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Phosphole-based ligands in catalysis

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§ dedicated to Dr. Denis Neibecker

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1. Introduction

Trivalent phosphorus compounds play a dominant role in homogeneous catalysis as ligands for transition metals through the significant progress they have helped to accomplish in terms of catalytic activities and selectivities. The advantage of soft phosphorus ligands relative to other ligands commonly used (amines, alcohols or carboxylic acids) is their special ligating properties to form stable complexes with soft metals that are catalytically active in a number of chemical transformations.¹ The ability of phosphorus ligands to act as organocatalysts was also recognized.²

Steric and electronic modification of the phosphorus substituents along with the structural variability of P(III) compounds enable the fine-tuning of the chemo-, regio-, and (in appropriate cases) enantio-selectivities of homogeneous catalytic reactions. Thus a wide variety of trivalent P-ligands including chiral ligands has been developed; mono-, bi- and poly-dentate ligands (even with other ligating groups).³

The importance of phosphorus (III) donor ligands has also contributed in both the synthesis of new phosphorus compounds and in their increasing role in homogeneous catalysis. Among them, P-heterocyclic ligands⁴ have achieved impressive maturity; in particular phospholes and their derivatives.⁵

The chemistry surrounding phospholes has been developed over more than 50 years. While the first phospholes were described in the 1950s, the synthetic routes combining high yields and diversity of substitution patterns were only established in the late 1960s. The application of phospholes in homogeneous catalysis had already appeared in the early 1970s when the dibenzophospholyl moiety and various chiral skeletons of natural origin were merged resulting in ligands that showed excellent performance in asymmetric catalysis.

Phospholes exhibit a very rich chemistry due to their easy accessibility, substitution pattern variability and versatile reactivity.⁶ While intensive studies have been devoted to the elucidation of their electronic structure, these heteroles are now widely used as: i) monodentate P-ligands; ii) building blocks for the design of various bi- or poly-dentate P-ligands; iii) precursors for other P-heterocycles; iv) subunits to tailor of π -conjugated systems giving recognized applications in molecular materials⁷, medicine⁸ and catalysis.

Initially introduced in catalytic hydroformyaltion reactions, phosphole-based catalysts were and are still being exploited in many metallo-catalyzed reactions (asymmetric or non

asymmetric reactions). More recently, the ability of phosphole compounds to act as organocatalysts was also recognized.

The present contribution intends to highlight the potential of different phosphole-based ligand families in catalysis (metal- and organo-catalyzed reactions included) and offers an overview of their applications from its discovery to the present day. This review presents a full scope of the phosphole-based ligand: monophospholes (A), multidentate hybrid phosphole ligands (B), diphosphole (C) and 2,2'-biphosphole (D) based ligands (Figure 1). Each ligand class is accompanied by its detailed and reliable synthetic procedures followed by their applications in catalysis.



Figure 1 : Families of phosphole ligands used in catalysis

2. Monophospholes

2.1. Introduction

Up to now, monophospholes are the most investigated among the phosphole-based ligands if one considers the number of publications devoted to synthesis, structure, coordination chemistry and applications of phosphole complexes in homogeneous catalysis since their Patom behaves as a classical two-electrons-donor toward transition metals.

Phospholes, phosphorus analogues of pyrrole, furan and thiophene, are known basically as a weakly aromatic heteroles due to two intrinsic properties: (1) the pyramidal geometry of the tricoordinated P-atom and (2) the high degree of s-character of its lone pair, which prevents an efficient interaction between this P-lone pair and the endocyclic π -system. Consequently, the phosphorus inversion barrier in phosphole is abnormally low (ca 16 kcal.mol⁻¹ versus 36 kcal.mol⁻¹ for the analogous saturated phospholane) leading to an inversion of phosphorus at

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room temperature (Figure 2) because the aromatic stabilization provided by the electon delocalization is not sufficient to overcome the high barrier to planarity associated with the P-atom. However, a significant increase in barrier height has been observed for the fused phosphole-benzene ring (ca 8 and 10 kcal/mol for benzophosphole and dibenzophosphole respectively) relative to the parent phosphole.⁹



Figure 2: Inversion barrier of a phosphole (a) and a phospholane (b)

The aromaticity of phospholes has been the subject of many debates.¹⁰ Several factors determine the degree of aromaticity, such as the substitution pattern at the carbon atoms, the pyramidalisation at the phosphorus and, most importantly, the nature of the substituent at the phosphorus.

Substituents on the phosphorus determine the amplitude of the overlap between the π -dienic system and the exocyclic P-R σ -bond (hyperconjugation effect) and thus tend to alter the aromaticity of phosphole. So it has been established that exocyclic recovery is the most contributing factor of the aromaticity. P-bulky substituent induces the flattening of the tricoordinated phosphorus which increases the hyperconjugation of the dienic system and the exocyclic P-R bond and thus increases the aromaticity and consequently the electrophilic substitution reactivity.¹¹ In contrast, electronegative P-substituent almost suppresses the cycle delocalization, due to a decrease in the hyperconjugation effectiveness,¹² and an increase of phosphole tendency to undergo cycloaddition reactions. On the other hand, the C-substituents in 2,5 positions, such as aromatic or vinyl, create a conjugation with the dienic system which decrease the hyperconjugation of the dienic system and thus decrease the aromaticity of phosphole.¹³ This effect is also pronounced in the case of benzo-annelated ring system, such as benzophospholes, since in the fused system the π electrons are delocalized on the neighboring six-membered aromatic rings.¹⁴ Thus, these compounds do not

display the typical electronic properties and reactivity pattern of phospholes. They have to be regarded as non-flexible diarylphosphines or as P-bridged diphenyl moieties.

According to their different electronic properties, the monophospholes could be classified into three categories: the phosphole ligands (A) and the P-bulky phospholes (B) and the fused phosphole-benzene ligands (benzo- and dibenzo-phospholes, C). Figure 3 shows the main monophosphole ligands used in catalysis (chiral ligands included).



Figure 3: Monophospholes ligands used in catalysis with their abbreviations

The fundamental properties of monophospholes in coordination chemistry have been reviewed comprehensively.¹⁵ Among the possible coordination modes, the simple η^1 mode is the preferred (σ -donor by the P-lone pair, π -acceptor by the low-lying $\sigma^*(P-R)$ orbital).¹⁶ The stereoelectronic properties of the ligand play a crucial role in the metal-catalyzed reactions. However, the Tolman's parameters are only available for a very limited series of phosphole ligands (Table 1). The σ -donor/ π -acceptor properties were determined by recording the IR frequencies in [Ni(CO)₃L]¹⁷ or in [RhCl(L)(CO)₂]¹⁸ complexes. Varying the substituents at the C-atoms or at the P-atoms of the phosphole ring gives the opportunity of fine-tuning the electronic properties of the ligands. It is interesting to note that the π -acceptor ability of **Ph**-

DBP¹⁹, **o-TDPP**, **PPP** and **TPP** are better than that of PPh₃. On the other hand, the σ -donor ability, evaluated by measuring the magnitude of ${}^{l}J_{P-Se}$ in the 77 Se isotopomer of the corresponding selenide compounds (Table 1), indicates that introduction of methyl groups at the C-atoms of the phosphole ring increases the σ -donation, **DMPP** and **TMP** being better σ -donor ligands than PPh₃.¹⁸

Ligand	$\tilde{v}_{CO} (cm^{-1})$	$^{I}J_{P-Se}$ (Hz) ^c	Cone angle (°)		
t-BDMP	2063 ^a		nd		
ТМР	2051 ^b	708	150 ^d		
DMPP	2068 ^a	713	nd		
Mes-DPP	2068 ^a		nd		
PPh ₃	2069 ^{a -} 2053 ^b	730	145 ^e		
Ph-DBP	2056 ^b	748	147 ^c		
o-TDPP	2070 ^a		nd		
PPP	2070 ^a		nd		
TPP	2072 ^a -2058 ^b	742	145 ^e - 167 ^d		

Table 1: Stereoelectronic parameters of some phosphole ligands

^a A₁ $\tilde{\upsilon}_{co}$ in [Ni(CO)₃L], ^b $\tilde{\upsilon}_{CO}$ av in [RhCl(L)(CO)₂], ^{c 31}P-⁷⁷Se coupling constants determined in CDCl₃ at RT for selenide phosphole ligands, cone angles calculated from X-ray crystal structure of: ^d[AuCl(L)], ^e free ligand

The steric properties of phosphole ligands can be modulated by variation of the substituents at the C-atoms of the phosphole ring.. Tollman's steric parameter first calculated from X-ray crystal structure of free ligand show that \mathbf{TPP}^{20} is as sterically demanding as $PPh_{3.}^{21}$ The classification made from cone angles calculated from X-ray crystal structure of [AuCl(L)] gave different values but allows the ranking of the selected phosphole ligands in this order : $Ph-DBP < TMP < PPP \sim TTP$ (Table 1).²²

Before processing their applications in catalysis, the main synthetic routes of each category of phosphole ligands, monophospholes, P-bulky phospholes and benzophospholes, are briefly described below.

2.2. Phosphole Ligands

2.2.1. Synthesis

Among the great variety of available monophospholes, only a few have been involved as ligands in catalysis and for a limited number of reactions. A significant number of methods have been developed for the synthesis of phospholes but the description below will be limited to those used for the preparation of ligands involved in catalysis thereafter.

Construction of the phosphole ring, with one useful exception, is accomplished by methods that are quite different from those employed for N, S, O ring systems. The only successful method that is common to both phosphole and pyrrole ring system is the cycloaddition of 1,3-diynes with primary phosphines and amines (Scheme 1). Symmetrical and dissymmetrical diynes have been employed to create 1,2,5-trisubstituted phospholes.²³ This method developed in 1970s continues to find its uses because it is best adapted to phosphole having bulky substituents in the 2,5 positions including chiral substituents.²⁴ For example in presence of a base as catalyst, phenylphosphine and 1,4-diphenylacetylene yields 1,2,5-triphenylphosphole (**TPP**) in 76%.^{23a}



Scheme 1

On the other hand, chiral enantiopure phospholes L1, bearing axially chiral biaryl substituents at the 2- and 5-positions of the phosphole ring, have been prepared by this procedure (Scheme 2).²⁵



Scheme 2

Two other major methods have been developed for the synthesis of phospholes by ring forming reactions. The first one is the reaction of dienes with $PhPX_2$ which provides a general access to various C-substituted phospholes (Scheme 3). 1,2,5-triphenylphosphole (**TPP**) was

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originally synthetized by refluxing 1,4-diphenylbuta-1,3-diene in dichlorophosphine.²⁶ In a more convenient one pot two step procedure, the MacCormack cycloadduct is initially formed at room temperature and then dehydrohalogenated to yield phosphole (Scheme 3). This method remains the best adapted to prepare 1-phenyl-3,4-dimethylphosphole (**DMP**) and is the most general by providing more than 25 different phosphole compounds such as **Me-DMP**, **nBDMP**, **oTDPP**.²⁷





The second important and versatile route to 2,3,4,5-tetrasubstituted phosphole remains the reaction of phosphorus dihalides with metalled dienes. This route involves the formation of dianionic diene intermediates prepared through metal-mediated reductive dimerization of alkynes or diyne ring-closure. The original synthesis of **PPP** involved the reaction of the dilithio derivative of tetraphenylbutadiene with PhPCl₂ (Scheme 4).²⁸



Scheme 4

Zirconacyclopentadiene, obtained by a reductive reaction of zirconocene dichloride with 2 alkynes or a diyne, can react with $PhPX_2$ to form phosphole derivatives. The method, first used to prepare **TMP**, has now been extended to various phosphole ligands (Scheme 5).²⁹



Scheme 5

Functionalization at phosphorus via electrophilic or nucleophilic substitutions represents two other important methodologies to access ligands having different steric and/or electronic properties. The most readily available P-phenyl derivatives are particularly useful for the synthesis of these new phospholes. For example, nucleophilic attacks at phosphorus could be performed with *n*-BuLi and *t*-BuLi on **DMPP** to replace the phenyl P-substituent and afford **nBDMP**³⁰ and **tBDMP** in moderate to high yield (Scheme 6).³¹



Scheme 6

Nucleophilic substitution reactions may also be performed on a 1-cyanophosphole due to their ease of handling, relative air-stability (contrary to 1-halogenophospholes) and good reactivity towards various nucleophilic reagents such as alkoxides and amides. A series of chiral pyrrolidino-phosphole ligands **L2** was prepared *via* a coupling reaction between cyano phosphole derivatives and methoxymethylpyrrolidino amides *in-situ* formed (Scheme 7).³²



Scheme 7

2.2.2. Hydrogenation

The use of a monophosphole ligand in hydrogenation was first reported in 1972 by Hui *et al.* in the Rh-catalyzed reactions but very few applications have been subsequently developed.³³

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 $[RhHCl_2(TPP)_2]$ complex showed its ability to hydrogenate 1-hexene 1b at 20°C under 1 bar of H₂ in the presence of Et₃N (Scheme 8). $[RhCl(TPP)_3]$ also catalyzed the same hydrogenation reaction but was found to be less active than $[RhCl(PPh_3)_3]$ (for the same reaction).



