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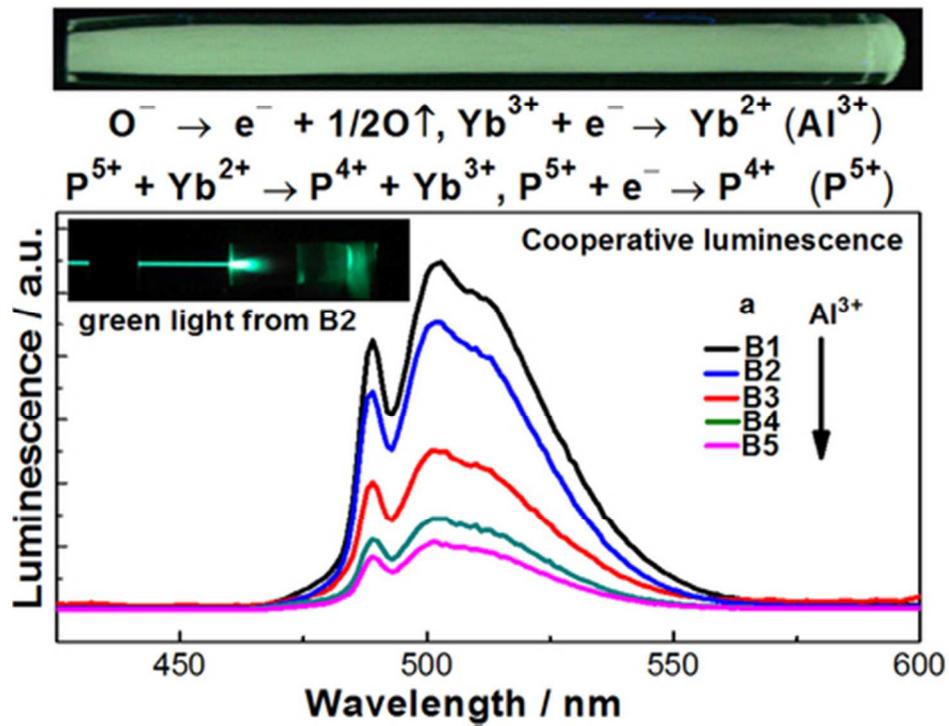


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ARTICLE TYPE

# Influences of the Al<sup>3+</sup> and P<sup>5+</sup> ions contents on the valence state and the dispersion effect of Yb<sup>3+</sup> ions in silica glass

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Three series of Yb<sup>3+</sup>-doped silica glasses containing different amounts of Al<sub>2</sub>O<sub>3</sub> and P<sub>2</sub>O<sub>5</sub> were prepared successfully by using sol-gel method. Absorption, excitation and fluorescence spectra of Yb<sup>2+</sup> ions in these silica glasses as well as the X-ray photoelectron spectroscopy (XPS) of Yb4d were measured and analysed systematically. It is found out that the addition of Al<sup>3+</sup> or P<sup>5+</sup> ions has a great influence on the redox state of ytterbium ions. With increasing Al<sup>3+</sup> ions content in these silica glasses, more trivalent Yb<sup>3+</sup> ions are reduced to divalent Yb<sup>2+</sup>. On the contrary, the increase of P<sup>5+</sup> ions content greatly promotes divalent Yb<sup>2+</sup> ions to be oxidized into trivalent Yb<sup>3+</sup>. The possible redox mechanisms have been explored and discussed in detail. The influences of Al<sup>3+</sup> and P<sup>5+</sup> ions contents on the near-infrared luminescence intensity of Yb<sup>3+</sup> ions and cooperative luminescence of Yb<sup>3+</sup> ions pairs were also discussed. Both the near-infrared luminescence intensity of Yb<sup>3+</sup> ions and cooperative luminescence of Yb<sup>3+</sup> ions pairs decrease gradually with increasing Al<sup>3+</sup> and P<sup>5+</sup> ions contents. The decrease of cooperative luminescence of Yb<sup>3+</sup> ions pairs indicates good dispersion effect of Yb<sup>3+</sup> ions by Al<sup>3+</sup> and P<sup>5+</sup> ions in Yb<sup>3+</sup>-doped silica glass. The results are useful for optimization of fabrication process of the high quality Yb<sup>3+</sup>-doped silica fiber by composition design of Yb-Al-P co-doped silica glass.

## 1. Introduction

In recent years, Yb<sup>3+</sup>-doped silica fiber lasers have become a hot research area [1–6] because of the demand for high-power fibre lasers from Inertial Confinement Fusion (ICF) [7] and laser processing. High-power fiber sources that maintain a good output beam quality are often realized by using a Yb<sup>3+</sup>-doped silica large-mode-area photonic crystal fiber (LMA PCF) (i.e., large core and low NA) to reduce optical intensity in the core whilst guiding single-mode or only a small number of mode at certain designed PCF structures [8–9]. Therefore, Yb<sup>3+</sup>-doped LMA PCF can be applied to high-power single-mode laser devices. Generally, high Yb<sup>3+</sup> doping concentration is necessary to reach sufficient pump absorption even in a cladding pumped configuration. This reduces the fiber length and increases the threshold for undesirable nonlinear effects in the fiber [8]. In this regard, co-doping with Al<sup>3+</sup> or P<sup>5+</sup> ions in silica glass is the most effective way to increase Yb<sup>3+</sup> solubility in silica glass and avoid clustering due to their good “dispersion effect” of ytterbium ions [10–13].

Induced optical loss, also called photodarkening (PD), is known to be a detrimental process limiting the performance of Yb<sup>3+</sup>-doped silica fiber lasers in many applications [14–15]. Earlier studies have shown that the PD rate is correlated to the number density of Yb<sup>3+</sup> ions in the excited state [16] and clustering of Yb<sup>3+</sup> ions results in PD [15]. A reduction of PD was qualitatively described for fiber with enhanced Al<sup>3+</sup> ions co-doping [17]. Moderate addition of P<sup>5+</sup> ions has a better effect on suppressing PD in Yb<sup>3+</sup> and Al<sup>3+</sup> ions co-doped silica fiber [8, 18]. Meanwhile, the addition of P<sup>5+</sup> ions together with Al<sup>3+</sup> ions

can reduce the refractive index of the fiber core [14]. Especially, when Al<sup>3+</sup> and P<sup>5+</sup> ions are co-doped in equimolar amounts, the refractive index of the doped-core glass approaches that of pure silica [8]. Although many studies have adopted Al<sup>3+</sup> and P<sup>5+</sup> ions co-doping to enhance Yb<sup>3+</sup> ions doping levels in silica glass or fiber and suppressing PD, very few studies have discussed the effects of Al<sup>3+</sup> and P<sup>5+</sup> ion contents on the formation of Yb<sup>2+</sup> and spectral properties of Yb<sup>2+</sup> and Yb<sup>3+</sup> ions in silica glass. Yb<sup>2+</sup> ions can be easily formed and co-exist with Yb<sup>3+</sup> ions when silica glass or fiber is prepared under reducing conditions. Furthermore, co-doping of Al<sup>3+</sup> or P<sup>5+</sup> ions in silica glass can affect the valence of ytterbium ions [19–20]. Yb<sup>2+</sup> ions have intense and broad absorption bands at the UV and visible regions, and the intensities of those absorption bands are more than ten times stronger than those of Yb<sup>3+</sup> ions [21]. The tail of these absorption bands extends to near-infrared region (beyond Yb<sup>3+</sup> ion absorption), which leads to a remarkable increase in fiber background loss [22]. Eventually, Yb<sup>2+</sup> ions can directly impair the lasing level of Yb<sup>3+</sup> ions, reduce the fluorescence lifetime and slope efficiency, and induce PD [22–23]. Recent research suggests that Yb<sup>2+</sup> ions play an important role in PD process and a small number of Yb<sup>2+</sup> ions can generate in PD process caused by charge transfer (CT) transition [24].

