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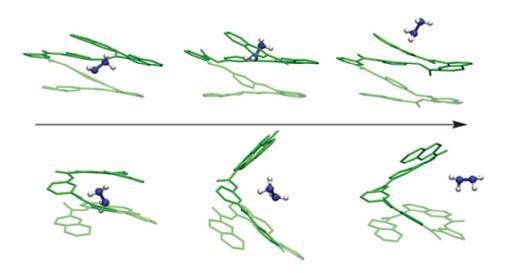
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A hydrazine molecule encapsulated in an arylamide helical foldamer escaping from the "top" (top) and "side" (bottom) of the capsule in the aqueous and methanol solution, respectively. 39x20mm ( $300 \times 300$  DPI)

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## **COMMUNICATION**

# Mechanistic and Dynamic Insights into Ligand Encapsulation by Helical Arylamide Foldamers

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Molecular capsules have been extensively used in catalysis, drug delivery, molecular recognition and protection of ligands from degradation. Novel "apple peel" shaped helical arylamide capsules have been experimentally pursued due to 10 their flexible nature and designability. They were found to encapsulate a variety of small molecules. The apple peel shape of the capsules led to a hypothesis that binding and release of ligands involve partial unfolding. However, the exact mechanism is unknown. Using molecular dynamics 15 simulations with our new aryl-amide force field parameters, we identify two low energy barrier binding/release mechanisms, in which the capsule helical structure is either minimally disturbed or restored quickly (within 100 ps). Furthermore, we determine the effects of ligand sizes, their 20 chemical nature (hydrogen bonding capabilities), and solvents on binding modes and stabilities. Our findings not only support experimental observations but also provide underlying principles that allow for rational design of foldamer capsules.

25 Encapsulation enables isolation of molecules from their environment, often used in strengthening molecular recognition, <sup>1</sup> catalysis, <sup>2</sup> drug delivery <sup>3</sup> and protection of guest species from degradation. <sup>4</sup> Helical capsules <sup>5-9</sup> based on arylamide foldamers <sup>10-</sup> <sup>12</sup> are of particular interest due to their flexible nature and 30 structural features that facilitate rational design. Their advantage over rigid capsules<sup>13,14</sup> has been demonstrated by "apple peel" shaped arylamide helices<sup>5,6</sup> with hybrid sequences (e.g. oligoamide O1 in Figure 1). X-ray and NMR experiments have confirmed the presence of ligands inside these capsules as well as 35 exchange between bound and free guests. 5,6,8 In particular, the Xray structure of a capsule-water complex implies that the bound ligand interacts with the capsule via hydrogen bonds (H-bonds).<sup>5</sup> Due to the highly curved terminal quinoline units, the radius of the helix becomes smaller towards the termini to close off the 40 capsule. Therefore, it is speculated that partial unfolding of the helix is necessary for the binding and release of the guest molecule. 5,6,8 However, no detailed information on ligand-capsule interactions and dynamics or on the mechanism of encapsulation has been reported, despite the fact that such information is crucial 45 for rational design of capsules. In this paper, we present a computationally derived mechanism of ligand encapsulation by helical oligoamide.

In recent years, we have focused on developing a strategy<sup>15–17</sup> that allows for accurate prediction of arylamide foldamer 50 structures. Using the optimized aryl-amide torsional parameters we conducted all-atom molecular dynamics (MD) simulations of helical arylamide capsules O1 (Figure 1), O2 and O3, with hybrid pyridine-quinoline sequences differing only in the number of pyridine units (3, 5, and 7, respectively). Binding of five 55 ligands with each capsule was studied. The ligands are: water (H<sub>2</sub>O), hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), hydrazine (N<sub>2</sub>H<sub>4</sub>), formic acid (HCOOH) and methanol (CH<sub>3</sub>OH). To examine the influence of environment, we used three explicit solvents with varying polarity and H-bonding capabilities - water, methanol and 60 chloroform. Every simulation box measured ~50-60 Å along each side and contained one capsule-ligand complex and up to ~3500 solvent molecules. The NVT production runs were 50 ns each following NPT equilibrations (~500 ps) at 300K and 1 atm. The initial O1-ligand structure was constructed by placing the ligand, 65 randomly orientated, in the centre of a pre-equilibrated capsule. For selected systems, additional simulations (details in Table S1) from different O1-ligand initial structures were carried out.