Scheme 8

Some ruthenium phosphole complexes such as $[RuCl_2(Me-DMP)_4]$ and $[RuCl_2(tBDMP)_2(PPh_3)]$ have been shown to catalyze the hydrogenation of 1-heptene 1c at ambient temperature under 1 bar of H₂ at rates comparable to $[RuCl_2(PPh_3)]$.³⁴

The hydrogenation of functionalized olefins such as $Z_{-\alpha}$ -acetamidocinnamic acid 4 demonstrated the performances of several $[Rh(COD)_2]PF_6/$ phosphole systems in terms of activities (Scheme 9). All phospholes tested, provided more active catalytic systems than the $[RhCl(PPh_3)_3]$, except **TPP** system. The best TOF were recorded with **nBDMP** and **tBDMP** ligands.³⁵



2.2.3. Carbonylation

The most important work has been devoted to hydroformylation. The use of a monophosphole ligand was first reported in the Co-catalyzed hydroformylation of terminal olefin (Scheme 8). $[CoH(CO)_3(L)]$ complex with **nBu-DMP**, **DMPP** and **PPP** ligands afford similar results in the hydroformylation of 1-octene, **1d** (scheme 8) to the Shell industrial process using 9-phenyl-9-phospha-bicyclonnane.³⁶ Thereafter, Neibecker *et al.* developed phosphole-modified rhodium catalysts for the hydroformylation of a broad range of substrates using *in situ*

catalytic systems, generated from $[Rh(CO)_2Cl]_2$ and phosphole ligand. The reactions were conducted under mild conditions (20 bar of syngas pressure at 80°C) in presence of Et₃N to assist the formation of the active hydrido-rhodium complex and to remove HCl which is an inhibitor for the hydroformylation reaction.

For the hydroformylation of 1-hexene, **1b** (Scheme 8), a series of phospholes, **TPP**, **PPP**, **o**-**TDPP**, **t-BDMP**, **n-BDMP**, **DMPP**, having different steric and electronic properties has been evaluated and compared to PPh₃, known as a standard phosphine for the hydroformylation of terminal olefins. ^{37,38} All these phospholes produced catalytically active systems but two of them, **TPP** and **o**-**TDPP**, have been found to be superior to PPh₃. **TPP** was found to be better than **o**-**TDPP**, it furnished a more active catalytic system with a better selectivity for the linear aldehyde than the Rh-PPh₃ system (10% more selective and 18 times more active). In addition, both activity and selectivity are optimum for a P/Rh ratio of 2 contrary to PPh₃ which requires a P/Rh ratio of 5 to give the best performances. An analysis of the influence of the Rh-TPP ratio revealed the existence of a unique active species [HRh(CO)₂(**TPP**)₂], evidenced by spectroscopic studies, contrasting with the case of the Rh-PPh₃ system where several species are involved. ³⁹

It may be mentioned that the Rh/**TPP** system (Rh/TPP ratio of 2) is also active in the hydroformylation of 2,3 and 2,5-dihydrofurans.⁴⁰

In the hydroformylation of styrene **6a** (Scheme 10),^{41,42} the $[RhCl(CO)_2]_2/TPP$ catalytic system affords excellent aldehyde chemoselectivity (100%) and high regioselectivity in favour of branched isomer (b/l: 84:16) under mild conditions (20 bar, 25 °C, 6h). Once again, this catalyst is twice as active as the corresponding Rh-PPh₃ system with only two TPP per rhodium.



Scheme 10

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The catalytic cycle proposed from kinetic studies, involves a catalyst with two **TPP** ligands per Rh. The hydride complex $[HRh(CO)_2(TPP)_2]$ identified as the catalyst resting state does not seem to undergo TPP dissociation throughout the catalytic cycle.⁴³

The Rh/**TPP** system was extended to the hydroformylation of vinylarenes **7a** and **8**, known as precursors of arylpropionic acids, *ie* Ibuprofen and Phenoprofen respectively, with high regioselectivity in favor of branched aldehydes, (scheme 10).⁴¹ In addition, the regioselective hydroformylation of ethyl acrylate **12a** could be efficiently performed with rhodium-phosphole systems under mild conditions (40°C, 20 bar).⁴⁴ In this reaction, *o*-**TDPP** proved to be five times more active than **TPP** (Scheme 11).



Recently, **TPP** and **PPP** phospholes have been employed for the first time as ancillaries for the rhodium-catalyzed hydroformylation and hydroaminomethylation of estragole **14a**, an allylbenzene extracted from garden plants (Scheme 12).⁴⁵ The hydroaminomethylation is a cascade reaction involving the hydroformylation, condensation of the primarily aldehyde with the added amine and hydrogenation of the enamine to generate the corresponding amine.



Scheme 12

In a preliminary study, phosphole-promoted systems have showed the best overall performance in hydroformylation of estragole. Under 20 bar of CO/H₂: 1/1 in toluene at 50°C for 2h with $[Rh(cod)(\mu-OMe)]_2$ as catalytic precursor, phosphole-promoted systems were more selective than the PPh₃ one. The hydroaminomethylation performed with di-n-butyl amine at 80°C under 40 bar (CO:H₂= 1:3) in toluene during 24h. Phosphole/[Rh(cod)(μ -OMe)]

OMe)]₂ systems were more efficient in promoting the reductive amination and more regioselective than the standard PPh₃ based system presenting an excellent compromise to ensure high chemoselectivity and reasonably fast formation of target amines.

In addition to hydroformylation reactions, it should be noted that **TPP** was used in Pdcatalyzed carbonylation reactions of various halide derivatives **18** (Scheme 13). In all cases, the complex $[PdCl_2(TPP)_2]$ was superior to the complex $[PdCl_2(PPh_3)_2]$.⁴⁶



Scheme 13

2.2.4 C-C coupling reactions

Monophosphole ligands have been recently used in a wide range of gold- and platinumcatalyzed alkyne activation.

In early studies, $[Au(TPP)(NTf_2)]$ complex was found to be more active than $[Au(PPh_3)(NTf_2)]$ for the methoxycyclisation of C-tethered 1,6-enyne **20a** (complete conversion in 75 min versus 2h, Scheme 14).⁴⁷



Scheme 14

Phospholes, **DMPP**, **TMP** and **TPP**, have been then studied in the gold-catalyzed reactions and displayed higher activities than the corresponding PPh₃-based complexes. For example, cationic gold (I) phosphole catalysts bearing **TMP** or **TPP**, generated *in situ* from 5 mol% of [Au(L)Cl] and 5 mol% of AgSbF₆, were active in the cycloisomerization of the model Ntethered 1,6-enyne **20b** (Scheme 15). High selectivity in the cyclopropane product **22b** (95100%) was obtained¹⁸ with an activity that increases with the σ -donor ability of the ligand (TPP < TMP). Note that **TMP** based catalyst was found more active than the PPh₃ one.



Scheme 15

The **TMP**-based complex also proved to be very efficient in the cycloisomerization of N- and C-tethered enynes bearing terminal alkynes **20c** and **20d** leading in this case to a mixture of 1,3-dienes **23** and **24**, with **23c** (90%) and **23d** (85%) as major products respectively (scheme 14).¹⁸ A stable isolated cationic complex, [Au(**TMP**)(CH₃CN)]SbF₆, was also found to be highly active and selective especially for the **20d** cycloisomerization. Even at low catalyst loading (0.5 mol%), no loss of conversion and selectivity was observed (72% conversion in 60 min, **23d**/**24d** : 85/15).

Cationic gold (I) complexes of **TMP**, **DMPP** and **TPP** also catalyzed the cyclopropanation of olefins **6** and **7** (Scheme 16), using propargyl benzoate **25** as the gold (I) carbene precursor. A good correlation between the ligand σ -donor ability and the catalytic activity was again detected. Note the superiority of [Au(**TMP**)Cl]/AgSbF₆ catalytic system relative to [Au(PPh₃)Cl], which only gives undesired polymeric products under the same conditions. Even strained bicyclic norbornylene which usually requires drastic conditions, was efficiently converted into the corresponding cyclopropane less than 2h (50 min). In addition, [Au(**TMP**)Cl]/AgSbF₆ system provides good selectivities in *cis* diastereoisomer for the cyclopropanation of **6**, **7b** and **7c**.



Scheme 16

The evaluation of a series of cationic platinum (II)-phosphole complexes in the cycloisomerization of various N- or C-tethered 1,6-enynes was then also reported.⁴⁸ All of these complexes proved to be more efficient than the PPh₃ counterpart. **TMP**-based complexes appeared the best catalyst of the series in terms of activity for **20c**, as already observed in Au-catalyzed cycloisomerization (Scheme 15). Interestingly, switching from **TMP**-Au catalyst to **TMP**-Pt-catalyst reverses the selectivity in favor to the diene **24c**. In addition, the influence of the nature of the counter-ion and the solvent on the chemoselectivity has been observed. The diene formation (compound **24c**) is favored in DCE at 80°C with AgBF₄ or at room temperature with AgSbF₆, whereas the cycloisomerization is orientated towards the cyclopropane formation (compound **23c**) in toluene at 80°C with AgBF₄. **TMP**-Pt catalyst was also found to be efficient for the cycloisomerization of 1,6-enynes **20b** and **20d**.

 $[Pt(TMP)_2Cl_2]/AgSbF_6$ is also able to catalyze methoxycyclization (Scheme 14) and tandem hydroarylation/cyclisation reactions of various N- or C-tethered 1,6-enynes. The regioselective addition of nucleophiles on the 5-*exo*-cyclopropyl carbene leading to five-membered ring alkoxy-compounds **21** was exclusively obtained whatever the enyne's substitution pattern and its tether Z.

2.2.5 C-N coupling reaction

We must also mention the performance of **TPP**-palladium allyl complex in catalytic allylic amination reactions under mild conditions (Scheme 17).¹⁶ The Pd-complex proved to be the most active catalyst (100% conversion in 1h) catalyst of the series of palladium allyl complexes in the allylation of aniline. The electronic nature of the ligand plays a crucial role in this reaction and these results clearly demonstrate that the better the ligand is a π acceptor, the more active the catalyst. **TPP**-palladium allyl complex proved also to be an efficient catalyst for the allylation of secondary amines.



Scheme 17

2.2.6 Asymmetric reactions

Only two chiral phosphole type ligands, L1 and L2, have been reported in asymmetric catalysis. Chiral 2,5-bis(naphthyl)phospholes, L1a-b, have been applied to palladium-catalyzed asymmetric hydrosilylation of 5,5-dimethyl-1-hexen-3-yne **29** (Scheme 18) to give an axially chiral allenylsilane **30** with poor enantioselectivity (up to 16% L1b).²⁵





Chiral pyrrolidino-phosphole ligands L2, which demonstrated their tendency to behave as Pmonodentate rather than P,O-bidentate ligands towards palladium (II), provided very active Pd-catalysts for asymmetric allylic substitution of 1,3-diphenylprop-2-enylacetate **31** with dimethyl malonate anion. Complete conversion of the substrate was reached within 15 to 60 min in CH_2Cl_2 (Scheme 19).³² The asymmetric induction proved to be moderate (up to 58% for ligand L2c).



