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Machine learning guided microwave-assisted quantum dot synthesis and indication of residual H₂O₂ in human teeth

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Current preparing methods of carbon quantum dots (CDs) involve many reaction parameters, which leads to many possibilities in the synthesis processes and high uncertainty of the resultant production performance. Recently, machine learning (ML) methods have shown great potential in correlating the selected features in many applications, which can help understand the relevant structure-function relationships for CDs and discover better synthesis recipes as well. In this work, we employ the ML approach to guide the blue CD synthesis in microwave systems. After optimizing the synthesis parameters and conditions, the quantum yield (QY) increases to about 200% higher than the average value of the prepared samples without ML guidance. The obtained CDs are applied as fluorescence probes to monitor hydrogen peroxide (H_2O_2) in human teeth. The CD probe exhibits a linear relationship with the concentration of H_2O_2 ranging from 0 to 1.1 M with a lower detection limit of 0.12 M, which can effectively detect the residual H_2O_2 after bleaching teeth. This work shows that the adopted ML methods have considerable advantages in guiding the synthesis of high-quality CDs, which could accelerate the development of other novel functional materials in energy, biomedical, and environmental remediation applications.

Introduction

Hydrogen peroxide (H₂O₂) is an essential oxidizing, bleaching, and sterilizing agent in the biochemical and chemical industry ¹. A high concentration of H2O2 may lead to serious health problems associated with asthma, cardiovascular disease, and cancer². The rapid and precise determination of H2O2 is one of the crucial tasks in numerous fields. Several methods have been reported3, 4 such as liquid chromatography⁵, electrochemistry⁶, electrochemiluminescence⁷, colorimetric⁸, fluorescence methods⁹, and so on. The fluorescence method based on carbon quantum dots (CDs) is popular because of its simplicity, high sensitivity, and rapid response^{10, 11}. As a new type of fluorescent nanomaterials¹², CDs have excellent optical properties¹², low toxicity¹³, and environmental friendliness¹⁴, which make them suitable for various applications in biosensors^{15, 16}, ion probes¹⁷⁻¹⁹, and cell imaging²⁰⁻²². Due to its excellent physical and chemical properties and widespread application, many synthesis methods have been investigated. Compared with other strategies to synthesize highquality CDs costly and complicatedly, the microwave-assisted method is simple and quick²³, where the precursor is heated by the dielectric loss of the electromagnetic field^{24, 25}. Microwaves have been widely used in many processes of materials preparation²⁶ and chemical synthesis²⁷, because of their excellent penetrating capabilities28, which allow the heating of samples/components quickly and uniformly²⁹. Thus, this method offers a short synthesis time leading to an accelerated cycle of new material discovery²⁶. However, the optimization of the CDs synthesis conditions needs a tedious trial-and-error process, which is unable to keep up with the pace of new materials development, calling for more efficient and effective strategies.

In the recent few years, with the rapid increase of computational power, and advanced hardware and software platforms, machine learning (ML) as the most important branch of artificial intelligence has entered the center stage of the scientific community ^{30, 31}. It has emerged with big data and high-performance computing to create new opportunities for various applications in information science^{32, 33}, material synthesis^{34, 35}, material property prediction³⁶, and the discovery of new compounds³⁷. ML has exhibited a distinguished capability to accelerate the discovery of the new material by learning existing data and building data-driven models³⁸⁻⁴². For example, Han et al.⁴³ used ML to guide the synthesis of CDs in the hydrothermal system, improving quantum yield up to 39.9%. Machine learningdriven synthesis of CDs, which shows resembling morphology and display excellent performance, could be ultra-sensitive fluorescence probes for monitoring Fe³⁺ ions. Tang et al.⁴⁴ applied regression and classification algorithms by synthesizing CDs and growing chemical vapor deposition (CVD) MoS₂, respectively. The yield of CDs and the growing condition of MoS₂ were highly precisely and accurately predicted. Wang et al45 through the XGBoost model achieved CDsbased white photoluminescence (PL) emission with adjustable correlated color temperature (CCT) from 3093 to 11018 K. It is, therefore, appealing to introduce ML into CDs synthesis to accelerate experimental trials, reduce energy consumption and get a desirable result, which is promising and potential.

