



Theoretical assessments of Pd-PdO phase transformation and its impacts on H2O2 synthesis and decomposition pathways

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Abstract

The direct synthesis of H_2O_2 from O_2 and H_2 provides a green pathway to produce H_2O_2 , a popular industrial oxidant. Here, we theoretically investigate the effects of Pd oxidation states, coordination environments, and particle sizes on primary H₂O₂ selectivities, assessed by calculating the ratio of rate constants for the formation of H₂O₂ (via OOH* reduction; k_{O-H}) and the decomposition of OOH* (via O-O cleavage; k_{0-0}). For Pd metals, the k_{0-H}/k_{0-0} ratio decreased from 10⁻⁴ for Pd(111) to 10⁻¹⁰ for Pd₁₃ cluster at 300 K, indicating poorer H₂O₂ selectivity as Pd particle size decreases and low primary selectivities for H₂O₂ overall. As the oxygen chemical potential increases and metals form surface and bulk oxides, the perturbation of Pd-Pd ensemble sites by lattice O atoms results in selectivities that become dramatically higher than unity. For instance, at 300 K, the k_{O-H}/k_{OO} ratio increases significantly from 10⁻⁴ to 10⁹ to 10¹⁶ as Pd(111) oxidizes to $Pd_5O_4/Pd(111)$ and to PdO(100), respectively. In contrast, such selectivity enhancements are not observed for surface and bulk oxides that persistently contain rows of more metallic, undercoordinated Pd-Pd ensemble sites, such as PdO(101)/Pd(100) and PdO(101). These Pd-Pd ensembles are also absent when smaller Pd nanoparticles fully oxidize, indicating that smaller PdO clusters can be more selective for H₂O₂ synthesis. These trends for primary H₂O₂ selectivities were found to inversely correlate with trends for H₂O₂ decomposition rates via O-O bond cleavage, demonstrating that catalysts with high primary H₂O₂ selectivity can also hinder H₂O₂ decomposition. Ab-initio thermodynamics is used to estimate the thermodynamically favored phase among Pd, PdO/Pd and PdO in O2, H2O2/H2O, and O2/H2 environments. These results are combined to show that smaller Pd nanoparticles are more prone to be oxidized at lower oxygen chemical potentials, upon which they become more selective than larger Pd particles for H₂O₂ synthesis.

71 **1. Introduction**

72 Hydrogen peroxide (H_2O_2) is widely used in many industries, including textile processing, paper 73 manufacturing, and wastewater treatments.^{1,2} It is a "green" oxidant as it forms only H₂O as a by-product, 74 making it an appealing choice for numerous industrial oxidation processes. However, the current industrial anthraquinone process for H_2O_2 production is cost-inefficient, environmentally unfriendly, and 75 economically viable only at a large scale.^{3,4} More recently, the direct synthesis of H₂O₂ from H₂ and O₂ (H₂ 76 + $O_2 \rightarrow H_2O_2$; ΔH_{rxn}^0 = -188 kJ mol⁻¹)⁵ has emerged as an alternative method to produce H_2O_2 at a smaller 77 scale. The lower operating costs allow for geographically distributed H₂O₂ manufacturing, which could 78 potentially mitigate safety risks in transporting concentrated H₂O₂.^{3,4} Such a process can also be directly 79 coupled with oxidative alkane conversions (i.e., methane oxidation to methanol) by forming H₂O₂ in-situ 80 from H₂ and O₂ without extensive use of organic molecules.⁶⁻¹⁰ 81

Palladium (Pd) is one of the most active metals for direct H₂O₂ synthesis but its commercial 82 utilization is currently limited due to low H_2O_2 yields.^{2,11–13} The major side reactions limiting H_2O_2 yields 83 include H₂O formation either from reactants (H₂ + O₂ \rightarrow H₂O₊ $\frac{1}{2}$ O₂; Δ H_{rxn}⁰= -286 kJ mol⁻¹)⁵ or from H₂O₂ 84 decomposition (H₂O₂ \rightarrow H₂O + $\frac{1}{2}O_2$; Δ H_{rxn}⁰= -98 kJ mol⁻¹).⁵ The primary H₂O₂ selectivity depends on the 85 ability of catalysts to selectively reduce OOH* to H₂O₂* (where * represents adsorbed species), without 86 cleaving the O-O bond in OOH* (step 4 vs. step 6 in Scheme 1).^{2,12,14,15} The cleavage of the O-O bond in 87 OOH* leads to the formation of O* and OH*, which ultimately leads to the formation of O₂ and H₂O via 88 89 steps 7-11 in Scheme $1^{2,12}$ The H₂O₂ product formed can also decompose by cleaving its O-O bond (step 90 12; Scheme 1) and forming two hydroxyls (OH*) and ultimately O₂ and H₂O products (via step 11; Scheme 91 1). The low H₂O₂ selectivity and yield during the direct synthesis process on metallic Pd catalysts have been mainly attributed to the presence of Pd-Pd ensemble sites that tend to cleave O-O bonds in OOH* 92 intermediates and H₂O₂ products.¹⁶⁻¹⁹ Correspondingly, previous density functional theory (DFT) 93 calculations showed that the O-O cleavage in bound OOH* and $H_2O_2^*$ (steps 6 and 12; Scheme 1) is very 94 exothermic on metallic Pd surfaces. The reported reaction energies of steps 6 and 12 are -144 and -148 kJ 95 mol⁻¹ on Pd(111) and -176 and -220 kJ mol⁻¹ and Pd(100), respectively, with very small activation barriers 96 $(< 20 \text{ kJ mol}^{-1})$ in all cases.¹² 97

98



99 Scheme 1. Proposed elementary steps involved in direct H_2O_2 synthesis from H_2 and O_2 and H_2O_2 100 decomposition to form H_2O and $\frac{1}{2}O_2$.^{12,14,20}

Previous literature has suggested that the oxidation states of Pd catalysts can significantly affect 102 their H₂O₂ selectivities and yields.^{15,21} This fact creates a critical knowledge gap in the mechanistic 103 understanding of Pd-catalyzed direct H₂O₂ synthesis, given that the active phase of Pd nanoparticles during 104 H_2O_2 synthesis and decomposition have remained controversial.^{2,22,23} For instance, Kanungo *et al.* only 105 106 detected metallic Pd during *in-situ* X-Ray absorption spectroscopy (XAS) measured at a range of H₂ and O₂ pressures (0-2 bar H₂, 0-2 bar O₂; 298 K; 20 vol% CH₃OH in H₂O with 0.05 M H₂SO₄).²² In contrast, 107 108 in-situ XAS studies by Adams et al. detected β-PdH_x upon exposure of Pd nanoparticles to H₂-rich condition (7 bar H₂, 0.6 bar O₂, 298K; in H₂O), which turned to surface oxides when subsequently exposed 109 to O_2 -rich condition (0.6 bar H_2 , 10 bar O_2 , 298K; in H_2O).²³ 110

Furthermore, the relative activities and selectivities of Pd, PdO, and PdH have been also debated 111 in literature.^{14,15,20,21,24} Wang et al. suggested PdO to be more active and selective for H₂O₂ synthesis than 112 metallic Pd, with the support of DFT-derived O-O cleavage activation barriers for OOH* that were larger 113 114 on PdO(101) than on Pd(111) (128 vs. 3 kJ mol⁻¹ for step 6 in Scheme 1); the barriers for reducing OOH* (to form H₂O₂*) were slightly smaller for PdO(101) than for Pd(111) (44 vs. 56 kJ mol⁻¹ for step 6 in 115 Scheme 1).¹⁵ Consistently, the pre-reduction of PdO/CeO₂ resulted in the significant decrease in H₂O₂ 116 117 selectivity (from 56 % to 0 %; 0.017 bar H₂, 0.017 bar O₂; 295 K; in H₂O with 0.02 M H₂SO₄), which were attributed to increased H₂O₂ decomposition activities on metallic Pd nanoparticles.²⁴ Contradictory to these 118 119 results, Adams et al. showed that pre-oxidized PdO/SiO₂ catalysts were inactive for H₂O₂ synthesis (0.05 bar H₂, 0.05 bar O₂; 298 K; in CH₃OH), and only became active once they were reduced under operating 120 conditions (as evidenced by operando XAS).²³ We suggest that these controversies arise at least in part 121 because the relevant phase of Pd and its corresponding activity/selectivity depend on the operating 122 conditions (e.g., the reductant-to-oxidant (H_2/O_2) ratios, the operating temperature, and the solvent type)² 123 and the size of the Pd nanoparticles.^{25–27} 124

125 The effects of Pd particle sizes on H_2O_2 synthesis rates and selectivities have remained 126 controversial. From kinetic measurements, Wilson *et al.* showed that H_2O formation during H_2O_2 synthesis 127 (via O-O cleavage in OOH* intermediates) is more facile on smaller Pd particles; measured activation 128 enthalpy decreased from 32 to 18 kJ mol⁻¹ as the average Pd diameter decreased from 7 to 0.7 nm, which they attributed to the difference in the electronic structure of these nanoparticles.¹⁴ Measured enthalpic 129 barriers for H₂O₂ formation, however, were similar for these particles (9-14 kJ mol⁻¹), from which they 130 concluded that H₂O₂ synthesis selectivity can be enhanced by utilizing larger Pd particles. Such a 131 conclusion is contradicted by Tian et al. who suggested that smaller Pd nanoparticles are more active and 132 selective for H₂O₂ synthesis with minimal H₂O formation.²⁵ They found that H₂O₂ production rates (per 133 surface Pd) increased from 191 to 284 (h⁻¹) and H₂O₂ selectivity increased from 43 to 94 %, as the average 134 135 Pd particle sizes (from transmission electron microscopy) decreased from 2.6 to 1.6 nm. The authors suggested that these significant differences in selectivities originate from the existence of more Pd/PdO 136 interfacial sites on sub-nanometer Pd particles, based on their ex-situ XAS and O2 temperature-137 programmed desorption (TPD) measurements. These controversies support that particle size effects are 138 139 also related to the reaction conditions and the oxidation states of Pd nanoparticles.

Herein we aim to deconvolute the effects of Pd particle sizes and their oxidation states on H₂O₂ 140 synthesis and decomposition pathways by assessing DFT-derived energies of intermediates and transition 141 142 states (TSs) on slab and particle models for metallic and partially oxidized Pd, as well as bulk PdO. In 143 doing so, we demonstrate how Pd oxidation states and their surface structures influence primary H_2O_2 selectivity, which is dictated by kinetic preferences for either reducing OOH* or decomposing it to O* 144 145 and OH* (steps 4 vs. 6; Scheme 1). The O-O cleavage in OOH* (step 6; Scheme 1) is very exothermic on 146 all metallic Pd surfaces. Accordingly, the O-O cleavage activation barriers remain small both in absolute 147 terms (< 30 kJ mol⁻¹) and relative to those for reducing OOH* to H₂O₂* (48-92 kJ mol⁻¹). This indicates a higher kinetic preference to decompose OOH* to O* and OH* on all Pd models, regardless of exposed 148 facets (Pd(100), Pd(111)), particle sizes (Pd₁₃ and Pd₅₅), and the coordination number (CN) of surface Pd 149 atoms. 150

Our DFT calculations further support that kinetic preference can change to favor OOH* reduction 151 (and H₂O₂ synthesis) once Pd form surface and bulk oxides. For example, the surface-oxidized Pd(111) 152 surface (denoted as Pd₅O₄/Pd(111)) involves a higher barrier to cleave the O-O bond in OOH* than 153 reducing OOH* to H₂O₂* (79 vs. 14 kJ mol⁻¹). However, whether such an enhancement occurs seems to 154 155 depend not only on the oxidation state of Pd but also on the charge distribution and local geometric 156 arrangement of surface Pd and O atoms. For instance, facet-dependent catalytic performance is found in 157 PdO(100) and PdO(101), where the former is more selective towards H_2O_2 formation than the latter, even 158 though Pd is formally found in a 2+ oxidation state on both surfaces. Given the apparent DFT-supported dependence of catalytic activity on the availability of Pd/PdO phases during direct H₂O₂ synthesis, we 159 close our work with a discussion leveraging theory-derived phase diagrams for Pd in O2, H2O2/H2O and 160

161 O_2/H_2 environments to show how the size of Pd nanoparticles can impact their phase transformations and 162 the resulting consequences on H_2O_2 synthesis and decomposition pathways.

