



Spatiotemporal dynamics of cellulose during enzymatic hydrolysis studied by infrared spectromicroscopy

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2 studied by infrared spectromicroscopy

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17 Abstract

- 18 Cellulose, a sustainable source of fermentable glucose and nanomaterials, exists as highly-ordered,
- 19 solvent-inaccessible fibrils held by intra- and intermolecular hydrogen bonds. Cellulose hydrolysis rates
- and extents are limited by the availability and accessibility of productive cellulase binding sites at the
- water-cellulose interface. There is a need to understand how spatial heterogeneity of celluloses impact
- 22 hydrolysis kinetics. We report a real-time, in situ infrared spectromicroscopy study of enzymatic cellulose
- 23 hydrolysis in buffer with micrometer-scale spatial mapping. Algal cellulose depletion by a purified
- 24 cellobiohydrolase Cel7A was tracked as time-resolved decreases in the absorption peak intensities of the
- 25 glycosidic bond (1161 cm⁻¹), C2-O2 (1112 cm⁻¹), C3-O3 (1059 cm⁻¹), and C6-O6 rotamers (1034 cm⁻¹,
- 26 1013 cm⁻¹, and 997 cm⁻¹). Depletion kinetics varied spatially, with peak intensities decreasing to zero in
- 27 some areas and plateauing in others. Hydration impacted cellulose ordering where C6-O6 and C3-O3
- 28 peaks were sharp, narrow, and centered at higher frequencies when hydrated, but broader, less well-
- defined and centered at lower frequencies when dried. Temporal trends of the Lateral Order Index (LOI),
- 30 Total Crystallinity Index (TCI), and Hydrogen Bonding Index (HBI) indicated that hydrolysis by Cel7A
- 31 preferentially removed less extensively hydrogen bonded cellulose, without significantly affecting the
- 32 overall crystallinity of highly ordered cellulose.

1 Introduction

- 34 The circular bioeconomy—wherein renewable feedstocks are sustainably utilized to offset energy and
- 35 valuable materials conventionally produced with petroleum—is key to achieving worldwide carbon
- neutrality (1,2). Cellulose from lignocellulosic biomass is the most abundant biopolymer on the planet
- that can be a renewable, sustainable feedstock for fuels and chemical production. On the one hand,
- 38 complete depolymerization of cellulose yields soluble glucose that can be fermented into biofuels or
- 39 biochemicals. On the other hand, cellulose can be processed into nanofibrils and nanocrystals with

- superior mechanical properties for use with advanced biomaterials (3–5). Environmentally sustainable
- 41 and tunable processes to achieve saccharification and/or nanomaterial production are best achieved by
- 42 enzyme-mediated reactions (6–8). However, controlling enzyme catalyzed reaction kinetics has long been
- 43 a challenge. Cellulose hydrolysis is characterized by rapidly declining reaction rates despite the remaining
- abundance of cellulose in the reaction, which has been postulated to be limited by the accessibility of
- cellulose to cellulases (9–20). The interaction of cellulase enzymes with cellulose is an interfacial reaction
- on structurally heterogeneous fibrils (13,18,21). An understanding of spatial and temporally resolved
- 47 changes of cellulose structural properties during enzyme hydrolysis reaction is needed for controlling
- 48 bulk reaction kinetics.
- 49 Advances in in-situ, spatial and time-resolved analytical methods have provided insights into cellulase-
- 50 cellulose and cellulase-lignocellulosic biomass interactions. For example, atomic force microscopy
- 51 (AFM) has advanced knowledge in microstructural changes in cellulose and the dynamics of cellulytic
- 52 enzymes at cellulose interfaces (21–23); super-resolution single molecule imaging by total internal
- reflectance fluorescence (TIRF) microscopy revealed interaction dynamics of cellulases at cellulose
- 54 interfaces, quantifying enzyme off-rates and processivities (24,25); coherent Raman spectroscopy
- contributed to understanding of the role of plant cell wall architecture in limiting enzyme accessibility to
- cellulose (26). However, an unaddressed knowledge gap hindering full understanding of the mechanism
- of enzymatic cellulose and lignocellulose hydrolysis is the role of cellulose supramolecular structure in
- 58 limiting productive cellulase interactions.
- 59 Fourier transform infrared (FTIR) spectromicroscopy is one of the most sensitive, label-free, physical
- techniques for studying spatially resolved changes in biomolecular structure and composition (27). FTIR
- has been used to characterize cellulose crystallinity, crystalline morphology and intrafibril hydrogen
- bonding attributed to enzymatic action; however, these studies have primarily been on dried, post-treated,
- 63 bulk cellulosic samples subjected to varying sample preparation and measurement environment (e.g.
- surface tension, concentration, humidity, temperature) (14,28–32). In one example, Corgie et al. reported
- 65 evidence of decrystallization and preference for Iα or Iβ crystalline morphology by *Thermobifida fusca*
- 66 Cel5A, Cel6B and Cel9A cellulases (28); but a follow-up study of the same reactions using different
- 67 sample preparation methods by Kruer-Zerhusen et al. reported no conclusive impact of the enzyme action
- on cellulose crystallinity (29). One strategy to circumvent such artifacts is by in situ, time- and spatial-
- 69 resolved FTIR spectromicroscopy of cellulose undergoing enzymatic hydrolysis. However, the need for
- buffered reactions is a barrier to FTIR measurements because of strong mid-IR absorption by water.
- 71 Closed microfluidics have been used to conduct in situ FTIR spectromicroscopy of enzymatic treatment
- of plant biomass for bioenergy production (27,33–35). In this study, we used a novel open microfluidic
- device that enabled us to meet the need for on-demand access to the sample and the need for sustainable
- detection of FTIR spectral signals from the infrared hydride-OH region and the molecular fingerprint
- 75 region.
- 76 This work was motivated by the hypothesis that local molecular ordering of cellulose can limit the
- accessibility of these so-called 'productive binding sites' where cellulase can successfully form
- 78 complexes for hydrolysis, and that spatial variability in cellulose influences and is influenced by cellulase
- 79 hydrolysis. Here, we present the first real-time, micrometer-scale, spatially resolved investigation of
- 80 cellulose undergoing enzymatic hydrolysis by Synchrotron radiation-based FTIR (SR-FTIR)
- 81 spectromicroscopy. Using a broadband, high-brightness synchrotron infrared source instead of the
- 82 conventional thermal source enabled wavelength-dependent diffraction-limited spatial resolution with
- 83 ~200 times increase in signal-to-noise ratio. This superior resolution allows for identification of changes
- in structures within crystalline cellulose in space and in time, which is unavailable using a Globar source.

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- 85 Using well characterized, highly crystalline cellulose fibrils from the green algae Cladophora
- aegagropila, we demonstrated the impact of hydration on molecular ordering in cellulose fibrils by noting 86
- 87 changes in the vibration bands of C2-O, C3-O, and C6-O of cellulose that participate in intra- and
- 88 intermolecular hydrogen bonding. Spatial and temporal heterogeneity in changes in cellulose abundance
- 89 and molecular order during hydrolysis by a purified *Trichoderma reesei* Cel7A (Cel7A) at 37°C in buffer
- 90 were recorded and analyzed.