Early studies have described the reducing effects of Al<sup>3+</sup> on Eu<sup>3+</sup> and Sm<sup>3+</sup> in aluminosilicate glass during sintering or heating in a H<sub>2</sub> gas atmosphere [25–26]. To the best of our knowledge, no reports on the influences of Al<sup>3+</sup> and P<sup>5+</sup> ions contents on redox states of ytterbium ions in silica glass are available. In this work, a sol-gel method combined with high temperature sintering was adopted to prepare Yb<sup>3+</sup>-doped silica glass as fiber preform core [27]. Compared with traditional MCVD method, large-size glass

samples with broad composition region can be prepared by sol-gel method.  $\text{Al}_2\text{O}_3$  and  $\text{P}_2\text{O}_5$  can be easily and homogeneously doped into  $\text{SiO}_2$  glass by this method. Three series of  $\text{Yb}^{3+}$ -doped silica glasses with different amounts of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions were prepared to investigate the effects of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions contents on redox of ytterbium ions. The influences of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions contents on the near-infrared luminescence intensity of  $\text{Yb}^{3+}$  ions and cooperative luminescence of  $\text{Yb}^{3+}$  ions pairs were also discussed to study the dispersion effect of  $\text{Yb}^{3+}$  ions by  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions.

## 2. Sample preparation and characterization

Tetraethoxysilane (TEOS),  $\text{C}_2\text{H}_5\text{OH}$ ,  $\text{AlCl}_3 \cdot 6\text{H}_2\text{O}$  (Aladdin, 99.99%),  $\text{H}_3\text{PO}_4$  (Sigma-Aldrich, 99.999%) and  $\text{YbCl}_3 \cdot 6\text{H}_2\text{O}$  (SAFC, 99.998%) were used as precursors. Deionized water was added to sustain the hydrolysis reaction. The preparation process of  $\text{Yb}^{3+}$ -doped silica glass by sol-gel method has been described in detail in reference [27]. It must be mentioned that the heat treatment of the wet gel was in an oxygen atmosphere to decompose the hydroxyl and organics. And the gel powder was transformed into bulk glass by melting at  $1750^\circ\text{C}$  for 3 h in vacuum state ( $10^{-4}$  Torr). Three series of  $\text{Yb}^{3+}$ -doped silica glasses (series A, B, and C) were prepared by this method and each series included five samples. The glass mean composition of each sample was shown in Table 1.  $\text{Yb}_2\text{O}_3$  content amounted to 0.03 mol% in series A and 0.15 mol% in series B and C. Both series A and B were co-doped with only increasing  $\text{Al}_2\text{O}_3$  to investigate the effect of  $\text{Al}^{3+}$  content on the reduction of  $\text{Yb}^{3+}$  to  $\text{Yb}^{2+}$  ions. Whereas series C were co-doped with fixed  $\text{Al}_2\text{O}_3$  (4 mol%) and increasing of  $\text{P}_2\text{O}_5$  from C1 to C5 to study the oxidation effect of  $\text{P}^{5+}$  content on the  $\text{Yb}^{2+}$ . The results of inductively coupled plasma (ICP) analysis show that  $\text{Yb}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$  and  $\text{P}_2\text{O}_5$  contents in silica glasses are close to theoretical values.

Table 1 Mean compositions of three series of the  $\text{Yb}^{3+}$ -doped silica glasses (mol%)

Samples	$\text{Yb}_2\text{O}_3$	$\text{Al}_2\text{O}_3$	$\text{P}_2\text{O}_5$	$\text{SiO}_2$	Al/Yb	Al/P
A1	0.03	0		99.97	0	
A2	0.03	0.3		99.67	10	
#A A3	0.03	0.45		99.52	15	
A4	0.03	1.5		98.47	50	
A5	0.03	3		96.97	100	
B1	0.15	0.75		99.1	5	
B2	0.15	1.5		98.35	10	
#B B3	0.15	3		96.85	20	
B4	0.15	4.5		95.35	30	
B5	0.15	6		93.85	40	
C1	0.15	4	1	94.85	27	4
C2	0.15	4	2	93.85	27	2
#C C3	0.15	4	3	92.85	27	4/3
C4	0.15	4	4	91.85	27	1
C5	0.15	4	9	86.85	27	4/9

To study the spectroscopic properties of  $\text{Yb}^{2+}$  and  $\text{Yb}^{3+}$  ions, the bulk silica glass was cut and polished to 2-mm-thick glass chips ( $\text{O}15$  mm). The absorption spectra of these chips were recorded by using a spectrophotometer (Lambda 900 UV-VIS-NIR, Perkin-Elmer) in the spectral range of 200–1100 nm. The excitation spectrum of  $\text{Yb}^{2+}$  ions by monitoring at 520 nm was detected with a spectrophotometer (FLSP 920, Edinburgh Co., UK) pumped by a Xe-lamp. The fluorescence spectra of  $\text{Yb}^{2+}$  ions were measured under excitation at 400 nm. The near-infrared fluorescence spectra of  $\text{Yb}^{3+}$  ion were detected by using the same spectrophotometer of FLSP 920 under excitation at 896 nm from Xe-lamp. X-ray photoelectron spectroscopy (XPS) measurements were taken from the sample surface using a Thermo Scientific ESCALAB 250 setup. A monochromated Al X-ray resource (1486.6 eV) was used. The operation conditions of an X-ray generator were 15 kV and 10 mA.  $\text{Ar}^+$  ion (EX05) etching for 20 seconds was performed before the measurement. The pass energy was 25 eV and the instrumental resolution was about 0.49 eV. The energy scale was calibrated by the  $\text{C}1s$  line at 285 eV. Pulsed 980-nm laser diode excitation was used to record the fluorescence lifetime of  $\text{Yb}^{3+}$  ions on the instrument FLSP 920. Fourier transform infrared (FT-IR) spectra were recorded using a spectrophotometer (Nexus FT-IR Spectrometer, Thermo Nicolet). The cooperative luminescence spectra were measured on FLSP 920 by pumping the glass with a 980 nm laser diode. All the measurements were performed at room temperature.

