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Journal:	<i>Physical Chemistry Chemical Physics</i>
Manuscript ID	CP-ART-04-2022-001861.R1
Article Type:	Paper
Date Submitted by the Author:	09-Jun-2022
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ARTICLE

Multi-colour circularly polarized luminescence properties of chiral Schiff-base boron difluoride complexes

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Received 00th January 20xx,
Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

A series of chiral Schiff-base boron difluoride complexes was synthesized and their photophysical properties were examined. These complexes showed multi-colour (blue, yellow and red) photoluminescence in solution and in the solid state with good emission quantum yield (Φ) depending on the π -systems of the ligands. The chiral complexes exhibited circularly polarized luminescence (CPL) with an absolute luminescence dissymmetry factor (g_{lum}) of up to the 1.3×10^{-3} in solution and 1.9×10^{-2} in the drop-cast film state. Density functional theory (DFT) and time-dependent (TD) DFT calculations were conducted to further understand the photophysical properties.

Introduction

Circularly polarized luminescence (CPL)¹ is receiving increasing attention in a wide range of fields owing to the huge potential for applications, such as information storage and processing,² 3D optical displays,³ circularly polarized lasers⁴ asymmetric synthesis,⁵ as well as in biological probes.⁶ In the early stages of CPL research, chiral lanthanide complexes⁷ have been studied mainly because they exhibit CPL from magnetically allowed and Laporte-forbidden f - f transitions with high absolute luminescence dissymmetry factors ($g_{\text{lum}} = 2\Delta I/I = 2(I_L - I_R)/(I_L + I_R)$, in which I_L and I_R are the intensity of left- and right-circularly polarized luminescence).⁸ In recent years, there has been a growing interest in the study of small organic molecules (SOMs) with CPL activity because of their ease of providing wavelength tunability and external stimuli responsiveness through derivatization.⁹ Various examples of CPL-SOMs with chiral frameworks such as helicenes,¹⁰ binaphthyls,¹¹ cyclophanes,¹² have been reported. However, most of them show CPL with g_{lum} in the range of 10^{-4} – 10^{-3} , which is much smaller than that of chiral lanthanide complexes. On the other hand, the CPL of SOM has been found to be enhanced by the emergence of supramolecular chirality in aggregation systems,¹³ and it has been reported to increase in various aggregation states such as gels,¹⁴ liquid crystals¹⁵ and films,¹⁶ some of which have g_{lum} exceeding 10^{-1} .^{15a-d,16b}

Organoboron complexes have been extensively studied as promising materials for organic light-emitting diodes (OLEDs)

owing to their efficient luminescence properties and high carrier mobility.¹⁷ The photophysical properties of such complexes can be tuned mainly through ligand functionalization which influences the colour and emission quantum yield (Φ).¹⁸ CPL can also be induced by incorporating a chiral skeleton into organoboron complexes,¹⁹ and has been applied to circularly polarized organic light-emitting diodes (CP-OLEDs).²⁰ Among them, boron difluoride complexes have been recognized as promising CPL materials due to their tunable emission and high Φ values. Representative examples of CPL-active boron difluoride complexes with conjugated π -systems including axial chirality,¹⁹ⁱ helical chirality^{19j} and planar chirality^{19k} are shown in Fig. 1a.

As part of our program aimed at the creation of novel functional materials, we have been investigating luminescent organic and organometallic complexes bearing Schiff-base ligands.²¹ Previously, we have reported that phosphorescent Pt(II) complexes with chiral Schiff-base ligands exhibit tunable CPL properties in the poly(methyl methacrylate) (PMMA) f

