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**Chlorogenic acid combined with Epigallocatechin-3-Gallate  
mitigates D-galactose-induced gut aging in mice**

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32

33 **Abstract**

34

35 Chlorogenic acid (CGA) and Epigallocatechin-3-Gallate (EGCG) are major  
36 polyphenolic constituents of coffee and green tea with beneficial health properties. In  
37 this study, we evaluated the gut protecting effect of CGA and EGCG, alone or in  
38 combination, on D-galactose-induced aging mice. CGA plus EGCG more effectively  
39 improved the cognition deficits and protected the gut barrier function, compared with  
40 the agents alone. Specifically, CGA plus EGCG prevented the D-galactose mediated  
41 reactive oxygen species accumulation by increasing the total antioxidant capacity,  
42 reducing the levels of malondialdehyde, and suppressing the activity of the antioxidant  
43 enzymes superoxide dismutase and catalase. In addition, supplementation of CGA  
44 and EGCG suppressed gut inflammation by reducing the levels of the proinflammatory  
45 cytokines TNF $\alpha$ , IFN $\gamma$ , IL-1 $\beta$  and IL-6. Moreover, CGA and EGCG modulated the gut  
46 microbiome altered by D-galactose. For instance, CGA plus EGCG restored the  
47 Firmicutes/Bacteroidetes ratio of the aging mice to control levels. Furthermore, CGA  
48 plus EGCG decreased the abundance of Lactobacillaceae, Erysipelotrichaceae, and  
49 Deferribacteraceae, while increased the abundance of Lachnospiraceae,  
50 Muribaculaceae, and Rikenellaceae, at the family level. In conclusion, CGA in  
51 combination with EGCG ameliorated the gut alterations induced by aging, in part,  
52 through antioxidant and anti-inflammatory effects, along with its gut microbiota  
53 modulatory capacity.

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56 **Keywords:** chlorogenic acid, EGCG, aging, oxidative stress, inflammation, microbiota  
57 dysbiosis

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64 **1. Introduction**

65 Aging is characterized by a progressive decline in individuals' adaptability,  
66 physiological deterioration, and cognitive decline. Aging is also generally considered  
67 as a primary risk factor for developing various diseases, such as neurodegenerative  
68 disease, cardiovascular disorder, cancer, and diabetes<sup>1</sup>. It is estimated that in 2030,  
69 one-fifth of the population will be aged older than 65, which will increase the health  
70 care burden for families and governments<sup>2</sup>. Therefore, efforts to develop evidence-  
71 based anti-aging strategies are ongoing, including genetic, drugs, specific dietary  
72 interventions, and exercise.

73 Besides cognitive decline, which is a major problem during aging<sup>3</sup>, the gut also  
74 undergoes critical changes with advanced age. For example, gut barrier function  
75 degenerates with aging, which plays key role in gut permeability and protecting gut  
76 health<sup>4</sup>. Increase in oxidative stress is observed in aging and it contributes to "leaky  
77 gut". Overproduction of reactive oxygen species (ROS) drives proinflammatory shift,  
78 which feeds back more ROS generation. This vicious cycle causes gut dysbiosis and  
79 increases gut permeability. In turn, the disruption of gut barrier facilitates translocation  
80 of endotoxin, which is highly involved in initiating the low-grade inflammation<sup>5</sup>. Though  
81 aging is an irreversible and inevitable process, the rate of aging can be controlled.  
82 Studies suggest that polyphenolic compounds have potential in slowing aging. This  
83 health beneficial effect is mediated, in part, by attenuating oxidative stress,  
84 suppressing inflammation, preventing of telomere attrition, modulating cell apoptosis,  
85 and restricting caloric intake<sup>6</sup>.

86 Epigallocatechin-3-Gallate (EGCG) and chlorogenic acid (CGA) are the most  
87 abundant and active polyphenol components present in green tea and coffee, two of  
88 the most consumed beverages worldwide. For instance, a single cup of green tea  
89 contains about 200-300 mg of EGCG, an amount that has been documented to have  
90 health beneficial effects against various chronic diseases and aging<sup>7</sup>. On the other  
91 hand, CGA is widely distributed in plants and accounts up to 3% (w/w) of the roasted  
92 coffee powder<sup>8</sup>. In previous studies, EGCG and CGA have shown extensive health

93 promoting activities, such as anti-oxidation, anti-diabetes, and anti-cancer effects. In  
94 addition, extensive evidence has shown that EGCG and CGA perform well in anti-  
95 aging, including improving cognitive decline, relieving vascular senescence, and  
96 preventing skin photoaging<sup>9-11</sup>. In combination, it is reported that EGCG plus CGA  
97 display amplifying effect in preventing age-related bone loss, compared with each  
98 agent alone<sup>12, 13</sup>. However, it remains unknown whether EGCG and CGA, alone or in  
99 combination, could exert stronger protective effect against the aging gut.

100 In the present study, we assessed whether CGA and EGCG, alone or in  
101 combination, could ameliorate gut aging induced by D-galactose. D-galactose is a  
102 widely established aging model, which features cognitive dysfunction, memory loss,  
103 and motor degeneration<sup>14</sup>. Excess accumulation of D-galactose is easily reduced and  
104 catalyzed into nondegradable galactitol, which then interacts with amino acids and  
105 decreases the activity of the electron transport chain. Consequently, overproduction of  
106 advanced glycation end products (AGEs) and ROS accumulate with resulting  
107 increased oxidative stress and inflammation<sup>15</sup>. Moreover, long term D-galactose  
108 treatment could also damage gut integrity and lead to gut microbial dysbiosis<sup>16</sup>. In this  
109 study, we observed that the combination of CGA and EGCG attenuates D-galactose  
110 induced chronic gut injury, and this protection is mediated, in part, by their antioxidant  
111 and anti-inflammatory activities as well as the modulation of the gut microbiome.

112

## 113 **2. Materials and Methods**

### 114 **2.1 Materials and Chemicals**

115 Chlorogenic acid (purity≥98%) and EGCG (purity≥98%) were purchased from  
116 Solarbio (Beijing, China). D-galactose (purity≥98%) was purchased from Aladdin  
117 (Shanghai, China). The Elisa kits for TNF $\alpha$ , IFN- $\gamma$ , IL-6 and IL-1 $\beta$  were purchased from  
118 Multisciences Biotech (Hangzhou, China). The ReverTra Ace qPCR RT master mix  
119 and the SYBR Green Realtime PCR master mix were purchased from TOYOBO  
120 (Shanghai, China). The designed oligo nucleotide primers were generated by Sangon  
121 Biotech (Shanghai, China). The RIPA lysis buffer, Halt protease inhibitor cocktail,  
122 5×SDS-PAGE sample loading buffer, BSA, Bradford protein assay kit and ECL Plus

123 Ultra-Sensitive kit were purchased from Phygene (Haixi, China). The PVDF  
124 membranes and the fluorescein isothiocyanate-dextran (FITC-Dextran) were  
125 purchased from MilliporeSigma (Burlington, MA, USA). The endotoxin quantitation kit,  
126 the prestained protein ladder, and the TRIzol™ Reagent were purchased from Thermo  
127 Fisher Scientific (Waltham, MA, USA). The occludin (Cat#13409), claudin 1  
128 (Cat#13050), zo-1 (Cat#21773) and  $\beta$ -actin (Cat# 20536) antibodies were purchased  
129 from Proteintech™ (Wuhan, China). The total antioxidant capacity (T-AOC) assay kit,  
130 malondialdehyde (MDA) colorimetric assay kit, catalase (CAT) colorimetric assay kit,  
131 and superoxide dismutase (SOD) colorimetric assay kit were purchased from  
132 JianCheng Bioengineering Institute (Nanjing, China).