## 3. Results and discussion

### 3.1 Effect of $\text{Al}^{3+}$ ions content on the $\text{Yb}^{3+}$ to $\text{Yb}^{2+}$ reduction in silica glasses

Absorption, excitation and fluorescence spectra of rare earth (RE) ions are usually considered as strong evidence of the presence of divalent RE ions (e.g.,  $\text{Eu}^{2+}$ ,  $\text{Sm}^{2+}$ , and  $\text{Yb}^{2+}$ ) in crystal or glass matrices, and the absorption intensity is a qualitative estimate of the amount of these divalent rare earth ions [25, 26, 28]. In contrast to the forbidden  $4f-4f$  transition of  $\text{Yb}^{3+}$  ions ( $\text{Xe}[4f^{13}]$ ), the dipole-allowed  $4f^4-4f^35d$  transitions of  $\text{Yb}^{2+}$  ( $\text{Xe}[4f^{14}]$ ) generally show intense absorption (excitation) band and also broad emission band at the UV and visible regions [29]. Fig. 1 shows the excitation spectrum of the presence of  $\text{Yb}^{2+}$  ions in  $\text{Yb}^{3+}$ -doped silica glasses by monitoring the emission peak of  $\text{Yb}^{2+}$  ions at 520 nm. Three broad bands at approximately 300, 325, and 400 nm are present in the excitation spectrum, corresponding to the  $4f^{14}-4f^{13}5d$  transitions of  $\text{Yb}^{2+}$ .

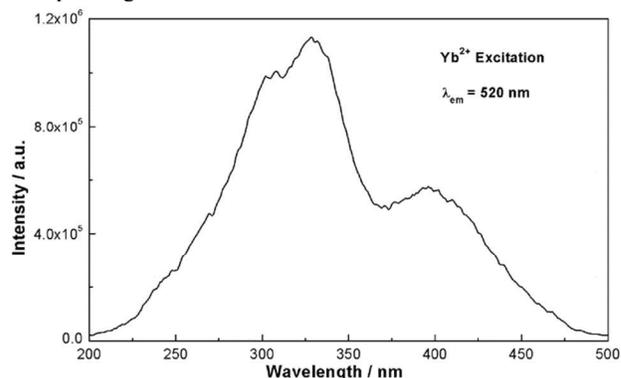


Fig. 1 Excitation spectrum of  $\text{Yb}^{2+}$  ions by monitoring emission at

$\lambda_{em}=520$  nm in  $Yb^{3+}$ -doped silica glass (sample:B2) measured at room temperature.

Figs. 2(a) and 2(b) show the absorption and emission spectra of  $Yb^{2+}$  ions in samples of series A using  $Yb_2O_3$  doping concentration of 0.03 mol%. The absorption of a pure silica glass prepared by sol-gel method was also shown in Fig. 2 (a) for comparison. Two conspicuous absorption bands in series A glasses are found at approximately 215 and 320–330 nm, respectively. There is also a very weak band at 300 nm in series A glasses, and the band becomes stronger in sample A5. All three bands are caused by  $Yb^{2+}$  ion absorption. The band at 320–330 nm is considered as the most conspicuous absorption peak of  $Yb^{2+}$  in  $Yb^{3+}$  and  $Al^{3+}$  co-doped silica glass [30]. As can be seen from the absorption spectrum of the pure silica glass, the two bands at 200 and 240 nm are attributed to silica host absorption. So the  $Yb^{2+}$  absorption band at 215 nm is usually superimposed on the absorption bands of silica glass host in the UV spectrum, and it also overlaps with the CT-absorption band (230 nm) of  $Yb^{3+}$  in  $Al^{3+}$  and  $Yb^{3+}$  co-doped silica glass [31–32].

As it is shown in Fig. 2 (a), with increasing of  $Al^{3+}$  content from A1 to A5, the absorption intensity at 320–330 nm gradually

increases. A1 glass without no  $Al^{3+}$  ions shows the lowest absorption intensity at 320–330 nm. Sample A5 with the highest  $Al^{3+}$  content ( $Al/Yb = 100$ ) in glasses possesses the strongest absorption band in 320-330 nm region.

Fig. 2 (b) shows that the emission band of the  $Yb^{2+}$  into 400 nm excitation has a maximum at approximately 520 nm. This emission band is assigned to  $4f5d-4f$  transition of  $Yb^{2+}$  ions broaden by the existence of  $Yb^{2+}$  multisites in the glass. The emission intensity at 520 nm increases from A1 to A5, which is consistent with the variations in the absorption intensities of the samples in UV range. The increase in intensity of the absorption and emission of  $Yb^{2+}$  indicates the increase in  $Yb^{2+}$  amount with increasing  $Al^{3+}$  content from A1 to A5. It means the reduction of  $Yb^{3+}$  ions into  $Yb^{2+}$  ions is increasing in parallel with the amount of  $Al^{3+}$  ions introduced in the glass host. The reduction process of  $Yb^{3+}$  ions into  $Yb^{2+}$  is also supported by the variation in color of the  $Yb^{3+}$ -doped silica glass samples from A1 to A5. The yellow coloration appears in the silica glass A3 and intensifies from A3 to A5 is another probe of the presence of  $Yb^{2+}$  ions [33–34]. A1 and A2 are colorless because these samples contain very small amounts of  $Yb^{2+}$  ions. The inset in Fig. 2 (a) shows the yellow color of sample A4, and the surface of the glass rod is pure silica.

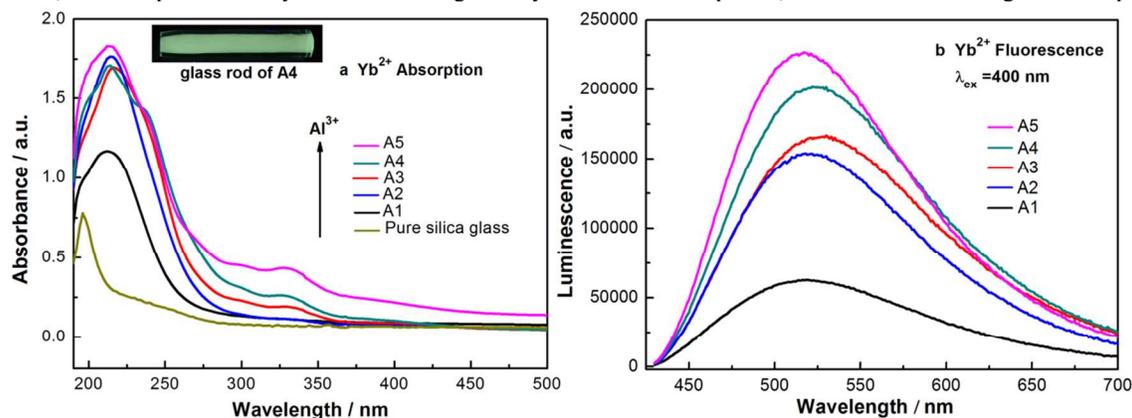


Fig. 2 Absorption (a) and fluorescence (b) spectra of  $Yb^{2+}$  ions in silica glasses of the serie A. The inset in (a) shows the yellow color of sample A4. The direction of the arrow in Fig. 2 (a) respects the increase of  $Al^{3+}$  content from A1 to A5.