163

164 **2.** Methods

165 2.1. Density functional theory (DFT) methods

Periodic DFT calculations were performed using the Vienna ab initio simulation package (VASP).²⁸ 166 Planewaves were constructed using the projector-augmented wave (PAW) potentials²⁹ with an energy 167 cutoff of 400 eV. The electron exchange correlations were described using the Purdue-Burke-Ernzerhof 168 169 (PBE) functional.^{30,31} Dispersion interactions were included using Grimme's D2 parameters.³² Spin polarization was tested and applied for all calculations involving O-containing species. Ionic relaxations 170 were performed using a conjugate gradient algorithm until the net force on each atom was less than 0.05 171 eV Å⁻¹. A convergence criterion of 10^{-6} eV was used for electronic energy minimizations, except during 172 the calculation of vibrational modes, in which a stricter convergence of 10^{-7} eV was used. The Brillouin 173 zone was sampled with Monkhorst-Pack³³ k-point grids of 9 x 9 x 9 for all bulk structures and 4 x 4 x 1 for 174 all slab models, except for the $Pd_5O_4/Pd(111)$ slab model (described below), which used Γ -point³⁴ sampling 175 176 due to the large supercell size. All Pd, PdO/Pd, and PdO cluster models also used Γ -point sampling justified 177 by the isolated nature of the cluster models. Additionally, the Hubbard U parameter is typically incorporated 178 to account for strongly correlated d and f electrons in transition metal oxides. However, recent studies have 179 suggested that while GGA+U simulations (U = 7 eV) improve band gap accuracy for PdO, they do not 180 significantly impact the adsorption energies of intermediates.^{35,36} Thus, standard DFT methods were used 181 for all Pd, PdO/Pd, and PdO models.

DFT-derived lattice parameters of bulk Pd (3.907 Å) and PdO (a, b = 3.193 Å, c = 5.590 Å) were 182 within 5% of the experimental values of Pd (3.889 Å) ³⁷ and PdO (a, b = 3.043 Å, c = 5.336 Å).³⁸ These 183 DFT-derived lattice parameters were used to construct slab models of Pd(111) and Pd(100), and PdO(100), 184 and PdO(101) (Fig. 1), which represent the most stable and abundant facets in large Pd and PdO 185 nanoparticles (> 5 nm).^{12,39} The (4 x 4) supercells of Pd(111) and (100) slabs were modeled with four layers 186 with 16 Pd atoms per layer, where the bottom two layers were kept fixed during simulations to mimic the 187 bulk structure. The (2 x 3) supercells of PdO(100) and (101) slabs were constructed with two and four 188 189 layers, respectively, where the bottom one and two layers remain fixed; each layer consists of 18 Pd-O pairs for PdO(100) and 12 Pd-O pairs for PdO(101). Previously reported models were leveraged here to describe 190 the formation of thin oxide layers on Pd(111) and Pd(100) surfaces (Fig. 1).^{40,41} Specifically, for Pd(111), a 191 single layer of ($\sqrt{6x}\sqrt{6}$) Pd₅O₄ was placed on three layers of (4 x 12) Pd(111) (with the bottom layer fixed), 192 inspired by scanning tunneling microscope (STM) images collected in ultra-high vacuum environments 193 $(10^{-7} \text{ to } 10^{-5} \text{ mbar } O_2; 570-683 \text{ K})$.^{40,42,43} For Pd(100), a $(\sqrt{5}x\sqrt{5})R27^\circ$ PdO(101) layer was placed on four 194

- layers of (4x4) Pd(100) (with the bottom two layers fixed),⁴⁴ inspired by observations of a ($\sqrt{5}x\sqrt{5}$)R27°
- 196 PdO(101) structure in STM images upon exposure of Pd(100) to O_2 pressures up to 1 bar at temperatures <
- 197 600 K.^{41,45} All slab models included a vacuum layer (15 Å) in the z-direction to prevent any artifacts caused
- 198 by periodic boundary conditions.
- 199



Figure 1. DFT-derived structural models for metallic Pd, surface oxides, and bulk PdO; these structures are also provided in the Supporting Information. Light grey represents Pd and red represents O. The coordination number (CN) of surface Pd and O atoms are shown as numbers (in metallic Pd models) and as subscripts (in surface oxide and bulk oxide models) where the CN for Pd refers to the number of O atoms it is coordinated to, and the CN for O refers to the number of Pd atoms it is coordinated to.

 Pd_{13} and Pd_{55} clusters were used to model Pd nanoparticles of ~0.5 and 0.9 nm in diameter. The 207 distorted Pd₁₃ icosahedron⁴⁶⁻⁴⁹ exclusively contains (111) facets, where one central atom is surrounded by 208 12 surface Pd atoms (CN = 6; Fig. 1). The "atom on hollow site" packing of additional layers to this 13-209 atom cluster leads to the Pd_{55} Mackay icosahedron, containing 42 Pd atoms at the outer shell (CN = 6 and 210 211 8 for corner and edge sites, respectively; Fig. 1).^{50,51} These clusters were constructed by cleaving DFTderived Pd bulk structure and placing them at the center of 20 x 20 x 20 and 30 x 30 x 30 Å³ simulation 212 boxes, respectively. All Pd atoms in the Pd clusters were fully relaxed, except the central Pd atom, which 213 214 was kept fixed to prevent translational movement of the cluster during simulations. DFT-optimized structures were used as input configurations for classical molecular dynamics (MD) simulations (described 215

in Section 2.2) to identify other possible Pd_{13} and Pd_{55} clusters with lower energies. To minimize the error associated with MD simulations, all clusters suggested from MD simulations were iteratively re-optimized using DFT methods. Such a DFT/MD/DFT iteration process allowed us to identify Pd_{13} and Pd_{55} clusters with lower energies than those of the initially proposed structures, with the final structures being shown in

220 Figure 1; the final geometries of these structures are also provided in the Supporting Information.

221 Analogous DFT/MD/DFT iterations were used to search for low-energy cluster models for PdO. In one approach (method I), we sequentially added O atoms on the optimized Pd₁₃ cluster and optimized 222 each structure with DFT at each additional step until the target number of O-atoms was reached. These 223 224 DFT-derived structures were then taken as inputs for classical MD simulations that were used to suggest other low-energy structure candidates for subsequent testing via DFT. In another approach (method II), the 225 input structures for classical MD simulations were derived from $Pd_{13}O_x$ clusters (x = 6-15) cleaved from 226 bulk PdO. The structures identified from method I resulted in lower energies than those from the second 227 approach. The comparison between the two models is detailed in Section S1 in Supporting Information 228 229 (SI). The final clusters with the lowest energies (in Fig. 1) were further used to probe the energies of intermediates and TSs in H₂O₂ synthesis and decomposition pathways via DFT. 230

231 At least 1 to 10 initial binding configurations of intermediates and product states were probed on 232 all possible binding sites within each surface to locate the structures with lowest energies. The comparisons 233 among binding sites are discussed in Section S3, but only the configurations with the most favorable binding 234 energies are discussed here. Initial and product states of each elementary step were connected with 4 to 8 235 images using the nudged elastic band (NEB) method.^{52,53} The highest-energy image along the reaction 236 coordinate was used as the initial guess for the TS search using the dimer method.⁵⁴ All NEB calculations used a convergence threshold of 10⁻⁴ eV for electronic energies and 0.05 eV Å⁻¹ for forces on each atom. 237 The dimer methods used tighter convergence thresholds of 10⁻⁶ eV for electronic energies and 0.05 eV Å⁻¹ 238 for forces. The oxidation states of each atom were obtained from Bader charge analysis.⁵⁵ 239

240 DFT-derived vibrational modes of adsorbed species and gas-phase molecules were determined using a finite difference method,⁵⁶ where elements of the Hessian matrix were obtained by systematically 241 perturbing atom positions by 0.015 Å in $\pm x$, $\pm y$, and $\pm z$ directions. During these calculations, all adsorbates 242 were fully relaxed while the surface structures were kept fixed. Obtained vibrational frequencies were used 243 244 to calculate partition functions within the harmonic oscillator approximation to derive zero-point vibrational 245 energies (ZPVE) and enthalpies (H), and entropies (S) at finite temperatures and relevant pressure (1 bar) using statistical mechanical formalisms, which in turn were used to calculate free energies.⁵⁷ The low modes 246 $(< 100 \text{ cm}^{-1})$ of weakly bound intermediates represent frustrated translational and rotational modes of 247 molecules upon adsorption on the surface, which may not be accurately captured within the harmonic 248 oscillator approximation.⁵⁸ These modes may impose errors in calculating entropies and thus are removed 249

in calculating entropies and free energies. Alternatively, these low modes can be replaced by a 70% contribution of the average of translational and rotational entropy of the molecule in the gas phase, inspired by experimental observations by Campbell *et al.*⁵⁹ The free energy diagrams calculated with this method

- were compared with those calculated with the first approach in Fig. S23 (in SI), which give the upper and
- lower bounds of free energies.
- 255

256 **2.2. Moecular dynamic (MD) simulations**

Classical MD simulations in the NVT ensemble (with a 0.25 fs timestep) were performed using the 257 LAMMPS software (29/Sep/2021 stable version).⁶⁰ The reactive force-field ReaxFF⁶¹⁻⁶³ was used with the 258 parameters designed to capture interatomic interactions in Pd-O systems.⁶³ To validate the practicality of 259 the force field for Pd and PdO systems, lattice parameters were calculated for bulk Pd and PdO though 260 expansion-compression calculations (Figs. S2 and S3). The lattice constant of bulk Pd determined from 261 ReaxFF MD simulations (3.97 Å) agreed well with values from DFT-estimation (3.907 Å) and experiments 262 (3.889 Å)³⁷, with errors less than 1%. The lattice constants for PdO from ReaxFF MD were a=b=2.907 and 263 c=5.253 Å, which were also in good agreement with the DFT estimated constants (a=b=3.193 Å and 264 c=5.590 Å) and experimental values (a=b=3.043 Å and c=5.336 Å)³⁸, with errors less than 5%. 265

For each MD simulation, the Pd or Pd_xO_y cluster was located at the center of the 30 x 30 x 30 Å³ 266 simulation box. Initial atom positions were relaxed using a conjugate gradient algorithm⁶⁴ until the cluster 267 268 energy changed less than 0.0001 % with respect to the preceding geometry. For method I (see Section 2.1), 269 the Nose-Hoover thermostat⁶⁵ was used with a 25 fs damping through stages of heating and cooling. 270 Following a 0.125 ns equilibration stage at 200 K, temperature was ramped up to 400 K within a 0.250 ns 271 timeframe and maintained at that temperature for additional 0.125 ns. Then, the temperature was ramped up from 400 to 1200 K within a 0.125 ns timeframe and maintained at that temperature for 0.250 ns to 272 provide the system with enough energy to potentially hop between different minima within the timeframe 273 of the simulation. Successively, the temperature was ramped down from 1200 to 400 K and from 400 to 274 200 K using the same time frames used for the heating stages. For method II (see Section 2.1), the Berendsen 275 276 thermostat⁶⁶ was used with a 25 fs damping parameter, and configurations of the Pd_xO_y cluster were sampled over a single 0.750 ns stage of equilibration at 700 K. The initial screening of clusters obtained 277 from method II resulted in configurations that were much more unstable than those obtained from method 278 279 I. For this reason, the method II clusters were only sampled through constant temperature MD runs rather than the more computationally intensive heating/cooling MD runs. In all cases, the Pd and Pd_xO_y clusters 280 with the lowest potential energies were identified and reoptimized using DFT methods (as detailed in 281 282 Section S1; SI).