133

## 134 **2.2 Animal Studies**

135 All animal procedures were performed following the institutional and national  
136 guidelines for the care and use of laboratory animals and were approved by the  
137 Institutional Animal Ethics Committee at Zhejiang Agriculture and Forestry University.  
138 Eight weeks old ICR female mice were purchased from Shanghai SLAC Laboratory  
139 Animal Company (Shanghai, China), maintained under 12 h light cycle, semi-specific  
140 pathogen-free conditions, and fed with autoclaved chow diet. Briefly, after 2 weeks  
141 adaptation, mice (n=8 per group) were randomized into 5 groups: Control group (Ctrl),  
142 D-galactose treated group (M), D-galactose treated group gavaged with chlorogenic  
143 acid (C), D-galactose group gavaged with EGCG (E), and D-galactose group gavaged  
144 with chlorogenic acid plus EGCG (C+E). Mice in D-galactose-treated groups were  
145 injected intraperitoneally with 200 mg/kg/d D-galactose once per day for totally eight  
146 weeks, while mice in the control group were injected with same volume of PBS instead.  
147 Mice in C, E, and C+E groups were orally gavaged with 20 mg/kg/d chlorogenic acid  
148 (C), 20 mg/kg/d EGCG (E), or 20 mg/kg/d chlorogenic acid plus 20 mg/kg/d EGCG  
149 (C+E), dissolved in water, once daily during the whole intervention period. Meanwhile,  
150 mice in the Ctrl group or the model group were gavaged with same volume of water.  
151 Body weight was measured and recorded every week. Behavior tests were performed  
152 during the last week before euthanasia, and fresh feces for microbiota analysis were

153 collected on the last day and stored at -80°C. Following feces collection, mice were  
154 fasted 4 h for the gut permeability analysis. At the end of the experimental period, mice  
155 were euthanized, blood was collected by cardiac puncture, and the brain and colon  
156 (excluding caecum) tissues were carefully dissected, luminal content removed, and  
157 washed with PBS. Then, samples used for RNA extractions was processed  
158 immediately, samples used for histochemistry analysis was immersed in 4% (w/v)  
159 paraformaldehyde for histochemistry analysis, and the remaining tissues were stored  
160 at -80°C.

161

## 162 **2.3 Behavior tests**

### 163 **2.3.1 Open field test (OFT)**

164 The OFT was performed as previous described with minor modifications<sup>17</sup>.  
165 Mice were placed in the center of a white acrylic box (40cm x 40cm) with grids at the  
166 bottom and allowed to move freely for 10 minutes. The behavior and moving path were  
167 recorded by a top camera. Crosses mice passed through were calculated. Ethanol (70%  
168 v/v) was used to clean all the objects and chamber between trials.

### 169 **2.3.2 Novel object recognition (NOR)**

170 The NOR test was conducted as previously described with minor  
171 modifications<sup>18</sup>. Before testing, mice were placed in an empty open chamber in turns,  
172 allowed moving freely and acclimated to the environment for 1 hour on the first day.  
173 On the second day, two identical objects (object A) were put at the ends of the chamber  
174 opposite to each other. Mice were given 10 minutes to adapt to the objects. On the  
175 third day, one of the objects was replaced by a new one (object B), and mice were put  
176 inside again for another 10 minutes to explore. The preferential index was calculated  
177 using the following formula: Preferential index = Time on object B / (Time on object  
178 B + Time on object A) × 100%. Ethanol (70% v/v) was used to clean all the objects and  
179 chamber between trials.

180

## 181 **2.4 Gut permeability analysis and measurement of serum endotoxin**

182 During the last day before euthanasia, mice were fasted for 4h, and then

183 gavaged with fluorescein isothiocyanate conjugated dextran (50 mg per 100 g body  
184 weight)<sup>19</sup>. Two hours later, blood was collected and serum was obtained. Fluorescence  
185 intensity (excitation, 490nm; emission, 520nm) in the serum of samples was measured  
186 using the Synergy H1 microplate reader (Biotek, VT, USA).

187 Endotoxemia was determined in serum, according to the manufacturers'  
188 instructions (Thermo Fisher Scientific, MA, USA). The absorbance was measured  
189 using the Synergy H1 microplate reader (Biotek, VT, USA).

190

### 191 **2.5 Histological analysis**

192 After fixing in the 4% paraformaldehyde overnight, colon or brain samples were  
193 embedded, with the paraffin embedding machine (EC350, Thermo Fisher Scientific,  
194 MA, USA), sliced (4  $\mu$ m) and stained with the hematoxylin and eosin (H&E). Then once  
195 slices were thoroughly dried, samples were observed and representative images at  
196 100x and 400x were taken with the microscopy (BX-41, Olympus, Tokyo, Japan).

197

### 198 **2.6 Western Blot**

199 Colon samples were homogenized and lysed with RIPA lysis buffer over ice.  
200 The Bradford protein assay kit was used to test the protein content. Protein samples  
201 were separated with the 4-12% gradient polyacrylamide gel electrophoresis, and then  
202 transferred to the PVDF membranes. After blocking with skim milk for 1 hour, the  
203 membranes were incubated with the primary antibody (zo-1, occludin and claudin 1)  
204 at 4°C overnight.  $\beta$ -actin was used as the loading control. After incubation with the  
205 secondary antibody (HRP-conjugated; 1:2000 dilution) for 1 h, at room temperature,  
206 the conjugates were developed and visualized by the 5200 Multi system (Tanon,  
207 Shanghai, China).

208

### 209 **2.7 ELISA**

210 Colon samples were homogenized, centrifuged, and then the supernatants  
211 were collected. The levels of TNF $\alpha$ , IFN- $\gamma$ , IL-6 and IL-1 $\beta$  were analyzed according to  
212 the manufacturers' instructions (Multisciences Biotech, Hangzhou, China), and

213 normalized by the protein levels tested by Bradford assay. The absorbance was  
214 measured using the Synergy H1 microplate reader (Biotek, VT, USA).

215

## 216 **2.8 RNA extraction and qRT-PCR analysis**

217 Total RNA of fresh colon or brain samples were extracted using TRIzol™  
218 reagent. The quality and quantity of RNA were analyzed by the Nanodrop™ One  
219 spectrophotometer (Thermo Fisher Scientific, MA, USA). Afterwards, cDNA was  
220 generated with the ReverTra Ace qPCR RT master mix kit by the Veriti thermal cyclers  
221 (Thermo Fisher Scientific, MA, USA) and stored in -80°C. The cDNA was next mixed  
222 with specific primers (Table 1) and SYBR Green Realtime PCR master mix to run the  
223 quantitative real-time PCR by the StepOne Realtime PCR system (Thermo Fisher  
224 Scientific, MA, USA)<sup>19-21</sup>. Relative mRNA expression levels of specific genes were  
225 calculated by the  $2^{-\Delta\Delta CT}$  method and  $\beta$ -actin was used as a control.

226 Table 1. Primer sequences for qRT-PCR analysis

Gene name	Forward (5'-3')	Reverse (5'-3')
p16	CGGGGACATCAAGACATCGT	GCCGGATTTAGCTCTGCTCT
p21	CTGTCTTGCACTCTGGTGTCT	CTAAGGCCGAAGATGGGGAA
zo-1	TCTTGCTGGCCCTAACCTG	GTTGGGCTGGCTCTGAGAAT
occludin	TTCAGGTGAATGGGTCACCG	AGATAAGCGAACCTGCCGAG
claudin 1	TGGGGCTGATCGCAATCTTT	CACTAATGTCCGACAGACCTGA
$\beta$ -actin	ATGCTCTCCCTCACGCCATC	GAGGAAGAGGATGCGGCAGT

227

## 228 **2.9 Redox status analysis**

229 Colon samples were homogenized, centrifuged, and the supernatants were  
230 collected. Supernatants were then analyzed with the T-AOC, MDA, CAT, and SOD kits  
231 following the manufacturers' instructions (Jiancheng Bioengineering Institute, Nanjing,  
232 China). Redox status levels were normalized by the protein levels measured by  
233 Bradford assay. The absorbance was measured using the Synergy H1 microplate  
234 reader (Biotek, VT, USA).

