



## Neuronal Maturation-dependent Nano-Neuro Interaction and Modulation

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## **Neuronal Maturation-dependent Nano-Neuro Interaction and Modulation**

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### **New Concepts**

For the first time, we reveal maturation-dependent binding of negatively-charged nanoparticles to neurons cultured in vitro. We observed a progressive increase in the fraction of neurons tagged with nanoparticles and number of nanoparticles per neuron with an increase in the number of cultured days in vitro. In contrast to our current understanding, we note that the electrical activity of the neurons does not govern the binding of the nanoparticles to neurons; instead, the surface charge state of the neurons might play a critical role in nano-neuro interactions. This heterogeneous binding of the nanoparticles to neurons in a maturing network results in a heterogeneous modulation characterized by simultaneous excitation and inhibition of electrical activity under photothermal stimulation. In contrast, a matured neural network, comprised of neurons that are uniformly tagged with nanoparticles, exhibited homogenous inhibition of electrical activity under optical stimulation that is completely reversible. Overall, our findings provide a better understanding of the interaction of the nanoparticles with neurons and provide critical guidelines in the design of nanoparticles for recordings and stimulating neural activity.

## 1                    **Neuronal Maturation-dependent Nano-Neuro Interaction and Modulation**

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### 8 9                    **Abstract**

10                    Nanotechnology-enabled neuromodulation, is a promising minimally-invasive tool in  
11                    neuroscience and engineering for both fundamental studies as well as clinical applications.  
12                    However, the nano-neuro interactions at different stages of maturation of a neural network and  
13                    its implications on the nano-neuromodulation remain unclear. Here, we report heterogeneous to  
14                    homogenous transformation of neuromodulation in a progressively maturing neural network.  
15                    Utilizing plasmonic fluors as ultrabright fluorescent nanolabels, we reveal that negative surface  
16                    charge of the nanoparticles renders selective nano-neuro interaction with a strong correlation  
17                    between the maturation stage of the individual neurons in the neural network and the density of  
18                    the nanoparticles bound on the neurons. In stark contrast to homogeneous neuromodulation in  
19                    a mature neural network reported so far, the maturation-dependent density of the nanoparticles  
20                    bound to neurons in a developing neural network resulted in a heterogeneous optical  
21                    neuromodulation (i.e., simultaneous excitation and inhibition of neural network activity). This  
22                    study advances our understanding of nano-neuro interactions and nano-neuromodulation with  
23                    potential applications in minimally-invasive technologies for treating neuronal disorders in parts  
24                    of mammalian brain where neurogenesis persists throughout aging.

25  
26                    **Keywords:** nano-neuro interaction, heterogenous neuromodulation, neuronal maturation,  
27                    photothermal stimulation, plasmonic fluor

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30 One of the major goals of modern biomedical research is to understand the working principles of  
31 nervous system.<sup>1</sup> As a rapidly growing technique, neuromodulation has proved to be of  
32 paramount importance in answering fundamental neuroscience questions and in devising  
33 advanced treatments of various neurological disorders.<sup>2</sup> Electrical neuromodulation-based  
34 implantable devices developed over the past few decades have proved effective in the  
35 treatment of many debilitating medical conditions including Parkinson's disease, clinical  
36 depression, and epilepsy.<sup>3</sup> However, the use of these devices (usually metal electrodes), owing  
37 to their bulkiness, mechanical invasiveness and inability to target individual neurons and  
38 neuronal circuits, are often limited.<sup>4, 5</sup> Optogenetics, involving genetic modification to control  
39 cellular activity via optical stimuli, has emerged as an attractive alternative tool over the past two  
40 decades.<sup>6, 7</sup> Although, optogenetics overcomes many of the aforementioned issues associated  
41 with physical electrodes,<sup>7, 8</sup> it relies on genetic modification of neurons, which is irreversible and  
42 difficult to implement in model organisms without a rich repertoire of genetic tools.<sup>9</sup> As such,  
43 nanomaterials based non-genetic neuromodulation approaches, which can be administered in a  
44 drug-like fashion, have been explored in recent years.<sup>1, 10</sup> Nano-enabled neuromodulation  
45 involves harvesting energy from an external source by the nanomaterials in a wireless manner  
46 and transducing it into physiologically-relevant stimuli in a localized region (down to single  
47 neurons or subcellular compartments) for neural stimulation.<sup>11</sup> Nano-neuromodulation also  
48 provides additional flexibility towards stimulation modes based on the energy sources employed  
49 in conjunction with specific transducing nanostructures such as optical<sup>12</sup>, acoustic<sup>13</sup> and  
50 magnetic<sup>14</sup> stimulation. Among these, optical stimulation via photothermal nano-transducers  
51 (such as plasmonic nanostructures, graphene, polydopamine nanoparticles, etc.) have shown  
52 great promise and versatility.<sup>15-23</sup> Moreover, recent advances in the development of efficient  
53 strategies for the transport of nanomaterials across blood-brain barrier (BBB) to the brain  
54 parenchyma, via either receptor-mediated endocytosis, physical disruption of BBB or local  
55 delivery, have demonstrated tremendous potential in clinical translation of nanomaterial-  
56 assisted neuromodulation for neurotherapeutics.<sup>24-27</sup>

57 Majority of the photothermal neuromodulation studies involve primary neuron culture close to its  
58 complete maturation stage as the model system. Although, in most brain regions, neurogenesis  
59 (process of generating new functional neurons from precursors) has been confined to a discrete  
60 developmental period, life-long neurogenesis has been observed in both the hippocampus and  
61 subventricular zone of almost all mammals, including humans.<sup>28</sup> The addition of new neurons to  
62 the complex circuitry of adult brain plays crucial role in memory and behavior.<sup>29</sup> Interestingly,  
63 these immature neurons exhibit high excitability, reduced GABAergic inhibition and a lower

64 threshold for the induction of long-term potentiation, which allows them to spike despite their  
65 developing glutamatergic inputs and participate in information processing before reaching a  
66 complete maturation stage.<sup>29</sup> The interaction of nanomaterials with these young neurons, if any,  
67 in the heterogeneous neural network comprising of both young and mature neurons, and its  
68 implications on the nano-neuromodulation is yet to be elucidated. This improved understanding  
69 would pave the way in designing minimally-invasive non-genetic nanomaterial-based  
70 neuromodulation techniques for both fundamental studies and clinical applications.

71 Recently, Dante et al. described the critical role of the surface charge of nanoparticles in their  
72 selective binding to neurons.<sup>30</sup> They demonstrated that negatively charged nanoparticles,  
73 irrespective of shape, size and material composition of the nanomaterial, exclusively bind to  
74 excitable neuronal cells and never to non-excitabile glial cells whereas positively charged and  
75 neutral particles never spontaneously bind to neurons. Moreover, Walters et. al.,<sup>31</sup> using  
76 zwitterionic coatings, have systematically demonstrated that the surface charge of the  
77 nanoparticles plays an important role in nano-neuro interaction rather than the specific chemical  
78 functional group. In this study, we harness plasmonic fluors-IR650 (PFs), ultrabright fluorescent  
79 nanoconstructs recently developed by our research group,<sup>32</sup> to unveil the neuronal maturation-  
80 dependent nano-neuro interactions (**Figure 1**). Building on these findings, we rationalize the  
81 nongenetic optical neuromodulation in both heterogeneous neural network (comprising of both  
82 young and mature neurons) and homogeneous neural network (majorly comprising of mature  
83 neurons) utilizing a commonly employed plasmonic photothermal nanotransducer, gold  
84 nanorods.<sup>15, 17, 19, 21, 22</sup>

85

## 86 **Results**

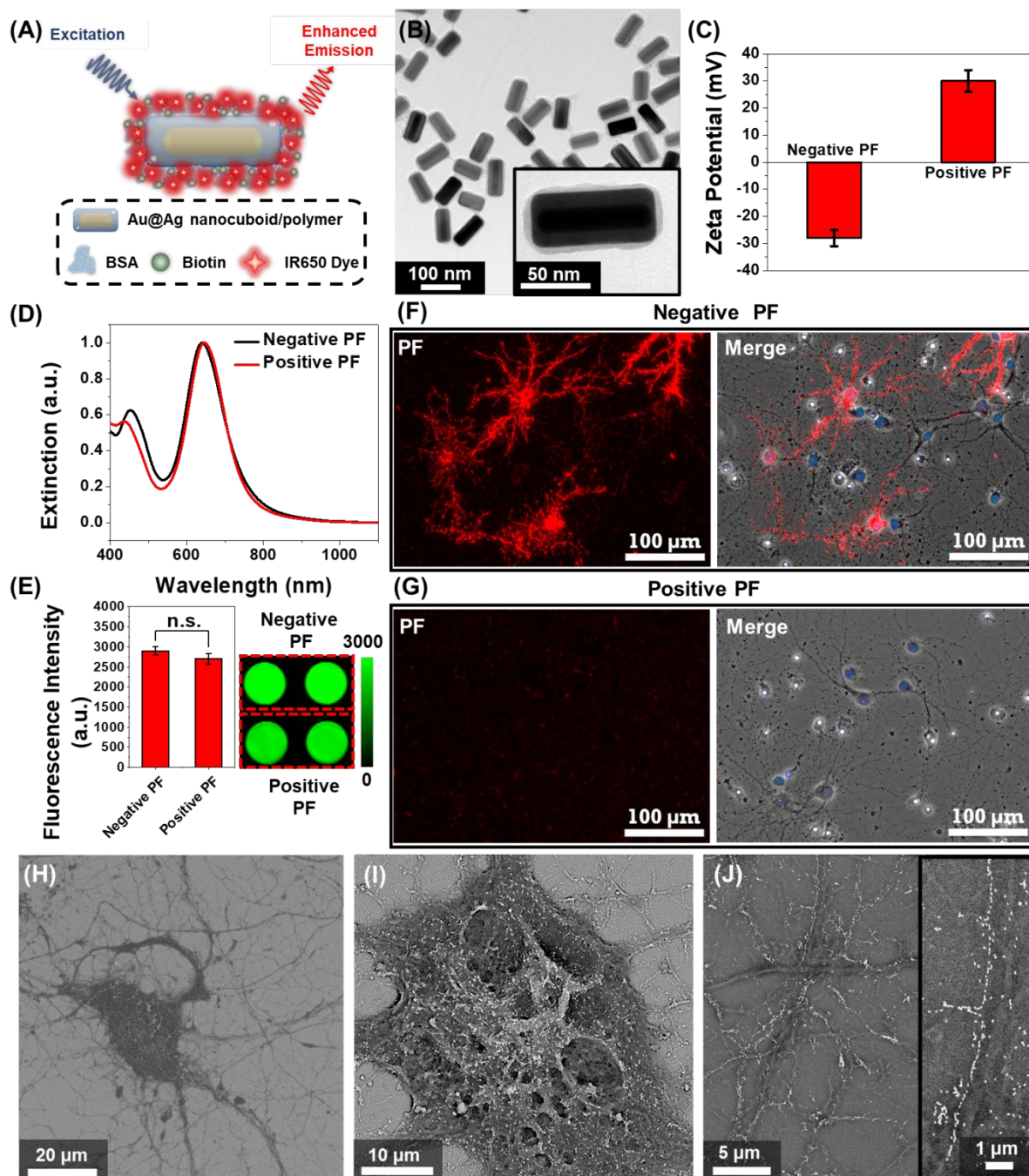
### 87 **Role of the surface charge of nanoparticles in binding to neurons**

88 We employed plasmonic-fluors comprised of a near infrared dye IR-650 (PF-650) as model  
89 nanostructures to understand the interactions between nanoparticles and neurons. We have  
90 recently introduced plasmonic-fluors as ultrabright fluorescent nanoconstructs that are nearly  
91 7000-fold brighter compared to the corresponding molecular fluorophores.<sup>32</sup> PF-650 is  
92 comprised of Au@Ag nanocuboids as plasmonic nanoantenna, siloxane copolymer layer as  
93 dielectric spacer and BSA-biotin-IR650 conjugates (**Figure 1A**). Transmission electron  
94 microscopy (TEM) image depicts the Au@Ag nanocuboids with a length  $98 \pm 5$  nm and a width

