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Plasmonic Enhancement of Nitric Oxide Generation

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7 KEYWORDS: nitric oxide, carbon nanodots, reactive nitrogen species, photosensitization, metal-

- 8 enhanced fluorescence, plasmon, silver nanoparticles.
- 9

10 <u>ABBREVIATIONS</u>:

11	NO•	—	Nitric Oxide
12	ME-NO•	_	Metal-enhanced Nitric Oxide (release)
13	RNS	_	Reactive Nitrogen Species
14	ROS	_	Reactive Oxygen Species
15	BrCND	_	Brominated Carbon Nanodots (brominated dots)
16	RNS	_	Reactive
17	DAF-FM	_	Diaminofluorescein-FM
18	DAF-T	_	Triazole product of reacted DAF-FM probe

19 Abstract

20 While the utility of reactive oxygen species in photodynamic therapies for both cancer treatments and antimicrobial applications has received much attention, the inherent potential of reactive nitrogen species 21 (RNS) including nitric oxide (NO•) for these applications should not be overlooked. In recent years, NO• 22 23 donor species with numerous-including photodynamic-mechanisms have been classified with efficacy in antimicrobial and therapeutic applications. While properties of NO• delivery may be tuned structurally, 24 herein we describe for the first time a method by which photodynamic NO• release is amplified simply by 25 utilizing a plasmonic metal substrate. This is a process we term "metal-enhanced nitric oxide release," or 26 27 ME-NO•. Using donor agents known as brominated carbon nanodots (BrCND), also the first carbon nanodot variation classified to release NO• photodynamically, and the *fluorescence-on* probe DAF-FM, 28 we report metal-enhanced release of NO• 2- to 6-fold higher than what is achieved under classical 29 conditions. Factors affecting the plasmon-amplified photodynamic system are subsequently studied, 30 31 including exposure times, excitation powers, and surface area, and consistent ME-NO• factors are reported from BrCND across these tunable conditions. Only probe concentration is determined to impact the 32 detected ME-NO• factor, with higher concentrations resulting in improved detectability of "actual" NO• 33 release enhancement. Further, principles of metal-enhanced fluorescence (MEF) are applied to achieve a 34 faster, high-throughput experimental method with improved data resolution in ME-NO• detection. The 35 results have significant implications for the improvement of not just carbon nanodot NO• donor agents, 36 but a wide spectrum of photoactivated NO• donor systems as well. 37

38 **1.0 Introduction**

39 Photodynamic therapies, and antimicrobial photodynamic therapies, are increasingly wellcharacterized and efficacious options in the treatment of cancers¹⁻³ and microbial infections.⁴⁻⁶ These 40 methods typically rely on the generation of reactive oxygen species (ROS), such as singlet oxygen, 41 superoxide anion radical, and hydroxyl radical to name a few.⁷ The therapeutic applications of reactive 42 nitrogen species (RNS) such as nitric oxide (NO•) are in recent years receiving attention; the release of 43 NO•, for example, finds utility in the treatment of atherosclerosis and ischemia related diseases, both 44 improved via the modulation of endogenous NO• availability;^{8, 9} downstream products of NO• also are 45 helpful in cancer therapies for enhancing DNA, mitochondrial, and cell apoptosis and necrosis.^{10, 11} 46 Beyond therapeutics, NO--donating molecules have found relevance in antimicrobial applications as 47 well.¹²⁻¹⁶ Characterized by broad-spectrum antimicrobial activity, NO• donors have a similar potential as, 48 and even a synergistic potential with ROS to combat the growing trend of antibiotic resistance in bacterial 49 50 infections. In fact, much antibacterial character of RNS is associated with the products generated via the reaction of NO• with ROS.¹⁵ A number of NO•-donating structures exist, including photo-responsive 51 compounds,^{8, 17} pH-dependent structures,¹⁷ and others such as metal-organic frameworks.¹⁸ Given both 52 that ROS generation is often photodynamically triggered and the advantages inherent to a multi-53 mechanism antimicrobial agent in achieving potency, focus should be placed on the creation and 54 characterization of photodynamically activated NO• donor species-particularly those that may also 55 generate ROS. 56