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In this study, ML is used to guide CD synthesis with enhanced yield by using the microwave method. The ML model is built on data obtained from experiment records and trained iteratively. Then, the model gives the best synthesis parameters and the QY is up to 15.7% through experimental verification. Besides, the correlation of the preparation parameters is analyzed by Pearson's correlation coefficient and grey relational analysis to obtain the inherent laws of these data. Furthermore, the photoluminescence of ML-guided CDs could be quenched by H_2O_2 , so they can be used as fluorescence probes for monitoring H_2O_2 in the concentration range of 0-1.1 M and with a 0.12 M detection limitation. Then it can effectively test the trace of H_2O_2 after bleaching teeth. Overall, the strategy of using ML to guide the microwave-assisted synthesis of CDs is of practical importance and helps to break new ground in synthesizing other advanced functional micro-/nanomaterials in the future.

Experimental method

Source of data and data processing

The ML guided microwave-assisted CDs schematic diagram is shown in Fig. 1 and the detailed synthesis of CDs is provided in the experimental section. The experimental records include the mass of precursor (M_P), the volume of EDA (V_{EDA}), the volume of deionized water (V_W), microwave intensity (I_M), microwave time (T_M), and the quantum yield (QY). QY is the output feature and others are considered input features. The internal data association between input features and output features aims to improve the QY properties through machine learning algorithms. 190 experimental records are retrieved from our sets of data obtained from experimental preparation records and QY (%) ranging from 0 to 100, respectively. The distribution histogram of microwave-assisted synthesis of CDs data for each of the parameters is shown in Fig. S1. More details about these data are summarized in the supporting information Table S1. The data set is enough to construct models as shown in Fig. S2.



Fig. 1. Framework for the guided synthesis of CDs by microwave method based on ML

The Pearson's correlation coefficient (PCC) among the 5 parameters are calculated and the corresponding heat map is presented in Fig. 2(a), which indicates that there is no strong correlation among these parameters and is satisfied with the independence requirement. Therefore, these 5 parameters could be used as the input features of the ML models. Moreover, the grey correlation analysis is performed to analyze the importance of these five features on QY as shown in Fig. 2(b). It indicates that the mass of the precursor and the microwave intensity affect the QY the most.

According to the law of mass action, the mass of the precursor determines its concentration in the solution and the term controls the nucleation rate of CDs, which affects the kinetics of the crystal growth. Besides, microwave intensity affects the heating rate and the temperature of the system. This parameter also affects the kinetics of CD growth as described by the Arrhenius equation. Therefore, these two features are expected to influence the synthesis of CDs synergistically in terms of the QY.

Model selection and optimization

The data set before the training model is normalized by the maximum and minimum values to eliminate the multiscale nature, which is all converted to a range between 0 and 1. To find the appropriate model for this problem, we test the performance of the decision tree (DT), multilayer perceptron (MLP), random forest (RF), and XGBoost (XGB) simultaneously under the same train data. These models are optimized by five-fold cross-validation and evaluated through performance metrics including mean squared error (MSE), mean absolute error (MAE), coefficient of determination (R²), and PCC. The performance metrics of the four models are shown in box plots in

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Fig. S3. Considering the generalization ability and fitting outcome, XGBoost is selected as the best ML model. Fig. 2(c) shows that the predicted value and experimental value have a linear relationship on all data, which demonstrates the excellent performance of XGB.



Fig. 2. (a) The heat map of Pearson's correlation coefficient among the selected features of the microwave. (b) The histogram of grey relational coefficient about the selected feature to QY relations. (c) Comparison between experimental and predicted values for train data set (blue dot) and test data set (red dot). (d) The histogram of predicted QY distribution.