95  $42 \pm 2.5$  nm and the polymer and BSA-biotin-IR650 coating of  $3 \pm 1$  nm (**Figure 1B**). Owing to  
96 the presence of the BSA on the surface, under physiological pH conditions, the PFs are  
97 negatively charged (with  $\zeta$ -potential of  $-28 \pm 3$  mV), henceforth termed as negatively-charged  
98 PFs (**Figure 1C**). The positively charged PFs (with  $\zeta$ -potential of  $+30 \pm 4$  mV) were obtained by  
99 coating negative PFs with poly(allylamine hydrochloride). The positively charged PFs showed  
100 no sign of aggregation as evidenced by the absence of broadening of the localized surface  
101 plasmon resonance (LSPR) band in the extinction spectrum and the retained fluorescence  
102 intensity (**Figure 1D, E**). We then assessed the stability of both negatively charged PFs and  
103 positively charged PFs in the culture medium (NbActiv4) used for neural culture. We observed  
104 that both the particles exhibited stable dispersion in the medium after 1 hour of incubation  
105 assessed via extinction spectra as well as maintained their surface charge assessed via  $\zeta$ -  
106 potential measurements (**Figure S1**). To investigate the interaction of nanoparticles with the  
107 neurons, primary hippocampal neuronal culture at DIV 14 was incubated with the negative and  
108 positive PFs for 1 hour in NbActiv 4 medium, which is a serum-free medium. The absence of  
109 serum in the medium precludes the formation of protein corona on the nanoparticles, thus  
110 preserving their surface state. We observed that the negatively charged PFs readily bind to the  
111 neurons as evidenced by the co-localization of the PF fluorescence signal ( $\lambda_{\text{emission}} = 650$  nm)  
112 with the neurons (**Figure 1F**). On the other hand, the positively charged particles do not bind to  
113 the neurons (**Figure 1G**), as reported previously.<sup>30</sup> This observation suggests that the negative  
114 surface charge is a necessary condition for the spontaneous binding (*i.e.*, without any specific  
115 targeting moiety) of the nanoparticles to the neurons. The PFs uniformly decorated the soma  
116 and the neurites of the neurons. The anisotropic nanostructures (*i.e.*, nanocuboids) bound on  
117 the soma and thicker regions of neurite exhibited random orientation whereas those bound on  
118 the thinner regions of neurites were oriented along the length of the neurites (**Figure 1H, I, J**).  
119 Notably, in most cases, the PFs bound to the thinner region of neurites formed a single-particle  
120 wide linear array. Considering that the lateral dimensions of the neurites is 100 - 1000 nm, the  
121 longitudinal alignment of PFs possibly stems from the maximal interfacial contact area of the  
122 PFs with the neurites under this orientation.<sup>33-35</sup>

123

124



125

126 **Figure 1. Plasmonic-fluor as an ultrabright fluorescent nanoconstruct for probing nano-**  
 127 **neuro interaction.** (A) Schematic illustration of plasmonic-fluor (PF) comprised of plasmonic  
 128 nanoantenna (Au@Ag nanocuboid) coated with a polymer layer as dielectric spacer (polymer),  
 129 fluorophores (IR-650) and a universal biorecognition element (biotin) assembled using bovine  
 130 serum albumin (BSA). (B) TEM image of PFs (Inset: Higher magnification image depicting a thin  
 131 organic layer around the plasmonic core). (C) zeta potential (Error bars, s.d., n = 3 repeated  
 132 tests), (D) visible-NIR extinction spectra, and (E) Fluorescence intensity (Error bars, s.d., n = 4

133 independent tests) of negatively and positively-charged PF. Statistical analyses were performed  
134 via unpaired two-sample t-test;  $n=4$ ,  $p = 0.1022$ . Confocal fluorescence images of cultured  
135 hippocampal neurons at DIV 14 after 1 hour incubation with (F) negative and (G) positive PFs  
136 (red). The nucleus was stained with DAPI (blue) post-fixation. This is a representative image  
137 from 1 of a total of 8 images taken from  $n=2$  independent experiments. SEM image of (H) a  
138 single hippocampal neuron with selective localization of negative PFs and a higher  
139 magnification image showing (I) the randomly oriented PFs on soma and (J) the longitudinally  
140 aligned PFs on the neurites (Inset: zoomed in image depicting single nanoparticle-wide array of  
141 PFs along the neurites). This is a representative image from 15 images taken from  $n=2$   
142 independent experiments.

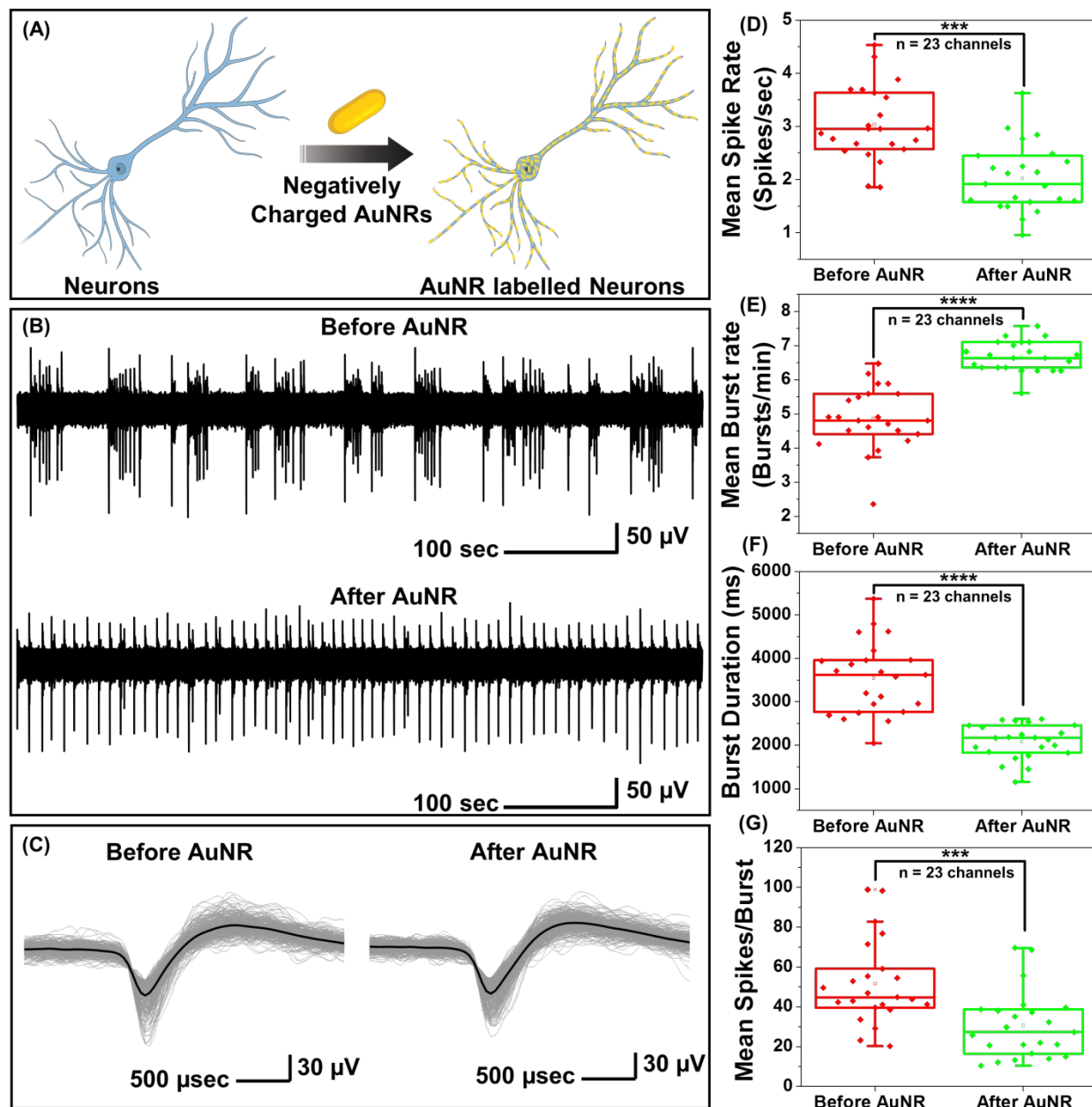
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#### 144 **Effect of nanoparticle binding on the electrical activity of neural network**

145 While PFs are extremely bright fluorescent nanoconstructs that serve as ideal nanolabels to  
146 monitor the binding of the nanostructures to neurons, they are not commonly employed for  
147 optical neuromodulation. Owing to the facile tunability of the LSPR wavelength over a broad  
148 range and their large extinction cross-section, gold nanorods (AuNRs) are highly attractive  
149 photothermal nanotransducers for optical neuromodulation.<sup>15, 17, 19, 21, 22</sup> We set out to investigate  
150 the effect of the binding of the negatively-charged AuNRs on the electrical activity of the  
151 neurons (**Figure S2A**). Negatively charged AuNRs were obtained by coating the as  
152 synthesized AuNRs with polystyrene sulfonate (PSS). Following the PSS coating, the AuNRs  
153 exhibited a blue shift (of 10 nm) in the LSPR wavelength and a zeta-potential of  $-34 \pm 4$  mV  
154 (**Figure S2B**). Negatively charged AuNRs exhibited similar nano-neuro interaction as compared  
155 to negatively charged PFs (**Figure S3**). To investigate the change in the electrical activity of  
156 neurons in response to the nanoparticle binding, hippocampal neurons were cultured on  
157 microelectrode arrays (MEAs) consisting of 60 electrodes. Extracellular activity of neurons was  
158 recorded with and without AuNR incubation (**Figure 2A**). Neurons cultured on MEA formed a  
159 dense network of neurites around TiN recording electrodes (**Figure S4**). To investigate the  
160 effect of nano-neuro interaction on the electrical activity of the primary hippocampal cultured  
161 neurons, the extracellular activity was recorded for 10 min prior to AuNR binding at 14 *days-in-*  
162 *vitro* (DIV 14) (**Figure 2B**). The neurons cultured on the MEAs were then incubated with AuNRs  
163 (at a final concentration corresponding to optical density (O.D.)  $\sim 0.5$  at the LSPR wavelength)  
164 for 1 hour followed by rinsing with medium. Following the binding of AuNRs to the neurons,  
165 although there is a significant change in the spontaneous electrical activity of the neuronal



166 network, the spike shape and amplitude remained unaltered (**Figure 2B, C, S5 and S6**). The  
 167 mean spike rate of the network measured over a period of 10 min reduced significantly while the  
 168 burst activity significantly increased (**Figure 2D, E**). Note that the duration of the burst events  
 169 and number of spikes per burst decreased significantly after binding of the AuNRs (**Figure 2F,**  
 170 **G**).



171  
 172 **Figure 2. Nano-neuro interaction elicits electrophysiological alterations in *in-vitro***  
 173 **cultured hippocampal neurons.** (A) Schematic illustration depicting the selective binding of  
 174 negatively charged plasmonic nanostructures (gold nanorods, AuNR) to hippocampal neurons.

175 (B) A single trace of spike recording before and after neurons were incubated with negatively  
176 charged AuNR. (C) Overlaid spike waveform of hippocampal neurons before and after AuNR  
177 labeling. Panel on the left shows the spike cutouts before the application of AuNRs and panel  
178 on the right shows the spike cutouts after the AuNR binding. Spikes from 10-minute recording  
179 with at least 700 spikes in each set. Black curve shows the mean value for each set. The traces  
180 in B and C are representative ones from a total of 23 active channels measured from primary  
181 cultured hippocampal neurons cultured on a microelectrode array (MEA). The experiment was  
182 repeated three times independently with similar results. Whisker plots demonstrating effect of  
183 AuNR localization on neuron membrane on the (D) mean spike rate, (E) mean burst rate, (F)  
184 burst duration and (G) mean spikes per burst of cultured neurons. Statistical analyses were  
185 performed via unpaired two-samples t-test;  $n=23$ , \*  $p<0.05$ , \*\*  $p<0.01$ , \*\*\*  $p<0.001$  and \*\*\*\*  
186  $p<0.0001$ . The box bounds the interquartile range (IQR) divided by the median, and Tukey-style  
187 whiskers extend to a maximum of  $1.5 \times$  IQR beyond the box. Filled diamonds are sample data  
188 points, open square represents mean and cross represents outliers.

