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7 Highly luminescent covalently bonded double 8 hydroxide nanoparticle-fluorescent dye nanohybrids 9

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12 Incorporation of fluorescein dye molecules into layered double hydroxide (LDH) nanoparticles to form 13 nanocomposites has great potential for optical and biological applications. Herein, we report a novel 14 highly luminescent covalently bonded LDH-sodium fluorescein dye nanohybrid. This nanocomposite 15 shows a significantly higher quantum yield (QY) of 55.1% than the nanohybrids prepared from the 16 previously reported anion exchange (3.0%) and coprecipitation (12.4%) approaches. Our LDH-sodium 17 fluorescein nanohybrid is superior to the pure fluorescent dye in a number of perspectives. Firstly, the 18 nanohybrid exhibits an excellent linearity between the fluorescence intensity and the concentration in a 19 certain range. Secondly, the nanohybrid remains fluorescent even in dry powder form. Thirdly, the 20 nanohybrid can self-assemble to a transparent and free-standing film which is fluorescent under UV, 21 which will lead to important applications in optical devices. 22

23 Introduction

24 Layered double hydroxide (LDH) is an unusual class of 25 layered materials composed of bivalent and trivalent metallic 26 cations (M²⁺ and M³⁺) in layers and negative charged anions occupying the gallery in between.¹⁻³ The LDH family can be 27 expressed as $[M^{2+}_{1-x}M^{3+}_{x}(OH)_{2}][A^{n-}]_{x/n} \cdot zH_{2}O$, where M^{2+}_{2} may be Mg^{2+} Zn²⁺, or Ni²⁺ and M³⁺ may be Al³⁺, Ga³⁺, Fe³⁺, or 28 29 30 Mn^{3+} ; A^{n-} as a charge compensating anion may be CO_3^{2-} , CI^- , 31 etc.³ Since A^{n-} functions as non-framework anions, other types 32 of negatively charged ions can easily enter the gallery between 33 the LDH layers by ion exchange. Owing to the powerful anion 34 exchange capacity, positive surface charge and excellent 35 biocompatibility, LDH nanoparticles have been widely used as 36 carriers of negatively charged genes such as DNA and siRNA for intracellular delivery and other biomedical applications.⁴⁻¹⁰ 37 38 Negatively charged fluorescent dyes can also be directly 39 loaded into the LDH layers by anion exchange. However, with 40 these strategies, dye molecules uncontrollably fill in the 41 gallery or attach to the surface of the LDH nanoparticles with 42 very high local concentration, which leads to close spacing 43 between molecules and a corresponding concentration 44 quenching. Therefore, the resultant hybrid nanomaterials retain very low or even no fluorescence.¹¹ To solve this problem, 45 Musumeci et al.¹² reported LDH nanoparticles loaded with dye 46 47 molecules by co-precipitation. In this method, the dye 48 molecules were added to the reaction mixture during LDH 49 fabrication. This approach generates LDH nanoparticles with 50 much higher fluorescence intensity compared with those made 51 via ion-exchange. In addition, several other efforts have also 52 been made to circumvent dye aggregation in matrices.¹³⁻¹⁵ Sasai et al.¹³ and Bujdak et al.¹⁶ introduced a method using 53 various surfactants to modify the microenvironment 54 55 physicochemical properties of the interlayer regions. With this

principle, Shi et al.11 reported that many properties including 56 57 fluorescence quantum efficiency may be tuned by co-58 intercalating sodium fluorescein and surfactants with different 59 alkyl chain lengths into the LDH nanoparticle gallery by the anion-exchange. The surfactant molecules reduced the 60 61 fluorescence quenching by inhibiting nonradiative processes 62 and influencing on the orientational order and aggregation characteristics of the dye molecules.¹¹ Herein we show a novel 63 64 approach of covalent bonding fluorescein sodium salt dye 65 molecules into LDH nanoparticles so that certain spacing 66 between fluorophores can be maintained. The resulting 67 nanocomposites possess much higher fluorescence quantum 68 efficiency than those made from anion exchange and co-69 precipitation approaches. In addition, the nanohybrids also 70 show a number of advantages over free dye molecules. 71

