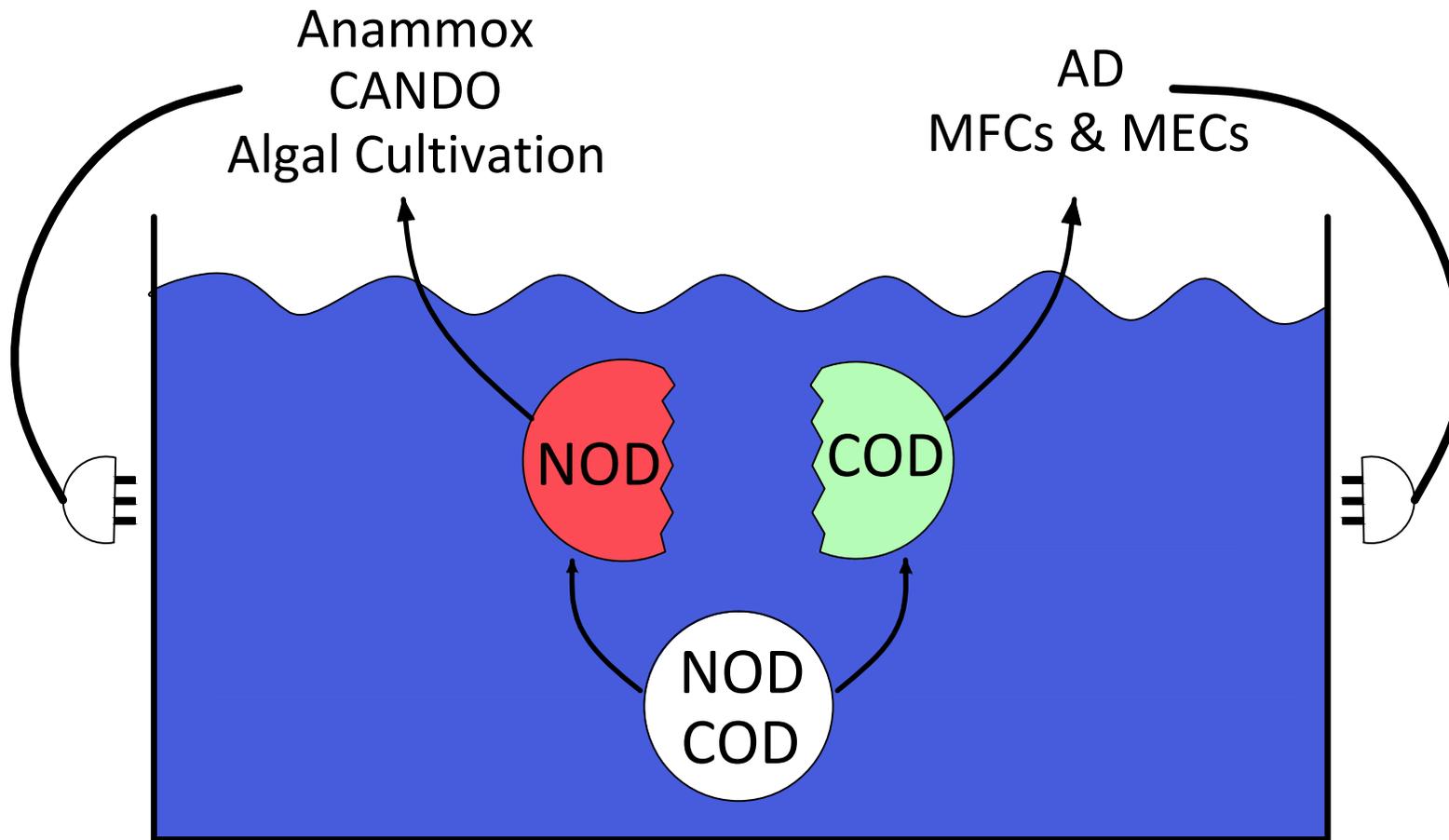


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An optimal way to maximize energy recovery from wastewater treatment is to separate carbon and nutrient (particular N) removal processes.

**Environmental Impact Statement:**

Municipal wastewater treatment accounts for approximately ~3% of the electricity load in the US. Much of this electricity is used for aeration in bioprocesses that remove deleterious organic matter and reactive nitrogen. However, organic matter and reactive nitrogen have substantial embedded chemical energy. Water utilities, engineering firms, and researchers are now transitioning to a paradigm of viewing wastewater as valuable feedstock for resource and energy recovery. Here, we critically review five emerging bioprocesses at the leading edge of a movement towards energy neutral or even energy positive wastewater treatment. We emphasize the importance of separating nitrogen and organic waste streams to maximize energy capture, and we focus specifically on innovative routes for low energy or energy yielding nitrogen management strategies.

1 Towards Energy Neutral Wastewater Treatment: Methodology and State of the Art

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# 1 **Towards Energy Neutral Wastewater Treatment: Methodology** 2 **and State of the Art**

## 3 **ABSTRACT**

4 Conventional biological wastewater treatment processes are energy-intensive endeavors that yield  
5 little or no recovered resources and often require significant external chemical inputs. However, with  
6 embedded energy in both organic carbon and nutrients (N, P), wastewater has the potential for  
7 substantial energy recovery from a low-value (or no-value) feedstock. A paradigm shift is thus now  
8 underway that is transforming our understanding of necessary energy inputs, and potential energy or  
9 resource outputs, from wastewater treatment, and energy neutral or even energy positive treatment is  
10 increasingly emphasized in practice. As two energy sources in domestic wastewater, we argue that  
11 the most suitable way to maximize energy recovery from wastewater treatment is to separate carbon  
12 and nutrient (particularly N) removal processes. Innovative anaerobic treatment technologies and  
13 bioelectrochemical processes are now being developed as high efficiency methods for energy  
14 recovery from waste COD. Recently, energy savings or even generation from N removal has become  
15 a hotspot of research and development activity, and nitrification-anammox, the newly developed  
16 CANDO process, and microalgae cultivation are considered promising techniques. In this paper, we  
17 critically review these five emerging low energy or energy positive bioprocesses for sustainable  
18 wastewater treatment, with a particular focus on energy optimization in management of nitrogenous  
19 oxygen demand. Taken together, these technologies are now charting a path towards to a new  
20 paradigm of resource and energy recovery from wastewater.

21

## 1 1. INTRODUCTION

2 Wastewater can be thought of as a “misplaced resource” well-suited for recovery of energy, valuable  
3 materials, and clean water. It has been estimated that the total energy content of municipal  
4 wastewater is approximately 23 W/capital contained in organic C, and 6 and 0.8 W/capital embedded  
5 in ammonium-N and phosphate-P<sup>1</sup>, respectively. Although complete capture of this energy potential  
6 may be unrealistic, emerging technologies are now enabling recovery of significant energy resources  
7 from waste. Conventionally, engineers have focused on energy recovery from organic carbon in  
8 wastewater via anaerobic digestion or, more recently, bio-electrochemical systems. Increasingly  
9 stringent nitrogen and phosphorous effluent standards have now put nutrient removal or recovery  
10 during wastewater treatment on level footing in terms of treatment goals with organic carbon  
11 removal for many wastewater treatment plants, and traditional nutrient removal methods require  
12 substantial energy inputs. A suite of anammox-based processes that rely on autotrophic nitrogen  
13 removal have made great progress in nitrogen removal and energy consumption reduction. Recently,  
14 direct energy recovery from waste nitrogen has proven feasible using the CANDO process. And an  
15 innovative method that combines microalgae production and nutrient removal has the potential to  
16 produce clean water and biofuel feedstock simultaneously. Combined with energy generation from  
17 organic carbon, these innovative low energy nitrogen removal methods now enable us to approach  
18 self-sufficient wastewater treatment. In this paper, we review cutting-edge bioprocesses that may  
19 enable energy neutral or even energy positive wastewater treatment processes.

## 20 2. LOW ENERGY OR ENERGY POSITIVE APPROACHES FOR NOD REMOVAL

21 Besides the removal of COD, nutrient removal, especially the removal of nitrogen (N), is also  
22 of increasing concern during the wastewater treatment process. In 2008, the US National Academy  
23 of Engineering included management of the N cycle as one of fourteen grand challenges facing the  
24 engineering community in the 21<sup>st</sup> Century<sup>2</sup>. Indeed, of nine “planetary boundaries” identified by  
25 Rockstrom and colleagues<sup>3</sup> delimiting unacceptable environmental change, human interference with  
26 the N cycle was one of three boundaries to have already been exceeded. It is thus clear that  
27 anthropogenic production of reactive nitrogen has significantly disrupted the natural nitrogen cycle.  
28 This disruption has led to an array of environmental and public health problems, including ammonia  
29 toxicity to aquatic life, oxygen depletion and eutrophication of nutrient-limited water bodies  
30 resulting in vast dead zones in the ocean margins, increasing atmospheric concentrations of the  
31 potent greenhouse gas nitrous oxide, stratospheric ozone depletion, and direct adverse effects to  
32 human health (e.g. methemoglobinemia caused by nitrates)<sup>2</sup>. Nitrification/denitrification, which is  
33 the most common biological nitrogen removal (BNR) method in conventional wastewater treatment  
34 plant (WWTP), is an energy intensive process that couples chemical oxygen demand (COD) and  
35 nitrogenous oxygen demand (NOD) removal. High NOD increases the need for oxygen supply and  
36 aeration, which is the dominant the energy consuming process (~50%) in typical WWTPs with N  
37 removal<sup>4,5</sup>. Therefore, it is unlikely that energy-positive wastewater treatment can be achieved

1 without innovative management of nutrient removal processes.

2 Decoupling COD and NOD removal is a promising strategy to decrease energy demand for  
3 nutrient removal and divert carbon sources to energy production<sup>6</sup>. We summarize three emerging  
4 strategies for NOD management:

- 5 1. **Nutrient recovery or direct reuse:** This is potentially the most sustainable, yet challenging  
6 future strategy for N management. Direct irrigation of crops or landscapes with nutrient-rich  
7 effluent from anaerobic secondary treatment of municipal wastewater may be a particularly  
8 attractive in rural, water-scarce locales<sup>7</sup>, but is challenging in urban environments where  
9 transport distances to agricultural lands are long. Another promising option is source separation  
10 of urine, the dominant reservoir of nutrients in domestic wastewater, and treatment specifically  
11 for N and P recovery. Promising research and implementation efforts in this direction are  
12 reviewed elsewhere<sup>8,9</sup>.
- 13 2. **Low-energy NOD removal:** Innovative N removal bioprocesses that “short-circuit” the  
14 conventional nitrification-denitrification paradigm offer the opportunity to dramatically  
15 decrease aeration and COD requirements for N removal, thereby conserving energy and  
16 offering the opportunity to route additional COD to energy production. Likely the most  
17 promising short-circuit N removal process leverages the combined microbial processes of  
18 nitritation and anammox.
- 19 3. **Energy recovery from NOD:** Energy can be recovered from NOD bound in reactive forms of  
20 nitrogen if the nitrogen can be removed from wastewater and processed to generate heat or  
21 electricity. Ammonia and nitrous oxide are two nitrogen species found in wastewater that meet  
22 these criteria<sup>10</sup>. Promising methodologies in this direction include the CANDO process for  
23 energy recovery from nitrous oxide, and a suite of emerging high-rate algal bioprocesses.

24 In this section, we focus on strategies 2 and 3 by reviewing three promising and rapidly  
25 developing methods for energy reduction, or even recovery from NOD removal:  
26 nitritation-anammox (aerobic ammonium oxidation coupled to anaerobic ammonium oxidation),  
27 CANDO (Coupled Aerobic-anoxic Nitrous Decomposition Operation), and algae biomass cultivation  
28 for biofuels production combined with wastewater treatment.

### 29 **2.1 Nitritation-Anammox Based Processes**

30 First reported in 1995 by Mulder et al.<sup>11</sup>, the application of anaerobic ammonium oxidizing  
31 bacteria (anammox) during BNR is considered a promising way to reduce energy consumption. In  
32 conventional nitrification-denitrification processes, oxygen is consumed by aerobic ammonium  
33 oxidizing bacteria (AerAOB), ammonium-oxidizing archaea (AOA), and nitrite oxidizing bacteria  
34 (NOB), thereby oxidizing all ammonium ( $\text{NH}_4^+$ ) to nitrate ( $\text{NO}_3^-$ ).  $\text{NO}_3^-$  is then reduced to  $\text{N}_2$  by  
35 heterotrophic denitrifiers, with organic carbon as the electron donor. With the suppression of NOB,  
36 nitritation-denitrification (also called the nitrite shunt), a short-cut process compared with  
37 nitrification-denitrification that involves  $\text{NH}_4^+$  oxidation only to nitrite ( $\text{NO}_2^-$ ), is possible. However,  
38 nitritation-denitrification still involves completely aerobic  $\text{NH}_4^+$  oxidation, as well as substantial COD  
39 for  $\text{NO}_2^-$  reduction. In contrast, anammox can directly oxidize  $\text{NH}_4^+$  to nitrogen gas using  $\text{NO}_2^-$  as  
40 the electron acceptor. By combining partial nitritation (oxidation of  $\text{NH}_4^+$  to  $\text{NO}_2^-$  by AerAOB or  
41 AOA) and anammox, a shortcut BNR scheme is possible that reduces requirement for  $\text{O}_2$  by  
42 60%<sup>12,13</sup> (with associated saving in electrical power need for aeration). In addition, organic carbon

1 requirements for heterotrophic denitrification are reduced by ~90%, thereby eliminating the need for  
2 often-costly external organic electron donor supply (such as methanol) or allowing a rerouting of  
3 wastewater COD to anaerobic digestion for methane production<sup>13,14</sup>. Moreover, waste biomass  
4 production decreases substantially due to the lower biomass yield of anammox compared to  
5 heterotrophic denitrification<sup>15</sup>. Based on stoichiometry, a ratio of 1.32:1 of  $\text{NO}_2^-$  to  $\text{NH}_4^+$  is necessary  
6 for anammox metabolism<sup>16</sup>, and partial nitritation of  $\text{NH}_4^+$  to  $\text{NO}_2^-$  by AerAOB or AOA is a  
7 common way to produce the requisite nitrite<sup>17</sup>. Till now, the three pathways of conventional  
8 nitrification-denitrification, nitritation-denitrification (or nitrite-shunt) and nitritation-anammox are the  
9 major practical nitrogen removal processes (Figure 1). Below, we review existing applications of  
10 anammox processes, and highlight new trends in development and implementation of this promising  
11 route for sustainable N removal.

### 12 2.1.1 Sidestream Nitritation-anammox Processes

13 Despite critical remaining challenges to adoption by practitioners, nitritation-anammox  
14 processes have seen an explosion of interest and application in recent years. Currently there are  
15 over 100 full-scale combined nitritation-anammox installations treating high-strength nitrogen  
16 wastestreams, with the majority (~75%) applied to sidestream treatment in municipal wastewater<sup>18</sup>.  
17 Such sidestream systems treat anaerobic digester centrate resulting from dewatering of stabilized  
18 waste biomass. Absent such dedicated sidestream treatment processes, sidestreams are recirculated  
19 to the mainstream, thereby generally representing about 1% of the mainstream flow but 15%-20% of  
20 the nitrogen loading in a typical municipal wastewater treatment plant<sup>19</sup>. Dedicated sidestream  
21 treatment is thus desirable to significantly reduce the nitrogen load to mainline processes. The low  
22 nitrogen effluent from sidestream treatment processes is recycled to the mainline for further  
23 polishing (Figure 1a). Both a two-stage treatment process, known as SHARON®-Anammox Process,  
24 and the one-stage treatment process have been installed at full-scale. For the two-stage treatment  
25 plant, nitritation and anammox steps are performed in separate reactors, and research has  
26 demonstrated that the SHARON® (nitritation) reactor can effectively convert ~50% of influent  $\text{NH}_4^+$   
27 into  $\text{NO}_2^-$  through the control of aeration rate<sup>17,20,21</sup>. Compared with the two-stage unit, the one-stage  
28 configuration (also known as CANON<sup>22</sup>: Completely Autotrophic Nitrogen removal Over Nitrite  
29 process, OLAND<sup>13</sup>: Oxygen-limited Autotrophic Nitrification-Denitrification, aerobic/anoxic  
30 deammonification<sup>23</sup>, or combined nitritation-anammox<sup>24</sup>) is used more widely in practice<sup>12</sup>. The  
31 terminology “combined nitritation-anammox” is used here to represent the one-stage configuration.  
32 Under oxygen-limiting conditions, the co-culture of aerobic and anaerobic ammonium oxidizing  
33 bacteria makes it possible to accomplish combined nitritation-anammox in a single reactor<sup>25</sup>.  
34 Research, development, and full-scale implementation of combined nitritation-anammox processes  
35 have occurred almost entirely in Europe over the past decade. However, recent years have seen a  
36 dramatic increase in testing and construction of sidestream nitritation-anammox processes in North  
37 America as well as other parts of the world. The first full-scale combined nitritation-anammox  
38 process in the US came online in 2012 at the Hampton Road Sanitation District’s York River  
39 Treatment Plant in Seaford, VA<sup>26</sup>, and has been followed by full-scale operations in James River,  
40 VA<sup>18</sup> and Alexandria, VA<sup>27</sup>. Pilot-scale nitritation-anammox studies have been performed in the  
41 Robert W. Hite Treatment Facility (Denver, CO)<sup>28</sup>, the John E. Egan Water Reclamation Plant  
42 (Chicago, IL)<sup>29</sup>, the Blue Plains Advanced Wastewater Treatment Plant (Washington, DC)<sup>30</sup>, and

1 Pierce County Chambers Creek Regional WWTP (Pierce, Washington)<sup>31</sup>.

2 Rapid accumulation of anammox biomass in a short time during process startup is an important  
3 engineering challenge from a practical standpoint, and several process control and startup strategies  
4 have been developed and applied in WWTPs by different companies and institutions. The attached  
5 growth ANITA® Mox Moving-Bed Biofilm Reactor (MBBR) and hybrid suspended and attached  
6 growth Integrated Fixed-Film Activate Sludge (IFAS) (Veolia Water, Inc) processes use real-time  
7 DO control and bioaugmentation for process control and rapid startup, respectively. The initial  
8 bioaugmentation is accomplished via a so-called “BioFarm Concept”, in which new reactors are  
9 seeded with a small fraction of colonized carriers<sup>32</sup>. Suspended-growth DEMON® systems (World  
10 Water Works, Inc) combine pH, time and DO control to optimize process performance in a  
11 suspended growth system, and employ a novel hydrocyclone device to maximize retention of  
12 biomass with high anammox activity<sup>33</sup>. Granular sludge nitrification-anammox systems (Paques) are  
13 also applied at several WWTPs<sup>34</sup>. Several full-scale suspended growth sidestream systems in  
14 Switzerland employ continuous aeration and online  $\text{NH}_4^+$  monitoring as effective control  
15 strategies<sup>35,36</sup>.