189

## 190 **Homogenous and heterogeneous modulation of neuronal activity through photothermal** 191 **stimulation**

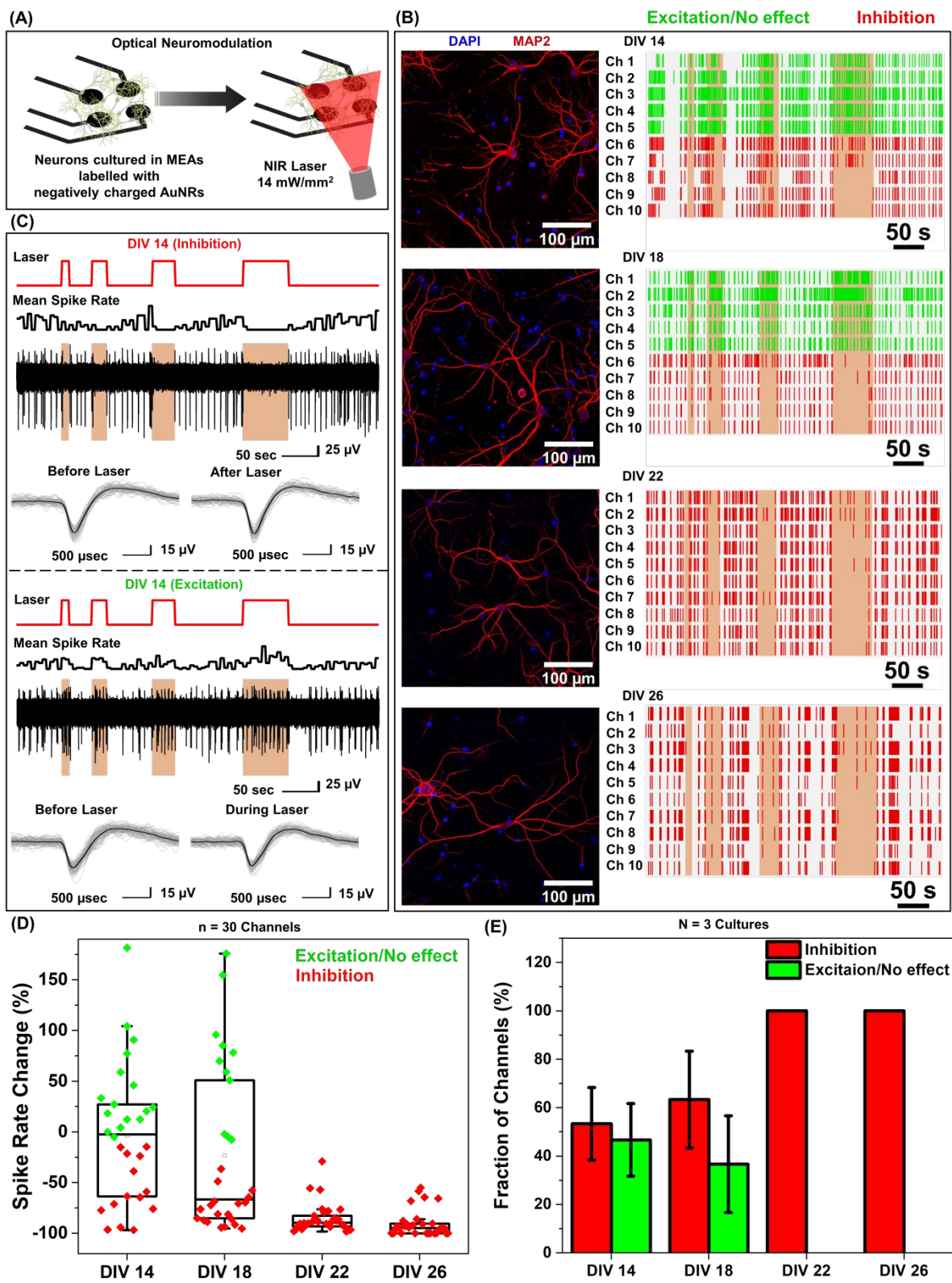
192 Under near infrared laser illumination, the plasmonic nanostructures bound on the neurons  
193 result in localized temperature rise, which in turn either reversibly alters the electrical  
194 capacitance and therefore the excitability of the neurons or reversibly activate the temperature  
195 sensitive TREK-1 ion channels and consequently reduce the discharge of action potentials.<sup>17, 36,</sup>  
196 <sup>37</sup> Owing to their ability to readily bind to neurons (**Figure 1F**), we employed negatively charged  
197 AuNRs to understand the effect of maturation stage of neuronal network on the photothermal  
198 neuromodulation. Hippocampal neurons cultured on MEAs were incubated with negatively  
199 charged AuNRs (76.2  $\mu$ M final concentration) for 1 hour at DIV 14, 18, 22 and 26, followed by  
200 washing with the NbActiv4 medium. Different MEAs were utilized at different DIVs, so as to  
201 avoid any interference from nanoparticle-induced neuronal membrane depolarization on the  
202 neuron maturation process.<sup>30</sup> Note that the kinetics of the neuronal maturation is significantly  
203 modulated by local network activity.<sup>38</sup>

204 The AuNR localized neurons were subjected to repeated irradiation of 808 nm laser at a power  
205 density of 14  $\text{mW}/\text{mm}^2$  for different durations (10, 20, 30 and 60 seconds) in a back-to-back  
206 pulsatile fashion (**Figure 3A**). The extracellular activity of the neurons was recorded before,

207 during, and after the photothermal treatment. The extracellular signal recorded by each of the  
208 MEA channels corresponds to a group of neurons on and around the channels that are  
209 irradiated by the NIR laser. At DIV 14 and 18, a small fraction of channels (10 to 30%) exhibited  
210 complete inhibition of neural activity in response to photothermal stimulation (observed from  
211 mean spike rate before, during and after laser illumination) which may be attributed to the  
212 membrane-localized photothermal heating via AuNRs. However, most of the electrodes  
213 depicted partial reduction, enhancement or no change in spiking activity (**Figure 3B, D**). In the  
214 channels where spiking activity was suppressed during photothermal treatment, the shape and  
215 amplitude of the remnant spikes remained unaltered before and after laser illumination  
216 suggesting the reversibility of the neuromodulation (**Figure 3C**, top panel). In addition, no  
217 significant difference in the spike shape and amplitude was observed in the channels exhibiting  
218 excitation during laser stimuli (**Figure 3C**, bottom panel). Furthermore, for all cells, no significant  
219 difference in the mean spike rate was observed before and after photothermal neuromodulation,  
220 which further confirms the complete reversibility of the nano-neuromodulation (**Figure S7**). At  
221 DIV 22 and 26, the photothermal neuromodulation resulted in nearly complete inhibition of  
222 spiking activity (**Figure 3B, D**). Moreover, with an increase in DIV from 14 to 18, a larger fraction  
223 of the electrodes exhibited inhibition in response to photothermal stimulation, finally reaching  
224 100% at DIV 22 and above (**Figure 3E**). These results highlight the transformation of the  
225 photothermal neuromodulation from a heterogeneous (in early stages of DIV 14 and 18) to  
226 homogeneous (in later stages of DIV 22 and 26) change in electrical activity.

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230 **Figure 3. Homogenous and heterogeneous modulation of neuronal activity through**  
 231 **photothermal stimulation (A) Schematic illustration of the optical neuromodulation**

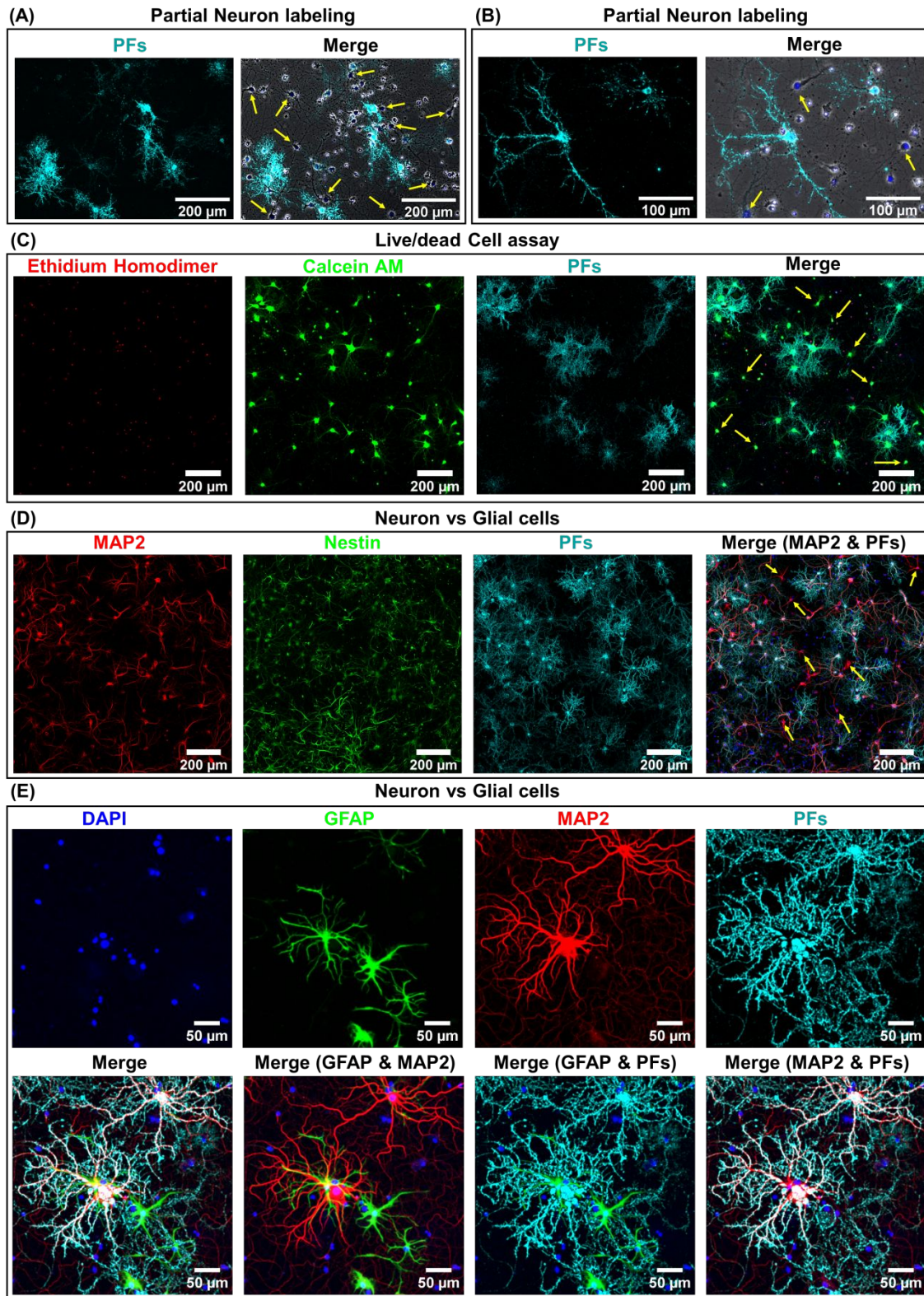
232 experimental setup demonstrating primary hippocampal neurons cultured in MEAs and  
233 stimulated with NIR laser (808 nm, 14 mW/mm<sup>2</sup>) after incubation with negatively charged  
234 AuNRs. (B) Raster plots (right panel) representing the spiking activity of primary hippocampal  
235 neurons labeled with negatively charged AuNRs at different days in vitro (DIV 14, 18, 22 and  
236 26). Each row in the raster plot corresponds to one channel of a MEA. Ten representative  
237 channels out of at least 30 active channels are presented. The vertical orange color bar  
238 indicates the time when NIR laser (808 nm laser wavelength, 14 mW/mm<sup>2</sup> power density, laser  
239 duration of 10, 20, 30 and 60 seconds) was illuminated on the MEAs with primary hippocampal  
240 neurons labelled with negatively charged AuNRs to investigate optical neuromodulation. Green  
241 represents channels exhibiting excitation or no effect and red represents channels exhibiting  
242 inhibition upon laser illumination. The experiment was repeated three times independently with  
243 similar results. Corresponding confocal fluorescence images (left panel) of primary cultured  
244 hippocampal neurons at DIV 14, 18, 22 and 26 co-stained with MAP2 (red) which is a neuronal  
245 marker and DAPI (blue) for nucleus staining. (C) Raw extracellular voltage traces showing  
246 modulation of spiking activity (top panel in each block) recorded from two different channels,  
247 one exhibiting inhibition (top panel) and the other showing excitation (bottom panel) of neural  
248 activity in response to optical stimuli measured simultaneously from the MEA with cultured  
249 hippocampal neurons at DIV 14. Overlaid spike waveform (bottom panel in each block) of  
250 hippocampal neurons before (inhibition and excitation panel), after (inhibition panel) and during  
251 (excitation panel) optical neuromodulation (the spikes waveforms are plotted for before, during  
252 and after 60 second laser illumination, with at least 90 spikes in each set and black curve shows  
253 the mean value for each set). The traces are representative ones from a total of at least 30  
254 active channels measured from primary cultured hippocampal neurons cultured on a MEA. (D)  
255 Whisker plot demonstrating the quantification of spike rate changes in panel B (effect of  
256 neuronal network maturation on the optical neuromodulation, transformation from  
257 heterogeneous to homogeneous neuromodulation,  $n \geq 30$  channels). The box bounds the  
258 interquartile range (IQR) divided by the median, and Tukey-style whiskers extend to a maximum  
259 of  $1.5 \times \text{IQR}$  beyond the box. Filled diamonds are sample data points, open square represents  
260 mean and cross represents outliers. (E) Fraction of MEA channels exhibiting inhibition and  
261 excitation/no change in the spike rate of the neurons labeled with negatively charged AuNRs in  
262 response to NIR stimuli (Error bars, s.d.,  $N = 3$  independent cultures).