283

284 2.3. Ab-initio thermodynamic calculations

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- Phase diagrams of Pd-PdO transformations were assessed by calculating the most thermodynamically favored states of Pd-O systems in O₂, H₂O₂/H₂O or O₂/H₂ environments. For a system in a grand canonical ensemble, the number of atoms fluctuates to minimize the grand potential (Φ) at a given set of conditions. For Pd in an oxidizing environment, the grand potential of the system ($\Phi_{N_{Pd}N_0}$) depends on temperature and the chemical potentials of Pd* and O* (μ_{Pd} and μ_{0*}):⁶⁷
 - $\Phi_{N_{Pd}N_0}(T,\mu_{Pd*},\mu_{O*}) = F_{N_{Pd}N_0}(T) N_{Pd}\mu_{Pd*} N_0\mu_{O*}$ (1)
- where N_{Pd} and N_O are numbers of Pd and O atoms in the solid phase, respectively. $F_{N_{Pd}N_O}$ represents the Helmholtz free energy, which includes the internal energy (approximated as its DFT-calculated electronic energy, $E_{N_{Pd}N_O}^{DFT}$), the vibrational contributions to the Helmholtz free energy ($F_{N_{Pd}N_O}^{vib}(T)$), and the configurational entropy contribution to the Helmholtz free energy at a given temperature T (TS^{conf}):
- 295 $F_{N_{Pd}N_{Q}}(T) = E_{N_{Pd}N_{Q}}^{DFT} + F_{N_{Pd}N_{Q}}^{\nu ib}(T) TS^{conf}$ (2)
- As contributions from vibrations ($<0.01 \text{ eV}/\text{Å}^2$) and configurational entropies ($<~0.003 \text{ eV}/\text{Å}^2$, based on Reuter and Scheffler's method⁶⁸) are negligible in the 300-1000 K temperature range, the electronic energy was assumed to be the main contributor to the total Helmholtz free energy; these contributions are shown in Figure S9 (SI) as a function of temperature. Accordingly, the grand potential can be simplified as:
- 300 $\Phi_{N_{Pd}N_0} = E_{N_{Pd}N_0}^{DFT} N_{Pd}\mu_{Pd*} N_0\mu_{O*}$ (3)
- 301 Upon oxidation of the clean Pd surface, the change in the grand potential ($\Delta \Phi$) is given by:
- 302 $\Delta \Phi = E_{N_{Pd}N_0}^{DFT} E_{N_{Pd}}^{DFT} (N_{Pd, Pd0} N_{Pd, clean}) \mu_{Pd*} N_0 \mu_{O*}$ (4)
- where $E_{N_{Pd}}^{DFT}$ and $E_{N_{Pd}N_0}^{DFT}$ are DFT-derived electronic energies of discrete Pd surfaces before and after oxidation, and $N_{Pd, clean}$ and $N_{Pd, Pd0}$ are the number Pd atoms in the metallic and oxidized states. The chemical potential of O* (μ_0 *) is determined by the chemical potential of gas-phase oxidants. For instance, as Pd-PdO oxidation driven by O₂ can be written as:
- $Pd_x + \frac{y}{2}O_2 \rightarrow Pd_xO_y \qquad , \qquad (5)$
- in such case, μ_{0*} is set by the chemical potential of O_2 .
- 309 $\mu_{0*} = \frac{1}{2}\mu_{0_2} \tag{6}$
- 310 On the other hand, when Pd-PdO oxidation is driven by H_2O_2 :
- $Pd_x + yH_2O_2 \rightarrow Pd_xO_y + yH_2O \quad , \tag{7}$
- 312 μ_{0*} is set by the difference in the chemical potentials of H₂O₂ and H₂O.
- 313 $\mu_{0*} = \mu_{H_2O_2} \mu_{H_2O} \tag{8}$
- The chemical potential of a gas-phase species *i*, μ_i , can be calculated as:

315
$$\mu_i = \mu_i^o + k_B T ln \left(\frac{f_i}{f^0}\right) \tag{9}$$

where f^0 is the reference fugacity (chosen to be 1 bar), f_i is the fugacity of gas-phase species *i*. μ_i^o is the reference chemical potential:

318
$$\mu_i^o(T) = H_i^o(T) + E_i^{DFT} + E_i^{ZPVE} - H_i^o(0 K) - T S_i^o(T) , \quad (10)$$

where H_i^o is the standard molar enthalpy, E_i^{DFT} is the DFT-calculated electronic energy, E_i^{ZPVE} is the zeropoint energy, and S_i^o is the standard molar entropy. The μ_i^o values for O₂, H₂O₂, H₂O, and H₂ are calculated using the standard enthalpy and entropy values listed in the JANAF thermochemical tables ($p^0=1$ bar; 100-1000 K)⁶⁹ and are listed in Table S1. The JANAF values agree well (within a 0.2% error) with reference chemical potentials calculated from first principles⁵⁷ as shown in Section S2 (SI).

At low to moderate pressures, the fugacity of gas-phase species *i* is approximated by its partial pressure p_i . Hence the chemical potential μ_{0*} can be calculated as:

326
$$\mu_{O*}(T,P) = \frac{1}{2} \left(\mu_{O_2}^o(T) + k_B T ln \left(\frac{p_{O_2}}{p^0} \right) \right)$$
(11)

327
$$\mu_{0*}(T,P) = \mu_{H_2O_2}^o(T) - \mu_{H_2O}^o(T) + k_B T ln\left(\frac{p_{H_2O_2}}{p_{H_2O}}\right)$$
(12)

where Eq. 11 and Eq. 12 respectively apply to cases where O_2 and H_2O_2 are the oxidants. As done in previous studies,^{40,44,45} the reference state for calculating μ_{0*} was chosen to be gas-phase O_2 . Phase diagrams were then built by calculating the change in the grand potential ($\Delta \Phi$) over a range of μ_{0*} for different Pd-O systems (via Eq. 4) and identifying the configurations that minimize $\Delta \Phi$.

In the phase diagrams, it is reasonable to assume that there are upper and lower limits of μ_{0*} at which PdO is stable. The lower limit of μ_{0*} is set under oxidant-poor conditions at which the bulk oxide decomposes into gas-phase oxidant and metallic Pd:

$$\mu_{Pd0}^{bulk} < \mu_{Pd*}^{bulk} + \mu_{O*} \tag{13}$$

At T = 0 K, the Gibbs free energy of formation for PdO ($\Delta G_{f,PdO}$) is given by $\mu_{PdO}^{bulk} - \mu_{Pd*}^{bulk} - \frac{1}{2}\mu_{O_2}$, which can be approximated with DFT-derived electronic energies:

338
$$\Delta G_{f,PdO} = E_{PdO}^{DFT} - E_{Pd}^{DFT} - \frac{1}{2} (E_{O_2}^{DFT} + E_{O_2}^{ZPVE}) = -0.87 \ eV \tag{14}$$

The DFT-derived $\Delta G_{f,PdO}$ value (-0.87 eV) agrees well with the experimental value (-0.97 eV⁷⁰) and thus was used as a boundary condition. The upper limit of μ_{O*} is set under oxidant-rich conditions, at which the formation of gas-phase oxidant is preferred over O* adsorption:

$$0 > \mu_{0*}$$
 (15)

Thus, the phase diagrams further discussed in Section 3.4 utilize -0.87 eV $< \mu_{0*} < 0$ eV as the bounds for 343 PdO formation on metallic Pd surfaces. To construct T, p phase diagrams for each phase, the range of 344 temperatures and pressures for each oxidant is calculated from the μ_{0*} (via Eqns. 11 and 12) at which each 345 phase becomes stable. In these diagrams, the range of temperatures (200 to 1000 K) and gas-phase O₂ 346 347 pressures (10-15 bar to 100 bar) are chosen to be representative of experimental UHV conditions from previous metal oxidation studies^{40,42,43,45} and relevant H₂O₂ synthesis conditions.^{2,14,20} The calculation 348 details for deriving the phase diagrams in O₂ and H₂ mixtures, following the procedure reported by Chen et 349 350 $al.^{20}$, are described in Section S2 in SI.

351 **3. Results and Discussion**

352 **3.1 DFT assessments of H₂O₂ synthesis pathways on Pd, PdO/Pd and PdO catalysts**

353 We start our discussion with the energetics of H_2O_2 synthesis and decomposition on metallic Pd. The 354 plausible H₂O₂ synthesis and decomposition pathways that agree with previous theoretical works are shown in Scheme 1.^{2,2016} The proposed reaction pathways are also consistent with the negligible formation of 355 $H^{18}O^{16}OH$ when reacting H_2 with ${}^{16}O_2$ and ${}^{18}O_2$ mixtures, implying reactions of H* with intact O_2^* in 356 forming H₂O₂.⁷¹ More recent studies by Ricciardulli et al.¹⁶ suggested the possible involvement of protonic 357 358 solvents (e.g., H_2O) that lower the O_2^* reduction activation barriers by mediating proton coupled electron 359 transfer.¹⁶ Although relevant, the assessment of solvent-mediated pathways is beyond the scope of this 360 work, which focuses on the effects of Pd oxidation states and particle sizes on the primary H_2O_2 selectivities 361 and yields.

Figure 2 shows DFT-derived free energies of intermediates and TSs involved in the plausible elementary steps (Scheme 1) for H_2O_2 synthesis (solid pathway) and decomposition (dashed pathways) on the clean Pd(111) surface. Free energies were calculated at 300 K and 1 bar as relevant to the H_2O_2 synthesis process.^{22,8,14,21,25} For reference, DFT-derived electronic energies (without any corrections) and relevant structures for Pd(111) are shown in Figure S25 (SI).

Dissociative H₂ adsorption on Pd(111) forms two H* via an exoergic step ($\Delta G_{300K} = -114$ kJ mol⁻¹; 367 Fig. 2; step 1 in Scheme 1); such a step is expected to be nearly barrierless, as suggested from previous 368 DFT calculations.⁷² Molecular O₂ adsorption occurs in a step that is only slightly exoergic ($\Delta G_{300K} = -28 \text{ kJ}$ 369 mol⁻¹; step 2 in Scheme 1). Previous studies have suggested the formation of physisorbed $O_2(d_{0-0} = 0.124)$ 370 nm), superoxo (O_2^{-1} , $d_{O-Q} = 0.134$ nm) or peroxo (O_2^{2-} , $d_{O-Q} = 0.137$ nm) complexes on Pd(111) surfaces.^{73,74} 371 O2* exhibits an O-O bond length of 0.137 nm and gains charge from Pd based on Bader charge analysis⁵⁵ 372 (-0.54e; Fig. S34), suggesting that O_2^* is more likely to be in an $O_2^{2^2}$ state on Pd(111). Similar trends were 373 observed on all metallic Pd models, where bound O2* species gained charged upon adsorption (-0.69e, -374

3750.62e, and -0.63e on Pd(100), Pd_{55}, Pd_{13}, respectively) with elongated O-O bonds (0.143, 0.139, and 0.138376nm), indicative of the O_2^{2-} species (Fig. S34; SI). This activated O_2^* reacts with H* to form OOH* (step 3;377Scheme 1). This first H-transfer step has a reaction free energy of -7 kJ mol⁻¹ and activation free energy378barrier of 50 kJ mol⁻¹. The second H-transfer to OOH* forms H₂O₂* (step 4; Scheme 1), with a reaction379free energy of -13 kJ mol⁻¹ and a free energy barrier of 35 kJ mol⁻¹. Finally, H₂O₂* desorbs in an endoergic380step (ΔG_{300K} = +41 kJ mol⁻¹; step 5 in Scheme 1), closing the catalytic cycle.381



382

Figure 2. DFT-derived free energies (300 K; 1 bar) of intermediates and TSs involved in H_2O_2 synthesis and decomposition elementary steps (from Scheme 1) on Pd(111); the free energies of O_2 and H_2 and Pd(111) are used as reference. Corresponding structures and their electronic energies (without any corrections) are shown in Figure S25 (SI).

Alternatively, once the OOH* intermediate is formed, it can cleave its O-O bond to form O* and 388 OH* in a very excergic step (ΔG_{300K} = -137 kJ mol⁻¹; step 6 in Scheme 1) with a free energy barrier of 5 kJ 389 mol⁻¹. The resulting OH* can react with H* to form H₂O* via another exoergic step ($\Delta G_{300K} = -112 \text{ kJ mol}^{-1}$; 390 step 7 in Scheme 1). Although surface O* may desorb as O₂ via recombinative desorption (step 9 in Scheme 391 1), such a step is very endoergic ($\Delta G_{300K} = +101 \text{ kJ mol}^{-1}$), suggesting high surface coverages of O* during 392 393 steady-state catalysis especially at high O₂/H₂ ratios. At high H* coverages (at low O₂/H₂), O* species may react with H* to form OH* ($\Delta G_{300K} = -81 \text{ kJ mol}^{-1}$; step 10 in Scheme 1), which ultimately forms H₂O via 394 395 steps 7 and 8 (in Scheme 1).