235

## 236 **2.10 Gut microbe 16S rRNA sequencing**

237 Microbial DNA samples were isolated from mouse feces using the E.Z.N.A.®  
238 Soil DNA Kit following the manufactures' instructions (Omega Bio-tek, GA, USA). The  
239 DNA concentration were quantified using the NanoDrop 2000 UV-vis  
240 spectrophotometer (Thermo Fisher Scientific, MA, USA), and DNA quality was  
241 checked by 1% agarose gel electrophoresis. Then the V3–V4 regions of bacterial 16S  
242 rRNA gene was amplified with universal primers 338 F (5'-  
243 ACTCCTACGGGAGGCAGCAG-3') and 806R (5'-GGACTACHVGGGTWTCTAAT-3')  
244 by ABI GeneAmp® PCR (Thermo Fisher Scientific, MA, USA). Next, the resulted PCR  
245 products were extracted from a 2% (w/v) agarose gel and purified using the AxyPrep  
246 DNA Gel Extraction Kit (Axygen Biosciences, CA, USA) and quantified using  
247 QuantiFluor™-ST (Promega, WI, USA). Purified amplicons were then sequenced by  
248 an Illumina MiSeq platform (Illumina, SD, USA) according to the standard protocols by  
249 Majorbio Bio-Pharm Technology Co. Ltd. (Shanghai, China).

250

## 251 **2.11 Bioinformatic analysis**

252 Raw fastq files were quality-filtered by Trimmomatic and merged by FLASH.  
253 Then the high-quality sequences were clustered into operational taxonomic units  
254 (OTUs) according to a 97% similarity cutoff using the UPARSE (version 7.1  
255 <http://drive5.com/uparse/>) with a novel “greedy” algorithm that performs chimera  
256 filtering and OTU clustering simultaneously. The taxonomy of each 16S rRNA gene  
257 sequence was analyzed by RDP Classifier algorithm (<http://rdp.cme.msu.edu/>).

258

## 259 **2.12 Statistical analysis**

260 Data was summarized as Mean±SD. SPSS (20.0 software, Chicago, IL) was  
261 performed to analyze statistical differences among groups by the one-way analysis of  
262 variance (ANOVA) and Turkey post hoc tests. p values<0.05 was regarded as being  
263 significant different and labeled as \*.

264 For the microbiota analysis, alpha diversity analysis was evaluated with the

265 standard metrics (e.g Chao, Ace, Simpson and Shannon index). The beta diversity  
266 analysis was processed by the principal co-ordinates analysis (PCoA) based on the  
267 Bray\_Curtis distance metric method. Linear discriminant analysis effect size (LEfSe)  
268 analysis was performed with the non-parametric factorial Kruskal-Wallis sum-rank test  
269 and linear discriminant analysis (LDA).

270

271

### 272 **3. Results**

#### 273 ***3.1 Chlorogenic acid and EGCG show no toxicity in D-galactose-induced aging*** 274 ***mice.***

275 To evaluate the effect of CGA and EGCG on D-galactose-induced aging mice,  
276 we initially assessed body weight progression and food intake every week. At the end  
277 of the treatment, the body weight gain of mice treated with D-galactose [the model  
278 group (M)] markedly decreased ( $p < 0.01$ ), compared with the vehicle treated control  
279 group (Figure 1a). While CGA or EGCG, alone, was not able to mitigate the reduction  
280 in body weight gain induced by D-galactose, CGA plus EGCG effectively recovered  
281 the body weight gain to control level ( $p < 0.05$ ). Regarding food intake, the levels of it  
282 decreased in all groups in the 2<sup>nd</sup> week, while it gradually recovered in the following  
283 weeks, and no significant differences were observed among groups (Figure 1b).

284 To determine whether CGA and EGCG treatment affected normal liver function,  
285 we assessed the levels of aminotransferases [aspartate aminotransferase (AST) and  
286 alanine aminotransferase (ALT)] in serum. After eight weeks of treatment, mice in all  
287 groups showed levels of these liver enzymes within the physiological ranges, with no  
288 significant differences among groups (Figure 1c).

289

#### 290 ***3.2 Chlorogenic acid in combination with EGCG improves D-galactose-induced*** 291 ***cognitive impairment of the aging mice.***

292 We next assessed whether CGA and EGCG could improve the moving and  
293 cognitive performance of aging mice (Figure 2a). In the open-field test (OFT), relative  
294 to the control group (242 crosses), D-galactose decreased the crossing numbers to

295 158 crosses ( $p < 0.05$ ). While CGA or EGCG alone did not significantly improved on the  
296 moving capacity (202 crosses and 180 crosses, respectively), CGA plus EGCG group  
297 effectively recovered the moving ability (271 crosses), being significantly higher than  
298 the D-galactose group ( $p < 0.01$ ).

299 Mice in the model group (M) also showed weaker capability on the novel object  
300 recognition (NOR), with a preference index of  $25.7 \pm 8.2\%$ , which was significantly lower  
301 than the control group ( $47.2 \pm 3.9\%$ ,  $p < 0.01$ ). Treatment with EGCG alone or CGA plus  
302 EGCG significantly improved the preference index to  $45.0 \pm 7.1\%$  and  $45.7 \pm 9.1\%$ ,  
303 respectively, compared to the D-galactose group ( $p < 0.05$ ).

304 Next, we used H&E staining to evaluate the effect of CGA and EGCG on the  
305 histopathological changes in the brain after D-galactose in the aging mice (Figure 2b).  
306 The morphology of neurons stained with H&E in the control group was normal, with  
307 neurons presenting around or oval and clear nucleolus with a regular arrangement. In  
308 contrast, the D-galactose-treated group demonstrated severe neuronal changes, such  
309 as the presence of dark pycnotic nuclei and a decrease in the cytoplasm.  
310 Administration of CGA, EGCG or CGA plus EGCG mitigated this pathologic change  
311 (Figure 2b).

312 Furthermore, D-galactose markedly upregulated the mRNA level of p16 and  
313 p21 ( $p < 0.05$ ) in the brain, two key age-associated genes, compared with the control  
314 group (Figure 2c). CGA and EGCG abrogated the effects of D-galactose on p16 and  
315 p21, though no significant difference was observed between D-galactose group and  
316 CGA, EGCG groups on p16 expression.

317

### 318 ***3.3 Chlorogenic acid in combination with EGCG protects the gut barrier of the*** 319 ***aging mice.***

320 To assess the effect of CGA and EGCG, alone and in combination, at the gut  
321 levels of the aging mice, the colon morphology and gut permeability were evaluated.  
322 As shown in Figure 3a, after eight weeks of treatment, D-galactose damaged the colon  
323 structure and induced infiltration of lymphocytes in the colon region, which was  
324 effectively improved by CGA and EGCG.

