



**Impact of physical and chemical parameters on square wave anodic stripping voltammetry for trace Pb<sup>2+</sup> detection in water**

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# Impact of physical and chemical parameters on square wave anodic stripping voltammetry for trace $\text{Pb}^{2+}$ detection in water

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## Abstract:

Exposure to lead, a toxic heavy metal, in drinking water is a worldwide problem. Lead leaching from lead service lines, the main contamination source, and other plumbing materials is controlled by the plumbosolvency of water. Square wave anodic stripping voltammetry (SWASV) has been greatly explored as a rapid and portable technique for the detection of trace  $\text{Pb}^{2+}$  ions in drinking water. However, the impact of water quality parameters (WQP) on the SWASV technique is not well understood. Herein, SWASV was employed to detect  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  and determine trends in the stripping peak changes in simulated water samples while individually varying the pH, conductivity, alkalinity, free chlorine, temperature, and copper levels. The pH and conductivity were controlled using the buffer 3-(N-morpholino)propanesulfonic acid (MOPS), and  $\text{NaNO}_3$ , respectively and kept at  $\text{pH} = 7.0$  and conductivity =  $500 \mu\text{S cm}^{-1}$  when exploring other WQPs. The working electrode, a gold-nanoparticle-modified carbon nanotube fiber cross-section (AuNP-CNT/CS) electrode provided sufficiently sharp and prominent peaks for  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  detection as well as good reproducibility, with a relative error of 5.9% in simulated water. We found that conductivity, and temperature had a proportional relationship to the peak height, and pH, alkalinity, free chlorine, and copper had an inverse relationship. In addition, increasing the copper concentration caused broadening and shifting of the  $\text{Pb}^{2+}$  stripping peak. At extremely low conductivities ( $<100 \mu\text{S cm}^{-1}$ ), the voltammograms became difficult to interpret owing to the formation of inverted and distorted peaks. These trends were then also observed within a local drinking water sample in order to validate the results.

## 1. Introduction

Lead in drinking water is a current global issue because of its toxicity to humans. Lead is rarely present in natural water sources, instead originating from lead pipes and materials used in century-old plumbing and water distribution systems [1–3]. The primary source of  $\text{Pb}^{2+}$  leaching into drinking water is premise plumbing material that contains lead in the pipes, joints, solder, brass couplings, and faucets [4–7]. Some plumbing materials containing polyvinyl chloride (PVC) and polypropylene have also been found to release lead at levels up to 113 and 40  $\mu\text{g L}^{-1}$   $\text{Pb}^{2+}$ , respectively [8]. Continuous exposure to trace amounts of lead can cause adverse health effects in multiple organs and systems such as the liver, kidney, reproductive system, and nervous system [9–12]. This toxicity originates from the ability of  $\text{Pb}^{2+}$  ions to disrupt normal biological functions by binding to various protein sites and ligands containing oxygen, nitrogen, and sulfur [13,14]. In children lead consumption at trace concentrations over time can have detrimental long-term effects on the developing central nervous system, resulting in irreversibly diminished IQ levels [15]. The World Health Organization (WHO) and the United States Environmental Protection Agency (US EPA) have set maximum contaminant levels (MCL) and action levels of 10 and 15  $\mu\text{g L}^{-1}$   $\text{Pb}^{2+}$ , respectively [2,16,17]. Lead levels in municipal waters are monitored by local water supply systems (WSSs) and regulated by government agencies worldwide to protect civilians from exposure through drinking water. However, owing to lead leaching from premise plumbing materials, the lead levels in drinking water in homes, schools, and office buildings may be higher than those monitored by WSSs [5]. In the United States, the Lead and Copper Rule (LCR) requires WSSs to adjust the physical and chemical properties of distributed water to decrease the plumbosolvency [18], which is the ability of water to leach  $\text{Pb}^{2+}$  from plumbing materials.

Conventionally, lead and other heavy metal ions (HMIs) have been detected using inductively coupled plasma mass-spectrometry (ICP-MS) and atomic absorption spectroscopy (AAS). Although highly sensitive, these techniques are labor intense, expensive, and reliant on non-portable instrumentation that requires frequent maintenance and trained lab personnel [19,20]. The issue of lead leaching from premise plumbing demands a sensitive detection technique that is simple, rapid, and capable of repeated analyses by consumers [21]. Square wave anodic stripping voltammetry (SWASV) is a powerful electroanalytical technique commonly used to detect HMIs

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3 [22,23], and is affordable, portable, user-friendly, and capable of directly and rapidly determining  
4  $Pb^{2+}$  in potable water [24,25]. SWASV is a multi-step technique consisting of a reductive  
5 preconcentration step, an oxidative stripping step, and sometimes a cleaning step. HMIs of interest  
6 are electrochemically reduced at the working electrode surface, and then an oxidative potential  
7 scanning step is performed. The resulting current responses can be attributed to specific metals  
8 based on their redox potentials and the Nernst equation [26]. The major drawback of the SWASV  
9 technique is that lead needs to be in an electrochemically reducible state in solution [27]. Water  
10 quality parameters (WQPs) such as pH, conductivity, alkalinity, temperature and free chlorine can  
11 influence the oxidation state and solubility of lead in drinking water [28–30], thus affecting the  
12 preconcentration step of SWASV as well as its sensitivity, which is in part due to the accumulation  
13 of the analyte on the electrode surface. Therefore, it is common practice to adjust the pH and  
14 conductivity of a water sample before analysis. Although the optimal buffer, molarity, and pH  
15 have been investigated for a multitude of electrodes [23], the effects of various WQPs on the  
16 SWASV technique for  $Pb^{2+}$  detection in unaltered drinking water are not well understood [31].  
17 There is a need to understand the impact of these WQPs on the SWASV technique for detecting  
18  $Pb^{2+}$  without the addition or dilution with supporting electrolyte, representing each WQP from  
19 expected concentration ranges reported for real water samples.  
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33 The physical and chemical WQPs regularly monitored by WSSs include pH, conductivity,  
34 alkalinity, hardness, dissolved inorganic carbon, total organic carbon, temperature, chlorine, color,  
35 turbidity, bromate boron, total dissolved solids, heavy metals, anion and cation concentrations  
36 [32]. The WQPs of tap water vary with location, season, anthropogenic activity, and source  
37 (ground or surface water) [32], affecting the applicability of the SWASV technique for HMI  
38 detection [31]. The solubility of  $Pb^{2+}$  ions increases at lower pH values, as revealed by equilibrium  
39 models such as the Pourbaix diagrams of lead with various compounds as well as lead pipe scale  
40 and coupon studies [28,33]. As crucial WQPs, water hardness and alkalinity are expressed as mg/L  
41  $CaCO_3$ , but they represent various chemical species in water [34]. Water hardness is a measure of  
42 the concentration of divalent cations, mainly of calcium and magnesium ions, and indicates the  
43 tendency to form soap scum and scale, which precipitates when water is boiled [34]. Alkalinity is  
44 the acid neutralizing capacity of water, which is mainly attributed to hydrocarbonate ( $HCO_3^-$ ),  
45 carbonate ( $CO_3^{2-}$ ), hydroxide ( $OH^-$ ), ammonia ( $NH_4^+$ ), and phosphate ( $PO_4^{3-}$ ) ions [34,35]. The  
46 alkalinity of water decreases plumbosolvency and  $Pb^{2+}$  ion solubility by stabilizing scale  
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3 precipitation, preventing lead leaching, and increasing buffer capacity [30,36]. Water conductivity  
4 represents the total ion concentration, including  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{Na}^+$ , and  $\text{K}^+$  as significant cations and  
5  $\text{HCO}_3^-$ ,  $\text{SO}_4^{2-}$ , and  $\text{Cl}^-$  as significant anions [37]. The conductivities of water sources vary naturally  
6 depending on environment and season due to three main processes: evaporation/precipitation, rock  
7 and mineral erosion, and atmospheric precipitation and degassing of ions [37,38]. These variations  
8 can become an issue when using electrochemical analysis techniques because ohmic drop occurs  
9 at lower solution conductivities [39].

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12 To control biological activity, the US EPA requires the addition of disinfectants to drinking  
13 water [16]. As the most common disinfectant, chlorine is used as a biocide to control microbes in  
14 drinking water [29], and the US EPA has set the maximum allowable free chlorine level in drinking  
15 water at  $4.0 \text{ mg L}^{-1}$  (as  $\text{Cl}_2$ ) [40]. Free chlorine is the amount of active chlorine present in water  
16 that can oxidize potential biological contaminants or react with organic pollutants and metal  
17 plumbing [41]. Various compounds can form free chlorine, including chlorine gas, sodium  
18 hypochlorite, and chloramines [35], and the concentration of free chlorine decreases as water  
19 moves through the distribution system. Temperature is a critical WQP that influences many of the  
20 other WQPs and affects chemical and biological processes [42]. High temperatures have been  
21 reported to increase lead leaching [43], chlorine decay rates, toxic disinfection byproduct levels,  
22 and biological activity [42]. To control biological activity in drinking water the WHO recommends  
23 water temperatures be below  $20 \text{ }^\circ\text{C}$ , while avoiding those in the range of  $25 - 60 \text{ }^\circ\text{C}$  [44]. Copper  
24 from the corrosion of copper plumbing materials has been found as a metal contaminant in drinking  
25 water at concentrations of  $0 - 3000 \text{ } \mu\text{g L}^{-1}$  [44]. The US EPA, which regulates copper under the  
26 Safe Drinking Water Act (SDWA), has set an MCL of  $1300 \text{ } \mu\text{g L}^{-1}$  copper [16]. These water  
27 parameters were chosen to study the influence on the  $\text{Pb}^{2+}$  detection of the SWASV technique  
28 because of their potential for influencing the speciation of  $\text{Pb}^{2+}$ . To our knowledge, the effect of  
29 these parameters on the SWASV technique for point of care trace  $\text{Pb}^{2+}$  detection have not been  
30 addressed. The traditional way to analyze water samples for lead is to dilute the drinking water  
31 sample in a supporting electrolyte buffer, and the sample complexity is not taken into  
32 consideration. Understanding how different WQPs influence the SWASV technique will assist  
33 researchers expand the applicability for direct lead detection at drinking water sources.  
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3 Carbon nanotubes (CNTs) are an ideal working electrode material for the SWASV  
4 technique owing to their electrical conductivity [45,46], robustness over a wide potential window  
5 [24], fast electron transfer [45], high mechanical strength, and easily modifiable surface  
6 functionalities [47]. CNTs in the form of fibers [25], nanoelectrode ensembles [48], cross sections  
7 [49], printed films [24], and thin films [50] have been used as working electrodes for  
8 electroanalytical sensing applications. Furthermore, increased sensitivity for HMI detection has  
9 been achieved by drop-casting dispersed multi-walled carbon nanotubes (MWCNTs) onto glassy  
10 carbon and screen-printed carbon electrodes [47,51]. Gold-modified working electrodes have also  
11 been well studied using SWASV for the detection of HMIs [52,53]. During the SWASV analysis  
12 of HMIs, gold electrodes exhibit underpotential deposition (UPD) [54,55], where the potential at  
13 which the target metal ion is reduced on the electrode surface is more positive than the Nernst  
14 potential. This phenomenon is due to the interaction energy between the gold electrode and the  
15 metal of interest (gold-metal) being greater than that between the deposited metal and the metal  
16 ion of interest (bulk metal - metal) [54]. During UPD, a monolayer of the target metal is formed  
17 on the gold surface, followed by regular bulk metal deposition, which leads to two or more  
18 stripping peaks and thus complicates data interpretation. Nevertheless, UPD-SWASV provides  
19 increased sensitivity to trace metals while shortening deposition times and lowering deposition  
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35 In this work, we report the effects of six WQPs (pH, conductivity, chlorine, hardness,  
36 temperature, and copper) on the SWASV technique for detecting  $10 \mu\text{g L}^{-1} \text{Pb}^{2+}$  in simulated  
37 drinking water samples. To our knowledge it is the first attempt trying to understand how the  
38 SWASV technique will perform due to the complexity of drinking water samples. The WQP  
39 ranges were selected by considering the values reported in drinking water supplies and the  
40 guidelines set by the US EPA. For the working electrode, a bare carbon nanotube fiber cross-  
41 section (CNT<sub>f</sub>-CS) microelectrode reported previously by our group [45,49] was modified with  
42 gold to ensure robustness and longevity. The gold-nanoparticle-modified CNT fiber cross-section  
43 (AuNP-CNT<sub>f</sub>-CS) microelectrode consisted of six individual  $\sim 70 \mu\text{m}$  densified CNT fibers  
44 embedded in a polymer capsule and sliced to  $\sim 100 \mu\text{m}$  thick cross sections. The obtained insights  
45 into the influence of various WQPs on the performance of the ASV technique will allow the design  
46 of better electrochemical detection systems and protocols for the effective evaluation of drinking  
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3 water systems. The applicability of the results found in the simulated water samples were tested  
4 by studying changes of the WQPs in a local drinking water (LDW) sample.  
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## 7 **2. Experimental**