2.3. Phospholes with 2,4,6-trialkyl-phenyl substituents

Sterically demanding substituents on phosphorus atom cause partial flattening of the pyramidal phosphorus and promote the overlap of its lone pair with the pz orbitals of the sp₂ carbon atoms thus increasing the phosphole aromaticity. Congested phospholes with 2,4,6-trialkylphenyl substituent on the phosphorus atom, introduced by Quin and Keglevich, possess aromatic character that depends on the size of the alkyl groups.⁴⁹

2.3.1. Synthesis

The strategy used to prepare the 1-aryl phospholes involves the construction of the 1-chlorophospholene in the first step followed by a sequence of conventional reactions to create the phosphole system because the direct Mc Cormack cycloaddition of diene with $ArPX_2$ is prevented by the steric hindrance of P-substituents (Scheme 20).⁵⁰



An alternative pathway was employed to synthesize the Ar_3 -MPP phosphole with the larger 2,4,6-tri-butylphenyl substituent because the deoxygenation of the phospholene oxide was prevented by steric hindrance of this P-substituent (Scheme 20).⁵¹

2.3.2. Hydroformylation

These **Ar-MPP** phospholes, although hindered, could form platinum⁵² and rhodium⁵³ complexes which have been studied in the hydroformylation of styrene **6a**. The catalysts generated *in situ* from [PtCl₂(PhCN)₂]/2L/SnCl₂ promoted the hydroformylation reaction with a low conversion (up to 21%) and moderate chemoselectivities (81%) and regioselectivities towards branched aldehyde **10b** (up to 63%) under 40 bar of CO/H₂ (1:1) at 100°C.⁵² In contrast, in *situ* complexes obtained from [Rh(nbd)Cl₂] or [Rh(acac)(CO)₂] proved to be more active than platinum catalysts giving higher conversion (up to 99% at 100°C). Excellent chemoselectivities (>99%), and moderate to high regioselectivities towards branched aldehyde **9b** (up to 91% at 40°C) were reached under 50 bar of CO/H₂ (1:1).^{53a}

On the other hand, a Rh(III) complex $[Rh(Cp^*)Cl_2(L)]$ could only be obtained with the phosphole **Ar₁-MPP**. This preformed complex⁵⁴ was efficient, only in presence of Et₃N, at 90°C under 50 bar of CO/H₂ (1:1), resulting in complete conversion of **6a**. Excellent chemoselectivity (99%) and moderate regiselectivity (74%) towards the branched aldehyde

9b were obtained. The addition of triethylamine is needed to promote the reduction of Rh (III) to Rh (I) via reductive elimination of HCl from the *in situ* formed hydrido-chloro-rhodium intermediate.

2.4. Benzophospholes and Dibenzophospholes

Benzophospholes (1H-phosphindoles) and dibenzo[b,d]phospholes derivatives are not considered as classic phospholes because of the π electron delocalization in the neighboring six-membered aromatic rings. These ligands represent an independent class of monophosphole ligand classified as either phosphorus-substituted biphenyls or constrained triarylphosphines. While benzophospholes experienced only very recent applications in catalysis, dibenzophospholes were used since 1970s. Dibenzophospholes have been evaluated in hydrogenation, hydroformylation and C-C coupling reactions as monophosphole ligands. More recently, they found some application in organocatalysis.

2.4.1. Synthesis

The more convenient synthetic procedure to prepare the **Ph-DBP** compound involves the tetraphenylphosphonium bromide as starting materials (Scheme 21).⁵⁵



Scheme 21

For the synthesis of dibenzophosphole derivatives which are functionalized on the phosphole ring, the old and classical method based on an electrophilic trapping of 2,2'-dilithiobiphenyl with dichlorophenylphosphine,⁵⁶ continues to be used although catalytic synthesis of phospholes through C-P bond formation have been recently developed.⁵⁷ For example, dibenzophospholes **Ph-DBP-(OMe)**₂ and **Ph-DBP-(CF**₃)₂ were readily obtained as well as the C_1 -symmetric dibenzophospholes **Ph-DBP-R**² (Scheme 22).⁵⁸





On the other hand, the dibenzophosphole **Ph-DBP-Me**₂ was synthetized by a variant of the so-called 'Aryne coupling' versions. It involves the reaction of (*ortho*-lithiophenyl) phosphine-borane complexes with *in situ* generated arynes. Ligand **Ph-DBP-Me**₂, obtained as its borane complex after coupling, quantitatively affords the free ligand by deprotection using DABCO (Scheme 23).⁵⁹



Very recently, α -aryl-substituted phosphindoles units have been used to prepare phosphahelicenes chiral ligands L3.^{60,61} The synthetic procedure is based on the coupling of a diastereomerically pure P-menthyl-substituted phosphindole triflate by palladium catalysis. It is then followed by the photochemical oxidative cyclization of diaryl olefins as illustrated in Scheme 24.



Scheme 24

These helicenes **L3** with embedded phosphole units have been isolated as phosphine oxides or gold complexes after reduction and subsequent complexation.

2.4.2. Hydrogenation

Ph-DBP was first employed in hydrogenation in 1970s. In early studies, hydrido-rhodium complex [RhH(**Ph-DBP**)₄], was found to be superior to [RhH(PPh₃)₄] in the hydrogenation of 1-hexene **1b** (Scheme 8).⁶² On the other hand, [RuCl₂(**Ph-DBP**)₄] showed its ability to catalyze the hydrogenation of allylbenzene **14b** into **33**, rather than the isomerisation into methylstyrene **6b**, with higher efficiency and selectivity than [RuCl₂(PPh₃)₄] (Scheme 25).⁶³ Note that [RuCl₂(**Ph-DBP**)₃] is also an efficient catalyst for this hydrogenation reaction.



2.4.3.Hydroformylation

In hydroformylation, early studies showed the use of DBP ligands (**Ph-DBP** and **Et-DBP**) for cobalt-catalyzed hydroformylation of 1-pentene **1a**, using $[Co_2(CO)_8]$ as a precursor. Under 20 bar of CO and 25 bar of H₂ at 160°C with P/Co ratio of 2.2, better activities and

selectivities towards the linear aldehyde (72-77%), than the corresponding catalytic systems with PPh₃ or PPh₂Et, were observed.⁶⁴ The same DBP ligands were also evaluated in Rh-catalyzed hydroformylation of styrene using [RhCl(CO)₂]₂ precursor with a P/Rh ratio of 4 under severe conditions (140°C, 100 bar of syngas).⁶⁴ Better results in terms of activities and selectivities (77-86% in favour to the branched aldehyde) compared to Rh-phosphines systems were obtained.

More recently, a family of non-symmetrical substituted dibenzophospholes (Ph-DBP-F, Ph-DBP-Cl, Ph-DBP-NMe₂, Ph-DBP-OMe, Ph-DBP-Me₂) possessing different electronic properties have been reported for rhodium-catalyzed hydroformylation reactions of styrene and 1-octene and compared to Rh-Ph-DBP and Rh-PPh₃ systems.⁵⁸ In the hydroformylation of styrene **6a**, the beneficial effect of these dibenzophosphole ligands was evidenced with dibenzophosphole/[Rh(CO₂(acac)] systems for a Rh/P ratio of 2 under 30 bar of syngas at 60°C. Indeed, Ph-DBP, Ph-DBP-NMe₂ and Ph-DBP-Me₂ provided rhodium catalysts that are more active than the one employing PPh₃ while Ph-DBP-F and Ph-DBP-Cl proved to be the most regioselective catalyst in favor of the branched isomer 10b (95%). For the hydroformylation of octene 1c conducted under the same conditions, all dibenzophosphole/rhodium systems provided more active catalysts than the one obtained with PPh₃. Ph-DBP-Cl provides the best catalytic system regarding activity and selectivity (98% aldehyde, b/l:29/71) with a ligand/Rh ratio of 2. Note that increasing the ligand/Rh ratio (Ph-**DBP**/Rh ratio of 4) affects the regioselectivity in favor of the linear aldehyde (72% vs 61%). From these results, a correlation between the electronic properties of the DBP ligands and the catalytic activities and/or selectivities has been observed.

2.4.4. C-C coupling reactions

The use of the **Ph-DBP** ligand could be noted in few C-C coupling reactions but with modest to disappointing results. In the Heck vinylation of arylhalide **34** with n-butyl acrylate **13b** (Scheme 26), **Ph-DBP**/[Pd(OAc)₂] catalytic system only gave 22% of conversion over 24h at 160°C in DMA.⁶⁵



Scheme 26

In enyne cycloisomerisation reactions^{18,48} (Scheme 15) and in olefin cyclopropanation¹⁸ (scheme 16), **DBP**-catalytic systems were less efficient than those with **TMP**.

In contrast, helicenes with embedded phosphole L3 units allowed high catalytic activity and good enantiomeric excess in the gold promoted cycloisomerization of N-tethered 1,6-enyne **20b** into cyclopropane **22b** (conversion up to 95% over 24h, *ee* up to 84%, Scheme 15).^{60a,61} The potential of the L3 gold complexes has been established further by studies on the cycloisomerization of N-thethered dienynes. For example, the L3c-Au catalyst proved to be more selective than its L3a-b counterparts for the cycloisomerization of the dienynes **36**, with the highest *ee* attained so far (Scheme 27).⁶¹



Scheme 27

2.4.5. Organocatalysis

Dibenzophospholes **Ph-DBP**, **Ph-DBP-(OMe)**₂ and **Ph-DBP-(CF**₃)₂ were found to be particularly suitable organocatalyts for catalytic variants of the Appel and Staudinger reactions. The strategy is based on a redox-driven approach, involving the formation of a pentavalent P(V)-species from the P(III) dibenzophosphole and *in situ* regeneration of the P(III)-compound by reduction of P(V) compound.

Dibenzophospholes proved to be efficient catalysts for the catalytic Appel bromination of alcohols due to their low reactivity towards alkylation by the bromo product formed and the easy *in situ* reduction of dibenzophosphole oxides by diphenylsilane under mild conditions.⁶⁶ **Ph-DBP** and **Ph-DBP-(OMe)**₂ were found to be more active than **Ph-DBP-(CF₃)**₂ for the Appel bromination of the phenylethyl alcohol because electron-withdrawing substituents (R=CF₃) decrease the reduction rate. In addition, **Ph-DBP** allowed high conversion of a range of primary alcohols **39** to the corresponding bromide **40** (Scheme 28) which were isolated in moderate to good yields (45 to 72%). **Ph-DBP** was also found particularly useful for the bromination of secondary alcohols such as β -chlolesterol and 1-adamantanol (ca 70% isolated

yield). Appel chlorination reaction of phenylethyl alcohol has been also achieved with a moderate conversion (40%) only using Ph-DBP-(OMe)₂ as catalyst.





Dibenzophosphole catalysts have also been applied in the Staudinger reduction of azides involving formation of a P(V)-iminophosphorane compound from addition of azide on dibenzophosphole and *in situ* reduction of the iminophosphorane intermediate with concomitant formation of amine. All catalysts, **Ph-DBP**, **Ph-DBP-(OMe)**₂ and **Ph-DBP-(CF₃)**₂, allowed to decrease the reaction time for the catalytic reduction of benzyl azide (2h to reach complete conversion of the substrate *vs* 21h for PPh₃). **Ph-DBP** proved to be most suitable catalyst and displays high functional group tolerance toward nitro groups, carboxylic acids, alcohols, esters and olefins. High conversions for a range of both aromatic and aliphatic azides **41** into corresponding amines **42** (51-99 % yields) were obtained even at low catalyst loading (5 mol%) (Scheme 29).⁶⁷



The application of **Ph-DBP** has then been extended in a catalytic Staudinger/aza-Wittig tandem sequence. This process consists of the iminophosphorane formation prior to the intramolecular reaction of iminophosphorane with a carbonyl functionality and to the *in situ* reduction of the dibenzophosphole oxide by diphenylsilane. Thus, the synthesis of benzoxazoles, benzodiazepine imidates and a 2-methoxypyrrole, all from azide-containing esters, were performed using 10 mol% of **Ph-DBP** (Scheme 30).⁶⁸



 R_2 = Ph, 4-MeO-C₆H₄, 4-F₃CC--C₆H₄, 4-Ph-C₆H₄, Me, *t*Bu

Scheme 30

3. Multidentate hybrid phosphole ligands

Multidentate phosphorus-containing ligands display a widespread use in homogeneous transition-metal catalysis. In particular, the hybrid ligands bearing a phosphorus donor atom and other binding sites gained much attention since they introduce dissymmetry in the coordination sphere of the metal center to fit the steric and electronic requirements of the catalytic reaction. Phospholes proved to be good building blocks for the elaboration of phospholes-based ligands. Indeed, several bidentate, tridentate and tetradentate ligands possessing one phosphole P-donor and conventional N-donor or less conventional binding sites, have been developed by ring functionalization either at the phosphorus or at the carbon ring, mainly in α -position.