325 In addition, D-galactose severely damaged the gut barrier permeability (Figure  
326 3b). The level of FITC-Dex transport was almost 1.8 times higher in the model group  
327 than in the control group ( $p < 0.01$ ). Compared with D-galactose-treated mice, EGCG  
328 alone and CGA plus EGCG significantly decreased the gut permeability, recovering  
329 the gut permeability to normal levels ( $p < 0.01$ ). Consistently, higher level of serum  
330 endotoxin was observed in the D-galactose treated group, compared to the control  
331 group ( $p < 0.05$ ), and supplementation with CGA and EGCG improved the endotoxemia  
332 induced by D-galactose (Figure 3c).

333 Tight Junctions (TJs) proteins play pivotal roles in controlling the gut  
334 permeability. D-galactose treatment remarkably reduced the protein expression levels  
335 of zo-1, occludin and claudin 1, compared to control group ( $p < 0.01$ ). While CGA and  
336 EGCG alone were unable to restore the levels of zo-1, occludin and claudin 1, the  
337 combination of CGA and EGCG effectively restored the levels of these TJs protein  
338 expression to similar levels observed in control treated mice (Figure 3d). In agreement,  
339 treatment with D-galactose significantly reduced mRNA expression levels of occludin  
340 and claudin 1 ( $p < 0.05$ ), but not zo-1, which were markedly reversed by C plus E  
341 treatment ( $p < 0.01$ ; Figure 3e).

342

### 343 ***3.4 Chlorogenic acid in combination with EGCG reduces D-galactose-induced*** 344 ***colon inflammation in aging mice.***

345 We next assessed the effect of C plus E on the gut inflammation induced by D-  
346 galactose (Figure 4a). In the model group, D-galactose markedly increased the levels  
347 of TNF $\alpha$  and IL-6, compared to the control group ( $p < 0.05$ ). While CGA and EGCG  
348 alone were unable to suppress TNF $\alpha$  and IL-6 levels, combination of CGA and EGCG  
349 significantly reduced TNF $\alpha$  and IL-6 levels, compared to D-galactose alone group  
350 ( $p < 0.05$ ). Moreover, the level of IFN- $\gamma$  was enhanced to  $3907.8 \pm 672.9$  pg/mg prot in  
351 D-galactose-treated group, whereas EGCG alone and CGA plus EGCG decreased the  
352 level to  $2829.9 \pm 381.3$  pg/mg prot ( $p < 0.05$ ) and  $2308.8 \pm 802.4$  pg/mg prot ( $p < 0.01$ ),  
353 respectively. Finally, compared to the control group, D-galactose also increased the  
354 levels of the IL-1 $\beta$  to  $1182.5 \pm 102.9$  pg/mg prot ( $p < 0.01$ ). C and E both alone or in

355 combination decreased the IL-1 $\beta$  secretion as compared to model group ( $p<0.01$ ).

356

357 ***3.5 Chlorogenic acid in combination with EGCG decreases D-galactose-induced***  
358 ***colon oxidative stress in aging mice.***

359 Oxidative stress is another main factor contributing to the enhanced gut  
360 permeability. As shown in Figure 4b, compared to control mice, D-galactose  
361 significantly decreased the level of total antioxidant capacity (T-AOC) by 29% ( $p<0.05$ ),  
362 and induced a 2-fold increase in MDA levels ( $p<0.05$ ). The pro-oxidative effect of D-  
363 galactose was reversed by the combination of CGA and EGCG. Aging mice treated  
364 with CGA plus EGCG displayed significantly higher level of T-AOC capacity and lower  
365 level of MDA compared to D-galactose treated mice ( $p<0.05$ ). Moreover, the activities  
366 of catalase (CAT) and superoxide dismutase (SOD) were also reduced ( $p<0.05$ ) in the  
367 model group, compared to controls. CGA and EGCG alone partly recovered CAT and  
368 SOD activities, whereas CGA plus EGCG greatly increased the SOD activity ( $p<0.05$ ).

369

370 ***3.6 Chlorogenic acid in combination with EGCG improves D-galactose-induced***  
371 ***gut dysbiosis in aging mice.***

372 16S rRNA sequencing was performed to evaluate taxa with differential  
373 abundance between the aging mice and the ones treated with CGA and EGCG.  
374 Compared to the control group, mice in the D-galactose-treated group exhibited overall  
375 lower alpha diversity (Figure 5). In the model group, the Shannon index decreased to  
376 3.5 ( $p<0.01$ ) and the Simpson index increased to 0.1 ( $p<0.01$ ), two main factors  
377 representing the community diversity. CGA alone recovered the Shannon index to 4.1  
378 ( $p<0.05$ ), and its effect was strengthened when combined with EGCG ( $p<0.01$ ).  
379 Similarly, CGA plus EGCG significantly decreased the Simpson index, compared with  
380 the model group ( $p<0.01$ ). As the Ace index and Chao index shown, the community  
381 richness of aging mice was also decreased, and only C plus E could effectively recover  
382 the community richness to the normal level ( $p<0.05$ ).

383 We next analyzed the species diversity among groups on OTU level. Notably,  
384 control and CGA plus EGCG groups shared similarities in PCoA, while the other three

385 groups were quite far away, suggesting that the combination of CGA plus EGCG has  
386 a stronger effect in modulating gut dysbiosis than either agent alone (Figure 6a).

387 Then the community abundance on phylum level and family level were  
388 analyzed, respectively. As shown in Figure 6b, compared to the control group, the ratio  
389 of Firmicutes, Deferribacter, Actinobacter, and Proteobacter increased, while the ratio  
390 of Bacteroidota, Desulfobacte, and Campilobacte decreased in the model group,  
391 indicating gut dysbiosis occurred in the aging mice. Compared with the control group,  
392 the ratio of Firmicutes/Bacteroidetes in the D-galactose group significantly increased  
393 to  $3.3 \pm 1.6$  ( $p < 0.05$ ). Both CGA and EGCG positively modulated the gut dysbiosis and  
394 showed better effects when combined. The ratio of Firmicutes/Bacteroidetes  
395 decreased to  $1.0 \pm 0.7$  in the CGA plus EGCG group, significantly lower than the model  
396 group ( $p < 0.01$ ). Furthermore, as shown in Figure 6c, the community abundance on  
397 family level was further analyzed. Compared to the control group, the level of  
398 Lactobacillaceae, Erysipelotrichaceae, Deferribacteraceae, Sutterellaceae,  
399 Bifidobacteriaceae, and Eggerthellaceae increased in the model group, while the level  
400 of Lachnospiraceae, Muribaculaceae, Rikenellaceae, Bacteroidaceae, and  
401 Prevotellaceae decreased. Though CGA and EGCG alone were unable to show a  
402 strong effect on D-galactose induced microbiota alteration, CGA plus EGCG greatly  
403 affected the microbiota, and the level of Lactobacillaceae was sharply decreased and  
404 the ratio of Lachnospiraceae, Muribaculaceae, and Rikenellaceae increased. At the  
405 genus level, the top 50 genera with highest community abundance were selected. The  
406 relative abundance of dominant genera in the control group and CGA plus EGCG  
407 group are relatively similar, while no significant differences were detected among the  
408 D-galactose group, CGA group or EGCG group (Figure 6d).

409 In Figure 7, the dominant microbiota among groups were analyzed by the  
410 LEfSe ( $LDA > 2$ ). In the control group, there are totally 13 prominent features, includes  
411 *g\_Alistipes*, *g\_Ruminococcus*, and *g\_Anaeroplasma*, whereas *c\_Bacilli*,  
412 *o\_Lactobacillales*, *p\_Firmicutes* and *o\_Enterobacterales*, etc are the 12 specific taxa  
413 found in the model group. After CGA plus EGCG treatment, 12 key phylotypes were  
414 identified, includes *o\_Bacteroidales*, *g\_Candidatus\_Soleaferrea*,

415 *o\_unclassified\_c\_Clostridia*, *g\_unclassified\_f\_Anaerovoracaceae*,  
416 *g\_unclassified\_f\_Prevotellaceae*, and *g\_Tuzzerella*.