The H₂O₂* formed can also decompose as it cleaves its O-O bond, forming two OH* (step 12; Scheme 1). This step is very exoergic ($\Delta G_{300K} = -205 \text{ kJ mol}^{-1}$) with a free energy barrier of +11 kJ mol}^{-1}. OH* species can react via step 11 (in Scheme 1) to form H₂O* and O* ($\Delta G_{300K} = -31 \text{ kJ mol}^{-1}$). Energy diagrams revealing similar trends were obtained for clean Pd(100) surface, and clean Pd₅₅ and Pd₁₃ particles, as shown in Figures S26–S28 (in SI). Overall, the formations of O* and OH* from OOH* and H₂O₂* 401 decomposition pathways are very exoergic on all clean Pd models, suggesting that all facets in both large
 402 and small Pd particles are readily populated with surface-bound O* and/or OH* species.

Next, we consider the energetics of H_2O_2 synthesis and decomposition on PdO/Pd systems. Upon exposure to oxidizing conditions, epitaxial surface oxide layers can form on metallic Pd, where the oxide structure is influenced by the structures of the metal layers below.^{40,41} For instance, the oxide layer formed on Pd(111) contains reactive Pd atoms in 2-fold coordination and O atoms in 3-fold coordination (Pd_{2c} and O_{3c}), along with unreactive 4-coordinated O atoms (O_{4c}) (see Pd₅O₄/Pd(111) in Fig. 1). Figure 3 illustrates the free energies of relevant intermediates and TSs on Pd₅O₄/Pd(111).

409 Dissociative H₂ adsorption on a vicinal O_{3c} pair in Pd₅O₄/Pd(111) occurs in an exoergic step (ΔG_{300K} 410 = -93 kJ mol⁻¹; Fig. 3). Molecular O₂ adsorption is only slightly excergic, where O₂ binds at a bridge site between two Pd_{2c} atoms ($\Delta G_{300K} = -14 \text{ kJ mol}^{-1}$). This O₂* seems to represent O₂- species, evidenced by 411 its O-O distance (0.133 nm), which is within the literature range of superoxo species, ~ 0.13 nm,^{74,75} and net 412 charge gain (-0.37e; Fig. S34) that is less negative than O_2^{2-} species on metallic Pd models (-0.69e to -0.54e; 413 Fig. S34). This activated O₂* reacts with H* to form OOH* atop of Pd_{2c} ($\Delta G_{300K} = -35$ kJ mol⁻¹) in a nearly 414 barrierless step. Subsequently, OOH* can react with H* to form $H_2O_2^*$ ($\Delta G_{300K} = -4 \text{ kJ mol}^{-1}$) in another 415 nearly barrierless step. H₂O₂* formed can desorb in a following step, completing the synthesis pathway 416 $(\Delta G_{300K} = +25 \text{ kJ mol}^{-1})$. Alternative to $H_2O_2^*$ formation, the O-O bond in OOH* could cleave on vicinal 417 418 Pd_{2c} atoms in an exoergic reaction step ($\Delta G_{300K} = -69 \text{ kJ mol}^{-1}$), forming O* and OH*, both adsorbed at 419 bridge sites between two Pd_{2c} atoms. However, this OOH* cleavage step faces a higher free energy barrier 420 than the O-H formation step ($\Delta G_{300K}^{\dagger} = 74 \text{ vs.} \sim 0 \text{ kJ mol}^{-1}$; Fig. 3). In contrast, the O-O bond cleaves more 421 easily in H₂O₂* to form two OH* groups in an exoergic step ($\Delta G_{300K} = -148 \text{ kJ mol}^{-1}$; $\Delta G_{300K}^{\dagger} = 13 \text{ kJ mol}^{-1}$ ¹; Fig. 3). However, the subsequent disproportionation of two OH* to form O* and H_2O^* is very endoergic 422 $(\Delta G_{300K} = +73 \text{ kJ mol}^{-1})$, suggesting that this surface oxide may prefer to maintain a high surface coverage 423 of OH*. Still, in comparison to Pd(111), the O-O cleavage barrier for OOH* is higher in Pd₅O₄/Pd(111) 424 425 (74 vs. 5 kJ mol⁻¹), suggesting that OOH* decomposition is less preferred on this surface.

426 The other surface oxide models explored include $Pd_{13}O_8$ and PdO(101)/Pd(100) (Fig. 1). The $Pd_{13}O_8$ cluster behaves similarly to $Pd_5O_4/Pd(111)$ in the facile formation of $H_2O_2^*$, with higher activation 427 barriers for O-O cleavage in OOH* than O-H bond formation ($\Delta G_{300K}^{\ddagger} = 66$ vs. 48 kJ mol⁻¹; Fig. S31). In 428 429 contrast, O-O cleavage in OOH* is slightly preferred over O-H formation on PdO(101)/Pd(100) ($\Delta G_{300K}^{\ddagger}$ = 430 109 vs. 112 kJ mol⁻¹; Fig. S30), highlighting the dependance of the favored reaction pathway on the type of surface oxide formed and the Pd structure underneath. The details of structural differences among these 431 surface oxide models and their consequences on rates and selectivities are discussed in Section 3.2. Gibbs 432 free energy and electronic energy diagrams for PdO(101)/Pd(100) and Pd₁₃O₈ are shown in Figures S30 433 434 and S31, respectively, along with the relevant structures for intermediates and TS structures.





Figure 3. DFT-derived free energies (300 K; 1 bar) of intermediates and TSs involved in H_2O_2 synthesis and decomposition elementary steps (from Scheme 1) on $Pd_5O_4/Pd(111)$; the free energies of O_2 and H_2 and $Pd_5O_4/Pd(111)$ are used as reference. Electronic energies (without any corrections) are shown in Figure S29 as a reference.

441

442 Given suitable oxidizing conditions, the formation of surface oxides can be followed by a complete transformation of Pd into bulk PdO. Thus, we now discuss the energetics of H₂O₂ synthesis and 443 decomposition on PdO(101) and PdO(100), the low index facets in bulk PdO. PdO(101) contains alternating 444 rows of undercoordinated Pd_{3c} and fully-coordinated Pd_{4c} atoms, which are connected with 445 undercoordinated O_{3c} and fully-coordinated O_{4c} atoms (Fig. 1). The O_{3c} atoms bind H* strongly with 446 dissociative H₂ adsorption being excergic ($\Delta G_{300K} = -129$ kJ mol⁻¹; Fig. 4). The molecular O₂ adsorption 447 448 free energy is also very exoergic, where O_2^* interacts with two vicinal Pd_{3c} atoms ($\Delta G_{300K} = -129$ kJ mol⁻ ¹). The O-O distance in O_2^* (0.133 nm) is consistent with those in the superoxo species (~0.13 nm);^{74,75} this 449 450 O_2^* moiety also gains charge upon adsorption (-0.42e; Fig. S34). This activated O_2^* readily reacts with H* to form an OOH* that interacts with vicinal Pd_{3c} atoms in a bridge configuration in a nearly barrierless step 451 452 $(\Delta G_{300K} = +5 \text{ kJ mol}^{-1}; \Delta G_{300K}^{\dagger} \sim 0 \text{ kJ mol}^{-1})$. The OOH* species can further react with H* to form H₂O₂* with a moderate free energy barrier ($\Delta G_{300K} = +44 \text{ kJ mol}^{-1}$; $\Delta G_{300K}^{\dagger} = 49 \text{ kJ mol}^{-1}$). However, it is more 453 facile to cleave the O-O bond in OOH* to form O* and OH*, as this step is more exoergic and faces a lower 454 455 free energy barrier ($\Delta G_{300K} = -87 \text{ kJ mol}^{-1}$, $\Delta G_{300K}^{\dagger} = 11 \text{ kJ mol}^{-1}$). Even if $H_2O_2^*$ is formed on PdO(101), its decomposition is thermodynamically and kinetically favorable. The O-O bond elongation and cleavage in H₂O₂* across two Pd_{3c} sites occurs in a very exoergic step ($\Delta G_{300K} = -219$ kJ mol⁻¹) and is nearly barrierless ($\Delta G^{\dagger}_{300K} = 3$ kJ mol⁻¹). Although the OH* species resulting from the decomposition can further react in a disproportionation step to form H₂O* and O*, this step is quite endoergic ($\Delta G_{300K} = +90$ kJ mol⁻¹) suggesting that OH* species may be the most abundant intermediate on this surface.

The low barriers and exothermic reaction energies for O-O cleavage in OOH* and H_2O_2 * suggest 461 low H₂O₂ selectivities and yields on PdO(101) during H₂O₂ synthesis, which we attribute to the presence 462 of rows of adjacent, undercoordinated Pd_{3c} atoms that allows for facile O-O cleavage in a manner similar 463 to metallic Pd surfaces. Notably, the results herein contradict previous DFT calculations by Wang et al.,¹⁵ 464 who concluded that PdO(101) is highly selective for $H_2O_2^*$ synthesis. On PdO(101), these authors found 465 higher activation barriers for O-O cleavage in OOH* (128 kJ mol⁻¹) and H₂O₂* (74 kJ mol⁻¹) possibly due 466 467 to not accounting for possible O-O bond elongation over Pd_{3c} sites (which results in a more stable TS and 468 allows for facile O-O cleavage as we show here). A separate DFT study by Li *et al.* found that $H_2O_2^*$ readily dissociates into two OH* at Pd_{3c} atoms on PdO(101),⁷⁶ a result that agrees well with our calculations. 469



470

Figure 4. DFT-derived free energies (300 K; 1 bar) of intermediates and TSs involved in H_2O_2 synthesis elementary steps (from Scheme 1) on PdO(101); the free energies of O_2 and H_2 and PdO(101) are used as reference. Electronic energies (without any corrections) are shown in Figure S32 as a reference.

- 474
- 475

In contrast to PdO(101), PdO(100) does not present adjacent undercoordinated Pd_{3c} atoms; it 476 consists of Pd atoms all in 4-fold coordination (Pd_{4c}) and of O-atoms all in 3-fold coordination (O_{3c}). 477 478 Dissociative adsorption of H₂ on a vicinal O_{3c} pair in PdO(100) is very exergonic ($\Delta G_{300K} = -241$ kJ mol⁻¹; 479 Fig. 5), even more so than that on PdO(101) (-129 kJ mol⁻¹; Fig. 4), underlining the reactive nature of O_{3c} 480 atoms in PdO(100). On the other hand, Pd_{4c} atoms in PdO(100) are less reactive than in PdO(101), as indicated by molecular O₂ adsorption atop a Pd_{4c} site being endoergic ($\Delta G_{300K} = +30 \text{ kJ mol}^{-1}$). The adsorbed 481 O2* has an O-O distance of 0.124 nm, consistent with that of O2(g) (0.124 nm). Correspondingly, Bader 482 charge analysis shows that this O_2^* on PdO(101) gains negligible charge upon adsorption (-0.08e; Fig. 483 S34). This physisorbed O_2^* can react with H* to form OOH* with a moderate free energy barrier (ΔG_{300K} 484 485 = +39 kJ mol⁻¹; $\Delta G_{300K}^{\dagger}$ = 40 kJ mol⁻¹). Note that this OOH* is adsorbed atop a Pd_{4c} site, where its H* atom 486 forms a H-bond with a surface O_{3c} atom. OOH* can then react with H* to form H₂O₂* in a slightly endoergic 487 step ($\Delta G_{300K} = +38 \text{ kJ mol}^{-1}$; $\Delta G_{300K}^{\dagger} = 39 \text{ kJ mol}^{-1}$). In contrast, the alternative step involving O-O bond 488 cleavage in OOH* is more endoergic (+136 kJ mol⁻¹) and faces a higher free energy barrier (+164 kJ 489 mol^{-1}). 490 491

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- 493





Figure 5. DFT-derived free energies (300 K; 1 bar) of intermediates and TSs involved in H_2O_2 synthesis and decomposition elementary steps (in Scheme 1) on PdO(100); the free energies of O_2 and H_2 and PdO(100) are taken as reference. Electronic energies (without any corrections) are shown in Figure S32 as a reference.