Prediction

The constructed XGB model is trained with 190 experimental records, and it is employed to predict the QY. Through input ranges of each feature generating 3300 synthesis combinations, all these data are predicted QY by the XGB model. Fig. 2(d) is the distribution histogram of all the predicted data, and it can be seen that the overall predicted yield is normally distributed, which indicates the selection of data points is reasonable. Among these predicted QY results, five synthesis combinations with the highest predicted QY were chosen

Table 1 Combinations with the highest probability.

and experiments are performed to verify the yield. A high QY of 15.7% was achieved shown in Table 1, which is about 200% higher than the average value of the overall historical QY, indicating the feasibility and effectiveness of ML-guided microwave-assisted CDs synthesis. To better understand the internal raw, the predicted result is shown by a matrix map by the most important feature (Fig. S4). The redder the area, the QY higher with the combination of corresponding conditions. With the increase of mass of the precursor and microwave intensity, the QY improves obviously, which is consistent with the previous grey correlation analysis.

Results and discussion

Characterization of CDs

Based on the strategy of ML, the experimental synthesis has the highest QY of CDs. The transmission electron microscope (TEM) was used to investigate the diameter of the optimized CDs. As shown in Fig. 3(a), the CDs are well-dispersed in water solution and the distribution diagram (Fig. 3(b)) implies the particle size ranges from 3.25 to 6.25 nm, with an average diameter of 4.8 nm. The highresolution TEM (HRTEM) image(the insert of Fig. 3(a)) shows a clear crystal lattice with a lattice fringe distance of 0.21 nm, which corresponds to the (100) lattice plane of graphite carbon⁴⁶. The X-ray diffractometer (XRD) pattern of the CDs (Fig. 3(c)) displays a broad peak centered at $\sim 18^{\circ}$, which may be related to the small size of the CDs^{47, 48}. The topographic morphology of CDs was further measured by atomic force microscopy (AFM). In Fig. 3(d) and Fig. 3(e), the image of CDs provides an average thickness of 4.25 nm. These graphs show that the CDs produced by ML-assisted have uniform size and also have an elliptical structure, well agrees with the definition of CDs. We also studied the chemical structure of the CDs. As shown in Fig. 3(f), there are six obvious FTIR peaks at 3445, 1725, 1650, 1642, 1524, and 2239 cm⁻¹. The peak at 3445 cm⁻¹ is attributed to the -OH/-NH group, which gives them high solubility in water⁴⁶. The -NH and C=N stretching (about 3445 and 1642 cm⁻¹), indicate there are a huge number of -NH groups on the surface of CDs^{49, 50}. The peak at 1725 cm⁻¹ is attributed to C=O stretching vibration due to abundant hydroxyl groups in the precursor⁴³, and the peak at 1650 cm⁻¹ is a direct result of N-H and -COO stretching vibrations¹⁷...

No	Mass of precursor A (g)	EDA volume (ml)	Water volume (ml)	Microwave intensity (%)	Microwave time (min)	QY (%)	Experimental QY (%)
1	1.5	0.55	10	100	3	14.23	13.4
2	1.5	0.55	10	58	3	14.14	13.9
3	1.5	0.55	20	100	3	14.13	12.5
4	1.5	0.55	15	100	3	13.94	13.6
5	1.5	0.60	10	100	3	13.92	15.7

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Fig. 3. Characterizations of the ML-assisted synthesis of CDs. (a) TEM image of CDs (insert: HRTEM image). (b) The diameter distribution of CDs. (c) The XRD pattern of CDs. (d) AFM image of CDs (inset: height profile of the corresponding line in the AFM image). (e) The thickness distribution of CDs. (f) FT-IR spectrum of CDs.

The C=C and C=N stretching are discovered at 1524 cm⁻¹ and 1642 cm⁻¹, respectively. The peak at 1139 cm⁻¹ is assigned to C-N and C-O bending vibrations⁵¹. The UV-Vis absorption spectra, Fourier transform infrared spectroscopy (FT-IR) and X-ray photoelectron spectroscopy (XPS) were used to verify the structure and chemical bonds of the CDs. The UV-Vis absorption spectrum of CDs (Fig. 4(a)) shows a typical absorption peak at 237 nm corresponding to the π - π^* transition⁵². We also studied the optical properties of the CDs. Fig. 4(b) shows the illumination characteristics with excitation wavelengths from 290 nm to 400 nm. The peak positions of varied excitation wavelengths are almost identical and peak intensity decreases, which indicates the inexistence of excitation wavelengthdependent emission properties of the CDs⁵³. The optimal emission happens at the 480 nm wavelength when excited by a 320 nm light source. To investigate the CD solution stability, the fluorescence intensity was measured every ten minutes as shown in Fig. S5(a). The PL intensity of CDs remained unchanged within 60 min, and above 99% in the stability test.