263

264 **Heterogeneous nano-neuro interaction**

265 We hypothesized that the heterogeneity in the nano-neuromodulation at early stages of the  
266 neuronal network maturation is associated with the heterogeneity in the nano-neuro interaction.  
267 To test this hypothesis, we examined the binding of negative PFs to neurons at DIV 14, a time  
268 point at which we observed heterogeneous neuromodulation (**Figure 3E**). We observed that  
269 only a small fraction of the cells are tagged with negative PFs while most of the cells are devoid  
270 of nanoparticles (**Figure 4A**, untagged cells indicated by yellow arrows). This observation  
271 suggested that at DIV 14, there is indeed a heterogeneous binding of nanoparticles in the neural  
272 network. We investigated the viability of the cells that are not tagged with negative PFs at DIV  
273 14 using Calcein AM and ethidium homodimer staining (*i.e.* live/dead cells assay). We found  
274 that even the cells that are not tagged with negative PFs are alive, as confirmed by the confocal  
275 fluorescence images (**Figure 4C and S8**, yellow arrows indicate untagged viable cells). We then  
276 employed MAP2 as neuronal marker<sup>39</sup> and Nestin as progenitor cell marker of both neuronal  
277 and glial lineage<sup>40</sup> to differentiate between neurons and glial cells in the culture (neuronal cells  
278 expressed both MAP2 (red) and nestin (green) markers while glial cells expressed only nestin  
279 (green) marker) and subsequently investigated the presence of unlabeled neurons in the  
280 cultured neural network at DIV 14. We observed that a significant fraction of untagged cells  
281 (absence of PFs, cyan) expressed MAP2 (red), confirming the heterogeneous binding of  
282 negatively charged nanoparticles to the cultured neural network at earlier stages (DIV 14)  
283 (**Figure 4D**). We further investigated the selectivity of negatively charged nanostructures to  
284 neurons by employing GFAP as glial cell marker<sup>41</sup> in conjunction with MAP2. We found that  
285 negative PFs (cyan) specifically bind to neurons (MAP2, red) and completely avoid the glial cells  
286 (GFAP, green) (**Figure 4E**). This suggests that the interaction of negatively charged  
287 nanoparticles with the neurons is both specific to neurons and heterogeneous across neurons.

288



289

290 **Figure 4. Partial labeling of neurons with negatively charged PFs.** (A) Low and (B) high  
 291 magnification confocal fluorescence images of cultured hippocampal neurons after 1 hour

292 incubation with negatively charged PFs at DIV 14. The left panel shows the fluorescence image  
293 corresponding to negatively charged PFs (cyan) and right panel is the merged fluorescence  
294 image comprising of phase contrast (gray), DAPI for nucleus staining (blue) and PFs (cyan).  
295 Yellow arrows indicate untagged cells. (n=2 independent experiments) (C) Confocal  
296 fluorescence images of cultured hippocampal neurons after 1 hour incubation with negatively  
297 charged PFs (cyan) at DIV 14, co-stained with ethidium homodimer (red) for dead cell staining  
298 and calcein AM (green) for live cell staining. The yellow arrows indicate live cells that are not  
299 tagged with negatively charged PFs. (n=2 independent experiments) (D) Confocal fluorescence  
300 images of cultured hippocampal neurons after 1 hour incubation with negatively charged PFs  
301 (cyan) at DIV 14, co-stained with MAP2 (red, neuronal cell marker, specific to neuron cells),  
302 Nestin (green, progenitor cell marker, stains both neurons and glial cells) and DAPI (blue,  
303 nucleus staining). The yellow arrows indicate untagged neurons after incubation with negatively  
304 charged PFs. (n=2 independent experiments). (E) Confocal fluorescence images of cultured  
305 hippocampal neurons after 1 hour incubation with negatively charged PFs (cyan) at DIV 26, co-  
306 stained with MAP2 (red, neuronal cell marker, specific to neuron cells), GFAP (green, glial cell  
307 marker, specific to glial cells) and DAPI (blue, nucleus staining). (n=2 independent experiments)

308

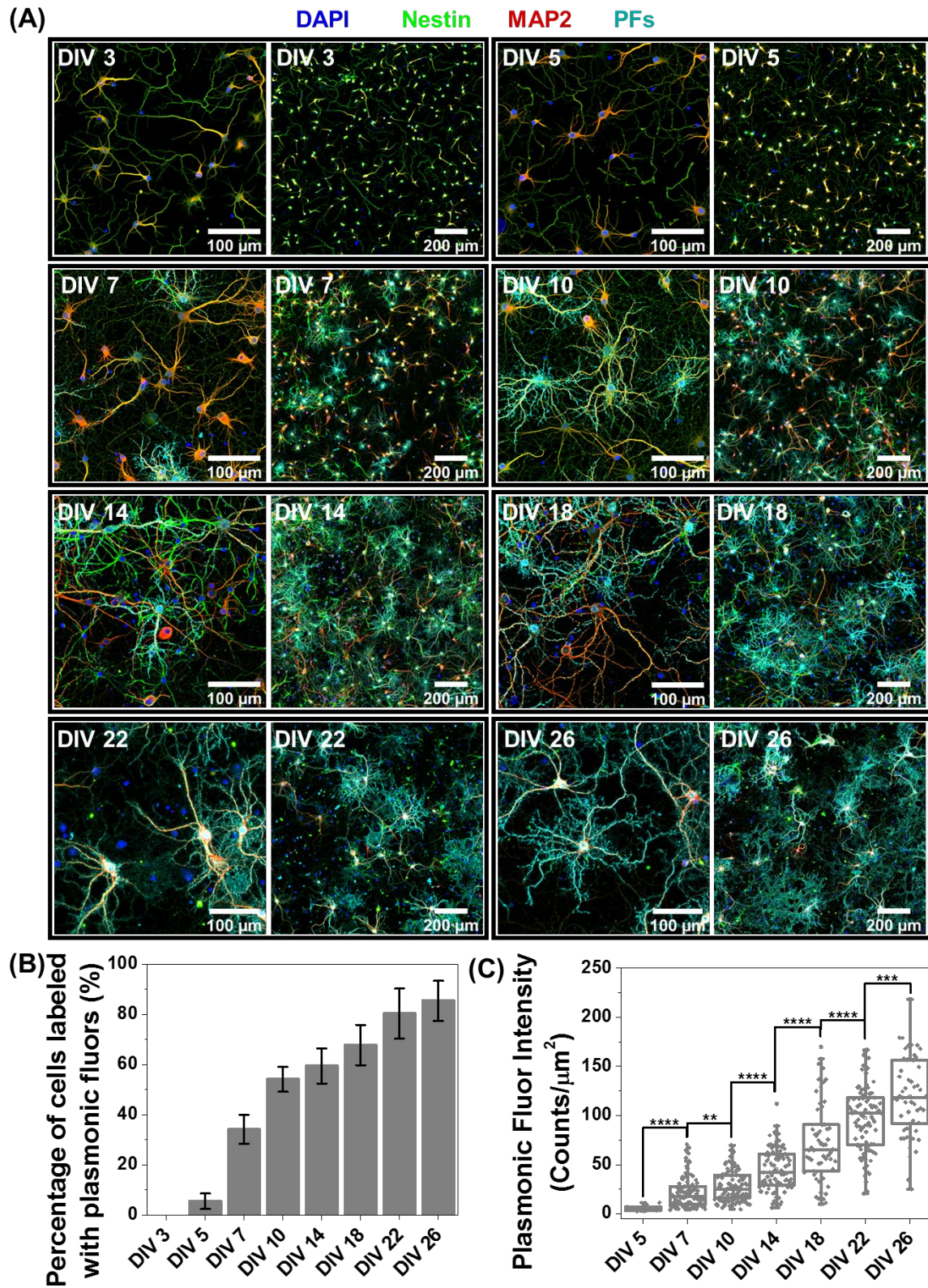
### 309 **Neuron maturation-dependent nano-neuro interaction**

310 Based on the observation that a significant fraction of the live neurons remain untagged at DIV  
311 14, we hypothesized that this heterogeneity in the nano-neuro interaction is responsible for the  
312 heterogeneous neuromodulation in earlier stages (DIV 14 and 18, Figure 3) and homogeneous  
313 neuromodulation in later stages (DIV 22 and 26, Figure 3). To test this hypothesis, we employed  
314 negative PFs to investigate the neuronal maturation-dependent nano-neuro interaction. We  
315 monitored the binding of the negatively charged PFs to neurons at various DIVs (DIV 3, 5, 7, 10,  
316 14, 18, 22 and 26) (**Figure 5A**, individual channels of fluorescence images presented in **Figure**  
317 **S9-S24**). After nanoparticle binding, the neurons were co-stained with MAP2 and nestin post-  
318 fixation to distinguish neuronal cells from glial cells. We did not observe discernable binding of  
319 negatively charged nanostructures to neurons till DIV 5, suggesting that the nanostructures do  
320 not interact with young neurons. However, as the DIV increases above 7, the fraction of neurons  
321 tagged with the negatively charged PFs (cyan) and the fluorescence intensity (representing the  
322 number of PFs bound to the neurons) associated with the tagged neurons increased (**Figure**  
323 **5B, C**). The progressive increase in the nanoparticle binding to the neurons with an increase in  
324 DIV may be attributed to the progressive transformation of the neuronal network from young to



325 developing to a finally mature state. Considering that the neuron maturation process is  
326 heterogeneous in nature,<sup>42</sup> young, developing and mature neurons co-exist over the DIV range  
327 studied here. However, with an increase in DIV the fraction of young neurons decreases and  
328 that of developing neurons and mature neurons increases, until all the neurons in the network  
329 mature. These observations suggest that neuron maturation plays a critical role in nanoparticle  
330 binding in the *in vitro* neural network, which in turn affects the nano-neuromodulation. We  
331 believe that the transformation from heterogeneous response to photothermal stimulation at  
332 early stages of the network (DIV 14 and 18) to homogeneous response at later stages (DIV 22  
333 and 26) is a direct manifestation of maturation-dependent tagging of neurons with negatively  
334 charged plasmonic nanostructures (**Figure 3**).

335



336

337 **Figure 5. Role of Neuronal network maturation in nano-neuro interaction.** (A) Confocal  
 338 fluorescence images of cultured hippocampal neurons after 1 hour incubation with negatively