57 Carbon nanodot structures present a promising scaffold for the development of such a compound. 58 These quasi-spherical nanoparticles composed of oxidized graphene sheets are collected as combustion 59 byproducts^{19, 20} or products of biomass processing;²¹ inexpensive to collect, they are frequently presented 60 as a "green" option for various applications.²²⁻²⁴ While typically tuned for luminescence properties,

applications have extended recently to ROS photosensitization for antimicrobial materials.²⁵⁻²⁷ In a recent 61 62 report from our laboratory, brominated carbon nanodot (BrCND) structures were found to generate ROS from both type I (electron transfer) and type II (energy transfer) photosensitization processes, exhibiting 63 light-activated toxicity both against Gram-positive Staphylococcus aureus and Listeria monocytogenes, 64 65 as well as Gram-negative Escherichia coli. Intriguingly, BrCND were also reported for the first time to release NO•. Although this occurred primarily via a pH-dependent mechanism, slightly higher NO• 66 detection was reported when the pH cycle was combined with an irradiation procedure.²⁸ The BrCND 67 therefore present an intriguing new structure for the tandem release of *both* ROS and RNS, for the broad-68 spectrum antimicrobial activity. To bolster the efficacy of these particles as antimicrobials, we have 69 recently investigated plasmon amplification as a strategy for higher ROS yields, reporting the metal-70 enhanced generation of singlet oxygen from BrCND.²⁹ This method follows similar principles as metal-71 enhanced fluorescence (MEF), where proximity of fluorophores to surface plasmons in the near-field 72 73 permits fluorophore-nanoparticle coupling, resulting in enhanced emission and absorption (local field enhancement) mechanisms and higher fluorescence emission intensities.³⁰ This strategy has been 74 employed previously with such ROS photosensitizers as identified in *ESI Appendix* Table S1, for both 75 type I and type II photosensitization products, but has regrettably not yet been investigated *hitherto* for 76 photodynamic NO• donors. 77

Herein, we probe the photodynamic release of NO• (both under classical and plasmon-amplified regimes) from BrCND using the *fluorescence-on* probe 4-amino-5-methylamino-2',7'-difluorofluorescein (DAF-FM). In the presence of dissolved oxygen, generated NO• induces the formation of a triazole derivative of DAF-FM (simply, DAF-T) essentially irreversibly, substantially improving the quantum yield and subsequently the probe fluorescence intensity upon excitation.³¹ Using this method, relative quantities of NO• release may be monitored over set irradiation intervals for different experimental

conditions. This permits characterization of NO• generation from a carbon nanodot structure, as we will describe, and reveals more generally the *hitherto* unreported ability of plasmonic substrates to amplify the photodynamic release of NO•. Further, we employ principles of MEF to achieve more rapid NO•, and indeed ME-NO•, detection. This is the first report of an inter-plasmon-donor interaction as a strategy of amplifying NO• generation. The significance of our approach is further realized by the fact that interplasmon amplification can potentially be applied to virtually any existing photodynamic NO• donor system.

91 **2.0 Materials and Methods**

Sample and Solvent Preparation. Brominated carbon nanodots (BrCND) were collected and pH-92 adjusted as reported previously;²⁸ briefly, a Bunsen burner connected to a laboratory gas outlet was placed 93 under a collection funnel with a vacuum applied such that the gaseous biproducts of combustion were 94 bubbled through a collection solvent (Hydrobromic acid). This collection was conducted for 6-hours, and 95 96 the resulting solution adjusted to pH 3.0 using a trisodium citrate buffer solution and aliquots of 10M HBr and NaOH as needed (final concentrations: $[Na_3Cit] = 0.16 \text{ M} [Br-]_{max} = 0.45 \text{ M}$). The solution pH was 97 monitored using an Accumet® Basic AB15 benchtop pH meter. 4-Amino-5-Methylamino-2',7'-98 Difluorofluorescein (DAF-FM) was purchased from Invitrogen and the stock concentration prepared 99 according to manufacturer recommendations; subsequent dilution into anhydrous dimethyl sulfoxide 100 resulted in a stock concentration of 500 µM. 101