Being that **O1** is the smallest and least favourably binds larger ligands, it allowed the simulation to capture ligand release under ambient conditions within reasonable time scale. This in turn provided a basis for a systematic comparison for a range of ligands and solvents, to assess capsule-ligand interactions, conformations and stabilities, as well as binding/release mechanism. Our results explain and add to experimental findings.

Figure 1. Structure, numbering and schematic of the two binding sites of the oligoamide capsule O1.

Analysis of the MD trajectories reveals that the **O1**-ligand niteractions, as expected, heavily rely on H-bonding. Based on H-bonding patterns and proximity, we identified two binding sites

within the central cavity of **O1** (Figure 1). Site 1 is characterized by ligand interactions with surrounding quinolines Qn1(1'), Qn2(2') and amides Am1(1'), Am2(2'). In site 2, ligand interacts with Am3(3'). Py3(3') pyridines are located between sites 1 and 5.2. The sites are not mutually exclusive - the larger ligands interact with both sites simultaneously.

In all solvents,  $H_2O$  resides in site 1 (Figure 2a). The  $O_{water}$  ( $O_{w}$ ) atom H-bonds with Am2(2') and Am1(1') 85-90% and 40-

50% of the time, respectively.  $H_2O$  rotates around its C2 axis on a 10 10 ps scale. As a result, the  $H_2O$  plane alternates between two positions: a) aligned with the helical axis (vertical to the Py4 plane), forming two H-bonds (one for each  $H_w$ ) with  $N_{aromatic}$  ( $N_a$ ) of Qn1(1'); and b) horizontal to the Py4 plane, forming two  $H_w$ ---  $N_a$  (Py3(3')) H-bonds. Interestingly, the polarity of the solvent affects the vertical/horizontal ratio of  $H_2O$  binding (70/30 in chloroform, 62/38 in methanol, 54/46 in water).

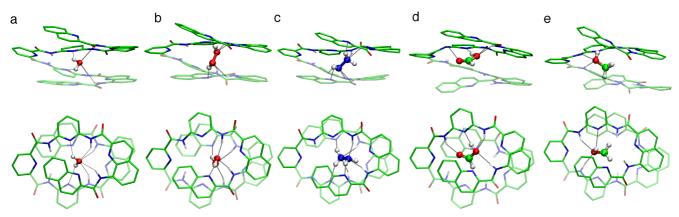


Figure 2. The O1-ligand complexes showing the major binding mode (side and top views). The ligands are H<sub>2</sub>O (a), H<sub>2</sub>O<sub>2</sub> (b), N<sub>2</sub>H<sub>4</sub> (c), HCOOH (d) and CH<sub>3</sub>OH (e).

The X-ray structure<sup>5</sup> of the **O1**-H<sub>2</sub>O complex shows, however, that O<sub>w</sub> is in position corresponding to site 2 rather than site 1. We therefore performed two independent simulations: one starting from the X-ray structure, and the other with H<sub>2</sub>O randomly placed inside the cavity. Both simulations converged to the binding mode discussed above. The difference between X-ray and MD binding modes can be attributed to the MD simulation conditions being dynamic rather than static. In addition, the MD observed binding mode has more H-bonds, which is energetically more favourable.

 $_{40}$   $_{40$ 

 $N_2H_4$  behaves similarly to  $H_2O_2$ . In the major binding mode, one of the  $N_{hydrazine}(N_h)$  atoms interacts with Am1/Am2, while the other one H-bonds with Am1'/Am2' (Figure 2c).  $N_2H_4$  also rotates around its C2 axis with the exchange rate of ~400 ps. The  $H_h$  atoms form H-bonds with the  $N_a$  atoms of Qn1(1'), Qn2(2') and Py3(3') whenever they are brought into proximity by the rotation.  $N_2H_4$  however remains in the horizontal position less of the time (<5%) than  $H_2O_2$ . The difference is likely due to stronger H-bonds between  $O_p$  and protons of Am3(3') in the **O1-** $H_2O_2$  complex.