72 Experimental

73 Preparation of nanoparticles: 80 mL of 0.15 M NaOH 74 (International Laboratory, USA) solution with 4 mmol of SDS 75 (Acros) was mixed with 20 mL of solution containing 2.0 76 mmol of MgCl₂ (International Laboratory, USA) and 1.0 mmol 77 of AlCl₃ (Sigma, USA) under vigorous stirring. The container 78 was sealed and the solution was stirred for 10 minutes. Next, 79 the solution was centrifuged and washed once with water. The 80 obtained slurry was dispersed in 80 mL of water and stirred at 81 80 °C for 24 hours in an airtight container under a N_2 82 atmosphere. After heat treatment, the LDH-SDS particles were 83 separated by centrifugation at 4000 rpm for 5 minutes and 84 dried under vacuum. Pristine LDH nanoparticles were prepared according to the method described by Xu et al.^{1,2} 85 Briefly, 40 ml of 0.15 M NaOH (International Laboratory, 86 87 USA) solution was mixed with 10 ml of solution containing 88 2.0 mmol of MgCl₂ (International Laboratory, USA) and 1.0

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mmol of AlCl₃ (International Laboratory, USA) under
 vigorous stirring. The container was sealed and the solution
 was stirred for 10 minutes. Next, the solution was centrifuged
 and washed once with water. The obtained slurry was
 dispersed in 40 ml of water and hydrothermally treated at 80
 °C for 4 hours in an airtight container.

7 Preparation of fluorescein sodium salt conjugated 8 nanoparticles: 2 mg of fluorescein sodium salt (International q Laboratory, USA) was mixed with 2 mL of (3-aminopropyl) 10 triethoxysilane (International Laboratory, USA) for 48 hours in 11 the presence of N-(3-Dimethylaminopropyl)-N-12 ethylcarbodiimide hydrochloride (EDC) (Acros, USA) as a 13 catalyst. 100 mg of LDH-SDS nanoparticles were further dried 14 in vacuum for 30 minutes before the next stage of the reaction. 15 7.5 mL of methylene chloride (Acros, USA) was mixed with 16 0.4625 g N-cetyl-N,N,N-trimethylammonium (CTAB) (Acros, 17 USA) at 40 °C to make CTAB fully dissolvable in methylene 18 chloride. Then, the prepared fluorescein sodium salt 19 conjugated APTES and methylene chloride with CTAB 20 solution were simultaneously added into a glass vial containing 21 100 mg of LDH-SDS nanoparticles. Subsequently, the solution 22 was ultrasonicated for 30 minutes at around 40-45 °C. After 23 sonication, the solution was continuously stirred for 24 hours 24 at 40-50 °C. Finally, the prepared nanoparticles were collected 25 by centrifugation and washed with methylene chloride.

26 Fabrication of films: Pristine LDH solution was fabricated by 27 the method reported by Xu et al.² Briefly, 40 mL of 0.15 M 28 NaOH (International Laboratory, USA) solution was mixed 29 with 10 mL of solution containing 2.0 mmol of MgCl₂ 30 (International Laboratory, USA) and 1.0 mmol of AlCl₃ 31 (International Laboratory, USA) under vigorous stirring. The 32 container was sealed and the solution was stirred for 10 33 minutes. Next, the solution was centrifuged and washed once 34 with water. The obtained slurry was dispersed in 40 mL of 35 water and hydrothermally treated at 80 °C for 4 hours in an 36 airtight container. The concentration of LDH is about 0.4 wt%, 37 determined by weighing the LDH mass collected from 38 suspension. 10mg of the fabricated nanocomposite was mixed 39 with 10mL of pristine LDH solution and 5 ml of Millipore 40 water with stronge sonication for 30 minutes. The nanoparticle 41 suspension was centrifuged at 500 rpm to precipitate non-42 dispersed nanoparticles and the supernatant solution was dried 43 in a 60 °C oven to form a transparent film.