3.1. Bidentate phosphole/NR₂ ligands

Heteroditopic P,N-chelate ligands with two very different stereoelectronically binding sites, σ^3 , λ^3 -phosphorus and sp²-hybridized nitrogen, might be the most widely studied bidendate ligands specially in asymmetric catalysis. These ligands usually behave as hemilabile ligands which could allow adapting the coordination sphere of metal center during the catalytic reaction. To tune both electronic and steric properties, the phosphole/NR₂ chelate ligand subclass has been prepared combining phosphole to pyridine, oxazoline, isoquinoline and amino-N type donor as shown in Figure 4. Few planar and axial chiral phosphole/NR₂ ligands have also been reported.



Figure 4 : Bidentate phosphole/NR₂ ligands

3.1.1. Synthesis

The 1,4-P,N chelates ligands L4 have been developed by Réau *et al.*. The synthetic approach is based on an oxidative coupling between bis(2-pyridyl)octa-1,7-diyne derivatives and zirconocene, according to the well-known Fagan-Nugent method, followed by treatment with dihalide phosphine compounds RPX₂ (X= Cl or Br) to give the desired products in moderate to good isolated yield (49-83%, Scheme 31).⁶⁹





The reductive cleavage of the exocyclic phosphorus-phenyl bond of 1-phenylphosphole or phosphorus-phosphorus bond of 1,1'-diphosphole with alkali metal followed by alkylation using different N-containing electrophile reagents leads to a large variety of P,N-type ligands including chiral ones (Scheme 32).

According to this strategy, Le Floch *et al.* prepared the 1-(2-methylpyridine)-2,5diphenylphosphole ligand **L5** and the chiral phosphole-oxazoline ligand $L6^{70}$ while Helchem⁷¹ and Marinetti⁷² reported other phosphole-oxazoline ligands **L7** (Scheme 32), equivalent to diphenylphosphinoxazoline class of ligand (PHOX).



Scheme 32

On the other hand, Brown prepared the 1'-(2-P-dibenzophospholyl-1-naphthyl) isoquinoline ligand **L8**, phosphole ligand equivalent to 1-(2-diphenylphosphino-1-naphthyl)isoquinoline, QUINAP. A multistage synthesis from 1-triflate isoquinoline and the secondary dibenzophosphole oxide followed by reduction with trichlorosilane and its resolution were reported (Scheme 33).⁷³



Scheme 33

Chiral planar ferrocenylamine phosphole ligands **L9** with planar chirality as only element of chirality (Scheme 34) were prepared by Gouygou and coworkers⁷⁴ using the highly diastereoselective ortho-lithiation of Kagan's acetal followed by the introduction of the appropriate 1-cyanophosphole as electrophile.



Scheme 34

3.1.2. Polymerization/Copolymerization

In early studies, Consiglio *et al.* investigated the copolymerization and terpolymerisation with a cationic palladium (II) complex bearing (*S*)-**L7b** ligand.⁷⁵ In the copolymerization of styrene with CO at 320 bar (Scheme 35), this catalyst showed twofold increase activity than the corresponding diphenylphosphino complex, with a productivity of 130.0 mmol (g Pd h)⁻¹). In addition, highly isotactic *R*-copolymer (M_n =7500 gmol⁻¹) was obtained.



Scheme 35

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The terpolymerization of styrene, ethene and CO at 300 bar was performed in the two olefins, similar concentrations. (*S*)-L7b-Pd complex showed a productivity of 38.8 mmol (g Pd h)⁻¹ closer to that for ethene/CO copolymerization (14.8 mmol (g Pd h)⁻¹) which is indicative of an easier enchainment of ethene than styrene in terpolymers consistent with their composition (C₂H₄CO:C₈H₈CO 81:19, M_n= 8000 gmol⁻¹). Furthermore, based on the chiroptical properties, the styrene enantioface selection is similar in both the ter- and co-polymerisation processes. In 2002, Réau *et al.* published the application of 2-(2-pyridyl)phospholes L4 in Pd-catalyzed

copolymerization of different olefins.⁷⁶ All the palladium complexes of L4 catalyzed the copolymerization of CO and ethylene in CH_2Cl_2 at 85°C in 6h (Scheme 36). The nature of both substituents on the phosphorous atom and on the C⁵ atom have an impact on the productivity of the Pd catalysts, since P-cyclohexylphosphole ligands L4a'-b' are more efficient ligands than their P-phenyl analogues L4a-b. This trend is more pronounced for 5-phenyl phosphole L4a' than for 5-(2-thienyl)-phosphole L4b'. The catalytic activities, expressed in grams of polyketone formed per grams of palladium (varying from 135 to 279), and TOF values, varying from 43 to 113 h⁻¹, are among the highest obtained for P,N chelates.



Scheme 36

L4b-Pd(II) complex catalyzes the copolymerization of CO and norbornene at 85°C affording white solid soluble co-oligomers with a molecular weight of 4 094 and a low molecular weight distribution ($M_w/M_n = 1.18$) that suggests the living polymerization nature of the catalyst.

The same group also evaluated the 2-pyridylphosphole ligands L4 in nickel-catalyzed oligomerisation of ethylene.⁷⁷ L4-nickel complexes, obtained by reacting L4 with one equivalent of [NiCl₂(DME)], are highly efficient for dimerization of ethylene (Scheme 37). They exhibit good to high catalytic activities (TOF ranging from 1.9 to 3.4 s⁻¹) under mild

conditions (1.1 bar, 0°C) in the presence of Et_2Al as cocatalyst affording butenes as major product (71-89%). In these cases, the catalytic activities are not influenced by the nature of Psubstituent but vary with the nature of the R substituent, the highest catalytic activities being observed when R is a thienyl group. In addition, L4-nickel complexes exhibit unusually high selectivites in 1-butene (80%) for high C4 fraction contents (up to 97%) at higher ethylene pressure (41 bar) (Scheme 37).



Scheme 37

3.1.3. Hydrogen transfer/ Hydrosilylation/ Hydroboration

Le Floch *et al.* reported the use of the ligand **L5** in Ru-catalyzed transfer hydrogenation of ketones.⁷⁰ Isolated air-stable cationic ruthenium complex, obtained in very good yield by reaction of **L5** with $[Rh(\eta^6-C_{10}H_{14})Cl_2]_2$ in the presence of chloride abstractor AgBF₄ has been found to be remarkably active at very low loading (5.10⁻⁶ mol%) for the conversion of both aliphatic and aromatic ketones **49** to the corresponding secondary alcohols **50** (Scheme 38). The highest TON and TOF values obtained for cyclic ketones reached up to 20.10⁶ and 1.33.10⁶.h⁻¹. Although lower conversion and TONs are obtained with electron rich aromatic ketones, the catalytic activity **L5**-Ru complex is still higher than other catalysts (TOF 133-800.10³.h⁻¹).

	[Ru] 5.10 ⁶ mol% R ¹ R ² KOH/i-PrOH 49a-g 90°C		->	OH R ¹ R ² 50a-g	Ru			
	Substrate		Yield	TON	TOF(h ⁻¹)		✓ `Ph	
49a	cyclohexanone		100	20.10 ⁶	1.33.10 ⁶			
49b	syn-2,5-dimethylcyclohexanone		100	_20.10 ⁶	1.33.10 ⁶			
49c	acetophenone		90	18.10 ⁶	1.20.10 ⁶			
49d	2-acetylpyridine		87	17.4.10 ⁶	1.16.10 ⁶			
49e	benzophenone		77	15.4.10 ⁶	1.03.10 ⁶			
49f	4-bromoacetophenone		67	13.4.10 ⁶	0.89.10 ⁶			
49g	4-methoxyacetophenone		60	12.10 ⁶	0.80.10 ⁶			

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Scheme 38

Chiral phosphole-oxazoline ligands **L7a-b**, reported by Helmchen and co-workers,⁷⁸ have been used in asymmetric transfer hydrogenation and hydrosilylation of ketones. Ruthenium complex [RuCl₂(**L7a-b**)(PPh₃)] proved to be highly efficient catalysts for the enantioselective transfer hydrogenation of various alkyl phenylketones (acetophenone, propiophenone and 2-methylpropiophenone) as well as cyclohexyl methyl ketone at a substrate/catalyst mole ratio of 1000 :1, in refluxing *i*PrOH.⁷⁹ High (up to 94% for phenylketones) to moderate (up to 60% for alkylketone) enantioselectivities were obtained using either preformed or *in situ* catalysts and excellent turnovers (ca 5000 h⁻¹) were recorded for the alkyl phenylketones with the preformed catalyst.

L7a-Rhodium (I) complex, engendered *in-situ* from the reaction of L7a with $[Rh(COD)Cl]_2$, was applied in hydrosilylation of acetophenone **49c** with moderate enantioselectivity (69% in favor of *R* configuration, Scheme 39).⁸⁰ It is noteworthy that this catalytic performance is comparable to that of the diphenylphosphinoxazoline rhodium system.



Scheme 39

(*R*)-**L8**-Rh(I) complex has been shown to catalyze the asymmetric hydroboration/oxydation of various electron-rich and electron-poor styrenes. Very good regioselectivities (up to 96%) in favor of branched isomer and moderated enantioselectivity (up to 71%) were obtained at room temperature using 1 mol% catalyst (Scheme 40). Electron-releasing or electron-withdrawing substituents on styrene do not impact the regioselectivity and result in a very slight change of enantiomeric excess values which remains lower than the parent Rh-catalyst bearing the (*R*)-1'-(2-P-diphenylphosphino-1-naphthyl)isoquinoline ligand (93-97% *ee*).



Scheme 40

3.1.4. C-C coupling reactions

L5-Pd(II) complex, isolated from the stoechiometric reaction of L5 with palladium precursor $[Pd(COD)Cl_2]$, has been used in several cross coupling reactions such as the Heck, Suzuki and Miyaura processes.⁸¹ Modest performances were obtained in the Suzuki coupling between bromoacetophenone and phenylboronic acid and in the Heck coupling reaction of bromobenzene with styrene. However, L5-Pd(II) complex proved to be very efficient in the Miyaura cross coupling reaction that furnishes arylboronic esters 54 (Scheme 41). Nearly quantitative yield and high TON number (up to 10^5) were obtained in the reaction of pinacolborane with various iodoaromatics at 80°C in 48h using Et₃N as a base.



Scheme 41

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L5-Pd(II) complex proved to be still active with less reactive bromide substrates though lower conversion yields and TON (up to 9.10^3) were obtained under the same experimental conditions using higher catalyst loading.

Chiral L6-Pd (II) complex gave moderate results in asymmetric Heck coupling reaction between iodobenzene **52a** and 2,3-dihydrofuran **55** (Scheme 42).⁷⁰ The best *ee*'s value of 89 % was obtained at 1 mol % of catalyst loading at 40°C in benzene. Although moderate yield was achieved even after 5 days. Working at higher temperature expectedly results in a significant acceleration of reaction rate but to the detriment of the *ee*. Using coordinating solvent such as N-methylpyrrolidinone gave higher reaction rate but only racemic product probably due to the displacement of P,N coordinated ligand L6 by the solvent.