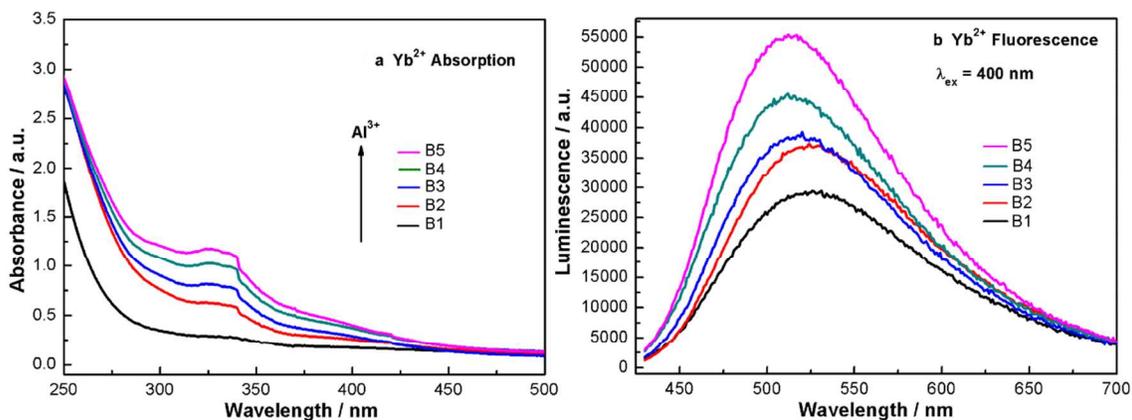


Fig. 3 Absorption (a) and fluorescence (b) spectra of  $Yb^{2+}$  ions in silica glasses of the series B. The direction of the arrow in Fig. 3 (a) respects the increase of  $Al^{3+}$  content from B1 to B5.

For further demonstration of the reducing action of  $Al^{3+}$  ions, the series B glasses with a fixed 0.15 mol%  $Yb_2O_3$  concentration

were prepared.  $Al_2O_3$  content increases from 0.75 mol% to 6 mol% in series B, as shown in Table 1. The absorption and fluorescence

spectra of the series B glasses are shown in Fig. 3 (a) and (b). The absorption intensity at 320–330 nm increases with increasing  $\text{Al}_2\text{O}_3$  content, which indicates that the amount of  $\text{Yb}^{2+}$  ions also increases with increasing  $\text{Al}_2\text{O}_3$  content in the series B glasses. In Fig. 3 (b), the emission intensity of  $\text{Yb}^{2+}$  ions increases also monotonically with the increase in  $\text{Al}_2\text{O}_3$  content, which is similar to the trend observed in Fig. 2 (b). Consequently, for  $\text{Yb}^{3+}$  singly doped and  $\text{Al}^{3+}/\text{Yb}^{3+}$  co-doped silica glass, the emission intensity of  $\text{Yb}^{2+}$  ions increases monotonically with the increasing amount of  $\text{Yb}^{2+}$  ions, which is caused by the reducing action of the gradual increasing amount of co-doped  $\text{Al}^{3+}$ . The relationship between the emission intensity of  $\text{Yb}^{2+}$  ions and  $\text{Al}^{3+}$  content is similar to that described for  $\text{Sm}^{2+}$  [35]. So the emission intensity of  $\text{Yb}^{2+}$  increases with increasing  $\text{Al}^{3+}$  ions contents.

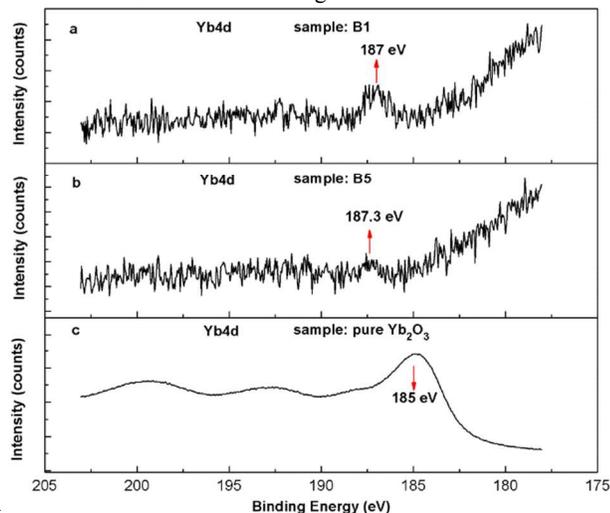


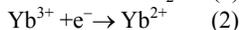
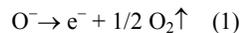
Fig. 4 XPS spectra of Yb4d in samples B1 (a), B5 (b) and the pure  $\text{Yb}_2\text{O}_3$  powder (c)

XPS measurement is usually an effective method to detect different kinds of ions or atoms and their bonds on the material surface by analyzing the binding energy of the electron in atomic inner-shell [36]. Some researchers have measured the XPS spectra of Yb4f, 5p, 4d and 4p in  $(\text{YbS})_{1.25}\text{CrS}_2$  [37]. They revealed the multiplet structures of  $\text{Yb}^{2+}$  and  $\text{Yb}^{3+}$  ions. XPS spectra of Yb4d in samples B1, B5 and pure  $\text{Yb}_2\text{O}_3$  (99.99%) powder were measured and listed in Fig. 4. The signal peak of  $\text{Yb}^{2+}$  ions can't be found in our samples since the  $\text{Yb}^{2+}$  content is too low to be detect at all [38]. However, the signal peak of  $\text{Yb}^{3+}$  can be detected and they are at 187 eV in sample B1 and 187.3

eV in sample B5. Comparing with pure  $\text{Yb}_2\text{O}_3$  powder with the main peak at 185 eV, the approximate 2 eV shift in our sample is caused by the changes of the coordination environment of  $\text{Yb}^{3+}$  ions. The binding energy of  $\text{Yb}^{3+}$  ions in our samples is consistent with that in reference [39].

Under the same measure condition, the signal intensity of the Yb4d in sample B5 is weaker than that in B1. That indicates the amount of  $\text{Yb}^{3+}$  ions in B5 is less than that in B1. That is to say more  $\text{Yb}^{3+}$  ions have been reduced to  $\text{Yb}^{2+}$  ions in B5 compared with that in B1 due to more  $\text{Al}^{3+}$  ions in sample B5.