2 **Experimental**

92 2.1 Preparation of cellulose and cellulase

- 93 Algal cellulose fibrils were isolated from the green algae Cladophora aegagropila as described
- 94 previously (18). Briefly, marimo balls (Plants for Pets, Amazon, LLC) were pulled apart and rinsed with
- 95 tap water to remove excess sand before subjecting to alternating alkali (1 M sodium hydroxide, 4°C) and
- bleach (0.3 % sodium hypochlorite, 100 mM sodium acetate, pH 5, 70°C) treatments to isolate pure 96
- 97 cellulose. Cellulose fibrils were washed extensively then stored at 4°C in nanopure water with 0.02%
- 98 sodium azide. Algal cellulose fibrils were previously characterized to be ~ 90% crystalline, ~70%
- 99 cellulose I α , with a reducing end content of $\sim 4 \mu \text{moles/g}$ (18), and a productive Cel7A binding capacity
- of ~ 2 µmoles/g (10,13). Trichoderma reesei Cel7A (TrCel7A) was purified from Celluclast 1.5L (Sigma 100
- C2730) and stored in 5 mM sodium acetate, pH 5 as previously described (21). 101

102 Real-time synchrotron radiation (SR)-FTIR monitoring of cellulose in buffered systems 2.2

2.2.1 Controlling sample hydration environment in open microfluidics

- The microfabricated open-channel microfluidics membrane device shown in Figure 1A is a stack of three 104
- 105 structures (Figure 1B) with a silicone sample-support plate (Structure 2, Figure 1.B) fixed between two
- 106 layers of polydimethylsiloxane (PDMS) microchannel structures. A 3 mm x 3 mm silicon nitride (SiNx)
- porous membrane with a thickness of 300 um and a pore diameter of 500 nm (SiMPore Inc.) was coated 107
- 108 with 100 nm of gold via a sputtering system (AJA International) after being inserted into the opening of the
- 109 silicone plate. The pore size is small enough that the coated-membrane surface reflects IR illumination
- uniformly without any noticeable scattering (34), PDMS microchannel in the upper humidity control plate 110
- 111 (Structure 1) and in the bottom buffer reservoir plate (Structure 3) were fabricated using standard soft
- lithography technique. SU-8 3010 (Kayaku Advanced Materials) was spin-coated onto a silicon wafer. 112
- 113 Sylgard 184 silicone elastomer kit (Dow) was cast onto the master mold with a 10:1 ratio of elastomer and
- curing agent followed by curing at 80 °C for 3 h. The microchannels were 20 µm wide and its thickness 114
- was measured to be 10 µm by a profilometer (Veeco Instruments) (Figure 1.C). The bottom structures 115
- 116 (Structure 3, Figure 1.B) were for producing a passive vertical imbibition of buffer solution from the
- 117 microchannel below through the porous membrane to the sample on the membrane surface. The top layer
- (Structure 1) is a humidity-control microfluidic structure with four microchannel arrays to provide a 118
- 119 horizontal flow of moisture that blankets the sample chamber to minimize evaporation or condensation on
- 120 the porous membrane during the experiment (Figure 1.C). A vertical through-square was carved out using
- a craft cutter system (Silhouette America), followed by plasma bonding to the top microchannel. The 121
- 122 plasma treatment forms a sealed two-channel fluid system with individually accessible top and bottom
- 123 microchannel. Silicon-based PSA tape was used as an intermediate layer to create PDMS-silicone sheet
- 124 constructs.

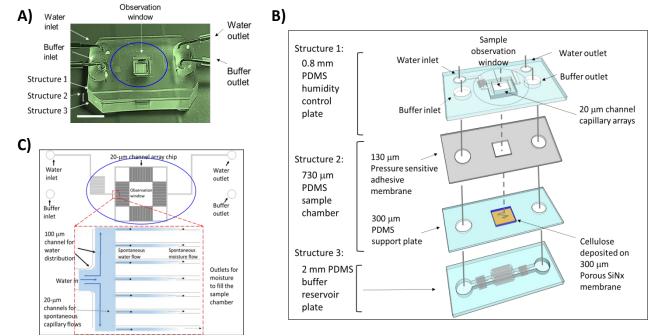


Figure 1: Method for controlling relative humidity (RH) and imbibition flow of thin liquid film in an open-channel microfluidics membrane device for real-time synchrotron FTIR imaging of cellulose ordering and composition during hydrolysis in situ. A) A photograph of the three-structure stacked PDMS microfluidic membrane device. Scale bar =5 mm. B) A schematic showing construction of the open-channel microfluidics membrane device consisting of the top humidity control microchannel plate and the middle sample support plate and the nanopore SiNx membrane sealed by a pressure sensitive polymer layer. The two stacked structure and the bottom buffer reservoir plate were sealed by plasma treatment. (C) An exploded schematic view of the micro-channel capillary arrays controlling the RH in the sample chamber. Arrows show the directions of the water and moisture flows.

2.2.2 SR-FTIR of cellulose changes during dehydration

Samples for recording SR-FTIR spectra of cellulose at varying states of hydration were prepared by depositing three 20 μ L aliquots of algal cellulose (0.025mg/mL) fibrils onto the SiNx porous membrane with a diameter of 0.5 μ m (SiMPore Inc., New York, USA) in the open-channel microfluidics membrane device (36,37). Cellulose fibrils were settled under ambient conditions for 40 minutes before raising the temperature to 37 °C. Excess liquid was removed by wicking. Time-series FTIR spectra were collected every \sim 10-11 seconds over \sim 37 minutes (200 points) as the sample dehydrated through evaporation. The recorded relative humidity by the humidity sensor (HiLetgo 2pcs Si7021 GY-21 Industrial High Precision Temperature Humidity Sensor with I2C IIC interface for Arduino Low Power CMOS IC Module, Amazon) was 24%. SR-FTIR spectral measurements were conducted as described below.

2.2.2 SR-FTIR of cellulose undergoing enzymatic hydrolysis

Experimental samples for monitoring cellulose hydrolysis by SR-FTIR were prepared by depositing and air-drying 60 μ L of algal cellulose (0.025mg/mL) fibrils onto the SiNx membrane in the open-channel microfluidics membrane device, followed by an infiltration of 20 μ L of Cel7A (0.5 μ mole/g) through the cellulose (Step1, Figure 2). The enzyme and substrate were equilibrated in air at ambient conditions (~20

- 142 °C) for ~ 40 minutes at room temperature, then mounted onto the FTIR microscope for optical alignment
- and location of regions of interest (ROI) (Step 2, Figure 2). The experiment was initiated by using a
- syringe pump (Harvard 33 DD Twin Syringe Pump Infuse/Withdraw) to infuse 1 mM sodium acetate
- buffer at 0.3 µL/min into the fluid distribution channel connected to the bottom microchannel (Step 3,
- Figure 2), and a temperature controller (Cole Parmer 12107 20 Digital Polystat) to raise the temperature
- of the FTIR microscope stage to 37°C (Step 4, Figure 2). The acetate solution wicked upwards by
- capillary forces through the SiNx pores maintained a buffered reaction at the membrane surface. At 37 °C
- the system maintained a relative humidity of ~80%. With the initiation of reaction, infrared absorption
- measurements were collected and monitored to evaluate the quality of the experiment in real-time,
- followed by detailed post-experiment analysis (Step 5, Figure 2).