Scheme 42

Palladium-catalyzed asymmetric allylic substitution of 1,3-diphenylprop-2-enyl acetate **31** with the anion of dimethyl malonate was also reported (Scheme 19). The chiral phosphole-oxazoline ligand $L7c^{72}$ provided quite satisfactory catalytic activity and good enantioselectivity (89% *ee*) as well using 1 mol% of $[Pd(\eta^3-C_3H_5)(\mu-Cl)]_2$ in THF as solvent. On the other hand, the planar chiral ferrocenylamine phosphole ligands **L9a-c** gave lower enantioselectivities (up to 67%) but higher activities for this model reaction (complete conversions in 30-90 min at room temperature in CH₂Cl₂ using BSA/AcOK as base).⁷⁴

3.2. Bidentate phosphole-PR₃ ligands

Most of the bidentate phosphole-phosphine, -phosphite and -phosphoramidite ligands reported to date are C_1 -symmetric chelating ligands based on chiral backbone L10-L15 except the phosphole-phosphine ligands L16 (Figure 5).



Figure 5: Bidentate phosphole-phosphine, -phosphite and -phosphoramidite ligands

3.2.1. Synthesis

In an early work, Hegedus *et al.* have investigated the preparation of the benzophospholephosphine ligands **L10** and **L11** (Scheme 43) via two successive nucleophilic substitutions on a chiral dimesylate pyrrolidine derivative, leading to mixed phosphole-phosphine ligands in poor yields due to non-selective substitutions.⁸² Page 34 of 72



Scheme 43

Another chiral dibenzophosphole-phosphine ligand based on 2,4-pentanediol skeleton, ligand L12, was prepared by Bakos *et al.* with a more convenient procedure from the cyclic sulfate of (2R, 4R)-pentanediol (Scheme 44).⁸³



Scheme 44
Axial chirality of the BINOL backbone was exploited for the design of chiral hybrid phosphole-phosphoramidite L13 and phosphole-phosphite L14 ligands.

L13a and **L13b** ligands, namely INDOLPhospholes, have been prepared by Reek *et al.*, in a two steps synthetic sequence from 3-methylindole, (*S*)-BINOL and the corresponding P-cyanophosphole (Scheme 45).⁸⁴



Scheme 45

Then, van Leeuwen synthesized the chiral dibenzophosphole-phosphite ligand L14 from the condensation of phenol-dibenzophosphole derivative with chiral 3,3'-bis(trimethylsilyl)-2,2'-bisnaphthol phosphorochloridite in the presence of triethylamine (Scheme 46).⁸⁵



Scheme 46

The planar chirality of ferrocene was also used to prepare chiral phospholeferrocenylphosphine ligands **L15**. A family of ligands was synthetized by Gouygou and coworkers⁸⁶ via multistep procedures adapted from the synthesis of planar chiral ferrocenylamine phosphole ligands (Scheme 47).





The same author has developed a small library of hybrid phosphole-methano bridgedphosphine ligands **L16a-f**, *via* P-C bond formation on the methano bridge, using electrophilic or nucleophilic phosphine borane building blocks (Scheme 48).⁸⁷ Both synthetic strategies allow access to **L16** combining different phosphole moiety to aryl and alkyl phosphino group, in moderate to good yields (18-67%).

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3.2.2. Hydroformylation

Preformed [PtCl₂(L10)] and [PtCl₂(L11)] complexes were evaluated in asymmetric hydroformylation of styrene **6a** in the presence of SnCl₂ as co-catalyst.⁸² These two catalysts gave higher regioselectivity in favor of the branched aldehyde 10b than the diphenylphosphino analogue. It is interesting to note that the L11/Pt system is more efficient than the L10/Pt one in terms of conversion (65% vs 22%), regioselectivity (10b /10l : 1.35 vs 1) but less efficient in terms of enantioselectivity (12% vs 74%) under standard reaction conditions (1:1 H₂/CO, 165.4 bar, toluene, 60°C, 4h, substrate/Pt= 400). The observed enantiomeric excesses are not indicative of the intrinsic enantioselectivity of catalyst since racemization of the branched aldehyde 10b can occur under catalytic conditions. Indeed in presence of triethyl orthoformate (2-4 equiv/equiv of styrene) to trap the aldehyde as acetal upon formation, excellent ee's values (> 96%) were reached for both ligands without modification of the b/l ratios. Although the reactions were considerably slower and required longer reaction times (26% conversion in 114h with L11, 90% conversion in 115h with L10). The exchange of a diphenylphosphino group for a dibenzophospholyl group has also had a favorable effect on the catalytic performance of ligand L12 in the asymmetric hydroformylation of styrene.⁸³ Platinum catalyst, generated *in situ* by mixing [PtCl₂(PhCN)₂], 1.1 equiv. of (2S,4S)-L12 and 1 equiv. of SnCl₂, showed higher catalytic performances in particular in terms of regio- and enantioselectivities (9b/9l : 56/44 vs 36/74, ee: 43% vs 26%) under standard conditions (H₂/CO (1:1), 70 bar, toluene, 70°C, 8h, substrate/Pt= 2000). A

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remarkable increase in chemo-, regio- and enantioselectivities have been obtained at room temperature depending on the pressure and ratio of H₂/CO. The best catalytic performance was found under 195 bar of H₂:CO (2:1) (70% conversion in 45h, 94% aldehyde, **9b/9n** : 85/15, 89% *ee*). The rhodium-catalyzed hydroformylation of styrene, (2*S*,4*S*)-L12 gave excellent chemo- and regioselectivities (100% aldehyde, 92/8 for **9b/9n** ratio) with slightly lower enantioselectivity than the diphenylphosphino analogous ligand (47% *vs* 54% *ee*).

The chiral dibenzophosphole-phosphite ligand $L14^{85}$ was also evaluated in asymmetric rhodium-catalyzed hydroformylation of styrene and vinyl acetate derivatives using [Rh(acac)(CO)₂]. Under standard conditions (H₂:CO (1:1), 20 bar, toluene, 60 °C, substrate/Rh = 1000, [L]/[Rh] = 5), total conversion was obtained with excellent regioselectivity in favor of branched isomer (>94%) but poor enantioselectivities (15-20% *ee* for styrene derivatives and 3% *ee* for the vinyl acetate derivatives). However, these selectivities (regio- and enantio-) are quite comparable to those obtained by other isostructural arylphosphine-phosphite ligands.

3.2.3. C-C coupling reactions

Palladium-catalyzed asymmetric allylic substitutions were studied with two different types of chiral ligands: phosphole-ferrocenyphosphine L15 and INDOLPhosphole L13.

Planar chiral phosphole-ferrocenyphosphine ligands, L15a-b, showed high activities but moderate enantioselectivities (respectively 38% *ee* and 61% *ee*) in the palladium-catalyzed asymmetric allylic substitution of 1,3-diphenylprop-2-enyl acetate **31** with dimethyl malonate (Scheme 19) under standard conditions (1 mol% of $[Pd(\eta^3-C_3H_5)(\mu-Cl)]_2$ as palladium precursor, BSA/AcOK as base, RT, CH₂Cl₂, 1h).⁸⁶

INDOLPhosphole ligands L13, evaluated in the same conditions, also gave full conversion of the substrate in 1 hr with moderate enantioselectivities (respectively 32 and 56%), lower than INDOLPhos ligands bearing diaryl or dialkylphosphino groups. These ligands achieved poor to fair results with other substrates (1,3-dimethylprop-3enyl acetate, acetoxycyclohexene, cinnamyl acetate).

3.2.4. Hydrogenation/Hydroboration

Ligands L16a and L16b were applied to the Rh-catalyzed hydrogenation and hydroboration reactions.^{87b} Rhodium complexes, preformed or prepared *in situ* by mixing [Rh(η^4 -COD)₂][BF₄] and L16a or L16b, catalyzed the hydrogenation of methyl 2-(acetamidomethyl)acrylate 57 at room temperature under 20 bar of H₂ (Scheme 49). L16b

formed the most robust catalyst which enables work at low catalyst loading of 0.25 mol% without significant decrease of the catalytic activity (91% yield).



Scheme 49

L16a-b-Rh complexes, prepared *in situ* with $[Rh(\eta^4-COD)_2][BF_4]$ and the ligand, also provided high activities in the hydroboration reactions of styrene **6a** with catecholborane (Scheme 40) but with low regioselectivity (54%) in favor of branched isomer compared to dppm (77%).

3.3. Bidendate phosphole based ligands bearing other coordinating groups

3.3.1. Phosphole/Olefin ligands

Le Floch and co-workers have developed mixed bidentate ligands combining phosphole and olefinic binding sites.

The synthesis of dibenzo[a,d]cycloheptenyl dibenzophosphole ligand L17 was achieved through the reaction of secondary dibenzophosphole with chlorodibenzotropilydene (Scheme 50).⁸⁸



Scheme 50

L17 has a rigid concave shaped binding site which coordinates palladium (II) through the phosphorus and the olefinic part in cis fashion giving stable neutral or cationic palladium (II) complexes combining a good σ -donor ability to favor the oxidative addition with a good π -accepting capacity to favor reductive elimination for Pd-catalyzed C-C coupling processes.

Indeed Suzuki cross-coupling reactions of bromoarenes **52** with phenyl boronic acid **59** in toluene at 100°C with K_2CO_3 as base and L17-Pd(II) provided a very impressive activity at very low loading of catalyst (10⁻⁴ mol%) with TON value up to 9.9.10⁵ (Scheme 51).⁸⁸ Cross-coupling reaction of chlorobenzene with phenyl boronic acid is more challenging and thus required higher catalyst loading (2 mol% of L17-Pd(II)) yielding only 32% of desired product.



Scheme 51

In another coupling reaction governed by the π -accepting capacity of ligand, L17 allylpalladium(II) cationic complex with the Tf₂N⁻ counter-ion gave complete conversion of the allylic alcohol **61** (98%) in 24 h using 1 mol% of catalyst at room temperature with MgSO₄ as a water scavenger (Scheme 52).¹⁶ Under the same reaction conditions, the triflate complex gave only a 20% conversion which is indicative of a strong counter–ion effect.



Scheme 52

In 2006, the same group reported the synthesis of an analogous ligand bearing diphenylphosphole tethered to a dibenzoazepine moiety.⁸⁹ Ligand L18 was prepared in a one

pot approach from 1,1'-bis(2,5-diphenyl phosphole) involving the cleavage of P-P bond with bromine, following by the addition of 10,11-dihydro-5H-dibenzo[b,f]azepine in presence of triethylamine (Scheme 53).



Scheme 53

L18 also behaves as a chelate by coordinating the phosphorus atom and the olefinic moiety to the rhodium center. The preformed L18-Rh(I) complex was found moderately active in the hydroformylation of olefins (styrene, cyclohexene, cyclooctene). In the more challenging isomerization/hydroformylation of tetramethylethylene **64** into 3,4-dimethylpentanal **65** (Scheme 54), L18-Rh complex catalyzed the transformation in mild conditions (at 90°C under 20 bar of CO/H_2) with a modest TOF.



Scheme 54

3.3.2. Phosphole/BH₃ ligands

The hybrid phosphole-phosphine borane ligands L19, precursors of ligands L16, acts as a chelating P-(η^2 -BH₃) ligand to afford an air stable cationic L19-Rh(I) complexes (Scheme 55), which provided active catalysts for hydrogenation and hydroboration reactions. These rhodium complexes, preformed or formed *in situ*, are catalytically active in hydrogenation of methyl 2-(acetamidomethyl)acrylate^{87b} (Scheme 49). However, they required higher catalyst loading in comparison with the BH₃ free L16-Rh(I) counterparts suggesting the P-(η^2 -BH₃)-

Rh bonding feature is maintained during catalytic cycle, as later supported by ³¹P NMR spectroscopy for the reaction solution after catalysis.



Scheme 55

In the hydroboration of styrene **6a** (Scheme 40), **L19**-Rh(I) complexes are less active but seem to be more regio-selective.^{87b} It is noteworthy that the nature of substituent on the phosphine-borane moiety has impact on the catalytic performance. For example, use of **L19f** ligand decreases the activity (57%) while the selectivity for branched isomer increases up to 65/35 ratio.