There are no  $\text{Yb}^{2+}$  ions existing in the gel power heated in an oxygen atmosphere to decompose the hydroxyl and organics. The reduction of  $\text{Yb}^{3+}$  into  $\text{Yb}^{2+}$  almost occurs during the melting process of the gel powder to form silica glass under the vacuum state. This reduction effect of  $\text{Al}^{3+}$  ions on  $\text{Yb}^{3+}$  may be related to the defect electrons ( $e^-$ ) ejected from oxygen-associated hole centers (OHCs) existing in the  $\text{O}^{2-}$  matrix surrounding  $\text{Yb}^{3+}$  in the formation of the  $\text{SiO}_2$  network [40–41]. The OHCs, chemically called  $\text{O}^-$  states [42–43], have various forms as peroxy radicals ( $\equiv\text{Si}-\text{OO}^\bullet$ ), nonbridging-oxygen hole centers (NBOHCs,  $\equiv\text{Si}-\text{O}^\bullet$ ), self-trapped hole ( $\equiv\text{Si}-\text{O}^\bullet-\text{Si}\equiv$ ), and aluminum-associated OHCs (Al-OHCs) [42]. These OHCs are usually thermally generated in fused silica above 500 °C. The defect electron ( $e^-$ ) donated by OHCs can reduce  $\text{Yb}^{3+}$  into  $\text{Yb}^{2+}$  via the following reactions:



In this reduction process, the presence of  $\text{Al}^{3+}$  ions has two important effects on accelerating the reduction reaction. One is related to the increased Al-OHCs.  $\text{Al}^{3+}$  doped in silica glass behaves as the origin of the defects of Al-OHCs. In high-temperature melting state, the number of Al-OHCs increases with increasing  $\text{Al}^{3+}$  content in silica glass. One is related to the microscopic optical basicity proposed by Duffy and Ingram [43]. The addition of  $\text{Al}_2\text{O}_3$  in silica glass can increase the optical basicity. The increased basicity can enhance the electron donation ability of the OHCs ( $\text{O}^-$ ) surrounding  $\text{Yb}^{3+}$  ions [44]. Thus, the increase in  $\text{Al}^{3+}$  can increase the number of  $e^-$  and then cause the reduction of  $\text{Yb}^{3+}$  to  $\text{Yb}^{2+}$ . So the presence of  $\text{Al}^{3+}$  ions acts as an activating agent to intensify the reduction of  $\text{Yb}^{3+}$ . Moreover, the reaction (1) can also explain why  $\text{Yb}^{3+}$  can be reduced easily under the vacuum state but difficultly in an oxygen atmosphere.

### 3.2 Effect of $\text{P}^{5+}$ ions content on the $\text{Yb}^{2+}$ to $\text{Yb}^{3+}$ oxidation in silica glasses

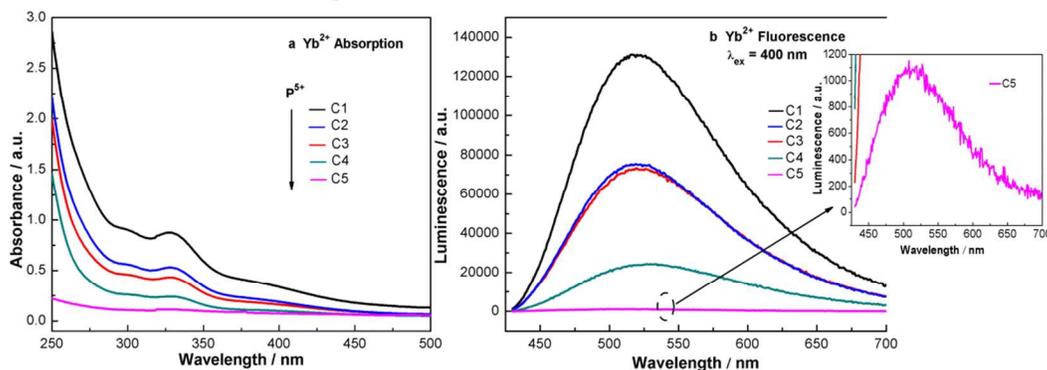


Fig. 5 Absorption (a) and fluorescence (b) spectra of  $\text{Yb}^{2+}$  ions in silica glasses of the series C. The inset in (b) is an enlargement of the

emission intensity of sample C5 between 0–1200 (a.u.).

Fig. 5 shows absorption and fluorescence spectra of  $\text{Yb}^{2+}$  ions in series C glasses. It is obviously seen that the addition of  $\text{P}^{5+}$  ions can greatly reduce the absorption and emission intensity of  $\text{Yb}^{2+}$  ion. Especially, for the sample C5, there is almost no absorption of  $\text{Yb}^{2+}$  ion at around 330 nm. The emission intensity of C5 is two orders of magnitude lower than that of C1. It indicates a great decreasing of the  $\text{Yb}^{2+}$  ions with increasing  $\text{P}^{5+}$  content.

The XPS spectra of Yb4d in samples C1 and C4 were shown in Fig. 6. We can still see the signal peak intensity in C4 is stronger than that in C1. That means more  $\text{Yb}^{2+}$  ions were oxidized into  $\text{Yb}^{3+}$  ions in sample C4 due to more content of  $\text{P}^{5+}$  ions in C4.

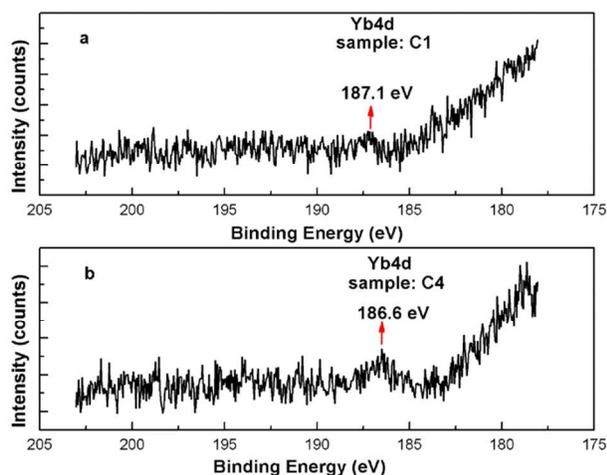


Fig. 6 XPS spectra of Yb4d in samples C1 (a) and C4 (b)

The oxidation effect of  $\text{P}^{5+}$  on  $\text{Yb}^{2+}$  may be explained by two aspects: One is related to the microscopic optical basicity. From the data provided from Duffy [45], the optical basicity of  $\text{SiO}_2$ ,  $\text{Al}_2\text{O}_3$  and  $\text{P}_2\text{O}_5$  are 0.48, 0.6 and 0.33, respectively. When  $\text{P}_2\text{O}_5$