417

#### 418 **4. Discussion**

419 In the present study, we evaluated the anti-aging impact of EGCG and CGA,  
420 alone or in combination, with a particular focus on the effect at the gut level. While  
421 EGCG and CGA alone partly improve the aging process induced by D-galactose, a  
422 significant better effect was observed when these two bioactives were combined.

423 Cognitive degeneration is a major pathology during aging<sup>3</sup>. In agreement with  
424 other studies, the current results showed that chronic administration of D-galactose  
425 causes deleterious neuronal damage, which was prevented by CGA and EGCG<sup>22, 23</sup>.  
426 Multiple pathways involved in the regulation of aging, particularly, increased  
427 expression of p16 and p21 are crucial markers<sup>21</sup>. Though less obvious than brain  
428 degeneration, gut also undergoes critical changes during aging with gut permeability  
429 increased. Here, D-galactose treatment increased the gut permeability with higher  
430 level of serum endotoxin observed. EGCG plus CGA prevented the endotoxemia  
431 induced by D-galactose and protected the impaired gut barrier. Mechanistically, TJ  
432 proteins connect intercellularly and work as physical barrier in regulating gut  
433 permeability<sup>24</sup>. We observed that the combination of CGA and EGCG protected TJs,  
434 including zo-1, occludin, and claudin 1, which were damaged by the D-galactose

435 Aging-dependent gut impairment is linked to chronic oxidative stress, low-  
436 grade inflammation and alterations in gut microbiome<sup>25</sup>. Gut highly relies on  
437 mitochondrial oxidative phosphorylation (OXPHOS) to meet its high energy  
438 requirements, thus it is more susceptible to oxidative injury. Therefore, oxidative stress  
439 is widely recognized as the main inductive factor for accelerating gut aging. Due to  
440 their antioxidant capacity, CGA and EGCG maintained gut redox balance.  
441 Administration of CGA plus EGCG has a stronger effect in attenuating oxidative stress  
442 by further increasing the activity of CAT and SOD and decreasing the level of MDA,  
443 compared with either agent alone.

444 Most aged individuals develop a mild proinflammatory state, which is related to

445 increased susceptibility to multiple age-related diseases. The chronic progressive  
446 inflammatory process with age was regarded as “inflamm-aging”<sup>26</sup>. Under such a state,  
447 levels of pro-inflammatory cytokines markedly elevate and promote the disruption of  
448 gut epithelial barriers<sup>27</sup>. Evidence showed that proinflammatory cytokines TNF $\alpha$ , IFN $\gamma$ ,  
449 IL-1 $\beta$  and IL-6 play crucial role in the inflammation amplification cascade, contributing  
450 greatly in causing functional opening of TJ barrier<sup>28</sup>. In the present study, D-galactose  
451 exposure increased TNF $\alpha$ , IFN- $\gamma$ , IL-6 and IL-1 $\beta$  levels, which was suppressed by CGA  
452 plus EGCG.

453 Microbiota profile undergoes alterations with increasing age, which affects the  
454 gut barrier function and modulates the cognitive capacity through gut-brain axis. In  
455 general, Firmicutes and Bacteroidota are the most represented bacteria in all groups,  
456 accounting for up to 80% of the total microbiota<sup>29</sup>. The Firmicutes/Bacteroidota ratio  
457 evolves during different life stages. Treatment with D-galactose upregulated ratio of  
458 Firmicutes/Bacteroidota, which is consistent with other studies<sup>30</sup>. Higher contribution  
459 of Deferribacterota was also observed in the D-galactose treated mice, which was  
460 positively relevant to gut inflammation<sup>31, 32</sup>. Besides, these shifts were accompanied by  
461 an increased prevalence of Actinobacteriota and Proteobacteria, and a reduction in  
462 Desulfobacterota in the D-galactose group, which is in line with previous studies<sup>30, 33</sup>.  
463 CGA and EGCG reversed the microbial shift induced by D-galactose, and better effect  
464 was observed when these two drugs were combined.

465 At family taxonomic level, treatment with D-galactose increased the level of  
466 Lactobacillaceae and decreased the abundance of Lachnospiraceae, and these  
467 changes were effectively prevented by CGA plus EGCG. Lactobacillaceae is one of  
468 the essential bacteria promotes the growth of secondary bile acids, and highly enriched  
469 in the ileum of aging rats<sup>34</sup>. It is observed that Lactobacillaceae could robustly acidify  
470 the environment, and inhibit the growth of the commensal gut bacteria, such as  
471 Lachnospiraceae and Muribaculaceae (S24-7)<sup>35</sup>. Lachnospiraceae positively  
472 modulates the gut barrier integrity and maintains the gut permeability in aged mice<sup>36</sup>.  
473 In addition, CGA and EGCG improved the levels of Muribaculaceae, Rikenellaceae,  
474 and Bacteroidaceae, which were reduced by D-galactose. Previous studies

475 demonstrated that compared with the young ones, aged mice displayed lower level of  
476 Muribaculaceae (S24-7), which is positively associated with gut health and longevity  
477 of mice by producing short chain fatty acids, in particular, propionate<sup>37</sup>. High amount  
478 of Rikenellaceae is associated with healthy aging and longevity in Italian elderly and  
479 related with lower risk of metabolic diseases<sup>38</sup>. Moreover, during neonatal dairy calves  
480 aging, a decreased abundance of Bacteroidaceae was found to be one of the  
481 predominant alterations in the fecal microbiome composition<sup>39</sup>. It is worth noting that  
482 CGA plus EGCG treatment protected against the overgrowth of Erysipelotrichaceae  
483 and Deferribacteraceae, which are positively correlated with inflammation-related  
484 gastrointestinal diseases, such as colorectal cancer, inflammatory bowel disease (IBD)  
485 and Crohn's disease (CD)<sup>31, 40</sup>

486 Moreover, the key phylotypes from phylum to genus of Ctrl, M and C plus E  
487 group were identified. *c\_Bacilli* (phylum Firmicutes) was found highly enriched in the  
488 model group, and similar results were found in elderly adults<sup>41</sup>. *f\_Enterobacteriaceae*  
489 (phylum Proteobacteria) enrichment is frequently coincidence with considerable gut  
490 pathology. Patients with inflammatory bowel disease exhibited higher abundance of  
491 Enterobacteriaceae, and the outgrowth of Enterobacteriaceae could reversely result in  
492 gastrointestinal cell apoptosis and inflammation<sup>42-44</sup>. The abundance of *g\_Escherichia-*  
493 *Shigella* was positively correlated with the blood levels of pro-inflammatory cytokines,  
494 and could promote the secretion of endotoxins. In CGA plus EGCG supplementation  
495 group, *g\_Candidatus\_Soleaferrea* genus was identified as one of the key taxons  
496 possessing anti-inflammatory capacity and maintaining the gut homeostasis<sup>45</sup>.  
497 *f\_Anaerovoracaceae* was sparsely characterized, and it was reported to be involved  
498 in the gut digestion of plant polyphenols<sup>46</sup>. *f\_Prevotellaceae* enhances SCFAs  
499 production, and could protect the gut barrier integrity and improve gut microbiota  
500 dysbiosis<sup>47</sup>. In Alzheimer's disease patients, *c\_Clostridia* and *g\_Tuzzerella* were  
501 characterized by a decreased amount<sup>48</sup>.