152 2.2.3 SR-FTIR spectral measurements

- All SR-FTIR measurements were made at the infrared beamline at the Advanced Light Source (ALS)
- 154 (Lawrence Berkeley National Laboratory, CA, USA) using a Nicolet Magna 760 FTIR spectrometer
- equipped with a liquid nitrogen cooled Mercury Cadmium Telluride (MCT) detector, and an all-reflective
- optics infrared microscope (Nicolet Nic-Plan, Thermo Scientific, Inc., MA, USA). Mid-infrared photons
- emitted from the synchrotron, which are linearly polarized in the plane of the orbit and circularly
- polarized above and below the storage ring plane, were focused with a numerical aperture (NA = 0.65)
- objective through the cellulose fibrils onto the gold-coated membrane surface inside the open-channel
- microfluidics membrane device, and reflection SR-FTIR measurements by the transflection mode over a
- mid-infrared wavenumber range of 4000 650 cm⁻¹ were made over time. Each real-time spectrum
- represents an average of 32 scans at a spectral resolution of 4 cm⁻¹ with an absorption peak position
- accuracy of 0.01 cm⁻¹.
- To image the spatiotemporal dynamics of cellulose during enzymatic hydrolysis, we typically divided the
- entire view-field of the cellulose fibrils into equal-sized 5×5-µm squares before raster scanning, collecting
- full SR-FTIR spectra at each pixel. Time-elapsed mapping of sample areas were conducted using in-
- house software written in the Python programming language (version 3.6). The master program controlled
- the movement of the microscope stage, spectra collection of FTIR spectrometer and data storage in the
- 169 OMNIC software program (version 9.8, Thermo Fisher Scientific, Inc.) using the Dynamic Data
- Exchange (DDE) protocol. The source code of our python programs for controlling the microscope and
- 171 FTIR bench is available upon request. Raw spectral data reported in this study is available for download
- 172 (38).

173 2.2.4 SR-FTIR data processing and analysis

- Data were processed using the OMNIC 8.3 software (Thermo Fisher Scientific, Inc.). Raw spectra were
- 175 converted from reflectance to absorbance and subjected to baseline correction and noise-reduction. The
- 176 corrected spectra were transformed to second derivative spectra (7-point Savitsky-Golay smoothing
- polynomial order 3), and the transformed spectra were inverted and analyzed without normalization. The
- position of the peak of the inverted 2nd derivative spectrum was used to resolve overlapping peaks and
- the intensity was used to estimate relative abundances through the Beer-Lambert Law.

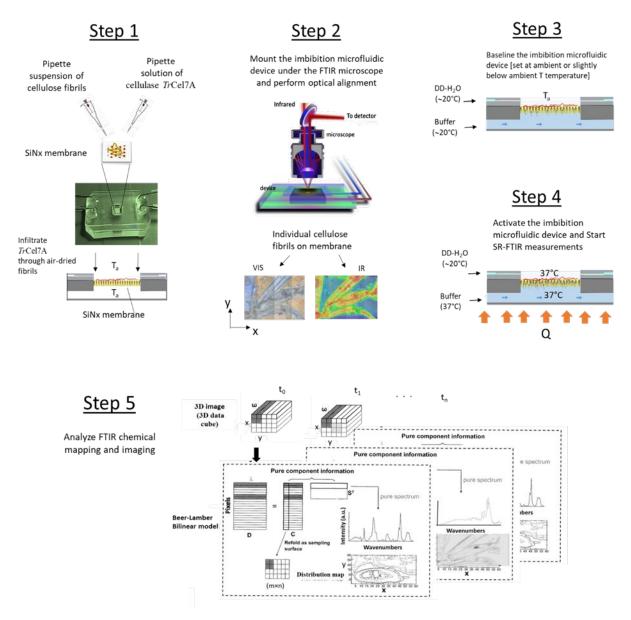


Figure 2: Experimental and data analytic procedure for continuous monitoring of cellulose hydrolysis by Cel7A by SR-FTIR in the open-channel microfluidics membrane device.

3 Results

3.1 Time-resolved SR-FTIR spectra of cellulose in varying hydration states

FTIR spectra of cellulose in the literature are primarily of dried cellulose; thus, the assignment of the peaks unique to cellulose are of dry cellulose. Since our experiments are with hydrated cellulose in buffer, we examined the impact of hydration on the signature peaks of cellulose. Time-resolved spectra of wet cellulose undergoing evaporative drying were recorded over the course of ~ 37 minutes (Figure 3). Cellulose remained fully hydrated for about the first ~6 minutes, after which a sharp drop in the absorption amplitudes of the water peaks at 1650 cm⁻¹ (H-O-H bending mode) and 2150 cm⁻¹ (H-O-H vibration mode), respectively, were observed (Figure 3B). A second sharp drop in the amplitude of the 1650 and 2150 cm⁻¹ peaks to zero at about 15 minutes further indicated full removal of moisture.

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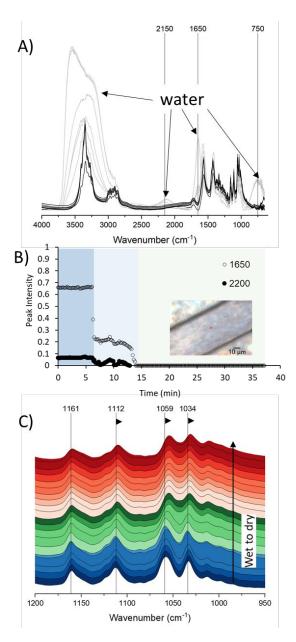


Figure 3: A) Time-resolved SR-FTIR spectra of cellulose undergoing evaporative dehydration at 25% RH at 37°C. Absorption peaks at $\sim 3000-3700~\rm cm^{-1}$, 2150 cm⁻¹, 1650 cm⁻¹ and 750 cm⁻¹ associated with water molecules are indicated. Lighter to darker grayscale gradient indicate progression from hydrated to dry spectra. B) Intensities of the 1650 and 2150 cm⁻¹ absorption bands of water over the course of the experiment. Inset shows brightfield image of the location on the cellulose fibril where the spectra were recorded. C) A closer view of the spectra between 950 – 1200 cm⁻¹ showing shifts to lower frequencies (arrows) by the vC2-O2 (1112 cm⁻¹), vC3-O3 (1059 cm⁻¹) and the primary vC6-O6 (1034 cm⁻¹) peaks due to drying. Color-coding indicate fully hydrated spectra in blue, spectra during drying in green, and spectra after drying in red. Spectra recorded every ~ 2 min are shown.

Strong absorption of mid-IR energy by water can be an impediment to successful FTIR imaging of biological reactions in situ. Strong water absorption peaks centered around 2150 cm⁻¹, 1650 cm⁻¹, and 750 cm⁻¹ wavenumbers obscure less prominent absorbance signals from other constituents in these regions.

Moreover, water molecules can form hydrogen bonds with neighboring molecules with a spectrum of different bond lengths and stretching vibrational frequencies, resulting in the OH functional groups of water forming continuous vibrational frequencies and giving an extensively broad and dominant infrared absorption in the hydrogen bonding (X-H) 3000 – 3700 cm⁻¹ region (39,40) (light gray spectra in Figure 3A). The absorption due to water, however, generally avoids the fingerprint region (~900 – 1400 cm⁻¹) where vibration peaks of the C-O bonds of cellulose dominate. Under controlled hydration levels, the presence of absorbed water at 1650 cm⁻¹ and the absorption peaks in the fingerprint region of cellulose can be clearly distinguished (Figure 3A).