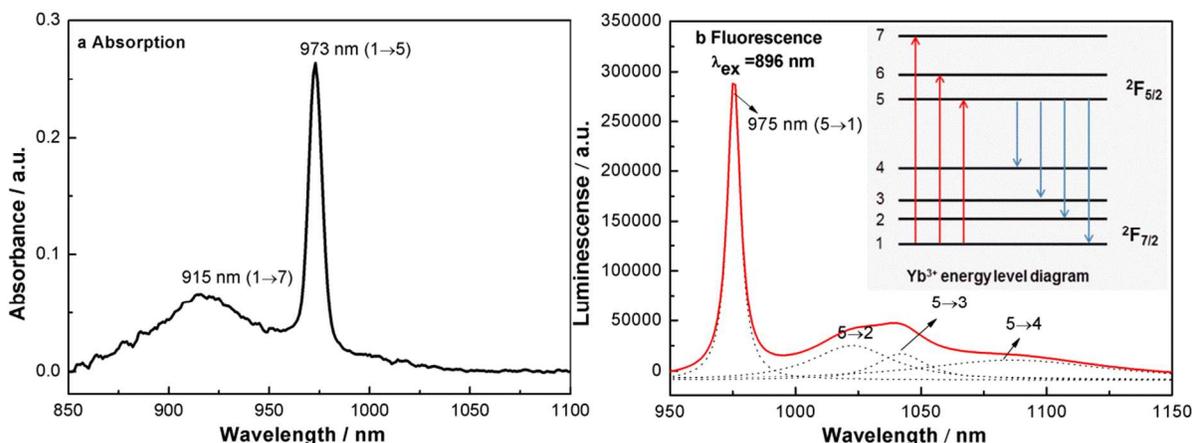
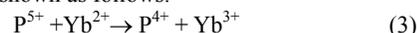


Fig. 7 (a) Absorption and (b) fluorescence spectra (black solid line) of  $\text{Yb}^{3+}$  ions in sample A4 measured at room temperature. In Fig. 7 (b), the dotted lines show Lorentzian curves, and the red line is the fitted line from the four Lorentzian curves. The inset shows the  $\text{Yb}^{3+}$  ions energy level diagram.

To study the influences of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ion contents on the near-infrared luminescence of  $\text{Yb}^{3+}$  ions, the fluorescence spectra of  $\text{Yb}^{3+}$  ions under 896 nm excitation for the samples of series A, B, and C, respectively, are shown in Fig. 8. The  $\text{Yb}^{3+} {}^2\text{F}_{5/2} \rightarrow {}^2\text{F}_{7/2}$

was added, it will suppress the increase of the optical basicity caused by  $\text{Al}_2\text{O}_3$  by forming  $[\text{AlPO}_4]$  structure with similar optical basicity of  $\text{SiO}_2$  [46]. Then the electron donation ability of the OHCs ( $\text{O}^-$ ) is hindered. The other one is attributed to the strong tendency of  $\text{P}^{5+}$  to form a lower oxidation state ( $\text{P}^{4+}$ ) to match  $\text{Si}^{4+}$  and enhance the stability of the glass. The oxidation reaction is shown as follows.



It is suggested that the defect electron ( $e^-$ ) donated by OHCs has no chance to react with  $\text{Yb}^{3+}$  but with  $\text{P}^{5+}$  due to its strong electronegativity. The reaction is shown as follows.



### 3.3 Influences of $\text{Al}^{3+}$ and $\text{P}^{5+}$ ions contents on the near-infrared luminescence of $\text{Yb}^{3+}$ ions

Fig. 7 presents the representative absorption and fluorescence spectra of  $\text{Yb}^{3+}$  in sample A4, and the inset in Fig. 7 (b) shows energy level scheme of  $\text{Yb}^{3+}$  ion. It is well known that  $\text{Yb}^{3+}$  ions have only two Stark-split energy manifolds: the  ${}^2\text{F}_{7/2}$  ground state and the  ${}^2\text{F}_{5/2}$  excited state. Stark levels are distributed in these manifolds and labelled from 1 to 4 in the ground state and from 5 to 7 in the excited state from the lowest to the highest energy, respectively.

The broad absorption band with the peak at around 915 nm is attributed to the transition of  $1 \rightarrow 7$ . The strongest absorption around 975 nm relates to the transition from the lowest Stark level of the ground  ${}^2\text{F}_{7/2}$  (1) state to the lowest Stark level of the excited state  ${}^2\text{F}_{5/2}$  (5). The four Lorentzian curves shown in Fig. 7 (b) correspond to the transition from the excited  ${}^2\text{F}_{5/2}$  level (5) to the  ${}^2\text{F}_{7/2}$  ground state 1, 2, 3, and 4, respectively.

zero-phonon line ( $5 \rightarrow 1$  shown in the inset in Fig. 7(b)) is clearly seen in the emission spectra at 975 nm in series A and B, and 976 nm in series C. For both series A and B in which we observe only  $\text{Al}_2\text{O}_3$  dependence in silica glasses with very similar spectroscopic properties to those of other  $\text{Yb}^{3+}$ -doped CAS and

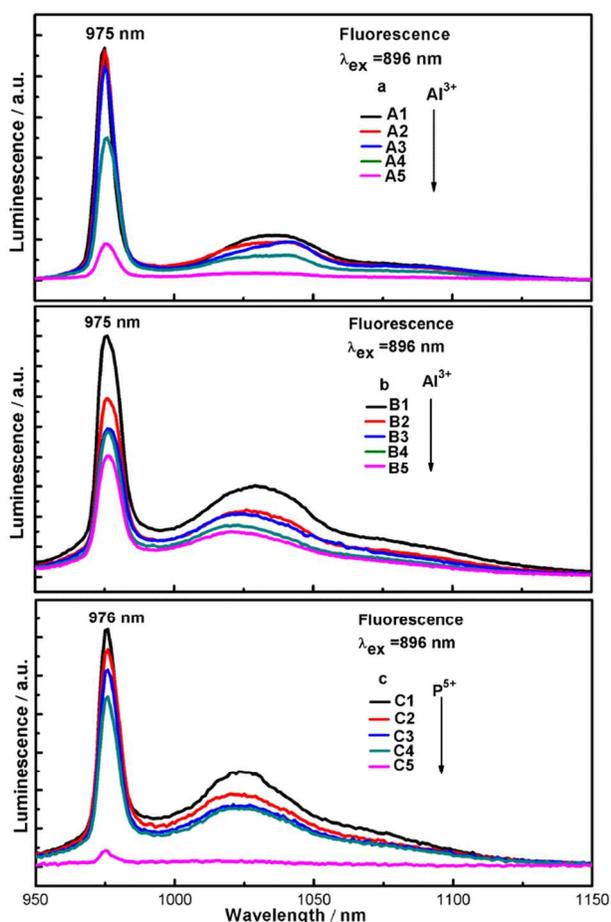


Fig. 8 Fluorescence spectra of  $\text{Yb}^{3+}$  ions under  $\lambda_{\text{ex}}=896$  nm in samples of the series A (a), B (b) and C (c) respectively.