16 It is clear that tremendous progress has been made in recent years on sidestream  
17 nitrification-anammox process development in academia, industry, and at water utilities. Sidestream  
18 nitrification-anammox processes are now commercially available from a number of different  
19 companies, and these processes are rapidly becoming an “established” technology. It should be  
20 emphasized, however, that key challenges remain to practitioners. Key among these challenges is a  
21 susceptibility to process instabilities that can occur during startup or even after extended periods of  
22 stable operation<sup>35,37-39</sup>. Anammox have low growth rate, low cellular yield, and are sensible to  
23 adverse environmental conditions<sup>40</sup>. A variety of factors are toxic or inhibitory to anammox,  
24 including dissolved oxygen, several heavy metals, sulfide, salt, and toxic organic matters (antibiotics,  
25 phenol)<sup>40-44</sup>. Even its own substrates,  $\text{NO}_2^-$  and  $\text{NH}_4^+$ , can act as inhibitors. Studies have shown that  
26 free ammonium and free nitrous acid have negative effects on anammox bacteria<sup>45</sup>. Additional  
27 research is needed to clarify susceptibility and resilience of nitrification-anammox process variations  
28 to disturbances or routine fluctuating conditions, and to identify robust control strategies to  
29 counteract process instabilities.

### 30 2.1.2 Mainline Nitrification-anammox Processes

31 To date, full-scale anammox process implementation at municipal WWTPs is constrained to  
32 removal of N from digester supernatant (e.g sidestream treatment). Digester supernatant provides  
33 suitable conditions for the growth of AerAOB and anammox as well as suppression of NOB and  
34 heterotrophic denitrifiers: the low ratio of C/N ratio precludes high rates of heterotrophic  
35 denitrification; relatively high temperatures ( $\sim 30^\circ\text{C}$ ) enables effective outcompetition of NOB by  
36 AerAOB via DO control and increases both process (N-removal) and autotrophic growth rates  
37 (beneficial during startup); and supernatant generally provides enough bicarbonate alkalinity to  
38 maintain reasonable pH values<sup>5</sup>. We are on the way towards energy-positive wastewater treatment  
39 with the implementation of sidestream anammox<sup>14</sup>. However, previous calculations showed that the  
40 application of anammox in the mainline (treating primary effluent directly) would yield 24 watt  
41 hours per person per day (Wh/pd) (assuming COD savings are routed to mainline anaerobic  
42 treatment for methane generation), compared to a net consumption of 21 Wh/(pd) in sidestream

1 treatment<sup>14,46</sup>. Recent research efforts have thus focused on the potential utilization of anammox in  
2 mainstream N treatment. Figure 2 shows simplified conceptual process schematics for sidestream  
3 combined nitrification-anammox (now in use at multiple full-scale locations), mainline combined  
4 nitrification-anammox preceded by a high-rate activated sludge system for COD removal (variations  
5 on this theme are undergoing testing at lab, pilot and full-scale plants, as detailed below), and  
6 mainline combined nitrification-anammox and AD (primarily at lab-scale). The mainline combination  
7 of nitrification-anammox and AD has the largest potential for energy generation and would be the most  
8 ideal treatment process, but substantial challenges to implementation for both processes remain.

9 We discuss key approaches and challenges to mainline anaerobic treatment technologies  
10 elsewhere in this manuscript. The primary challenges to mainline combined nitrification-anammox  
11 implementation include how to obtain high process rates and acceptable stability of under low  
12 temperature; how to out-select or suppress heterotrophic denitrifiers and NOB under elevated C/N  
13 ratios, low N concentrations, and low temperature<sup>47</sup>; and how to ensure sufficient and possibly  
14 selective anammox biomass retention to offset slow growth rates of anammox. Anammox activity  
15 declines with temperature, but many anammox processes are considered by several research groups  
16 to be feasible under moderate temperature conditions with careful process control. Recent studies  
17 focusing on the application of anammox at moderate or low temperatures are listed in Table 1.  
18 Although proof of adaptation of nitrification-anammox biomass to low temperature has been  
19 demonstrated in lab-scale studies, more pilot-scale and full-scale experiments are needed to  
20 demonstrate that anammox biomass can retain high activities treating more complex wastewater  
21 under real-world fluctuating conditions.

22 Compared with sidestream anammox processes, outcompetition of NOB and heterotrophic  
23 denitrifiers by anammox is significantly more challenging in the mainline. In sidestream  
24 nitrification-anammox, both high free ammonium (FA) and control of DO are helpful for the inhibition  
25 of NOB<sup>48</sup>. However, usually the ammonium concentration in mainline is not high enough to have a  
26 negative effect on the growth of NOB. Low temperature would also display a disadvantage for the  
27 out-selection of NOB. Moreover, heterotrophic denitrifiers compete for  $\text{NO}_2^-$  with anammox,  
28 particularly under elevated C/N ratios. Simultaneous partial nitrification, anaerobic ammonium  
29 oxidation and denitrification (SNAD) has been observed in several bioreactors when treating low  
30 C/N wastewater<sup>49-52</sup>. Although anammox were found to be the dominant mechanism for N removal  
31 in these studies, whether denitrifiers would play a more important role and out-compete anammox  
32 with higher COD should be evaluated. Several strategies have been discussed for NOB out-selection:  
33 residual ammonia, controlled operational DO, transient anoxia, controlled COD input and limiting  
34 aerobic SRT, and bioaugmentation from sidestream nitrification-anammox reactors<sup>53</sup>. Vlaeminck et al.  
35 have demonstrated that aggregate size and architecture can influence microbial activity balance in  
36 granular nitrification-anammox systems<sup>54</sup>. These results suggest that tightly controlling aggregate  
37 characteristics and residence time with, for example, screens to wash out undesired bacterial groups  
38 <sup>55</sup>, may be of great use in selecting for dominance of AerAOB and anammox. Tight control of DO or  
39 of oxygen supply presents an intriguing and apparently critical NOB suppression strategy, with  
40 questions remaining about mechanism and optimal control methodology. Previous studies have  
41 suggested that infrequent and short-term increased  $\text{O}_2$  supply would increase NOB abundance<sup>35</sup>, and  
42 that low DO conditions coupled to short SRT was an optimal strategy for NOB suppression due to

1 the higher oxygen affinity of AOB compared to NOB<sup>56</sup>. However, the same strategy may not be  
2 useful under mainline condition. Recent work at the Blue Plains Advanced Wastewater Treatment  
3 Plant in Washington, DC suggested that intermittent high oxygen conditions and transient anoxia  
4 rather than DO level itself may be critical to NOB suppression at low temperatures<sup>5,57</sup>. This  
5 innovative control strategy follows earlier work by Kornaros and colleagues, who demonstrated a  
6 time lag in adaptation to aerobic conditions by NOB relative to AOB<sup>58</sup>. Besides, Kwak et al.<sup>59</sup>  
7 demonstrated that tight control of oxygen supply rather than operational DO enabled autotrophic  
8 nitrogen removal from low strength wastewater. This mirrors to some extent the strategy of Joss et  
9 al.<sup>35</sup>, who recommended control of oxygen supply rather than DO setpoint in sidestream  
10 nitrification-anammox systems. While critical challenges remain to implementation, a full-scale  
11 demonstration of mainline nitrification-anammox treatment was successfully implemented at Strass  
12 WWTP, which is a net energy positive plant<sup>57</sup>. Being the first mainline nitrification-anammox without  
13 bioaugmentation, Changqi Water Reclamation Plant in Singapore has demonstrated that mainline  
14 anammox can be a suitable technique especially in tropical areas<sup>60</sup>. Mainline nitrification-anammox  
15 has been demonstrated to be feasible (at least as proof of concept) under lab-scale, pilot-scale and  
16 full-scale settings, as summarized in Table 2. Besides studies listed in the table, three promising pilot  
17 studies (in the United Arab Emirates, Sweden, and France) started in 2013 are under evaluation,  
18 using either pure MBBR or hybrid IFAS ANITA Mox systems developed by Veolia Water, Inc  
19 (personal communication, Veolia Water, Inc.). The pilot-scale study in Sweden employs innovative  
20 carrier recycling and flow switch schemes between sidestream and mainstream ANITA Mox reactors  
21 (patent pending, Veolia Water, Inc)<sup>61</sup>.

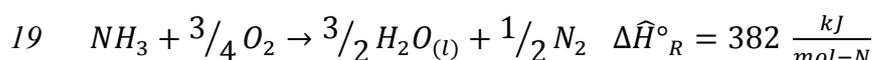
22 With great opportunities for saving energy and reducing cost in wastewater treatment, anammox  
23 is still a rather new process, and innovative solutions are needed to optimize this process and  
24 overcome potential disadvantages. Besides practical application used for NOB suppression, some lab  
25 works are validating other potential methods. Yao et al.<sup>62</sup> tried to decrease the production of  $\text{NO}_3^-$   
26 and enhance the performance of combined nitrification-anammox by addition of the key anammox  
27 intermediate hydrazine ( $\text{N}_2\text{H}_4$ ). While likely not feasible at full-scale, this demonstration may  
28 provide insights into mechanisms for stimulating recovery from process instabilities in anammox  
29 processes. Isaka et al.<sup>63</sup> developed a novel autotrophic N removal system using gel carries to  
30 immobilize the growth of AOB and using heat-shock treatment to suppress the growth of NOB. Now  
31 mainly applied for treatment of high strength streams in domestic wastewater treatment, it would be  
32 also worth testing whether anammox would be suitable for refractory industrial wastewater treatment.  
33 Tang et al.<sup>64</sup> presented a promising application of a bioaugmentation scheme for application of an  
34 anammox process to treatment of a refractory ammonium-rich pharmaceutical wastewater. Dissolved  
35 methane from high-rate mainline anaerobic treatment processes could have a negative impact on  
36 anammox. However, recent studies have demonstrated a remarkable new connection between the N  
37 and C cycles, termed N-DAMO (nitrite-dependent anaerobic methane oxidation), that can  
38 simultaneously remove nitrogen (nitrite) and methane<sup>65,66</sup>. Potential application of N-DAMO in  
39 engineered systems – for example, to scavenge trace methane and thereby prevent both emissions of  
40 this potent greenhouse gas and inhibition of downstream anammox— is only beginning to be  
41 explored. We mention these recent developments to highlight innovative and creative  
42 problem-solving efforts to address potential drawbacks to anammox processes. We further suggest

1 that future efforts are warranted to promote advances in process monitoring and control strategies, as  
 2 well as a better understanding of the relevance of both microscale microbial aggregate characteristics  
 3 and community structure, interactions, and dynamics to process performance and stability.  
 4 Innovations and discoveries in these realms would greatly facilitate full-scale implementation of  
 5 mainline nitrification-anammox processes.

## 6 2.2 CANDO for Direct Energy Recovery from NOD

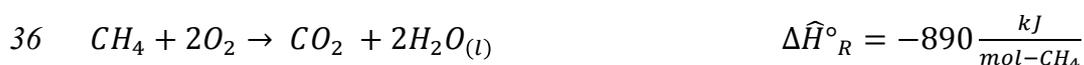
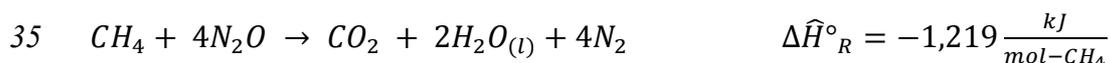
7 NOD bound in reactive forms of N can be converted into renewable energy. But for this to  
 8 occur, the N must be in a form that can be removed from water and usable for energy production.  
 9 Two N species that fit these requirements are ammonia (NH<sub>3</sub>) and nitrous oxide gas (N<sub>2</sub>O)<sup>10</sup>. NH<sub>3</sub> is  
 10 an energy source that releases electrons when oxidized or heat when combusted with oxygen (Eq. 1).  
 11 NH<sub>3</sub> in wastewater can potentially generate power with electrochemical fuel cells<sup>67</sup>. Alternatively,  
 12 NH<sub>3</sub> recovered from wastewater could be burned to generate power or used as a transportable fuel.  
 13 However, this is generally impractical because the energy and costs associated with removing NH<sub>3</sub>  
 14 often exceed the energy and value recovered. For this reason, it is more practical to use recovered  
 15 NH<sub>3</sub>, or NH<sub>4</sub><sup>+</sup> at neutral pH, as a fertilizer instead of a fuel. NH<sub>3</sub> recovery from particularly high  
 16 concentration side-streams is in some cases economically feasible with physical/chemical processes  
 17 such as gas stripping<sup>68</sup>.

18 **Eq. 1:** The heat of reaction of NH<sub>3</sub> with O<sub>2</sub>.



20 N<sub>2</sub>O, derived from reactive forms of N, can be removed from wastewater and used to recover  
 21 energy. Recently, Scherson and colleagues<sup>69</sup> introduced a new N removal process that recovers  
 22 energy from NOD nitrogen as N<sub>2</sub>O. The process is called the Coupled Aerobic-anoxic Nitrous  
 23 Decomposition Operation (CANDO) and converts reactive N to N<sub>2</sub>O, then captures the gas and  
 24 recovers energy from it by using it as a co-oxidant in CH<sub>4</sub> combustion or decomposing the N<sub>2</sub>O over  
 25 a metal oxide catalyst. The end product is N<sub>2</sub>. The innovation is utilizing N<sub>2</sub>O as a renewable energy  
 26 source. Traditionally, N<sub>2</sub>O has been viewed as an unwanted by-product of wastewater treatment  
 27 because it is a GHG (Greenhouse Gas) 310 times more powerful than CO<sub>2</sub> and is a dominant  
 28 ozone-depleting substance<sup>70</sup>. For this reason, studies have generally focused on understanding the  
 29 pathways for N<sub>2</sub>O production in order to minimize its production. But, N<sub>2</sub>O is like CH<sub>4</sub>: both are  
 30 harmful if released to the atmosphere, or sources of renewable energy if captured and combusted. In  
 31 fact, N<sub>2</sub>O is a powerful oxidant - commonly used in propulsion and automotive applications - that  
 32 can increase energy recovery from methane<sup>71-73</sup>. Combustion of CH<sub>4</sub> with N<sub>2</sub>O releases roughly 30%  
 33 more heat as compared to O<sub>2</sub> (**Eq 2**), and, mitigates the release of N<sub>2</sub>O to the atmosphere.

34 **Eq 2.** Comparison of the heat of reactions of CH<sub>4</sub> with N<sub>2</sub>O (top) and CH<sub>4</sub> with O<sub>2</sub> (bottom).



37 CANDO involves three steps: (1) nitrification of NH<sub>4</sub><sup>+</sup> to NO<sub>2</sub><sup>-</sup>; (2) partial anoxic reduction of  
 38 NO<sub>2</sub><sup>-</sup> to N<sub>2</sub>O; and (3) N<sub>2</sub>O conversion to N<sub>2</sub> with energy recovery. Step 1 has been demonstrated at

1 full-scale with over 95% efficiency by the (SHARON) process<sup>74</sup>, and step 3 is well documented<sup>71-73</sup>.  
2 Step 2,  $\text{NO}_2^-$  reduction to  $\text{N}_2\text{O}$ , was demonstrated by two methods: (1) abiotic reduction by Fe(II);  
3 and (2) partial heterotrophic denitrification. In the first method, Fe(II) precipitates with Fe(III) in the  
4 form of carbonate “green rust” ( $\text{Fe}^{\text{II}}_4\text{Fe}^{\text{III}}_2(\text{OH})_{12}\text{CO}_3$ ) reduced  $\text{NO}_2^-$  (28 mM, ~400 mg/L-N) to  $\text{N}_2\text{O}$   
5 within 2.5 hours and with over 90% conversion. In the second method, a feeding strategy in which  
6 acetate (electron donor) and  $\text{NO}_2^-$  (electron acceptor) delivered as alternating pulses selected for  
7 organisms that store polyhydroxybutyrate (PHB) after the acetate pulse, and produce  $\text{N}_2\text{O}$  after the  
8  $\text{NO}_2^-$  pulse. Reducing equivalents for  $\text{NO}_2^-$  reduction were derived from the stored PHB. High  $\text{N}_2\text{O}$   
9 conversion (62%  $\text{NO}_2^-$  to  $\text{N}_2\text{O}$ ) over long-term operation (>200 cycles) with 98% N-removal was  
10 reported in a lab-scale study treating synthetic wastewater (250 mg-N/L)<sup>69</sup>. CANDO is currently  
11 being evaluated at pilot-scale and with real wastewater.