The binding of HCOOH differs from the three ligands 55 discussed above. The simulation shows that HCOOH lies in the Py4 plane (Figure 2d) and forms H-bond with both site 1 and 2 groups. We observed two binding modes characterized by rotation around the C-H bond. In binding mode I, O<sub>carbonyl</sub> (O<sub>c</sub>) is in site 2 and H-bonded to the Am3(3') protons whereas Ohydroxyl 60 (Oh) is in site 1 interacting with Am2/Am1 or Am2'/Am1'. The flipping between Am2/Am1 and Am2'/Am1' capsule cones is a result of the rotation around the C=O bond on the scale of ~100 ps. In binding mode II, O<sub>h</sub> is H-bonded to Am3(3'), whereas O<sub>c</sub> interacts with either Am2/Am1 or Am2'/Am1'. In both binding 65 modes I and II, Hhydroxyl forms H-bonds with Na atoms in Py3(3'), and occasionally with those in Qn1(1'). The exchange between binding modes I and II is slow, occurring twice in 50 ns (chloroform), once in 3.1 ns (methanol) and zero times in 2.7 ns (water). The low number of observed exchanges between modes 70 indicates that enhanced sampling methods are needed for the determination of a major binding mode.

The binding of CH<sub>3</sub>OH is also bimodal: its O atom H-bonds to amides in site 1 or 2 (Figure 2e). The exchange between these two modes occurs on average every ~150 ps. Compared to other 75 ligands, CH<sub>3</sub>OH leaves O1 early on in all three solvents (Table 1). Additional simulations (Table S1) starting from bound configurations extracted from previous runs and with reinitialized velocities result in similarly short encapsulation durations. This is due to weaker ligand-capsule interaction (i.e. 80 only one H-bonding group in CH<sub>3</sub>OH), and CH<sub>3</sub>OH's relatively bulky methyl group which sterically interferes with O1, opening it up for the ligand to leave. Our MD data shows that CH<sub>3</sub>OH mostly resides in site 1 in water, site 2 in methanol, and both sites 1 and 2 (50/50) in chloroform. However, the total residence times 85 in specific sites for both water (~300 ps) and methanol (~400 ps) are too short to determine whether this solvent-dependent preference is reliable.

Next, we analyze **O1** conformational changes upon ligand binding in terms of foldamer backbone curvature and pitch, which measure capsule distortions in the planes perpendicular and parallel to the helical axis, respectively. The curvature angle is defined by the centers of mass (COMs) of three consecutive aromatic rings. There are five curvature angles, three of which are unique due to symmetry: Qn1-Qn2-Py3/Qn1'-Qn2'-Py3' (∠1), Qn2-Py3-Py4/Qn2'-Py3'-Py4 (∠2) and Py3-Py4-Py3' (central angle, ∠c). Upon ligand binding, ∠c increases in the order of H<sub>2</sub>O, N<sub>2</sub>H<sub>4</sub>, H<sub>2</sub>O<sub>2</sub>, CH<sub>3</sub>OH, HCOOH by up to 10° (Figure 3a),

whereas ∠1 and ∠2 increase to a lesser extent (<5°). The order correlates well with ligand size and in the following cases is affected by binding location: the stronger binding interaction between H<sub>2</sub>O<sub>2</sub> (or HCOOH) and site 1 leads to larger shift of the ½c distribution (Figure 3a) than that of N<sub>2</sub>H<sub>4</sub> (or CH<sub>3</sub>OH). The fact that the most significant change of the capsule curvature upon binding of all ligands (except H<sub>2</sub>O) occurs at the capsule's central hollow, rather than the cone-like parts, points to the importance of the flexibility of central backbone part in capsule <sup>20</sup> design.