3.4. Multidentate phosphole-based ligands

The development of multidentate ligands incorporating phospholes has gained attention of several research groups.

3.4.1. Tridentate phosphole-based ligands

Le Floch prepared a tridentate P,N,P ligand, **L20**, based on a central pyridine unit connected by a methylene group to 2,5-diphenylphosphole rings. This rigid pincer ligand was very attractive for the elaboration of robust catalysts due to its low sensitivity towards oxidation and its high thermal stability. It was prepared according to a classical nucleophilic substitution reaction between the 2,5-diphenylphospholide anion and the 2,6-bis(chloromethyl)pyridine (Scheme 56).⁹⁰

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Scheme 56

The corresponding cationic palladium (II) complex, engendered from the reaction of **L20** with $[Pd(COD)Cl_2]$ precursor in presence of chloride abstractor AgBF₄ was evaluated in Miyaura cross-coupling reactions between haloarenes and pinacolborane (Scheme 41). Iodoarenes were easily converted at 80°C in dioxane using 0.001 mol% catalyst loading and triethylamine as base (TON up to 100.10³), while bromoarenes required higher catalyst concentration (0.01 mol%) in order to give satisfactory conversions (55-86%) and TON (55-89.10²). The high TONs obtained with **L20**-Pd complex as catalyst are comparable to those of obtained with **L5**-Pd complex in this Miyaura cross-coupling process.

3.4.2. Tetradentate phosphole-based ligands

Matano *et al.* were interested in the synthesis of macrocyclic phosphole-containing hybrid calixphyrins combining P,N₂,X-mixed donor ligands (X= O, S, N) as hemilabile and redoxactive ligands.⁹¹ The 5,10-porphodimethene type 14π -P,(NH)₂,X- **L21** and 16π -P,N₂,X-hybrid calixphyrins **L22** (X = O, S, NH) are prepared in poor to moderate yields (5-30%) *via* Lewis acid-promoted dehydrative condensation between a σ^4 -phosphotripyrane and the corresponding 2,5-bis[hydroxyl(phenyl)methylheteroles, followed by oxidation with 2,3-dichloro-5,6-dicyanobenzoquinone and desulfuration with P(NMe₂)₃ (Scheme 57).



Scheme 57

Square planar calixphyrin-Pd(II) complexes have been obtained either by complexation of L21a,c with [Pd(OAc)₂] or L22c with [Pd(dba)₂], which is indicative of electron transfer between the π -conjugated N-S-N unit and the palladium center (Scheme 58),. These palladium complexes exhibit the hemilabile nature of phosphole-containing hybrid calixphyrin plateform since the dissociation of the furan/thiophene and pyrrole rings take place to generate vacant coordination sites at the metal center accompanied by the reduction of the palladium from +2 to 0 valent state and the oxidation of N-X-N unit from 16 π to 14 π electrons.



Scheme 58

They were used in Pd-catalyzed Mirozoki-Heck reaction. Both L21a-Pd(II) and L21b-Pd(II) complexes catalyzed coupling reaction of *p*-bromobenzaldehyde 64 with n-butyl acrylate 12b (Scheme 59) in DMA at 100°C to give the desired product 65 in 68 and 98% yields respectively (TON= 680-980 after 24h).



Scheme 59

The L22-Rh complexes, generated *in situ* with $[RhCl(CO)_2]_2$, were tested in the hydrosilylation of acetophenone and phenylacetylene. The hydrosilylation of acetophenone **49c** (Scheme 39) with Ph₂SiH₂ in presence of L22b/[Rh(Cl(CO)₂]₂ (0.5/0.25 mol%) in THF for 6h at room temperature gives 91% of the 1-phenylethanol **50c** after hydrolysis. When L22c was used in place of L22b, the rate of hydrosilylation was dramatically accelerated (87% yield after 0.5h).

The high catalytic activity of L22/[Rh(Cl(CO)₂]₂ system was also reached in the hydrosilylation of phenylacetylene 66 with PhMe₂SiH 67 which resulted in the formation of a mixture of styrylsilanes 68 and 69 (Scheme 60). A high Z/E selectivity (97/2) as well as a high β/α selectivity were achieved in the reaction with L22b implying that the catalyst operates as a totally neutral Rh complex. Lower selectivities observed for the reaction using L22c suggest that the rhodium center in the catalyst possess a cationic character (to some extent).



Scheme 60

4. Diphosphole-based ligands

Diphosphines play a dominant role as P,P ligands in homogeneous transition metal catalysis, especially chiral diphosphines which proved to be one of the most successful and widely used ligands for transition metal catalyzed asymmetric synthesis. As phospholes have proven to be efficient ligands in homogeneous catalysis, they have been used for the design and synthesis of new diphosphine ligands based on chiral or achiral backbone.

4.1. Diphospholes with chiral backbones

4.1.1. Synthesis

The first chiral diphosphole ligand **DIOP-DBP** was developed independently by Kagan⁹² and Tanaka⁹³ in 1975 by replacing the DIOP's diphenylphosphino groups with dibenzophosphole residues. The synthetic approach involves the addition of a phospholyl anion on the ditosylate derivative (scheme 61).





This synthetic pathway was then generalized to become the method of choice for preparing different chiral diphospholes. By varying the nature of the chiral ditosylate (dimesylate) derivative, the **cyb-DBP** and **cyb-DBP**⁹⁴, **Bco-DBP**⁹⁵, **Skewphos-DBP**^{96,97} and **BPPM-**DBP⁸² were synthesized (Figure 6). Changing the phospholyl anion provided access to ligands **DIOP-TPP**⁹⁸ and **BPPM-TPP**.⁹⁹ In addition, immobilization of **DIOP-DBP** and **BPPM-TPP** *via* covalent bonding onto polymer and carbon nanotubes respectively has been performed (Figure 6).



Figure 6 : Chiral diphosphole ligands

Two other types of chiral diphospholes have been prepared by different procedures. The enantiopure SPANphos ligand bearing dibenzophosphole moiety, **SPAN(DBP)**₂, has been synthetized by reaction of the chlorodibenzophosphole with a dilithio compound and a subsequent separation of the enantiomers by semipreparative chiral HPLC (Scheme 62).¹⁰⁰



Scheme 62

Chiral phosphole ligands, analogous to the SEGPHOS chiral phosphine, namely MP^2 -SEGPHOS and Ph-SEGPHOS, have been obtained by a double condensation of diyne with the enantiopure ((-)-4,4'-bi-1,3-benzodioxole)5,5'-diylbisphosphine in a presence of *n*-butyllithium (Scheme 63).¹⁰¹





4.1.2. Hydrogenation

The preliminary asymmetric hydrogenation was performed with **DIOP-DBP** rhodium systems. However, the enantioselectivities obtained for hydrogenation of various dehydroamino acids in standard conditions were lower than those of the DIOP ligand.^{92,93}

Diphospholes **Cyb-DBP** and **Cyh-DBP** have been applied in the asymmetric hydrogenation of (*Z*)- α -acetamidocinnamic acid **4** (Scheme 9) with enantiomeric excess lower than the phosphine analogues but similar to those observed with **DIOP-DBP**.¹⁰² Better results in terms

of enantioselectivity were obtained with **DIOP-TPP-**Rh catalyst, isolated or preformed *in situ* from both $[Rh(cod)_2]BF_4$ or $[Rh(cod)(acac)]BF_4$. These systems are able to hydrogenate **4** under 3 bar of H₂ at room temperature with 70% *ee.*¹⁰³ It is interesting to note that (*R*,*R*)-**DIOP-TPP** leads to the (*S*) enantiomer of N-acetylphenylalanine **5** whereas the (*R*,*R*)-DIOP analogue leads to the (*R*) enantiomer.

Recently, the chiral **BPPM-DPP**-rhodium complex covalently anchored to the surface of carbon nanotube has demonstrated its ability to hydrogenate 4 (5.5 atm of H_2 , at RT in MeOH using 0.5 mol% of catalyst) with moderate enantioselectivity (54%).⁹⁹ Recycling this catalyst has not yet been reported.

MP²-SEGPHOS was also reported in Rh-catalyzed asymmetric hydrogenation of **4**, with moderate enantioselectivity (60% *ee*).¹⁰¹ It is only very recently that highly efficient selective catalysts for asymmetric hydrogenation have been reported with diphosphole ligands. Indeed **MP²-SEGPHOS** and **PhP-SEGPHOS** iridium systems have successfully catalyzed the hydrogenation of 2-substituted pyridinium salts (Scheme 64).¹⁰⁴ **MP²-SEGPHOS** proved to be highly efficient and more selective than **PhP-SEGPHOS** and the others classical SEGPHOS ligands for the reduction of a wide range of pyridinium substrates in good to excellent yields and enantioselectivities using 0.5 mol% of iridium precursor and 0.55 mol% of ligand. N-substituents could be declined using benzyl, paramethoxybenzyl, ethyl or isopropyl. The corresponding 1,2-substituted piperidines could be obtained in excellent yields (57-97%) and with enantiomeric excess over 95% *ee* (Scheme 64). The reaction was shown, also, to tolerate different groups in the 2-position. Aryl groups with electron withdrawing or donating substituents led to excellent yields and enantiomeric excess (yields above 88% and excess above 90% *ee*). Some limits appeared when 2-alkyl substituted pyridinium salts were reduced (24% yield and 69% *ee* with isopropyl group in position 2).



Scheme 64

These exceptional catalytic activities and chiral inductions, probably due to the ability of phospholes to bring both unusual electronic properties and rigidity to provide a well defined chiral pocket and really active catalyst, is the unique example of chiral-phosphole-based ligand for highly efficient asymmetric hydrogenation.

4.1.3. Hydroformylation

Most of the DBP based diphospholes have been applied in asymmetric hydroformylation of alkenes.

In an early work, Tanaka *et al.* showed the superiority of the **DIOP-DBP** in terms of regioselectivity and enantioselectivity compare to the DIOP for Rh-catalyzed hydroformylation reactions of styrene, 1-butene and *cis* 2-butene.⁹³ However, the enantioselectivities remained low (37% *ee* for styrene). The efficiency of the **Cyb-DBP** or **Cyh-DBP** ligands was also examined in the same reactions without improved enantioselectivities.^{64,102} However it should be noted that replacement of PPh₂ by phospholyl moiety induced in all cases an inversion of configuration of the branched aldehyde.

DIOP-DBP-Rh systems have been explored in asymmetric hydroformylation of various substrates such as N-vinylimides¹⁰⁵, N-acyl-2-pyrrolidines,¹⁰⁵ vinylacetate¹⁰⁶ and vinyl propionate.¹⁰² Enantioselectivities still slightly higher than the DIOP were observed but the asymmetric induction has never been higher than about 50% *ee*, the highest enantiomeric excess being achieved in the hydroformylation of vinyl acetate.

The efficiency of DBP based-diphospholes was examined in parallel in Pt-catalyzed hydroformylation of styrene and a few other olefins. If the [PtCl₂(**DIOP-DBP**)] complex has not been very effective in the hydroformylation reactions of styrene and butene derivatives (*ee* up to 22%),¹⁰⁷ more interesting results were obtained in presence of SnCl₂ and H₂O additives. Good enantioselectivity (73%) and regioselectivity (82%) in favor of the branched aldehyde were obtained using [PtCl₂(**DIOP-DBP**)]/SnCl₂ system at 40-60°C, under 220.5 bar of H₂/CO (2.4/1).¹⁰⁸ Under same conditions, [PtCl₂(**BCO-DBP**)]/SnCl₂ system exhibited improved enantioselectivity (86% *ee*) for styrene and moderate enantioselectivity (67% *ee*) for 1-butene.^{95,109}

For other styrene derivatives bearing electron donating groups, **Skewphos-DBP** provides higher branched aldehyde selectivity but lower enantioselectivity than the phosphine analogue (b/l =8.2 vs 0.42, ee= 26% vs 75%) in Pt-Sn catalyzed hydroformylation conducted with 0.1 mol% of catalyst in toluene at 20°C under 70 bar of CO/H₂.⁹⁷

The non C₂-symmetric ligand **BPPM-DBP** has been applied in Pt-Sn catalyzed hydroformylation of various vinyl aromatic compounds.⁸² Hydroformylation of styrene with $[PtCl_2(BPPM-DBP)]/SnCl_2$ system led to excellent enantioselectivities (> 96%) under standard conditions (1:1 H₂/CO, 165.4 bar, 60°C, toluene) in the presence of 4 equiv. of triethyl orthoformate. This catalytic system gave higher regioselectivity in favor of the branched aldehyde than the mixed ligand DBP-PPh₂ and the PPh₂ analogue. In the same catalytic conditions, precursors of 2-arylpropionic acids such as ketoprofen, fenoprofen, naproxen, tiaprofenic and indoprofen were efficiently hydroformylated with excellent chemoselectivities for aldehyde (> 90%) and enantiomeric excess (> 96%) (Figure 7), the b/l ratios depending strongly on the aromatic substituents.