### 8 *2.1. Chemicals and reagents*

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11  $\text{Pb}^{2+}$  and  $\text{Cu}^{2+}$ , and  $\text{CaCO}_3$  solutions (99.95 – 100.5%, TraceCERT ICP standard grade), 3-  
12 (N-morpholino)propanesulfonic acid (MOPS,  $\geq 99.5\%$ , ACS reagent grade),  $\text{NaClO}^-$  (4.00 – 5.99%  
13 reagent grade),  $\text{NaNO}_3$  ( $\geq 99.0\%$ , ReagentPlus),  $\text{NaCH}_3\text{COOH}$  ( $\geq 99.0\%$ , BioXtra),  $\text{NaOH}$   
14 ( $\geq 99.0\%$ , ACS reagent grade),  $\text{KCl}$  ( $\geq 99.0\%$ , BioXtra),  $\text{KAuCl}_4$  (98%),  $[\text{Ru}(\text{NH}_3)_6]\text{Cl}_3$  (98%),  
15 eriochrome black T (ACS reagent grade), and ethylenediaminetetraacetic acid disodium salt  
16 dihydrate (99.0-101.0% titration) were all purchased from Sigma Aldrich. Fast-drying silver paint  
17 and clear weld epoxy resin were obtained from Ted-Pella Inc. (Redding, CA) and JB Weld,  
18 respectively. Embedded CNTf cross sections were prepared using an EMBED- 812 embedding kit  
19 (Electron Microscopy Sciences, PA, USA) which consisted of EMBED-812 resin, dodecyl  
20 succinic anhydride, methyl-5-norbornene-2,3-dicarboxylic anhydride, and n-  
21 benzyldimethylamine. Chemical vapor deposition was used to synthesize drawable vertically  
22 aligned CNT forests as reported previously by our group [56,57], using ultrapure ethylene (Wright  
23 Brothers, USA) as the carbon source and Fe/Co (Goodfellow, USA) as the catalyst. All solutions  
24 were prepared in Milli-Q ultra-pure deionized (DI) water ( $\leq 18.2 \text{ M}\Omega \text{ cm}$ ).  
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### 37 *2.2. Instrumentation and electrochemical cell*

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39 A BASi Epsilon Eclipse™ Model EF-1031 electrochemical analyzer (Lafayette, IN) was  
40 used for voltammetric experiments, including cyclic voltammetry (CV) modifications and  
41 analysis, SWASV in simulated WQP samples, and controlled potential electrolysis (CPE) cleaning  
42 processes at room temperature, unless otherwise stated. SWASV experiments were performed in  
43 a 50 mL Teflon beaker containing 40 mL of a simulated water sample. The three-electrode  
44 electrochemical cell was used for voltammetric experiments with a platinum wire auxiliary  
45 electrode, a Ag/AgCl (3M NaCl) (ALS Co., Ltd, Model 012,167 RE-1B) glass capillary reference  
46 electrode, and an AuNP-CNTf-CS working electrode, unless otherwise stated. Electrochemical  
47 impedance spectroscopy (EIS) was performed using a Gamry Instruments Reference 600  
48 potentiostat/galvanostat/ZRA to characterize the modified AuNP-CNTf-CS and bare CNTf-CS  
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3 electrodes. Scanning electron microscopy (SEM) and energy-dispersive X-ray analysis (EDAX)  
4 were performed using a FEI Apreo 2 C system (Thermo Scientific) to characterize the electrodes  
5 and confirm gold modification on the surface of the AuNP-CNTf-CS electrode. Elemental  
6 identification was performed and weight percentages were calculated using the TEAM™ eZAF  
7 EDS Smart Quant software. Raman spectra were collected using a Renishaw in Via Raman  
8 microscope system (West Dundee, IL, USA) to characterize the gold particles on the modified  
9 AuNP-CNTf-CS electrode.

### 16 2.3.1. Simulated water sample WQP preparation

18 The WQP test solutions were prepared using a standard stock solution of 0.1 M MOPS  
19 buffer (pH = 7.0) with a conductivity of  $\sim 500 \mu\text{S cm}^{-1}$  in DI water, unless otherwise stated. To  
20 study the pH effect, simulated water samples were prepared by adjusting pH of the stock solutions  
21 to 6.0, 6.5, 7.0, 7.5, and 8.0 using 5.0 M NaOH and 5.0 M HNO<sub>3</sub>. To study the effect of  
22 conductivity, simulated water samples were prepared by adjusting the conductivity of the stock  
23 solutions to 100, 300, 500, 700, and 900  $\mu\text{S cm}^{-1}$  using 5.0 M NaNO<sub>3</sub>. The effect of free chlorine  
24 was studied by adding a working solution of NaClO<sup>-</sup> to 40 mL of the stock solution to obtain  
25 simulated water samples with free chlorine levels of 1.0, 2.0, 3.0, and 4.0 mg L<sup>-1</sup> (0.1 M MOPS;  
26 pH = 7.0; conductivity = 500  $\mu\text{S/cm}$ ). The effect of alkalinity was investigated by adding solid  
27 CaCO<sub>3</sub> into 250 mL stock solutions (0.1 M MOPS; pH = 7.0; Conductivity = 500  $\mu\text{S/cm}$ ) to obtain  
28 simulated water samples with 0, 50, 100, 150, 200, 250, 300, and 350 mg L<sup>-1</sup> CaCO<sub>3</sub>. CO<sub>2</sub>(g) was  
29 bubbled into each solution for 30 minutes to ensure the dissolution of calcium carbonate into Ca<sup>2+</sup>  
30 and HCO<sub>3</sub><sup>-</sup> ions, discussed in more detail in section 3.6. To study the effect of temperature, the  
31 stock solution (0.1 M MOPS; pH = 7.0; conductivity = 500  $\mu\text{S cm}^{-1}$ ) was heated or cooled to 10,  
32 20, 30, and 40 °C using a 1000 mL jacketed glass beaker containing DI water as a water bath. The  
33 bath level was sufficient to control the temperature of the simulated water sample in the Teflon  
34 beaker without overflowing into it. The sample in the electrochemical cell was allowed to reach  
35 equilibrium at the desired temperature before performing SWASV measurements. The effect of  
36 copper was studied by adding Cu<sup>2+</sup> into 40 mL volumes of the stock solution (0.1 M MOPS; pH =  
37 7.0; conductivity = 500  $\mu\text{S cm}^{-1}$ ) to obtain simulated water solutions with copper levels of 0, 10,  
38 50, 100, 200, 500, and 1000  $\mu\text{g L}^{-1}$ . All WQP testing was performed within one week of preparing  
39 working and stock solutions. However, the free chlorine simulated water samples were analyzed  
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3 immediately after preparation. All WQP testing was performed without their dilution into a  
4 supporting electrolyte buffer solution.  
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### 7 *2.3.2 Local drinking water sample collection*

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10 A local drinking water (LDW) sample was collected from a potable water source into a  
11 HDPE bottle without any post treatment. The pH, conductivity, alkalinity, free chlorine, copper,  
12 and lead levels were analyzed are reported in supporting information Table S1. The LDW sample  
13 was tested without the addition of any supporting electrolyte buffer and then the pH, conductivity,  
14 alkalinity, free chlorine, temperature, copper, and lead were adjusted following the same procedure  
15 as the one employed in the simulated water sample WQP preparation.  
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## 20 *2.4. SWASV procedure*

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### 22 *2.4.1. SWASV parameters*

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25 Electrochemical analysis of  $10 \mu\text{g L}^{-1} \text{Pb}^{2+}$  in the WQP samples was carried out on the  
26 AuNP-CNTf-CS microelectrode by employing SWASV. The stripping potential window was -800  
27 mV to + 300 mV with a frequency of 15 Hz, an amplitude of 25 mV, a deposition time of 120 s, a  
28 quiet time of 10 s, and a deposition potential of -800 mV, unless otherwise stated.  
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### 32 *2.4.2. Simulated water sample WQP analysis*