102 Metal-Enhanced Nitric Oxide Release: Classical Detection, Single Sample. To perform the 103 detection experiments with pH cycling, a buffered solution of BrCND was first adjusted to pH 12-12.5 104 using small (<5% total volume) aliquot of 10M NaOH. An aliquot of 500 μ M DAF-FM was then added 105 to achieve a ~7 μ M final concentration, and 50 μ L aliquots were added to the wells of either a blank 96-106 well plate ("dark" samples) or an exposure plate (either a blank or Quanta PlateTM (silvered substrate)

depending on the trial). For pH cycled samples, a small aliquot of 10M HCl was added to both dark and 107 108 exposure well samples; an equivalent volume of deionized water was added to dilution cycle control samples. The exposure plate was then placed under an Entela Blak-Ray® Long Wave Ultraviolet lamp 109 (Model B 100 AP/R, $\lambda_{ex} = 365$ nm) for a 4 minute exposure period (prior to beginning the experiment, 110 exposure wells were selected to standardize exposure powers using a ThorLabs PM100D power meter). 111 During exposure, samples were maintained at ~20°C using an ice bath heat sink. It should be noted that 112 at the concentrations used, for both brominated carbon nanodots and DAF-FM, the absorption intensities 113 (at 365 nm) and approximate pathlength of the \sim 50 µL samples (\sim 2.5 mm) are not sufficient to produce 114 inner filtering effects; as such, it is likely that the 365 nm excitation source used for these experiments is 115 116 able to activate metal nanoparticle plasmons. Immediately after exposure, pH cycle samples were adjusted back to basic pH using 10M NaOH (with an equal volume of deionized water added to dilution cycle 117 samples). All samples were then diluted 5x with deionized water, transferred individually to a quartz 118 119 cuvette, and fluorescence spectra recorded using a FluoroMax@-4P spectrophotometer ($\lambda_{ex} = 475$ nm, 2 120 nm slit widths). Throughout the experiment, solution pH was monitored using pH paper; laboratory lights were switched off and the stock probe maintained under desiccated conditions to prevent probe 121 degradation. This same procedure was conducted for all other ME-NO• experiments with the following 122 modifications: 123

124 All results reported in this study (with the exception of *ESI Appendix*, Fig. S2) were performed 125 without pH cycling. As such, no additional aliquots of NaOH or HCl were added to any sample after the 126 initial pH adjustment to 12-12.5 described previously.

Exposure times, exposure powers, and exposure surface areas are varied for different data sets reported herein. In all cases, the relevant value for each variable is specified. In the case of exposure times, all samples were added to the exposure well simultaneously, and covered completely by black electrical

tape at various intervals. For exposure power, this variable was tuned by selecting exposure wells located 130 131 in various positions under the UV lamp, and power recorded using the power meter mentioned previously. Surface area was tuned by creating masks from black electrical tape and adhering these masks to the 132 surface of the relevant well. For all masks, percent area exposure (100% = full well opening) was 133 134 calculated by a smaller inner circle set within the area of the full well opening; templates were printed on adhesive paper and cut from electrical tape by hand using a utility knife (see Fig3C for mask schematic). 135 Surface area. (SA), time (t), and power (P) were all used to calculate energy density (ED) for each 136 condition, as reported. For experiments involving concentration adjustment of DAF-FM, only the initial 137 aliquot volume of DAF-FM was changed. In all cases, reported values are the average of all individual 138 139 trials, with error from standard deviation.

Metal-Enhanced Nitric Oxide Release: High-Throughput. Experiments were all conducted as
 described previously, with the following modification for the final detection step:

142 After exposure, all samples were prepared for detection as described previously. In lieu of transfer to a quartz cuvette, 80 µL of each sample was transferred to a new well of both a blank and Quanta PlateTM. 143 Similar to what was discussed previously, the pathlength of the 80 µL samples (~4 mm) and absorption 144 intensities of each agent in solution were not sufficient to attenuate the excitation wavelengths employed 145 for DAF-FM excitation described here; accordingly, the Quanta Plates[™] could also be used for metal-146 enhanced detection of NO• release. Using a Varian Cary Eclipse Florescence spectrophotometer equipped 147 with a plate reader, first the blank then the Quanta PlateTM were analyzed spectrally using the following 148 parameters: $\lambda_{ex} = 280$ nm, $\lambda_{em} = 480-550$ nm, automatic excitation/emission filters, PMT voltage = 800 149 V, slit widths = 5 nm, scan rate = 0.1 sec, CAT mode = 5 scans). This strategy is indicated in the text as 150 "high-throughput, spectral" analysis. For this method, reported values are also the average (and standard 151 deviation) of all trials. Alternatively, samples were more rapidly analyzed using the "advanced read" 152

feature within the Varian Cary Eclipse Florescence software; parameters for this analysis are as follows: $\lambda_{ex} = 280 \text{ nm}, \lambda_{em} = 513 \text{ nm}, \text{ automatic excitation/emission filters}, PMT voltage = 800 V, slit widths = 5$ nm, scan rate = 1 sec. Readings for each trial were reported as the average of 5 scans per sample, with error from standard deviation. In this case, all trial values were averaged, and error propagated through all analysis and calculations (see next section) to final values.