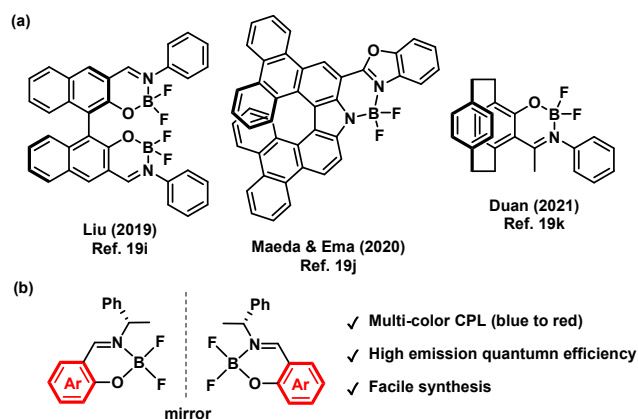


Fig. 1 (a) Previous report of CPL-active boron difluoride complexes

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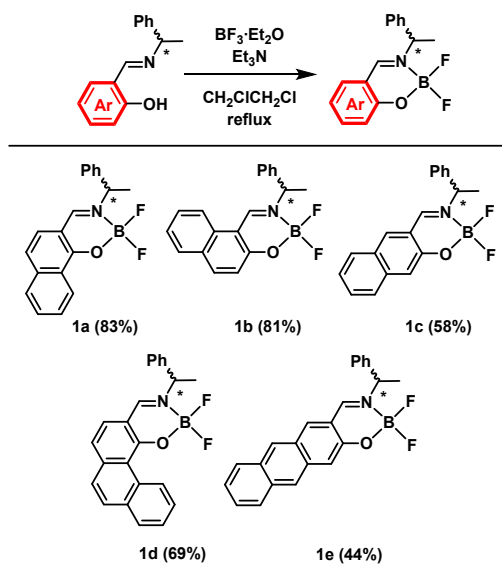
Electronic Supplementary Information (ESI) available. See DOI: 10.1039/x0xx00000x

with chiral conjugated π -systems. (b) Multi-colour CPL-active boron difluoride complexes studied in this work.

dispersed state.^{21b} In the present work, we focused on boron difluoride complexes²² with chiral (*N,O*)-bidentate Schiff-base ligands as CPL emitters (Fig. 1b). These complexes are readily synthesized by the reaction of the corresponding Schiff-base ligands with boron reagents, and their photoluminescence properties can be tuned by modification of the π -systems of the ligands. Notably, the chiral complexes exhibited multi-colour (blue, yellow and red) CPL with moderate g_{lum} values (up to 1.3×10^{-3}) in dilute solution state, and their CPL intensities were considerably enhanced by formation of aggregates as in the drop cast film state (up to $g_{\text{lum}} = 1.9 \times 10^{-2}$). Theoretical calculations revealed a relationship between their structures and the photophysical properties including CPL ability. Herein we describe the synthesis, structure and photophysical properties of a series of chiral Schiff-base boron difluoride complexes with a focus on their multi-colour CPL properties.

Results and Discussion

Chiral boron difluoride complexes **1a–e** were prepared by the reaction of $\text{BF}_3 \cdot \text{OEt}_2$ with the corresponding optically pure Schiff-base ligands in 1,2-dichloroethane according to the literature procedure (Scheme 1).^{22d} Obtained complexes were successfully characterized by ^1H and ^{13}C nuclear magnetic resonance (NMR) spectroscopy (Fig. S1–5), infra-red (IR) spectroscopy, high-resolution mass spectrometry (HRMS). Single crystals suitable for X-ray diffraction analysis were obtained by recrystallization from $\text{CH}_2\text{Cl}_2/\text{EtOH}$ and the molecular structures of (*S*)-**1a–d** were unambiguously elucidated by X-ray crystallographic analysis. Unfortunately, due to the low crystallinity of compound **1e**, single crystals could not be obtained by recrystallization from any of the organic solvents. The details of the crystal data and the structure refinement are presented in Table S1, including intermolecular interactions (Fig. S9–S12). ORTEP²³ drawings of (*S*)-**1a–d** are presented in Fig. 2. In all complexes, boron atoms adopt typical tetrahedral geometry.



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Scheme 1. Synthesis of chiral boron difluoride complexes **1a–e**.

Circular dichroism (CD) spectra of (*R*)-**1a–e** and (*S*)-**1a–e** were recorded in CH_2Cl_2 solution at room temperature (Fig. 3a and S13). The complexes (*R*)-**1a–e** and (*S*)-**1a–e** showed mirror image CD spectra, meaning that they are enantiomers (Fig. S13). Complexes (*S*)-**1a–d** exhibited a negative Cotton effect around 350–450 nm, which can be assigned to the $\pi\text{-}\pi^*$ transition in the UV-vis spectra showing $\pi\text{-}\pi^*$ bands in the same region (Fig. 3b). On the other hand, complex (*S*)-**1e** showed opposite positive Cotton effect in the same