LSCAS silica glasses [47]. We observe the emission intensity of  $\text{Yb}^{3+}$  decreases with increasing  $\text{Al}^{3+}$ . Two aspects can account for this variation. On the one hand, the number of  $\text{Yb}^{3+}$  ions decreases gradually with increasing  $\text{Al}^{3+}$  because of the reduction effect of  $\text{Al}^{3+}$  ions. On the other hand, the intensity of  $\text{Yb}^{3+}$  is sensitive to the local structure surrounding  $\text{Yb}^{3+}$  ions, and the local structure is greatly affected by the  $\text{Al}^{3+}$  ions content [48]. Although a moderate quantity of  $\text{Al}^{3+}$  can decrease clustering of RE ( $\text{Yb}^{3+}$ ) ions in silica glass and enhance its emission intensity [48-49], further addition of  $\text{Al}^{3+}$  ions can decrease the emission intensity [50]. There would be an optimal Al/Yb ratio at which the emission intensity of  $\text{Yb}^{3+}$  can reach the maximum value. Usually the optimal Al/Yb ratio ranges from 5 to 10 [50-51]. For series C in which we observe both  $\text{Al}_2\text{O}_3$  and  $\text{P}_2\text{O}_5$  dependences, the emission intensity of  $\text{Yb}^{3+}$  can be greatly reduced by the presence of  $\text{P}^{5+}$ , especially when the molar ratio of Al/P < 1 as in sample C5. In  $\text{Yb}^{3+}$ -doped silica glass, when the content  $\text{P}^{5+} > \text{Al}^{3+}$ , RE ions preferentially couple with P=O radicals rather than with Al-O, probably because all aluminum ions bond to phosphorus to create  $[\text{AlPO}_4]$  units [46]. Raman spectra of series C shown in Fig. 9 confirms the existence of  $[\text{AlPO}_4]$  and P=O radicals. Compared with C1, the broader band at  $1155\text{ cm}^{-1}$  indicates more  $[\text{AlPO}_4]$  units have formed in C4. The peak at  $1320\text{ cm}^{-1}$  is due to P=O bonds [51-52]. The broad band at  $1000\text{--}1250\text{ cm}^{-1}$  with stronger peak at  $1104\text{ cm}^{-1}$  is ascribed to the contribution from Si-O-Si, Si-

O-Al, P-O-Al, and Si-O-P bonds [52-53]. Usually concentration quenching process depends strongly on hydroxyl group concentration and rare earth impurities. In these samples the hydroxyl group concentrations should be comparable in all A, B and C samples and the rare earth impurities like  $\text{Er}^{3+}$  and  $\text{Tm}^{3+}$  ions have not been detected by up-conversion and ICP techniques. As we know, with increasing  $\text{P}^{5+}$  content, the number of  $\text{Yb}^{3+}$  ions increases. So, the decrease in emission intensity of  $\text{Yb}^{3+}$  in samples C4 and C5 may be attributed to the increase in non-radiative transition probability caused by higher average phonon energy of the  $[\text{AlPO}_4]$  units ( $1155\text{ cm}^{-1}$ ) and P=O bond ( $1330\text{ cm}^{-1}$ ) than the Si-O bond ( $\sim 1100\text{ cm}^{-1}$ ).

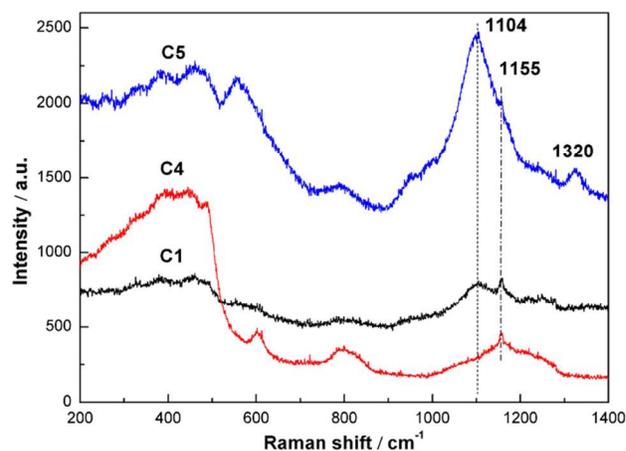
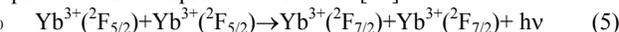


Fig. 9 Raman spectra of silica glasses of the series C

### 3.4 Effects of $\text{Al}^{3+}$ and $\text{P}^{5+}$ ions contents on the cooperative emission of $\text{Yb}^{3+}$ ions pairs

Since the first observation by Nakazawa and Shionoya in  $\text{YbPO}_4$  [54], cooperative luminescence of ytterbium ions has been reported in several hosts, crystals or glasses [55-56]. Cooperative luminescence is indeed an effective way to indicate the formation of  $\text{Yb}^{3+}$  pairs and clusters in crystals and glasses. The cooperative emission center is assigned either from two  $\text{Yb}^{3+}$  neighboring ions isolated in the host or even from  $\text{Yb}^{3+}$  aggregates. The cooperative luminescence results from the simultaneous de-excitation of two  $\text{Yb}^{3+}$  ions in the green range at around 500 nm which corresponds to the twice energy of the IR emission spectrum of the  $\text{Yb}^{3+}$  isolated ions [54]. The cooperative emission process can be expressed as follows [54]:



The intensity of cooperative emission depends on the shortest distance  $d$  between  $\text{Yb}^{3+}$  ions [57]. This cooperative fluorescence emission has a very low efficiency and naturally is favored for the shortest distances between  $\text{Yb}^{3+}$  ions. The calculation of the shortest distance  $d$  between  $\text{Yb}^{3+}$  ions in the dipole-dipole interaction has been estimated at  $d=4.5\text{ \AA}$  [57]. The cooperative emission probability is proportional to  $d^{-8}$ . In  $\text{Sc}_2\text{O}_3$  sesquioxide, cooperative luminescence has been used to demonstrate an homogeneous distribution of  $\text{Yb}^{3+}$  in the host [58]. Cooperative luminescence has also already been used as structural probe in glasses to optimize the composition and to prevent clustering or to analyze the influence of the glass composition in  $\text{Yb}^{3+}$ -doped aluminosilicate, borosilicate and phosphate glasses [57, 59]. A

good correlation has been found in the phosphate glasses between the structural evolution of the glass and the cooperative emission intensity.

To study the dispersion effects of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  on  $\text{Yb}^{3+}$ , the spectra of the cooperative emission of series B and C glasses at approximately 500 nm were obtained by pumping the glass with a 980 nm laser diode and are shown in Fig 10. The inset in Fig. 10 (a) is the picture of green light of cooperative luminescence of  $\text{Yb}^{3+}$  ions observed in sample B2. The influence of impurities of  $\text{Tm}^{3+}$  and  $\text{Er}^{3+}$  on up-conversion emission is negligible because no  $\text{Tm}^{3+}$  and  $\text{Er}^{3+}$  were detected in series B and C glass by ICP.