44 Characterization: The fabricated film was observed by 45 scanning electron microscopy (FEG-SEM JEOL JSM-6335 F). 46 Zeta potentials were measured by dynamic light scattering 47 using a Zetasizer (Malvern). Transmission electron 48 microscopy was performed by Philips CM20 instrument. The 49 photoluminescence spectra and UV-Vis absorption spectra 50 were measured using an Edinburgh Instrument FLS920P 51 spectrometer with a 450 W xenon lamp as the steady-state 52 excitation source and a Varian Cary 50 UV-visible 53 spectrophotometer, respectively. Powder X-ray diffraction 54 patterns were collected with a Smartlab instrument using 55 Cu-K_a radiation. FTIR spectra were measured by a Perkin-56 Elmer 2000 FTIR spectrometer.

57 Results and discussion

LDH nanoparticles were prepared by a co-precipitation
 method.¹⁷ Sodium dodecyl sulfate (SDS) was used to expand

60 the gallery of the LDH nanoparticles. The product of this step 61 is referred to as LDH-SDS. X-ray diffraction (XRD) patterns 62 of the nanoparticles are presented in Fig. 1a. The (003) 63 diffraction peak of pristine Mg2-Al-CL LDH at 20=11.4° 64 indicates a basal spacing of 7.7 Å between LDH layers. After 65 SDS was incorporated into the layers, the peak shifts to 3.3°, 66 from which the interlayer space was calculated to be 26.8 Å, a 67 value very close to the 26.5 Å reported in a previous study.¹⁷ It 68 is apparent that the interlayer distance was dramatically 69 increased, because of the attachment of SDS molecules. In the 70 second step, we covalently attached fluorescein sodium salt 71 molecules to (3-Aminopropyl) triethoxysilane (APTES) in 72 presence of N-(3-Dimethylaminopropyl)-N-ethylcarbodiimide 73 hydrochloride (EDC) as a catalyst.¹⁸ The product is denoted as 74 APTES-Dye. In the third step, the APTES-Dye was incorporated with the LDH-SDS nanoparticles by standing for 75 76 24 hours in methylene chloride with N-cetyl-N,N,N-77 trimethylammonium (CTAB) with ultrasonication for the 78 initial 30 minutes. This final product is termed as LDH-Co-79 Dye. The role of CTAB is to react with SDS by forming salt to extract it from the LDH gallery.^{17,18} Fig. 1b shows Fourier 80 81 transform infrared spectroscopy (FTIR) data for four samples including pristine LDH nanoparticles, SDS molecules, LDH-82 83 SDS nanoparticles and LDH-Co-Dve nanoparticles. C-H 84 stretching modes at 2963, 2932 and 2842 cm⁻¹ are observed 85 from the spectrum of the LDH-SDS sample. These modes are 86 not present in the spectrum of the LDH nanoparticles, 87 indicating successful attachment of the SDS to the LDH 88 nanoparticles. The bonds of the -SO₄ group at 826 and 1062 89 cm⁻¹ are also observed in the spectrum of the LDH-SDS 90 sample, which also suggests that the SDS molecules are 91 attached to LDH nanoparticles. Significant diminution of these 92 peaks in the spectrum of LDH-Co-Dye nanoparticles indicates 93 the successful removal of SDS after the covalent bonding of 94 fluorescein sodium salt molecules to the LDH nanoparticles. 95 The broad band at 1000-1200 cm⁻¹ in the spectrum of the 96 LDH-Co-Dye nanoparticles can be ascribed to the Si-O-Si 97 asymmetric stretching vibration, which confirms the attachment of APTES-Dye to the LDH nanoparticles.^{18,19} Fig. 98 99 2a shows the fabricated LDH-Co-Dye nanocomposite particles 100 are about 50 nm in size and with morphology similar with our 101 previously reported folic acid conjugated self-assembly LDH nanoparticles.¹⁸ The zeta potential of the fabricated LDH-Co-102 103 Dye nanoparticles was measured to be positively charged (+ 104 48.7 mV), which is very similar to that of the pristine LDH, 105 indicating that the covalent bonding does not affect the 106 positive charge of the LDH nanoparticles. 107



109 Fig. 1 a) Powder X-ray diffraction patterns of LDH-SDS
110 nanoparticles, fluorescein conjugated LDH nanoparticles
111 (LDH-Co-Dye) and pristine LDH nanoparticles. b) Fourier
112 transform infrared spectroscopy of fluorescein conjugated
113 LDH nanoparticles (LDH-Co-Dye), LDH-SDS nanoparticles,
114 SDS molecules, and pristine LDH nanoparticles.