Besides, we perform XPS analysis. The full spectrum of XPS (Fig. 4(c)) shows three typical peaks at 285, 400, and 531 eV, corresponding to C 1s, N 1s, and O 1s, respectively^{51,54}. In Table S3, the proportions of the three elements including C, N, and O are 66.27%, 23.32%, and 10.41%, respectively. As shown in Fig. 4(d), the high-resolution C 1s spectra of CDs can be deconvoluted into three peaks at 284.6 eV, 286.1 eV, and 288.2 eV, corresponding to sp² carbon C=C, -COOH, and C-N/C=N^{51, 55, 56}. The nitrogen atoms were

identified in high-resolution N1s spectra in Fig. 4(e). Three peaks at 398.4 eV, 399.6 eV, and 400.8 eV correspond to pyridine N, pyrrole N, and graphite N, respectively⁵¹. Nitrogen exists in various forms and forms a π bond conjugated O with C, suggesting that the nitrogen is successfully doped into the CD structure ⁵¹. The O 1s XPS spectra in Fig. 4(f) are fitted by four peaks, including carboxyl group (-COOH) and C=O, confirmed by C1s. Besides, the high-resolution O 1s peaks at 533 eV and 530.6 eV correspond to C-O and O-H, indicating the CDs could easily dissolve in water⁵⁵. The above FT-IR and XPS analyses show that the abundant hydrophilic functional groups on the surface of CDs not only provide interaction sites for specific ions or compounds but also improve the dispersion of CDs. **Detection of H₂O₂**

 H_2O_2 acts as a strong oxidant by consuming antioxidant substances in the body, causing low antioxidant capacity and reducing resistance, which further causes various diseases. Besides, H_2O_2 has certain harmful effects on human body, which causes DNA damage and mutation of human genetic material. Therefore, the determination of H_2O_2 has an important role in the biological healthy field⁵⁷. The prepared CDs as fluorescence probes are used to detect the concentration of H_2O_2 due to the rapid and sensitive response to H_2O_2 . Fig. 5(a) shows that the fluorescence intensity decreases with the gradual increase of the concentration of H_2O_2 . The fluorescence intensity gets linear relation with the concentration of H_2O_2 ranging from 0 to 1.1 M, with a correlation coefficient of 0.983 (Fig. 5(b)).

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Fig. 4. (a) The UV-Vis absorption wavelength of the CDs. (b) Fluorescence emission spectra of the prepared CDs at different excitation wavelengths. (c) XPS survey spectrum of CDs. XPS (d) C1s, (e) N1s, and (f) O1s spectra of CDs, respectively.

The detection limit is 0.12 mM according to 3 σ /s (where σ is the standard deviation of the blank measurement and s is the slope of the calibration graph). To understand the fluorescence quenching process of the CDs, the lifetime and absorption are studied. As shown in Fig. 5(c)), the time-dependent single-photon counting spectra of the CDs are reduced from 8.24 ns to 7.99 ns due to the quenched CDs solution. Besides, The UV-Vis absorption spectrum is measured before and after quenching by adding H₂O₂ to the aqueous solution of CDs. The change in the absorption spectrum can be observed in Fig. S5(b). Both of the above phenomena indicate a dynamic quenching mechanism⁵⁸. Thus, it is reasonable to speculate charge transfer between H₂O₂ and CDs leading to fluorescence quenching. To further verify the selectivity probe to H₂O₂, we tested the CDs in various ion solutions with a concentration of 200 µM, including Li⁺, Mg²⁺, Zn²⁺, Mn²⁺, Na⁺, Cd^{2+} , K^+ , and Ca^{2+} as shown in Fig. 5(d). We use $\Delta F = F_0 - F_1$ as an indicator, where F₀ is the fluorescence intensity at an excitation wavelength of 320 nm before the ions were introduced into the CDs, and F1 is that after ions were added into the mixture. It is demonstrated that the CDs are most sensitive toward H₂O₂ above all the ions.