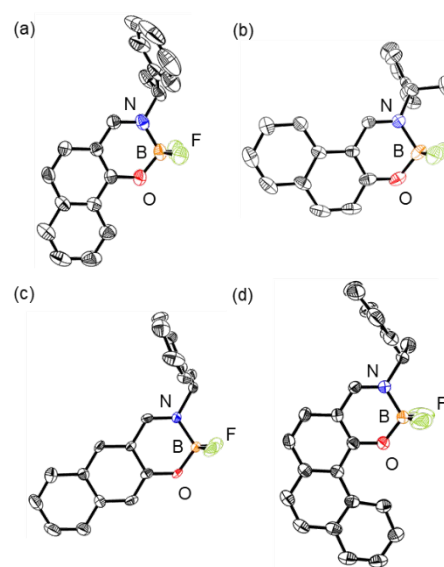
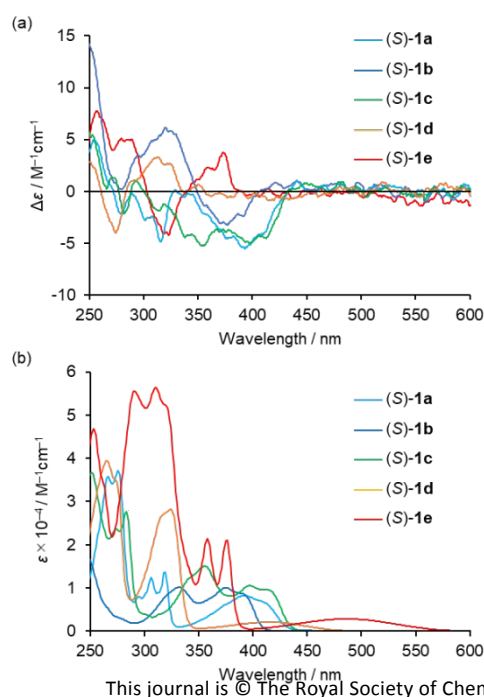


Fig. 2. ORTEP drawings of (a) (*S*)-**1a**, (b) (*S*)-**1b**, (c) (*S*)-**1c** and (d) (*S*)-**1d**. Thermal ellipsoids are shown at 50% probability level. Hydrogen atoms and solvent molecules are omitted for clarity.



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Fig. 3 (a) CD and (b) UV-vis spectra of 2.0×10^{-4} M solutions of (*S*)-**1a–e** in CH_2Cl_2 at 298 K.

and the $\pi-\pi^*$ transition band in the UV-vis spectra was red shifted compared to those of (*S*)-**1a–d**.

The luminescence intensity and colour of the boron complexes were found to vary significantly depending on the π -extension of the ligands. Photophysical data of (*S*)-**1a–e** are presented in Table 1. Quantum efficiencies (Φ) were determined using the absolute method with an integrating sphere accessory. Fig. 4 shows photographs of solutions and crystals of (*S*)-**1a–e** under UV irradiation at 298 K. 2.0×10^{-4} M solutions of (*S*)-**1a–d** in CH_2Cl_2 exhibit intense blue to yellow emission, while (*S*)-**1e** shows weak red emission under the same conditions (Fig. 4a). The low quantum efficiency of (*S*)-**1e** ($\Phi = 0.01$) might be attributed to the increase of non-radiative deactivation in accordance with the energy gap law.²⁴ Similarly, all complexes exhibit blue to red emission in crystalline state (Fig. 4b). In particular, the crystal of (*S*)-**1a** shows a rather high Φ value exceeding 0.99. The enhanced luminescence quantum yields in the aggregated state, except for (*S*)-**1d**, are considered to be based on the immobilization of molecules through multiple CH- π and H-F interactions observed in the crystal packings (Fig. S9–11).

The emission spectra of the boron complexes measured in CH_2Cl_2 are shown in Fig. 5a, in which the wavelengths of the emission peak maxima (λ_{max}) increase in the order of **1b** (432 nm) < **1a** (460 nm) \approx **1d** (459 nm) < **1c** (541 nm) < **1e** (623 nm). These results indicate that it is possible to control the emission colour in the range of approximately 200 nm by simple π -extension of the ligands. In addition, the emission peak maxima in the crystalline state show the same trend as in the dilute solution state [**1b**: ($\lambda_{\text{max}} = 477$ nm) < **1a** ($\lambda_{\text{max}} = 483$ nm) \approx **1d**

Fig. 4 Photographs of (a) CH_2Cl_2 solutions (2.0×10^{-4} M) and (b) crystals of (*S*)-**1a–e** at 298 K under UV illumination at 365 nm.