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35 For each WQP, the SWASV measurements were performed using the same procedure,  
36 unless otherwise stated. Each of the six WQPs were evaluated using a separate electrode to reduce  
37 experimental error due to variations in the electrode surface area, and a single working electrode  
38 was employed for the individual WQP being studied. For each AuNP-CNTf-CS electrode, CV  
39 (200 to - 400 mV at  $100 \text{ mV s}^{-1}$ ) was performed in the standard 0.1 M MOPS to remove any  
40 contaminants and precondition the electrode surface before SWASV experiments. Before being  
41 used to evaluate the WQP samples, each electrode was tested in the standard solution (0.1 M  
42 MOPS, pH = 7.0, conductivity =  $500 \mu\text{S cm}^{-1}$ ) without  $\text{Pb}^{2+}$  to collect a baseline ( $n = 3$ ) and with  
43  $10 \mu\text{g L}^{-1} \text{Pb}^{2+}$  to determine the normal response of the electrode to trace  $\text{Pb}^{2+}$ . Between each  
44 SWASV measurement, a 60 s CPE process was performed at + 500 mV in the test solution with  
45 stirring. A fresh 40 mL working solution was prepared for each variable change within a WQP  
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3 study. These samples were tested without the addition of concentrated supporting electrolyte buffer  
4 to best simulate the drinking water environment.  
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#### 7 *2.4.3. Local drinking water sample WQP analysis*

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10 The LDW sample analysis was performed without the addition of supporting electrolyte  
11 buffers. The same preconditioning of the AuNP-CNTf-CS working electrode by CV and baseline  
12 collection by SWASV was done as described in simulated water sample WQP analysis. The six  
13 WQPs were studied at an individual working electrode. A 40 mL LDW sample first had  $10 \mu\text{g L}^{-1}$   
14  $\text{Pb}^{2+}$  introduced and SWASV was performed in triplicate ( $n=3$ ) in the unaltered LDW sample.  
15 Then the WQP of interest was adjusted and SWASV measurements were performed in triplicate  
16 ( $n=3$ ). The SWASV analyses were performed using the same procedure described for the  
17 simulated water sample analysis, unless otherwise stated.  
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#### 24 *2.5. Electrode fabrication and gold modification*

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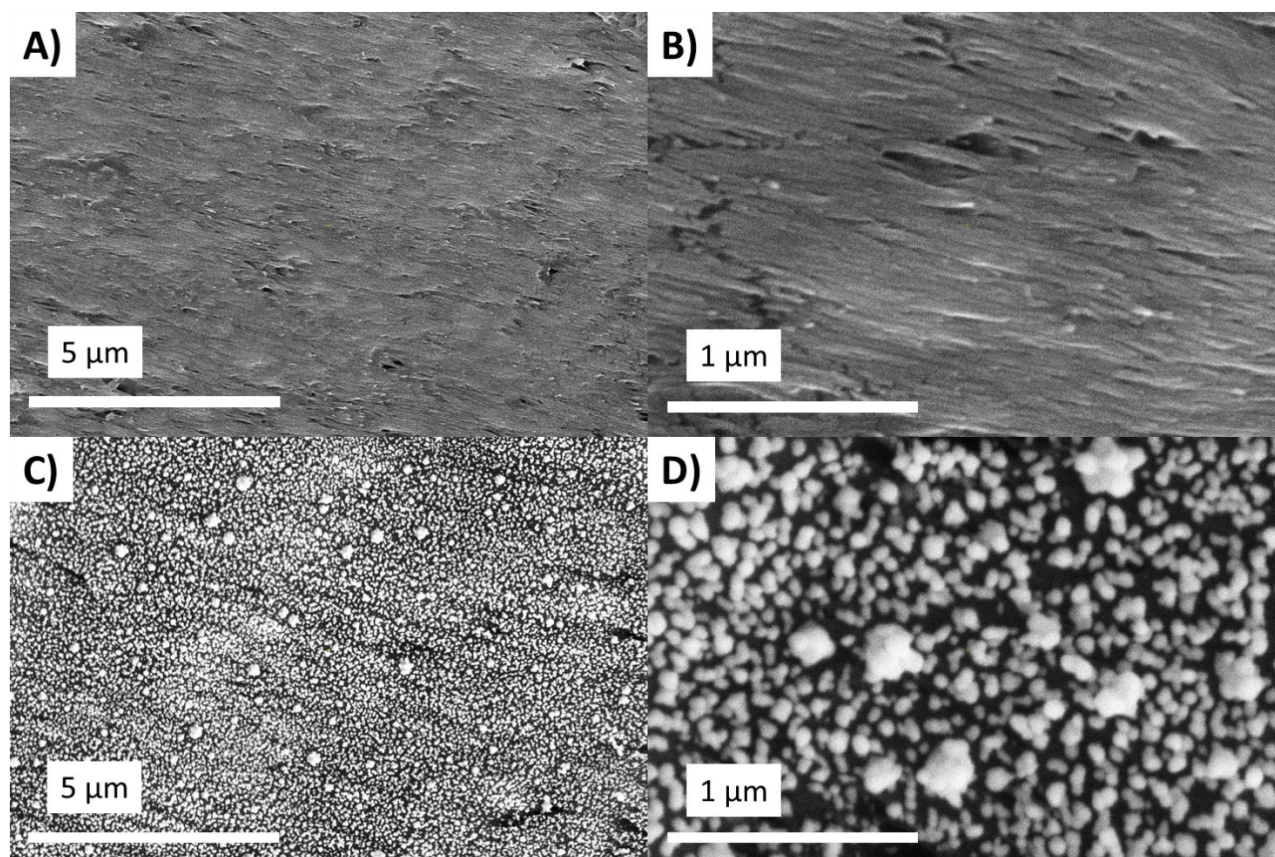
27 The detailed procedures for preparing the CNTf-CS electrode have been described in detail  
28 by our group previously [45,49]. First, a CNT fiber was assembled from a vertically aligned  
29 MWCNT forest. Then,  $\sim 4$  cm sections of the CNT fiber were densified in an acetone bath at 30  
30  $^{\circ}\text{C}$  for 96 h. Six densified CNT fibers with diameters of  $\sim 70 \mu\text{m}$  were aligned parallelly and  
31 embedded within a polymer in the shape of a 1 cm diameter cylinder. After curing, the embedded  
32 CNT fibers were sliced into cross sections with thickness of  $\sim 100 \mu\text{m}$  using a microtome. Fast-  
33 drying silver paint was applied to a copper wire and one end of the CNTf-CS to create electrical  
34 connections. The silver paint was allowed to dry for one hour before being insulated and secured  
35 using 5-min quick setting epoxy. The CNTf-CS microelectrode was used as the bare working  
36 electrode. To prepare the modified AuNP-CNTf-CS microelectrode, gold nanoparticles (AuNPs)  
37 were electrodeposited onto the CNTf-CS microelectrode using CV (0.2 to  $-1.6 \text{ V}$ ,  $50 \text{ mV s}^{-1}$ , 3  
38 cycles) in 0.5 mM  $\text{K}[\text{AuCl}_4]$  (prepared by ultrasonication for 10 min) with 0.1 M KCl as a  
39 supporting electrolyte (SE).  
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#### 49 *2.6. Optimization of CV cycles for gold modification*

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52 The optimal number of CV cycles for AuNP electrodeposition was determined by detecting  
53  $10 \mu\text{g L}^{-1} \text{Pb}^{2+}$  in 0.1 M acetate buffer (pH 4.3) using AuNP-CNTf-CS electrodes prepared using  
54 2, 3, and 5 CV cycles. As shown in Fig. S1, the electrode prepared using 3 CV cycles exhibited  
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the largest peak height for  $10 \mu\text{g L}^{-1} \text{Pb}^{2+}$  following the standard SWASV procedure.



**Fig. 1** SEM micrographs of (A and B) the bare CNTf-CS and (C and D) AuNP-CNTf-CS at magnifications of (A and C) 10,000x and (B and D) 50,000x.

### 3. Results and discussion

#### 3.1. Characterization of bare and modified microelectrodes.

##### 3.1.1. SEM micrographs

SEM micrographs of the bare and modified AuNP-CNTf-CS electrodes are shown in Fig. 1. The surface morphology of the bare CNTf-CS microelectrode can be seen in Fig. 1A and display the smooth nature of its surface. The density of the cross section and bundles of MWCNTs can be seen in Fig. 1B and displays the packing density of CNTs. Gold modification resulted in a uniform distribution of AuNPs on the AuNP-CNTf-CS microelectrode surface (Fig. 1C). As shown in Fig. 1D, the AuNPs on the AuNP-CNTf-CS electrode surface were 10–100 nm in diameter, and the

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3 bare CNT surface of the electrode was partially exposed. Thus, the bare CNT surface could interact  
4 with the electrolyte solution, even after modification with AuNPs.  
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### 6 7 *3.1.2. Raman spectroscopy, EIS, and EDAX*

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9 Raman spectroscopy was used to confirm the AuNP deposition on the surface of the CNTf-  
10 CS electrode. The presence of metal nanoparticles on a Raman-active substrate results in enhanced  
11 Raman intensities due to localized surface plasmon resonance [58–60]. The three main Raman  
12 peaks (D, G, and G') exhibited enhancement factors of ~14, 18, and 4, respectively (Fig. S2). The  
13 Raman spectroscopy results indicated that the modified AuNP-CNTf-CS electrode was  
14 successfully prepared, as discussed further in the supplementary information.  
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21 EIS data were recorded for the bare CNTf-CS and modified AuNP-CNTf-CS electrodes,  
22 and the Nyquist plots are shown in Fig. S3. As estimated from the diameter of the semicircular  
23 feature in the Nyquist plots, the CNTf-CS and AuNP-CNTf-CS electrodes had charge-transfer  
24 resistance ( $R_{ct}$ ) values of approximately 1316 and 589  $\Omega$ , respectively. The decrease in  $R_{ct}$  for the  
25 AuNP-CNTf-CS electrode was attributed to an increase in the geometrical surface area after AuNP  
26 modification.  
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32 Gold modification was further confirmed using EDAX. The EDAX spectrum of the AuNP-  
33 CNTf-CS sample exhibited a large Au peak (Fig. S4B), whereas this peak was absent from the  
34 EDAX spectrum of the bare CNTf-CS sample (Fig. S4A). Table S2 summarizes the contents  
35 (weight %) of selected elements (Au, C, O, Cl, and K) in each sample. The CNTf-CS and AuNP-  
36 CNTf-CS samples contained 0.8 and 57.1 wt% gold, respectively, which confirmed that the  
37 observed NPs indeed are gold. Elemental identification and weight percentages were analyzed and  
38 calculated using TEAM<sup>TM</sup> eZAF EDS Smart Quant software.  
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### 44 45 *3.1.3. CV characterization*

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47 Cyclic voltammograms of 2.5 mM hexaamineruthenium (III) chloride in 0.1 M KCl on the  
48 CNTf-CS and AuNP-CNTf-CS electrodes are shown in Fig. S5A. The peak current response for  
49 the redox species was increased on the AuNP-CNTf-CS electrode, which was attributed to the  
50 increased surface area of this electrode after modification. Fig. S5B shows the baseline responses  
51 of the CNTf-CS and AuNP-CNTf-CS electrodes in two supporting electrolytes (0.1 M KCl and  
52 0.1 M standard MOPS) with and without nitrogen bubbling. The onset of the oxygen reduction  
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3 reaction (ORR) occurred at a potential of approximately  $-20$  mV vs. Ag|AgCl on the AuNP-CNTf-  
4 CS electrode, whereas the ORR was not observed on the bare CNTf-CS electrode in  $0.1$  M KCl  
5 solution (Fig. S5B). Bulk gold and AuNPs have been reported to exhibit catalytic activity for the  
6 ORR [61], and the Vodnik group reported an onset potential of  $-100$  mV vs SCE on an gold  
7 polyaniline nanocomposite [62]. Following 5 minutes of nitrogen bubbling, the observed peak was  
8 greatly reduced in both the KCl and MOPS solutions (Fig. S5B) supporting the attribution of the  
9 observed peak to ORR. To clearly observe the reduction of hexaamineruthenium (III) chloride to  
10 hexaamineruthenium (II) chloride, it was necessary to remove oxygen gas from the  
11 hexaamineruthenium (III) chloride solution by purging with nitrogen gas for 30 min (Fig. S5A).