Finally, we can mention the work done by Still and co-workers in asymmetric hydroformylation using **DIOP-DBP** grafted on styrene polymers and styrene-divinylbenzene copolymers. The copolymer-supported Rh system catalyzed the hydroformylation of styrene in 12h under 96.5 bar (1:1 H₂/CO) at 80°C affording complete selectivity to aldehyde, high isomer b/l ratio (b/l: 17), but poor asymmetric induction $(6\% \ ee)$.¹¹⁰ Better results were obtained in Pt-catalyzed hydroformylation of styrene and vinyl acetate using the supported **DIOP-DBP** catalysts.¹¹¹ Indeed, the Pt-Sn immobilized systems showed comparable rates and gave nearly the enantioselectivities as the homogeneous analogue (around 56-65% *ee*). In addition, the soluble polymer-supported catalyst could be recovered by precipitation with diethyl ether and filtration, and reused without any loss in terms of both rate and selectivity.

In the same way, recycling of the cross-linked copolymer was achieved by simple filtration with slight loss in rate but no loss in selectivity.

The complementary palladium catalyzed-alkoxycarbonylation have been explored with **Cyb-DBP**, **Cyh-DBP** and **DIOP-DBP** /[PdCl₂(PhCN]₂ systems. Among the systems tested, DIOP/Pd system gave the best results for the methylstyrene alkoxycarbonylation (89% selectivity, 44% *ee*), and showed a slight superiority over similar phosphine systems (Scheme 65). ^{94,112}





4.1.4. Fluorination

The enantiopure **SPAN(DBP)**₂ recently reported by van Leeuwen and coworkers was applied in palladium-catalyzed fluorination of ethyl 2-cyano-2-phenylacetate **73** (Scheme 66).¹⁰⁰ In presence of $[Pd(OAc)_2]_3$ /**SPAN(DBP)**₂ catalytic system, and NFSI as fluorine donor, complete conversion and high yield (93%) were obtained in EtOH at RT in 18h but the reaction was not enantioselective since only racemic mixture **74** was produced. For comparison, the diphenylphosphine analogue also gave a total conversion, high yield (97%) and high enantioselectivity (78% *ee*) under the same conditions.



4.2. Diphospholes with achiral backbones

The diphospholes with achiral backbones reported to date can be divided into two categories; diphospholes based on various C_n -backbones and the diphospholes based on a xanthyl backbone (Figure 8).



Figure 8: diphospholes with achiral backbone

4.2.1. Diphospholes based on C_n-backbones

4.2.1.a. Synthesis

Several C_n-bridged di(phospholes) ligands L25-27 have been prepared according to the procedure outlined in Schemes 67 and 68. Ligands L23¹¹³, L24¹¹⁴ and L25¹¹³ were synthetized by quenching a THF solution of phospholyl lithium with the corresponding 1,n-dibromoalkanes (Scheme 67) while the anthracene-bridged diphosphole ligands L26a-b¹¹³ were prepared by reacting the corresponding dimesylate with 2,3,4,5-tetramethylphospholyl lithium (Scheme 68).

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Scheme 67





4.2.1.b. Pd-catalyzed copolymerization

L23, L25 and L26 have been investigated in the Pd-catalyzed copolymerization of ethylene and carbon monoxide (Scheme 37).¹¹³ Under 20 bar of CO/ C_2H_4 at 90°C in MeOH in presence of MeSO₃H, L23b, L25 and L26a-b/ Pd(II) complexes, preformed or prepared *in situ* with [Pd₃(OAc)₆], have been found to be active. However, catalytic system based on L23a and L23c are inactive probably due to the inability of these ligands to form stable chelates at Pd (II). From this series of ligands, L25 provided a highly productive catalytic system and was more active than the dppp, its PPh₂ analogue, (46.3 *vs* 33.1 kg of polyketone/mol of cat/h). It generated high molecular weight polymers (M_w=14400, M_n =8530) with narrow molecular weight distributions (M_w/M_n =1.7). It is also interesting to note the marked influence of the nature of the four carbon-tether on the productivity and the degree of polymerization. **L26b** gives less active catalyst than those obtained with **L26a** (20.6 *vs* 9.5 kg of polyketone/mol of cat/h and n=80 *vs* 50).

On the other hand, Fagan showed that **L23a** cationic palladium complex, $[Pd(L23a)Me]SbF_6$, provides an acid free catalytic system for the copolymerization ethylene and carbon monoxide.¹¹⁵ This system is able to produce 28 kg of polyketone per mole of catalyst per hour (M_w =236000, M_w/M_n =5) under 45 bar at 100°C over 18h.

4.2.1.c. Pd-catalyzed allylation of primary amines

Among the ligands L24, L24a and L24b were the only ones to be studied in the Pd-catalyzed allylation of aniline described previously (Scheme 19). Under the same conditions as those used with the TPP ligand, the L24b-Pd-allyl complex showed a moderate activity (73% conversion in 24h), lower than those of TPP-Pd allyl complex, while the L24a-Pd-allyl complex was inactive.¹⁶

4.2.2. Diphospholes with Xanthyl backbone

4.2.2.a. Synthesis

In order to design new diphosphines with wide natural bite angles for application in hydroformylation reactions, van Leeuwen *et al.* have developed diphospholes by introduction of a dibenzophosphole moiety on the xanthene backbone^{116,117} Le Floch *et al.* further synthesized other Xantphos-phosphole derivatives by the variation of the phospholyl groups.¹¹⁸ The syntheses of these ligands were performed *via* the conventional method by reaction of the 4,5-dilithium salt of xanthenes derivatives with the corresponding P-chloro phosphole or P-cyanophosphole (Scheme 69).



Scheme 69

The phosphole-based Xantphos ligands L27-L29 combine the wide bite angle offered by the xanthene heterocycle (wide natural bite angles of 111.8, 121.4, 124,3 and 128.9° for L29, L27c, L27d and L28b respectively) with the rigidity and unusual electronic properties of the phosphole ring.

4.2.2.b. Hydroformylation

The specificity of the dibenzophospholyl-substituted Xantphos ligands is to form $[Rh(CO)_2(P-P)]_2$ dimer complexes, which are catalytically inactive under hydroformylation conditions but in equilibrium with $[RhH(CO)_2(P-P)]$ active complexes under syn gas atmosphere. Xantphosderived diphospholes **L27c**, **L28b** and **L29**, have mainly found applications in the rhodiumcatalyzed hydroformylation of octenes. Moderate activities but high selectivities have been recorded for the hydroformylation of 1-octene **1d** into linear aldehyde **3dl** (Scheme 8) at 80 °C and 20 bar of CO/H2 (1:1) using a 0.1 mol% of rhodium catalyst prepared from $[Rh(acac)(CO)_2]$ and 5 equiv. of ligand. These moderate activities, resulting from the preferred formation of the rhodium-dimer resting state, are similar or higher than those of the diphenylphosphino-substituted parent ligand. However, **L27c**, **L28b** and **L29** (10 eq.) combined with 0.1 mol% of $[Rh(PPh_3)_3H(CO)]$ exhibit unprecedented high selectivity in the isomerisation-hydroformylation process of *trans* 4-octene **75** into *linear* nonanal **761** with acceptable activities (Scheme 70) at 120 °C under 2 bar of 1:1 CO/H₂.¹¹⁷



Scheme 70

The high selectivity of **L27c** is also pronounced in the hydroformylation of *trans* 2-octene into linear nonanal (90% of linear aldehyde). These excellent performances in the hydroformylation of internal octenes have been attributed by both the low phosphine basicity, leading to the required high isomerization, and the wide natural bite angles of the ligands inducing the high selectivity for linear aldehyde formation.

4.2.2.c. Hydroaminomethylation

Beller and coworkers have also used phosphole based Xantphos ligand L29 in the hydroaminomethylation of pent-2-ene 78 and piperidine 79 (Scheme 71).¹¹⁹ L29-rhodium catalytic system showed high selectivities in amine 80 (96%, 1:b = 45:55) and no enamine formation indicating that the catalyst is particularly active in the hydrogenation step. However the rather low conversion reported for L29 (20% in 12h) relative to the other phenoxaphosphino-modified Xantphos-type ligands was attributed to slow catalyst preformation and demonstrates the importance of the nature of the phosphorus substituents as well as the ligand backbone.



Scheme 71

4.2.2.d. Isomerization

L27c has been evaluated in Ni(0)-catalyzed isomerization of 2-methyl-3-butenenitrile **80** (Scheme 72).¹²⁰ **L27c**-Ni complex, prepared *in situ* using $[Ni(COD)_2]$ as precursor, showed moderate activity in toluene at 90 °C (30% of conversion in 1 h) and moderate selectivity of

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the desired 3-pentenenitrile **81** (32.5%) in comparison to tBuXantphos (97 % conversion, 65% of **81**) probably due to a too high ligand rigidity. In fact, **L27c** yielded a high proportion of the thermodynamic product **83** due to the irreversible formation of stable alkylnickel complexes with this internal alkene which causes the catalyst deactivation and explains the low conversion obtained.



Scheme 72

4.2.2.c. Photocatalytic reduction

Dibenzophosphole-based Xantphos ligands have recently found another catalytic application as active copper-based photosensitizers in the photocatalytic hydrogen generation from water under basic conditions (Scheme 73). Cationic copper(I) complexes combining L27c, L28b and L29 with a bathocuproine as N,N ligand promoted proton reduction under Xenon light irradiation at pH=11.5 and room temperature using [Fe₃(CO)₁₂] as water reduction catalyst, and triethylamine as sacrificial reductant.¹²¹ Whereas L28b and L28b based-copper complexes showed low to moderate activities with turnover numbers (TON) of 150-397 (equiv. of H/equiv. of Cu), the electron enriched L29 provided a higher active photosensitizer copper complex that is able to produce up to 34 mL of H₂ in 18h (TON= 797). Actually, this system performs better than the previously described noble metal based photosensitiers.



Scheme 73

4.2.2.f. Miscellaneous reactions

Other Xanthphos derived diphospholes, **L27a and L27b** have shown good performances in nickel-, palladium-, platinum- and gold-catalyzed reactions.

Ni(II)-complex obtained only with Xanthphos-phosphole ligand L27a demonstrates high activity and selectivity in ethylene dimerization (Scheme 37). Reactions conducted in toluene at 20°C in the presence of methylaluminoxane as co-catalyst (300 equiv.) under an ethylene pressure of 30 bar afforded 97% of C₄ in 30 min with 90% selectivity in $1-C_4$.¹²² This catalytic system is more selective (90% of $1-C_4$ vs 80%) than the Ni complex with 1-(2-pyridyl)phosphole L4 but less active (TOF of 43 000 vs 56 150.mol(C₂H₄).mol⁻¹[Ni].h⁻¹).