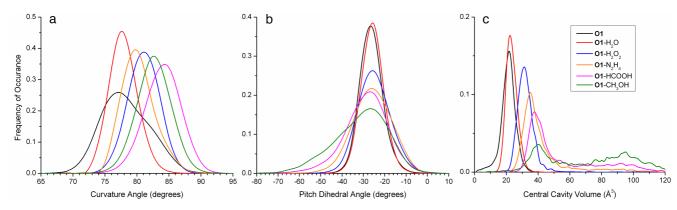


Figure 3. Distributions of (a) the central curvature angle ∠c, (b) the pitch dihedral angle ∠Qn1-Qn2-Py3-Py4, and (c) the central cavity volume of O1 in chloroform, based on up to 50 ns MD trajectory of O1 and bound O1-ligand systems.

25 The **O1** helical pitch is measured as the dihedral angle defined by the COMs of four consecutive aromatic rings. Figure 3b shows an example of the pitch dihedral angle distribution (Qn1-Qn2-Py3-Py4) for **O1** and **O1**-ligand complexes. Except for H<sub>2</sub>O, all ligands broaden the pitch dihedral angle distribution as 30 **O1** "breathes" more actively when a ligand is encapsulated. The pitch dihedral angle distribution width (and hence the capsule distortion along its helical axis) increases in the order of H<sub>2</sub>O, H<sub>2</sub>O<sub>2</sub>, N<sub>2</sub>H<sub>4</sub>, HCOOH, CH<sub>3</sub>OH. The curvature and pitch changes upon ligand binding are similar in all three solvents.

Also shown in Figure 3c is the distribution of the central cavity volumes (main peaks at < 60 Å<sup>3</sup>), ranging from ~20Å<sup>3</sup> to ~40Å<sup>3</sup> and increasing when necessary to accommodate ligands of larger sizes. <sup>18</sup> The increase in the central cavity volume correlates very well with the conformational distortions, especially with the pitch dihedral angle distributions. The relatively small changes in capsule's geometry (curvature, pitch) resulting in significant changes in cavity volumes clearly demonstrate the flexibility of foldamer capsules.

The levels of **O1** conformational distortion correlate inversely with the duration of ligand encapsulation (Table 1). Although the difference in time scales prevents a direct comparison of our simulation (50 ns) with solution NMR results,<sup>6</sup> the trend and the binding data are in general consistent with experimental findings that H<sub>2</sub>O binds most favorably, followed by H<sub>2</sub>O<sub>2</sub> and N<sub>2</sub>H<sub>4</sub>, then by HCOOH and CH<sub>3</sub>OH.<sup>6</sup> Therefore, the duration of ligand encapsulation is used here to gauge the stabilities of **O1**-ligand complexes. Table 1 indicates that ligand sizes, H-bonding capability, and solvent properties all play a role in the stabilities of **O1**-ligand binding. The smallest ligands (H<sub>2</sub>O and H<sub>2</sub>O<sub>2</sub>) form the most stable **O1**-ligand complexes and remain encapsulated the longest. CH<sub>3</sub>OH, the largest ligand with the least H-bonding

sites, departs from  $\mathbf{O1}$  most rapidly.  $N_2H_4$  and HCOOH don't follow a clear trend because of the competing size and H-bonding factors:  $N_2H_4$  is smaller than HCOOH, whereas HCOOH has better H-bonding capability than  $N_2H_4$ . In addition, the duration of ligand encapsulation decreases as the polarity (and H-bonding capability) of the solvent increases. This can be attributed to the observed capsule-solvent H-bonding interactions in polar protic solvents that increase the conformational fluctuations of  $\mathbf{O1}$ .  $^{19,20}$ 

Table 1. Release mechanisms and timings of ligand departure.

	water	methanol <sup>[a]</sup>	chloroform <sup>[a]</sup>
H <sub>2</sub> O	Top, ∼25ns	X	X
$H_2O_2$	Top, ~6.2ns	Top, $\sim$ 6.3ns	X
$N_2H_4$	Top, ∼1ns	Side, ~6.4ns	Top, ~38.8ns
HCOOH	Top, ~2.7ns	Side, ~3.1ns	X
CH₃OH	Top, ~0.3ns	Side, ~0.4ns	Side, ∼12ns