12 Alternative methods for  $\text{N}_2\text{O}$  production can improve CANDO. At present, CANDO relies on  
13 heterotrophic organisms that consume biodegradable COD to reduce  $\text{NO}_2^-$  to  $\text{N}_2\text{O}$ . In some  
14 applications, the COD that is consumed could otherwise be used for energy recovery as  $\text{CH}_4$  or  
15 electricity. But, autotrophic denitrification to  $\text{N}_2\text{O}$  with, for example  $\text{H}_2$ ,  $\text{CH}_4$ , or  $\text{NH}_4^+$ , does not  
16 consume biodegradable COD and produces less biomass than heterotrophic denitrification. If  $\text{NH}_4^+$  is  
17 the source of reducing equivalents, then only a fraction of the influent  $\text{NH}_4^+$  is oxidized to  $\text{NO}_2^-$ , with  
18 the balance oxidized for  $\text{NO}_2^-$  reduction, thus reducing aeration energy (like nitrification-anammox).  
19 Autotrophic production of  $\text{N}_2\text{O}$  with  $\text{NH}_4^+$  oxidation has been reported by both AerAOB and AOA.  
20 AerAOB are capable of  $\text{N}_2\text{O}$  production by either oxidation of hydroxylamine, or by so-called  
21 nitrifier-denitrification, in which  $\text{NO}_2^-$  is sequentially reduced via  $\text{NO}$  to  $\text{N}_2\text{O}$ <sup>75-77</sup>. However, further  
22 studies are needed to evaluate this strategy.

23 Energy recovery from NOD nitrogen as  $\text{N}_2\text{O}$  offers several benefits. First,  $\text{N}_2\text{O}$  is a dissolved  
24 gas that, like  $\text{CH}_4$ , can be stripped or outgassed from solution, although  $\text{N}_2\text{O}$  is less readily stripped  
25 than  $\text{CH}_4$  because of a higher solubility limit. Second,  $\text{N}_2\text{O}$  is already produced, albeit unintended, by  
26 conventional denitrification and short-circuit nitrogen removal processes, contributing negatively to  
27 the carbon footprint of many WWTPs. Using  $\text{N}_2\text{O}$  as an oxidant in combustion destroys the gas, and  
28 maximizing its production increases energy recovery. Finally, converting reactive nitrogen to  $\text{N}_2\text{O}$ ,  
29 instead of  $\text{N}_2$ , shortens the treatment steps for denitrification. This results in fewer reducing  
30 equivalents consumed, less biomass produced, energy from nitrogen recovered, and possibly shorter  
31 SRT. The capture of  $\text{N}_2\text{O}$  during wastewater treatment can be a win-win strategy that offers the  
32 possibility of energy generation, cost reductions, and mitigation of climate change and stratospheric  
33 ozone depletion.

34 Figure 3 and Table 3 compares performance metrics for five N treatment processes that are in  
35 different development stages (existing, emerging, future). Conventional nitrification-denitrification is  
36 the least efficient: the most oxygen and reducing equivalents are consumed, and the greatest quantity  
37 of biosolids is produced. Nitrification-denitrification offers a moderate improvement with reductions in  
38 oxygen, organics, and biosolids. Nitrification-anammox, as detailed in the previous section, offers the  
39 most dramatic improvement with reductions in oxygen demand by 60%, reducing equivalents by  
40 90%, and biosolids by 75%. While various nitrification-anammox based processes are commercially  
41 available, concerns related to process stability, robustness, sensitivities to a variety of inhibitors<sup>35,38,</sup>  
42 <sup>78-82</sup>, and the slow growth rate of anammox<sup>46</sup> have impeded broader adoption<sup>35,81</sup>. Compared to

1 nitritation-anammox, CANDO is less efficient, but does recover energy from NOD and offers other  
2 benefits not associated directly with energy. CANDO selects for heterotrophic bacteria with faster  
3 growth rates than anammox. The fast growth rates may improve process stability with short SRT.  
4 Also, CANDO may enable phosphorus recovery through alternating anaerobic/anoxic cycling with  
5 stored PHB. This operation is similar to conventional Enhanced Biological Phosphorus Removal  
6 (EBPR) where anaerobic/aerobic cycling selects for organisms that oxidize stored PHB to drive  
7 phosphate uptake. Pilot-scale studies are needed to evaluate these potential benefits. The final  
8 process, CANDO autotrophic, represents a future concept that is the most efficient, but has yet to be  
9 demonstrated with high conversion to  $N_2O$  and over long-term operation. CANDO autotrophic is  
10 similar to nitritation-anammox in terms of oxygen, reducing equivalents, and biosolids to  
11 nitritation-anammox, but differs because energy is recovered from NOD. It is likely that existing and  
12 developing nitritation-anammox based processes, CANDO, and CANDO variants will be  
13 complementary, offering a unique treatment process that is ideal for each application.

## 14 2.3 Microalgae Cultivation For Joint Energy Production and Nutrient Removal

15 Microalgae based biofuels, recognized as the “third generation of biomass energy<sup>83</sup>”, exhibit  
16 many advantages over the first and second generation of biofuels: high-acre productivity; use of  
17 non-productive, non-arable land; high lipid content; and low GHG emission and carbon footprint<sup>84, 85</sup>.  
18 Life cycle analysis of biofuels production from microalgae based on water footprint and  
19 environmental impact has demonstrated that algae cultivation is most economically viable when  
20 linked to wastewater treatment<sup>86, 87</sup>. Wastewater is thus a promising substrate for cost-effective and  
21 sustainable algae cultivation<sup>88</sup>. Joint wastewater treatment and algal biomass production offers the  
22 opportunity for both energy generation and nutrient (N and P) removal. An energy evaluation of  
23 coupling nutrient removal from wastewater with algal cultivation showed that biofuel production  
24 was energetically favorable for open pond reactors utilizing wastewater<sup>89</sup>. Besides nutrient removal,  
25 some heavy metals and other trace elements could also be removed by algae<sup>90, 91</sup>. Despite substantial  
26 potential advantages of this process, microalgae-based biofuel production has not yet been used  
27 commercially at large-scale because of some remaining technical obstacles. Here, existing problems,  
28 current progress and suggested further developments in wastewater algae cultivation are reviewed.

### 29 2.3.1 Why not use algal biomass and how can we improve?

30 Obstacles for algal biomass production from wastewater exist in almost every chain of the  
31 process. In contrast to controlled freshwater algae cultivation, the growth rate and algae composition  
32 changes with various wastewater influent characteristics. Lipid content and other characteristics to  
33 guide the choice of algae species for freshwater cultivation were explained in details by Griffiths and  
34 Harrison<sup>92</sup>. But when it comes to use of wastewater as substrate, careful consideration should be  
35 made concerning the specific algae species to be cultivated as well as the characteristic of  
36 wastewater. Zhu et al.<sup>93</sup> cultivated freshwater *Chlorella zofingiensis* with six different concentrations  
37 of piggery wastewater. Even though nutrients were successfully removed among all the treatments,  
38 the specific growth rate and biomass productivity were different, and the lipid content decreased as  
39 initial nutrient concentration increased. Abou-Shanab et al.<sup>94</sup> also evaluated joint nutrient removal  
40 and biodiesel production ability of monoculture microalgae growing on piggery wastewater, and  
41 arrived at similar conclusions. During algae cultivation, the ideal achievement is to obtain high

1 biomass production and high lipid content at the same time. However, biomass productivity and lipid  
2 content apparently represent something of a trade-off. Nutrient supplementation, which is common  
3 when using wastewater as substrate, would enhance the growth of microalgae but decrease the lipid  
4 content. Wastewater-fed algae typically have low lipid contents compared with those grown under  
5 nitrogen-limited growth conditions<sup>95</sup>. Several studies have focused on the enhancement of lipid  
6 accumulation without sacrificing biomass productivity. Supply of exogenous CO<sub>2</sub> to the cultivation  
7 of *Auxenochlorella protothecoides* was found to increase the lipid content as well as biomass  
8 accumulation<sup>96,97</sup>. In addition, the trade-off between lipid content and productivity might be  
9 overcome via application of ecological principles to modulate algal diversity. Laboratory  
10 experiments have demonstrated that both biomass production and nutrient uptake rates could be  
11 enhanced through use of polyculture<sup>98</sup>. Owing to the complex composition of wastewater,  
12 polyculture algal bioreactors likely have significant advantages over their monoculture counterparts.  
13 It should be noted, however, that biomass productivity is not always correlated with algal species  
14 diversity; indeed, declines in productivity in polyculture relative to monocultures have also been  
15 noted<sup>99</sup>, indicating that selection of (or for) specific algal taxa in polyculture is a key consideration. It  
16 is clear that substantial future work is necessary to clarify opportunities for stable lipid and biomass  
17 accumulation in wastewater-fed algal polycultures.

18 Another challenge that restricts the large-scale application of high-rate algae bioprocesses for  
19 joint nutrient removal and energy production is the energy-intensive harvesting process. Usually,  
20 harvesting requires one or more solid-liquid separation steps to concentrate biomass, and membrane  
21 separation has been suggested as a promising technique<sup>100</sup>. A lab scale hollow fiber  
22 polyvinylchloride (PVC) ultrafiltration (UF) membrane was tested to concentrate algal suspension  
23 150-fold under a constant pressure of 34.5 kPa, and backwash was conducted every 15 minute<sup>100</sup>.  
24 Others suggested that gravity settling enhanced by flocculation could be the lowest-cost approach<sup>85</sup>,  
25 <sup>101</sup>. Besides the improvement and exploration of improved harvesting methods, immobilized  
26 cultivation rather than suspended growth has been suggested as a more effective way to reduce water  
27 content of algae. Alginate, with high diffusivity, low production hazards, and low polymer costs, has  
28 attracted the most attention for growth of algae in a matrix<sup>102</sup>. Importantly, not all algae can grow  
29 well in such a matrix. Liu et al.<sup>103</sup> successfully cultivated immobilized *Chlorella sorokiniana* GXNN  
30 01 in calcium alginate and observed higher NH<sub>4</sub><sup>+</sup> and phosphate removal rates than with free-living  
31 cells. Immobilized cells of *Gloeocapsa gelatinosa* captured in calcium alginate were also shown to  
32 effectively remove NO<sub>3</sub><sup>-</sup> and phosphate<sup>104</sup>. Other polymers, such as sodium cellulose  
33 sulphate/poly-dimethyldiallyl-ammonium chloride, have also been shown to be effective in  
34 immobilized cultivation of certain microalgae<sup>105</sup>. At present, however, large-scale use of polymeric  
35 matrix is prohibited by its high cost<sup>106</sup>. Thus, different biofilm systems, including biofilm  
36 photobioreactors<sup>107,108</sup> and rotating algal biofilm reactors with spool harvesters<sup>109</sup>, are recommended  
37 as a potentially effective systems for cultivating high density algae biomass. For practical  
38 widespread application of high-rate algal bioprocesses for wastewater treatment and biofuels  
39 production, the energy cost of harvesting must be reduced to a reasonable range and the harvesting  
40 process needs to be simplified as well.

41 Following harvesting, algae must be converted to liquid biofuel or biogas through a high  
42 efficiency, cost-effective and environmentally friendly pathway. Conventional lipid extraction

1 methods may not be suitable for algae grown in wastewater. Comparison of hydrothermal  
2 liquefaction (HTL), oil secretion and alkane secretion has been made by Delrue et al.<sup>110</sup>, and  
3 secretion of oil or alkane seemed to be better based on energetic and environmental criteria. HTL,  
4 however, could be more feasible when treating with algae cultivated from wastewater due to lower  
5 lipid contents relative to freshwater monoculture algae cultivation, as mentioned previously.  
6 Importantly, HTL does not require drying prior to processing and the resulting bio-crude can be  
7 formed not only from the lipid content, but also from the carbohydrate and protein fractions of the  
8 algae, thus leading to higher overall yields<sup>85, 111</sup>. Interestingly, pilot-scale tests of HTL of *Chlorella*  
9 and *Spirulina* under continuous flow by Jazrawi et al.<sup>112</sup> demonstrated bio-crude yields higher than in  
10 batch studies. Roberts et al.<sup>113</sup> were the first to demonstrate the feasibility of an integrated  
11 wastewater algae-to-biocrude process, and besides 44.5±4.7% ash-free dry weight of bio-crude, the  
12 process also formed aqueous co-products and solid biochar. Model compounds such as protein,  
13 starch and glucose, triglycerides from sunflower oil, and amino acids have been validated to predict  
14 the HTL behavior of microalgae and cyanobacteria<sup>111</sup>. These studies should be helpful to predict  
15 potential yields and to instruct the choice of suitable biofuel generation pathways. Recently, several  
16 studies have focused on the microbial utilization of aqueous co-products. A microbial side culture in  
17 aqueous co-product from *Nannochloropsis oculata* HTL might have the potential to provide  
18 additional biomass<sup>114</sup>. Based on the multiuse of HTL products, Zhou et al.<sup>115</sup> proposed an innovative  
19 waste-to-energy system: combined algal wastewater treatment with large-scale bioenergy production  
20 via hydrothermal liquefaction, which they called Environment-Enhancing Energy (E<sup>2</sup>-Energy).  
21 Experiments and mathematical modeling showed that E<sup>2</sup>-Energy could effectively utilize nutrients in  
22 wastewater and increase biomass and biofuel production by approximately 10 times. In addition to  
23 HTL, anaerobic digestion or co-digestion with activated sludge are promising routes for energy  
24 recovery from algal biomass regardless of lipid content, which might not be important for  
25 wastewater-cultivated algae. Wang et al. demonstrated the feasibility of anaerobic digestion of  
26 *Micracinium nov.* and *Chlorella* sp. grown in mixture of sludge centrate and primary effluent, and  
27 both of species also helped to improve volatile solids reduction efficiency of waste activated sludge  
28 as well as the biogas yield<sup>116</sup>. Similar results were reported for the co-digestion of *Spirulina*  
29 *platensis* and *Chlorella* sp. grown in a mixture of sludge centrate and nitrified wastewater effluent<sup>117</sup>.  
30 However, the two different species had an inverse impact on biosolids dewaterability. Performance  
31 of both HTL and anaerobic digestion of algal biomass are related to wastewater characteristics and  
32 algae species; consequently, it is hard to simply conclude which approach is optimal.

33 2.3.2 Combined Algal Production, Nutrient Removal & Recovery, and COD Reduction A novel  
34 biotechnology, algal-bacterial co-culture, has received significant attention in recent years as well.  
35 O<sub>2</sub> produced by algae could reduce aeration requirements of treatment processes, and greenhouse gas  
36 emissions are mitigated by the CO<sub>2</sub> consumption during algal photosynthesis<sup>121, 122</sup>. In addition,  
37 challenges associated with high energy requirements for algal biomass harvesting might be  
38 overcome by increased settleability of algal-bacterial biomass. Su et al.<sup>123</sup> demonstrated that an  
39 algal-bacterial culture had good COD and nutrient removal efficiency, and was able to settle  
40 completely over 20 minutes. They also argued in a follow-up study that algae and sludge inoculation  
41 ratios could influence nutrient removal efficiency and settleability, and a ratio of 1:5 (algae/sludge by  
42 weight) was shown to have the best settleability<sup>122</sup>. Other groups also claimed that algal-bacterial

1 biofilms exhibited a capacity for higher nutrient removal than bacterial biofilms, but the stability of  
2 the system varied with influent wastewater<sup>124</sup>. However, separation of algal biomass from combined  
3 algal-bacterial co-cultures (one reactor) for lipid extraction could be a great challenge. Efficient use  
4 of oxygen produced in algal systems is also a challenge, especially for open pond algal cultivation.  
5 However, the feasibility has been demonstrated recently. Blanc and Leshem built an innovative  
6 pilot-scale system utilizing an oxygen-rich algal liquid to supply O<sub>2</sub> to an aerobic biofilm reactor<sup>125</sup>.  
7 The system included a moving bed biofilm reactor (MBBR) for aerobic treatment and an  
8 algae-growth open pond as a biologically aerated reactor (BAR). Operating for 18 months, the  
9 system worked well and produced a high quality effluent with no aeration cost.

10 In addition to conventional products (biofuels, methane), novel methods may make it possible to  
11 manufacture high value products from algae, including protein complements and food additives  
12 (aquaculture and animal feed), or products used in agriculture (fertilizers, soil conditioners)<sup>109</sup>. With  
13 more NH<sub>4</sub><sup>+</sup>-N assimilated into algae biomass, the residual biomass is a sustainable source of  
14 nutrients that can be used as a fertilizer<sup>125</sup>. Acetone, butanol and ethanol (ABE) fermentation using  
15 wastewater algae biomass have been demonstrated to be feasible<sup>126</sup>. And researchers are trying to  
16 optimize two-staged bio-hydrogen production by algae to produce more sustainable sources of  
17 energy<sup>127, 128</sup>. A potential combined algae cultivation and wastewater treatment system is illustrated  
18 in Figure 4; possible byproducts are also shown here.