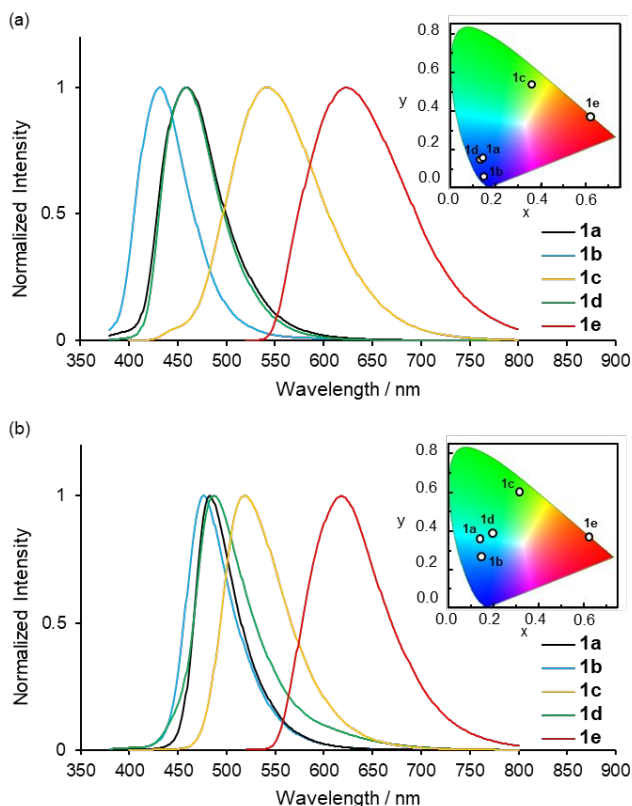
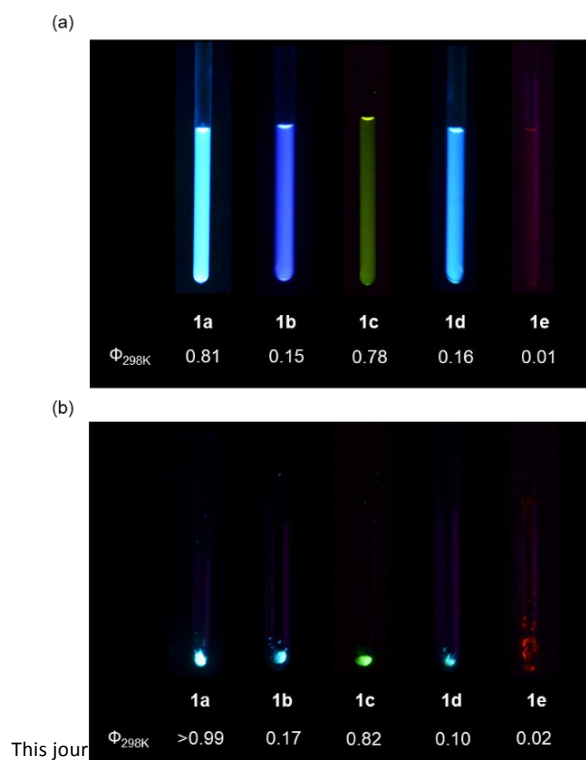


Fig. 5 (a) Normalized emission spectra of (a) CH_2Cl_2 solutions (2.0×10^{-4} M) and (b) crystals of (*S*)-**1a–e** (**1a**, **1b**, **1d**: $\lambda_{\text{ex}} = 350$ nm, **1c**: $\lambda_{\text{ex}} = 400$ nm, **1e**: $\lambda_{\text{ex}} = 480$ nm). The insets show the CIE colour coordinates of the emissions.



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($\lambda_{\text{max}} = 487$ nm) < **1c** ($\lambda_{\text{max}} = 519$ nm) < **1e** ($\lambda_{\text{max}} = 618$ nm); Table 1]. The CIE colour coordinates plotted on the CIE1930 chromaticity chart (Fig. 5, inset) indicate that the emission colour of (*S*)-**1a–e** varies in each complex.