The above results already illustrate the contrast between PdO(101) and PdO(100); O-O cleavage in 500 OOH* faces a higher free energy barrier on PdO(100) than on PdO(101) (164 vs. 11 kJ mol⁻¹). Moreover, 501 on PdO(100), H₂O₂*, once formed, faces a lower free energy barrier to desorb H₂O₂ as a product (+23 kJ 502 mol⁻¹) than to cleave its O-O bond to form two OH* ($\Delta G^{\dagger}_{300K} = 48 \text{ kJ mol}^{-1}$). We suggest that OOH* and 503 $H_2O_2^*$ decomposition is limited on PdO(100) due to the perturbation of coordinatively saturated Pd_{4c} sites 504 by O_{3c} atoms, resulting in the preference of O-H formation over O-O cleavage steps. As particle size 505 506 decreases, Pd₁₃O₁₈, which contains coordinatively saturated Pd_{4c} atoms, becomes the relevant model. This cluster has high barriers for O-O cleavage in both OOH* and $H_2O_2^*$ ($\Delta G_{300K}^{\dagger}$ = 229 and 99 kJ mol⁻¹, 507 respectively), exhibiting a trend similar to that on PdO(100); the electronic and free energy diagrams for 508 509 the Pd₁₃O₁₈ cluster model are shown in Figure S33.

510 Up to this point, we have shown that the decomposition of the OOH* intermediate and/or the $H_2O_2^*$ 511 product is thermodynamically and kinetically favorable on systems with Pd-Pd ensemble sites such as 512 metallic Pd and PdO(101) surfaces. As these Pd-Pd sites are perturbed by O-atoms as in Pd₅O₄/Pd(111) and 513 PdO(100) surfaces, the barriers for these decomposition steps become higher, which suggests their ability

- to prevent the decomposition of OOH* and $H_2O_2^*$ and promote the selectivity and yield of H_2O_2 . A rigorous
- analysis of primary H_2O_2 selectivity, however, requires a quantitative assessment of H_2O_2 formation rates
- 516 (via the reduction of OOH*; step 4 in Scheme 1) and OOH* decomposition rates (via the O-O cleavage in
- 517 OOH*; step 6 in Scheme 1) on each Pd, PdO/Pd, and PdO model, which will be discussed next.
- 518

519 3.2. Effects of Pd- and O- coordination in Pd, PdO/Pd, and PdO structures on their primary H₂O₂ 520 selectivity

521 The primary H_2O_2 selectivity depends on the kinetic preference of OOH* to selectively form H_2O_2 * via its 522 reaction with H* without cleaving its O-O bond (steps 4 and 6 in Scheme 1):^{16,17,77}

523 The primary
$$H_2O_2$$
 selectivity $= \frac{\text{rate of step 4}}{\text{rate of step 6}} = \frac{k_{0-H}[H*][OOH*]}{k_{0-0}[*][OOH*]}$, (16)

where [OOH*], [H*], and [*] reflect the respective surface coverages of OOH*, H*, and empty * sites. The rate constant for step 4 (k_{O-H}) depends on the free energy of the O-H formation TS (G_{O-H}^{\ddagger}), referenced to the OOH* and H* precursors (G_{OOH^*} and G_{H^*}):

527
$$k_{O-H} = \frac{N_A RT}{h} \exp\left(-\frac{\Delta G_{O-H}^{\ddagger}}{RT}\right) = \frac{N_A RT}{h} \exp\left[-\frac{\left(G_{O-H}^{\ddagger} - G_{OOH^*} - G_{H^*}\right)}{RT}\right] (17)$$

where N_A is Avogadro's number, *R* is the gas constant, and *h* is Planck's constant. The rate constant for O-O cleavage in OOH* (k₀₋₀) reflects the free energy of the O-O cleavage TS (G_{O-0}^{\ddagger}) referenced to the OOH* and * precursors (G_{OOH^*} and G_*):

531
$$k_{O-O} = \frac{N_A R T}{h} \exp\left(-\frac{\Delta G_{O-O}^{\ddagger}}{R T}\right) = \frac{N_A R T}{h} \exp\left[-\frac{\left(G_{O-O}^{\ddagger} - G_{OOH^*} - G_*\right)}{R T}\right]$$
(18)

532 Equation 18 then can be rewritten as:

533 The primary
$$H_2O_2$$
 selectivity $= \frac{\text{rate of step 4}}{\text{rate of step 6}} = \exp\left[-\frac{\left(\Delta G_{O-O}^{\ddagger} - \Delta G_{O-H}^{\ddagger}\right)}{RT}\right] \frac{[H*]}{[*]}$ (19)

Figures 6a and 6b show the electronic energy components of $\Delta G_{O-H}^{\ddagger}$ and $\Delta G_{O-O}^{\ddagger}$ barriers ($\Delta E_{O-H}^{\ddagger}$ and $\Delta E_{O-O}^{\ddagger}$) and calculated k_{O-H}/k_{O-O} ratios (from free energy barriers via Eqns. 17 and 18) for all Pd, PdO/Pd, and PdO models shown in Figure 1.

Recall that the Pd-H bond in H* and the Pd-O bond in OOH* weakens as H* is transferred to the O-atom in OOH* (step 4; Scheme 1) to form $H_2O_2^*$. On metallic Pd models, the O-H bond formation step (ΔE_{0-H}) becomes thermodynamically less favorable in the order of Pd(111) > Pd(100) > Pd_{55} > Pd_{13} (black symbols in Fig. 6a), reflecting the systems with lower CN Pd atoms that bind OOH* and H* species more strongly. Specifically, the adsorption energies of OOH* become more negative as the average CN decreases in the Pd models (-126 > -186 > -192 > -212 kJ mol⁻¹ for Pd(111), Pd(100), Pd_{55}, and Pd_{13}; Table 1). 543 Similarly, H* adsorption also becomes stronger in this order (-381~-370 > -388 > -419 kJ mol⁻¹ for 544 Pd(111)~Pd(100), Pd₅₅, and Pd₁₃; Table 1). Consequently, the activation barrier ($\Delta E_{O-H}^{\ddagger}$) increases for the 545 systems as the average CN in Pd models decreases, following the trend expected from the 546 Brønsted–Evans–Polanyi (BEP) relationship.⁷⁸

In contrast, the Pd-O bonds in O* and OH* are formed as the O-O bond in OOH* is cleaved in the 547 548 O-O cleavage step (step 6; Scheme 1). The thermodynamic favorability of ΔE_{0-0} follows the order of $Pd(111) < Pd(100) < Pd_{55} < Pd_{13}$ (Fig. 6b). The observed trend again reflects the presence of lower CN Pd 549 550 atoms in the smaller cluster models, which bind OH* adsorbates more strongly. As Pd particle size decreases from Pd(111) to Pd₅₅ to Pd₁₃, the OH* adsorption energy becomes more negative (-260 to -294 551 to and -326 kJ mol⁻¹; Table 1), rendering the O-O bond cleavage step thermodynamically more favorable 552 553 on smaller particles. The O* adsorption energy, while varying less dramatically, also becomes more 554 negative as Pd particle size decreases (-441 to -456 kJ mol⁻¹ for Pd(111) and Pd(100), and -469 to -493 kJ mol⁻¹ for Pd₁₃ and Pd₅₅; Table 1). The activation barriers for this O-O cleavage step ($\Delta E_{0-0}^{\ddagger}$), however, 555 556 remain essentially zero on all metallic Pd models (black symbols in Fig. 6b) as the reaction energy changes 557 from -222 to -140 kJ mol⁻¹ due to the very exothermic nature of this step. This trend is consistent with the 558 Hammond's postulate,⁷⁹ which predicts that very exothermic reactions involve early TSs that resemble 559 energies and structures of the reactant states and thus their activation barriers are less sensitive to the reaction energies. 560

DFT-derived $\Delta G_{O-H}^{\ddagger}$ and $\Delta G_{O-O}^{\ddagger}$ values (after ZPVE and thermal corrections) lead to k_{O-H}/k_{O-O} 561 ratios that are larger for Pd surfaces with larger Pd CN (Pd(111)>Pd(100)~Pd₅₅ > Pd₁₃; Fig. 6c), indicating 562 an improvement in the primary H₂O₂ selectivity for larger Pd nanoparticles. Similar to our conclusion, 563 Wilson and coworkers¹⁴ also suggested that the selectivity can be improved by utilizing larger Pd 564 nanoparticles. However, the reasoning to reach such a conclusion was different; they reported that the 565 566 measured enthalpic barriers for the O-H formation step remained similar as the average Pd diameter decreased from 7 to 0.7 nm, while that for O-O cleavage in OOH* decreased from 32 to 18 kJ mol⁻¹. 567 Regardless of this trend, these $k_{\Omega-H}/k_{\Omega-\Omega}$ values have remained smaller than unity on all metallic Pd models 568 (10⁻¹⁰-10⁻⁴; 300 K; Fig. 6c), indicating poor primary H₂O₂ selectivities of metallic Pd irrespective of exposed 569 570 facets and particle sizes.

571

572



573 DE_{0-H} (KJ/mol) DE_{0-O} (KJ/mol)
574 Figure 6. DFT-derived activation energies as a function of respective reaction energies for a) step 4 and b)
575 step 6 in Scheme 1. Dashed lines represent the trend, and black, red, and green colors represent clean metals,
576 surface oxides, and bulk oxides, respectively. c) Estimated k_{O-H}/k_{O-O} ratio (via Eqn. 19). DFT-derived
577 structures of involved intermediates and TSs are shown in Section S4 (SI) for metallic Pd models and in
578 Figures 7 and 8 for surface oxide and bulk oxide models.

Table 1. DFT-derived adsorption energies (kJ mol⁻¹) of the most stable configuration of intermediates
 on Pd, PdO/Pd, and PdO slab and cluster models.^a

	0*	H*	OH*	OOH*	H ₂ O ₂ *
Pd(111)	-456	-381	-260	-126	-67
Pd(100)	-441	-370	-294	-186	-79
Pd ₅₅	-493	-388	-294	-192	-71
Pd ₁₃	-469	-419	-326	-212	-99
$Pd_{5}O_{4}/Pd(111)$	-314	-364	-223	-124	-68
PdO(101)/Pd(100)	-339	-383	-256	-155	-67
Pd ₁₃ O ₈	-286	-405	-205	-113	-68
PdO(101)	-375	-395	-304	-239	-118
PdO(100)	-170	-448	-154	-101	-70
Pd ₁₃ O ₁₈	-207	-503	-167	-89	-65

^aThese energies reflect electronic energies without any corrections, referenced to the energies of

583 corresponding adsorbates in the gas phase and the clean catalyst model.

584



- **Figure 7**. DFT-derived structures of intermediates and TSs involved in the O-H bond formation step (step
- 4; Scheme 1) and the O-O cleavage step (step 6; Scheme 1) on surface oxide models (in Fig. 1). Grey atoms
- are Pd, red atoms are surface O*, blue atoms are O in intermediates and TSs, and white atoms are H.
- 589



590

Figure 8. DFT-derived structures of intermediates and TSs involved in the O-H bond formation step (step
4; Scheme 1) and the O-O cleavage step (step 6; Scheme 1) on bulk oxide models (in Fig. 1). Grey atoms
are Pd, red atoms are surface O*, blue atoms are O in intermediates and TSs, and white atoms are H.

The primary H_2O_2 selectivity changes when surface and bulk oxides form under suffuciently high oxdizing conditions. For instance, as Pd(111) oxidizes to form a surface oxide (Pd₅O₄/Pd(111) in Fig. 1), the k_{O-H}/k_{O-O} ratio increases from 10⁻⁴ to 10⁹ (300 K; Fig. 6c), indicating a dramatic improvement in the primary H_2O_2 selectivity. Similarly, as Pd(100) forms a corresponding surface oxide (PdO(101)/Pd(100) in Fig. 1), the k_{O-H}/k_{O-O} ratio increases from 10⁻⁹ to 10⁻¹ (300 K; Fig. 6c). Yet, despite the dramatic improvement

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600 in H_2O_2 selectivity, its value on PdO(101)/Pd(100) (10⁻¹; 300 K; Fig. 6c) is still smaller than unity, 601 indicating that the O-O cleavage step is still favored over the O-H bond formation step.