3.1.1 Hydration impacts surface ordering of cellulose

A close look at C-O vibration peaks of cellulose in the fingerprint region reveal subtle but clear impact of moisture. The absorption peak centers of C2-O2 (~1112 cm⁻¹), C3-O3 (1059 cm⁻¹), and C6-O6 (primary at 1034 cm⁻¹, secondary at 1013 cm⁻¹, and minor at 997 cm⁻¹) shifted from higher to lower frequency when dried (Figure 3C, Table 1). In cellulose, the hydroxyl groups of C2, C3 and C6 participate extensively in intra- and intermolecular hydrogen bonding (41,42) (Figure 4). The shift towards lower frequency upon drying suggests weaker C-O bonds in the absence of moisture; conversely, that water imposes rigidity to these bonds. The intensities of the O-C1-O, C2-O2 and C3-O3 absorption peaks see a moderate decrease of ~20 % upon drying (Table 1, Supplementary Material 2). The synchrotron infrared light is polarized; thus, these changes suggest dehydration induced changes in the orientation of the C1-O-C4 glycosidic bonds and C2-O2 and C3-O3 bonds in the cellulose fibrils such that their orientations become less parallel to the linearly polarized synchrotron light. The absorption bands of these functional groups in cellulose molecules are known to be more intense when their orientations are in parallel with polarized light (43–48).

Table 1: Cellulose peak positions and intensities in the fingerprint region in dry or hydrated states. Peak intensities and positions tracked over time are given in Supplementary Materials 2. Peak assignments for all cellulose-associated peaks are given in Supplementary Materials 1, Table S1.1.

Cellulose peaks in the fingerprint region	Wavenumber at Peak Center (cm ⁻¹) ¹		Peak Shift when dried	Peak Intensity (A.U.) ¹		Intensity change when dried
	Dry	Hydrated		Dry	Hydrated	
v _{AS} O-C1-O	1161 ± 0.2	1161 ± 0.3	No change	0.19 ± 0.0023	0.22 ± 0.0052	- 17%
$v_{_{\rm S}}$ O-C1-O	1207 ± 0.3	1207 ± 0.3	No change	0.04 ± 0.0010	0.04 ± 0.0018	No change
νC1-O1	1152 ± 1.6	1152 ± 0.3	No change	0.11 ± 0.011	0.11 ± 0.0052	No change
vC2-O2	1111 ± 0.4	1113 ± 0.3	- 2.2 cm ⁻¹	0.21 ± 0.0027	0.25 ± 0.0045	- 16%
	1123 ± 0.3	1124 ± 0.2	- 0.4 cm ⁻¹	0.08 ± 0.0026	0.1 ± 0.0033	- 19%
vC3-O3	1057 ± 1.0	1059 ± 0.9	- 2.5 cm ⁻¹	0.31 ± 0.011	0.38 ± 0.013	- 22%
Minor vC6-O6 (<i>gt</i>)	997 ± 0.8	998 ± 0.7	- 0.7 cm ⁻¹	0.15 ± 0.0042	0.06 ± 0.0035	+ 46%

Secondary vC6-O6 (gg)	1012 ± 0.5	1013 ± 0.3	- 0.8 cm ⁻¹	0.22 ± 0.0026 $(1.5:1)^*$	0.12 ± 0.0034 $(2:1)^*$	+ 60%
Primary νC6-O6 (<i>tg</i>)	1032 ± 0.6	1034 ± 0.7	- 1.4 cm ⁻¹	0.34 ± 0.0040 $(2:1)^{**}$	0.34 ± 0.0064 $(6:1)^{**}$	No change

 $^{^{1}}$ Averaged over the 0-5 minutes and 25-35 min for dry and hydrated states as shown in Supplementary Materials SM2

^{**}Ratio of primary to minor C6-O6 peak intensities

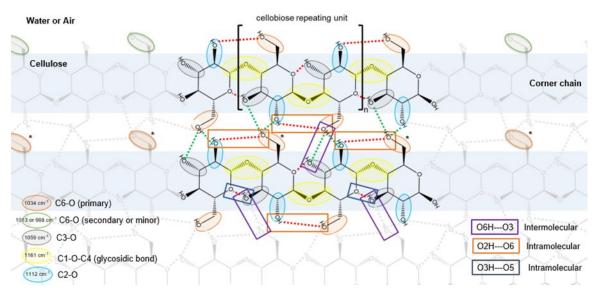


Figure 4: Illustration of top down view of the hydrophobic face of cellulose, highlighting C-O bonds and hydrogen bonds characteristic of cellulose I. Red dotted lines indicate intramolecular hydrogen bonds; Green dotted lines indicate intermolecular hydrogen bonds. The C6-O bond can occupy secondary or minor rotamers at cellulose/air interface but likely occupies the primary rotamer within the cellulose structure. C6-O6 bonds in the primary rotameric forms would likely covert to secondary or minor rotamers when exposed to the interface, e.g. if the corner chain is hydrolyzed by Cel7A (indicated by asterisks).

Interestingly, the ratios of the average peak intensities of the primary, secondary, and minor absorption bands of C6-O6 shift from ~6:2:1 in the hydrated state to ~2:1.5:1 in the dry state (Table 1, and Supplemental Material 2). Yet, to our knowledge, peak intensities of C6-O6 bonds are not known to change with the orientation of polarized light. The change in intensity ratio is driven by the increase in the intensities of the 1013 cm⁻¹ (secondary) and 997 cm⁻¹ peaks (minor) when dried, while the intensity of the 1034 cm⁻¹ peak (primary) remained unchanged. In crystalline cellulose I, *trans-gauche* (*tg*) rotamers of C6-O6 dominate within the crystalline structure, facilitating both the C6-O6···HO2 intramolecular hydrogen bond across the glycosidic bond, and the C6-O6H···O3 intermolecular hydrogen bond with neighboring cellulose (41,42,49) (Figure 4). Thus, negligible impact of dehydration on the intensity suggests that the primary C6-O6 bonds are not solvent accessible and likely correspond to *tg* rotamers. Intensity increases in the secondary and minor C6-O6 peaks due to dehydration suggest that these are

^{*}Ratio of secondary to minor C6-O6 peak intensities

- solvent accessible C6-O6 bonds, likely *gauche-gauche* (*gg*) and *gauche-trans* (*gt*) rotamers, respectively (50,51).
- 241 The absorption peaks associated with the anomeric carbon, both as part of the glycosidic bond (1161 and
- 242 1207 cm⁻¹) and as free reducing end (1152 cm⁻¹) were minimally impacted by moisture (Figure 3C, Table
- 243 1, and Supplemental Material 2). The absorption peak centers did not shift from a wet to dry state, and
- only a slight decrease in intensity (17%) was observed at 1161 cm⁻¹ upon drying. It is no surprise that the
- stiffness of the glycosidic bonds in cellulose I, braced by intramolecular hydrogen bonds (C3O3H···O5
- and C2O2...HO6) on either side, is not influenced by water. The C1-O1 bond of the free reducing-end,
- 247 already absorbing at a lower frequency (i.e. less stiff than the glycosidic bond), was also not influenced by
- 248 water.