The CPL spectra of enantiomeric samples of (*R*)-**1a–e** and (*S*)-**1a–e** in dilute CH_2Cl_2 solution showed mirror image spectra (Fig. 6), and emission wavelengths of CPL signals correspond well with those of emission spectra taken under the same measurement conditions (Fig. 5a). In these spectra, complexes (*S*)-**1a–d** exhibited negative CPL sign (Fig. 6a–d), whereas complex (*S*)-**1e** showed opposite positive CPL sign (Fig. 6e). These results indicate that π -extension of the ligands affects the direction of optical polarization, as will be discussed in more detail in a later section. The $|g_{\text{lum}}|$ values around the maximum emission wavelength are 6.9×10^{-4} (461 nm) for **1a**, 4.3×10^{-4} (434 nm) for **1b**, 7.9×10^{-4} (541 nm) for **1c**, 6.6×10^{-4} (461 nm) for **1d** and 1.3×10^{-3} (627 nm) for **1e**, respectively. Fortunately, we successfully prepared clear homogeneous thin films of complexes (*R*)-**1a,c** and (*S*)-**1a,c** by the drop-cast method and measured their CD and CPL properties. Photophysical data of

film samples of (*S*)-**1a,c** are presented in Table 2. In both film samples, mirror-image Cotton effects were observed in their CD spectra (Fig. S14). The CPL and total luminescence spectra

298 K are shown in Fig. 7. The thin films of complexes (*R*)-**1a,c** and (*S*)-**1a,c** showed intense blue and yellowish green emission

Table 1. Photophysical data for complexes (*S*)-**1a–e**.

Compound	Solution ^[a]					Crystal		
	λ_{abs} [nm]	λ_{max} [nm] ^[b]	Φ ^[b,c]	CIE (x, y) ^[b]	g_{lum} ^[d]	λ_{max} [nm] ^[b]	Φ ^[b,c]	CIE [x, y] ^[b]
(<i>S</i>)- 1a	392, 411	460	0.81	0.15, 0.14	6.9×10^{-4}	483	>0.99	0.14, 0.35
(<i>S</i>)- 1b	375, 392	432	0.15	0.16, 0.06	4.3×10^{-4}	477	0.17	0.14, 0.26
(<i>S</i>)- 1c	416	541	0.78	0.37, 0.54	7.9×10^{-4}	519	0.82	0.29, 0.61
(<i>S</i>)- 1d	397, 413	459	0.16	0.15, 0.13	6.6×10^{-4}	487	0.10	0.19, 0.39
(<i>S</i>)- 1e	465	623	0.01	0.61, 0.39	1.3×10^{-3}	618	0.02	0.62, 0.39

[a] Data were obtained from a 2.0×10^{-4} M solution in CH_2Cl_2 at 298 K. [b] $\lambda_{\text{ex}} = 350$ (**1a**, **1b** and **1d**), 400 (**1c**), 480 nm (**1e**). [c] Luminescent quantum efficiencies measured using the absolute method with an integrating sphere. [d] The $|g_{\text{lum}}|$ values around emission peak maxima are listed.