19 As an emerging approach for attaining energy-neutral wastewater treatment with high quality  
20 effluent, the application of algae presents both promises and challenges. Since algae need CO<sub>2</sub> for  
21 growth, collaboration with other industries or systems (for example, power plants with high CO<sub>2</sub>  
22 emissions) could provide additional advantages for this process in terms of overall reduction in  
23 carbon footprint. However, algae cultivation requires a large land footprint and might be most  
24 suitable to rural areas. Also, slow growth rates will likely limit its usage in temperate regions. The  
25 development of more reliable models incorporating the complete algal processing chain (cultivation,  
26 harvesting and product generation) would aid practical application of this technique<sup>129, 130</sup>.

### 27 3. ENERGY POSITIVE BIOPROCESSES FOR COD REMOVAL

28 In conventional wastewater treatment, removal of COD through aerobic bioprocesses, like  
29 conventional N removal, is an energy-intensive processes. Instead of regarding COD as unwanted  
30 pollutant, an emerging new paradigm of wastewater treatment views COD as renewable source of  
31 energy via “misplaced electrons”<sup>1</sup>, as well as a potential source of a diversity of byproducts<sup>131</sup>. It has  
32 been estimated that domestic wastewater alone might contain 17.8 kJ/g of COD<sup>132</sup>. By combining  
33 innovative N removal processes with effective techniques to recover the inherent energy in COD in  
34 the wastewater, it should be feasible to construct zero energy input WWTPs. Till now, two main  
35 methods, Anaerobic Digestion (AD) and bioelectrochemical treatment, have been considered as the  
36 current trends for future energy saving or generation plants. In this section, we present brief  
37 overviews of these two methods for converting waste organic carbon to energy, with an emphasis on  
38 efforts and innovations made in recent years.

#### 39 3.1 Anaerobic Digestion

### 1 3.1.1 Historical Development and Application

2 In the absence of a suitable electron acceptor, a consortia of microorganisms convert organic  
3 matter to methane (CH<sub>4</sub>) and carbon dioxide (CO<sub>2</sub>), which can be used as biogas for either heat or  
4 electricity generation. Several life cycle assessments have confirmed that AD is a sustainable  
5 waste-to-energy system from the prospects of both energy production and Greenhouse Gas (GHG)  
6 emissions<sup>133, 134</sup>. Compared with other techniques for energy recovery, anaerobic digestion is a  
7 mature method that is already widely used in WWTPs for recovering energy in the form of  
8 methane-rich biogas produced during digestion of primary sludge and biomass generated during  
9 conventional aerobic treatment. Efforts have also been made to directly recover energy via AD from  
10 municipal wastewater. Previously, high strength wastewater was assumed to be suitable for AD  
11 under either mesophilic (28-40°C) or thermophilic (50-57°C) conditions<sup>135</sup>. The existence of  
12 psychrophilic methanogenesis provides opportunities for broader application in temperate climates<sup>136</sup>.  
13 In recent years, much progress has been made in modification of configuration and process control  
14 of AD, especially in anaerobic membrane bioreactors (AnMBR), making application of AD to low  
15 strength and low temperature wastewater treatment feasible in temperate areas of the world.

16 Generally considered as an unfavorable byproduct of wastewater treatment, waste biomass from  
17 activated sludge processes can also be thought as a raw material for energy production<sup>137</sup>. AD of  
18 activated sludge and other high-strength organic wastes is known as an environmentally friendly and  
19 energy saving technology compared with other options like landfilling, incineration, composting,  
20 etc<sup>138</sup>. A recent mathematical model<sup>139</sup> suggested that primary and secondary sludge are optimally  
21 treated separately, for material recovery and energy recovery respectively. Full-scale data  
22 demonstrated that AD of sewage sludge alone allow WWTPs to approach energy self-sufficiency,  
23 even for a municipal WWTP with secondary biological treatment located in a moderate climate<sup>140</sup>.

24 Typically, based on the microbial ecology, one-stage and two-stage digesters are used. In a  
25 two-stage process, acidogenesis and methanogenic processes are separated, preventing the occurrence  
26 of acidogenesis in second stage and enhancing sludge properties<sup>141</sup>. Up to the mid-20<sup>th</sup> century, the  
27 use of anaerobic digesters was excluded from wastewater treatment outside waste biomass  
28 stabilization because of its slow rate<sup>142</sup>. The introduction of the Upflow Anaerobic Sludge Blanket  
29 reactor (UASB; Figure 5A) in the 1970s and diverse advancements of this process, which is now the  
30 most widely used anaerobic digestion process by far, triggered widespread use of AD in  
31 high-strength industrial wastewater treatment beyond sewage sludge and municipal waste<sup>143</sup>.

### 32 3.1.2 Recent Advances, and Future Development

33 Although AD technologies have matured in the past decades, further improvements are still needed  
34 to enhance the treatment efficiency, production of biogas, and to evaluate the possibility of mainline  
35 utilization. Below, we focus on innovations in AD process implementation and product utilization,  
36 and detail key remaining challenges for researchers and practitioners.

#### 37 3.1.2.1 Co-digestion and Pre-treatment for Yields/Efficiency Improvement

38 Pre-treatment and co-digestion have been recognized as effective and commercially viable  
39 approaches to reduce anaerobic digestion process limitations, improve biogas yields and improve  
40 biosolids dewaterability<sup>144, 145, 146</sup>. Anaerobic co-digestion provides simultaneous digestion of  
41 different solid and liquid wastes by balancing nutrient inputs and diluting toxic substrates, thus

1 leading to higher rates and biogas yields<sup>147-149</sup>, and it has higher efficiency in terms of land and  
2 equipment utilization by mixing various waste streams in a single facility<sup>150-152</sup>. Unit cost for  
3 co-digestion has been shown to be significantly lower due to sharing of facilities and operation<sup>147</sup>. In  
4 addition, co-digestion has the potential to reduce the inhibitory effect of accumulating ions, which  
5 can cause reactor instabilities and an associated decrease in biogas production<sup>153</sup>. Fat, oil, and grease  
6 (FOG), food waste, animal byproducts, and model compounds have been used as co-digestion  
7 substrates in lab-scale or pilot-scale experiments<sup>145,147,152,154-161</sup>. In addition several full-scale  
8 co-digestion studies have already been applied, as detailed in Table 4. Choice of co-digestion  
9 substrate is crucial in sludge treatment: the substrate should be easily obtained without additional  
10 transport cost; and experiments should be performed to optimize the mixing ratio between  
11 co-substrate and sludge, the organic loading rate and hydraulic loading rate.

12 In addition to co-digestion, pre-treatment of influent wastes is another common method for  
13 performance improvement. Pre-treatment processes are typically focused on releasing intracellular  
14 material into the water phase and accelerating hydrolysis, which is the rate limiting process during  
15 anaerobic digestion<sup>162,163, 164</sup>. Various methods have been tested and proved to be useful.  
16 Hydrothermal, ultrasound, microwave irradiation, mechanical shearing, chemical, and biological  
17 (enzymatic) pretreatment alone or in combination are common methods evaluated in research studies,  
18 and performance improvements have been demonstrated<sup>162, 165-170</sup>. Since some of the pretreatment  
19 methods have drawbacks like high energy demands, high cost, requiring extreme conditions, high  
20 toxicity and unrecyclable reagents<sup>165-167, 171</sup>, life cycle assessment or other energy and cost analysis  
21 are suggested to optimize application.

22 The main drawback of traditional anaerobic treatment is lower quality effluent generated  
23 relative to aerobic treatment processes, especially when operating with low strength wastewater  
24 (0.3-0.7 g COD/L) at low temperatures (8-25°C)<sup>172</sup>. Usually, additional post-treatment,  
25 physical-chemical, bio-chemical or biological methods are required for further COD polishing as  
26 well as N removal prior to final discharge<sup>173, 174,175,176</sup>. Interestingly, Tugtas et al. used  
27 bio-electrochemical post-treatment of anaerobically treated landfill leachate, and this lab-scale MFC  
28 post-treatment demonstrated substantial promise for additional energy recovery with effective  
29 polishing performance<sup>173</sup>.

### 30 3.1.2.2 Advances in Process Design for Mainline Application

31 Anaerobic membrane bioreactors (AnMBRs) presented upgraded versions of conventional  
32 waste biomass digesters for mainline application. By decoupling SRT from HRT through complete  
33 retention of solids and prevention of biomass washout, the reactor volume is substantially reduced,  
34 which overcomes the large land requirements for conventional anaerobic digestion<sup>177,178-180</sup>. Kanai et  
35 al.<sup>181</sup> reported that an AnMBR volume could be scaled down to approximately 1/3 to 1/5 of a  
36 conventional AD. Compared with traditional anaerobic sludge digestion, the addition of coagulant  
37 for thickening has been omitted, avoiding extra cost<sup>182</sup>. Energy demand in submerged AnMBRs was  
38 lower, ranging from 0.03 to 3.57 kWh/m<sup>3</sup><sup>172</sup>. Furthermore, the longer SRT enables complete  
39 retention of slow-growing methanogenic organisms, increasing the stability of the whole system<sup>183</sup>.  
40 With these advantages, the AnMBR is considered a promising option for low strength or low  
41 temperature wastewater treatment<sup>184</sup>. The combination of AnMBR and UASB has been most widely  
42 applied at lab scale (Figure 5B and 5C). Different membrane configurations—namely, submerged or

1 side-stream—have been under consideration. Immersing a membrane into UASB, Liu et al.<sup>185</sup>  
2 observed an enhancement of process performance and stability. The connection of a side-stream  
3 membrane filter has also been proved to be a feasible method for treatment of low strength municipal  
4 wastewater<sup>177,186</sup>. Different membrane modules designs (e.g. cylindrical, funnel-shaped, U-shaped  
5 bundle, and hollow fiber) also impact process performance<sup>187</sup>. Seeding psychrophilic AnMBR with  
6 mesophilic inocula was reported to enable stable COD removal and acceptable flux, indicating future  
7 potential use of bioaugmentation for AD use in cold and temperate climates<sup>188</sup>.

8 Control of membrane fouling during AnMBR operation is the main obstacle to widespread  
9 full-scale implementation of this technology. Fouling contributes to the deterioration of membrane  
10 performance and largely determines the energy demand of the process. Lin et al.<sup>179</sup> explored factors  
11 that affected sludge cake formation, which is considered the main reason for membrane fouling, and  
12 found that cake formation rate was highly dependent on biogas sparing rate and permeate flow rate.  
13 Fine particles and a high levels of extracellular polymeric substance (EPS), the adhesive and  
14 cohesive matrix of biofilms, were accumulated in the sludge cake layers and likely impact membrane  
15 fouling<sup>189,190</sup>. Thus, attempts have been made to control and reduce membrane fouling, combined  
16 with minimizing energy and operating cost. Fluidized granular activated carbon, ultrasonication, and  
17 enzyme augmentation have proved to be effective in fouling control<sup>178, 191,192</sup>. Novel process control  
18 strategies, different membrane modules and SRT optimization, could also act as important drivers of  
19 performance improvement<sup>187,193, 194</sup>.

### 20 3.1.3 Energy Generation, Valuable Product Usage as well as Other Challenges

21 Biogas utilization and stabilized biosolids usage methods are two significant aspects of AD process  
22 performance. Depending largely on substrates characteristics, biogas is composed up of 50-65% CH<sub>4</sub>,  
23 30-45% CO<sub>2</sub>, moisture and other trace chemicals, including hydrogen sulphide (H<sub>2</sub>S) and  
24 siloxanes<sup>195</sup>. The impurity of biogas lowers its calorific value and decreases its economical value as a  
25 fuel<sup>196</sup>. The removal of water and H<sub>2</sub>S to avoid corrosion and further pollution via physical-chemical  
26 methods such as scrubbing or biological processes is essential for energy generation, and further CO<sub>2</sub>  
27 removal is required for upgrading to a natural gas quality<sup>197</sup>. Flaring is used if purification is not  
28 available or economical. The potential of electricity production is lost, but the produced heat can be  
29 collected and used.

30 One promising and increasingly implemented approach to increase energy capture at WWTPs with  
31 anaerobic digesters is cogeneration of electricity and usable heat. Such applications, termed  
32 Combined Heat and Power (CHP) systems produce two energy outputs (heat and electricity), thus  
33 increasing efficiency of energy capture<sup>198</sup>. The U.S. Environmental Protection Agency (US EPA) has  
34 encouraged integration of biogas to CHP facilities at WWTPs since 2005<sup>199</sup>. Wastewater treatment  
35 CHP systems potentially installed in 1,351 WWTPs contain approximately 411 MW of electric  
36 capacity and 37,908 MMBtu (million British thermal unit, 1 MMBtu=293.1 kW)/day of thermal  
37 energy<sup>200</sup>. In addition, other high-value byproducts, including hydrogen, useful chemicals (the  
38 carboxylate platform, bioplastic), and microbial electrosynthesis are potential future benefits of  
39 AD<sup>201-203,204</sup>. The use of biosolids (stabilized sewage sludge from AD) on agricultural lands or in  
40 plant nurseries offers the opportunity for nutrient recovery<sup>205,206</sup>. However, due to potential  
41 environmental (contaminants, e.g. metals) and health risks, its application has to be thoroughly  
42 evaluated<sup>206,207</sup>. In addition to its use as an energy source, specific microbial consortia enable the

1 potential production of bioplastic from biomethane. Particularly promising is the production of (PHB)  
2 as a feedstock for bioplastics by certain methanotrophic bacteria fed AD-derived methane<sup>208</sup>. The  
3 carbon neutral process could be economically feasible and is being commercialized by Mango  
4 Materials<sup>204, 209</sup>.

5 A few remaining issues deserve additional exploration. Although a few studies<sup>210-212</sup> have  
6 focused on microbial community analyses under low temperature AD, a more comprehensive  
7 understanding of microbial interactions and community dynamics in these novel systems is needed,  
8 especially focusing on methanogenesis surviving in cold habitats<sup>213, 214</sup>. Moreover, although the  
9 solubility of methane is low, the total amount dissolved in process effluent could still be substantial  
10 in high rate mainline AD. Dissolved methane lost in effluent will decrease energy production,  
11 increase GHG released into the atmosphere, and effect downstream N removal processes<sup>65, 215</sup>. Thus,  
12 enhanced methane extraction efficiency is essential.

### 13 3.2 Bioelectrochemical Systems

14 Bioelectrochemical systems (BESs) are a set of configurations that can convert chemical energy  
15 in waste organic matter into electricity or valuable products. Microbial fuel cells (MFCs) have long  
16 been the most commonly used type of BESs. MFCs are considered an environmentally friendly  
17 energy recovery method for use in wastewater treatment that can operate under ambient and low  
18 temperatures, and treat low strength wastewater. Studies have shown that, coupled with power  
19 generation, lab-scale MFCs could achieve high COD removal efficiencies from complex  
20 wastewater<sup>216</sup>. In addition to direct recovery of electricity from wastewater, microbial electrolysis  
21 cells (MECs) allow for energy recovery in the form of valuable chemicals with low input of external  
22 energy, usually hydrogen gas or methane<sup>218, 219, 220</sup>. In Figure 6, simplified schematics for these two  
23 bioelectrochemical systems are illustrated.

24 We focus here on energy recovery from carbon sources via MFCs and MECs, but it is important  
25 to note that routes for nutrient (N) removal and recovery constitute an important and rapidly  
26 development area of research. High levels of N<sub>2</sub>O emissions from MFCs for nitrogen removal have  
27 been demonstrated<sup>221</sup>, and the accumulation of N<sub>2</sub>O could be an opportunity to recover energy via  
28 the CANDO process. In addition, nitrogen recovery could be achieved via ammonia migration and  
29 deprotonation at the cathode due to high pH<sup>222</sup>.

#### 30 3.2.1 Electricity Generation: MFCs

31 A MFC is a system that uses microorganisms as a biocatalyst to convert chemical energy to  
32 electrical energy<sup>223</sup>. In addition to COD removal, autotrophic denitrification has been characterized  
33 on MFC cathodes<sup>224, 225</sup>, but performance is influenced by carbon source and C/N ration, which  
34 means the actual performance will be dependent on application<sup>226, 227</sup>. Incomplete reduction of NO<sub>3</sub><sup>-</sup>  
35 to N<sub>2</sub>O has been observed during cathodic denitrification<sup>228</sup>. This should be emphasized since N<sub>2</sub>O  
36 is a potent greenhouse gas that should be controlled.