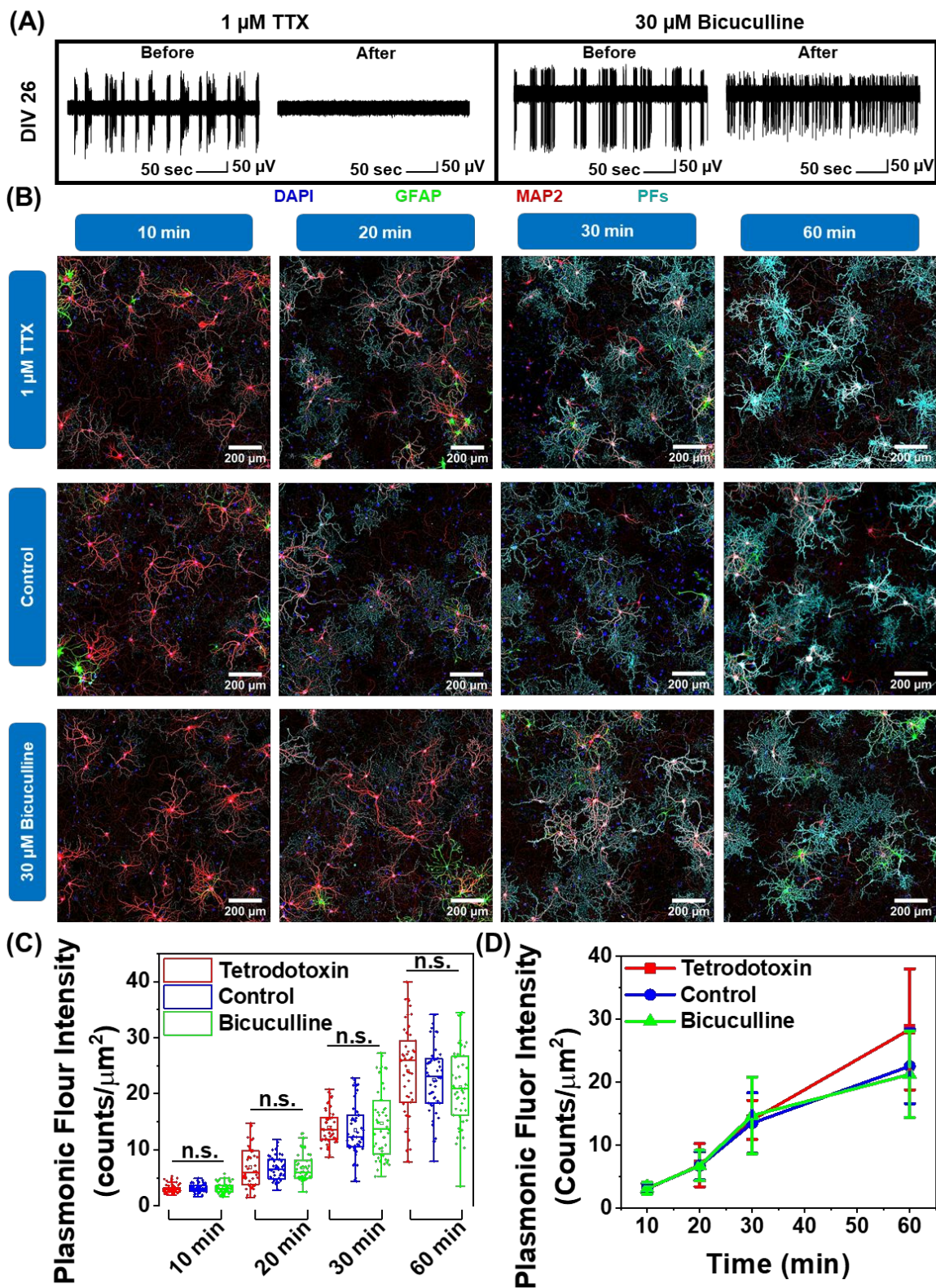
339 charged PFs (cyan) at DIV 3, 5, 7, 10, 14, 18, 22 and 26, co-stained with MAP2 (red, neuronal  
340 cell marker, specific to neuron cells), Nestin (green, progenitor cell marker, stains both neurons  
341 and glial cells) and DAPI (blue, nucleus staining). The left panel in each block shows the  
342 fluorescence image at 20X magnification and the left panel each block shows the 3×3 tiled  
343 image obtained from 9 images similar to the left panel. (n=2 independent experiments). (B)  
344 Percentage of neuronal cells labelled with negatively charged PFs at different DIVs (Error bars,  
345 s.d., n = 6, 3×3 tiled images from n=2 independent cultures). (C) Whisker plot representing  
346 fluorescence intensity of PF tagged neurons at various DIVs (Unpaired Two-samples t-test; n =  
347 5, 106, 111, 94, 98, 95 and 54 labelled neuronal cells from three 3×3 tiled images from the  
348 same culture for DIVs 5, 7, 10, 14, 18, 22 and 26 respectively, \* p<0.05, \*\* p<0.01, \*\*\* p<0.001  
349 and \*\*\*\* p<0.0001). The box bounds the interquartile range (IQR) divided by the median, and  
350 Tukey-style whiskers extend to a maximum of 1.5 × IQR beyond the box. Filled diamonds are  
351 sample data points, open square represents mean and cross represents outliers.

352

### 353 **Role of neural network electrophysiological activity on nano-neuro interaction**

354 Neuron maturation is a pivotal process through which neurons gain their electrophysiological,  
355 morphological, and molecular characteristics to evolve into functioning units of neural network.<sup>43</sup>  
356 Based on our observation that negatively charged nanoparticles selectively bind to neurons and  
357 positively charged nanoparticles do not interact with neurons (**Figure 1F, G**), we hypothesized  
358 that this electrostatic nature of nano-neuro interaction might be a direct consequence of the  
359 evolution electrophysiological activity of neurons during maturation process. To test this  
360 hypothesis, we employed pharmacological agents tetrodotoxin and bicuculline to suppress and  
361 increase the electrical activity of the primary cultured neural network, respectively at DIV 14  
362 (network comprising of both mature and young neurons) and DIV 26 (network comprising of  
363 majorly mature neurons) (**Figure S25A, B and 6A**).<sup>30</sup> We then monitored the interaction of  
364 negatively charged PFs to neurons under these pharmacologically manipulated  
365 electrophysiological conditions. We observed no significant effect of electrical activity of the  
366 network on nano-neuro interaction at either early or later stages of maturation (**Figure S25C,**  
367 **D**). This suggests that electrophysiological activity of the neuron is not a governing factor for  
368 nano-neuro interaction. Owing to the electrostatic nature of nano-neuro interaction, we then  
369 speculated that the electrophysiological activity of the neurons might possibly regulate the  
370 kinetics of nanoparticle binding on the neurons. As such, we systematically investigated the

371 binding kinetics of PFs to neurons under pharmacologically manipulated conditions. The  
372 fluorescence intensity PFs, which represents the density of these nanostructures, progressively  
373 increased with an increase in the incubation time across all the groups. The difference in the  
374 density of PFs between the control and pharmacologically manipulated groups at any of the  
375 time points was not statistically significant (**Figure 6B-D**). These observations reveal the  
376 unimportant role of neural network electrophysiological activity in nano-neuro interaction.



377

378 **Figure 6. Role of neural network electrophysiological activity in nano-neuro interaction.**

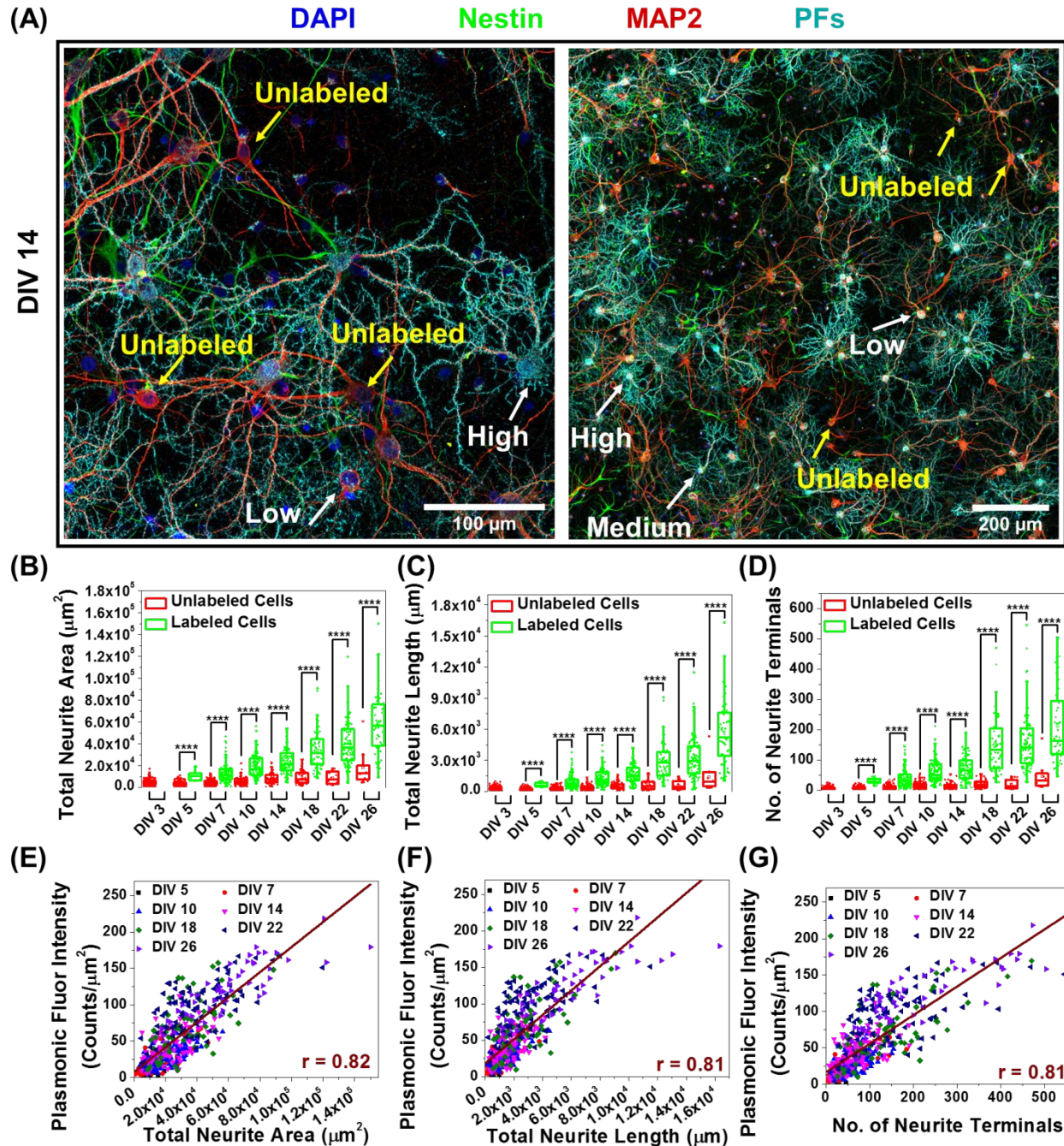
379 (A) A single trace of spike recording at DIV 26 before and after neurons were incubated with 1

380  $\mu\text{M}$  tetrodotoxin and  $30\mu\text{M}$  bicuculline for 15 minutes. The traces are representative ones from a  
381 total of 23 active channels measured from primary cultured hippocampal neurons cultured on a  
382 microelectrode array (MEA). The experiment was repeated two times independently with similar  
383 results. (B) Confocal fluorescence images of cultured hippocampal neurons after  
384 pharmacological manipulation of electrophysiological activity of the neural network and  
385 subsequent incubation with negatively charged PFs (cyan) at DIV 26 for various durations (10,  
386 20, 30 and 60 min), co-stained with MAP2 (red, neuronal cell marker, specific to neuron cells),  
387 GFAP (green, glial cell marker, specific to glial cells) and DAPI (blue, nucleus staining). Each  
388 block shows the  $3\times 3$  tiled image obtained from 20X magnification images. (n=2 independent  
389 experiments). (C) Whisker plot representing fluorescence intensity of PF tagged neurons for  
390 various nanoparticle incubation durations (10, 20, 30 and 60 min) after pharmacological  
391 manipulation. Unpaired Two-samples t-test;  $n \geq 50$  labelled neuronal cells from three  $3\times 3$  tiled  
392 images from the same culture, \*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$  and \*\*\*\*  $p < 0.0001$ . The box  
393 bounds the interquartile range (IQR) divided by the median, and Tukey-style whiskers extend to  
394 a maximum of  $1.5 \times \text{IQR}$  beyond the box. Filled diamonds are sample data points, open square  
395 represents mean and cross represents outliers. (D) Kinetics of nanoparticle binding on the  
396 neurons under various electrophysiological conditions. (Error bars, s.d.,  $n \geq 50$ ).

### 397 **Correlation between neuron morphological maturation parameters and nano-** 398 **neuro interaction**

399 In further delving into the maturation-dependent nano-neuro interaction, we made two important  
400 observations: (i) at different DIVs, a varying fraction of neurons within the network were not  
401 tagged with negatively charged PFs (**Figure 7A**, pointed with yellow arrows) and; (ii) the  
402 localized nanoparticle density varies over a wide range among the labelled neurons (**Figure 7A**,  
403 pointed with white arrows identifying low, medium and high density of PFs). We hypothesized  
404 that this graded binding of the nanostructures to neurons might be correlated with the neuron-  
405 maturation state (*i.e.*, higher in mature neurons and lower in young neurons). To quantify this  
406 phenomenon, we employed filament tracer module of IMARIS software (OXFORD  
407 INSTRUMENTS) to extract the morphological parameters of neurons (**Figure S26**).<sup>44</sup> We  
408 selected total neurite area, total neurite length and number of neurite terminals extracted using  
409 filament tracking analysis as the morphological maturation parameters to examine the  
410 correlation between neuron maturation and nanoparticle binding.<sup>45, 46</sup> The image corresponding  
411 to MAP2 channel representing all the neurons in the culture was utilized for comparing the  
412 morphological parameters of labeled and unlabeled neurons, while the image corresponding to

413 PFs channel was only utilized to spot the neurons with and without nanoparticles. We observed  
414 that the neurons tagged with negative PFs exhibited significantly higher morphological  
415 maturation parameters as compared to the neurons without PFs (**Figure 7B, C and D**),  
416 suggesting that nanoparticle localization is highly dependent on the maturation state of the  
417 neurons. Further, the density of nanoparticles localized on the neurons (measured as  
418 fluorescence intensity of PFs) exhibited strong correlation (Pearson's  $r$  value of 0.81) with the  
419 morphological maturation parameters of neurons (**Figure 7E, F and G**). These observations  
420 suggest that the morphological maturation stage of the neurons strongly correlates to the  
421 interactions between nanoparticles and neurons, with higher binding on mature neurons and  
422 lower binding on maturing neurons.