measured for (*R*)-**1a,c** and (*S*)-**1a,c** in the drop-cast film state at

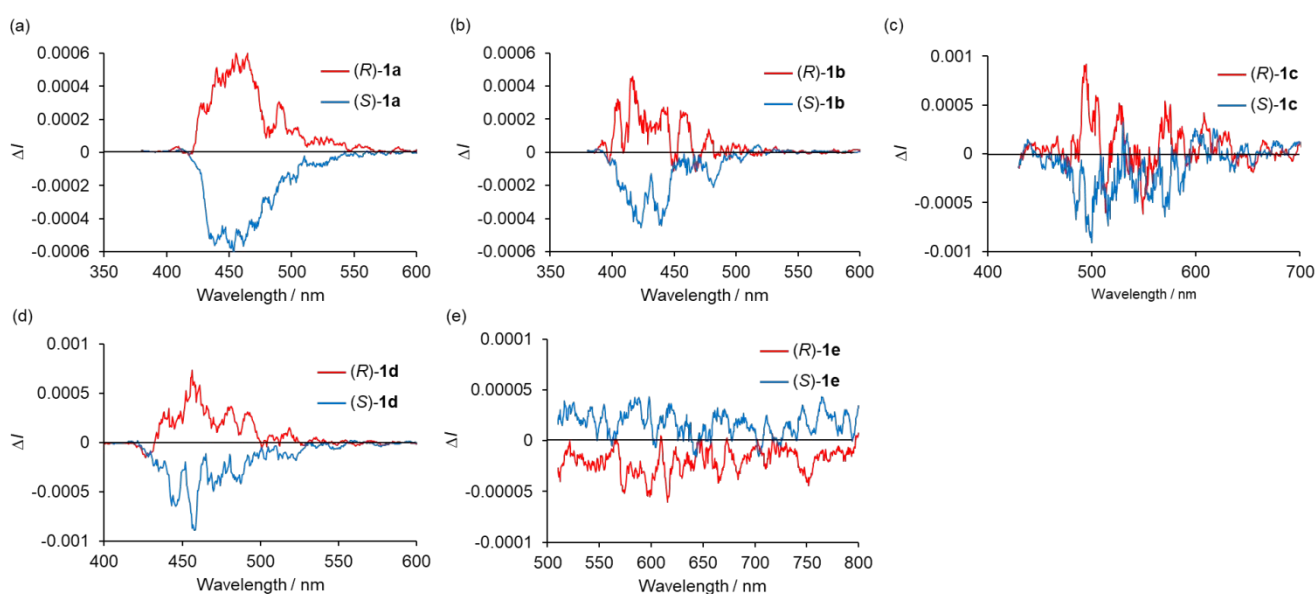


Fig. 6 CPL spectra of 2.0×10^{-4} M solutions of (*R*)- and (*S*)- **1a**, **1b**, **1c**, **1d** and **1e** in CH_2Cl_2 (**1a**, **1b**, **1d**: $\lambda_{\text{ex}} = 350$ nm, **1c**: $\lambda_{\text{ex}} = 400$ nm, **1e**: $\lambda_{\text{ex}} = 480$ nm).

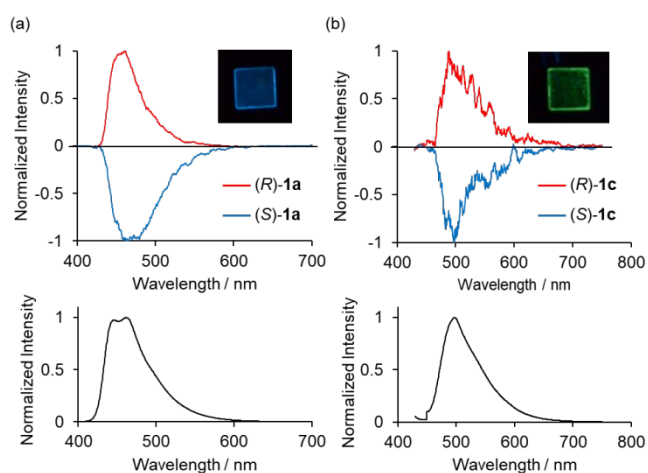


Fig. 7 CPL (upper plot) and total emission (lower plot) of (*R*)- and (*S*)- **1a** and **1c** in drop-cast film state (**1a**: $\lambda_{\text{ex}} = 350$ nm, **1c**: $\lambda_{\text{ex}} = 400$ nm). The insets show the photograph of films under UV irradiation (365 nm).

Table 2. Photophysical data for complexes (*S*)-**1a,c** in the drop-cast film state.

Compound	λ_{max} [nm] ^[a]	Φ ^[a,b]	CIE [x, y] ^[a]	g_{lum} ^[c]
(<i>S</i>)- 1a	446, 461	0.61	0.15, 0.22	1.9×10^{-2}
(<i>S</i>)- 1c	504	0.40	0.29, 0.55	8.2×10^{-3}

[a] $\lambda_{\text{ex}} = 350$ (**1a**), 400 (**1c**) nm. [b] Luminescent quantum efficiencies measured using the absolute method with an integrating sphere. [c] The $|g_{\text{lum}}|$ values around emission peak maxima are listed.

with high Φ values (**1a**: $\Phi = 0.61$ and **1c**: $\Phi = 0.40$) as in the crystalline state. The emission peak maxima of the films are

446, 461 nm for **1a** and 504 nm for **1c**, which are blue shifted compared with those measured in solution (**1a**: $\lambda_{\max} = 460$ nm and **1c**: $\lambda_{\max} = 541$ nm). These results suggest that complexes **1a** and **1c** formed H-type aggregates in the condensed film state.²⁵ The CPL spectra of both film samples showed clear mirror image signals. Their maximum emission $|g_{\text{lum}}|$ values are calculated to be 1.9×10^{-2} (460 nm) for **1a** and 8.2×10^{-3} (488 nm) for **1c**, which is more than 10 times higher than the value measured in solution. This improvement of chirality in the drop-cast films is considered to be due to the emergence of supramolecular chirality caused by H-aggregate formation.