### 19 *3.2. Reproducibility and repeatability of the AuNP-CNTf-CS electrode*

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22 The reproducibility of the AuNP-CNTf-CS electrode was determined by analyzing five  
23 simulated water samples containing  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$ , with three SWASV measurements for each  
24 sample on a single electrode (Fig. S6A). Using the standard SWASV procedure described above,  
25 good reproducibility was observed, with a relative error of 5.9%. The repeatability was determined  
26 by analyzing simulated drinking water samples containing  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  using 5 different AuNP-  
27 CNTf-CS electrodes, with 3 SWASV measurements for each sample (Fig. S6B). Using the  
28 standard SWASV procedure described above, the repeatability of the AuNP-CNTf-CS electrode  
29 was determined to be 10.4%. Thus, the robustness of the AuNP-CNTf-CS microelectrode was  
30 sufficient to ensure confidence in the observed trends for the investigated WQPs.

### 38 *3.3. Effect of pH on $\text{Pb}^{2+}$ detection*

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41 The peak height for trace  $\text{Pb}^{2+}$  detection in simulated drinking water decreased with  
42 increasing pH (Fig. 2A inset). The thermodynamic stabilities of metallic lead,  $\text{Pb}^{2+/4+}$  ions, and  
43 lead compounds in water as a function of pH vs redox potential have been reported in various  
44 Pourbaix diagrams [28–30,63]. Pourbaix diagrams have three zones (immunity, corrosion, and  
45 passivation), which are related to the various stable forms of a metal. Lead in acidic water is stable  
46 in its soluble divalent ion ( $\text{Pb}^{2+}$ ) form, whereas in alkaline water with no other inorganic and  
47 organic contaminants, the lead hydroxo cation  $\text{PbOH}^+$  is formed. As the pH increases, the response  
48 to  $\text{Pb}^{2+}$  ions are decreased by the resulting shift from a corrosive zone to a passivation zone, where  
49  $\text{Pb}^{2+}$  ions become less favorable in the equilibrium from  $\text{Pb}^{2+}$  to  $\text{PbOH}^+$  ions following Eq. (1)  
50 [64]:  
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$$pH = 6.181 + \log \frac{a_{PbOH^+(aq)}}{a_{Pb^{2+}(aq)}} \quad (1)$$

The SWASV technique relies on a preconcentration step, in which HMIs are reduced electrochemically on the surface of the working electrode. However, based on our results (Fig. 2A) the SWASV technique is less sensitive to  $PbOH^+$  ions compared to  $Pb^{2+}$  ions. This can also be seen in supporting information Fig. S7A where plotted is the theoretical concentrations of  $Pb^{2+}$  and  $PbOH^+$  with changes in solution pH for a  $10 \mu\text{g L}^{-1}$   $Pb^{2+}$  sample. For this reason, buffers are typically employed to control the speciation of lead for HMI sensors based on stripping voltammetry [22].

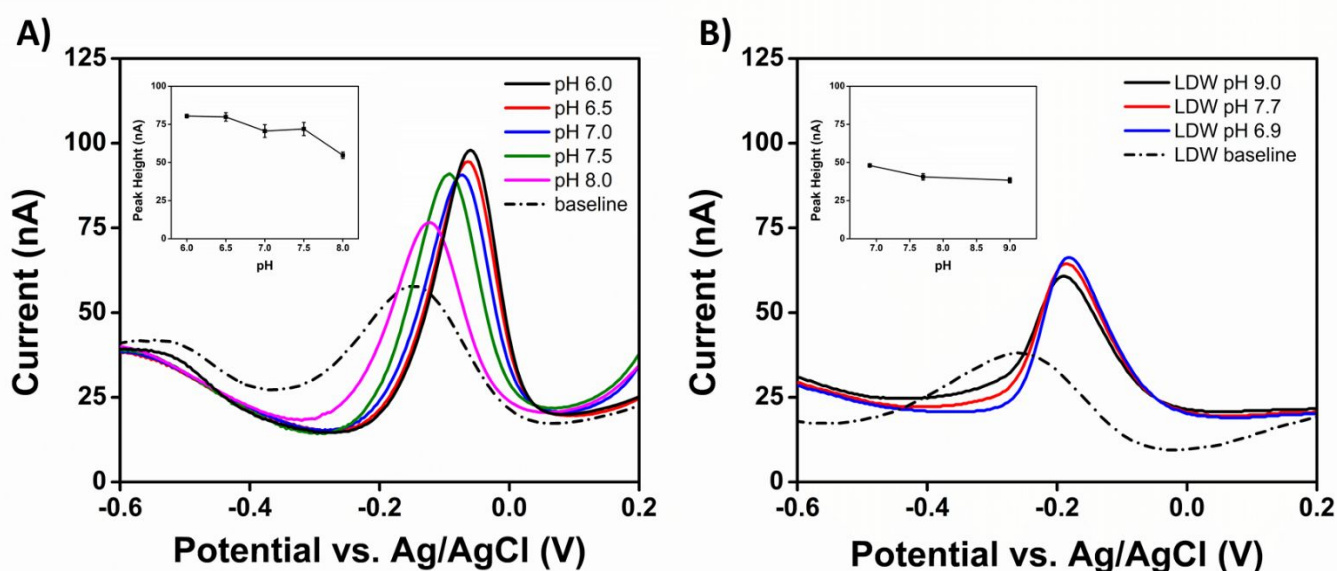
As shown in Fig. 2A, the  $Pb^{2+}$  peak in the SWASV curves shifted towards more negative potentials as the pH increased. From pH 6.0 to 8.0, the peak potential shifted by approximately – 63 mV. The half-reaction of  $Pb^{2+}$  reduction does not involve hydroxide ions or protons and is not affected by pH, but the half-reaction potential of  $PbOH^+$  (Eq. (2) [64] is pH dependent.

$$E = 0.056 - (0.0295 \times pH) + 0.0295 \times \log a_{PbOH^+(aq)} \quad (2)$$

The shift in stripping potential from pH 6.0 to 8.0 was experimentally observed to be – 63 mV and matches well with the theoretically calculated value of – 59 mV [64]. The plot of the experimental and theoretical stripping peak potential shift with pH change is shown in Fig. S7B. This more negative potential indicates that more energy is required to deposit the  $PbOH^+$  species and may be the cause of the reduced sensitivity with increasing pH. Changes in working electrode surface energies can also shift stripping potentials [65], which is important when analyzing samples with multiple HMIs, as stripping potentials are used to identify the detected metal species.

The LDW sample data in Fig. 2B had an initial pH value of 9.0 without any alterations and showed an increase in peak height response upon lowering the pH to 6.9. The decrease was less substantial than observed in the simulated water sample. We attribute this to the complexity of the typical drinking water sample. The shift in stripping peak potential was not observed indicating that we are not observing the same  $Pb^{2+}/PbOH^+$  equilibrium. The LDW sample had a  $\text{CaCO}_3$  concentration of  $133 \text{ mg L}^{-1}$  which will affect the lead speciation expected from the lead Pourbaix diagram. This indicates that pH has less of an effect on the SWASV technique in the LDW sample tested. Ideally, the SWASV technique should be performed after sample acidification to ensure

that all lead species are in the  $\text{Pb}^{2+}$  ion form, although this is not always possible. Nevertheless, using SWASV, we were still able to detect trace lead at the alkaline pH value of 8.0 in the simulated water sample. Source waters used for municipal drinking water typically have pH values in the range of 6.5–8.5, but they can be higher or lower depending on the environment [32]. The detection of trace heavy metals using SWASV becomes more challenging at more alkaline pH values, as evidenced by the thermodynamic stability of lead in Pourbaix diagrams, our SWASV results in Fig. 2A, and HMI sensor research [22,23,66]. As shown in the LDW sample (Fig. 2B) the change in pH played a much smaller role in the effect of peak potential and height. Observing a prominent peak at pH value of 9.0 in the LDW sample reveals the use of this technique does not require pH adjustment by buffers for trace detection of lead.



**Fig. 2** (A) Simulated water sample SWASV analysis on the AuNP-CNT $f$ -CS electrode containing  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  at pH values of 6.0, 6.5, 7.0, 7.5, and 8.0. (B) LDW sample SWASV analysis on the AuNP-CNT $f$ -CS electrode containing  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  at unaltered pH value of 9.0, and adjusted pH values 7.7 and 6.9. Insets of (A) and (B) shows the peak heights at the different pH values with error bars ( $n=3$ ). The dotted curves in (A) and (B) shows the baseline before addition of  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$ .