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40 buffered saline (PBS). As shown in Fig. 2c-d, the nanoparticle

41 solutions in both DI water and PBS are clearly transparent and

42 remain highly fluorescent under UV illumination, indicating 43 that LDH-Co-Dye hybrid nanoparticles are promising for

44 bioimaging applications.

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46 Table 1 Fluorescence quantum yield of free dye, fluorescein 47 covalently conjugated LDH nanoparticles (LDH-Co-Dye), and 48 LDH-dye hybrid prepared by ion-exchange (LDH-Dye (Ex)) or co-precipitation (LDH-Dve (CoP)) 49

75	of co-precipitation (LDTI-Dyc (Cor)):				
		Free Dye	LDH-Co-	LDH-Dye	LDH-Dye
			Dye	(CoP)	(Ex)
	QY(%)	70	55.1	12.4	3.0
50					

51 The QY of the LDH-Co-Dye is lower than that of the free 52 dye in solution at low concentration and this may suggest that 53 the spacing between the covalently bonded dye molecules 54 should be further increased. However, our LDH-Co-Dye 55 nanoparticles nonetheless have a number of advantages over 56 the free dye: 57



Fig. 3 a) Digital images of pristine LDH, fluorescein covalently conjugated LDH nanoparticles (LDH-Co-Dye), and free dye under day light and UV illumination. b) Solid-state emission spectra of free dye, fluorescein covalently conjugated LDH nanoparticles (LDH-Co-Dye), and LDH-dye hybride prepared by ion-exchange (LDH-Dye (Ex)) or 64 co-precipitation (LDH-Dye (Co)). c) Magnified solid-state photoemission spectra of the indicated area in b).

66 Firstly, we studied their fluorescence emission intensity as 67 a function of concentration (λ_{ex} =495 nm, λ_{em} =520 nm) (Fig. 68 2e). At concentrations from 3.125 to 200 µg/mL, the 69 nanocomposite fluorescence exhibited an excellent linearity 70 with concentration. The fluorescence intensity of free 71 fluorescein sodium salt molecules does not follow this trend, 72 with the fluorescence efficiency changing far more rapidly 73 with concentration, which makes quantitative analysis 74 challenging.

75 Secondly, the fluorescent LDH-Co-Dye nanoparticles are 76 also fluorescent in dry powder form. To demonstrate this, 77 pristine LDH and LDH-Co-Dye nanoparticles were dried as 78 powders and images were taken under room and UV light. 79 Free dye powder was used as a reference for comparison. The 80 images (Fig. 3a) show that the pristine LDH nanoparticles and 81 free fluorescent dye powder are not fluorescent under UV 82 while the LDH-Co-Dye nanoparticles are strongly 83 luminescent. Fig. 3b-c shows the solid state fluorescence 84 spectra of different powders. It can be seen that the free dye 85 molecules and the LDH-Dye (Ex) and the LDH-Dye (CoP)

1 To confirm that the high quantum efficiency of fluorescein 2 sodium salt can be retained by covalent bonding of the dye 3 molecules to the LDH layers, we studied the optical properties 4 of the as-prepared LDH-Co-Dye hybrid nanoparticles and 5 compared them with the LDH-Dye nanohybrids made by 6 conventional ion-exchange (LDH-Dye (Ex)) or co-7 precipitation (LDH-Dye (CoP)).¹² To simply compare the 8 fluorescence efficiency, we excited the three samples at 489 9 nm, at which the absorbance of LDH-Dye (CoP) and LDH-Co-10 Dye nanoparticles is the same. The emission spectra of the 11 samples are shown in Fig. 2b. The results show that, at the 12 same absorbance, the fluorescence intensity of the LDH-Co-13 Dye nanoparticles is as high as four times of that of the LDH-14 Dye (CoP) nanoparticles. In great contrast, the LDH-Dye (Ex) 15 nanoparticles prepared by the ion-exchange approach display 16 negligible emission, indicative of serious fluorescence 17 quenching. These contrasting results indicate that covalently 18 bonding dye molecules to LDH interlayers can effectively 19 maintain their fluorescence efficiency.