We performed density functional theory (DFT) and time-dependent (TD) DFT calculations on the B3LYP/6-31+G(d,p) level, using the Gaussian 16 program, to clarify the relationship between the molecular structures and the photophysical properties of these complexes. The frontier orbitals of (*S*)-**1a–e** and their eigenvalues were estimated by using DFT calculations based on the optimized structure both in the S_0 (ground state) and S_1 (excited state) states (Figs. 8 and S15). The HOMOs of all complexes are principally ligand (π), whereas the LUMOs are in the ligand (π^*) (Fig. 8). The energy levels and electronic configurations of the singlet states of these complexes were estimated from TD-DFT calculations (B3LYP/6-31+G(d,p)) (Tables S2 and S3). The major electronic configuration of the S_1 states is the HOMO-to-LUMO transition, which implies that the present emission is principally attributable to π - π^* transition. The upward S_0 -to- S_1 transition energies were calculated to be 3.22 eV (385 nm) for (*S*)-**1a**, 3.41 eV (364 nm) for (*S*)-**1b**, 2.83 eV (439 nm) for (*S*)-**1c**, 3.15 eV (394 nm) for (*S*)-**1d**, and 2.26 eV (549 nm) for (*S*)-**1e**, which is consistent with the order of the absorption wavelengths of the π - π^* transition band for (*S*)-**1a–e** observed in CH_2Cl_2 solution [(*S*)-**1b** (375, 392 nm) < (*S*)-**1a** (392, 411 nm) \approx (*S*)-**1d** (397, 413 nm) < (*S*)-**1c** (416 nm) < (*S*)-**1e** (465 nm); Table 1]. Similarly, the downward S_1 -to- S_0 transition energies estimated to be 2.82 eV (440 nm) for (*S*)-**1a**, 3.10 eV (401 nm) for (*S*)-**1b**, 2.16 eV (574 nm) for (*S*)-**1c**, 2.74 eV (453 nm) for (*S*)-**1d** and 1.67 eV (740 nm) for (*S*)-**1e**, are in accord with the emission maxima in CH_2Cl_2 .

In order to obtain further insight into the origin of the structure-dependent chiroptical properties observed for (*S*)-**1a–e**, we estimated transition dipole moments of upward S_0 -to- S_1 and downward S_1 -to- S_0 transitions by using TD-DFT calculations (B3LYP/6-31+G(d,p)). The validity of the

Fig. 8 Molecular orbitals (overhead views) and eigenvalues [eV] for the frontier orbitals of (*S*)-**1a–e** estimated from DFT calculations (B3LYP/6-31+G(d,p)) on the basis of the optimized geometries in the ground states.

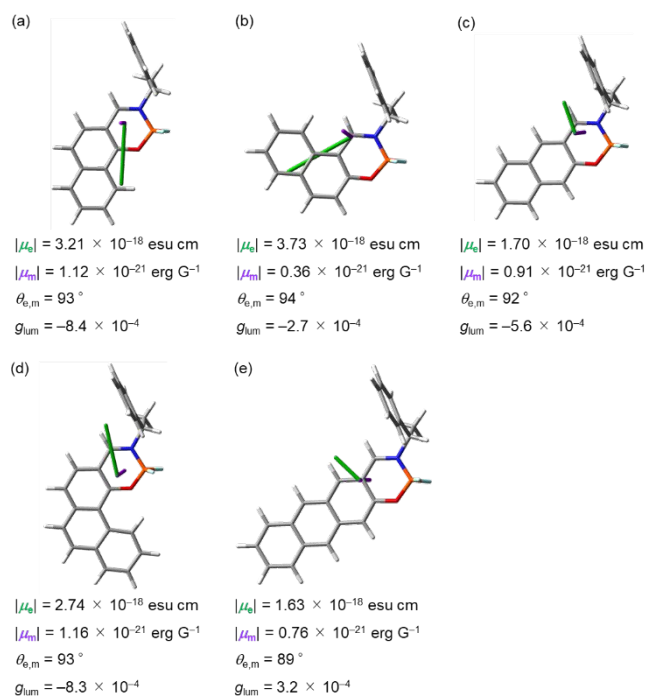
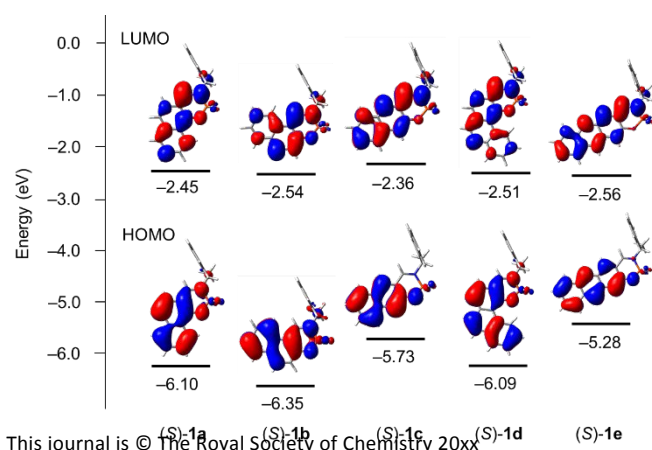