37 The performance of MFCs is affected by several factors: rate of substrate degradation,  
38 microorganism activity, proton mass transfer in the liquid, electrode material and construction, and  
39 operational parameters (e.g., pH, buffer availability, temperature)<sup>229-231</sup>, among which the expensive  
40 electrode material is usually an important limiting factor<sup>232</sup>. At present, carbon-based materials are  
41 most commonly used in MFCs<sup>233, 234</sup>. The current trend of electrode material exploration is to apply

1 more cost-effective and biocompatible materials with higher electrical conductivity<sup>235-238</sup>.  
2 Nanomaterials (nanosheet, nanotube or nanofiber), open macroscale porous materials, and other  
3 modifications of conventional materials are attracting significant attention, and reported power  
4 densities have increased by as much as fivefold compared with traditional materials<sup>235, 236, 239-244</sup>. In  
5 addition to improvement of electrode materials, progress towards cost-effective, low resistance  
6 separators such as exchange membranes or filters is required<sup>245</sup>.

7 To date, large-scale utilization of MFCs is still constrained by low power output and high cost,  
8 which makes the system not as energy effective as once thought<sup>246</sup>. The maximum area power  
9 density of MFCs has reached 6860 mW/m<sup>2</sup> in lab-scale<sup>247</sup>, and the volumetric power density has  
10 increased up to 2.87 kW/m<sup>3</sup><sup>248</sup>. Based on these lab-scale data, scaling up of MFCs appears promising.  
11 However, practical demonstrations of pilot-scale MFCs have not yielded equivalent area or  
12 volumetric power densities. Indeed, several experiments have demonstrated performance  
13 deterioration of 10-fold or more during scaling up, and power density in MFCs was found to be  
14 inversely proportional to the logarithm of the anode surface area<sup>249</sup>. Usually, increasing the volume  
15 of each cell and connecting several MFC stacks are the two main approaches used for scaling  
16 up<sup>250-252</sup>. However, the increase in the anode resistance, specifically within the leading-out terminal  
17 of anode, leads to power loss in MFCs<sup>248, 249, 246</sup>. Several novel configurations and operational  
18 controls have been introduced recently, and more progress is expected. Single-chamber, air-cathode  
19 MFCs are suggested to be most promising because the configuration avoids the need of separators,  
20 and passive oxygen transfer is used for electron acceptor supply<sup>253</sup>. Multi-anode single-cathode  
21 MFCs could help to reduce voltages loss among multi anode/cathode systems<sup>254, 255</sup>. Other  
22 researchers are targeting energy harvesting systems, and are trying to enhance output by improving  
23 the converter efficiency<sup>256</sup>. Electron transfer from microbes to electrodes is also critical for  
24 electricity production. Till now, two mechanisms have been recognized for electron transfer: direct  
25 electron transfer by outer membrane cytochromes or nanowires, and indirect transfer through  
26 electron shuttles<sup>257, 258</sup>. Genetic manipulation of the electron transfer pathway has been demonstrated  
27 as an efficient approach for increasing energy output<sup>259, 260</sup>. Recently, several models have been  
28 developed by integrating bio-electrochemical kinetics, mass and charge balances within MFCs of  
29 different types, which is similar to chemical fuel cells<sup>261-263</sup>. Although there is much room for  
30 technology improvement, development of more mature MFC models is also needed to facilitate  
31 scale-up of more efficient MFCs.

32 The application of MFCs could also combine energy recovery from COD and NOD. The growth of  
33 algae consumes CO<sub>2</sub> and produces O<sub>2</sub>. By taking advantage of these metabolic activities, the  
34 combination of algae cultivation and Microbial Fuel Cells (MFCs) or aerobic activated sludge for  
35 COD reduction has been proposed as a promising sustainable and energy-positive system.  
36 Photosynthetic Algal Microbial Fuel Cells (PAMFCs) or Microbial Carbon Capture Cells (MCCs)  
37 with algae growth have been designed to simultaneously accomplish wastewater treatment,  
38 electricity generation and biomass production. In these applications, microalgae or cyanobacteria are  
39 grown in a photocathode, using CO<sub>2</sub> from the anode chamber as the carbon source for biomass  
40 accumulation and reducing the carbon footprint. Pandit et al.<sup>118</sup> demonstrated that MCCs generated a  
41 higher power density with cyanobacteria *Anabaena* culture sparged with a CO<sub>2</sub>-air mixture (57.8  
42 mW/m<sup>2</sup>) than a conventional cathode sparged with air only (19.6 mW/m<sup>2</sup>). The first introduction of

1 immobilized microalgae (*Chlorella vulgaris*) into MCCs was reported by Zhou et al.<sup>119</sup>, and the  
2 process achieved 84.8% COD removal and 2485.35 mW/m<sup>3</sup> maximum volumetric power density. A  
3 slightly higher COD removal efficiency (92.1%) and similar power density (2572.8 mW/m<sup>3</sup>) were  
4 obtained by the introduction of immobilized *Chlorella vulgaris* into a PAMFC<sup>120</sup>.

5 Recently, several other innovative modified bioelectrochemical systems have been reported. For  
6 example, a Microbial battery (MB), was introduced by Xie et al.<sup>217</sup>. Unlike MFCs that use  
7 air-cathodes, the MB contains a solid-state cathode that can be “recharged” periodically. In addition,  
8 Cusick and colleagues proposed a novel Microbial Reverse-Electrodialysis Cell (MRC) that relies on  
9 waste heat and salinity gradients for energy capture<sup>265</sup>. These novel approaches are based on  
10 lab-scale experiments, and additional work is needed to clarify their potential for practical  
11 large-scale applications.

12 On average, modern methanogenic digesters have the potential to generate ~380-960 W/m<sup>3</sup>  
13 electricity<sup>233</sup>. To be comparable to AD, the power density of MFCs still needs to increase by a factor  
14 of approximately 3.5 (the typical area power density for MFCs is ~1000mW/m<sup>2</sup>)<sup>241</sup>, making the  
15 current generation of MFCs un-competitive. In addition, in WWTPs, the removal of contaminants is  
16 the primary goal, and power production comes second<sup>264</sup>. Despite these challenges, the high-energy  
17 generation potential and positive carbon footprint make MFCs still one of most promising methods  
18 for achieving energy positive wastewater treatment.

### 19 3.1.2 High Value Byproduct Formation: MECs

20 Unlike MFCs and MB that produce electricity, microbial electrolysis cells (MECs) consume  
21 electricity and harness the energy in the form of hydrogen or other energy sources. A LCA (Life  
22 Cycle Assessment) indicates that high value products from well-designed MECs provide significant  
23 environmental benefits<sup>266</sup>. On the anode surface of MECs, electrochemically active bacteria oxidize  
24 organic matter and produce electrons and protons. Then on the cathode with the presence of suitable  
25 catalyst, hydrogen is produced by protons and oxygen via extra voltage<sup>267</sup>. The applied voltages  
26 should be considered carefully for reasonable energy efficiencies (the energy in the hydrogen gas  
27 produced relative to the electrical energy input) and COD removal rate<sup>268, 269</sup>. A recent study  
28 demonstrated that the energy efficiency ranged between 406±6% and 194±2% when applied voltages  
29 rose from 0.3V to 0.8V<sup>268</sup>. As a modification of MFCs, both single-chamber and two-chamber cells  
30 could be used. But usually, a two-chamber MEC divided by membrane is suggested so that the effect  
31 of hydrogenotrophic methanogenesis would be minimized<sup>270</sup>.

32 Similar to other bioelectrochemical processes, anode and cathode properties are extremely  
33 important for MEC performance. Studies showed that the interaction between microbial metabolism  
34 and electrodes could affect the performance of the fuel cell<sup>271</sup>. Bioelectrical reactions cause pH to  
35 decrease in the anode chamber and increase in the cathode chamber. As the solution chemistry (pH,  
36 conductivity) is so important, choice of catholyte acts as a key factor regulating hydrogen  
37 production<sup>220, 270</sup>. Similar to MFCs, process scale-up is challenging. Large effective cathode surface  
38 area and the elimination of methanogens are both thought to be key considerations for  
39 bioelectrochemical system scale up<sup>274</sup>. To demonstrate that MECs are suitable for practical usage,  
40 scaled up processes have been installed in several studies, as detailed in Table 5.

41 Besides the main product (hydrogen), it is possible to obtain other valuable products from  
42 MECs to further recover energy or nutrients. This approach is termed microbial electrosynthesis.

1 Some H<sub>2</sub>-driven reactions could produce storage polymers such as PHB for bioplastic production<sup>275</sup>,  
2 or produce acetate by homoacetogens<sup>276</sup>. Methane could be produced either by acetoclastic  
3 methanogenesis and hydrogenotrophic methanogenesis (mostly from hydrogen)<sup>277</sup> or by direct  
4 electron transfer to methanogens rather than from hydrogen or acetate<sup>278</sup>. Thus, a methane-producing  
5 MEC combined with AD has been proposed as a promising polishing post-treatment for AD<sup>279-281</sup>.  
6 Ethanol and butanol formation are also observed on the cathode<sup>282</sup>. Cusick and Logan<sup>283</sup> also  
7 introduced a Microbial Electrolysis Struvite-precipitation Cell (MESC) for concurrent recovery of  
8 phosphate and hydrogen.

#### 9 4. OUTLOOK FOR ENERGY POSITIVE WASTEWATER TREATMENT

10 While several technologies have been reviewed separately here, it is unlikely that our goal of  
11 energy neutral or positive wastewater treatment can be attained with single technology. The  
12 combination of various technologies, deliberate arrangement of pre-treatment, core treatment and  
13 post-treatment methods, and a combination of sidestream and mainline treatment are the key to  
14 energy positive operation and resource recovery from wastewater treatment. On the one hand, we are  
15 trying to combine contaminant removal, energy generation and resource recovery using diverse  
16 processes and effective control systems. On the other hand, efforts should be made to simplify  
17 configurations since complex configurations and processes likely would require high capital  
18 investments as well as operational and maintenance costs. In addition, since wastewater treatment  
19 processes are highly environment dependent, it is unlikely that a single universal process will be  
20 optimal for all wastewaters. This is especially true for the biological treatment processes that are the  
21 focus of this review, due to their often strong dependence on temperature and influent composition.  
22 Furthermore, while focusing on energy neutral strategies, it is critically important to retain public  
23 health and environmental protection as our primary goals in wastewater treatment processes, via  
24 production of clean water without health risks from pathogens, heavy metals and trace organics<sup>284</sup>.

##### 25 4.1 Future Directions

26 Compared with conventional activated sludge systems, advanced wastewater treatment plants  
27 are now making significant progress towards energy neutrality through installation of, among others,  
28 AD and nitrification-anammox processes. Despite extraordinary recent advances in the laboratory and  
29 in practice, much remains to be done to realize the full potential for energy savings or recovery from  
30 wastewater. We highlight selected routes for future investigations below.

31 **Microbial ecology and metabolic mechanisms.** Even though suspended and attached growth  
32 bioprocesses have been widely applied in wastewater treatment for over a hundred years, we have  
33 only a superficial understanding of microbial community structure, dynamics, interactions, and  
34 structure-function relationships in these engineered systems. Future research efforts in this realm will  
35 doubtless spur advances in process development and operation. Among each of the methods  
36 reviewed, numerous open questions related to microbial ecology remain. These include identification  
37 of functional groups relevant to bioelectrochemical systems; the impact and importance of spatial  
38 relationships among AOB, NOB and anammox on performance and stability in nitrification-anammox  
39 processes; and the importance of and controls on diverse metabolic pathways for N<sub>2</sub>O production in

1 the CANDO process. Moreover, efforts are warranted towards inclusion of molecular microbial  
2 ecological analyses into predictive models for process performance (function) and for improved  
3 process control strategies<sup>285, 286</sup>. For example, metrics of microbial community structure could  
4 potentially be used as a predictor of contaminant removal rates, along the lines of recent efforts by  
5 Seshan et al. and Helbling et al<sup>287</sup>. In addition, detection of low levels of unwanted taxa via  
6 molecular methods, such as NOB in nitrification-anammox processes, might be an early warning  
7 signal of process deterioration.

8 **Process stability and efficiency of energy capture.** Fluctuations in process stability are a  
9 common challenge in wastewater treatment processes, especially for refractory wastewater and low  
10 temperature environments. In practice, the deterioration of treatment performance and consequent  
11 reduction of energy production in the bioprocesses reviewed here needs to be prevented. Advances in  
12 instrumentation and sensor technology will doubtless aid in development of improved monitoring  
13 and control strategies for prevention of process upsets, but large design safety factors or inclusion of  
14 redundant backup units may also be warranted at full-scale, at least initially, to offset uncertainties in  
15 process stability. In addition, opportunities for improvement remain in terms of energy capture  
16 efficiency. Typically only 50% of the BOD input is digested in AD, and the production of electricity  
17 via combustion results in losses as large as 65% energy<sup>1, 288</sup>, which means that most of the energy  
18 captured has been lost. Even though bioelectrochemical systems have higher efficiencies<sup>289</sup>, there is still  
19 much work to be done to maximize this important parameter.

20 **Combined energy and nutrient or material recovery.** In this review, we emphasize energy  
21 savings or recovery during nutrient and organic matter removal. In some cases, however, material  
22 recovery, particular nutrient recovery, may be a better choice. For example, instead of N removal  
23 from wastewater, direct recovery of  $\text{NH}_4^+$  as a fertilizer is a conceptually extremely attractive option,  
24 as highlighted above. As these innovative technologies for energy and nutrient recovery mature,  
25 economic and technical feasibility analyses will be needed to optimize use of these approaches. We  
26 wish to emphasize that this need not be an “either/or” proposition; in all probability, a combination  
27 of energy and N (and other material) recovery technologies will prove most beneficial, and this  
28 combination will likely differ on a case-by-case basis.

29 **Model development.** Simulations for organic matter, nutrient and microbial transport in  
30 bioreactors, as well as quantitative evaluation of the impacts of difference environmental factors on  
31 microbial growth, metabolic reactions, and pathways are trends for further WWTP research and  
32 design. As mentioned before, models for COD removal are well-developed. By comparison, much  
33 work remains for model development for emerging N removal processes. Modeling activity for these  
34 processes has largely focused thus far on sidestream nitrification-anammox systems. Experimental  
35 work has shown that the nitrification and anammox activity balance in such systems could be affected  
36 by aggregate size distribution<sup>54</sup>. The impact of this relatively easily measured parameter has been  
37 corroborated by recent modeling efforts<sup>290</sup>, and aggregate physical characteristics (balance between  
38 floccular and granular biomass) has also been shown via modeling to be a likely influential driver of  
39 nitrification-anammox process performance and activity segregation<sup>291</sup>. We suggest that additional  
40 modeling efforts along these lines are warranted to predict process performance characteristics under  
41 dynamic, fluctuating conditions, and to aid in development of effective control schemes for  
42 sidestream and mainline nitrification-anammox, CANDO, and microalgal processes.

1        **LCA analysis.** Cradle-to-Gate LCA is a useful tool for evaluation and comparison of different  
2 methods by considering both downstream and upstream processes and impacts. Several studies  
3 describing LCA application to mature processes like AD are available in the primary literature<sup>133, 134,</sup>  
4 <sup>292-294</sup>. The application of LCA to lab or pilot scale techniques, e.g. mainline anammox and CANDO,  
5 would not be easy since little reliable input or output data could be obtained. Variability in boundary  
6 setting, inventory input and interpretation of results are key challenges to the application of LCA.  
7 Development of standardized guidelines has thus been suggested to normalize use of the LCA  
8 methodology<sup>295</sup>. However, it is still a strong tool for methods comparison and could be used as  
9 supplemental criteria for methods selection or to direct future research strategies. As data emerges  
10 from full-scale trials of the technologies highlighted here, LCA will become an important  
11 decision-making tool for practitioners, and should be the focus of future efforts.