### 3.4. Effect of conductivity on $\text{Pb}^{2+}$ detection

The conductivity of a solution is a crucial factor in electrochemistry for allowing charge transfer between two polarized electrodes in an electrochemical cell. We observed an increase in

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3 the peak height for trace  $\text{Pb}^{2+}$  detection in simulated drinking water as the conductivity increased  
4 (Fig. 3A). During the stripping step of SWASV, electrons are transferred to and from the electrode  
5 and solution and charged ions migrate between the electrodes for charge compensation. Thus, a  
6 SE is added to electrochemical cells to maintain electrical neutrality via charge transfer in solution.  
7 Solutions with low conductivities or insufficient amounts of SE can experience ohmic drop. Ohmic  
8 drop describes the solution resistance ( $R_s$ ) between the working and counter electrodes, which can  
9 behave as a true resistor in an electrochemical cell over a wide range of conditions. Ohmic drop  
10 can cause a polarized electrode to experience a lower potential than that recorded and applied by  
11 the electrochemical workstation [39,65,67]. This phenomenon can cause artificial peak potential  
12 shifting as well as peak distortion and slower electron transfer kinetics. The potential experienced  
13 at the working electrode can be represented by Eq. (4) [65]:  
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$$E_{\text{appl}} = E_{\text{eq,Pb}} + \eta - iR_s \quad (3)$$

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25 Where  $E_{\text{appl}}$  is the applied potential controlled by the potentiostat,  $E_{\text{eq,Pb}}$  is the standard  
26 reduction potential of  $\text{Pb}^{2+}$ ,  $\eta$  is the overpotential needed to support the electrochemical reaction  
27 rate corresponding to the current ( $i$ ), and  $R_s$  is the solution resistance. The magnitude of the current  
28 measured due to the reduction and oxidation of  $\text{Pb}^{2+}$  depends on the  $\eta$  applied at the working  
29 electrode. As  $R_s$  increases, the  $E_{\text{appl}}$  must increase proportionally to achieve the same current at the  
30 working electrode. During the stripping step of SWASV, preconcentrated lead is repeatedly  
31 oxidized and re-reduced at the electrode surface due to the pulsing of the potential at a frequency  
32 of 15 Hz. As the applied potential at the working electrode decreases, the current produced during  
33 each of these pulses decreases. As a result, in our SWASV measurements the  $\text{Pb}^{2+}$  peak decreased  
34 as the conductivity of the simulated water samples decreased (Fig. 3A).  
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43 The voltammogram of the simulated water sample with the lowest conductivity ( $100 \mu\text{S}$   
44  $\text{cm}^{-1}$ ) exhibited a distorted peak (Fig. 3A), likely because the polarization of the working electrode  
45 during the stripping step changed more slowly than the frequency of the pulsing potential. If the  
46 potential experienced at the working electrode cannot keep up with the instrument's commands,  
47 the instrument will record current responses at potentials that are not being experienced by the  
48 working electrode. The distorted peak at approximately  $-215 \text{ mV}$  observed for the simulated water  
49 sample with a conductivity of  $100 \mu\text{S cm}^{-1}$  complicates the voltammogram interpretation and may  
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cause peaks to merge and further distort in the presence of other HMIs. Thus, if a water sample has insufficient conductivity, adjustments should be made before SWASV analysis.

The real drinking water sample had a conductivity of  $363 \mu\text{S cm}^{-1}$  without any alterations and was adjusted to  $550$  and  $900 \mu\text{S cm}^{-1}$  to explore the effect of conductivity in a complex sample. The SWASV voltammograms in Fig. 3B showed an increased in peak height response with increasing conductivity. The magnitude of the peak height increase is comparable between the simulated water sample and the LDW sample shown in the insets of Fig. 3A and 3B. The conductivity remains a vital WQP for the SWASV technique even in a more complex water sample.

Electrochemical cells can be modified to accommodate high resistance solutions by placing the working and reference electrodes close together, using microelectrodes to decrease the current, and adding a SE to increase the conductivity. Modern instrumentation has circuitry designed to compensate for the resistance of the solution using positive feedback compensation schemes. Optimization of the SWASV technique's parameters such as frequency, amplitude and potential step may provide clear voltammograms without the need for increasing solution conductivity. The quantitative and qualitative detection of trace  $\text{Pb}^{2+}$  in simulated drinking water using the SWASV technique with the AuNP-CNTf-CS microelectrode required a sufficient conductivity of more than  $100 \mu\text{S cm}^{-1}$ .

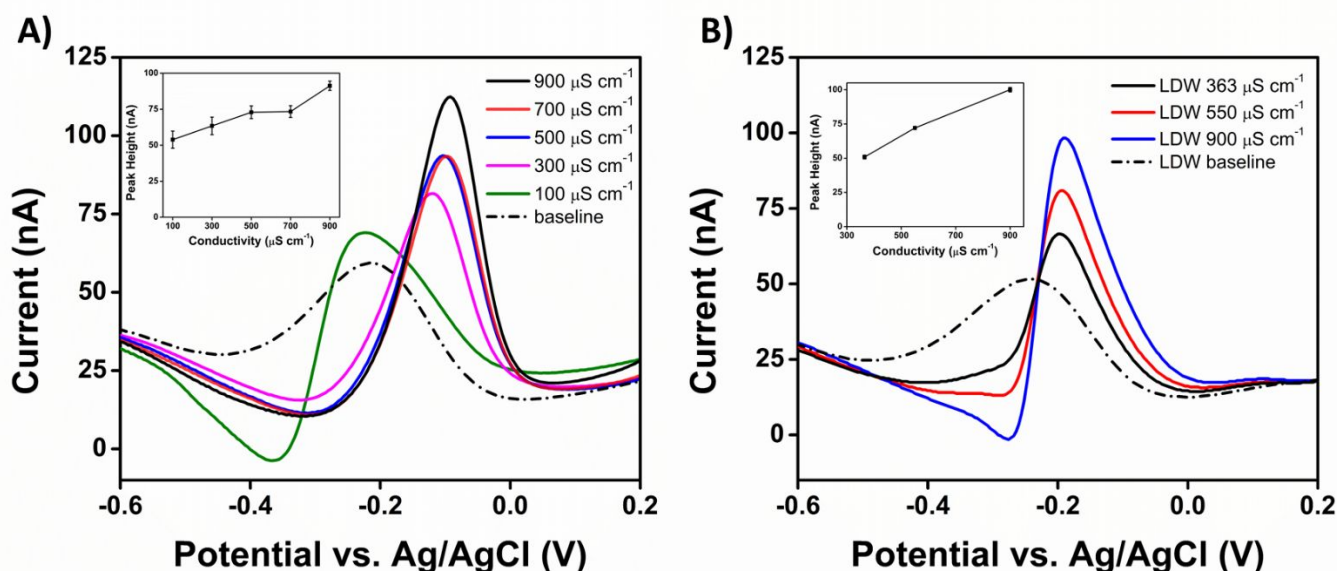


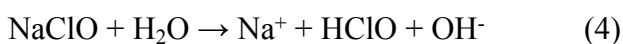
Fig. 3 (A) Simulated water sample SWASV analysis on the AuNP-CNTf-CS electrode containing

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3 10  $\mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  at conductivity values of 100, 300, 500, 700, and 900  $\mu\text{S cm}^{-1}$ . (B) LDW sample  
4 SWASV analysis on the AuNP-CNTf-CS electrode containing 10  $\mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  at unaltered  
5 conductivity value of 363  $\mu\text{S cm}^{-1}$ , and adjusted conductivity values 550, and 900  $\mu\text{S cm}^{-1}$ . Insets  
6 of (A) and (B) shows the peak heights at the different conductivity values with error bars ( $n=3$ ).  
7 The dotted curves in (A) and (B) shows the baseline before addition of 10  $\mu\text{g L}^{-1}$   $\text{Pb}^{2+}$ .  
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### 12 3.5. Effect of free chlorine on $\text{Pb}^{2+}$ detection

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15 To study the effect of free chlorine on  $\text{Pb}^{2+}$  detection in simulated water samples, we used  
16 NaClO concentrations of 1.0, 2.0, 3.0, and 4.0  $\text{mg L}^{-1}$ , which are representative of the range  
17 allowed in drinking water by the US EPA. The sensitivity of the SWASV technique to trace  $\text{Pb}^{2+}$   
18 decreased as the amount of free chlorine was increased (Fig. 4A).  
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22 In solution, NaClO hydrolyzes to form hypochlorous acid (HClO), as shown in Eq. (4):



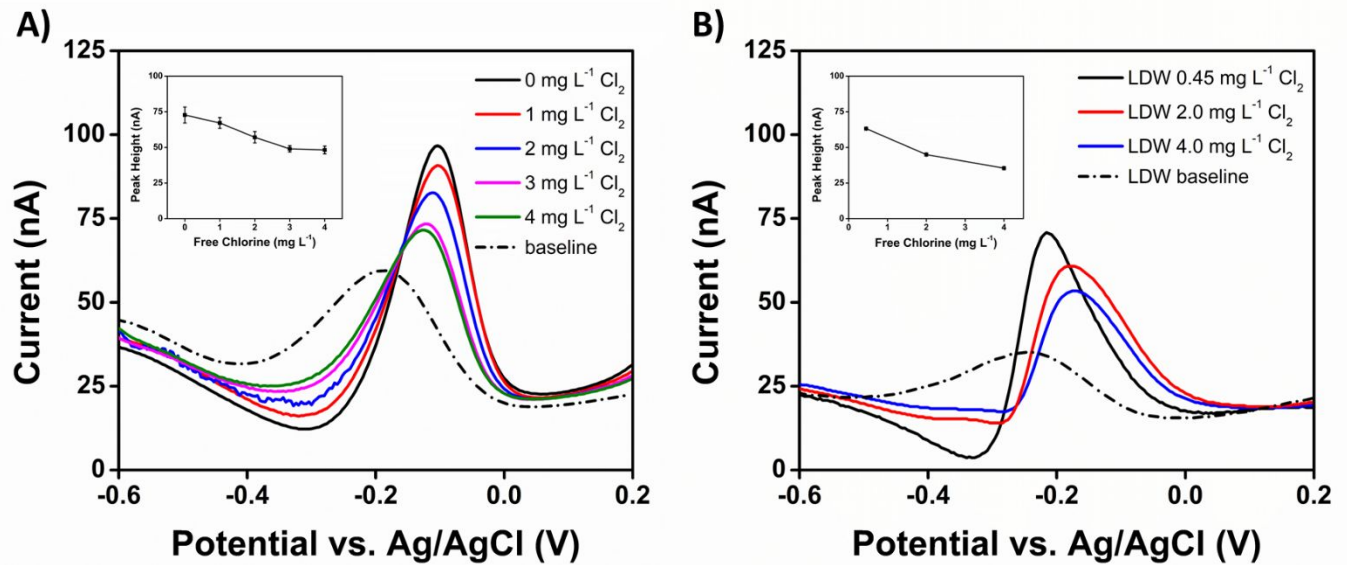
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27 HClO is a weak acid that dissociates via a pH-dependent equilibrium following Eq. (5):



