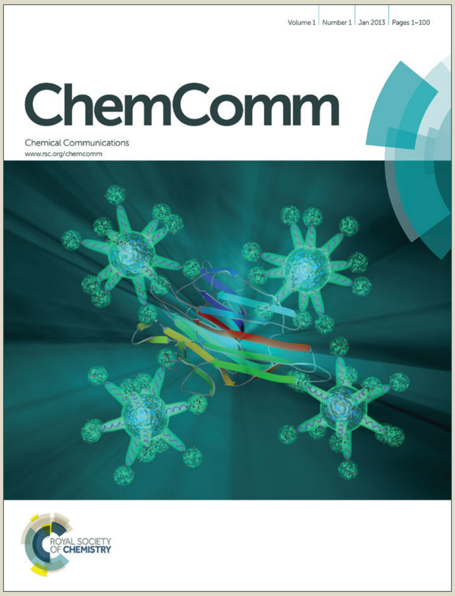

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COMMUNICATION

Photoenolization *via* excited state double proton transfer induces "turn on" fluorescence in diformyl diaryl dipyrromethane

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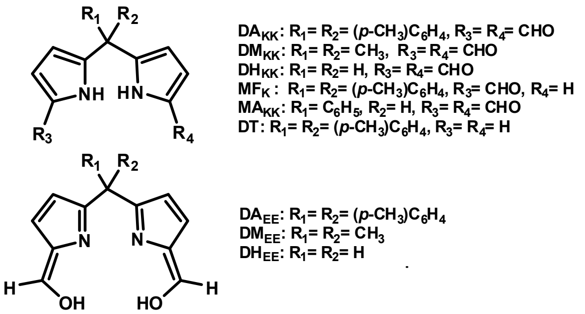
A light triggered enolization in diformyl diaryl dipyrromethane by excited state dual proton transfer (ESDPT) induces "turn on" fluorescence. The role of diaryl and diformyl group in the enolization process was confirmed by photophysical and theoretical studies.

Certain molecular systems change their chemical structures in response to an external stimuli such as chemical, electrical or light and thereby modulating their luminescence properties.[1] In particular, light stimulated responses resulting in irreversible[2a] or reversible[2b] structural and conformational changes find major role in precise analysis of biological functions.[2] One such structural transformation is the photoinduced proton transfer, involved in several biochemical reactions in living systems.[3]

Recently, a computational study on pyrrole-2-carboxaldehyde (P2C), a nonfluorescent molecule commonly used in the synthesis of porphyrins,[4] predicted the possibility of an excited state intra- or inter-molecular proton transfer from NH to CO forming enol.[5] However, such a proton transfer process has not been observed experimentally in pyrrole derivatives till date. Herein, we report a case of light activated fluorescent "turn on", caused by the photoinduced excited state intramolecular dual proton transfer (ESDPT) in 1,9-diformyl-5,5-diaryldipyrromethane (**DA$_{KK}$**) resulting in a dienol that exhibits a large Stokes shifted emission. Interestingly, it is found that the photoenolization is favored by the presence of aryl substituents at the *meso*-carbon.



Scheme 1 Keto and enol forms of dipyrromethanes considered in this study.

Vilsmeier-Haack formylation of ditolyldipyrromethane[6] using DMF and POCl$_3$ at 0 °C for 1 h resulted in **DA$_{KK}$** (72% yield) and was characterized by ^{1}H NMR, ^{13}C NMR, FAB mass spectrometry, (Fig. S1-S3) and finally confirmed by single-crystal X-ray-diffraction analysis (Fig. 1a).

The UV-Vis absorption spectrum of **DA$_{KK}$** in acetonitrile showed an absorption maxima at 300 nm ($\varepsilon = 3.3 \times 10^4$ M^{-1} cm^{-1}) which was assigned to a π-π* transition and a very weak emission band at around 560 nm, when excited at the absorption maximum. However, the fluorescence excitation spectrum (λ_{em} = 560 nm) showed a band between 380-500 nm with two peaks, (Fig. S4) that differed largely from the absorption spectrum of **DA$_{KK}$**, predicted the presence of a new species. Further, when a 2.75×10^{-5} M solution of **DA$_{KK}$** in acetonitrile was exposed to UV irradiation for definite time intervals with a band-pass filter λ= 300 ± 20 nm, the absorption band at 300 nm decreased gradually with the appearance of a new broad band with maximum intensity at 390 nm. The UV irradiation was accompanied with a color change from colorless to bright yellow (Fig. 1b inset) and exhibited a clear isobestic point at 316 nm.