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Table 1. Studies focusing on application of anammox processes under moderate or low temperature

Configuration		Volume (L)	Operation Conditions			Major Conclusions	Reference
			Influent nitrogen concentration	T (°C)	HRT (day)		
Granular Sequencing Batch Reactor (SBR)	Two units	1.0	400-700 mg/L NH <sub>4</sub> -N with different dilution rates	20	0.25+1	The single-unit seems to be more stable than two-stage unit at moderate temperature; DO concentration could be used as the control parameter adapting to the changes of the operational conditions	25, 296
A lab-scale anammox UASB	One unit	1.5+1.0	69±5 mg N/L	20	0.22	Nitrogen removal rate reached 0.26 g N/(Ld); A low effluent concentration: 0.03-0.17 mg (NH <sub>4</sub> <sup>+</sup> +NO <sub>2</sub> <sup>-</sup> )/L; Anammox biomass was retained as granules and as a biofilm on the reactor walls, and both contributed to nitrogen removal	297
An anammox UASB		8.0	16.87±2.09 mg/L NH <sub>4</sub> <sup>+</sup> -N, 20.57±2.31 mg/L NO <sub>2</sub> <sup>-</sup> -N, 13.97±3.99 mg/L NO <sub>3</sub> <sup>-</sup> -N	30-16	0.12-0.26hr	Nitrogen removal rate reached 5.72 gN/(Ld) at 30°C, and 2.28 gN/(Ld) at 16 °C; Anammox granular sludge was formed at 30 °C and could maintain at lower temperature	298
An anammox UASB with low-intensity ultrasound irradiation		1.0	N/A	15	N/A	It was possible to increase the stability of Anammox by ultrasound irradiation under moderate temperature	299
A one-stage nitrification-anammox SBR		5	70 mg NH <sub>4</sub> <sup>+</sup> -N/L	12	0.5	90% of the supplied nitrogen was removed at low temperature; NOB activities was not detected under oxygen limitation; The decreasing of activities due to low temperature was reversible so that biomass could adjust seasonal changes	300

A one-stage nitritation-anammox Rotating Biological Contactor (RBC)	2.5	60 mg NH <sub>4</sub> <sup>+</sup> -N/L	15	1.09-1.57hr	The total nitrogen removal rates can be maintained at 0.5 gN/(Ld) when temperature was decreased from 29 °C to 15 °C with low nitrogen loading and moderate COD levels; The accumulation of nitrite and nitrate was observed, and authors noted the need for future improvement	47
A pilot scale MBBR nitritation-anammox	200	715-837 mg/L NH <sub>4</sub> <sup>+</sup> -N	10-19	1.7-4.1	The system had stable nitrogen removal when decreasing temperature from 19 °C to 13 °C, and became unstable at 10 °C; Anammox bacteria were dominant despite of temperature changes	301

Table 2. Mainline nitrification-anammox demonstrations and NOB suppression strategies

Configuration	Facility & Location	NOB Suppression strategy	Major outcome	Reference	
A lab-scale single-stage nitrogen-removal biological filter (NRBF) and an external aeration cell	Inha University, Republic of Korea	HRT adjustment Oxygen supply control	The nitrogen removal rate as well as nitrogen loading rate increased with a decrease in HRT, and efficient nitrogen removal was obtained with 1h HRT Over 90% total N removal by controlling oxygen supply to 0.75 mol O <sub>2</sub> /mol NH <sub>3</sub> added	59	
A lab-scale membrane bioreactor (MBR)	Beijing University of Technology, Beijing	HRT adjustment Oxygen supply control	The nitrogen removal rate reached 0.97 kg/m <sup>3</sup> d Sufficient oxygen supply suppressed NOB	302	
A lab-scale rotating biological contactor	LabMET, Ghent University, Belgium	High DO Transient anoxia Residual ammonium	RBCs demonstrated to be a reliable configuration to ensure anammox retention at short HRT operation; Rapid transient anoxia, high DO exposures due to atmospheric contact contributed to high AerAOB rates	303	
A pilot scale A/B process:	A-stage: COD removal B-stage: CSTR and MBBR	Chesapeake-Elizabeth WWTP, the Hampton Roads Sanitation District (HRSD), Virginia	Residual ammonia Novel AVN aeration controller <sup>a</sup> Transient anoxia Controlled COD input Limiting aerobic SRT	The mainstream deammonification at ambient temperature removed up to 95% total influent nitrogen The major NOB suppression mechanism was DO control CSTR biomass has poor settling characteristics and challenges for SRT control	53, 304
A 4m <sup>3</sup> pilot-scale plug flow reactor	Dokhaven-Sluisjesdijk WWTP, Rotterdam, The Netherlands	High DO; Granular anammox biomass inoculation; Controlled COD input; SRT adjustment	Oxygen competition plays key role in NOB out-selection; Granular sludge has ability to resist harsh environments	305	

A 10L pilot scale bench-scale sequencing batch reactor (SBR)	Blue Plains WWTP, Washington, DC	Transient anoxia; Sieve/fine screen based technologies for bioaugmentation from sidestream; Cyclones on mainstream to retain anammox	Higher DO (1.5 mg/L) is effective for NOB suppression; Transient anoxia seems to be the crucial process	5, 57
A full scale A/B mainstream process with sidestream DEMON process	Strass WWTP, Austria	Cyclones for bioaugmentation from sidestream system	With mainline and sidestream Anammox, Strass WWTP is a net energy positive plant	5, 57
A full scale step feed activated sludge (SFAS) process	Changi Water Reclamation Plant (WRP), Singapore	Short SRT under the high operating temperature; Alternating aerobic and anoxic conditions	Lower ammonium concentration and higher COD/NH <sub>4</sub> result in a suspended/floc or free anammox growth; the utilization of COD by PAO (phosphate accumulate organisms) could cause the out-selection of denitrifiers	60

a. AVN aeration control strategy is to control aerobic duration based on the comparison between NH<sub>4</sub>-N and NO<sub>x</sub>-N.

Table 3. Comparison of processes for complete N removal in terms of oxygen and reducing equivalents from organics consumed, biosolids produced, and energy recovered per mole ammonia. All calculations based on reported biomass yield and typical solids residence time for each unit operation (Rittmann and McCarty, 2001)<sup>306</sup>.

	Nitrification- Denitrification	Nitritation- Denitritation	Nitritation- Anammox	CANDO	CANDO (autotrophic) <sup>b</sup>
O <sub>2</sub> (mole)	1.8	1.3	0.7	1.3	0.7
Reducing Equivalents from Organics (e <sup>-</sup> )	9	5.5	1	3.5	0
Biosolids Produced (g VSS) <sup>a</sup>	28	18	7	12	8
Energy Recovery from NOD (kJ)	0	0	0	41	41

<sup>a</sup>Value includes biosolids produced from ammonia oxidation and nitrite, or nitrate, reduction

<sup>b</sup>Theoretical values from aerobic ammonia oxidation coupled to nitrifier-denitrification

Table 4. Summary of full-scale co-digestion applications

Co-digestion Substrate	Full-scale Application	Reference
Domestic solid waste	Velenje, Slovenia	307, 308
	Viareggio and Treviso, Italy	
Food waste	British Columbia, Canada	309, 310
	EBMUD <sup>a</sup> , CA, USA	
Manure and food waste	Marcon-Venice, Italy	311
Slaughterhouse waste	LinkÖping AB, Sweden	312

a. EBMUD: the East Bay Municipal Utility District

Table 5. Examples of scale-up demonstrations for MECs

Anode	Cathode	Configuration	Inoculation	Hydrogen Production Rate	Reference
Two layers of carbon felt	Carbon paper with electrodeposited nickel particles	A continuous-flow single-chamber 20L MEC (two modules in series)	Other existing MEC effluent	0.2 and 0.9 mol H <sub>2</sub> mol/COD for two reactors	<sup>313</sup>
One layer of carbon felt	Carbon paper with electrodeposited nickel particles	A continuous-flow single-chamber 10L MEC (two modules in series)	Homogenized anaerobic mesophilic sludge	0.12-0.36 mol H <sub>2</sub> mol/COD	<sup>314</sup>
A sheet of carbon felt	Stainless steel wire wool (grade 1)	120L MEC	N/A	H <sub>2</sub> production: 0.015 L/Ld	<sup>315</sup>
Graphite fiber brushes	SS 304 (mesh #60, W=7.6cm, L=66cm, McMaster-Carr, OH, USA)	1000L continuous flow MEC	Various inoculation and feed adjustment (Geobacteraceae as dominant species)	Gas production: 0.19±0.04L/Ld (with 86±6% of methane)	<sup>316</sup>

Figure 1. Nitrogen flow for nitrification-denitrification, nitritation-anammox and nitritation-denitrification

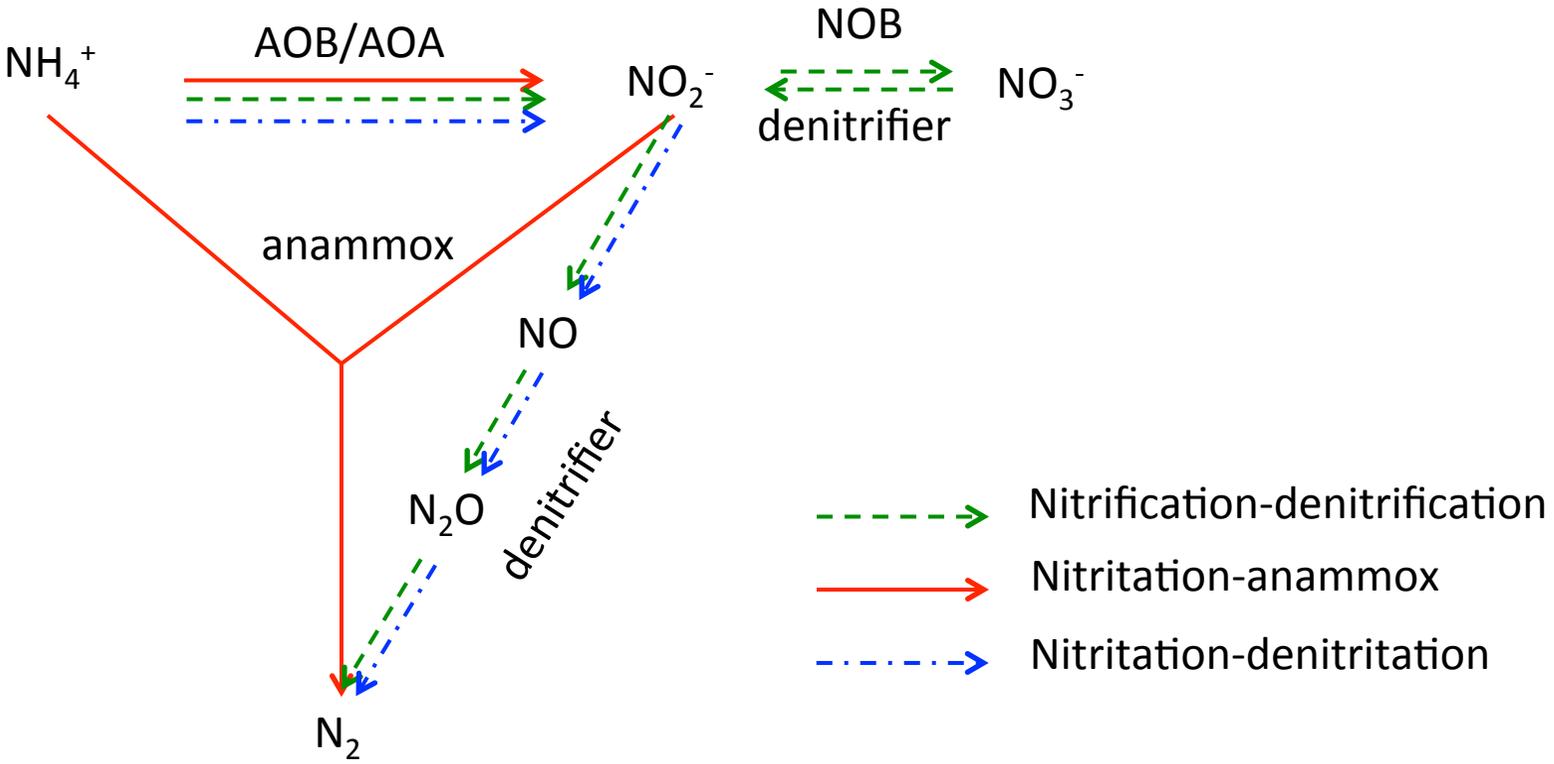
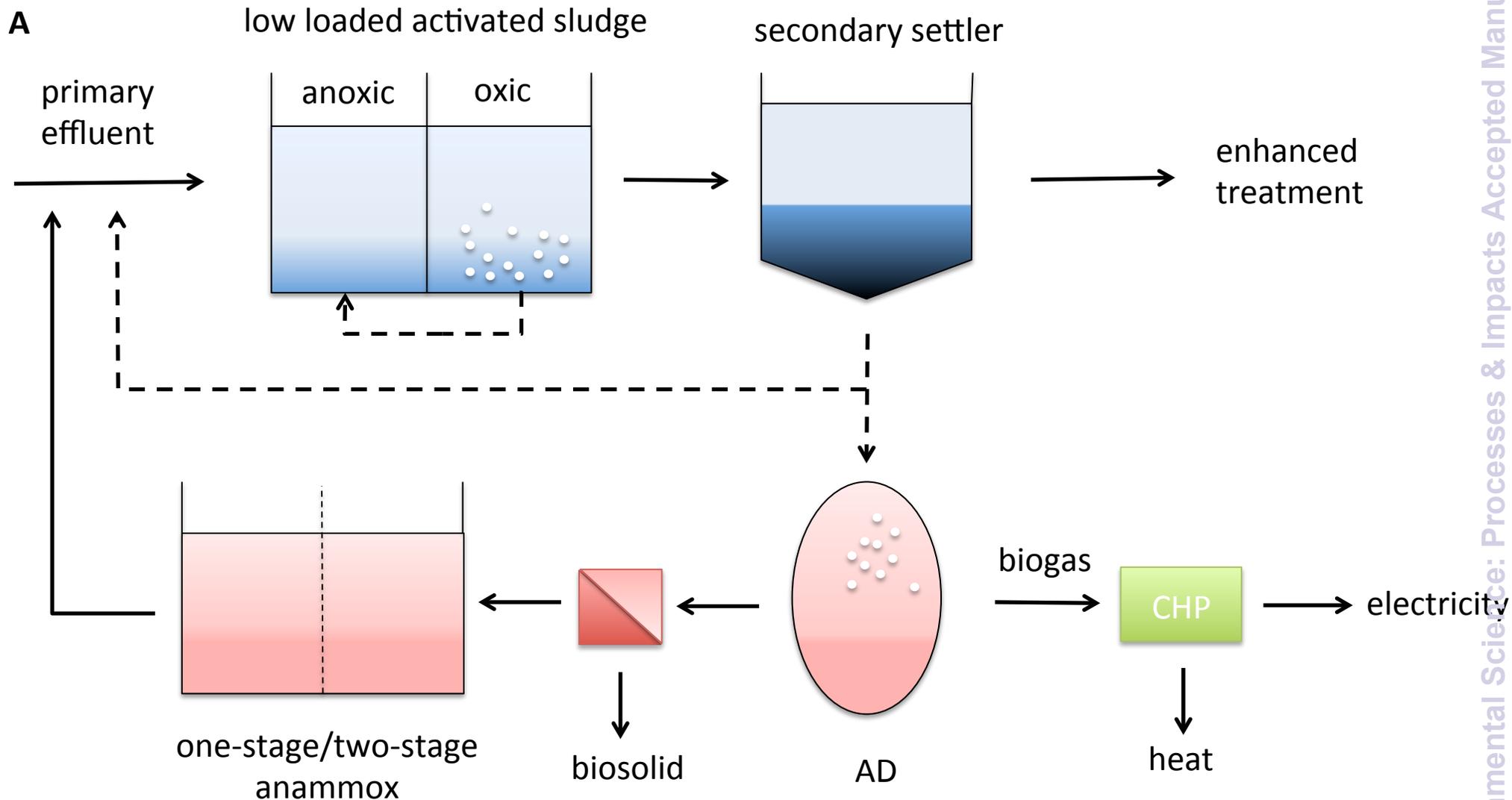
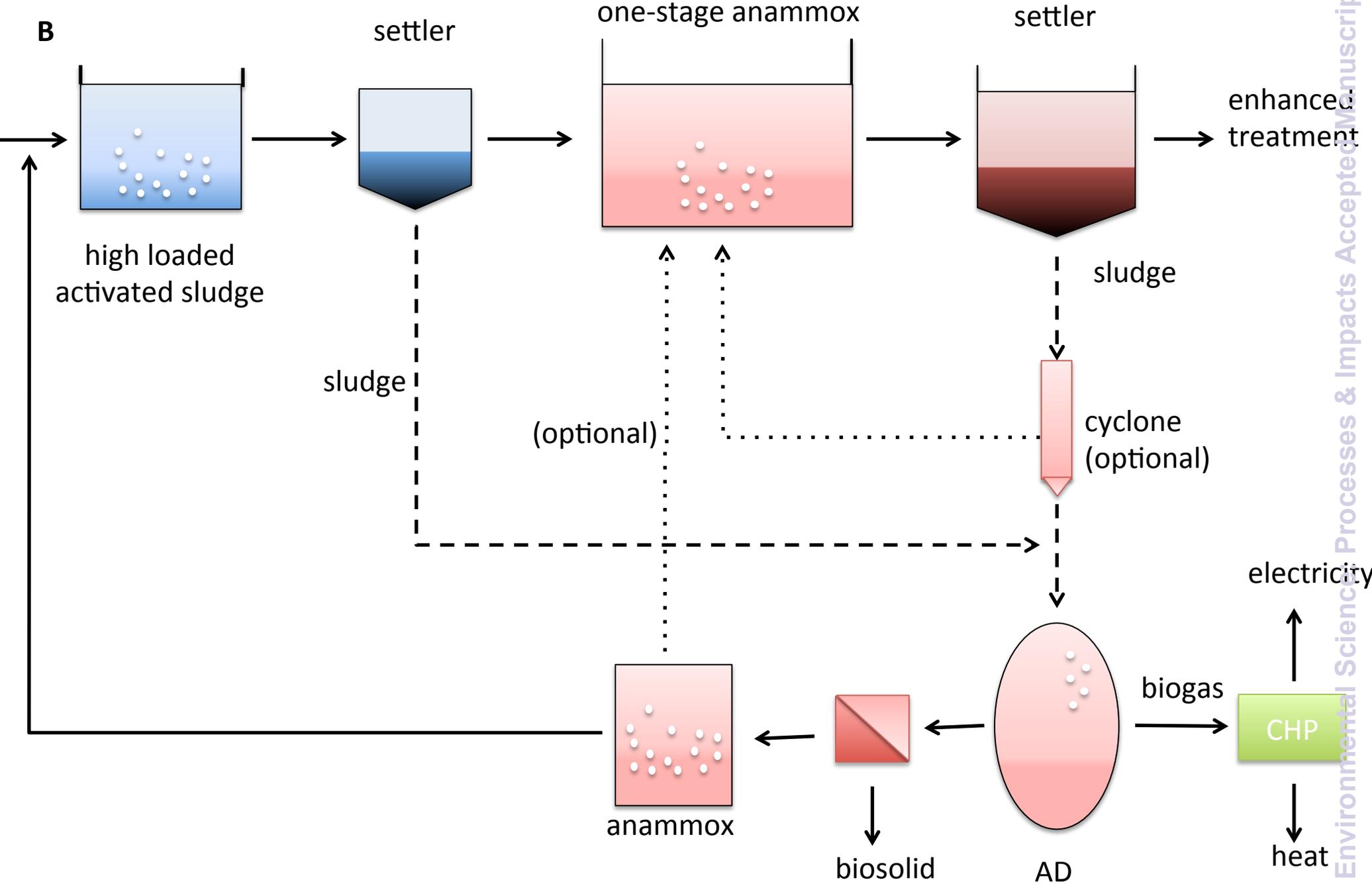