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32 The active chemical species formed by primary chlorine disinfectants, commonly referred  
33 to as “free” or “available” chlorine, are in the form of either HClO or the hypochlorite ion ( $\text{ClO}^-$ ).  
34 The equilibrium between these species is pH dependent, with ~80% HClO and ~20%  $\text{ClO}^-$   
35 occurring at pH 7.0. Thus, HClO is expected to be the prevalent species in our simulated chlorine  
36 drinking water samples [68]. The  $\text{ClO}^-$  hypochlorite ion is a strong oxidizing agent and can oxidize  
37  $\text{Pb}^{2+}$  to  $\text{Pb}^{4+}$  which is unstable and will precipitate forming insoluble  $\text{PbO}_2$  [29]. The SWASV  
38 technique is blind to  $\text{PbO}_2$  precipitates thus showing the decrease in peak height at higher free  
39 chlorine levels. It is clear that not all the  $\text{Pb}^{2+}$  was oxidized as a peak can still be observed after 4  
40  $\text{mg L}^{-1}$  of NaOCl was added to the solution. Further addition of NaOCl from 3 to 4  $\text{mg L}^{-1}$  showed  
41 negligible change in the peak height in Fig 4A inset. Even at the highest allowable free chlorine  
42 concentration there are still detectable lead species by the SWASV technique in solution.  
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51 The LDW sample contained 0.45  $\text{mg L}^{-1}$  free chlorine without any alterations and was  
52 adjusted to 2.0 and 4.0  $\text{mg L}^{-1}$  to study its effect in a complex water sample. Fig 4B shows the  
53 voltammograms of 10  $\mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  with increasing free chlorine concentrations. The results show  
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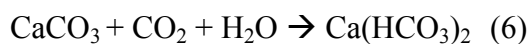
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3 a decrease in peak height response (Fig 4B inset) similar to the simulated water study. In the LDW  
4 sample a shift in peak shape and potential are observed which was not seen in the simulated water  
5 samples. At the pH value of 9.0 in the LDW sample the equilibrium shifts to ~10% HClO and  
6 ~90% ClO<sup>-</sup>, providing more of the oxidizing species. With a higher presence of the oxidizing agent  
7 ClO<sup>-</sup> it likely to cause a change in the lead species more substantially than in the simulated water  
8 with a pH of 7.0. This results in the voltammograms lead peak broadening and stripping potential  
9 shifting to a more positive potential. Further research on the mechanism of the oxidation of Pb<sup>2+</sup>  
10 to Pb<sup>4+</sup> and its subsequent precipitation to PbO<sub>2</sub> is needed to better understand lead speciation  
11 formed in solution in the presence of ClO<sup>-</sup>. It can be expected that high pH values will increase the  
12 effect of free chlorine on the SWASV technique for lead detection. The speciation of lead can be  
13 affected by free chlorine. Cantor et al. reported that chlorine species influence lead solubility by  
14 oxidizing Pb<sup>2+</sup> to Pb<sup>4+</sup>, which can precipitate as insoluble lead (IV) oxide (PbO<sub>2</sub>) [29]. In addition,  
15 Cantor et al. evaluated the effect of chlorine on the corrosion of lead piping by drinking water  
16 samples and found less lead in the effluent water with added chlorine than in untreated drinking  
17 water [29]. Our results also suggest a decrease in Pb<sup>2+</sup> solubility as the free chlorine concentrations  
18 in our simulated drinking water increased. At higher free chlorine concentrations, the sensitivity  
19 of the SWASV technique is decreased shown in both the simulated and LDW samples. The amount  
20 and chemicals used to introduce free chlorine by the WWS can be found in their annual reports,  
21 and this information can be used before water to predict if the levels will be high enough to effect  
22 detection of lead by the SWASV technique. At higher levels of free chlorine and high pH values  
23 it can be expected that the lead peak will be decrease and possibly broadened compared to a water  
24 sample without free chlorine.  
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**Fig. 4** (A) Simulated water sample SWASV analysis on the AuNP-CNTf-CS electrode containing  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  and 0.0, 1.0, 2.0, 3.0, and 4.0  $\text{mg L}^{-1}$  NaClO. (B) LDW sample SWASV analysis on the AuNP-CNTf-CS electrode containing  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  at unaltered free chlorine value of  $0.45 \text{ mg L}^{-1}$  NaClO, and adjusted free chlorine concentrations of 2.0, and  $4.0 \text{ mg L}^{-1}$  NaClO. Insets of (A) and (B) shows the peak heights at the different free chlorine concentrations with error bars ( $n=3$ ). The dotted curves in (A) and (B) shows the baseline before addition of  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$ .

### 3.6. Effect of alkalinity ( $\text{HCO}_3^-$ ) on $\text{Pb}^{2+}$ detection

Increasing the alkalinity initially decreased the peak height response of the SWASV technique for trace  $\text{Pb}^{2+}$  detection in simulated drinking water, but  $\text{CaCO}_3$  concentrations above  $50 \text{ mg L}^{-1}$  had little effect on the response (Fig. 5A inset). Boyd et al. calculated the maximum solubility of  $\text{CaCO}_3$  in water to be  $7.1 \text{ mg L}^{-1}$  from its solubility constant in water at neutral pH [34]. However, in natural water systems, limestone, which mainly consists of  $\text{CaCO}_3$ , can be dissolved in larger amounts than predicted due to dissolved  $\text{CO}_2$ . Surface water contains dissolved  $\text{CO}_2$  in equilibrium with the atmosphere, which affects the form of carbonate species.  $\text{CO}_2$  will react with water to form carbonic acid ( $\text{H}_2\text{CO}_3$ ) in very small amounts [34,37]. Moreover,  $\text{CaCO}_3$  in the presence of dissolved carbon dioxide ( $\text{CO}_2$ ) will form  $\text{HCO}_3^-$ , as shown in Eq. (6):



As  $\text{CO}_2$  is consumed during the hydrolysis of  $\text{CaCO}_3$ , more  $\text{CO}_2$  must be dissolved in water for the system to re-equilibrate. The presence of dissolved  $\text{CO}_2$  in water and its effect on the

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3 solubility of  $\text{CaCO}_3$  indicates that  $\text{CaCO}_3$  in drinking water is present in the form of  $\text{Ca}^{2+}$  and  
4  $\text{HCO}_3^-$ . Therefore, to adjust the alkalinity of the simulated water solutions,  $\text{CaCO}_3$  was dissolved  
5 by bubbling with  $\text{CO}_2(\text{g})$  for 30 min with stirring.  
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9 Although the sorption of lead onto lead carbonate substrates has been studied for the  
10 treatment and prevention of lead contaminated waters [30,69], there are many discrepancies in the  
11 thermodynamic stabilities of the various lead carbonate scales formed inside water pipes [30]. The  
12 observed decrease in sensitivity for trace  $\text{Pb}^{2+}$  detection at high alkalinity was attributed to the  
13 reaction of  $\text{Pb}^{2+}$  ions with  $\text{HCO}_3^-$  ions in water at neutral pH to form insoluble lead carbonate  
14 ( $\text{PbCO}_3$ ) as shown in Eq. (7):  
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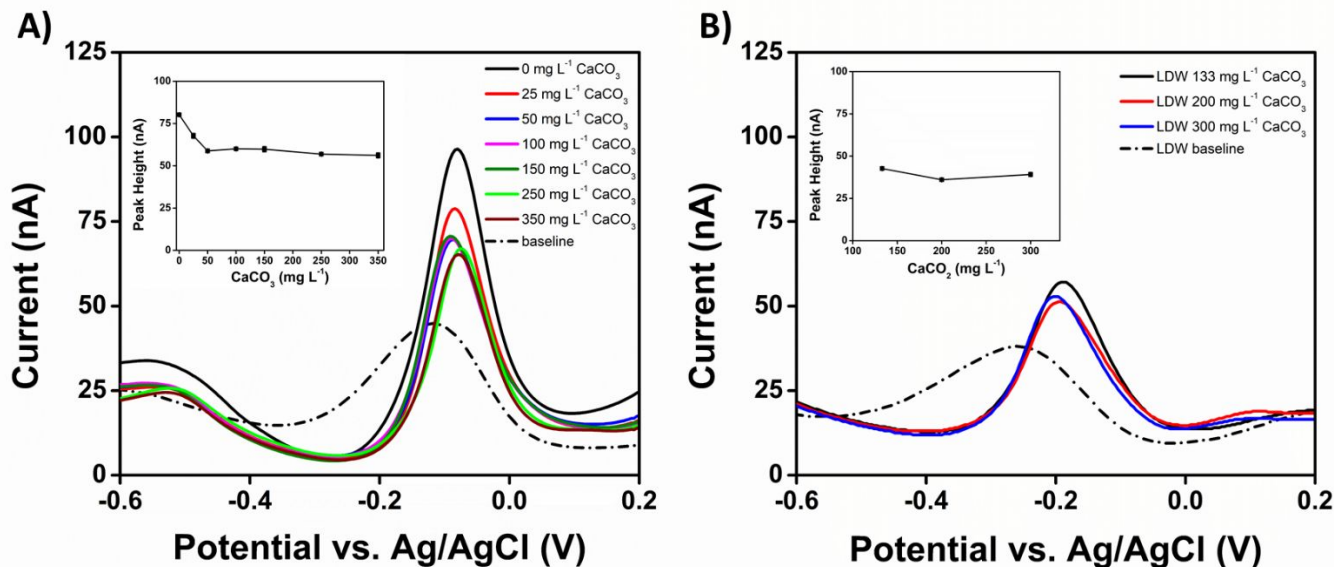


23 The voltammograms in Fig. 5A collected during the alkalinity study agreed with the  
24 thermodynamic predictions that insoluble  $\text{PbCO}_3$  is formed upon the addition of  $\text{HCO}_3^-(\text{aq})$ . The  
25 peak height did not change significantly after the addition of  $50 \text{ mg L}^{-1} \text{ CaCO}_3$ , suggesting that  
26 equilibrium was reached.  
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30 The LDW sample had an alkalinity of  $133 \text{ mg L}^{-1} \text{ CaCO}_3$  without any alterations and was  
31 increased to 200 and  $300 \text{ mg L}^{-1} \text{ CaCO}_3$  to study the effect in a complex sample. In Fig 5B the  
32 voltammograms had a peak height percent change of  $-15.5\%$  from  $133 \text{ mg L}^{-1} \text{ CaCO}_3$ . The  
33 peak heights plotted in the inset of Fig 5B show the changes in currents (Fig S6A). These changes  
34 in peak height with alkalinity above  $100 \text{ mg L}^{-1} \text{ CaCO}_3$  are much less substantial compared with  
35 changes observed in the other WQPs, especially the conductivity study having a peak height  
36 change of  $41.6\%$  from  $363 \mu\text{S cm}^{-1}$  to  $550 \mu\text{S cm}^{-1}$ . It can be reasoned that water samples with  
37 alkalinity levels over  $100 \text{ mg L}^{-1} \text{ CaCO}_3$  will have suppressed peak heights compared those with  
38  $0 \text{ mg L}^{-1} \text{ CaCO}_3$  but further increases in alkalinity will have little effect the peak response. The  
39 response to alkalinity did not seem to be greatly affected by the complexity of the LDW sample,  
40 having similar trends to that observed in the simulated water sample.  
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50 The effect of  $\text{CaCO}_3$  is a reduction in peak height up to approximately  $100 \text{ mg L}^{-1}$  where  
51 further increases will have little effect on peak response. Researchers analyzing various water  
52 samples should only be concerned with fluctuations of a water samples alkalinity if it is changing  
53 in the range of  $0 - 100 \text{ mg L}^{-1}$  as these shifts in peak heights can be large. At high alkalinities even  
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up to 300 mg L<sup>-1</sup> a prominent peak for trace lead levels is clearly observed in simulated and the LDW sample.