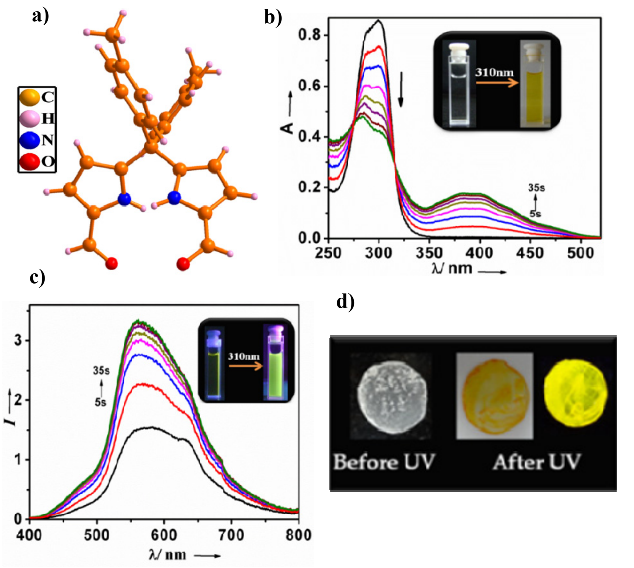


Fig. 1 a) Single crystal X-ray structure of **DA$_{KK}$**, b) absorption and c) emission changes of **DA$_{KK}$** (2.75 x 10^{-5} M) in acetonitrile upon UV irradiation along with visible color and emission changes (inset). d) The solid state color change of **DA$_{KK}$** before and after UV irradiation under visible and UV light.

The appearance of a new red-shifted absorption peak (390 nm) in the excitation spectrum supports the formation of a new species. The fluorescence intensity at 560 nm enhanced continuously with bright green emission (Fig.1c inset) and a four times increase in the fluorescence quantum yield ($\phi_f = 0.02$) with respect to fluorescein. Moreover, the new species formed was found to be solid state emissive (Fig. 1d), that can potentially be applicable in the fabrication of luminophore based devices, where the solid state emission is desirable.[7] It should be pointed that **DA$_{KK}$** with other external stimuli such as heat, pH variation did not show any change in the absorption/emission spectra. The reversibility of the processes was also checked with visible light irradiation, heat/dark and was found to be irreversible.

Two possible mechanisms were considered to explain the observed photophysical changes upon irradiation: (i) keto-enol tautomerization by proton transfer from pyrrolic NH to carbonyl CO group to generate enol and (ii) cis-trans isomerization of the CO group with respect to NH,[8] where cis refers to CO group syn to NH, and trans refers to CO group anti to NH. The ^{1}H NMR spectrum of **DA$_{KK}$** in CD_3CN before and after irradiation (Fig. S5a and S5b) showed distinct difference. Before irradiation, the formyl proton appeared at 9.41 ppm and the NH proton at 9.72 ppm. ^{1}H NMR spectra after irradiation, displayed several additional peaks indicating a mixture of compounds, possibly the diketo and a newly formed species. The IR spectrum of **DA$_{KK}$** before irradiation showed the CO stretching frequency at 1656 cm^{-1} and a broad peak for NH at 3211 cm^{-1} along with a shallow peak at 3435 cm^{-1} (Fig. S6). Whereas, the IR spectrum after irradiation showed a weak NH band (3227 cm^{-1}) and an intense broad band at 3436 cm^{-1} that can be assigned as O-H stretching band, indicating the formation of enolic species. To confirm that irradiation results in the formation of enol, it is important to ascertain the role of NH proton in the process. This was investigated by the addition of strong base such as F$^-$ ions (as its tetrabutylammonium salt) to an acetonitrile solution of **DA$_{KK}$** prior to UV irradiation. The abstraction of NH by the base was confirmed by the disappearance of the NH proton peak in the ^{1}H NMR spectrum (Fig. S7). Interestingly, UV irradiation after the deprotonation did not result in the "turn on" emission, indicating the role of NH proton in the formation of the new species. The fact that, the abstraction of protons by a base can inhibit the keto-enol tautomerism and the absence of "turn on" emission rules out the possibility of a cis-trans isomerization as the C-C single bond rotation does not depend on the presence of NH proton.

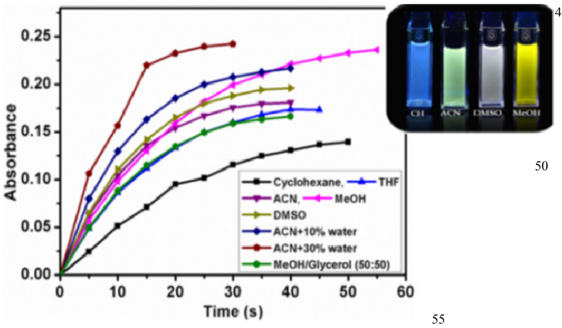


Fig. 2 Changes in the absorption maxima of **DA$_{KK}$** as a function of UV irradiation time. Inset: emission color change of **DA$_{KK}$** after irradiation in different solvents.