261

- 249 In general, the impact of moisture appears to be stiffening of the C-O bonds of cellulose involved in intra-
- and intermolecular hydrogen bonds. At the cellulose/air interface, C2-O6H, C3-O3H and C6-O6H are less
- likely to engage in hydrogen bonds (intrafibril or with water) than at the cellulose/water interface.
- Cellulose has been shown to exhibit lower crystallinity indices (CI) in a dry state than in a hydrated state
- with similar explanations on the role of water in imposing surface ordering (Park et al., 2009). The impact
- of moisture on C-O vibration peaks of cellulose are summarized in Table 1. The full set of peak
- assignments for the hydrated IR spectra of cellulose in the $900 3500 \text{ cm}^{-1}$, and further discussion on the
- impacts of hydration/dehydration are provided in Supplementary Material 1 and 2.

3.2 Time- and spatial-resolved SR-FTIR spectra of cellulose undergoing enzyme hydrolysis

- 258 IR spectral evolution of algal cellulose undergoing hydrolysis by a purified Cel7A in pH 5 buffer at 37°C
- 259 was recorded in an 8 x 8 grid every ~9.5 minutes over 15-hours. The imaged region consisted of a thick
- deposition of cellulose in the bottom half and a sparser deposition of fibrils in the top half (Figure 5).

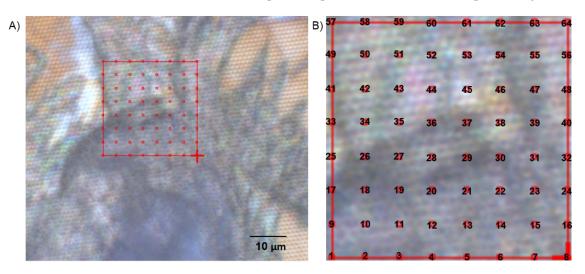


Figure 5: A) Brightfield image of the algal cellulose region examined in this study. IR spectra were recorded at 64 locations every ~ 10 min in an 8x8 grid as shown. B) The imaged area is enlarged, showing the numbering of the locations where spectra were recorded.

The characteristic cellulose peaks in the fingerprint region, particularly centered around 1161 cm⁻¹

- 262 (glycosidic bond), 1112 cm⁻¹ (C2-O2), 1059 cm⁻¹ (C3-O3), and 1034cm⁻¹ (C6-O6) are clearly
- 263 distinguishable in the time-resolved spectra throughout the reaction (Figure 6B). During the 15-hour
- reaction, $\sim 3 \,\mu m$ water film was maintained for the first ~ 5 hours, after which the water film thickness

265	increased to $\sim 12~\mu m$ (Supplementary Information 3). The time courses of the intensities of cellulose-
266	associated peaks in the fingerprint region (998, 1013, 1034, 1059, 1112, 1124, 1150, 1161, and 1205 cm
267	1) confirmed negligible interference of water levels on peak intensities in the mapped region (Figure 6B
268	and Supplemental Information 4). Thus, the full time course data could be used to assess changes to
269	cellulose due to enzyme action. Unfortunately, increased water film thickness at > 5 h obscured peaks in
270	the 2700 – 3900 cm ⁻¹ range hydride-OH region (Figure 6C and Supplemental Information 4).
271	Characteristic amide I and amide II peaks of the enzyme (~1650 cm ⁻¹ and 1550 cm ⁻¹ , respectively) were
272	clearly visible at low water levels, but replaced by a strong adsorbed water peak at ~1650 cm ⁻¹ in the
273	presence of excess water (Figure 6B). A large fraction of enzymes on the surface was most likely washed
274	away; moreover, the dominance of the adsorbed water signal at 1650 cm ⁻¹ obscured signal from any
275	enzymes that remained bound to cellulose.

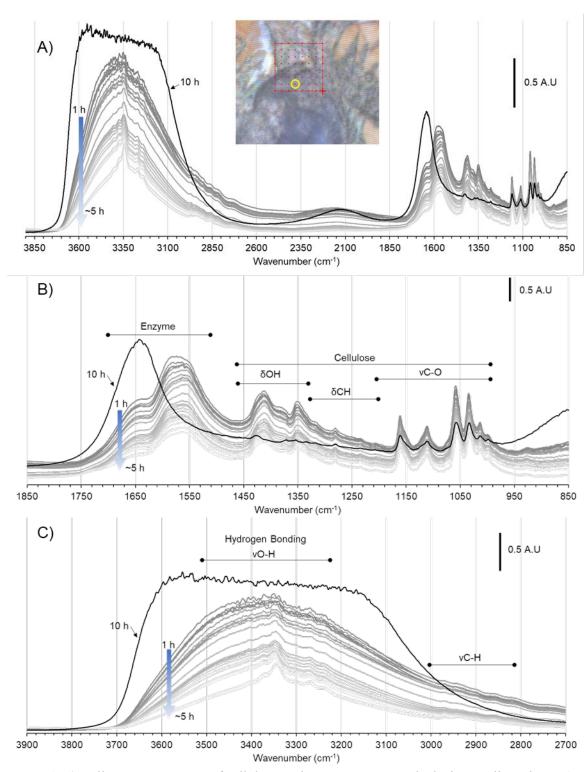


Figure 6: A) Full range IR spectra of cellulose undergoing enzymatic hydrolysis collected over 0-5 h and at 10 h from the circled location in the inset brightfield sample image. Expansions of the B) 850-1850 cm⁻¹ range showing characteristic peaks of cellulose and adsorbed enzymes, and the C) 2700-3900 cm⁻¹ range showing C-H vibration and the hydrogen bonding regions of cellulose. The full set of peak assignments for the hydrated IR spectra of cellulose in the 900-3500 cm⁻¹ are provided in Table S.1 in Supporting Information.

3.2.1 Cellulose depletion by enzymatic hydrolysis

The distribution of cellulose in the imaged region can be visualized as a heat map of the amplitude of the –O-C1-O1- glycosidic bond peak of cellulose at ~1161 cm⁻¹ (0 h in Figure 7A). The 0-h heat map, overlaid onto the brightfield image of the observed region, show high intensities (red) mapped to areas with the thicker deposition of cellulose and decreased intensities radiated outwards where cellulose was more thinly distributed (Figure 7B). Time-resolved spatial maps of the intensity of the glycosidic bond peak (~1161 cm⁻¹) show overall depletion of cellulose due to hydrolysis, with heterogeneous rates of depletion in the imaged region (Figure 7A). Over time, there was an overall decrease in the ~1161 cm⁻¹ peak amplitude, with an apparent shrinking of the cellulose 'particle'. The amplitude of the ~1161 cm⁻¹ peak decreased faster near the particle edges (Figure 7C), in accordance with previous observations of a surface erosion mechanism (20,53). Within 4 hours, signal from the ~1161 cm⁻¹ peak was confined in the bottom left quadrant of the imaged region. The peak intensities of cellulose vC-O peaks in the fingerprint region (1034, 1059, and 1112 cm⁻¹) generally tracked that of the 1161 cm⁻¹ glycosidic bond peak (Figure 7B).

Based on the temporal trends of the cellulose peak intensities, the imaged area can be grouped into four regions (i, ii, iii, and iv in Figure 7C). The cellulose peak intensities in region i do not decrease to zero suggesting incomplete cellulose hydrolysis during the 15 hours; furthermore, some points within the region exhibit increased peak intensities in the first 0-5 hours. Cellulose peak intensities in region ii decreased throughout the 15 hours. Cellulose peaks in region iii similarly decreased over the course of hydrolysis, with peak intensities decreasing to below detection (reaching zero intensity) within the 15 hours, indicating complete hydrolysis of cellulose in this region. The rates of intensity decrease in regions ii and iii reflected trends reminiscent of typical cellulose hydrolysis kinetics with an initial rapid decrease followed by significantly slower rates of depletion. Region iv, where cellulose was sparser, had low intensities, noisier data, and show a baseline shift with increased water into the sample at ~ 5 hours. Thus, region iv will not be discussed further.