The reduction of the cooperative emission intensity with increasing  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  contents is evident, although changes in the intensities among C2, C3 and C4 are minimal. We observe effectively a progressive reduction of  $\text{Yb}^{3+}$  pairs with increasing  $\text{Al}_2\text{O}_3$  or  $\text{P}_2\text{O}_5$  concentration. Thus, even with a very high concentration of  $\text{SiO}_2$  in all A, B and C series, a few percentage

of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions in silica glasses (table 1) shows a good dispersion effect on  $\text{Yb}^{3+}$  ions. From the aspect of the relation between the structural evolution of the glass and the cooperative emission intensity [59], the silica glasses of series A, B and C have the evolution tendency of the dimensionality from three-dimensional (3D) to 2D network with increasing  $\text{Al}_2\text{O}_3$  or  $\text{P}_2\text{O}_5$  concentration. Series C samples are special ones with the double effect of  $\text{Al}_2\text{O}_3$  and  $\text{P}_2\text{O}_5$  contents to disperse  $\text{Yb}^{3+}$  ions. Particularly, we are able to detect almost no more pairs or clusters in sample C5 when the content  $\text{P}^{5+} > \text{Al}^{3+}$  with the molar ratio  $\text{Al}/\text{P}=4/9$  (Figure 10 b). As we have discussed above that  $\text{Yb}^{3+}$  ions preferentially couple with  $\text{P}=\text{O}$  radicals rather than with  $\text{Al}-\text{O}$  when the content  $\text{P}^{5+} > \text{Al}^{3+}$  in  $\text{Yb}^{3+}$ -doped silica glass. The cooperative luminescence result of C5 indicates that  $\text{P}^{5+}$  ions have a better dispersion effect on  $\text{Yb}^{3+}$  ions than  $\text{Al}^{3+}$  ions in  $\text{Yb}^{3+}$ -doped silica glass.

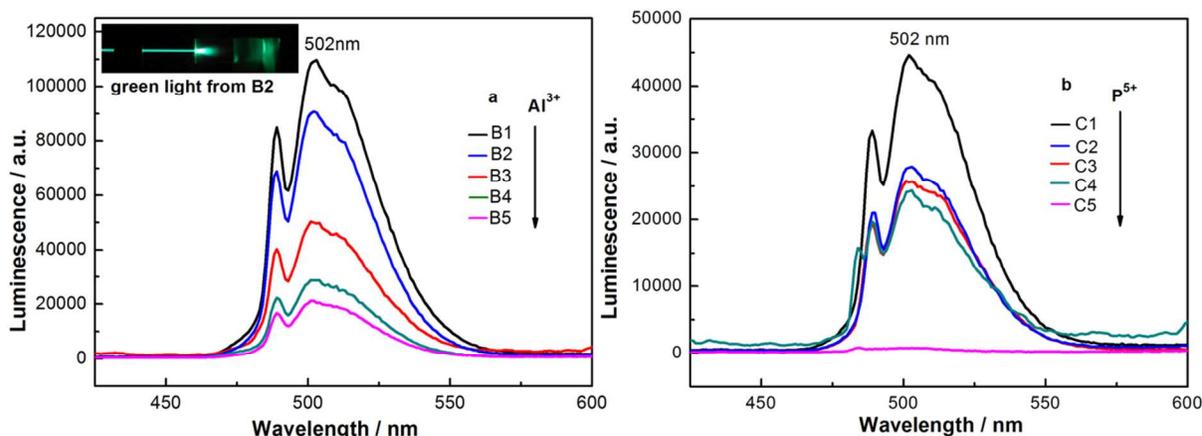


Fig. 10 Cooperative luminescence spectra of samples of series B ( $\text{Al}_2\text{O}_3$  content dependence) (a) and C ( $\text{Al}_2\text{O}_3$  and  $\text{P}_2\text{O}_5$  contents dependence) (b) upon excitation at 980 nm by laser diode. The inset in (a) shows the picture of green cooperative luminescence of  $\text{Yb}^{3+}$  ions in sample B2.

#### 4. Conclusion

Sol-gel method is a good way to produce a large-size  $\text{Yb}^{3+}$ -doped silica glass with broad composition region and good doping homogeneity. By using this method, three series of  $\text{Yb}^{3+}$ -doped silica glasses containing different amounts of  $\text{Al}_2\text{O}_3$  and  $\text{P}_2\text{O}_5$  were prepared. For the first time, the influences of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions contents on the valence state of ytterbium ions were studied systematically. The influences of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions contents on the near-infrared luminescence of  $\text{Yb}^{3+}$  ions and cooperative luminescence intensity of  $\text{Yb}^{3+}$  ions pairs were also studied in detail. The results are helpful to solve some important problems in  $\text{Yb}^{3+}$ -doped silica fiber laser such as high background optical loss and photodarkening which were partly due to the existence of  $\text{Yb}^{2+}$  ions and  $\text{Yb}^{3+}$  ions pairs in  $\text{Yb}^{3+}$ -doped silica glass.

With increasing  $\text{Al}^{3+}$  or  $\text{P}^{5+}$  ions content in  $\text{Yb}^{3+}$ -doped silica glass, the  $\text{Yb}^{3+}$  to  $\text{Yb}^{2+}$  reduction or the  $\text{Yb}^{2+}$  to  $\text{Yb}^{3+}$  oxidation was greatly accelerated. The reduction mechanism of  $\text{Al}^{3+}$  ions on  $\text{Yb}^{3+}$  ions is explained by the microscopic optical basicity of silica glass and the ejection of one defect electrons ( $e^-$ ) from oxygen-associated hole centers. With increasing  $\text{Al}^{3+}$  ions, both

the microscopic optical basicity of silica glasses and the number of  $\text{Al}-\text{OHCs}$  will increase. And especially, the increased optical basicity can enhance the electron donation ability of the  $\text{OHCs}$  surrounding  $\text{Yb}^{3+}$  ions. Thus,  $\text{Al}^{3+}$  will increase the number of  $e^-$  and then promote the reduction of  $\text{Yb}^{3+}$  to  $\text{Yb}^{2+}$  ions. But with increasing  $\text{P}^{5+}$  ions, the microscopic optical basicity of silica glass will be lowered down. At the same time, to match  $\text{Si}^{4+}$  and enhance the stability of silica glass,  $\text{P}^{5+}$  ions have a strong tendency to form a lower oxidation state ( $\text{P}^{4+}$ ). Thus, the oxidation of  $\text{Yb}^{2+}$  to  $\text{Yb}^{3+}$  ions will be promoted by  $\text{P}^{5+}$  ions.

The near-infrared luminescence intensity of  $\text{Yb}^{3+}$  ions decrease gradually with increasing  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions contents. So, the addition of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions content in  $\text{Yb}^{3+}$ -doped silica glass to solve the clustering of  $\text{Yb}^{3+}$  ions should be moderate. A clear dispersion effect of  $\text{Yb}^{3+}$  ions in silica glasses has been observed by the introduction of  $\text{Al}^{3+}$  and  $\text{P}^{5+}$  ions, detected with the help of the cooperative luminescence spectra. Moreover, from the cooperative luminescence intensity comparison between sample C5 and C1–C4, we can see that  $\text{P}^{5+}$  ions have a better dispersion effect on  $\text{Yb}^{3+}$  ions than  $\text{Al}^{3+}$  ions in  $\text{Yb}^{3+}$ -doped silica glass.

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## 5 Notes and references

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