Solvent dependent analyses showed that the absorption and emission spectra of **DA$_{KK}$** after photoirradiation exhibited a positive solvatochromism (Fig. S8, Table S1). The large red shift in the λ_{em}^{max} suggested that the excited state to be highly polar in nature than the ground state, confirming the involvement of a polar enolic species in the process further eliminating the possibility of cis-trans isomerization. Photophysical changes in **DA$_{KK}$** due to irradiation in a series of solvents of varying polarity (cyclohexane to DMSO) was monitored by the changes in the absorption maximum of the enol peak as a function of irradiation time (Fig. 2). The keto-enol tautomerism was found to follow the first-order kinetics[9] and the rate constant (*k*) values at 298 K increased with solvent polarity (Table S2). The percentage of conversion from the diketo species in each solvent at the photostationary state was calculated from the decrease in optical density at 300 nm, showed higher conversion in polar solvents.

In order to analyse the role of formyl group in the photoinduced keto-enol tautomerism, further investigation was done with other dipyrromethane derivatives such as **MF$_K$**, that have only one formyl group and **DT**, without any formyl groups (Scheme 1). The UV-Vis absorption spectra recorded for **MF$_K$** (2.75×10^{-5} M) in acetonitrile exhibited peak at 297 nm which decreased gradually with a concomitant increase at 370 nm upon UV irradiation with an isobestic point at 317 nm (Fig. S9). However, the photostationary state was achieved only after 11 min compared to 35 sec in **DA$_{KK}$** and the irradiated solution of **MF$_K$** remained colorless with a weak emission at 439 nm. In contrast, **DT** showed no significant change in the absorption spectra upon irradiation, further confirmed the importance of diformyl substitution and thus the formation of enolic species by a proton transfer process. Also, the significance of aryl substitution at the *meso*-carbon on the photoenolization was studied with **MA$_{KK}$**, which has only one aryl group at the *meso*-carbon and **DM$_{KK}$**, where aryl groups are replaced with methyl groups (Scheme 1). There was no appreciable change observed in the absorption spectrum for **MA$_{KK}$** even after 10 min of irradiation (Fig. S10). In the case of **DM$_{KK}$**, a continuous decrease in absorbance was observed without any color change.

Density functional theoretical (DFT) calculations were performed to gain a better insight into the keto-enol tautomerization process, particularly to understand the role of diaryl substitution at the *meso*-carbon of **DA$_{KK}$** in the enolization process by using B3LYP functional and 6-31+G* basis set.[10] Although the absorption and emission wavelengths may not match with the experiments owing to the gas-phase nature of the calculations, qualitative and important insight into the experimental observations can be deduced from the DFT results. The ground and excited state minimum energy structures of **DA$_{EE}$** showed distinct difference in the orientation of the aryl and pyrrole rings (Fig. S12). The relative energy calculations showed that in the ground state, the **DA$_{EE}$**, **DM$_{EE}$** and **DH$_{EE}$** are ~34.0 kcal/mol higher in energy than the KK forms irrespective of the *meso*-carbon substitution (Table 1).

The computed excitation energies and the molecular orbitals contributing to the excitations are given in Table 1 and Fig S13 respectively. It can be seen that for **DA$_{KK}$**, the transitions resulted from charge redistribution in the pyrrole rings, whereas in **DA$_{EE}$**, there is an extension of conjugation from pyrrole to the phenyl ring. For all the three KK structures, the S_1 and S_2 states are due to *n*-π* (HOMO-6 to LUMO, HOMO-6 to LUMO+1, respectievely) transitions and are degenerate, while S_3 is due to π-π* (HOMO to LUMO) transition. In the case of EE structures, all the first three excited states are π-π* [HOMO to LUMO (S_1), HOMO-1 to LUMO (S_2), and HOMO-1 to LUMO+1 (S_3)] in nature and the S_2 and S_3 states are nearly degenerate. The vertical excitation energies are similar (316-293 nm) for $\mathbf{DH_{KK}}$, $\mathbf{DM_{KK}}$, and $\mathbf{DA_{KK}}$, again showing the absence of substituent effects in the excited states of the KK forms (Table 1). The enols, $\mathbf{DH_{EE}}$ and $\mathbf{DM_{EE}}$ also showed similar absorption energies (319-306 nm) and interestingly these are also similar to that of the KK forms. However, $\mathbf{DA_{EE}}$ showed a red shift of 43 nm (λ = 345 nm) compared to that of the π-π* (S_3) state of $\mathbf{DA_{KK}}$.