**Fig. 5** (A) Simulated water sample SWASV analysis on the AuNP-CNTf-CS electrode containing 10  $\mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  and 0, 50, 100, 150, 250, 300, and 350 mg L<sup>-1</sup>  $\text{CaCO}_3$ . (B) LDW sample SWASV analysis on the AuNP-CNTf-CS electrode containing 10  $\mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  at unaltered alkalinity concentration of 133 mg L<sup>-1</sup>  $\text{CaCO}_3$ , and adjusted alkalinity concentrations of 200, and 300 mg L<sup>-1</sup>  $\text{CaCO}_3$ . Insets of (A) and (B) shows the peak heights at the different alkalinity concentrations with error bars ( $n = 3$ ). The dotted curves in (A) and (B) shows the baseline before addition of 10  $\mu\text{g L}^{-1}$   $\text{Pb}^{2+}$ .

### 3.7. Effect of temperature on $\text{Pb}^{2+}$ detection

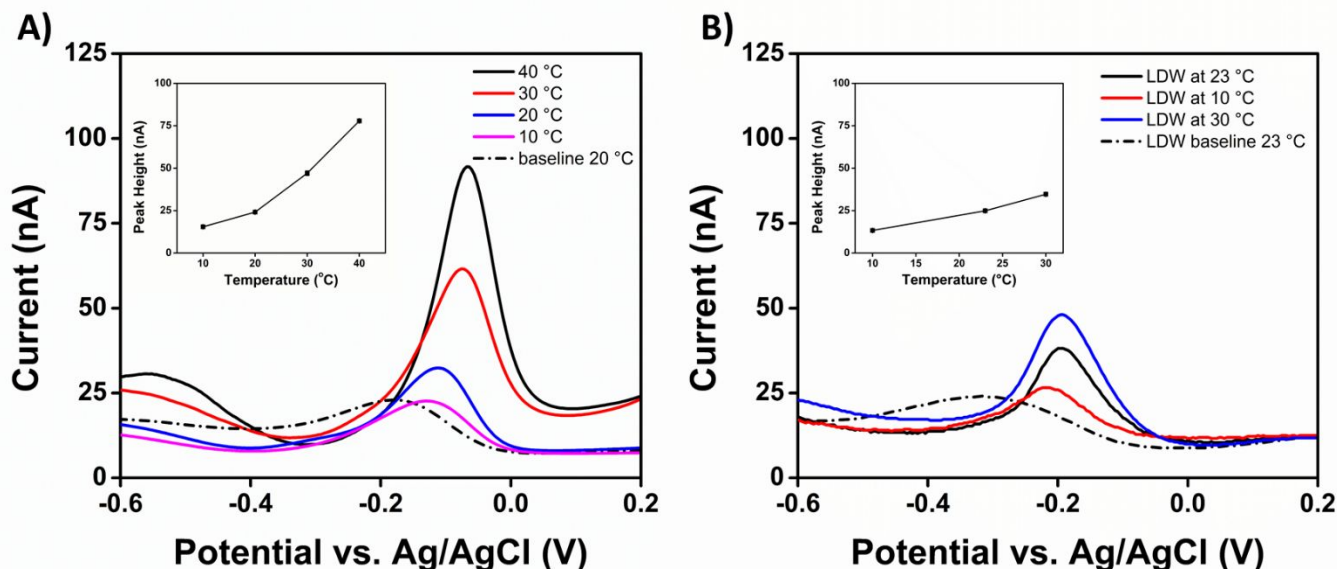
The temperature of drinking water varies as it moves through a water distribution system and upon entry into premise plumbing. The temperature of drinking water can be affected by the season, water source, premise plumbing environment, and hot water heaters and boilers [42]. As shown in Fig. 6A, the peak height response of the SWASV technique towards  $\text{Pb}^{2+}$  was increased with increasing temperature. Many insoluble lead species exhibit limited solubility at high temperatures, which may have a small influence on  $\text{Pb}^{2+}$  detection. In particular, as the temperature increases,  $(\text{Pb}(\text{OH})_2)$  precipitates can be dissolved at pH 7.0 [43].

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3 The ionic conductivity of a solution increases as the temperature increases, owing to the  
4 influence of temperature on the mobility of ions and the dissociation of ionizable species in  
5 solution [70]. Shown in the conductivity study performed in both simulated and LDW samples  
6 was also an increase in peak height (Fig. 3A and B). The activity of both the ions providing solution  
7 conductivity as well as the activity of the  $\text{Pb}^{2+}$  is proportional to the temperature [71].  
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12 The ability of the SWASV technique to detect  $\text{Pb}^{2+}$  ions at low temperatures was greatly  
13 hindered, as the peak response at 10 °C was similar to the baseline response (Fig. 6A). However,  
14 in the presence of  $\text{Pb}^{2+}$  ions, the peak appeared at approximately -129 mV, whereas the baseline  
15 response peak was observed at approximately -179 mV. This difference in peak potentials allowed  
16 for the interpretation of the SWASV response to trace  $\text{Pb}^{2+}$  at low temperatures. This baseline  
17 characteristic peak is attributed to the oxidation and reduction of functional hydroxyl and carboxyl  
18 groups known to be present at the CNT surface and once interacted with  $\text{Pb}^{2+}$  they become  
19 unreactive.  
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27 The LDW sample was tested at 10, 23, and 30 °C to study the effect of temperature in a  
28 complex sample. Fig. 6B the peak height response is greatly enhanced with the increase in  
29 temperature from 23 to 30 °C and substantially decreased at 10 °C. The response in the LDW  
30 sample reveals the same expected results as in simulated water, as the increase in solution  
31 conductivity with temperature will produce increasing of peak intensities.  
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36 Increasing the temperature of drinking water before SWASV analysis will increase the  
37 sensitivity to  $\text{Pb}^{2+}$ . Ultimately, as the sensitivity of the SWASV technique to  $\text{Pb}^{2+}$  ions is greatly  
38 influenced by temperature, during SWASV calibration, it is critical that the temperature is  
39 controlled to match that of the water sample of interest.  
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**Fig. 6** (A) Simulated water sample SWASV analysis on the AuNP-CNTf-CS electrode containing 10 µg L<sup>-1</sup> Pb<sup>2+</sup> at temperatures 10, 20, 30, and 40 °C. (B) LDW sample SWASV analysis on the AuNP-CNTf-CS electrode containing 10 µg L<sup>-1</sup> Pb<sup>2+</sup> in unaltered water sample at temperatures 10, 23, and 30 °C. Insets of (A) and (B) shows the peak heights at the different temperatures with error bars (n = 3). The dotted curves in (A) and (B) shows the baseline before addition of 10 µg L<sup>-1</sup> Pb<sup>2+</sup>.

### 3.8. Effect of copper on Pb<sup>2+</sup> detection

As a common interferent, copper can affect Pb<sup>2+</sup> detection using the SWASV technique. Our group has previously reported a 50% decrease in the peak response on an inkjet-printed CNT electrode at low Pb<sup>2+</sup> concentrations with the addition of only 10 µg L<sup>-1</sup> Cu<sup>2+</sup> [24]. Copper can compete for electrode active sites during the deposition step, shift the stripping potential, cause shoulders to appear on peaks, and distort peak shape, thus decreasing the sensitivity towards Pb<sup>2+</sup> ion detection [25,72].

In Fig. 7A inset the peak heights of 10 µg L<sup>-1</sup> Pb<sup>2+</sup> using the SWASV technique in the simulated water sample decreased as the Cu<sup>2+</sup> concentration was increased. Fig 7A SWASV voltammograms exhibited two separate Pb<sup>2+</sup> peaks at potentials -150 and -409 mV labeled Pb-1 and Pb-2, respectively. The copper stripping peak appeared prominently at + 230 mV labeled Cu-1 in Fig 7A. In the presence of trace Cu<sup>2+</sup> (10 µg L<sup>-1</sup>) the height of the Pb-1 peak decreased by 25%. This peak was further decreased by 92% with the addition of 100 µg L<sup>-1</sup> Cu<sup>2+</sup> and was no longer



distinguishable at  $\text{Cu}^{2+}$  concentrations at  $200 \mu\text{g L}^{-1}$ . The decrease in peak height is attributed to competition for the AuNP-CNTf-CS electrode's active sites, and as the  $\text{Cu}^{2+}$  is deposited it leaves fewer free site for  $\text{Pb}^{2+}$  deposition. The peak potential is observed to be continually shifted more negative indicating that the  $\text{Pb}^{2+}$  is being deposited as a copper-lead intermetallic compound. The Cu-1 peak does not increase after  $100 \mu\text{g L}^{-1}$   $\text{Cu}^{2+}$  and the Pb-1 peak at  $-150 \text{ mV}$  grows as broad distorted peak due to the copper-lead intermetallic interactions. The Pb-2 peak appeared after the addition of  $50 \mu\text{g L}^{-1}$   $\text{Cu}^{2+}$  (Fig. 7A) and did not increase substantially from  $100$  to  $200 \mu\text{g L}^{-1}$   $\text{Cu}^{2+}$ . Indicating that this Pb-2 peak is due  $\text{Pb}^{2+}$  being reduced without forming an intermetallic compound with copper, attributed to  $\text{Pb}^{2+}$  deposition at the bare CNT surface exposed. With the increase of  $\text{Cu}^{2+}$  concentrations the broad intermetallic peak at approximately  $-50 \text{ mV}$  continued to grow, and the Cu-1 peak was not increased but broadened and shifted negative. Copper and lead have a high interaction during SWASV analysis and can greatly distort peak shapes, and potentials. When analyzing water samples, a calibration curve of simultaneously detecting Pb and Cu would be recommended to clearly interpret voltammograms with both metals are known to be present in solution.