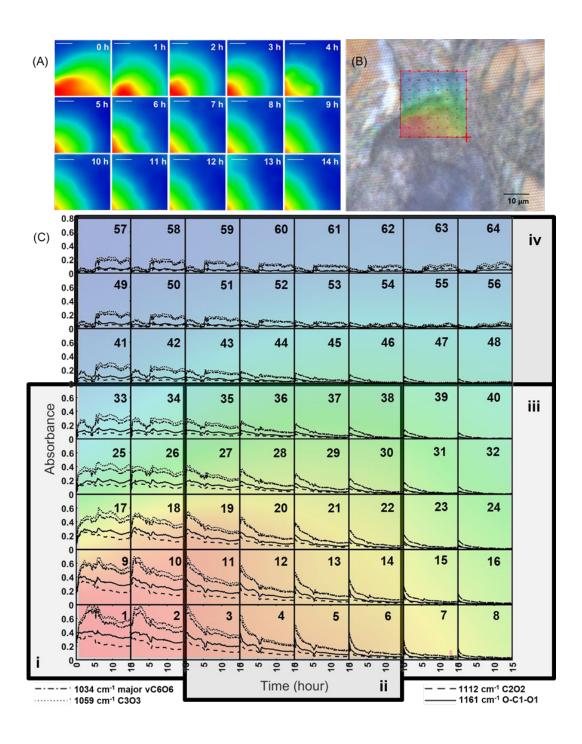


Figure 7: A) Heat map of the evolution of the peak intensity of the glycosidic bond peak at \sim 1161 cm⁻¹ over 15 hours in the imaged region in Figure 5B. Highest peak amplitudes are mapped as red, with decreasing amplitudes from orange to yellow to green to blue. B) The 0-h heat map from (A) overlaid on the imaged region. C) Time course of the amplitudes of the cellulose vibration bands of C6-O6 at 1034 cm⁻¹, C3-O3 at \sim 1059 cm⁻¹, C2-O2 at \sim 1112 cm⁻¹ and O-C1-O glycosidic bond at \sim 1161 cm⁻¹. Plots are arranged in 8x8 grid corresponding to locations in Figure 5B where spectra were recorded. The x-axes are time in hours (0 to 15 hours); y-axes are absorbances/peak intensities (0 – 0.8). The 0-h heat map from (A) is overlaid to show relative intensities of the 1161 cm⁻¹ peak at the start of the time course.

3.2.2 Hydration impact on molecular ordering in region i

The intensities of C3-O3 (1059 cm⁻¹) and primary C6-O6 (1034 cm⁻¹) vibration peaks in region i exhibited unusual increasing trends within the first 1-5 hours not due to changes in the amount of cellulose at these locations (Figure 7C). A closer examination of the spectra reveals broad C3-O3 and C6-O6 peaks composed of multiple populations at point 1, 9 and 17 within the first hour (Figure 8). With increasing time, the C3-O3 and C6-O6 peaks narrowed, sharpened and increased in intensity with improved separation of the two peaks. These trends indicate initial disorder in cellulose where the C3-O3 and C6-O6 bonds occupy several conformations that become more ordered with time. In crystalline cellulose I, C3-O3H and C6-O6H engage in both intra- and intermolecular hydrogen bonding as both hydrogen acceptor and donor (41,42) (Figure 4), and their fixed locations would manifest as narrow, sharp peaks in the IR spectra as was seen in the hydrated cellulose spectra (Figure 3C). Disordering of the cellulose molecules that disrupt or distort hydrogen bonds manifest as multiple populations of C3-O3H and C6-O6H, thus broadening and shifting of the C3-O3 and C6-O6 peaks (54,55) as seen in the dry cellulose spectra (Figure 3C). The experiment was initiated by the addition of buffer to an air-dried sample. Thus, one explanation for this observed increase in molecular ordering of cellulose in region i is slower initial hydration of the notably thicker deposition of cellulose.

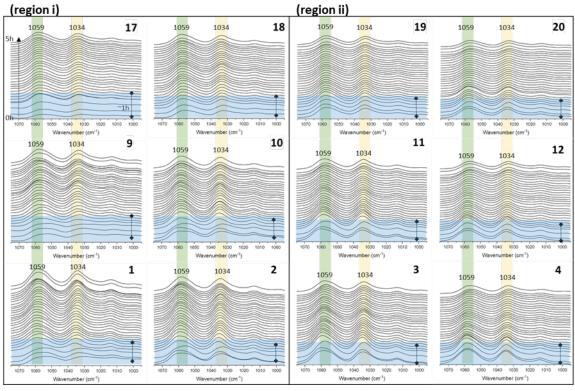


Figure 8: FTIR spectra recorded every \sim 9.5 min over the course of 5 hours in the range of 950 – 1075 cm⁻¹ corresponding to locations within regions i and ii. Plot numbers (1-4, 9-12, and 17-20) correspond to locations shown in Figure 5B and 7C. The spectra are arranged in increasing time order from bottom to top as indicated in plot 17. The bar at the bottom right of each plot and blue shading highlight spectra recorded within the first 1 hour.

In contrast, C3-O3 (1059 cm⁻¹) and primary C6-O6 (1034 cm⁻¹) peaks in region ii followed expected trends of decreasing intensities throughout the hydrolysis reaction (Figure 7C). Time resolved spectra in region ii indeed revealed narrow and sharp C3-O3 and primary C6-O6 peaks from the first spectrum at