Table 1 Relative energy, absorption and emission properties of KK and EE tautomers of **DH**, **DM** and **DA** computed at the B3LYP/6-31+g* level of theory

Molecule	**DH**		**DM**		**DA**	
Tautomer	**KK**	**EE**	**KK**	**EE**	**KK**	**EE**
Relative Energy (kcal/mol)						
S_0	0.0	34.0	0.0	34.0	0.0	35.9
S_1	0.0	28.5	0.0	27.6	0.0	20.0
Vertical Excitation Wavelength (nm)						
S_1	316	318	316	319	318	345
S_2	316	309	316	310	318	330
S_3	293	306	295	306	302	327
$\lambda_{abs}{}^{a}$	-	-	<250	-	300	390
Emission Wavelength (nm)						
λ_{em}	386	507	385	521	386	327
$\lambda_{em}{}^{b}$	-	-	-	-	-	560

[a] Experimental absorption maximum.
[b] Exeprimental emission maximum

The red shift in the vertical absorption wavelength seen in $\mathbf{DA_{EE}}$ is consistent with the experimental observation and can be attributed to the extended charge delocalization seen in the π-orbitals of the pyrrole and the aryl rings that are involved in the transitions in $\mathbf{DA_{EE}}$. The emission energies of the KK forms of all the three molecules are similar (~386 nm) indicating that there is little effect of the substituents on their emission properties. The emission wavelengths calculated for $\mathbf{DH_{EE}}$, $\mathbf{DM_{EE}}$ and $\mathbf{DA_{EE}}$ are 507, 521 and 527 nm respectively, and can be compared to the experimental emission maxima of 560 nm for $\mathbf{DA_{EE}}$ (Table 1). Clearly, the DFT calculations reiterate that the appearance of the new red shifted peak is due to formation of the enolic tautomer.

The relative energies (Table 1) of the EE minima in the S_1 state revealed that $\mathbf{DH_{EE}}$ and $\mathrm{DM}_{\mathbf{EE}}$ are ~29 kcal/mol higher than that of the KK form, where as only ~20 kcal/mol for $\mathbf{DA_{EE}}$. The additional stabilization of ~10 kcal/mol seen in $\mathbf{DA_{EE}}$ clearly demonstrate that the KK to EE tautomerization of **DA** in the S_1 state is energetically more favorable compared to that of **DH** and **DM** systems. Although, the tautomerization barrier in the S_1 state could not be calculated, it can be expected that such stabilization of the transition state connecting the KK and EE forms may be seen for **DA**. The above results explain the appearance of a new red shifted absorption due to enol formation and the importance of aryl substitution to enable keto-enol tautomerization in the excited state, a phenomena not seen for other derivatives. The complete photophysical processes during irradiation of **DH** and **DA** system was given in Fig. S14 for comparison.

To summarize, we have demonstrated the photoenolization of **DA**, via ESDPT. To the best of our knowledge, this is the first report on light triggered enolization of a dipyrromethane derivative with "turn on" fluorescence and emissive even in the solid state. DFT calculations confirm the importance of diaryl group at the *meso*-carbon that stabilizes the dienol form in the excited state compared to monoaryl or dimethyl groups. In future, the application of the light triggered large Stokes-shifted emission of the molecule as sensors will be explored.

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Notes and references

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†Electronic Supplementary Information (ESI) available: Spectral details, coordinates of minimum energy structures, and details of photoirradiation studies of $\mathbf{DA_{KK}}$.

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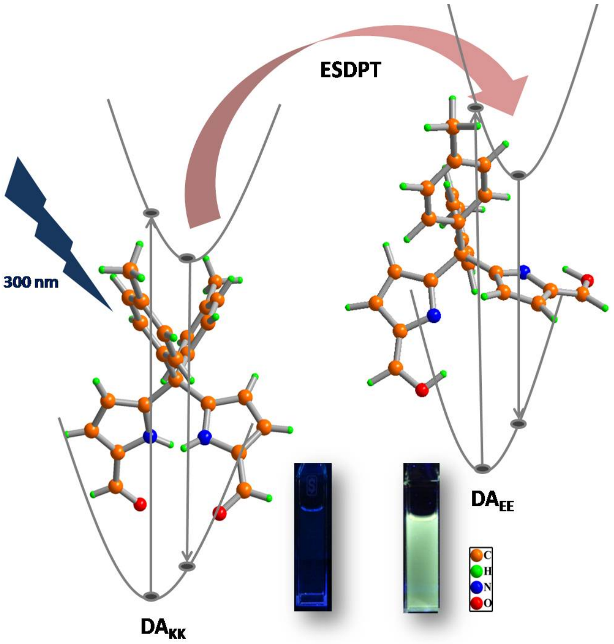
TOC Graphic



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