To select the parameters for HT detection, synchronous scattering ($\lambda_{ex} = \lambda_{em}$) spectra from the silvered wells (containing 80 µL of buffer control solution) and the absorption spectrum of DAF-FM were also collected. For the former, the Varian Cary Eclipse Florescence spectrophotometer was used with the following parameters: $\lambda_{range} = 200-800$ nm, automatic filters, excitation and emission slit widths = 1.5 nm and 2.5 nm respectively. Absorption measurements were recorded for a basic DAF-FM/BrCND buffered solution in a quartz cuvette using a Agilent Technologies Cary 60 UV-Vis spectrophotometer with Cary WinUV Scan application software.

165 **Data Analysis and Calculations.** A minimum of N = 3 trials were performed for each 166 experimental condition and is specified for each data set. Independently, the spectra (both "dark" and 167 "exposed") for each trial were normalized to the "dark" condition spectrum ("dark" or ED = 0 J•cm⁻², max 168 = 1). The normalized spectra for replicate trials were then averaged, with error bars reported from standard 169 deviation. Percent signal changes (Δ S, %) for each condition were calculated according to Eq. 1

$$\Delta S, \% = \left(I_{ED \neq 0, i} - I_{ED = 0, i} \right) / I_{ED = 0, i} * 100$$
^[1]

where *I* indicates raw fluorescent intensity recorded at 513 nm and *i* indicates the exposure conditions of either a blank 96-well plate (i = blank) or a Quanta PlateTM (i = Ag). These values are reported as averages of a minimum of N = 3 trials, with error from standard deviation. ME-NO• factors ($F_{NO•}$) were also calculated independently according to Eq. 2

$$F_{NO\bullet} = \Delta S_{Ag} / \Delta S_{Blank}$$
^[2]

with reported values the average of all trial calculations for each measured condition. 174

Characterization of Metal-Enhanced Fluorescence and DAF-FM. To ensure that the DAF-T 175 176 structure was dominant in solution for detection and characterization of metal-enhanced *fluorescence* (MEF) of the probe in Quanta Plates[™], a DAF-FM/BrCND solution was prepared as described previously, 177 using a DAF-FM concentration of 10 µM. At basic pH, 80 µL aliquots were added to both blank and 178 179 silvered wells for fluorescence detection using the high-throughput spectral method ($\lambda_{ex} = 280$ nm). The samples were then pH adjusted to <3.0 and equilibrated at this pH for ~1 min to produce DAF-T; this was 180 followed by an adjustment back to basic pH and final high-throughput spectral detection. MEF factors 181 (F_i) for both structures were calculated for each trial individually according to Eq. 3 182

$$F_j = I_{j,Ag} / I_{j,Blank}$$
^[3]

where fluorescence intensity (1) was recorded at 513 nm, and j indicates either DAF-FM or DAF-T. A 183 total of N = 5 trial MEF factors were averaged and reported, with error from standard deviation. 184

Statistical Analysis. For determination of P values, a two tailed, paired t-test was performed for 185 186 each data set. P values are rounded conservatively to regular confidence intervals.

3.0 Results 187

Metal-Enhanced Release of Nitric Oxide. In order to preliminarily assess the ability of BrCND 188 to release nitric oxide photodynamically, the DAF-FM *fluorescence-on* probe was added to a concentrated 189 solution of BrCND. The sample underwent a pH cycle in order to optimize detection of the probe (at basic 190 pH), and to permit release of nitric oxide (at acidic pH), e.g., an "exposed" sample would begin at basic 191 pH, undergo an acid addition to lower pH to <3.0, then be placed under a 365 nm UV lamp for exposure. 192 Following this interval, pH would then be returned to basic. As a control, a dilution cycle was also 193