602 The differences in H_2O_2 selectivity between $Pd_5O_4/Pd(111)$ and PdO(101)/Pd(100) are reflective of their structural differences. Pd_5O_4 contains Pd_{2c} and O_{3c} pairs in a rectangle-like configuration (Pd_{2c} - Pd_{2c} 603 = 0.281 - 0.299 nm) over a Pd(111) substrate (Fig. 1). The Pd_{2c} -Pd_{substrate} distance ranges between 0.257 and 604 605 0.288 nm depending on the location of the P_{2c} atoms. PdO(101)/Pd(100) contains Pd_{2c} atoms connected to O_{3c} atoms ($Pd_{2c}-Pd_{2c} = 0.305$ nm) over a Pd(100) substrate, where the $Pd_{2c}-Pd_{substrate}$ ranges between 0.266 606 and 0.330 nm. Both surfaces also contain Pd4c and O4c atoms, but these sites are less reactive and all 607 intermediates and TSs tend to bind on undercoordinated Pd_{2c} and O_{3c} (Fig. 7). Bader charge analysis 608 609 demonstrates that the Pd_{2c} and O_{3c} atoms have similar charges on both surfaces (+0.49e and -0.76e on $Pd_5O_4/Pd(111)$ and +0.47e and -0.75e on PdO(101)/Pd(100)). Yet, the Pd_{2c} and O_{3c} atoms in 610 PdO(101)/Pd(100) bind all intermediate species more strongly than those in $Pd_5O_4/Pd(111)$, as reflected in 611 the more negative adsorption energies of O* (-339 vs. -314 kJ mol⁻¹), H* (-383 vs. -364 kJ mol⁻¹), OH* (-612 613 256 vs. -223 kJ mol⁻¹), and OOH* (-155 vs. -124 kJ mol⁻¹) as shown in Table 1.

614 The stronger adsorption of intermediates on PdO(101)/Pd(100), in turn, makes the O-H bond formation step (step 4; Scheme 1) thermodynamically and kinetically less favorable than on Pd₅O₄/Pd(111) 615 $(\Delta E_{O-H} = +25 \text{ vs.} -12 \text{ and } \Delta E_{O-H}^{\ddagger} = 66 \text{ vs.} 14 \text{ kJ mol}^{-1}$; Fig. 6a). In contrast, the O-O bond cleavage step 616 (step 6; Scheme 1) is thermodynamically less facile but kinetically more favorable on PdO(101)/Pd(100) 617 than on Pd₅O₄/Pd(111) ($\Delta E_{0-0} = -45$ vs. -65 kJ mol⁻¹ and $\Delta E_{0-0}^{\ddagger} = 57$ vs. 79 kJ mol⁻¹; Fig. 6b), which 618 may be attributed to the different O*/OH* configurations on these surfaces. O* and OH* adsorb at 619 620 neighboring bridge sites on PdO(101)/Pd(100). While O* and OH* also adsorb at bridge sites on 621 $Pd_5O_4/Pd(111)$, they further interact with each other via a hydrogen bond, making the product state more 622 stable and thermodynamically favorable (Fig. 7). These trends in O-H formation and O-O cleavage steps lead to a k_{0-H}/k_{0-0} ratio of 10⁻¹ vs. 10⁹ for PdO(101)/Pd(100) and Pd₅O₄/Pd(111), respectively (300 K; Fig. 623 6c). These dramatic differences in primary H_2O_2 selectivity between PdO(101)/Pd(100) and Pd₅O₄/Pd(111) 624 indicate that the selectivity for H₂O₂* formation cannot be guaranteed by the formation of PdO/Pd, but that 625 the oxide must additionally have an adequate surface structure. 626

- As particle size decreases, $Pd_{13}O_{8}$, which has a surface oxide structure with $Pd_{2c}-O_{3c}$ sites (Fig. 1), appears to be favored for the cluster with 13 Pd atoms over a range of O* chemical potentials (see Section 3.4). This oxide structure resembles that of $Pd_5O_4/Pd(111)$ with a square-like configuration of $Pd_{2c}-O_{3c}$ pairs ($Pd_{2c}-Pd_{2c} = 0.294$ nm). However, it differs in that it does not have any Pd_{4c} or O_{4c} sites and is "curved" nature due to the spherical shape of the cluster.
- 632 On $Pd_{13}O_8$, OOH* adsorbs atop of Pd_{2c} (Fig. 7), in contrast to adsorbing at a bridge site on 633 $Pd_5O_4/Pd(111)$ because the $Pd_{2c}-Pd_{2c}$ distance is slightly larger on the cluster (0.294 vs. 0.281 nm). A

634 comparison of energies of different adsorbate binding modes on $Pd_{13}O_8$ can be found in Table S8. The Pd_{2c} 635 atoms in $Pd_{13}O_8$ are weaker Lewis acids than those in $Pd_5O_4/Pd(111)$, reflected by less negative adsorption 636 energies of O* (-286 vs. -314 kJ mol⁻¹), OH* (-205 vs. -223 kJ mol⁻¹), and OOH* (-113 vs. -124 kJ mol⁻¹), 637 as shown in Table 1. In contrast, the O_{3c} atoms in $Pd_{13}O_8$ are stronger Lewis bases than those in 638 $Pd_5O_4/Pd(111)$, as shown by a more negative H* adsorption energy (-405 vs. -364 kJ mol⁻¹; Table 1). This 639 strong H* binding compensates for the weaker OOH* binding on $Pd_{13}O_8$, leading to the O-H bond formation 640 step (step 4; Scheme 1) that is kinetically and thermodynamically less favorable on $Pd_{13}O_8$ than on

641 Pd₅O₄/Pd(111) ($\Delta E_{0-H} = 13 \text{ vs.} -12 \text{ kJ mol}^{-1}$; $\Delta E_{0-H}^{\pm} = 45 \text{ vs.} 14 \text{ kJ mol}^{-1}$). O-O cleavage in OOH* is also 642 thermodynamically more facile on Pd₅O₄/Pd(111) than on Pd₁₃O₈ ($\Delta E_{0-0} = -65 \text{ vs.} -60 \text{ kJ mol}^{-1}$) although 643 it is kinetically less facile on Pd₅O₄/Pd(111) ($\Delta E_{0-0}^{\pm} = 79 \text{ vs.} 54 \text{ kJ mol}^{-1}$). Correspondingly, the estimated 644 primary H₂O₂ selectivity as given by the k_{O-H}/k_{O-O} ratio is 10² on Pd₁₃O₈, which is dramatically higher than 645 on metallic Pd₁₃ (10⁻¹⁰; 300 K; Fig. 6c), but lower than on Pd₅O₄/Pd(111) (10⁹; 300 K; Fig. 6c).

Bulk PdO models become relevant at very high oxidizing conditions. PdO(101) contains undercoordinated Pd_{3c} atoms (Fig. 1) on top of which, OOH* tends to cleave its O-O bond with a small activation barrier; such a barrier is smaller than that to reduce to OOH* to form $H_2O_2^*$ (14 vs. 55 kJ mol⁻¹; Figs. 6a-b). These barriers lead to an estimated k_{O-H}/k_{O-O} ratio much smaller than unity on PdO(101) (10⁻⁵; 300 K; Fig. 6c). Hence the kinetic preference on PdO(101) is more akin to that on metallic Pd models (10⁻¹⁰ to 10⁻⁴; 300 K; Fig. 6c), presumably due to the presence of undercoordinated Pd_{3c} ensemble sites that resemble those on metallic Pd.

653 The structure of bulk PdO(101) differs from the epitaxial PdO(101) layer on Pd(100) 654 (PdO(101)/Pd(100)). The Pd_{3c} atom in PdO(101) interacts with three O-atoms, while Pd_{2c} in PdO(101)/Pd(100) interacts with two surface O-atoms as there are no O-atoms in the subsurface (Fig.1). 655 These structural differences lead to very different charge distributions in Pd atoms; Pd_{3c} in PdO(101) is 656 more positively charged than Pd_{2c} in PdO(101)/Pd(100) (+0.64e and +0.47e, respectively, from the Bader 657 658 charge analysis). The more positively charged Pd_{3c} atoms in PdO(101) are thus stronger Lewis acids, reflected in more negative adsorption energies of O*(-375 vs. -339 kJ mol⁻¹), OH* (-304 vs. -256 kJ mol⁻¹) 659 ¹), OOH* (-239 vs. -155 kJ mol⁻¹) and $H_2O_2^*$ (-118 vs. -67 kJ mol⁻¹) on such sites (Table 1). The O_{3c} atoms 660 661 in these surfaces, however, have similar coordination environments; they are both coordinated to three Pd 662 atoms. As a result, their charges are similar for both surfaces (-0.75e in both cases), rendering similar H* adsorption energies on these sites (-395 vs. -383 kJ mol⁻¹; Table 1). While the O-H formation step is 663 664 thermodynamically less favorable on PdO(101) than PdO(101)/Pd(100) ($\Delta E_{O-H} = +47$ vs. +25 kJ mol⁻¹), DFT calculations suggest that this step is kinetically more favorable on PdO(101) ($\Delta E_{O-H}^{\dagger} = 55$ vs. 66 kJ 665 666 mol⁻¹; Fig. 6a). O-O cleavage in OOH*, however, is thermodynamically and kinetically more favorable on 667 PdO(101) with stronger Lewis acid sites than on PdO(101)/Pd(100) ($\Delta E_{0-0} = -84$ vs. -45 kJ mol⁻¹; $\Delta E_{0-0}^{\dagger} = -84$ vs. -45 kJ mol⁻¹; ΔE_{0-0

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668 14 vs. 57 kJ mol⁻¹; Fig. 6b). These barriers lead to the estimated k_{O-H}/k_{O-O} ratio that is smaller on PdO(101) 669 than on PdO(101)/Pd(100) (10⁻⁵ vs. 10⁻¹; 300 K; Fig. 6c). Yet, in both cases, the k_{O-H}/k_{O-O} ratio is smaller 670 than unity indicating a lack of H₂O₂ selectivity stemming from the presence of undercoordinated Pd_{3c} and 671 Pd_{2c} atoms.

The primary H_2O_2 selectivity estimated by the k_{O-H}/k_{O-O} ratio is much larger than unity on PdO(100) 672 673 (10¹⁶; 300 K; Fig. 6c), which features the fully coordinated Pd_{4c} sites. This is in stark contrast to the k_{0-1} _H/k_{O-O} ratio of 10⁻⁵ on PdO(101) that has undercoordinated Pd_{3c} sites. The estimated k_{O-H}/k_{O-O} ratio is also 674 larger than unity (10¹⁷, 300 K; Fig. 6c) on the $Pd_{13}O_{18}$ cluster, which also contains surface Pd_{4c} atoms in 4-675 676 fold coordination. In this Pd₁₃O₁₈ cluster, which results from full oxidation of the Pd₁₃ cluster, O-atoms are 677 in either 2- or 3-fold coordination (O_{2c}, O_{3c}; Fig. 1). H atoms tend to bind more strongly on O_{2c} than on O_{3c} as reflected by the more negative H* adsorption energy (-503 vs. -466 kJ mol⁻¹; Table S11). Note that the 678 679 O-H formation step is thermodynamically and kinetically more favorable on PdO(100) than on $Pd_{13}O_{18}$ $(\Delta E_{O-H} = 19 \text{ vs. } 81 \text{ kJ mol}^{-1}; \Delta E_{O-H}^{\dagger} = 49 \text{ vs. } 93 \text{ kJ mol}^{-1}; \text{ Fig. 6a}).$ This trend reflects the O_{3c} atom in PdO(100) 680 681 that binds H* less strongly than the O_{2c} atom in Pd₁₃O₁₈ (-448 vs. -503 kJ mol⁻¹; Table 1), making it easier to transfer that H* to OOH*. Although OOH* adsorbs in an atop configuration on Pd_{4c} sites in both 682 PdO(100) and Pd₁₃O₁₈, OOH* adsorption is slightly more favorable on PdO(100) than on Pd₁₃O₁₈ (-101 vs. 683 684 -89 kJ mol⁻¹; Table 1). The O-O cleavage step is thermodynamically less favorable on PdO(100) than on 685 $Pd_{13}O_{18}(\Delta E_{O-O} = 142 \text{ vs. } 106 \text{ kJ mol}^{-1}; \text{ Fig. 6b})$ because of the strong binding nature of OOH* as the reactant 686 and the weaker binding of O* and OH* products on PdO(100). Yet, DFT calculations suggest that such a 687 step is kinetically more favorable on PdO(100) than on Pd₁₃O₁₈ ($\Delta E_{O-O}^{\dagger} = 172$ vs. 217 kJ mol⁻¹; Fig. 6a). 688 This trend reflects the "curved" nature of the Pd₁₃O₁₈ cluster that causes the O-O cleavage TS to only weakly 689 interact with the neighboring Pd_{4c} site as opposed to interacting with two Pd_{4c} sites on PdO(100) (Fig. 8), even though the "straight" Pd_{4c}-Pd_{4c} distance is longer in PdO(100) than in Pd₁₃O₁₈ (0.305 nm and 0.280 690 nm, respectively). Regardless, estimated k_{O-H}/k_{O-O} ratios are much larger than unity on both PdO(100) and 691 Pd₁₃O₁₈ models (10¹⁶ and 10¹⁷, 300 K; Fig. 6c), reflecting very high primary H₂O₂ selectivities. 692