502

## 503 **5. Conclusion**

504 CGA plus EGCG exerts stronger protective effects against aging related gut

505 barrier impairment than CGA or EGCG alone. After challenged with D-galactose, CGA  
506 plus EGCG effectively improved the redox status and mitigated the gut inflammation  
507 damage of the aging mice. Moreover, CGA plus EGCG attenuated the gut homeostasis  
508 disturbed by D-galactose, which is characterized by a reduced community diversity  
509 and microbiome shift. A limitation of this study is that it evaluated the combined effect of  
510 the CGA and EGCG against each compound alone at single doses. An important question  
511 that needs clarification is whether the stronger protective aging-related gut barrier effects  
512 observed with CGA plus EGCG is due to an additive effect between these agents or  
513 whether it might be simply due to the presence of higher doses of beneficial compounds  
514 at the gut level. Future studies are warranted to elucidate whether CGA and EGCG  
515 sensitize each other and whether the combined effect of CGA plus EGCG is superior than  
516 a higher dose of the individual compounds. Taken together, these results suggest that  
517 the combination of CGA and EGCG is safe and effective in improving the gut barrier  
518 function during the aging process.

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525

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698 **Figure legends**

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700 **Figure 1. Effect of CGA (C) and EGCG (E) on body weight, food intake and**  
701 **hepatic functions in D-galactose (M) treated mice.** (a) Body weight progression.  
702 Treatment with CGA (C) and EGCG (E) mitigated the body weight loss induced by D-  
703 galactose (M). Results are presented as mean±SD. \*p<0.05, \*\*p<0.01 vs. control. (b)  
704 Weekly food intake. Results are presented as mean±SD. (c) Serum levels of Alanine  
705 aminotransferase (ALT) and Aspartate aminotransferase (AST) at euthanasia. Results  
706 are presented as mean±SD.

707

708 **Figure 2. Effect of CGA (C) and EGCG (E), alone and in combination, on the**  
709 **cognitive performance of mice treated with D-galactose (M).** (a) Behavior was  
710 evaluated by the open field test (OFT) and the novel object recognition test (NOR). D-  
711 galactose-treated mice (M) reduced cognitive behavior, which was mitigated by CGA  
712 (C) plus EGCG (E). Results are presented as mean±SD. \*p<0.05, \*\*p<0.01 vs. control.  
713 (b) Representative Hematoxylin and Eosin (H&E) histology images of the brain at  
714 euthanasia for all experimental groups. Images at 100x (top) and 400x (bottom)  
715 magnification are displayed. (c) Effect of C and E on p16 and p21 mRNA expression  
716 in D-galactose (M)-treated mice brains. Results are presented as mean±SD. \*p<0.05,  
717 \*\*p<0.01 vs. control.

718

719 **Figure 3. CGA (C) and EGCG (E) protected the gut barrier of the mice injured by**  
720 **D-galactose (M) treatment.** (a) CGA (C) and EGCG (E) ameliorated the  
721 inflammatory cell infiltration induced by D-galactose (M) in colon tissue.  
722 Representative H&E histology images of colon tissue at euthanasia for all experimental  
723 groups. Images at 40x (top) and 100x (bottom) magnification are displayed. (b) C and  
724 E reduced the gut permeability, measured by the fluorescein isothiocyanate-dextran  
725 (FITC-Dextran) transport. Results are presented as mean±SD. \*p<0.05, \*\*p<0.01 vs.  
726 control. (c) C and E reduced serum endotoxin levels induced by D-galactose. (d) C  
727 plus E restored the decrease in tight junction protein expression induced by D-  
728 galactose (M) in colon tissue. Immunoblots for zo-1, occludin, and claudin 1 are shown.  
729 Loading control:  $\beta$ -actin. Bands were quantified and results are presented as  
730 percentage of control. \*p<0.05 and \*\*p<0.01 vs. control. (e) Effect of C and E on colon  
731 zo-1, occludin, and claudin 1 mRNA expression in D-galactose (M) treated mice.  
732 Results are presented as mean±SD. \*p<0.05 and \*\*p<0.01 vs. control.

733

734 **Figure 4. Effect of CGA (C) plus EGCG (E) on inflammation and gut oxidative**  
735 **stress in D-galactose (M) treated mice.** (a) Levels of TNF $\alpha$ , IFN- $\gamma$ , IL-1 $\beta$ , and IL-6  
736 in colon tissues. Results were normalized by protein contents and presented as  
737 mean±SD. \*p<0.05, \*\*p<0.01 vs. control. (b) Levels of total antioxidant capacity (T-  
738 AOC), malondialdehyde (MDA), and activity of catalase (CAT) and superoxide  
739 dismutase (SOD) in colon tissues. Results were normalized by the protein contents  
740 and presented as mean±SD. \*p<0.05, \*\*p<0.01 vs. control.

741

742 **Figure 5. Effect of CGA (C) and EGCG (E) on the  $\alpha$ -diversity of the fecal**  
743 **microbiome in mice treated with D-galactose (M).** Shannon, Simpson, Ace and  
744 Chao 1 indexes were determined in Control (Ctrl), D-galactose (M), CGA (C), EGCG  
745 (E), and CGA plus EGCG (C+E) groups to evaluate the gut microbiota community  
746 diversity and richness among groups. \* $p < 0.05$ ; \*\* $p < 0.01$ .

747

748 **Figure 6. Effect of CGA (C) and EGCG (E) on fecal microbiota composition in**  
749 **mice treated with D-galactose (M).** (a) Principal coordinates analysis (PCoA) of the  
750 community structure. (b) Gut microbiota distribution at the phylum level and the  
751 Firmicutes/Bacteroidetes ratio. (c) Gut microbiota distribution at the family level. (d)  
752 Community heatmap of relative abundance at the genus level.

753

754 **Figure 7. Linear discriminant analysis effect size (LEfSe) analysis on fecal**  
755 **microbiome of the mice treated with D-galactose (M).** Bacterial taxa with linear  
756 discriminant analysis (LDA) score  $> 2$  specifically enriched in control (Ctrl; red), D-  
757 galactose-treated mice (M; blue) and CGA (C) and EGCG (E) (CE; purple) groups.