performed where an aliquot of dejonized water was added rather than acid, i.e., the sample remains at 194 195 basic pH for the duration of the experiment. This procedure was conducted previously, albeit at a more dilute concentration of BrCND, in our earlier report;²⁸ in this system, we noted that the UV-exposed 196 sample generated a stronger probe response under pH-cycled conditions, indicating a small but significant 197 198 photodynamic mechanism of NO• release (ESI Appendix, Fig. S1). At this concentration of BrCND, however, there was no significant photodynamic release mechanism observable under dilution-cvcle 199 parameters. Conversely, at a higher concentration of BrCND (~10-fold greater), there is no significant 200 difference in probe intensity under *pH-cycled* conditions; the dilution-cycle parameters instead reveal a 201 202 strong and significant increase in fluorescence intensity for the exposed versus dark control sample (ESI Appendix, Fig. S2). The comparability of the pH-cycled samples is likely simply due to kinetics; at higher 203 204 concentrations of BrCND, the pH-dominated NO• release mechanism results in probe saturation (approaching complete conversion to the reacted form, DAF-T), where a secondary, weaker photodynamic 205 206 mechanism is not observable through experimental error. When the pH-dependent release of NO• is not 207 occurring, the photodynamic mechanism is more clearly observed. The detection of NO• from brominated carbon nanodots under each set of conditions does indeed highlight both release mechanisms for these 208 209 structures; this is intriguing although not unexpected. NO• donor molecules are known to operate via both pH-triggered and light-activated pathways,^{17, 32, 33} as we see for the carbon dots here. A more detailed 210 discussion of possible NO• release mechanisms is presented in our earlier publication.²⁸ 211

The investigation of metal-enhanced NO• release described herein was then conducted using *only* dilution-cycle parameters from this point onward. UV exposure was conducted (365 nm, 240 sec, 530 \pm 10 μ W) either in a blank 96-well plate or a Quanta PlateTM, which features a silvered substrate surface on the well bottoms that are optimized (commercially) for metal-enhanced fluorescence (MEF). Recently, as previously mentioned, these Quanta PlatesTM were applied by our laboratory in the detection of metal-

enhanced singlet oxygen (ME-¹O₂) also from brominated carbon nanodots at this UV-A wavelength.²⁹ It 217 218 is interesting to note that typically silver plasmonic materials are employed in MEF applications for visible wavelength coupling and amplification; this is due to the localized surface plasmon resonance (LSPR) 219 properties of silver nanoparticles, which peak in the range of 400-500 nm. Although not optimized for UV 220 221 applications, our previous report demonstrated that the Quanta Plates[™] were able to scatter 365 nm light. Further, the potential for brominated dots to generate singlet oxygen diminishes with increasingly long-222 wavelength (approaching 400 nm) exposure sources; this is due to decreased absorption intensities of the 223 nanodots, and is illustrated through the detection of phosphorescence from the nanodots in an O₂ diffusion-224 limited environment.^{34, 35} In the context of singlet oxygen enhancement, we were able to achieve 225 plasmonic amplification from the silvered Quanta Plates[™] in conjunction with brominated carbon 226 nanodots and 365 nm light, suitably tuning the experimental parameters for compatibility between each 227 component.²⁹ The application of Quanta PlatesTM then to enhancement of NO• generation was a reasonable 228 229 extension. ME-NO• release from BrCND was thus detected using this method; the normalized intensities of post-exposure DAF-FM/DAF-T solutions are shown in Fig. 1B. For both exposure conditions, the 230 resulting spectrum increases notably; however, a significantly higher intensity is achieved by the Quanta 231 232 PlateTM samples—that is, the metal-enhanced samples. When calculating the percent signal change (relative to that detected under dark conditions), $200 \pm 40\%$ and $100 \pm 20\%$ increases were observed for 233 Quanta Plate[™] and blank plate samples respectively (Fig. 1*C*). Corresponding to these data is a 2-fold 234 increase in NO• release in the plasmon-amplified regime (P < 0.04), or a ME-NO• factor equal to 2. 235

We were then curious how varying the parameters of exposure would affect the detected ME-NO• factor within a system. We began by varying the exposure time, conducting fluorescence measurements for DAF-FM/DAF-T solutions at 40 second intervals up to the 240 second maximum (Fig. 2). Although the percent signal changes increased roughly logarithmically for both blank- and Quanta PlateTM

exposures as time increased (Fig. 2*A*), the ME-NO• factor did not vary in tandem. Rather, a ME-NO• factor of 2.0 ± 0.1 was reported as the average for measured time points; no significant difference was found for the factors calculated at any individual time point (Fig. 2*B*).