- 323 Oh, indicating fully hydrated cellulose in this region from the start of the reaction (Figure 8). The C3-O3
- and primary C6-O6 peaks decreased in intensity over time with minimal changes to the peak shapes. The
- 325 time courses of the cellulose peaks in region ii thus show that Cel7A action depletes cellulose without
- 326 appreciably affecting molecular order.
- 327 3.2.2.1 Assessing spatiotemporal changes in molecular order by comparing marker peaks in the 328 cellulose spectra - Lateral Order Index (LOI), Total Crystallinity Index (TCI) and Hydrogen 329 Bonding Index (HBI)
- 330 Metrics that have been used to assess changes in cellulose molecular ordering from IR spectra include the
- lateral order index (LOI), total crystallinity index (TCI), and hydrogen bonding index (HBI). The LOI
- 332 compares the peak intensity at ~1429 cm⁻¹ attributed to δOH of C6-O6H in crystalline regions to the peak
- intensity at ~896 cm⁻¹ associated with amorphous cellulose (56). The TCI compares the peak intensity at
- 1372 cm⁻¹ associated with δ OH with that of the 2860 cm⁻¹ peak due to vCH (57), and the hydrogen
- bonding index (HBI) compares the ratio of intensities of the peaks at 3345 cm⁻¹ vOH due to intra and
- intermolecular hydrogen bonding (58) and 1337 cm⁻¹ due to δOH of O2H and O3H (59). We assessed
- LOI, TCI and HBI during the first ~5 hours of the reaction where peaks in the hydride-OH region were
- 338 clearly distinguishable (Figure 6).
- The algal cellulose used as a substrate in this study was a highly crystalline cellulose with $CI \sim 90\%$ (18).
- Confirming the high degree of crystallinity of algal cellulose, almost no absorbance peak was detected
- around 896 cm⁻¹ such that the LOI values were large (>>1) (Supplemental Information 5). Further, the
- 342 continued absence of signal at 896 cm⁻¹ indicated no increase in amorphous content of the cellulose
- 343 throughout hydrolysis.
- The TCI (1372 cm⁻¹/2860 cm⁻¹) hovered around a ratio of \sim 1.5, with some areas fluctuating between \sim 1
- and 2 (Figure 9B). Generally tracking the C-O vibration peaks, the intensities of the peaks at 1372 cm⁻¹
- and 2860 cm⁻¹ both decreased over time at similar rates (Figure 9A). At some locations, e.g. at the bottom
- 347 2/3 of region iii where cellulose depletes at longer times, TCI appear to decrease from ~ 2 to ~ 0.5 -1 over
- time. Overall, however, the analysis of the TCI indicates minimal impact on total crystallinity by enzyme
- action, consistent with the expectation that Cel7A, a reducing-end specific cellobiohydrolase acting on
- accessible productive binding sites at the fibril surfaces, should not significantly alter bulk molecular
- ordering of cellulose.
- 352 The HBI (3345 cm⁻¹/1337 cm⁻¹) ranged from $\sim 2-20$, with an average of ~ 5 (Figure 9D). Both the 3345
- 353 cm⁻¹ and the 1337 cm⁻¹ peaks decreased over time (Figure 9C), but depending on the location within
- regions i and ii, the ratio of the two peaks (HBI) either remained constant or increased with time.
- Increases in HBI suggests a more rapid loss of cellulose than of hydrogen bonding; i.e. preferential
- removal of cellulose that is not participating in intermolecular hydrogen bonds. Accessible productive
- 357 Cel7A binding sites are likely cellulose reducing ends located at microfibril corners and edges that are
- less extensively hydrogen-bonded within the fibril structures (60). Though the trends are subtle, the HBI
- suggest that productive Cel7A binding sites are associated with less extensively hydrogen bonded
- 360 cellulose.
- Taken together, the three indices, LOI, TCI and HBI, indicated that the algal cellulose is highly ordered,
- and hydrolysis by Cel7A minimally impacts the overall crystallinity of the cellulose, but preferentially
- removes less extensively hydrogen bonded cellulose. These trends support previous observations of algal
- cellulose as a highly crystalline cellulose that erode from the surface due to Cel7A hydrolysis (18), and
- deplete in accessible productive binding sites during hydrolysis by Cel7A (13).

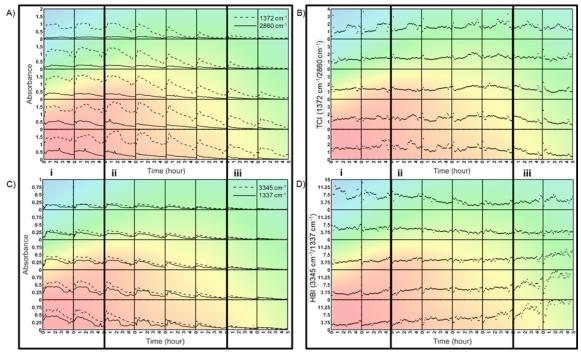


Figure 9: A) Peak intensities at 1372 cm⁻¹ and 2860 cm⁻¹, and the B) total crystallinity index (TCI) 1372 cm⁻¹/2860 cm⁻¹ over the first 5 hours of enzymatic hydrolysis by Cel7A in regions i, ii, and iii. C) Peak intensities at 3345 cm⁻¹ and 1337 cm⁻¹, and the D) hydrogen bonding index (HBI) 3345 cm⁻¹/1337cm⁻¹ over the first 5 hours of enzymatic hydrolysis by Cel7A in regions i, ii, and iii. The 0-h heat map from (Figure 7A) is overlaid.

3.2.2.2 C6-O6 rotamer ratio as an indicator of molecular order

In highly ordered cellulose I, C6-O6 bonds exist predominantly as tg rotamers that hydrogen bond with -O2H2 of the adjacent glucose residue as a hydrogen acceptor (Figure 4). The gg rotamer preferentially hydrogen bonds with water, while the gt rotamer is favored in air or within non-native cellulose morphologies such as cellulose II and III. In highly ordered cellulose I fibrils, gg or gt rotamers likely dominate at the aqueous or air interface, and tg rotamers would dominate within the fibril (illustrated in Figure 4). The ratio of the C6-O6 rotamers at any location over the course of the enzymatic hydrolysis reaction can provide insights into the impact of Cel7A on molecular ordering of cellulose.

The peaks at 1034 cm⁻¹ (primary)· 1013 cm⁻¹ (secondary), and 998 cm⁻¹ (minor) are assigned to *tg*, *gg*, and *gt* rotamers, respectively, based on the analysis of hydrated and dehydrated cellulose above (Section 3.1.1). The intensities of the secondary 1013 cm⁻¹, and minor 998 cm⁻¹ peaks generally tracked that of the primary 1034 cm⁻¹ peak during enzymatic hydrolysis (Figure 10A). However, the ratio of the primary:secondary:minor peak intensities (herein referred to as 'C6 ratios') revealed interesting patterns (Figure 10B). For example, there is a noticeable and abrupt change in the temporal trends in the C6 ratios at around 5 hours, coinciding with increased water into the reaction. As discussed above, molecular ordering of cellulose in region i increased due to hydration during the first 5 hours; here, manifesting as increasing C6-ratios in Figure 10B. In region ii, the C6 ratios appeared to decrease during the first 5 hours (Figure 10B). During this time, of the three C6-O6 peaks, the primary peak at 1034 cm⁻¹ exhibited the steepest decrease in intensity, suggesting that an accelerated loss of the *tg* rotamer drove the decreasing C6-O6 ratio (Figure 10A). A more rapid loss of the *tg* rotamer of cellulose is consistent with removing

cellulose from the corners of the fibrils. For example, as Cel7A processively hydrolyzes a corner chain of cellulose, it removes both interior C6-O6 groups (likely tg) and C6-O6 groups at the aqueous interface (more likely gg). This alone would preserve the original C6 ratio; but removing a corner chain exposes C6-O6 of a previously interior chain, converting some tg rotamers to gg, thereby decreasing the C6 ratio (asterisks in Figure 4).

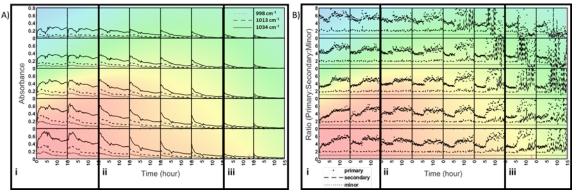


Figure 10: A) Peak intensities of the minor (998 cm⁻¹), secondary (1013 cm⁻¹), and primary (2860 cm⁻¹) peaks over 15 hours. B) Ratio of peak intensities of the primary:secondary:minor C6-O6 absorption bands.