758

759

760

Figure 1

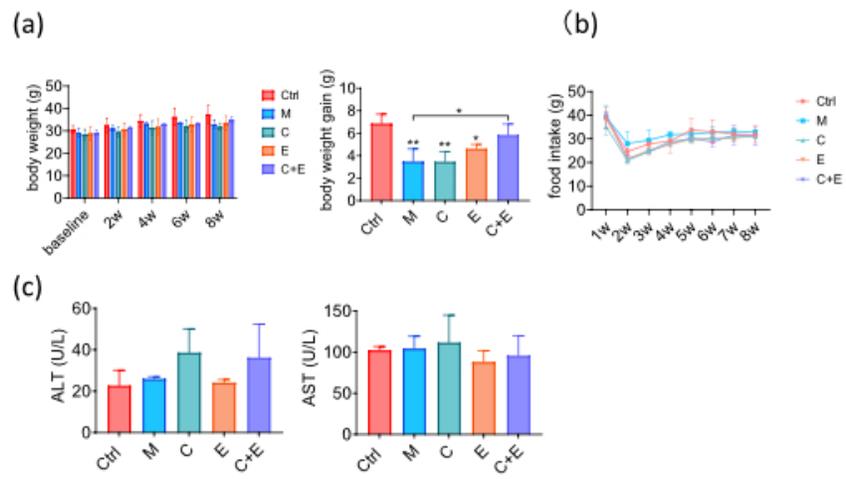


Figure 1

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Figure 2

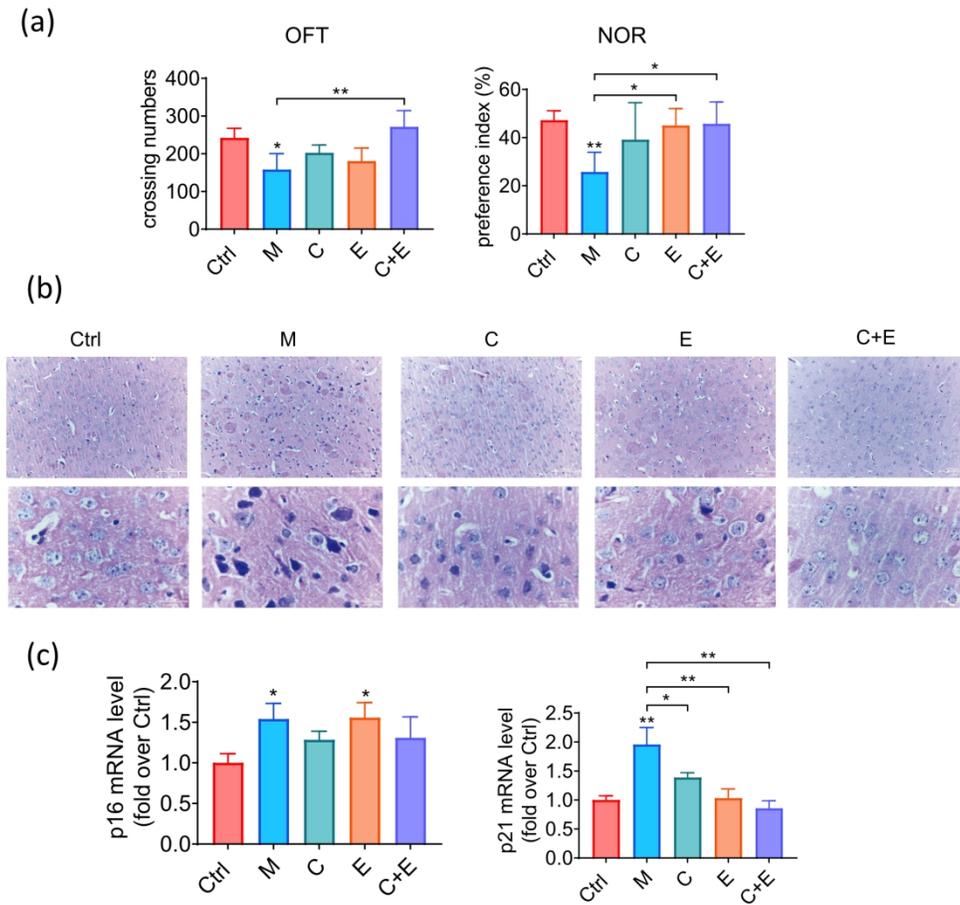


Figure 2

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Figure 3

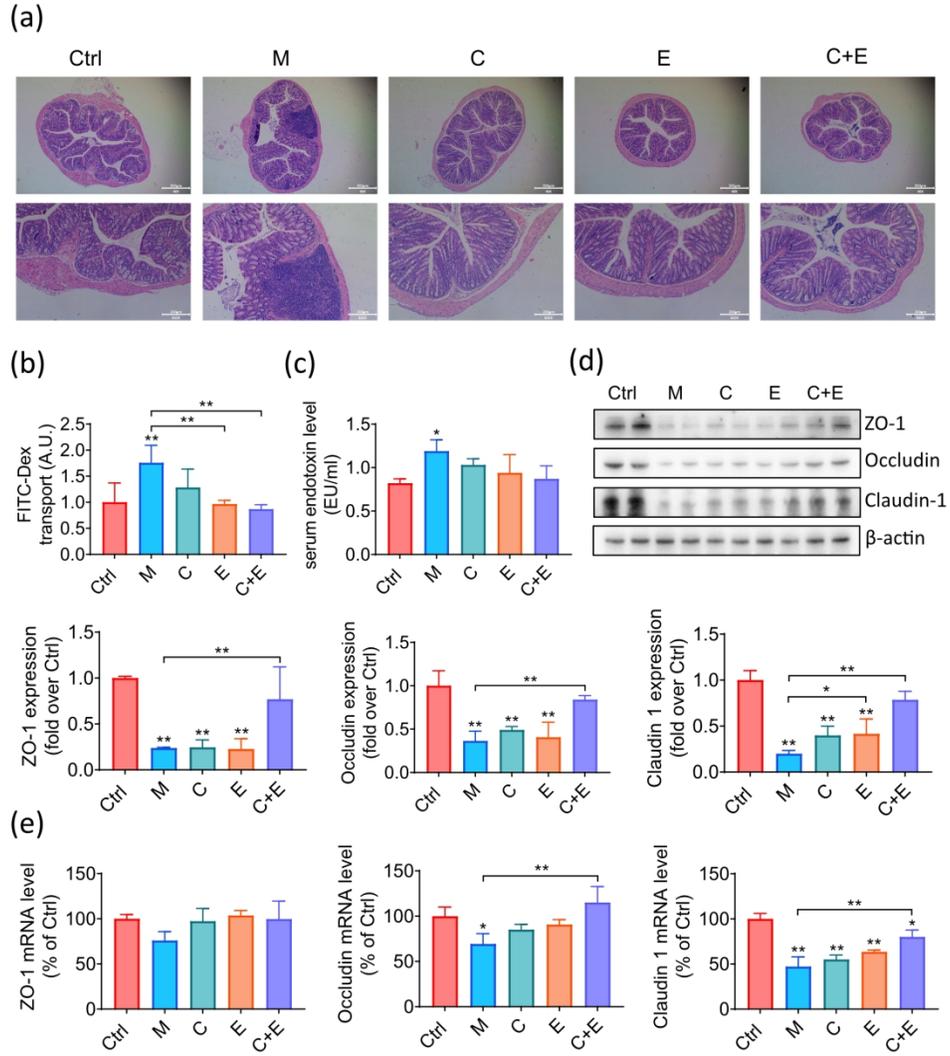


Figure 3

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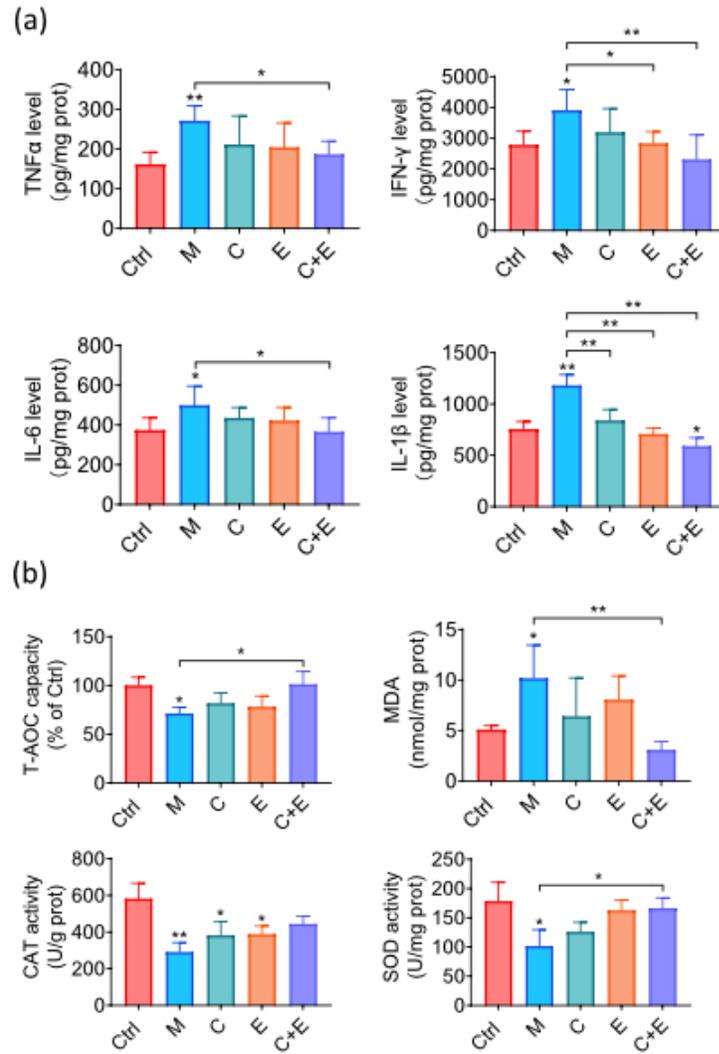