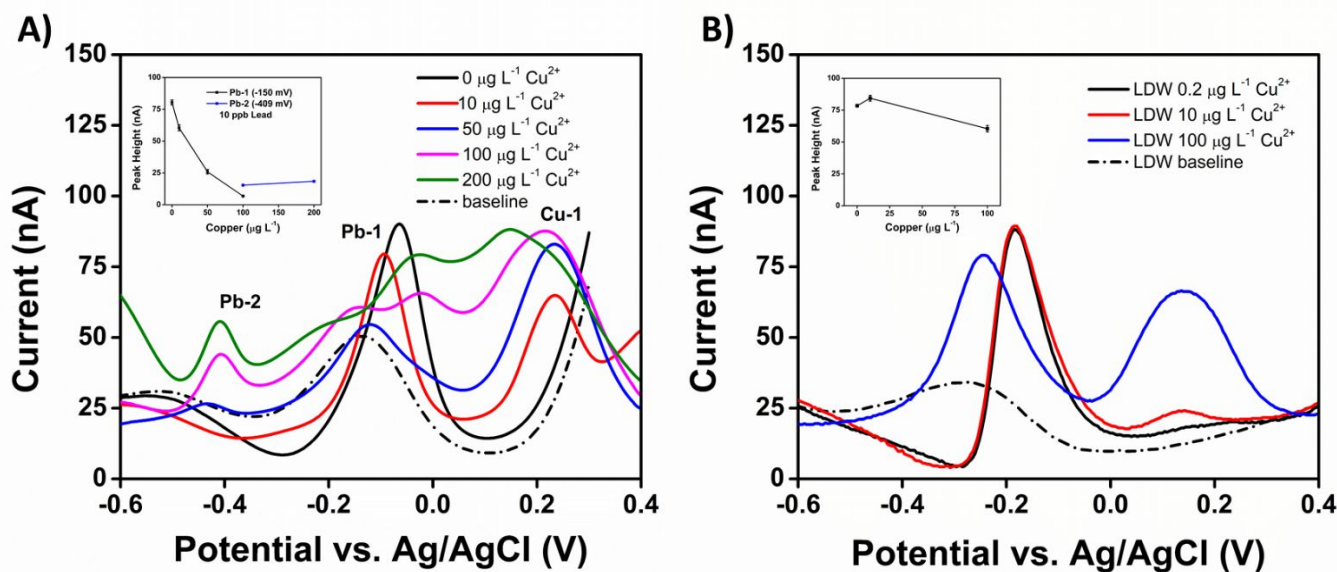
The individual and simultaneous peaks of  $\text{Pb}^{2+}$  and  $\text{Cu}^{2+}$  were explored at high concentrations ( $20 \text{ mg L}^{-1}$ ) using CV ( $+300$  to  $-800 \text{ mV}$ ,  $50 \text{ mV s}^{-1}$ ) in the standard simulated water solution ( $0.1 \text{ M MOPS}$ ,  $\text{pH} = 7.0$ , conductivity =  $500 \mu\text{S cm}^{-1}$ ) (Fig. S8). During the forward negative potential scan of  $20 \text{ mg L}^{-1}$   $\text{Pb}^{2+}$ , three separate cathodic peaks ( $C_{\text{Pb-1}}$ ,  $C_{\text{Pb-2}}$ , and  $C_{\text{Pb-3}}$ ) were observed in the cyclic voltammogram at approximately  $-89$ ,  $-354$ , and  $-579 \text{ mV}$ , respectively (Fig. S8C). During the reverse positive potential scan, three anodic peaks ( $A_{\text{Pb-1}}$ ,  $A_{\text{Pb-2}}$ , and  $A_{\text{Pb-3}}$ ) were observed at approximately  $-377$ ,  $-252$ , and  $-218 \text{ mV}$ , respectively. The  $C_{\text{Pb-1}}$  peak was located at a more oxidizing (positive) potential than the  $A_{\text{Pb-1}}$  peak, which indicated that the UPD phenomenon occurred on the AuNP-CNTf-CS electrode during  $\text{Pb}^{2+}$  reduction [54,73]. The UPD phenomenon also occurred on the AuNP-CNTf-CS electrode during  $\text{Cu}^{2+}$  reduction, as the cathodic peaks ( $C_{\text{Cu-1}}$ ,  $C_{\text{Cu-2}}$ , and  $C_{\text{Cu-3}}$ ) in the cyclic voltammogram of  $20 \text{ mg L}^{-1}$   $\text{Cu}^{2+}$  appeared at approximately  $+163$ ,  $-84$ , and  $-551 \text{ mV}$ , respectively (Fig. S8A, S8B), whereas the anodic peaks ( $A_{\text{Cu-1+2}}$  and  $A_{\text{Cu-3}}$ ) occurred at approximately  $+62$  and  $-639 \text{ mV}$  respectively (Fig. S8A and S8C). Fig. S8D shows how the simultaneous presence of  $20 \text{ mg L}^{-1}$   $\text{Cu}^{2+}$  and  $\text{Pb}^{2+}$  affected the redox peaks. The cathodic UDP peak  $C_{\text{Pb-1}}$  was not observed at high  $\text{Cu}^{2+}$  concentration owing to the formation of a copper monolayer on the surface of AuNPs, as indicated by presence of the

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3 cathodic UPD peak  $C_{Cu-1}$ . The bulk deposition of  $Pb^{2+}$  still occurred as revealed by the presence  
4 of the cathodic peaks  $C_{Pb-2}$ , and  $C_{Pb-3}$ , although the corresponding  $Pb^{2+}$  oxidation peaks were  
5 greatly reduced in the presence of  $20 \text{ mg L}^{-1} \text{ Cu}^{2+}$ . The deposition of  $Pb^{2+}$  is expected to be  
6 deposited during SWASV by the UDP phenomena forming a monolayer on the gold nanoparticles  
7 and this can be influenced by the presence of another deposited metal ion in solution as seen with  
8  $Cu^{2+}$  causing multi-layer formations as well as metal-metal deposition. This is observed in the shift  
9 in lead stripping peak potential upon the addition of small  $Cu^{2+}$  concentrations and then a  
10 completely new stripping potential forming at higher  $Cu^{2+}$  concentrations. These peak shifting  
11 characteristics are attributed to  $Pb^{2+}$  starting to compete for deposition on the gold nanoparticles  
12 as a monolayer, followed by metal-metal multilayers forming shifting peak potential and finally  
13 starts depositing on the bare CNT surface completely moving the stripping peak potential.  
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23 The LDW collected had an initial copper concentration of only  $0.22 \text{ } \mu\text{g L}^{-1} \text{ Cu}^{2+}$  and was  
24 increased to 10 and  $100 \text{ } \mu\text{g L}^{-1} \text{ Cu}^{2+}$  to further understand the interference copper has on  $Pb^{2+}$   
25 detection in a complex sample. Fig 7B SWASV voltammograms in the LDW sample had a much  
26 different response to increasing  $Cu^{2+}$  concentrations than observed in the simulated water sample.  
27 At the addition of  $10 \text{ } \mu\text{g L}^{-1} \text{ Cu}^{2+}$  a peak is observed at + 135 mV with a peak height of only 7.5  
28 nA compared to the 31.4 nA peak height observed in the simulated water. The  $Pb^{2+}$  peak with 10  
29  $\mu\text{g L}^{-1} \text{ Cu}^{2+}$  is not decreased as observed in the simulated water sample. This is due to  $Cu^{2+}$   
30 precipitating through hydrolysis to insoluble  $Cu(OH)_2$  at pH of 9.0, and at pH of 7.0 the  $Cu^{2+}$   
31 species is more prevalent [74]. As the  $Cu^{2+}$  is increased to  $100 \text{ } \mu\text{g L}^{-1}$  the copper peak grows at the  
32 same potential (+ 135 mV) and the lead peak height is decreased and shifted more negative, as  
33 observed in the simulated water samples. The increased pH causes less soluble copper to be present  
34 in solution and greatly diminishes its effect on the  $Pb^{2+}$  deposition until greater copper  
35 concentrations are added.  
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46 The copper interference observed is diminished at high pH values due to the hydrolysis of  
47  $Cu^{2+}$  to  $Cu(OH)_2$  which will not deposited on the working electrode surface during SWASV  
48 analysis. At low pH values the effect of even trace copper concentrations is a decrease in peak  
49 height response and stripping peak potential shifting. The mechanism of copper-lead intermetallic  
50 interactions at the working electrode surface demands further research to understand the  
51 interactions observed here. The study of  $Cu^{2+}$  detection using SWASV in various pH solutions is  
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also needed to better predict the influence copper's presence will have on the SWASV detection of trace  $\text{Pb}^{2+}$ .



**Fig. 7** (A) Simulated water sample SWASV analysis on the AuNP-CNT $f$ -CS electrode containing  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  and 0, 10, 50, 100, and 200  $\mu\text{g L}^{-1}$   $\text{Cu}^{2+}$ . (B) LDW sample SWASV analysis on the AuNP-CNT $f$ -CS electrode containing  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$  at unaltered copper concentration of  $0.2 \mu\text{g L}^{-1}$   $\text{Cu}^{2+}$ , and adjusted copper concentrations of 10 and 100  $\mu\text{g L}^{-1}$   $\text{Cu}^{2+}$ . Insets of (A) and (B) shows the peak heights at the different copper concentrations with error bars ( $n = 3$ ). The dotted curves in (A) and (B) shows the baseline before addition of  $10 \mu\text{g L}^{-1}$   $\text{Pb}^{2+}$ .

#### 4. Conclusion

We report six WQPs and their influence on the SWASV technique for detecting trace  $\text{Pb}^{2+}$  ions. Each of the investigated WQPs (pH, conductivity, chlorine, alkalinity, temperature, and copper) had a different effect on the SWASV technique for  $\text{Pb}^{2+}$  detection. The parameters were explored in both simulated water samples and drinking water samples. In the simulated water samples the peak heights of trace  $\text{Pb}^{2+}$  detection by SWASV was proportional with conductivity, and temperature and inversely proportional with pH, free chlorine, alkalinity, and copper. The applicability of these results was confirmed in real drinking water samples, showing the same relationship between lead detection and the WQPs tested. The pH in the drinking water sample had much lower increase of peak height at lower pH values due to the alkalinity of the solution. The free chlorine's oxidizing species equilibrium can shift with pH, and we observed that free

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3 chlorine had a larger (negative) effect at higher pH values. The copper species present in solution  
4 is pH dependent with larger  $\text{Cu}^{2+}$  ion concentration at lower pH values. At higher pH values copper  
5 had a substantially decreased (negative) effect on the SWASV analysis of  $\text{Pb}^{2+}$ . The two  
6 parameters that had the largest impact on  $\text{Pb}^{2+}$  detection by SWASV were conductivity and copper  
7 levels. The conductivity showed the greatest increase in peak height in the range studied and at the  
8 lowest conductivity tested the  $\text{Pb}^{2+}$  stripping peak was distorted making interpretation of the  
9 voltammogram challenging. The copper interfered with the  $\text{Pb}^{2+}$  peak height at trace levels causing  
10 large shifts in stripping peak potential. Using the SWASV technique the detection of  $10 \mu\text{g L}^{-1}$   
11  $\text{Pb}^{2+}$  was accomplished even at the worst-case scenarios for each simulated WQP. By considering  
12 the sample preparation conditions, the applicability of the SWASV technique to  $\text{Pb}^{2+}$  ion detection  
13 in drinking water samples can be improved without requiring SE or buffer addition.  
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## 23 **5. Associated content**

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25 **Supporting Information.** The supporting information is available free of charge on the  
26 Publications website. Electrode materials characterization; impedance, Raman spectra,  
27 electrochemical characterization and optimization of microelectrodes.  
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