Figure 2. Schematic overview of nitrification-anammox based wastewater treatment processes in the (A) sidestream and (B and C) mainstream. AD: anaerobic digestion; CHP: combined heat and power; AnMBR: anaerobic membrane reactor. (After De Clippeleir et al. (2013), Ref. 303)





C

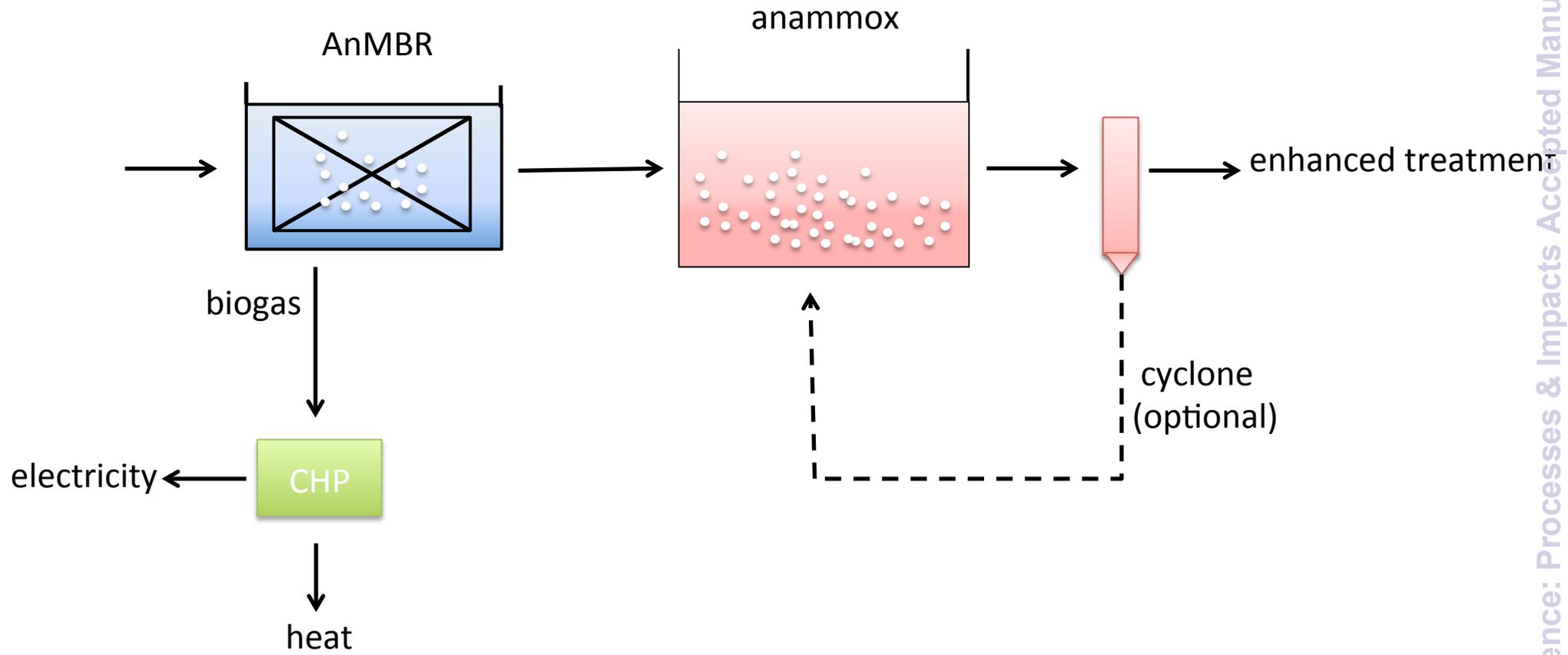
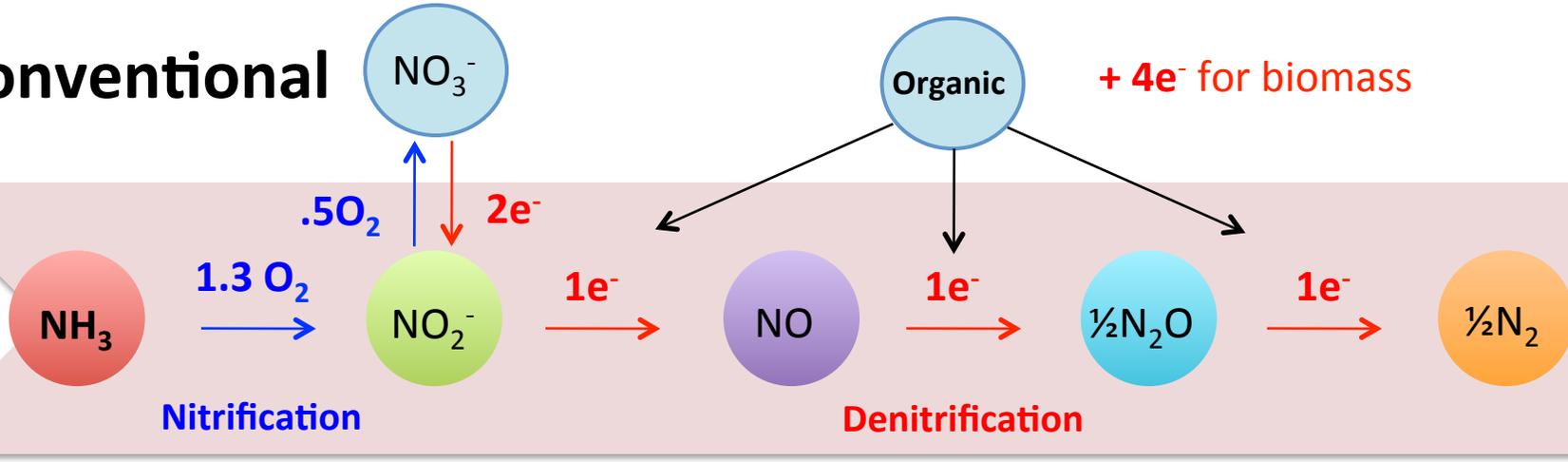


Figure 3. Comparison of four processes for nitrogen removal in terms of oxygen and reducing equivalents from organics consumed, biosolids produced, and energy recovered: (A) Conventional Nitrification-Denitrification, (B) Nitritation-Denitrification, (C) Nitritation-Anammox, (D) CANDO, and (E) a possible future variation of CANDO, here termed CANDO autotrophic. All calculations based on reported biomass yield and typical solids residence time for each unit operation (Rittmann and McCarty, 2001, Ref. 306).

A

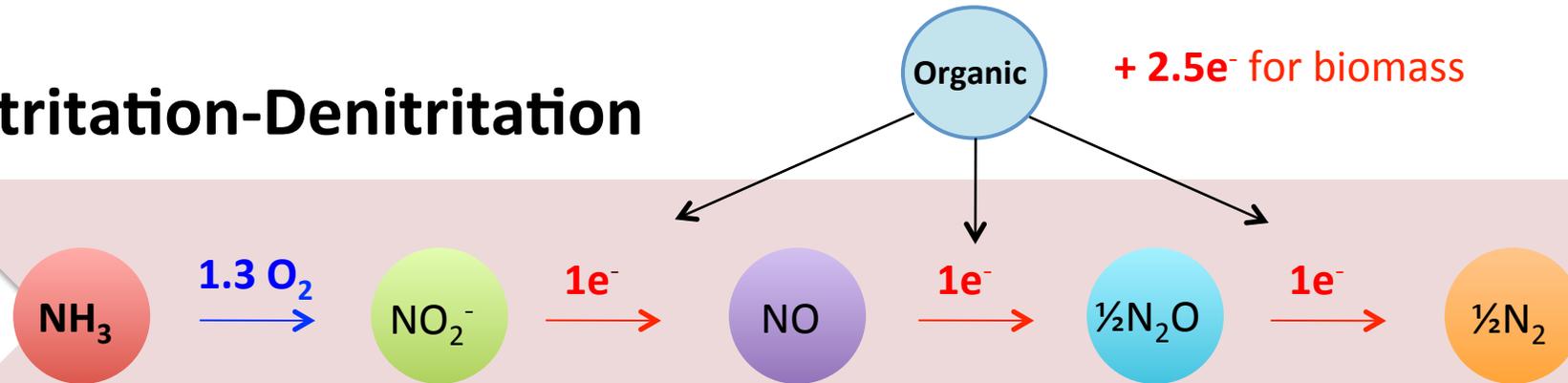
### Conventional



**Oxygen Demand = 1.8 moles  $\text{O}_2$**   
**Reducing Equivalents = 9 moles  $e^-$**   
**Biosolids = 28 g VSS**

B

## Nitrification-Denitrification



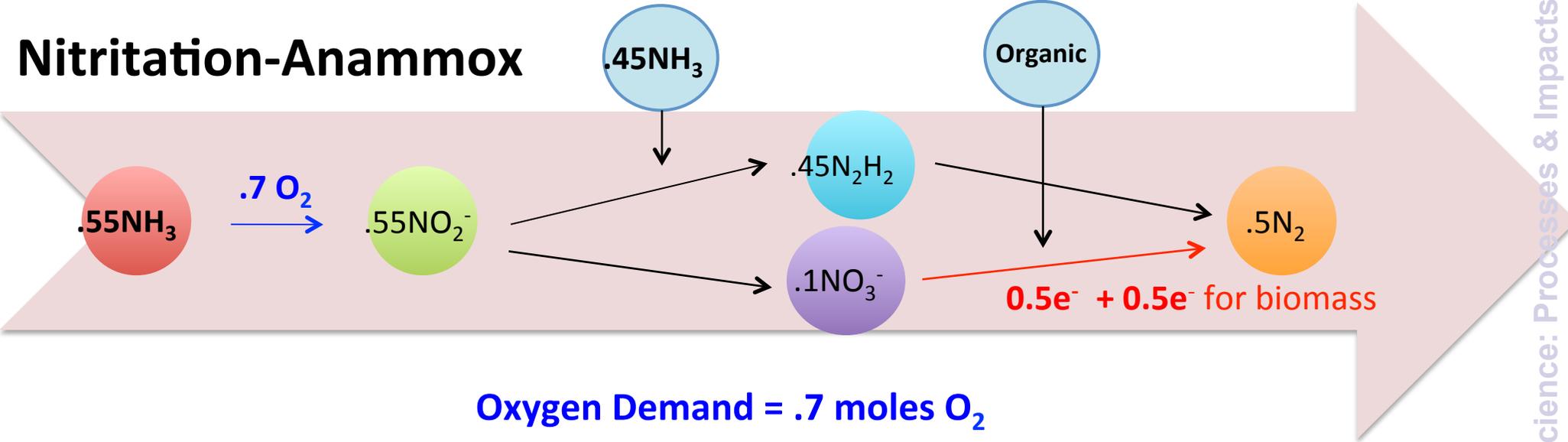
Oxygen Demand = 1.3 moles  $\text{O}_2$

Reducing Equivalents = 5.5 moles  $e^-$

Biosolids = 18 g VSS

c

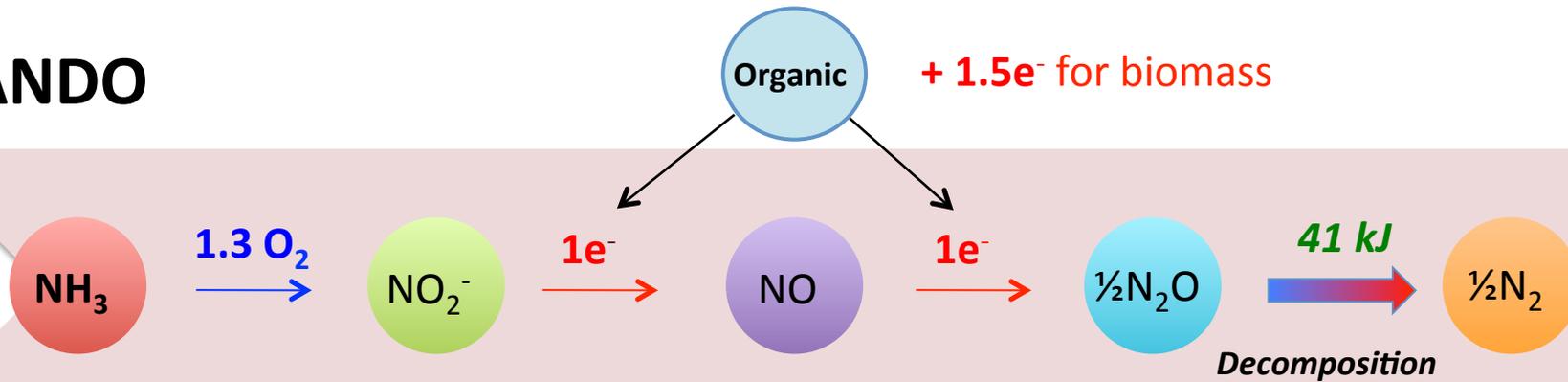
### Nitritation-Anammox



Oxygen Demand = .7 moles O<sub>2</sub>  
Reducing Equivalents = 1 mole e<sup>-</sup>  
Biosolids = 7 g VSS

D

CANDO



**Oxygen Demand = 1.3 moles  $\text{O}_2$**

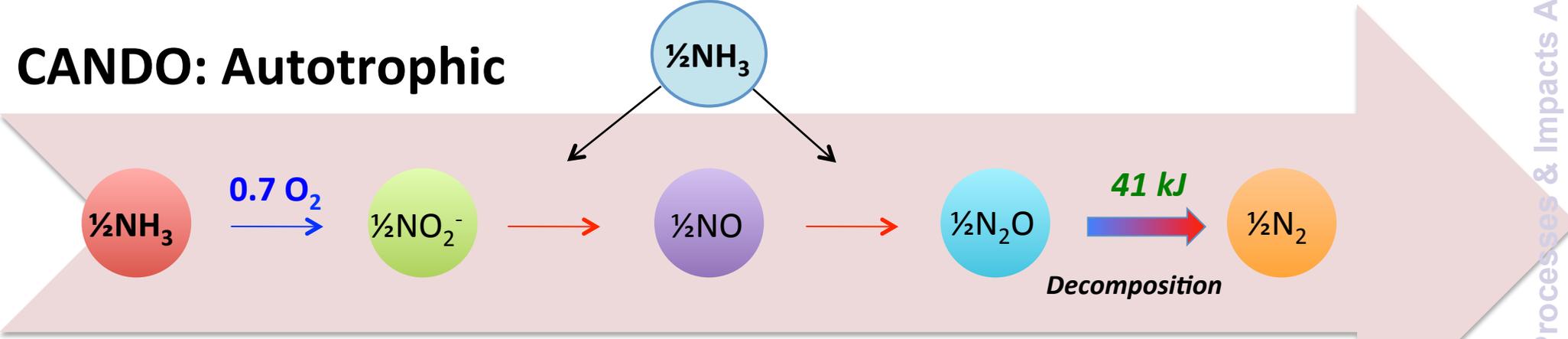
**Reducing Equivalents = 3.5 moles  $e^-$**

**Biosolids = 12 g VSS**

**Energy Recovered = 41 kJ**

E

### CANDO: Autotrophic



**Oxygen Demand = 0.7 moles O<sub>2</sub>**  
**Reducing Equivalents = 0 moles e<sup>-</sup>**  
**Biosolids = 8 g VSS**  
**Energy Recovered = 41 kJ**

Figure 4. A portrait of algae cultivation combining with environmental science, energy generation, and multi-byproducts utilization