423

424 **Figure 7. Correlation between morphological maturation parameters of neurons and the**  
 425 **nano-neuro interaction.** (A) Confocal fluorescence images of cultured hippocampal neurons  
 426 after 1 hour incubation with negatively charged PFs (cyan) at DIV 14, co-stained with MAP2  
 427 (red, neuronal cell marker, specific to neuron cells), Nestin (green, progenitor cell marker, stains  
 428 both neurons and glial cells) and DAPI (blue, nucleus staining). The left panel shows the  
 429 fluorescence image at 20 $\times$  magnification and the right panel shows the 3 $\times$ 3 tiled image obtained



430 from 9 images similar to left panel. (n=2 independent experiments). Yellow arrows indicate  
431 untagged cells and white arrows indicate tagged cells with graded tagging. Whisker plot  
432 representing the morphological maturation parameters: (B) total neurite area, (C) total neurite  
433 length and, (D) no. of neurite terminals of neurons with and without nanoparticles at DIV 3, 5, 7,  
434 10, 14, 18, 22 and 26. Morphological maturation parameters were extracted from fluorescence  
435 images using MAP2 and PF channels via filament tracking analysis. Unpaired Two-samples t-  
436 test; n = 215, 190, 134, 94, 64, 42, 15 and 10 unlabeled neuronal cells and n = 0, 5, 106, 111,  
437 94, 98, 95 and 54 labeled neuronal cells from three 3×3 tiled images from the same culture for  
438 DIV 3, 5, 7, 10, 14, 18, 22 and 26 respectively, \* p<0.05, \*\* p<0.01, \*\*\* p<0.001 and \*\*\*\*  
439 p<0.0001. The box bounds the interquartile range (IQR) divided by the median, and Tukey-style  
440 whiskers extend to a maximum of 1.5 × IQR beyond the box. Filled diamonds are sample data  
441 points, open square represents mean and cross represents outliers. Correlation between  
442 morphological maturation parameters: (E) total neurite area, (F) total neurite length and, (G) no.  
443 of neurite terminals and the fluorescence intensity of PFs bound on the neuron (which is directly  
444 related to density of PFs on the neuron). The scatter plot is presented using the data from all the  
445 tagged cells and Pearson's correlation coefficient (r) is calculated after performing linear fitting  
446 of the concatenated data.

447

## 448 **Discussion**

449 We elucidate the effect of nanoparticle binding to neurons on the electrical activity in a neuronal  
450 network as well as the effect of maturation-dependent nano-neuro interaction on the nano-  
451 neuromodulation. The negative surface charge of the nanoparticles is a necessary condition for  
452 spontaneous binding of the nanoparticles to neurons in a culture. These results are in  
453 agreement with a recent report that highlighted the importance of the surface of charge of  
454 nanoparticles in nano-neuro interactions and the relative insignificance of the size, shape, and  
455 composition of the nanostructures.<sup>30</sup> It has been reported that the nano-neuro interaction  
456 depolarizes neuronal membrane potential, resulting in increased excitability and firing rate of  
457 individual neurons.<sup>47, 48</sup> The increase in the excitability of the neurons results in increased  
458 probability of burst discharge instead of single firing event.<sup>49</sup> Likewise, in the present study, as a  
459 result of nanoparticle binding to a fraction of neurons in the neuronal network, the excitability of  
460 only the neurons tagged with nanoparticles is expected to increase. The increased excitability of  
461 tagged neurons in turn significantly increased the bursting activity of the network. Consequently,  
462 this heterogeneous nano-neuro interaction resulted in faster, regular and smaller burst

463 discharge as compared to slower, irregular and longer burst discharge in the absence of  
464 nanoparticles.

465 Neuronal maturation is a dynamic and heterogeneous process in which neuron undergoes well-  
466 defined transition in morphology, excitability and connectivity in the pathway toward fully mature  
467 phenotype.<sup>42</sup> During the neuron maturation process, the dendritic length and the number of  
468 dendritic terminals of the neurons increase. Moreover, during this maturation process, the  
469 electrophysiological properties of neurons transforms from high input resistance, relatively  
470 depolarized resting membrane potential and small action potentials in the case of young  
471 neurons to low input resistance, relatively hyperpolarized resting membrane potential and large  
472 action potentials in the case of mature neurons.<sup>50, 51</sup> In the current study, we have unveiled the  
473 critical role of neuronal maturation on the nano-neuro interactions. Dante et al. indicated that the  
474 neuronal spiking activity causes the spontaneous binding of the negatively charged  
475 nanoparticles to the surface of the electrically-active neurons.<sup>30</sup> We have also observed the  
476 selective binding of negatively charged particles to the neurons, which is in agreement with the  
477 previously reported work. However, upon pharmacologically manipulating the  
478 electrophysiological activity of the neural network using bicuculline (BICU) and tetrodotoxin  
479 (TTX) for increasing and suppressing the spiking activity respectively, we observed no  
480 significant effect on the nano-neuro interaction (**Figure 6 and S25**). This suggests that electrical  
481 activity of the neurons is not the governing factor for selective binding of negatively charged  
482 nanoparticles to neurons.

483 Although we noted a strong correlation between morphological maturity of neurons and the  
484 binding of the negatively charged nanoparticles to the neurons, the underlying  
485 electrophysiological and/or cell surfaceome factors responsible for this maturation-dependent  
486 nano-neuro interactions still remains unclear. Oostrum et. al. recently demonstrated the  
487 reorganization of neuronal surface proteins during maturation in culture, which is proteostasis-  
488 independent and this regulation affects the quantitative surface abundance of surfaceome with  
489 very few qualitative alterations.<sup>52</sup> Considering the unimportance of electrical activity of neurons  
490 in the binding of negatively charged nanoparticles to mature neurons, we speculate that the  
491 selective nano-neuro interaction might be attributed to this surfaceome reorganization with  
492 maturation, which might lead to change in the surface charge of the neurons from neutral in  
493 early stages to positively-charged with maturation. It is important to note that the interaction of  
494 positively charged and negatively charged nanoparticles with the cells is still considered as a  
495 standard technique to estimate the surface charge of living as well as fixed cells,<sup>53, 54</sup> which is

496 similar to the experimental protocol employed in this work, suggesting the progressive change in  
497 the neuronal surface charge with maturation. This change in the neuronal surface charge with  
498 maturation might be responsible for the maturation-dependent graded nano-neuro interaction of  
499 negatively-charged nanoparticles. Considering that a fine control over nano-neuro interactions is  
500 critical for efficacious nano-neuromodulation, the mechanistic aspects of interaction between  
501 negatively charged particles and neuronal membrane needs to be further investigated.

502 One of the major challenges in the development of nanomaterial-assisted neurotherapeutics is  
503 the transport of nanomaterials across BBB.<sup>24, 25</sup> Many approaches that enable nanomaterials to  
504 cross BBB have been developed. However, target-specific nanomaterial delivery to brain  
505 parenchymal tissue remains challenging owing to the distinct and highly regulated transport  
506 across BBB. Although positively charged particles are preferable for BBB crossing via  
507 adsorptive transcytosis,<sup>55</sup> our study indicates that these particles do not readily interact with  
508 neurons. Alternatively, optimizing the ligand density on the nanoparticle surface to achieve  
509 efficient receptor-mediated transcytosis while maintaining the overall negative surface charge of  
510 the nanoparticles could potentially enable BBB crossing as well maintain the selectivity of  
511 nanoparticles towards neurons. Additionally, various other techniques have also been  
512 developed that rely on increasing transient permeability in the BBB paracellular pathway via  
513 ultrasound/microbubbles or osmotic pressure. However, these strategies are plagued by non-  
514 discriminate entry of compounds into the brain, which could lead to cerebral toxicity.<sup>25-27, 56</sup> While  
515 our results demonstrate necessary physicochemical properties of nanomaterials to achieve  
516 selective targeting of neurons required for efficient neuromodulation, further studies are needed  
517 to understand and control the transport of nanomaterials across BBB.

518 Regardless, this heterogeneous nano-neuro interaction has major implications on the optical  
519 neuromodulation of the cultured neurons. Plasmonic nanostructures have been widely  
520 investigated for neuromodulation, which either resulted in inhibition of spiking activity under  
521 continuous wave laser stimulation or increase in spiking activity via pulsed laser illumination.<sup>12, 17</sup>  
522 In the case of pulsed laser, a likely mechanism for the increase in spiking activity is the  
523 photothermally induced membrane capacitance changes resulting in cell depolarization.<sup>57, 58</sup>  
524 Another possible mechanism is the alteration of cell membrane properties via short thermal  
525 pulses. Potentially, short thermal pulses result in transient nanopores in the cell membrane,  
526 which in turn can increase cell membrane fluidity, thereby altering the cell potential and  
527 activating the voltage-gated ion channels.<sup>59-61</sup> On the other hand, the inhibition of spiking activity  
528 in response to continuous wave lasers likely stems from the thermo-sensitive potassium

529 channel – TREK-1.<sup>17</sup> In stark contrast to the earlier observations, for the first time, we report a  
530 heterogeneous modulation in neuronal activity at network level *i.e.*, simultaneous excitation and  
531 inhibition of electrical activity under optical stimulus. The heterogeneous optical modulation in  
532 the early stages (*i.e.* at DIV 14 and 18) of neuronal maturation may be attributed to  
533 heterogeneous binding of the nanostructures to neurons (~65-70% of the neurons in the culture  
534 tagged with nanoparticles). We speculate that the channels of MEAs exhibiting partial inhibition  
535 or no change in spiking activity majorly comprise of maturing neurons, which do not respond to  
536 photothermal stimulation because of the absence or low density of photothermal nanostructures  
537 on their surface.<sup>29</sup> On the other hand, the electrodes exhibiting increased spiking activity might  
538 be majorly surrounded by un-tagged young neurons. Since these untagged neurons are part of  
539 a larger neuronal network, photothermal stimulation could turn down inhibitory inputs received  
540 from maturing or matured neurons thereby resulting in an increased spiking activity of these  
541 young neurons.<sup>29</sup> Similarly, there can be many other combinations of young, maturing and  
542 mature neurons that can result in the observed heterogeneous response to photothermal  
543 stimulation. In contrast, as majority of the neurons reach advanced states of maturity or are  
544 completely matured, the photothermal stimulation resulted in nearly complete inhibition of  
545 spiking activity as observed in the case of DIV 22 and 26. This observation suggests that till DIV  
546 18, a major fraction of neurons in the network are still young or in early maturation stage while  
547 after DIV 22, majority of the neurons reached maturing or matured state. This is also evident  
548 from the fluorescence images demonstrating the binding of negatively charged PFs on the  
549 neurons at corresponding DIVs. The graded and selective binding of negatively charged  
550 nanoparticles on neurons demonstrated here opens novel avenues in minimally-invasive  
551 nanomaterials-based non-genetic neuromodulation approach for treatments of neural disorders  
552 in the complex environment of large mammalian brain.