Fig. 2 a) TEM image of well dispersed LDH-Co-Dye nanoparticles. b) Emission spectra of fluorescein covalently conjugated LDH nanoparticles (LDH-Co-Dye), and LDH-dye hybrid prepared by ionexchange (LDH-Dye (Ex)) or co-precipitation (LDH-Dye (CoP)). c-d) The digital images of the LDH-Co-Dye nanoparticles in DI water and PBS solution under c) day light and d) UV illumination. e) Fluorescence emission intensity of fluorescein covalently conjugated LDH nanoparticles (LDH-Co-Dye) as function of nanoparticle concentration.

We also determined the quantum yields (QYs) of the hybrid nanoparticles by absolute measurement with integrated sphere, and compared them with those of the free fluorescein sodium salt and the LDH nanohybrids made from ionexchange or co-precipitation, as shown in Table 1. Our LDH-Co-Dye hybrid nanoparticles show a significantly higher QY of 55.1% than both LDH-Dye (CoP, 12.4%) and LDH-Dye (Ex, 3.0%) nanoparticles. The LDH-Co-Dye hybrid nanoparticles can be well-dispersed in DI water and phosphate 1

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nanoparticles are completely quenched in dry form, while the LDH-Co-Dye nanoparticles retain their strong emission.

3 There is a growing interest in the area of LDH chemistry 4 to fabricate LDH crystallites into well-organized two-5 dimensional structures (films or membranes) for practical applications.²⁰⁻²² After validating our hypothesis that covalent 6 7 bonding can significantly mitigate the fluorescence quenching 8 of dye molecules in LDH matrices, we investigated methods to 9 assemble these nanoparticles as self-supporting films. Fig. 4a 10 shows images of a self-supporting transparent film (top) and 11 the same film under UV exposure (bottom). This type of self-12 supporting films can be made with dimensions of centimeters, 13 which is similar to the LDH film previously reported by Wang et al.,²², but our films show very strong photoluminescence 14 15 under UV exposure and can still be transparent to some extent. 16 We characterized the structure of the self-supporting film by 17 scanning electron microscopy (SEM), as shown in Fig. 4b-d. 18 Interestingly, we found that the film consist of two layers, with 19 the top layer full of self-assembled cubic blocks of 1-2 μm 20 (Fig. 4c) while the base layer containing densely packed 21 nanoparticles (Fig. 4d). Such a self-assembly of LDH 22 nanoparticles to cubic blocks has not been reported before. The 23 mechanism needs further investigation. The bottom layer is 24 composed of highly oriented stacked nanoparticles, similar to 25 that previously reported highly oriented stacking structure of self-standing LDH films.²² Such a structure is important for the 26 27 transparency of the films because of its capacity of greatly reduced light scattering.²² 28 29



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Fig. 4 a) Digital image of transparent LDH-Co-Dye film under UV excitation (upper part) and day light (bottom part). b-c) 33 SEM images of the film. d) Magnified SEM image of bottom 34 layer of the film as pointed in c).

35 Conclusions

36 In summary, we demonstrated that covalently bonding 37 fluorescent dye molecules to LDH nanoparticles can be helpful 38 of suppressing concentration quenching of their fluorescence. 39 The LDH-Co-Dye nanoparticles possess much higher emission 40 QYs than those of other hybrid nanoparticles which were 41 produced by previously reported approaches including ion-42 exchange and co-precipitation. LDH-Co-Dye hybrid 43 nanoparticles can be well dispersed in water and PBS and the 44 fluorescence intensity linearly increases with concentration in 45 a certain range, which is an excellent characteristic for 46 quantitative analysis. Attractively, the nanoparticles even 47 remain strong fluorescence in powder form, and can be used to

- 48 form highly fluorescent and transparent free-standing films, all
- 49 of which cannot be realized by free dye molecules or with
- 50 previously reported LDH hybrid nanoparticles derived by ion-
- 51 exchange and co-precipitation. 52

53 Notes and references

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