[a] x indicates cases where ligands did not leave within 50 ns

By analyzing the conformational changes around the time of ligand departure, we can provide insight into ligand binding/release mechanism. We identified two types of ligand release mechanisms: sliding out from the "top" (Figure 4a) and leaving upon a "side" opening (Figure 4b) of **O1**. The "top" mechanism does not require major conformational deformation of **O1**. This suggests that **O1** does not need to unfold to allow the binding or release of ligand. On the other hand, the "side" release requires significant deformation of **O1** (Figure 4b). Since the conformation of **O1** is largely determined by the rotations around the aryl-amide (C<sub>aromatic</sub>-C<sub>peptide</sub> or C<sub>aromatic</sub>-N<sub>peptide</sub>) bonds, we examined the aryl-amide torsional angles around the time of ligand departure, and found no deviation from the normal distribution range. Therefore, the "side" opening of the capsule is

a result of a net effect of small changes (within the normal distribution range) in several torsional angles. Regardless of the ligand release mechanism, the capsule restores the helical structure within 100 ps. These results suggest that: (1) a ligand 5 can enter the capsule the same way it departs; (2) the ligand binding and release are low energy barrier processes.

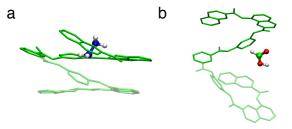


Figure 4. The "top" and "side" mechanisms of ligand departure: (a) N<sub>2</sub>H<sub>4</sub> (in chloroform), and (b) HCOOH (in methanol).

The ligand size and its binding positions affect the binding/release mechanism. We observe the following: 1) smaller ligands tend to leave from "top" without significantly distorting the capsule; 2) ligands in site 1 leave from "top" whereas ligands in site 2 leave by a "side" opening. Solvent also plays an 15 important role in binding/release mechanisms. For example, in the aqueous environment the "top" mechanism is exclusive. This is caused by the interaction of water with the capsule backbone and/or parts of encapsulated ligands. Our analysis shows a significant presence (~50-65% of the time) of H-bonds between 20 solvent H<sub>water</sub> (H<sub>w</sub>) and the N<sub>a</sub> atoms of Qn1(1'). Furthermore, H<sub>w</sub> sometimes H-bonds with ligands through the small opening along the helical axis ("top"). These facts, combined with the observation that all ligands (including HCOOH and CH<sub>3</sub>OH) reside primarily in site 1 in the aqueous solution, explains the 25 exclusivity of the "top" mechanism in water. Another example of solvent effect would be N<sub>2</sub>H<sub>4</sub> - it leaves from the "side" in methanol and "top" in chloroform. Detailed analysis shows that a solvent molecule approaching from the "side" of O1 and Hbonding with N<sub>h</sub> is responsible for the "side" opening and release 30 of  $N_2H_4$  in methanol.

In conclusion, we found two new low energy barrier binding/release mechanisms. Polarities and H-bonding capabilities of solvents not only influence binding interactions but also affect, sometimes dictate, the binding/release mechanism. In 35 addition, both ligand sizes and H-bonding abilities play vital roles in the binding and stabilities of the O1-ligand complexes. The specificity of the host-guest interactions is driven by the relative locations of their H-bond donors and acceptors. The capsuleligand complexes are highly dynamic, with sub-ns exchange 40 between binding modes. Our results suggest that the binding and release of ligands are thermodynamically governed processes. Notably, regardless of binding positions, the capsule conformational distortion upon ligand binding is highest in its central part indicating possible design direction. This study 45 demonstrates the feasibility of using MD simulations with the proper torsional parameters for providing insight into both the structure and dynamics of arylamide foldamers and their interactions with ligands. Although O1 is of little practical use due to its small cavity, the O1-ligand interactions, dynamics and 50 conformational distortions are similar to those of larger capsules,

indicating analogous binding/release mechanisms. To confirm and extend (to larger capsules) our conclusions, we plan to utilize an enhanced sampling method which will provide free energy profiles of the binding/release processes. In addition, we are 55 investigating a variety of new foldamer capsules constructed based on design principles derived from our findings.

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#### Notes and references

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- † Electronic Supplementary Information (ESI) available: Details of 70 molecular dynamics simulation setups and analysis. DOI: 10.1039/b000000x/
- ‡ Footnotes should appear here. These might include comments relevant to but not central to the matter under discussion, limited experimental and spectral data, and crystallographic data.
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