693 In summary, DFT-derived k_{0-H}/k_{0-0} ratios are smaller than unity for all metallic Pd models (10⁻¹⁰-10⁻⁴; 300 K; Fig. 6c), indicating very low primary H₂O₂ selectivities on metallic Pd regardless of particle 694 695 size. As Pd starts to oxidize, O-atoms start to perturb Pd-Pd ensemble sites and the possibility of observing a preference for O-H bond formation over cleavage of the O-O bond in OOH* arises. However, whether 696 697 O-H bond formation is actually preferred in a given oxidized system or not depends on the coordination environment of Pd-O sites, surface structure, and charge density. In the smallest Pd clusters (~0.5 nm), 698 oxidation seemed to consistently engender environments that favored O-H bond formation over O-O 699 700 cleavage. However in some surface models, O-O cleavage was preferred, particularly in those still retaining 701 Pd-Pd ensembles in their structure despite oxidation (e.g., PdO(101) and PdO(101)/Pd(100)). As these

surface models are usually considered representative of the facets that show up on larger particles (> 5 nm

in diameter), the observations in this section suggest that as the Pd particle size increases, the positive effect

of oxidation on H_2O_2 primary selectivity may be hindered by the presence of "O-O cleavage-friendly" facets where Pd-Pd ensembles have not been fully disrupted. Resulting design principles may even translate to

other systems such as Pd-Au catalysts, where high H_2O_2 selectivities have been reported,¹⁶ due to the

707 disturbance of Pd-Pd ensemble sites by Au atoms.⁸¹ Such scenarios also invite careful study of the structure

of Pd-Au surfaces at operando conditions during H_2O_2 synthesis, as H_2O_2 selectivities are highly sensitive

to the Pd coordination environment.

710

3.3. DFT assessments of H₂O₂ decomposition pathways on Pd, PdO/Pd and PdO catalysts

While the previous section focused on primary H_2O_2 selectivity (the tendency to form $H_2O_2^*$ from OOH* via O-H formation), it must be recognized that a catalyst with high primary H_2O_2 selectivity could still result in low H_2O_2 yield if $H_2O_2^*$ formed easily decomposes. Such a decomposition involves O-O bond cleavage in $H_2O_2^*$ to form 2OH* (step 12 in Scheme 1), which leads to the formation of H_2O and O_2 products (steps 7-11 in Scheme 1). In this section, the H_2O_2 decomposition step is studied to assess whether catalysts with high primary H_2O_2 selectivities can maintain high H_2O_2 yields by imposing high barriers in H_2O_2 decomposition pathways.

719 Figure 9a shows DFT-derived activation barriers for cleaving the O-O bond in $H_2O_2^*$ (Δ $E_{O-O', H_2O_2*}^{\ddagger}$; step 12 in Scheme 1) as a function of the corresponding reaction energies ($\Delta E_{O-O', H_2O_2*}$). 720 We find O-O cleavage in H₂O₂* to be very exothermic on all metallic Pd models, ranging between -270 721 and -206 kJ mol⁻¹ (black symbols in Fig. 9a). The thermodynamic favorability follows the order of Pd(111) 722 723 < Pd(100) < Pd₅₅ < Pd₁₃, similar to the previously discussed trend for O-O cleavage in OOH* (which was 724 controlled by the presence of less coordinated Pd atoms). As this step is very exothermic on all metallic Pd 725 models, the barriers remain nearly zero (2-15 kJ mol⁻¹; Fig. 9a) in these systems. Thus, not only is $H_2O_2^*$ formation is difficult on metallic Pd, but any formed H₂O₂* also easily decomposes, indicating a direct 726 727 correlation between primary H₂O₂ selectivity and H₂O₂* product "stability".

728 Similar to how O-O cleavage of OOH* tends to become more difficult as Pd oxidizes, O-O cleavage 729 in H₂O₂* also tends to become thermodynamically and kinetically less favorable upon Pd oxidation. The 730 reaction energies ($\Delta E_{0-0', H_2O_2*}$) on PdO/Pd slab models (Pd₅O₄/Pd(111) and PdO(101)/Pd(100)), which are relevant to surface oxides formed on large particles (> 5nm), are -153 and -133 kJ mol⁻¹, respectively, 731 732 which are less favorable than the -206 to -270 kJ/mol range observed on metallic Pd models (Fig. 9a). Accordingly, the H₂O₂* decomposition barriers for these surface oxide models ($\Delta E_{O-O', H_2O_2*}^{\ddagger} = 26 \text{ kJ mol}^{-1}$ 733 ¹ on both surfaces; Fig. 9a) are larger compared to those on metallic Pd surfaces (2-18 kJ mol⁻¹ range; Fig. 734 735 9a). As large Pd nanoparticles completely turn into bulk PdO, the barriers become even larger on facets that

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feature fully coordinated Pd- and O-atoms. Specifically, $\Delta E_{O-O', H_2O_2*}^{\ddagger}$ is 65 kJ/mol on PdO(100) as the fully coordinated Pd_{4c} atoms bind the O-O cleavage TS more weakly than the H₂O₂* precursor. Yet, on PdO(101), which features Pd ensembles of more metallic, undercoordinated Pd atoms (Pd_{3c}-Pd_{3c} sites), the barrier is very similar to those on the metallic Pd systems ($\Delta E_{O-O', H_2O_2*}^{\ddagger} = 12$ kJ mol⁻¹ vs. 2-18 kJ mol⁻¹, respectively).

741 On the other hand, as noted in the previous section, these Pd_{3c}-Pd_{3c} or Pd_{2c}-Pd_{2c} ensemble sites are 742 not present on the Pd₁₃O₁₈ cluster model. The O-O cleavage reaction energies on these clusters becomes 743 increasingly unfavorable as Pd₁₃ oxidizes ($\Delta E_{0-0', H_2O_2*}$ = -270 to -133 to -6 kJ mol⁻¹ on Pd₁₃, Pd₁₃O₈, and $Pd_{13}O_{18}$, respectively; Fig. 9a). Accordingly, the barrier for $H_2O_2^*$ decomposition increases from 6 kJ mol⁻¹ 744 on Pd₁₃ to 35 kJ mol⁻¹ on Pd₁₃O₈ and to 108 kJ mol⁻¹ on Pd₁₃O₁₈ (Fig. 9a). This demonstrates a noticeable 745 increase in activation barrier as O* atoms are incorporated into the Pd₁₃ structure, making it more difficult 746 to cleave the O-O bond in H₂O₂*. Additionally, it is worth noting that this O-O cleavage step becomes even 747 more difficult as particle size decreases; the barriers on Pd₁₃O₁₈ and PdO(100) are 108 vs. 65 kJ mol⁻¹, 748 respectively (Fig. 9a), even though the reaction energies were similar (-6 vs. -2 kJ mol⁻¹). On Pd₁₃O₁₈, the 749 O-O cleavage TS in $H_2O_2^*$ is similar in configuration to the O-O cleavage TS in OOH*, where the "curved" 750 nature of the cluster lowers the stability of the TS relative to the initial state (Fig. S33), increasing its 751 activation barrier in comparison to PdO(100), its larger counterpart. 752

753 Given the similarity between the OOH* cleavage and H₂O₂ decomposition steps (steps 6 and 12 in 754 Scheme 1), it is perhaps not surprising that the same reasons that explain trends in O-O cleavage in OOH* cleavage also explain O-O cleavage in H₂O₂*. This is further reflected in the linear correlation between the 755 756 barriers for these two steps (Fig. 9b). The existence of this correlation suggests that Pd/PdO surfaces that 757 decompose H₂O₂ significantly would also tend to exhibit low primary H₂O₂ selectivity in the direct 758 synthesis process, and vice versa. This observation supports the use of H₂O₂ decomposition as a descriptor 759 of H₂O₂ selectivity as it has been done in the literature.^{12,24} However, one should be careful in correlating 760 measured H_2O_2 decomposition rates and kinetic trends to understand H_2O_2 selectivities in the synthesis 761 process. The state of the catalyst during H_2O_2 synthesis and decomposition may differ significantly, and as 762 discussed so far, not only the oxidation state of Pd but also its coordination environment can have a significant impact on the favorability of competing reaction pathways. Partly motivated by this fact, we 763 now proceed to discuss the most thermodynamically relevant states of Pd under O2, H2O2/H2O, and O2/H2 764 765 environments on the basis of *ab initio* thermodynamics.



Figure 9. (a) DFT-derived activation energies for cleaving the O-O bond in $H_2O_2^*$ (step 12 in Scheme 1) as a function of respective reaction energies and b) DFT-derived activation energies for cleaving the O-O bond in $H_2O_2^*(\Delta E_{\partial - 0',H2O2^*})$ vs. OOH* ($\Delta E_{\partial - 0,OOH^*}^{\dagger}$). DFT-derived structures of intermediates and TSs involved in the O-O cleavage step (in $H_2O_2^*$ to form two OH*) on each surface can be found in Section S4.

3.4. Pd to PdO phase transformation in O₂, H₂O₂/H₂O, and O₂/H₂ environments

774 The thermodynamics of Pd oxidation in an O₂ environment has been widely studied in literature both experimentally^{40,42,45} and theoretically.^{40,44,80} Yet, to the best of our knowledge, previous theory-driven 775 phase diagrams have only focused on slab models of $Pd(100)^{45}$ and $Pd(111)^{40}$ and a cluster model (~3 nm) 776 comprised of (111), (100), and (110) facets, ⁶³ leaving a knowledge gap in understanding the particle size 777 778 effects in Pd oxidation process. Moreover, thermodynamics of Pd oxidation in H₂O₂ environment has not 779 been discussed before, which is important given that both the oxidation state of Pd and its coordination environment impact H₂O₂ synthesis and decomposition kinetics. Results in previous sections showed that 780 H₂O₂ can easily decompose on metallic Pd systems to form O* and H₂O* via steps that are nearly 781 barrierless. The relevant barriers for H₂O₂ decomposition on all metallic Pd models (via O-O cleavage in 782 783 $H_2O_2^*$, 2 – 15 kJ mol⁻¹) are even smaller than those for O_2 activation (via O-O cleavage in O_2^* , 34 – 54 kJ mol⁻¹; Fig. S35). Since O₂ activation on metallic Pd has previously been shown to occur even at very 784 low temperatures (≤ 200 K),^{74,81} the smaller barriers for H₂O₂ decomposition suggest it can also occur at 785 786 low temperatures on metallic Pd, oxidizing it to form a surface or bulk oxide. 787 Figure 10a shows the change in the grand potential (normalized by surface area; $\Delta \varphi$; Eq.4) upon Pd(111) oxidation as a function of the O* chemical potential (μ_{O*} , referenced to O₂; Eq. 11) at a fixed 788

temperature of 300 K. At low μ_{0*} values, Pd(111) prefers to remain clean. As μ_{0*} increases, Pd(111) prefers

to feature a 0.25 O* monolayer (ML; the ratio of O* to surface Pd atoms), then to feature a surface oxide 790 791 layer (Pd₅O₄/Pd(111)) as μ_{0*} continues to increase, before fully turning into bulk PdO when μ_{0*} is sufficiently high. Note that the maximum O* surface coverage on Pd(111) before forming a surface oxide 792 793 is limited to 0.25 ML because the repulsive interactions among bound O* species become too strong beyond this coverage, consistent with trends in previous reports.^{40,44,82} Such a trend is also reflected in DFT-derived 794 O* adsorption energies that become less favorable above 0.25 ML, as shown in Figure S36. For Pd(100), 795 the thermodynamically most stable phase changes from Pd(100) to PdO(101)/Pd(100), and then to bulk 796 797 PdO as μ_{0*} increases (Fig. S11a); the O* covered Pd(100) surfaces do not appear as the most stable phases 798 even after including Pd(100) models with a wide range of O* coverages (0.0625 - 1 ML).