L27a-b-Pd cationic complexes, in particular the one containing the ligand L27b, exhibit high catalytic activities in the allylation of aniline with allyl alcohol in mild conditions (100% conversion in 1h using 1 mol% of catalyst in toluene at room temperature) without additives (Scheme 17).¹¹⁸ The catalyst performance of L27b could be later extended to Pd-catalyzed-deallylation of various alkyl allylethers.¹²³ The deallylation of allylether **84** (Scheme 74) occurs in 98% yield with 0.1 mol% of catalyst loading at room temperature within 40 min whereas longer reaction times (1-4h), heating (50°C) and higher loading of catalyst (1 mol%) were needed to reach completion with other alkyl derivatives.



This catalytic activity has been attributed to a perfect combination between a large P-Pd-P bite angle which enhances the reactivity of the allyl ligand, and an exceptional π accepting properties offered by the phosphole moiety which allows the easy formation of 14 electrons Pd-active species involved in the catalytic cycle.¹²³

When using L27b-Pt(II) allyl complex, the scope of amine could be extended to a broad variety of alkyl amines and aryl amines (Scheme 75).¹²⁴ This system performs well even at

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0.1-1 mol% of catalyst loading, at low temperatures (30–50°C), in presence of catalytic amount of NH_4PF_6 as protons source and with high selectivity for the desired mono-allylamine product (Scheme 75).



Scheme 75

Theoretical studies showed that the Pt-catalyzed allylation of amine occurs by a catalytic cycle different from that of Pd. It also emphasized the importance of a large bite angle of the ligands to prevent formation of cationic platinum hydride complexes on protonation which could eventually lead to deactivation of the catalyst.

Mononuclear cationic complexes [Au(L27b)][X] (X= BF₄, PF₆, OTf and NTf₂) and a dinuclear cationic gold hydride complex $[Au_2-(L27b)_2(H)]OTf$, formally resulting from the stabilization of the gold hydride complex by the [Au(L27b)],¹²⁵ proved to be highly active in the dehydrogenative silvlation of 2-phenylethanol 87 at 50°C in 1,2-dichloroethane within 2h (Scheme 76).¹²⁶



Scheme 76

These results validated the hypothesis of " Au_2H^+ " species as an intermediate during the catalytic cycle.

5. 2,2'-Biphosphole-based ligands

2,2'-Biphospholes belong to the *tropos*¹²⁷ class of phosphorus ligands. Although recently applied to molecular materials,¹²⁸ they were originally designed as ligands for metal-catalyzed reactions. The promising performances of the chiral stereochemically dynamic 2,2'-biphosphole ligand (BIPHOS) in asymmetric catalysis encouraged the development of new derivatives of 2,2'-biphosphole ligands: diphosphines **L30** and diphosphinites **L31** (Figure 10). Alternatively, the 2,2'-biphosphole moiety was used for the synthesis of macrocycles of variable ring sizes. Among them, a ten-membered tetraphosphole macrocycle **L32** (Figure 10) showed interesting catalytic performances.



Figure 10: 2,2'-biphosphole based ligands used in catalysis

5.1. 2,2'-biphosphole (BIPHOS)

The first example of 2,2'-biphosphole type-ligands, the 1,1'-diphenyl-3,3',4,4'-tetramethyl-2,2'-biphosphole named BIPHOS, was originally synthesized by Mathey and coworkers¹²⁹ starting from the simple 3,4-dimethylphosphole **DMPP**. The most convenient method is based on the oxidative coupling reaction of 2-lithiophosphole obtain from 2-bromophosphole as described in Scheme 77.¹³⁰ As phospholes are not aromatic compounds, the α -functionalisation of the phosphole ring imply a sequence of oxidation at phosphorus and bromination of dienic system, oxygen-sulfur exchange at the phosphorus, dehydrohalogenation of the dienic system and then reduction to obtain the 2-bromophosphole.



In early studies, BIPHOS was coordinated to various transition metals,¹³¹ such as Rh and Pd, generating catalytic systems for standard reactions. Rhodium complex, [Rh(**BIPHOS**)(COD)]BF₄, showed moderate activity for the hydrogenation of α -acetoamidocinnamic acid **4** (Scheme 9) but was really efficient for hydroformylation of styrene with high conversion and excellent regioselectivity towards the branched aldehyde (up to 98%).¹³² The BIPHOS/Pd catalyst proved to be as effective as the best reported catalytic systems in the catalytic allylic substitution of the model substrate **29** (Scheme 18)¹³³ but showed disappointing results in methoxycarbonylartion of styrene.¹³⁴

Metal free **BIPHOS** exhibits *tropos* nature due to isomerization by phosphorus inversion inducing *atropos* inversion.¹³⁵ In 2001, Gouygou and coll. succeeded in applying *tropos*-**BIPHOS** to an catalytic asymmetric reaction for the first time by a process involving crystallization-induced spontaneous resolution and kinetic stabilization by coordination to a metal center.¹³⁶ Figure 11 shows the transformation of the *tropos*-**BIPHOS** into an enantiopure *atropos* (*S*,*R*_{*P*},*R*_{*P*})-palladium complex through complete control of chirality without racemization of the complex in solution at room temperature or below.¹³⁷



Figure 11 : Transformation of tropos BIPHOS into enantiopure atropos palladium complex

The (S, R_P, R_P) -(+)-Pd-complex was applied in the asymmetric allylic substitution of acetate **29** (scheme 18) in THF at 25°C using 1 mol% of catalyst, using NaH as base. Under these conditions, the allylic acetate was smoothly converted after 24 hrs into the desired (*S*)-product in 93% yield and with 80% *ee*. Switching to (R, S_P, S_P) -(-)-Pd-complex gave the opposite enantiomer of the product with the same yield and enantioselectivity which confirms the enantiomeric purity of the BIPHOS palladium complex.

5.2. Tropos diphosphines and diphosphinites derived from 2,2'-biphosphole

New diphosphines and diphosphinites with the *tropos* structure of 2,2'-biphosphole were then designed to avoid the delicate and random stage of resolution and extend catalytic applications. The synthetic strategy is based on the introduction of a chiral linker between the two phosphorus atoms of the 2,2'-biphosphole to both partially control the axial and the central chiralities and to maintain some degree of freedom to accomplish a metal dynamic resolution. Starting from **DMPP**, **L30** were obtained by asymmetric alkylation of the dianion, resulting from the cleavage of the two phosphorus-phosphorus bonds of the tetraphosphole, using various enantiomerically pure diol ditosylates (or dimesylates),¹³⁸ whereas **L31** were prepared by nucleophilic substitution of the dicycano intermediate using enantiomerically pure diols, as described in Scheme 78.¹³⁹



These *tropos* diphosphines and diphosphinites, **L30** and **L31**, are able to provide diastereoand enantiopure complexes of palladium, platinum and rhodium by a kinetic dynamic

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resolution upon coordination on a metal center. Their potential was demonstrated in particular for Pd-catalyzed allylic substitution and Rh-catalyzed hydrogenation reactions. Notable features are the direct use of **L30** and **L31** to convey enantioselectivity in metal catalyzed reactions through an *in situ* dual chirality control of axial and central chiralities of 2,2'biphosphole. For example Pd-catalysts, generated *in situ* from 1 mol% of the diphosphine and 0.5 mol% of $[Pd(\eta^3-C_3H_5)Cl]_2$, gave enantioselectivities up to 66% with the diphosphine **L30d** for the asymmetric allylic substitution of acetate **29** (Scheme 18). Under the same conditions, diphosphinite ligands are underperforming giving enantioselectivities up to 35% with the **L31b'**.¹⁴⁰

In asymmetric hydrogenation of dimethylitaconate (Scheme 79), Rh-catalysts prepared *in situ* by adding ligand L30 or L31 to $[Rh(COD)_2]CF_3SO_3$, are active and selective producing enantiomeric excess in the range of 31 to 85%. Diphosphines L30 gave more active catalytic systems than diphosphinites L31 since complete conversion was achieved in 3 h at room temperature under a hydrogen pressure of 20 bar with L30 whereas L31 require a hydrogen pressure of 40 bar during 6 h. However, the best results in terms of enantioselectivities were obtained with L31c,c' and d (82-85% of *ee*). It is interesting to note that the absolute configuration of the ligand determined the configuration of the product: the (*R*) enantiomer of the methylsuccinate being obtained with ligands derived from the (*S*) or (*S*,*S*) diols whereas the (*S*) enantiomer is obtained with the ligand detived from the (*R*) or (*R*,*R*) diols.



Pt-catalyzed hydroformylation of styrene **6a** was also performed, using $[PtCl_2(L_2^*)]/SnCl_2$ catalytic systems, with modest results both with diphosphines **L30** and diphosphinites **L31** (conversion up to 64%, b/l up to 91%, *ee* up to 18%).¹⁴¹

The modular construction of these *tropos* ligands by combination of 2,2'-biphosphole framework and chiral linker offers immense scope for structural variations and catalysts tuning that have not yet been fully exploited.

5.3. Macrocyclic tetraphosphole ligand

2,2'-biphosphole units have been incorporated in macrocycles of variable ring sizes which can adjust their conformation to the stereochemical requirements of the metal complexes thanks to the flexibility of the 2,2'-biphosphole units.¹⁴² A ten-membered tetraphosphole macrocycle **L32** has been used to synthetize a very stable palladium complex (Scheme 80) in which the macrocycle adopts a cradle-like conformation to chelate the palladium center between two diagonally related phosphorus atoms.¹⁴³



Scheme ou

The structural characteristics of the L32-Pd complex have suggested that some stabilization of a $[Pd^{0}X]$ intermediate could be achieved due to the conformation of the macrocycle in the complex with possible spatial interactions of the non-complexed phosphorus lone pairs of L32 with the 14-electron palladium center. This hypothesis was confirmed by the results obtained in Pd-catalyzed reactions. Indeed, L32 gave stable and recyclable palladium catalysts with very long half-lives for the Stille cross-coupling reaction of iodobenzene 18a with tributylvinyltin 91 and for the Heck coupling reaction of bromobenzene 52a with n-butyl acrylate 13b (Scheme 81).



Scheme 81

In addition, the Pd-catalyst generated *in situ* from L32 and $[Pd(dba)_3]$ also proved to be efficient in the allylic substitution of acetate 29 (Scheme 18) leading to a high conversion (95%) of the substrate in 3h using 0.5 mol% of catalyst.

Despite the promising performance of ligand L32, the development of macrocyclic tetraphosphole-based ligands for catalysis has not been achieved so far.

6. Concluding remarks

This review has highlighted the design and the updated applications of the phosphole ligand families in catalysis. Although the first report of the homogeneous catalysis dates from the 1970s, the applications have continued to grow from covering various metal catalysis to extending into organocatalysis as shown by recent publications.

The monophospholes, originally known as efficient ligands for catalytic hydrogenation and hydroformylation reactions, have recently gained importance in C-C coupling reactions especially in Au- and Pt-catalyzed reactions. Furthermore in this family of ligands, the dibenzophosphole compounds offer specific properties that can be exploited as organocatalysts in Appel and Staudinger reactions.

Chemistry of phospholes have also opened a gateway to novel phosphole-based ligands (bitri-, tetra-dentate P-P, P-N and P-X ligands) having significant potential in a large number of metal-catalyzed reactions: hydrogenation, hydroformylation, polymerization, isomerization, C-C coupling reactions and more. In addition, some successful results in asymmetric reactions have been achieved with chiral phosphole ligands based on a chiral backbone (P-P and P-N ligands) or on *tropos* 2,2'-biphosphole structure (P-P ligands).

However, the potential in catalysis of the phosphole ligand families has not been fully explored to date, and we anticipate that more new reactions will be developed in the future. Due to the steric and electronic modularity of the phosphole ring, new phosphole-based ligands will provide further opportunity for the development of various reactions (asymmetric reactions included).

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Phosphole-based ligands in catalysis

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This review provides an overview of phosphole-based ligand families (monophospholes, multidentate hybrid phosphole ligands, diphosphole and 2,2'-biphosphole-based ligands) and their potential in metal- and organo-catalyzed reactions (asymmetric reactions included).

Hydrogenation Staudinger reaction Appel bromination Hydrosilylation Alkylation allylique Phosphole Hydroboration Cyclopropanation Hydrogen transfer Catalyts Cycloisomerization Carbonylation Isomerization Hydroformylation Polymerization Hydroaminomethylation Copolymerization

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