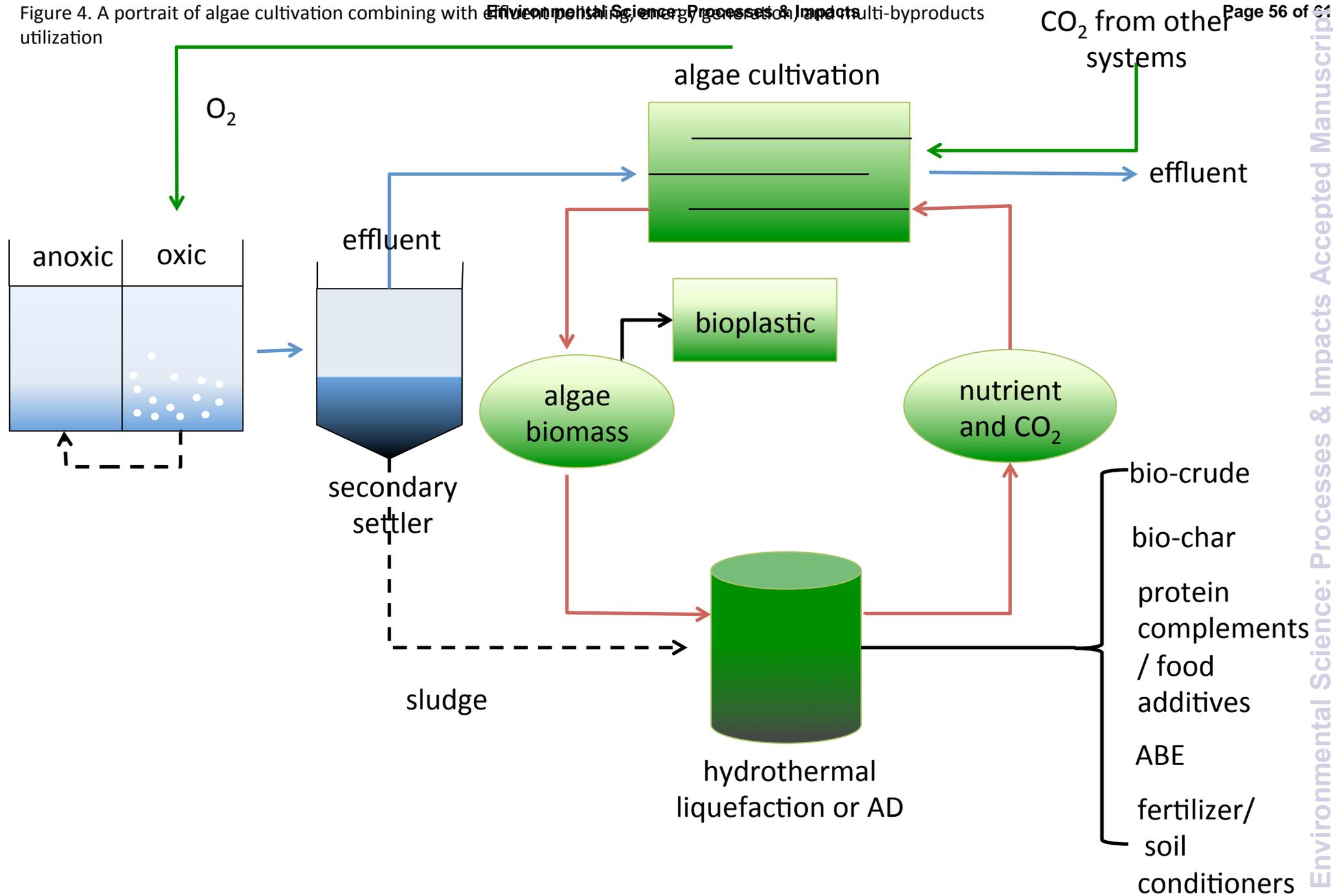
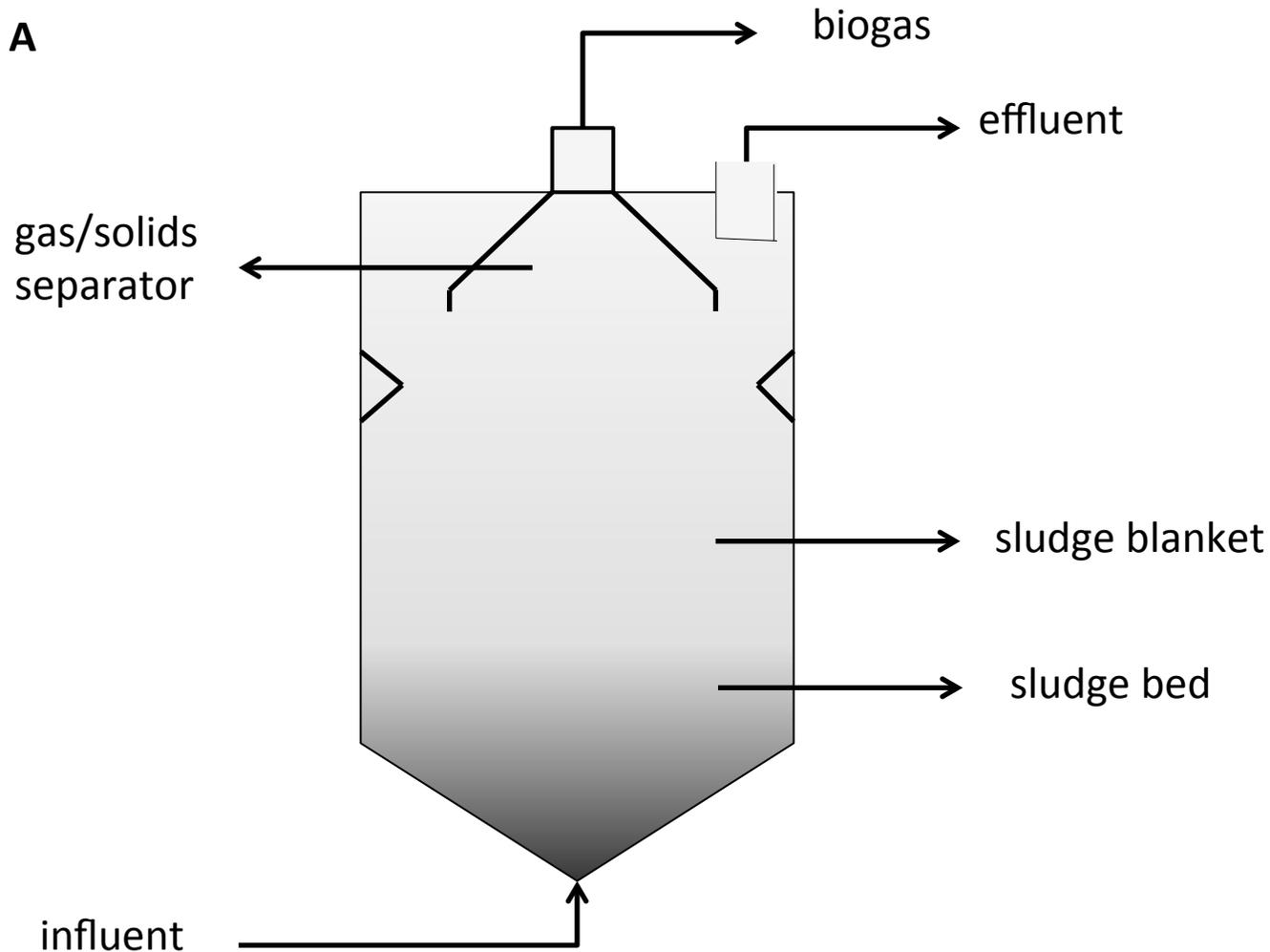
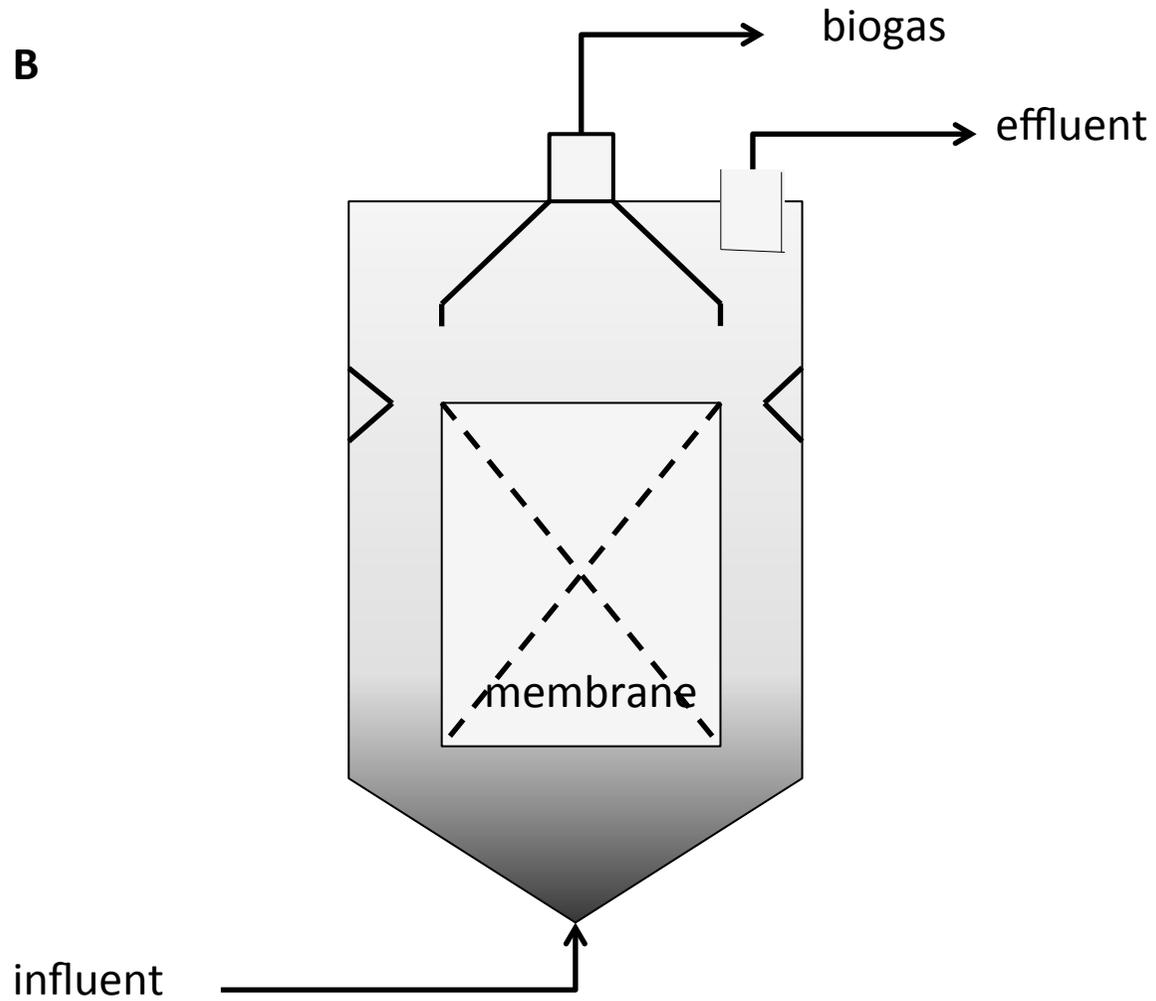


Figure 5. Schematic configuration of a (A) UASB and two kinds of AnMBR: (B) submerged and (C) sidestream





C

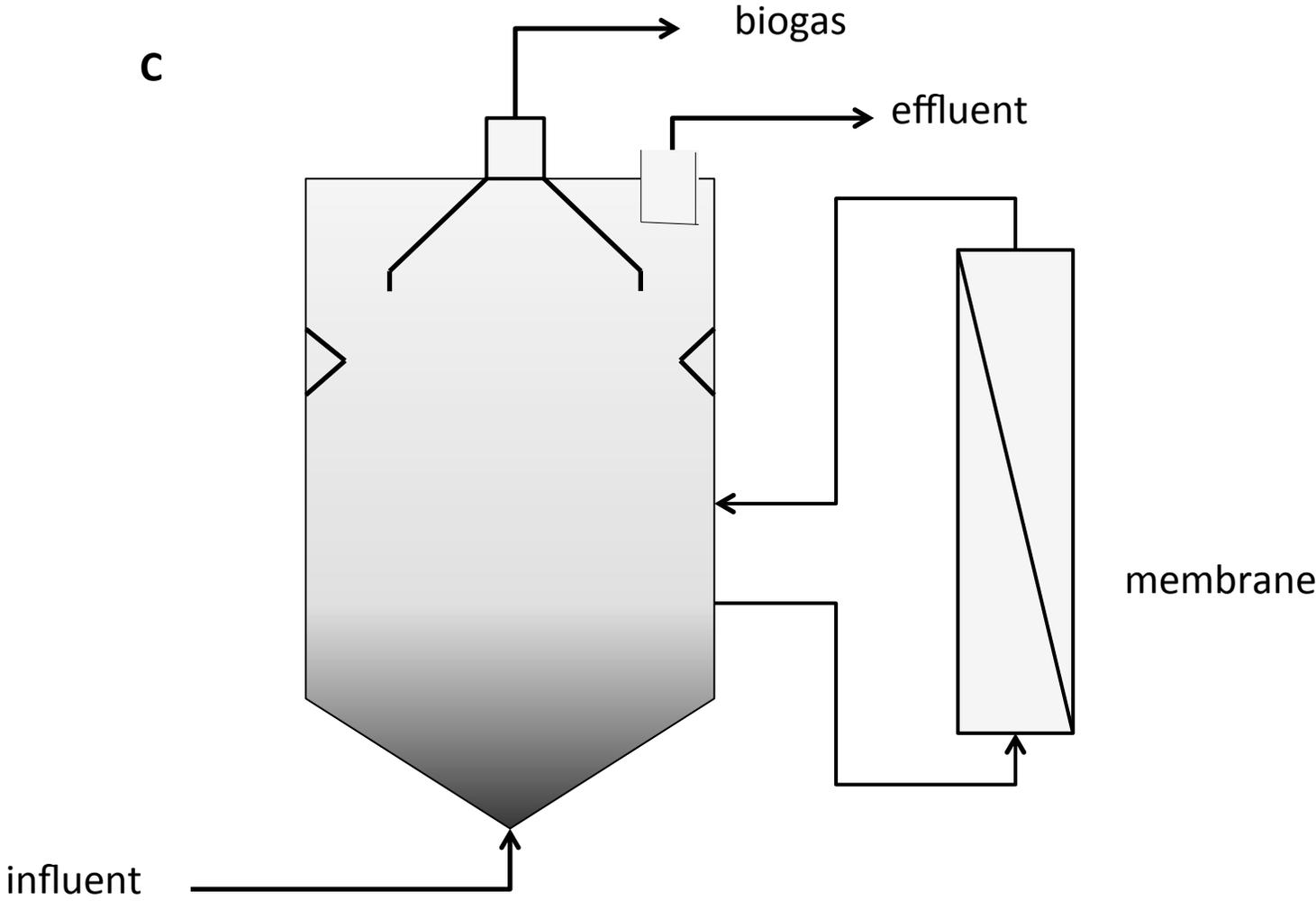
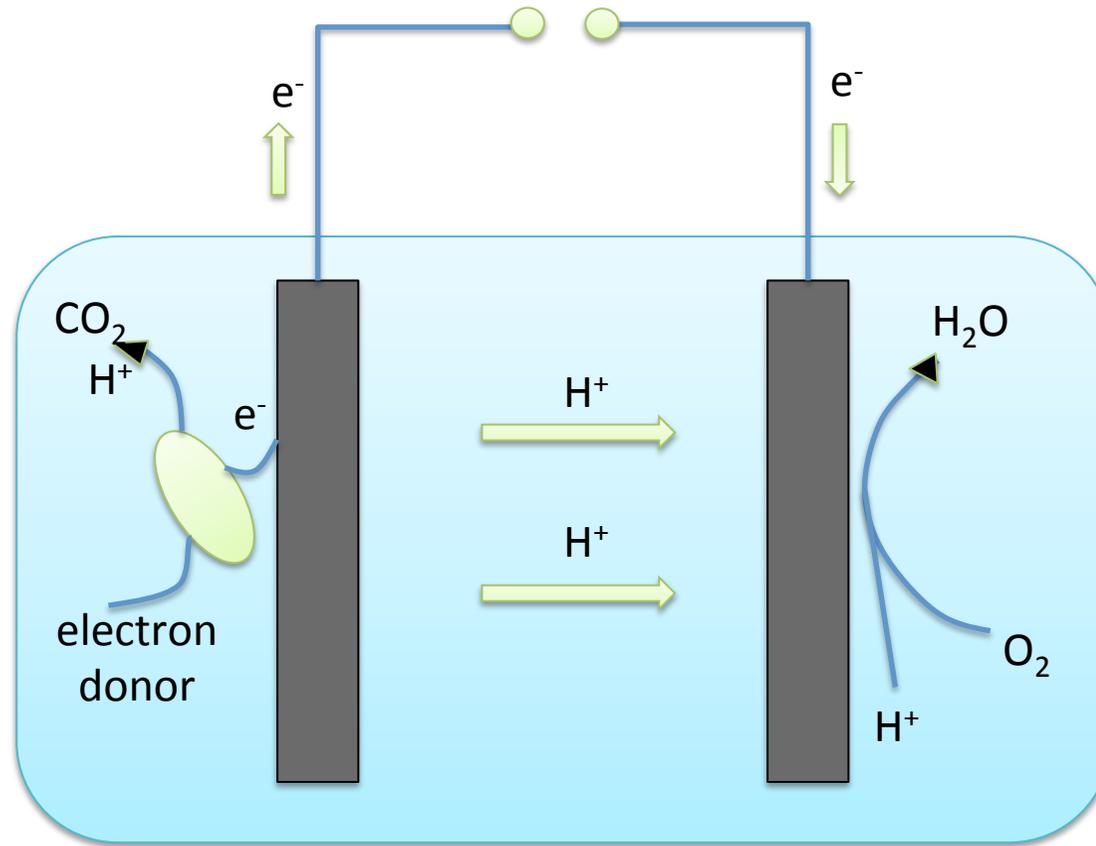


Figure 6. Schematic illustration of energy generation or byproduct formation using (A) MFC and (B) MEC

A



B