799 For the Pd₁₃ cluster model, the most stable phase changes from Pd₁₃, to Pd₁₃O₈ and finally to Pd₁₃O₁₈ upon the increase in μ_{O^*} (Fig. S11b). Note that in contrast to O* adsorption on Pd(111) that is limited to 800 801 0.25 ML, the average O* adsorption energy on Pd₁₃ does not vary up to 0.67 ML (corresponding to the $Pd_{13}O_8$ cluster), after which point the repulsion between O* starts to affect the O* adsorption energy (Fig. 802 803 S36). Such a trend reflects how repulsions between neighboring O* species are alleviated by the curved nature of the Pd₁₃ cluster and the ability of small clusters to modify Pd-Pd bond distances to accommodate 804 805 more O* atoms; the average Pd-Pd bond distance in Pd₁₃ is ~0.28 nm, while Pd₁₃O₁₈ exhibits longer Pd-Pd 806 distances (0.29 - 0.35 nm). A similar phenomena was observed by Loveless *et al.*, who demonstrated that 807 the curved Ru₂₀₁ cluster model can accommodate CO molecules up to 1.55 CO* coverages, which was 808 limited to 0.75 ML on the flat Ru(0001) slab model.83

The μ_{O^*} values can be related to O_2 pressure and temperature via Equation 11, as shown in Figures 10b-d for the Pd(111), Pd(100), and Pd₁₃ oxidation processes. For example, at 900 K, Pd(111) becomes covered with 0.25 ML O* (P_{O2} \geq 10⁻⁵ bar) and forms a surface oxide (Pd₅O₄/Pd(111)) (P_{O2} \geq 10⁻³ bar), before converting into bulk PdO once the O₂ pressure reaches 10 bar. Similarly, at 900 K, Pd(100) forms PdO(101)/Pd(100) at 10⁻⁵ bar O₂ before transforming to bulk PdO at 10 bar O₂. These trends on Pd slab models agree quantitatively with previous theoretical studies.^{40,44}

815 Indicative of size effects, the small Pd_{13} clusters seem to oxidize more easily, with Pd_{13} turning into 816 $Pd_{13}O_8$ (O/Pd_s = 0.67) at lower O₂ pressure (10⁻¹² bar), compared to 10⁻³ and 10⁻⁵ bar O₂ required to form 817 surface oxides on Pd(111) and Pd(100). These results are consistent with O_2 uptake experiments on 818 Pd/Al₂O₃, which demonstrated a downshift in the O₂ pressure needed to oxidize Pd to PdO (from 0.8 bar to 819 0.2 bar at 973 K) as the size of the original metallic Pd particles decreased from 8.8 to 1.8 nm.²⁷ This trend, 820 in turn, is a consequence of the stronger O* binding observed in smaller Pd particles, as shown by more 821 negative O* adsorption energies for Pd₁₃ clusters compared to those for Pd(111) and Pd(100) (-146 vs. -129 and -119 kJ mol⁻¹, respectively, at low O* coverage limits < 0.1 ML, referenced to $\frac{1}{2}$ O₂(g); Fig. S36). 822 Yet, the formation of $Pd_{13}O_{18}$ (O/Pd_s = 1.5) occurs at 10³ bar of O_2 pressure, which is even higher than that 823

- required to form bulk PdO from Pd(111) and Pd(100) surfaces (10 bar O₂; 900 K). The small Pd clusters
- 825 thus tend to present at "surface oxides" at a wider O₂ pressure range, although for such small clusters, the
- 826 differentiation of bulk and surface becomes less relevant.



Figure 10. The change in the grand potential (normalized by surface area; $\Delta \varphi$; Eq.4) upon Pd(111) oxidation as a function of the O* chemical potential (μ_{O*} , referenced to O₂; Eq. 11) at 300 K. Similar plots for other models (Pd(100) and Pd₁₃) can be found in Figure S11 (SI). The panels b-d represent the thermodynamically most stable-structures of b) Pd(111), c) Pd(100), and d) Pd₁₃ at a range of temperatures (300-1000K), O₂ pressures (bar), and H₂O₂/H₂O ratios.

833 Alternatively, one can instead relate μ_{O^*} to a pressure ratio of H₂O₂ and H₂O, and to a temperature via Equation 12. For instance, at 900 K, Pd(111) transforms to 0.25 ML O*, P₅O₄/Pd(111), and to PdO at 834 H₂O₂/H₂O pressure ratios of 10⁻⁶, 10⁻⁵, and 10⁻³ (Fig. 10b). Pd(100) transforms to PdO(101)/Pd(100) and 835 836 PdO at H₂O₂/H₂O pressure ratios of 10^{-6} and 10^{-3} (Fig. 10c). As particle size decreases, Pd₁₃ transforms to 837 Pd₁₃O₈ and Pd₁₃O₁₈ at H₂O₂/H₂O pressure ratios of 10⁻⁹ and 10⁻¹ (at 900 K; Fig. 10d). During typical H₂O₂ decomposition experiments, H₂O₂/H₂O ratios range between 0.08 to 0.6 M H₂O₂ in H₂O (corresponding 838 to H₂O₂/H₂O ratios of 10⁻³-10⁻²) at 307 K,¹² indicating that PdO would be the relevant state of the catalyst 839 for Pd surfaces and smaller Pd₁₃ clusters according to our DFT-derived phase diagrams (Figs. 10b-d). 840

It should be noted that while qualitative agreements can be expected between theoretical phase diagrams and experimental observations, sources of quantitative discrepancies may stem from DFT errors in estimating the interaction strength between O and Pd atoms, but also from the assumption of

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844 thermodynamic equilibration. While the latter assumption could largely hold true at high enough 845 temperatures to overcome all kinetic hurdles, bulk oxidation can be limited by the dissolution of surface-846 bound O* into the bulk at lower temperatures. Thus, the formation of metastable structures that differ from 847 those predicted from thermodynamics may occur at lower temperatures.^{40,45} For instance, a surface phase diagram for Pd(100) obtained using in-situ surface X-ray diffraction (SXRD) (10⁻¹⁰- 1bar O₂; 300-1000 K) 848 detected PdO(101)/Pd(100) even at 1 bar O₂ and 600 K, ⁴⁵ the condition at which PdO is expected to be 849 thermodynamically most stable (Fig. 10b). This result reflects that at lower temperatures (T \leq 600 K), a 850 kinetic hinderance may prevent surface oxides from transforming to bulk oxides. In contrast, the smaller 851 852 Pd particles are less likely to be impacted by such O* diffusion limitations, allowing them to oxidize to PdO/Pd or PdO at lower O₂ pressures or H₂O₂/H₂O ratios. Nonetheless, the results presented here should 853 be safely interpreted as indicative of relative thermodynamic driving forces to form an oxide phase. 854

855 Finally, during H_2O_2 synthesis, the relevant Pd, PdO/Pd, and PdO states depend not only on the oxidant pressures (O₂), but also on the reductant pressures (H₂). The phase diagrams for Pd(111) in O₂ and 856 H₂ mixtures are shown in Figure S13 (SI), which agree quantitatively with those reported previously.²⁰ 857 These results, in turn, show that at typical H₂O₂ synthesis conditions (5-100 bar O₂ and H₂; 275-315 K), 858 β -PdH(111) and Pd₅O₄/Pd(111) would be the active phases of the catalyst at low and high O₂/H₂ ratios, 859 respectively. These conclusions are consistent with in-situ XAS studies by Adams et al. who detected 860 861 surface oxides in O₂-rich condition (0.6 bar H₂, 10 bar O₂, 298K; in H₂O) and β -PdH_x in H₂-rich condition 862 (7 bar H₂, 0.6 bar O₂, 298K; in H₂O).²³ Although the further exploration of these hydride phases is beyond 863 the scope of this work, this exercise highlights that the active Pd phase is highly sensitive to reaction 864 conditions. It is also worth noting that Pd-based catalysts have also been explored for alkane oxidation using O2 and H2 mixtures to form H2O2 in-situ.6,84 Our phase diagram at moderate conditions for C3H8 865 oxidation catalysis (10-3-1 bar O₂ and H₂; 450 K)⁸⁵ suggests Pd₅O₄/Pd(111) to be the relevant state during 866 these reactions. These results, in turn, show the importance of the Pd oxidation states and their surface 867 structures on reaction kinetics and selectivities, requiring a careful characterization of the catalyst at 868 869 relevant conditions to provide detailed structure-function relationships in catalysis.

870

871 4. Conclusion

This study used DFT treatments and *ab initio* thermodynamics to explore particle size effects on the thermodynamics of Pd to PdO phase transformation and its consequences on H_2O_2 synthesis and decomposition pathways. Primary H_2O_2 selectivities are governed by the kinetic preference of OOH* species to either react with H* to form $H_2O_2^*$ or to decompose into O* and OH* that ultimately leads to the formation of undesired H_2O and O_2 products. This kinetic preference is estimated for metallic Pd, surface oxides, and bulk PdO models based on the ratio of rate constants for these two elementary steps (k_{O-H}/k_{O-O}). While k_{O-H}/k_{O-O} increased in the order of Pd₁₃ < Pd₅₅ ~ Pd(100) < Pd(111) indicating the improved primary selectivity for larger Pd particles, it still remained smaller than unity on all metallic Pd models (10⁻¹⁰-10⁻⁴; 300 K), indicating that O-O cleavage in OOH* is kinetically preferred over O-H formation regardless of exposed facet or particle size.

882 At higher chemical potentials of oxygen, metallic Pd oxidizes into surface and bulk oxides. As Pd atoms are perturbed by O* atoms, the primary H₂O₂ selectivity significantly improves; at 300 K, the k₀. 883 $_{\rm H}/k_{\rm OO}$ ratio increases from 10⁻⁴ to 10⁹ and to 10¹⁶ as Pd(111) oxidizes to Pd₅O₄/Pd(111) and to PdO(100). 884 Consistently, the k_{O-H}/k_{OO} ratio increases from 10⁻¹⁰ to 10² and to 10¹⁷ (at 300 K) as the small Pd₁₃ 885 886 nanocluster oxidizes into Pd₁₃O₈ and into Pd₁₃O₁₈. However, such selectivity enhancements are not 887 observed for surface and bulk oxides that persistently contain rows of undercoordinated Pd-Pd ensemble sites, such as PdO(101)/Pd(100) and PdO(101). These surface structures are absent in smaller Pd 888 nanoparticles, indicating that these smaller clusters can be more selective in H₂O₂ synthesis when they are 889 890 oxidized. These trends of primary H_2O_2 selectivities match those observed for H_2O_2 decomposition rates 891 via O-O bond cleavage, indicating that the catalysts with high primary H_2O_2 selectivity are also resistive to 892 H₂O₂ decomposition.

893 Ab-initio thermodynamic calculations are used to probe the relevant phase of Pd during H_2O_2 894 synthesis and decomposition reactions. These results demonstrated that in comparison to larger Pd surfaces, 895 smaller Pd particles tend to form surface oxides at lower O^* chemical potential (and thus at lower O_2 pressures or H₂O₂/H₂O ratios). These small Pd₁₃ clusters also present as surface oxides at a larger range of 896 O*chemical potentials. Our DFT-derived phase diagrams suggest that large Pd surfaces and small Pd 897 particles will form bulk oxides under typical H_2O_2 decomposition reactions, although the formation of bulk 898 899 oxides of large Pd particles may be kinetically hindered by O* diffusions at low temperatures. In contrast, Pd surfaces can present as a surface oxide or β -PdH_x under typical H₂O₂ synthesis or alkane oxidation 900 901 conditions. Considering the significant impacts of oxidation states and surface structures on H₂O₂ 902 selectivities and yields, these results urges careful consideration in correlating measured H_2O_2 903 decomposition rates and kinetic trends to understanding H₂O₂ selectivities in the synthesis process.

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- 913
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