Exposure parameters for photosensitization experiments are frequently reported in terms of energy
 density (J•m⁻²), which is calculated simply according to Eq 4

$$ED = (P \times t)/SA$$
[4]

245 where P, t, and SA are exposure power, time, and sample surface area respectively. MEF, and indeed ME-ROS generation, are known to follow the excitation volumetric effect ³⁶; thus, it seemed plausible that 246 varying either power or surface area may produce results different from those detected by varying time 247 alone. To probe this, three values of energy density were chosen and tested using the previously described 248 set up (parameters can be found in *ESI Appendix* Table S2). For each system, two of the variables (P/t/SA) 249 were held constant while the third was tuned to achieve the desired energy density. The results in terms 250 of signal change are reported in Fig. 3A-C. Intuitively, both varied time (0-240 sec, 80 sec intervals) and 251 power (~580, 950, 1600 µW) follow trends previously described to the time variable, increasing in percent 252 signal change as energy density is increased. When surface area is varied, however, the inverse trend is 253 observed for both blank- and Quanta Plate[™] conditions. This is expected as energy density is inversely 254 proportional to surface area; therefore, the total exposure volume decreases for higher energy density 255 256 samples, *however*, the entire solution volume is still available for diffusion of the reacted probe DAF-T. ME-NO• factors were also calculated for these conditions, as reported in Fig. 3D. The condition for each 257 independent experiment where all parameters were equal is bolded in this panel (1200 J•m⁻²). Surprisingly, 258 259 all ME-NO• factors in this condition were not equal between experiments; this points to a degree of expected experimental variation due to a number of factors, including variability of "true" probe 260

concentration, which is not known (manufacturer guidelines indicate a concentration of ~5mM upon first rehydration) and may be impacted by probe instability in aqueous solvents. Nonetheless, these reported values average to a ME-NO• factor of 2.0 ± 0.8 , equivalent to that discussed earlier in this report. ME-NO• factors for both 2200 and 3300 J•m⁻² values as well are not significantly different, and it can be considered that under these varied conditions the detected metal-enhancement of NO• release is independent of these parameters. Only the variation of surface area resulted in any non-zero linear trendline (*ESI Appendix* Table S2) and would merit closer investigation as a subject of a future report.

Due to the irregularity found experimentally in the 1200 J·m⁻² condition, we were then curious if 268 probe concentration could impact the overall detection of ME-NO• release (Fig. 3*E*). Using the parameters 269 for 1200 J•m⁻², we performed identical experiments varying only the initial concentration of DAF-FM. 270 Concentrations ranging from 1-10 µM produced ME-NO• factors near to what was detected previously, 271 ranging from 1.5 ± 0.1 to 1.9 ± 0.3 for 1 and 10 µM samples respectively. This range confirms what was 272 273 postulated earlier: that probe concentration variation may be responsible for the range of detected ME-NO• values. Interestingly, further increasing the DAF-FM concentration also increases the detected ME-274 NO• factor to as high as 6 ± 1 for the 100 μ M sample. This likely does not reflect any variation in the 275 276 "true" plasmonic enhancement of NO• release alone, but rather the kinetics of the detection system. BrCND, as nanoparticles, have a much lower diffusion rate than small molecules in general; fluorescein, 277 for example, has a diffusion coefficient of ~4•10⁻⁶ cm²•sec⁻¹ in water.^{37, 38} NO•, by comparison, diffuses 278 even faster, with reported rates of $\sim 2 \cdot 10^{-5}$ cm² · sec⁻¹ in PBS and water.³⁹ With diffusion of NO• rather than 279 the probe dictating its reactivity with DAF-FM, higher probe concentrations are required to detect NO• 280 molecules before they encounter another species with which to react. Simply, with a higher concentration 281 of DAF-FM, it becomes more probable that NO• will react with a probe molecule within the experimental 282 window, permitting more accurate detectability of the enhanced NO• released on Quanta PlatesTM than is 283

possible at lower concentrations. Thus, while ME-NO• is detected and reported herein, the reported values
are likely conservative.