Fig. 9 Electric (μ_e , orange) and magnetic (μ_m , purple) dipole moments of the $S_1 \rightarrow S_0$ transition for (a) (*S*)-**1a**, (b) (*S*)-**1b**, (c) (*S*)-**1c**, (d) (*S*)-**1d** and (e) (*S*)-**1e** calculated at the B3LYP/6-31+G(d,p) level. Calculated values of transition dipole moments ($|\mu_e|$, $|\mu_m|$ and $\theta_{e,m}$) and g_{lum} are given under each structure.

calculation was confirmed by comparison between theoretical and experimental CD and CPL spectra of (*S*)-**1a–e** (Fig. 3a, 7, S16, and S17), showing that the structure-dependent changes in the experimental values are well reproduced by our theoretical simulations. The dissymmetry factors g_{abs} and g_{lum} are calculated with the equation $g = 4(|\mu_e||\mu_m|\cos\theta)/(|\mu_e|^2 + |\mu_m|^2)$, where $|\mu_e|$, $|\mu_m|$ and $\theta_{e,m}$ are the electric transition dipole moments, magnetic transition dipole moments and the angles between two vectors μ_e and μ_m , respectively.²⁶ Fig. 9 illustrates the electric and magnetic dipole moments $|\mu_e|$ and $|\mu_m|$, calculated for the downward S_1 -to- S_0 transition of (*S*)-**1a–e** in the excited state geometry. The corresponding moments in the ground state are shown in Fig. S18 in the supporting information. In all complexes, calculated g_{lum} values are of the order 10^{-4} – 10^{-3} which is consistent with experimental CPL results. The $\theta_{e,m}$ values were obtuse in complexes (*S*)-**1a–d**. The $\theta_{e,m}$ values were acute in (*S*)-**1e** despite the fact that all the complexes had the same *S* chirality. These results were consistent with the experimental CPL sign for (*S*)-**1a–e**,



suggesting that modification of the ligands induces a slight difference in the direction of the transition dipole moments leading to the CPL sign inversion.

Conclusions

In summary, we have reported the synthesis and chiroptical properties of chiral Schiff-base difluoride boron complexes. These chiral compounds exhibited multi-colour CPL in the solution state. The CPL intensity was considerably enhanced in the drop-cast film state with g_{lum} value up to 1.9×10^{-2} . DFT and TD-DFT calculations of the structures and electronic configurations of (*S*)-**1a-e** revealed the relationship between molecular structure and photophysical properties.

Author Contributions

The project was conceived by Masahiro Ikeshita, who also directed all experiment work, theoretical calculation and wrote the manuscript. Takato Suzuki performed all experimental work except for CPL measurement. Kana Matsudaira and Maho Kitahara measured CPL spectra. Yoshitane Imai and Takashi Tsuno gave constructive guidance for this study. The final version of the manuscript has received the approval of all authors.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

This work was supported by JSPS KAKENHI (Grant Numbers JP21K20541 (M.I.), JP21K18940 (Y.I.) and JP21K05234 (T.T.)), JST, CREST (Grant Number JPMJCR2001 (Y.I.)), JGC-S SCHOLARSHIP FOUNDATION (M.I.) and Nihon University Industrial Technology Fund for Supporting Young Scholars (M.I.). We gratefully acknowledge Prof. Dr. Henri Brunner (Universität Regensburg) for helpful discussion and comments. We also acknowledge Prof. Dr. Takayoshi Fujii (Nihon University) for measurements of emission properties, and Prof. Dr. Takeshi Naota (Osaka University) and Assoc. Prof. Dr. Shuichi Suzuki (Osaka University) for measurements of HRMS spectrometry.

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