## 553 **Outlook**

554 In summary, we unveil neuronal maturation-dependent nano-neuro interaction and nano-  
555 neuromodulation with a transformation from heterogeneous to homogeneous change in  
556 electrical activity with photothermal stimulation. We found that the nano-neuro interaction not  
557 only depends on the surface charge of the nanoparticles but also strongly correlates with the  
558 maturation stage of each individual neurons in the network, which in turn determines the  
559 homogeneity of nano-neuromodulation in a maturing neural network. Our results have broad  
560 implications in both neuroscience research as well as clinical applications. Recent advances in  
561 nanotechnology have revolutionized the field of neuroscience research by enabling various

562 neuromodulation modalities (viz. electrical, optical, acoustic, magnetic, chemical).<sup>1, 10, 62</sup> A  
563 comprehensive understanding of the factors influencing nano-neuro interaction will greatly  
564 advance our capability to seamlessly integrate nanomaterials with the nervous system and  
565 could help shape the future of neuromodulation therapy. Furthermore, we envisage that the  
566 ability to achieve precise and selective nano-neuro interaction could potentially alleviate the  
567 incessant bottleneck in the deployment of nanoprobe such as plasmonic NPs, up conversion  
568 nanoparticles, quantum dots, and nanodiamonds for both recording as well as manipulating  
569 complex neural circuits *in-vitro* and *in-vivo*.<sup>63-67</sup>

570 Considering the critical role of nanoparticle surface charge on nano-neuro interaction, the  
571 heterogeneity observed in nano-neuromodulation, stemming from the heterogeneous binding of  
572 nanoparticles in a maturing neural network, possibly applies to wide repertoire of nano-enabled  
573 neuromodulation modalities. Moving forward, we envision that a better understanding of the cell  
574 surface proteins responsible for the maturation-dependent electrostatic state of the neurons,  
575 possibly governing the maturation-dependent nano-neuro interactions presented here, could  
576 prove as an additional tool in the nanotechnology toolkit for the development of next-generation  
577 neuromodulation modalities with unprecedented spatiotemporal resolution. In clinical  
578 applications, owing to the fact that neurogenesis persists throughout aging in human  
579 hippocampus,<sup>28, 29, 42</sup> the maturation-dependent graded and selective nano-neuro interaction  
580 offers an additional handle in developing nano-neuromedicine for addressing neurological  
581 disorders. Moreover, the change in firing pattern of the neural network stemming from nano-  
582 neuro interaction could serve as a non-invasive treatment for diseases that are characterized by  
583 erratic electrical activity in parts of the brain, such as epilepsies and seizures.<sup>68, 69</sup> Collectively,  
584 our findings facilitate the development of new nanotechnologies for nano-neuro interface, which  
585 may be broadly applicable to both understanding neural pathways as well as minimally-invasive  
586 nano-enabled drug-like administrable neurotherapeutics.

587

## 588 **Methods**

### 589 **Cell culture**

590 All procedures have been approved by the Institutional Animal Care and Use Committee  
591 (IACUC) at Washington University in St. Louis. The hippocampal tissues were manually isolated  
592 from day E18 embryos of pregnant Sprague Dawley rat brains (Charles River, USA) in

593 Hibernate EB medium (HEB, BrainBits, USA) as previously described.<sup>23</sup> The isolated tissues  
594 were incubated in cell dissociation solution comprising of 6 mg papain (P4762, Sigma, USA) in  
595 3 ml of Hibernate E-Ca (HE-Ca, BrainBits, USA) for 10 minutes at 30°C. Subsequently, the  
596 tissues were mechanically dissociated via trituration with fire-polished Pasteur pipette after  
597 replacing cell dissociation solution with HEB medium to obtain single cell suspension. The  
598 resultant cell suspension was centrifuged at 200xg for 1 minute and the supernatant was  
599 decanted, and the pellets were resuspended in NbActiv4 medium (BrainBits, USA). Prior to  
600 plating the cells, the substrates were coated with poly(ethyleneimine) solution (0.1 % in water,  
601 P3143, Sigma, USA) for 30 minutes followed by rinsing with water, air drying and sterilization  
602 under UV light exposure for 1 hour. Subsequently, the substrates were further treated with  
603 laminin solution (20  $\mu\text{g ml}^{-1}$  in NbActiv4 medium, L2020, Sigma, USA) for 30 minutes to promote  
604 cell adhesion and neurite outgrowth.<sup>70</sup> After decanting the excess laminin solution from the  
605 substrates, the cells were plated onto glass bottom petri dishes (35 mm Glass bottom dish with  
606 14 mm micro-well #1 cover glass, D35-14-1-N, Cellvis, CA, USA) at a density of 120 – 160  
607 cells/ $\text{mm}^2$  for use in microscopy experiments and onto microelectrode array (MEA, Multichannel  
608 Systems, Germany) at a density of 500 – 1000 cells/ $\text{mm}^2$  for electrophysiology measurements.  
609 The neurons were maintained in a humidified incubator with 5%  $\text{CO}_2$  and 37 °C condition. At  
610 DIV 3, half of the culture medium (NbActiv4) was replaced with fresh culture medium and  
611 subsequently replaced regularly every 7 days in case of glass bottom petri dishes and every 2  
612 days in the case of MEAs.

613

## 614 Synthesis of positively-charged PFs

615 The PF-650 with IR-650 as molecular fluorophore and Au@Ag nanocuboids as plasmonic core  
616 were generously provided by Auragent Bioscience, MO, USA. The PFs were synthesized  
617 according to a procedure we recently reported.<sup>32</sup> Owing to the presence of BSA on the surface  
618 of the PFs, the PFs are inherently negatively charged under physiological pH. To realize  
619 positively charged PFs, the surface of these negatively charged PFs were coated with cationic  
620 polyelectrolyte, poly (allylamine hydrochloride) (PAH, 43092, Alfa Aesar, USA), via electrostatic  
621 interaction. Briefly, 10 ml of PFs (O.D.  $\sim$  2) was washed 3 times using alkaline nanopure water  
622 (pH = 10) via centrifugation at 6000 rpm to remove excess salt present in the storage buffer of  
623 PFs and re-dispersed in 10 ml of nanopure water (pH = 10). Subsequently, the purified PFs  
624 were added dropwise to 10 ml of PAH solution (0.2% W/V in water, pH adjusted to 10 using 1M

625 NaOH) under vigorous stirring and sonicated for 1 hour at room temperature under dark  
626 condition. Finally, PAH-coated PFs were washed with nanopure deionized (DI) water (resistivity  
627  $>18.2 \text{ M}\Omega\cdot\text{cm}$ ) twice by centrifugation at 6000 rpm and re-dispersed in DI water for further use.

## 628 Synthesis of negatively charged AuNRs

629 The localized surface plasmon resonance (LSPR) wavelength of the AuNRs can be easily tuned  
630 over a wide range by varying their aspect ratio.<sup>71-73</sup> Considering the wavelength of NIR light  
631 source (808 nm) utilized for photothermal modulation in the present work, the AuNRs with LSPR  
632 wavelength of 820 nm were synthesized via previously reported seed-mediated approach.<sup>71, 72,</sup>  
633 <sup>74</sup> Briefly, the gold seed solution was first prepared by adding 0.6 ml of ice-cold 10mM  $\text{NaBH}_4$   
634 solution (71321, Sigma, USA) into a magnetically stirred (800 rpm) solution comprising of 0.25  
635 ml of 10 mM  $\text{HAuCl}_4$  (520918, Sigma, USA) and 9.75 ml of 0.1 M hexadecyltrimethylammonium  
636 bromide (CTAB) (H5882, Sigma, USA) at room temperature for 10 min. Consequently, the  
637 solution color changed from orange to brown, indicating the Au seed formation. Subsequently,  
638 the growth solution was prepared by sequential addition of 2 ml 10 mM  $\text{HAuCl}_4$  aqueous  
639 solution, 38 ml 0.1 M CTAB solution, 0.4 ml 10 mM  $\text{AgNO}_3$  (204390, Sigma, USA) and 0.22 ml  
640 0.1 M ascorbic acid (A92902, Sigma, USA) followed by gentle homogenization via inversion,  
641 rendering growth solution color change from orange to colorless. Finally, 48  $\mu\text{l}$  of the freshly  
642 prepared gold seed solution was added to the growth solution, mixed via inversion and left  
643 undisturbed in the dark at room temperature for 24 hours. The AuNRs were collected via  
644 centrifugation at 9000 rpm for 30 min to remove the supernatant and re-dispersed in DI water  
645 for further use.

646 Owing to the presence of CTAB on the surface of AuNRs, the AuNRs are inherently positively  
647 charged. To realize negatively-charged AuNRs, the positively-charged AuNRs were coated with  
648 anionic polyelectrolyte, poly (sodium 4-styrenesulfonate) (PSS, 434574, Sigma, USA), via  
649 electrostatic interaction. Briefly, 10 ml of AuNRs (O.D.  $\sim 2$ ) was washed once with DI water via  
650 centrifugation at 9000 rpm to remove excess CTAB and re-dispersed in 10 ml of nanopure  
651 deionized (DI) water (resistivity  $>18.2 \text{ M}\Omega\cdot\text{cm}$ ). Subsequently, the purified AuNRs were added  
652 dropwise to 10 ml of PSS solution (0.5% W/V in water) under vigorous stirring and sonicated for  
653 1 hour at room temperature. Finally, the PSS-coated AuNRs were washed with DI water twice  
654 by centrifugation at 9000 rpm and re-dispersed in DI water for further use.

## 655 Material Characterization

656 Transmission electron microscopy (TEM) micrographs were acquired using JEOL JEM-2100F  
657 field emission microscope. A drop of plasmonic nanostructure aqueous dispersion was casted  
658 onto the copper grids (Carbon Type-B, 200 mesh, Ted Pella, USA). The extinction spectra of  
659 plasmonic nanostructures were acquired using shimadzu UV-1800 spectrophotometer. The zeta  
660 potential measurements were performed using Malvern Zetasizer (Nano ZS). Large area  
661 fluorescence mappings were obtained using LI-COR Odyssey CLx imaging system.

## 662 Neuron electrophysiology experiments

### 663 Neural recording

664 Extracellular electrophysiological recordings from primary cultured hippocampal neurons were  
665 performed using 60-channel TiN microelectrode arrays (60MEA200/30iR-Ti-gr, MultiChannel  
666 Systems, electrode diameter 30  $\mu\text{m}$ , electrode spacing 200  $\mu\text{m}$ , 8 x 8 electrode grid, 59  
667 electrodes, 500 nm thickness of  $\text{Si}_3\text{N}_4$  insulator). The extracellular recordings of the  
668 spontaneous network activity were acquired simultaneously from all the 59 electrodes utilizing  
669 an *in vitro* MEA recording system (MEA2100-Mini-System, Multichannel systems, gain 1100,  
670 bandwidth 10-8 kHz, sampling frequency 25 kHz). The electrodes were maintained at 37°C and  
671 5%  $\text{CO}_2$  atmosphere via a climate chamber (MEA2100-CO2-C, MultiChannel Systems) during  
672 electrophysiological recordings. The recording of the neuronal activity was performed 20 min  
673 after placing the MEA in the recording system equipped with climate chamber. The recorded  
674 raw voltage traces were filtered with a 200 Hz digital high pass filter (Butterworth, second order),  
675 and the spikes were detected by defining the threshold level as six times of the standard  
676 deviation of background noise using a software provided by the vendor (MC Rack, MultiChannel  
677 Systems). The network bursts were detected utilizing MaxInterval algorithm,<sup>75</sup> available in the  
678 software (MC Rack, MultiChannel Systems) by defining minimum number of spikes in a burst as  
679 4, maximum interspike interval to start the burst as 100 ms, maximum interspike interval to end  
680 the burst as 500 ms, minimum interspike interval between two bursts as 500 ms and minimum  
681 burst duration as 20 ms. Collected data were processed using custom built MATLAB  
682 (MathWorks) script.

### 683 Nano-neuro interaction

684 To assess the effect of nano-neuro interaction on the electrophysiology of the neurons, the  
685 neuronal network activity of the cultured hippocampal neurons at DIV 14 was recorded for 10  
686 minutes prior to nanoparticle administration. Subsequently, the negatively-charged AuNRs  
687 dispersed in NbActiv4 medium was added to the culture medium at a final concentration of O.D.



688 ~ 0.5. After 1 hour incubation with nanoparticles, the activity of the neural network was recorded  
689 for 10 mins. The recording channels with average firing rate greater than 0.1 spikes/sec were  
690 selected as active channels and utilized for further neural activity analysis. Subsequently, the  
691 effect of nanoparticle binding on the neuronal activity was analyzed utilizing following four main  
692 parameters: (i) mean spike rate, calculated as average firing rate over entire recording duration;  
693 (ii) mean burst rate, calculated as average number of bursts per minute; (iii) burst duration,  
694 calculated as average duration of burst events; and (iv) mean spikes per burst, calculated as  
695 average number of spikes during burst events. All statistical difference between two groups  
696 were analyzed using unpaired one-tailed t-test with 5% one-sided significance level.