The indication of H_2O_2 residue on teeth after bleaching

H₂O₂ is recognized as the primary potent bleaching agent by oxidizing organic colorants in dentin⁵⁹⁻⁶¹, which would remain on the surface of teeth and erode the hard and soft tissue in the oral⁶². In addition, H₂O₂ can easily enter tissues and cells in the body after oral intake, which can enter the free radical reaction chain, causing apoptosis and cancer, accelerating human aging and even inducing cardiovascular disease. So, we should indicate the residual on the surface of teeth and the method is shown in Fig. 6(a). CDs were added to the surface of bleached teeth, and H2O2 residue was observed by fluorescence intensity. Therefore, we collect the four teeth and polished one side of the dentin flatly, and the surface of the tooth has yellow pigment deposition. Two of the teeth are put into deionized water and one of them is the control group, others are soaked in hydrogen peroxide solutions with a volume fraction of 5% and 10%, respectively. After placing for 24 h in a dark and light-protected environment, the surface of the teeth is shown in Figs. S6 and S7. The tooth put into deionized water has no obvious change and others put into H₂O₂ lighten and change clearly. Moreover, the higher concentration of H2O2, the more obvious the surface of teeth color change.

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Fig. 5. (a) The effect of H_2O_2 quenching on CDs PL intensity, and (b) the change of $\Delta F/F_0$ intensity of CDs solution versus the concentration of H_2O_2 at 480 nm under the excitation wavelength 320 nm. (c) The comparison of the illumination lifetime before and after H_2O_2 quenching. (d) The selective sensitivity of CDs.

To indicate H_2O_2 residue on teeth, we add 10 µL CDs solution to the polished surface and the control group added the same amount of deionized water (Fig. S8). As shown in Fig. 6(c-e), the fluorescence of the experimental group is decreased with the increase in H_2O_2 . It demonstrates that the CDs can effectively indicate H_2O_2 residue on teeth after bleaching, and fluorescence relative to the concentration of H_2O_2 before soaking. Furthermore, the CDs are tested under different concentration ionic and wide pH solutions.

As shown in Fig. 6(b), it can be seen that the NaCl solution does not interfere with the fluorescence of the CDs, indicating the stability of CDs in the high concentration ionic solution. Fig. S9 shows the photoluminescence of the CDs approximately unchanged in different pH solutions ranging from 1 to 12. So the wide range and stability of strong fluorescence make it versatile and applicable in more extreme tooth conditions.



Fig. 6. (a) The schematic illustration for indicating H_2O_2 residue by CDs on teeth after bleaching. (b) The stability of CDs vs NaCl solution. The fluorescence of CDs on the teeth surface after adding CDs solution under UV light. The teeth were soaked in deionized water (c), a volume fraction of 5% (d), and 10% (e), respectively.

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Conclusion

In summary, the present work demonstrates the application of ML in the synthesis of inorganic functional nanomaterials through data processing, model selection, and model prediction. ML predicts the properties of CDs in the microwave-assisted method, which effectively improves the QY up to 15.7%. It demonstrates that the ML can reveal some underlying relationships between data, helping to 'mine' the connection between features and results, and discover optimized synthesis conditions. The optimal CDs can be employed as sensing probes of high sensitivity and selectivity to detect H₂O₂. The probe has a wide detection range from 0 to 1.1 M, with a sensitivity limit of 0.12 M. then the optimized CDs are applied to the teeth to indicate the residuals effectively. More importantly, our work demonstrates the potential introduced ML into synthesizing materials is great and the data-driven model is effective in future development. we believe that this data-driven model can serve as an available way to reduce experimental costs and accelerate material synthesis.

Conflicts of interest

There are no conflicts to declare.

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