Figure 4

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Figure 5

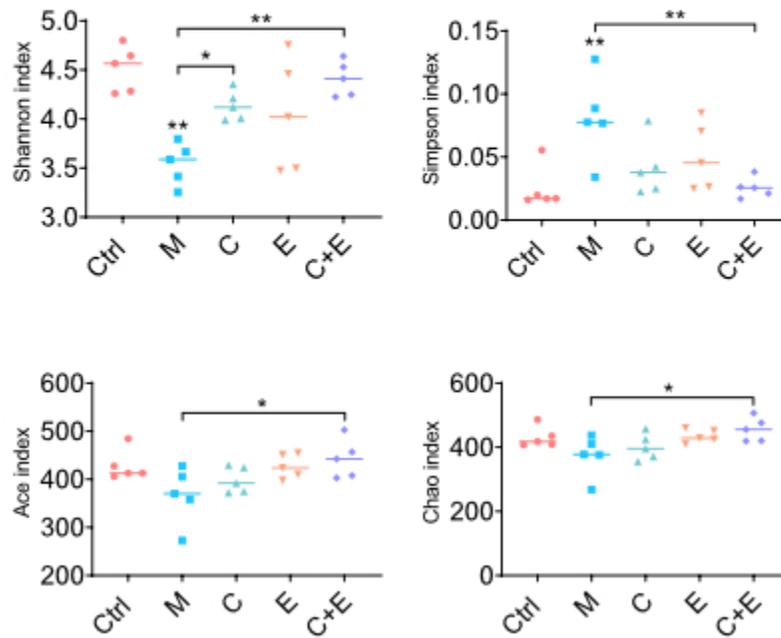


Figure 5

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Figure 6

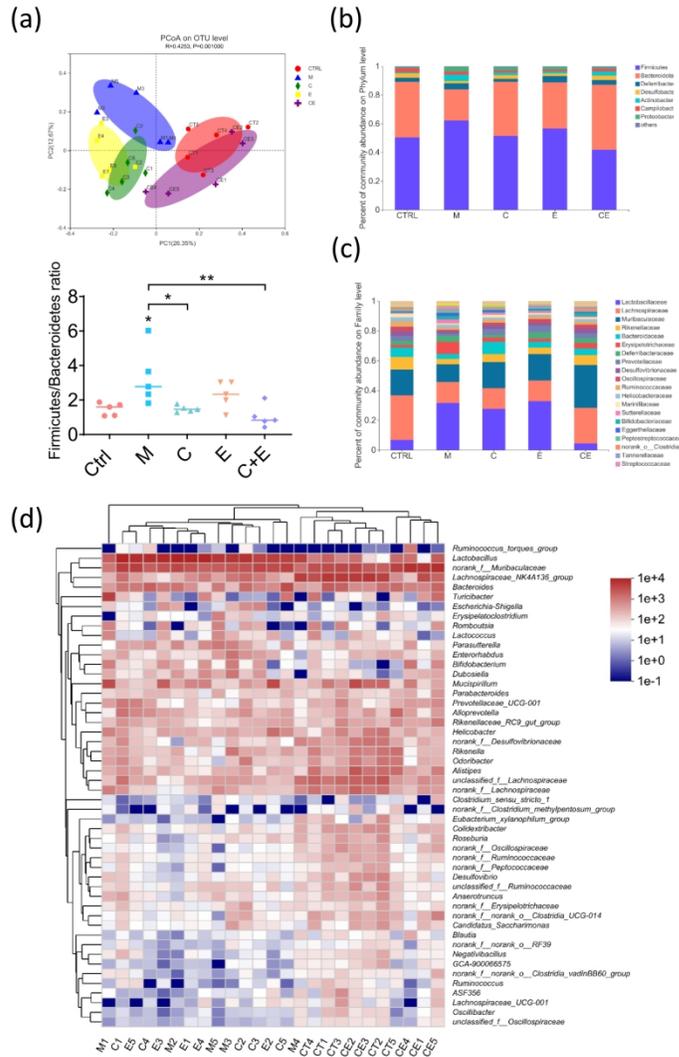


Figure 6

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Figure 7

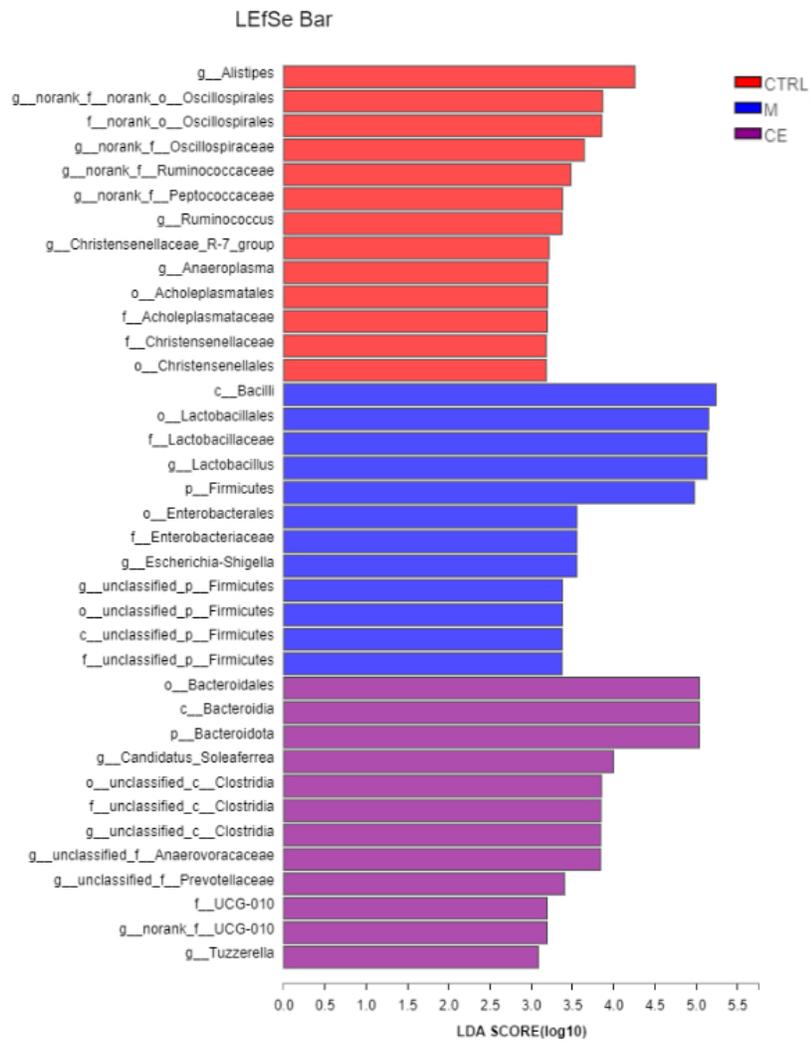


Figure 7

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