### 697 **Maturation-dependent nano-neuromodulation**

698 To investigate the maturation-dependent nano-neuromodulation, the photothermal modulation  
699 of neuronal network activity of the cultured hippocampal neurons on a MEA chip at DIV 14, 18,  
700 22 and 26 was performed. To avoid any interference, the nano-neuro interaction might have on  
701 maturation of the neurons, separate MEAs were employed for neuromodulation experiments at  
702 different DIV. The negatively charged AuNRs dispersed in NbActiv4 medium were added to the  
703 neuron culture on specific DIV at a final concentration of O.D. ~ 0.5 and incubated for 1 hour in  
704 the incubator maintained at 37°C and 5% CO<sub>2</sub>. To minimize the free AuNRs in the culture, prior  
705 to neuromodulation experiments, the AuNR treated cultures were gently washed three times  
706 with NbActiv4 medium by replacing 75% of the medium with fresh medium followed by gentle  
707 swirling. Subsequently, the MEAs were placed in the incubator for yet another hour for  
708 stabilization. A fiber optic coupled NIR laser diode module (808 nm, continuous wave, 2 W,  
709 Power technologies inc.) was utilized as a light source for photothermal neuromodulation and  
710 the collimator present at the end of the optical fiber provides a means to tune the laser beam  
711 spot size and power density by controlling its distance from the MEAs. A typical photothermal  
712 neuromodulation experiment lasts for 480 seconds, and the AuNR treated neurons on MEAs  
713 were illuminated with NIR laser at a power density of 14 mW/mm<sup>2</sup> for 10, 20, 30 and 60 seconds  
714 while simultaneously recording the neuronal network activity during the entire time period of the  
715 experiment. A mechanical shutter was employed to control the laser on and off period. The  
716 recording channels with average firing rate greater than 0.1 spikes/sec were selected as active  
717 channels and utilized for further neural activity analysis. peristimulus time histogram and raster  
718 plots were used to analyze the photothermal neuromodulation with NIR irradiation as a stimulus.  
719 The spike rate change under NIR stimulus was calculated by the following equation:  $\Delta R/R (\%) =$   
720  $[R(\text{ON}) - R(\text{OFF})] \times 100 / R(\text{OFF})$ , where R(OFF) and R(ON) represents the mean spike rate

721 before and after the onset of NIR stimulus, respectively. R(OFF) includes the 60 second window  
722 just before the onset of the stimulus and R(ON) includes the entire stimulus period. The  
723 channels exhibiting less than 10% change in the electrical activity in response to NIR stimulus  
724 were categorized as channels with no effect to photothermal modulation.

#### 725 **Neural network activity alteration**

726 To assess the efficacy of pharmacological agents in altering the electrophysiological activity of  
727 the neuronal network, the neuronal network activity of the cultured hippocampal neurons on  
728 MEAs at DIV 14 and 26 was recorded for 5 minutes prior to subjecting the network to the  
729 specific pharmacological agent. Subsequently, either tetrodotoxin (TTX, ab120055, Abcam,  
730 USA) or bicuculline (BICU, 14340, Sigma, USA) dissolved in NbActiv4 medium was introduced  
731 into the cultured neurons at a final concentration of 1  $\mu$ M and 30  $\mu$ M, respectively. After 15  
732 minutes of incubation with the pharmacological agents, the activity of the neuronal network was  
733 recorded for 5 minutes. The recording channels with average firing rate greater than 0.1  
734 spikes/sec were selected as active channels and utilized for further neural activity analysis.  
735 Subsequently, the efficacy of TTX and BICU to suppress or increase the neuronal network  
736 activity, respectively, was analyzed utilizing mean spike rate, calculated as average firing rate  
737 over entire recording duration, before and after administration of the pharmacological agents.

738

#### 739 **Confocal fluorescent microscopy experiments**

##### 740 **Assessing nanoparticle surface charge dependent nano-neuro interaction**

741 PFs with different surface charges dispersed in Nbactiv4 medium were administered to the  
742 cultured neurons on DIV 3, 5, 7, 10, 14, 18, 22 and 26 at a final concentration of O.D.  $\sim$  0.5 and  
743 incubated for 1 hour at 37°C and 5% CO<sub>2</sub>. Subsequently, the cells were washed once with 1X  
744 phosphate buffered saline (PBS) and were fixed with 4% (W/V) paraformaldehyde (PFA, Sigma,  
745 USA) solution in PBS at room temperature for 30 min, followed by washing 3 times with PBS.  
746 Finally, the nucleus was stained with DAPI (Sigma, USA) at a concentration of 300 nM in PBS  
747 for 5 minutes, followed by washing 3 times with 1X PBS. Cells were visualized under inverted  
748 confocal fluorescent microscope (Lionheart FX Automated Microscope, BioTek, USA).

##### 749 **Assessing viability of cells not tagged with negatively charged PFs**

750 Negatively charged PFs dispersed in Nbactiv4 medium were added to the cultured neurons on  
751 DIV 14 at a final concentration of O.D.  $\sim$  0.5 and let to incubate for 1 hour at 37°C and 5% CO<sub>2</sub>.  
752 Subsequently, the cells were stained with a LIVE/DEAD cell viability assay kit (L3224, Thermo  
753 Fisher Scientific, USA), followed by fixation with PFA and nuclei staining with DAPI as  
754 discussed previously. Cells were visualized under inverted confocal fluorescent microscope  
755 (Zeiss LSM 880 Airyscan Two-Photon Confocal Microscope, Carl Zeiss AG, Germany). The  
756 viability of the neurons both labeled and unlabeled with negatively charged PFs was assessed  
757 by analyzing the presence of green-fluorescent calcein-AM stain corresponding to live neurons  
758 both with and without PF co-localization.

### 759 **Immunostaining**

760 Negatively charged PFs dispersed in Nbactiv4 medium were added to the neuron culture on  
761 DIV 3, 5, 7, 10, 14, 18, 22 and 26 at a final concentration of O.D.  $\sim$  0.5 and let to incubate for 1  
762 hour at 37°C and 5% CO<sub>2</sub>. The cells were washed with PBS once, fixed with PFA and  
763 permeabilized with 0.5% Triton X-100 in PBS for 10 minutes at room temperature, followed by  
764 washing with PBS 3 times. To avoid the non-specific binding of antibodies, the cells were  
765 blocked with blocking solution comprising of 6% bovine serum albumin (BSA, Sigma, USA) in  
766 PBS for 30 minutes and washed once with 0.05% Tween-20 (Sigma, USA) in PBS. The cells  
767 were incubated with primary antibodies, mouse anti-MAP2 (2  $\mu$ g/ml, monoclonal, MA5-12826,  
768 Thermo Fisher Scientific, USA), goat anti-Nestin (10  $\mu$ g/ml, polyclonal, PA5-47378, Thermo  
769 Fisher Scientific, USA) and rabbit anti-GFAP (3.44  $\mu$ g/ml, polyclonal, PA5-85109, Thermo  
770 Fisher Scientific, USA), diluted in blocking solution. After 3 hour incubation at room temperature,  
771 the cells were washed with PBS three times and incubated with secondary antibodies, Alexa  
772 Fluor 568 labelled Donkey anti-Mouse (4  $\mu$ g/ml, A10037, Thermo Fisher Scientific, USA), Alexa  
773 Fluor Plus 488 labelled Donkey anti-Goat (4  $\mu$ g/ml, A32814, Thermo Fisher Scientific, USA) and  
774 Alexa Fluor Plus 488 labelled Donkey anti-Rabbit (4  $\mu$ g/ml, A32790, Thermo Fisher Scientific,  
775 USA), diluted in blocking solution for 1 hour at room temperature. After washing with PBS three  
776 times, the nucleus was stained using DAPI as described previously. Cells were visualized under  
777 inverted confocal fluorescent microscope (Zeiss LSM 880 Airyscan Two-Photon Confocal  
778 Microscope, Carl Zeiss AG, Germany). The imaging conditions were kept constant for all the  
779 samples in order to compare the change in fluorescence intensity of PFs with maturation. (Note:  
780 the combination of either MAP2 & Nestin or MAP2 & GFAP was used)

### 781 **Confocal fluorescence imaging of neural network activity alterations**

782 To investigate the role of neuronal network activity on the nano-neuro interaction, the binding of  
783 negatively-charged nanoparticles was assessed after pharmacologically altering the electrical  
784 activity of the cultured hippocampal neurons. After incubating the cultured neurons with 1  $\mu$ M  
785 TTX or 30  $\mu$ M BICU on DIV 14 and 26 for 15 minutes, the negatively charged PFs were added  
786 to the neuron culture at a final concentration of O.D.  $\sim$  0.5 in the presence of pharmacological  
787 agents and incubated for 1 hour at 37°C and 5% CO<sub>2</sub>. For nanoparticle binding kinetics study,  
788 the negatively charged PFs were incubated for 10, 20, 30 and 60 mins, while keeping all other  
789 experimental protocol constant. The cells were fixed, stained with primary and secondary  
790 antibodies, and analyzed using confocal microscopy following the same protocol as discussed  
791 in the previous section.

### 792 **Confocal fluorescence image analysis**

793 The confocal fluorescence images were analyzed using filament tracking module of IMARIS  
794 software (OXFORD INSTRUMENTS). The channel corresponding to MAP2, which is a neuronal  
795 cell marker, from the images were employed to extract the morphological parameters (viz.  
796 filament length, filament area and number of filament terminals) of neurons. First, the starting  
797 points (soma) of the neurons were detected by adjusting the starting point threshold and all  
798 detected cells were double checked manually after auto-detection and modified if necessary to  
799 append missed neurons or remove extra starting points. Subsequently, the threshold of the  
800 seeding points was adjusted so as to trace all the neuronal processes. Care was taken to avoid  
801 the tracing of background noise. Finally, the filament tracking was performed using the filament-  
802 tracking algorithm provided in the IMARIS software. All detected filaments were double-checked  
803 manually after automatic tracking and the thresholds were readjusted manually if necessary.  
804 Additionally, the channel corresponding to PFs in the images were utilized to identify the  
805 neurons, which are selectively targeted by negatively charged PFs. We utilized the filament  
806 tracking analysis module in the IMARIS software to measure the mean fluorescence intensity of  
807 the PFs as a surrogate to the nanoparticle localization density for each targeted neuron.  
808 Subsequently, the correlation between maturation and nano-neuro interaction was analyzed  
809 utilizing following four main parameters: (i) total neurite area, calculated as total area of  
810 filaments associated with individual neuron; (ii) total neurite length, calculated as total area of  
811 filaments associated with individual neuron; (iii) number of neurite terminals, calculated as total  
812 number of terminals in a fully traced neuron after filament tracking; and (iv) fluorescence  
813 intensity of plasmonic fluors, calculated as fluorescence intensity of PFs per unit area of  
814 neurons. Care was taken to only include those cells in the analysis whose filaments are not

815 extended to the edge of the image volume. All statistical difference between two groups were  
816 analyzed using unpaired one-tailed t-test with 5% one-sided significance level.

## 817 Scanning electron microscopy

818 Negatively charged PFs dispersed in Nbactiv4 medium were added to the cultured neurons on  
819 DIV 14 ad 26 at a final concentration of O.D.  $\sim 0.5$  and let to incubate for 1 hour at 37°C and 5%  
820 CO<sub>2</sub>. Subsequently, the cells were washed once with PBS and fixed with PFA overnight at room  
821 temperature. The cells were dehydrated with ethanol and vacuum dried before being sputter  
822 coated with 10 nm of gold metal. Scanning electron micrographs (SEM) were acquired using a  
823 JEOL JSM-7001 LVF Field Emission scanning electron microscope.

824

## 825 Data Availability

826 The datasets generated during and/or analyzed during the current study are available from the  
827 corresponding author on reasonable request.

828

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843

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853

### 854 Contributions

855 S.S., B.R., P.G. and H.G.D. conceived the project. S.S., B.R., P.G., P.R. and Q.C. designed the  
856 experiments. P.G., P.R. and H.B. performed the primary neuron cell culture for all the  
857 experiments. P.G. and P.R. performed all neuron electrophysiology and fluorescence imaging  
858 experiments. R.G synthesized the positively charged plasmonic fluors. P.G. performed the TEM  
859 imaging. P.G. and H.B. prepared samples for SEM of nanoparticles labelled neuron samples.  
860 A.D. performed the SEM imaging. P.G. performed all the neuron electrophysiology data analysis  
861 and the confocal fluorescence image analysis. Q.C. provided support and input on all  
862 experiments. S.S. and B.R. directed the research. P.G. S.S. and B.R. co-wrote the paper. All  
863 authors reviewed and commented on the manuscript.

864

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867

### 868 Ethics Declaration

### 869 Competing Interests

870 The authors declare the following competing financial interests: S.S. is one of the inventors on a  
871 pending patent related to plasmonic fluor technology and the technology has been licensed by  
872 the Office of Technology Management at Washington University in St Louis to Auragent  
873 Bioscience LLC, which is developing plasmonic fluor products. S.S. is one of the co-founders  
874 and shareholders of Auragent Bioscience LLC. These potential conflicts of interest have been  
875 disclosed and are being managed by Washington University in St Louis.

## 876 **Supporting Information**

877 Supplementary figures 1 – 24.

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