Within the first 5 hours, C6 ratios decreased to \sim 3:2:1. Just after 5 hours, coinciding with the introduction of excess water into the reaction (Supplemental Material 3), the C6 ratios increased abruptly to \sim 5:2:1 \sim 6:2:1 (Figure 10B). A possible explanation is the removal of surface enzymes (Figure 6B). It is possible that the presence of enzymes causes surface disorder of cellulose, and replacing the enzymes with water restores ordering. Where cellulose is fully depleted due to hydrolysis (e.g. region iii), the excessive scatter in the C6 ratios render them meaningless.

4 Discussion

Spatial heterogeneity in the hydrolysis of cellulose by cellulases is posited to contribute strongly to the overall recalcitrance of cellulose (13,61,62), but is challenging to characterize. IR spectromicroscopy enables highly resolved spatial mapping of material composition by bond vibrational modes. In this work, IR spectromicroscopy enabled spatial mapping of changes to cellulose abundance and molecular ordering during enzyme action. The use of a microfluidics system to control temperature, moisture and humidity levels facilitated data collection over 15 hours in an aqueous buffered reaction.

Moisture has been a major impediment to IR spectromicroscopy because of strong infrared absorption by water. While water is a critical component of most biological reactions including cellulose hydrolysis, water has also been implicated in imparting changes to molecular ordering of cellulose crystalline fibrils (63,64). The extent of intrafibril hydrogen bonding within cellulose fibrils contribute to the crystalline morphology and crystallinity of cellulose. In this study, spatially resolved changes in localized molecular ordering of cellulose was assessed from examining the key peaks of cellulose in the IR fingerprint region. Glycosidic bonds along the backbone of cellulose are braced on either side by the O5-HO3 and O2H-O6 intramolecular hydrogen bonding (Figure 4). The bracing imparts rigidity to the cellulose molecule (65) such that cello-oligosaccharides decrease in solubility with increasing degrees of polymerization (DP), and chains with $DP \ge 6$ are insoluble in water (66). Moreover, the O2H-O6 hydrogen bond, occurring on alternating sides of the glycosidic bonds along the molecule, is suggested to strain the molecule and cause the right-handed twist observed in cellulose fibrils (67). Previous studies have reported physical manifestation of the release of stress within the fibrils during enzymatic hydrolysis as spontaneous

- 418 untwisting and kinking of fibrils (25,68,69). Water-cellulose and cellulose-cellulose hydrogen bonding at 419 the surface of cellulose not only impact ordering of surface chains, but disorder could propagate into the 420 cellulose fibril structure (60.63). Here, we found that cellulose peaks associated with intra- and 421 intermolecular hydrogen bonds in the IR fingerprint region decreased in frequency when dried, implying 422 that these C-O bonds (C2-O2, C3-O3, and C6-O6) are less stiff at the air-cellulose interface than the 423 water-cellulose interface. Furthermore, the ratios of the peak intensities of the three rotameric forms of 424 C6-O6 tg:gg:gt shifted from ~ 6:2:1 in ordered cellulose and ~2:1:1 in disordered cellulose. Frequency shifts in the C-O bonds that participate in intra- and intermolecular hydrogen bonding between the wet 425 426 and dried state are likely due to differences in hydrogen bonding patterns at the water-cellulose and air-427 cellulose interface. Thus, frequency shifts of the C2-O2, C3-O3, and C6-O6 peaks, and intensity ratios of

428 the C6-O6 rotamers are useful indicators assessing changes to surface ordering of cellulose.

- 429 The precise role of 'crystallinity' in the resistance of cellulose to hydrolysis has been difficult to 430 elucidate. Most often, the crystallinity index (CI), a bulk measure of the fraction of ordered cellulose in a 431 given sample, is used to query the relationship between crystallinity and cellulose digestibility. However, 432 cellulose CI, including those estimated from peak ratios in the FTIR spectra of cellulose, remains an 433 ambiguous measure of cellulose digestibility (18,28,29). This is not to say that cellulose crystallinity, or 434 molecular ordering of cellulose molecules, particularly at the water-fibril interface is irrelevant to enzymatic hydrolysis. Cellulase hydrolysis of cellulose is an interfacial reaction where cellulases must 435 decrystallize and complex to isolated cellulose molecules at the surface of the fibrils. Or, cellulases must
- 436 437 locate a decrystallized cellulose molecule at the surface of the fibrils to complex with. Thus, a reasonable
- hypothesis is that localized surface disorder in molecular arrangement offers more productive binding 438
- 439 sites (70). The algal cellulose used in this study was a highly crystalline and recalcitrant cellulose. In our
- 440 previous studies, we showed that Cel7A is insensitive to the number of reducing ends (or degree of
- 441 polymerization) and total crystallinity of algal cellulose (18); however, initial cellulose hydrolysis rates by
- Cel7A correlates with initial binding capacity of cellulose (10), and Cel7A rapidly depletes available 442
- 443 productive binding sites on the cellulose, leaving a substrate that is essentially impenetrable to the
- 444 cellobiohydrolase (13). Indeed, spatial mapping of FTIR spectra in this study showed that the algal
- 445 cellulose was not uniformly resistant to Cel7A hydrolysis. Some regions appeared to be completely
- 446 hydrolyzed by Cel7A while others did not appear to deplete significantly.
- 447 An unanswered question from this study is whether cellulase action creates localized disorder at the
- 448 cellulose surface? Or, does localized disorder at cellulose surfaces invite active cellulase complexation? A
- 449 more detailed study with higher spatial resolution is necessary to adequately query these questions, to
- 450 help inform future efforts in enzyme engineering to improve enzyme's ability to decrystallize and
- complex to cellulose, and biomass pretreatment strategies targeting desired cellulose surface properties. 451

5 **Conclusions**

- 453 In-situ, spatial mapping of the infrared spectra of cellulose during enzymatic hydrolysis in buffer was
- 454 successfully achieved using an open-channel humidity-controlled microfluidics device. The spatial
- 455 heterogeneity in hydrolysis rates and changes in molecular ordering of cellulose over the course of
- hydrolysis by Cel7A was documented. To our knowledge, this is the first documented in situ study of 456
- 457 spatiotemporal changes in cellulose during enzymatic hydrolysis in buffer by IR spectral mapping. A
- 458 major advantage of in situ measurements is tracking of both peak amplitudes and peak centers, to assess
- 459 depletion rates and changes in molecular ordering of cellulose.
- 460 Here, we established a label-free, spatial and time-resolved infrared spectroscopic method towards filling
- 461 the knowledge-gap of how cellulose supramolecular ordering contributes to hydrolysis mechanism and

- ultimately hydrolysis kinetics. The insights gained with work on isolated cellulose paves the way for
- future analyses of cellulose within plant cell wall matrices (i.e. lignocellulosic biomass), towards fulfilling
- the vision for low-cost bioconversion of lignocellulosic materials to sustainable fuels, chemicals and
- 465 materials.

6 Author Contributions

- 467 Tina Jeoh: Conceptualization, validation, investigation, resources, writing review & editing,
- visualization, supervision, project administration, funding acquisition
- Jennifer Danger Nill: Conceptualization, validation, investigation, methodology, formal analysis,
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485 8 Supplemental Materials

- 486 SM1 Cellulose spectra and peak assignments
- 487 SM2 Cellulose dehydration
- 488 SM3 Water levels during enzymatic hydrolysis
- 489 SM4 IR peak intensities over time
- 490 SM5 LOI and HBI
- 491 Raw spectral data are published and available via the Dryad database (doi:10.25338/B8NP9P)

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