Metal-Enhanced Detection of ME-NO• Release. While not discussed to this point, the 286 aforementioned strategy for the detection of NO• release from BrCND required a time-consumptive 287 288 procedure whereby each sample was analyzed individually; this required laborious cleaning steps between samples and limited the throughput of each experiment. This "single sample" detection method (diagram 289 in ESI Appendix Fig. S3A) led to a total experimental time of ~2 hours (ESI Appendix Table S3) for a 290 procedure and data set such as that described by Fig. 3A. The adoption of a high-throughput, 96-well plate 291 292 method for detection is preferrable due to significantly reduced analysis time; for example, the same experiment run using a spectrophotometer equipped with a plate reader improved analysis time (for all 293 samples) from ~1.6 hours to 25 ± 2 min in the case where full spectra were collected ("HT, spectral," *ESI* 294 Appendix Fig. S3B and Table S3). The expediency of this process could be further improved to 12.6 ± 0.8 295 296 min by adopting a "high-throughput, advanced read" method (ESI Appendix Fig. S3C), which collected 297 only the intensity of a sample at 513 nm.

The use of high-throughput methods using a *fluorescence-on* assay presents the chance to employ 298 299 the principles of MEF to improve detectability. To test this possibility using DAF-FM/DAF-T, we first sought to optimize the parameters for use of a Quanta PlateTM rather than a blank plate in fluorescence 300 detection (ESI Appendix Fig. S4). An ideal excitation wavelength would be well-absorbed by the 301 fluorophore while also being minimally scattered by the substrate; although scattered excitation can be 302 helpful in achieving higher MEF values-i.e., the enhanced absorption effect-when using low 303 concentration or low-emitting fluorophores, scattered excitation can cause significant distortion in the 304 sample background. This was detected in our case, testing an initial excitation wavelength of 475 nm, as 305 used previously (ESI Appendix Fig. S4B, top); therefore, this excitation wavelength for DAF-FM/DAF-T 306

is not a viable parameter for the metal-enhanced *detection* of NO. In contrast to metal-enhanced 307 308 generation of reactive species, however, MEF processes can occur not just by the amplification of incident excitation light, but also through the enhanced emission mechanism. By this mechanism, fluorophore 309 quanta couples to the nanoparticle plasmon to radiate as a unit. In the MEF literature, this leads to modified 310 radiative decay rates and improved quantum yields.⁴⁰⁻⁴² In our case, employing silvered Quanta PlatesTM 311 is highly desirable in DAF-FM/DAF-T MEF applications, since probe emission occurs at visible 312 wavelengths. As mentioned previously, this corresponds well with typical LSPR properties of silver 313 nanoparticle substrates. To optimize for *emission*, rather than excitation, enhancement, an excitation 314 wavelength of 280 nm was tested. This wavelength is still absorbed by the probe, resulting in a detectable 315 fluorescence intensity at 513 nm in the excitation profile (ESI Appendix Fig. S4A). Excitation scattering 316 by the substrate, as identified by the synchronous scattering spectral profile ($\lambda_{ex} = \lambda_{em}$), also predicted 317 much lower background as a result of this wavelength. When DAF-FM emission was collected using this 318 319 wavelength, the background signal was negligible at 513 nm emission, and a clearly defined spectral 320 profile was detected (ESI Appendix Fig. S4B, bottom). All subsequent high-throughput experiments were therefore conducted using this parameter. 321

It is common for fluorophores with varying structure to feature different MEF factors in proximity 322 to the same substrate. In the case of simple plasmon-enhanced detection (without any element of metal-323 enhancement of analyte, as with ME-NO• release), this is not so problematic as the enhancement simply 324 improves detectability, and a system may be calibrated for quantitation. When a plasmon-amplified 325 photodynamic process is also occurring, it becomes difficult to distinguish if what is being detected is 326 metal-enhancement of the probe *fluorescence* only, enhancement of the photodynamic process, or-more 327 *likely*—a mixture of both. In the case of ME-NO• detection, this may be accounted for by using the proper 328 controls if the enhancement factor of both DAF-FM and DAF-T are known. Accordingly, we collected 329

the fluorescence spectra of DAF-FM on both blank- and Quanta Plates[™], excited at 280 nm (Fig. 4A, 330 331 top); subsequently, the fluorescence of a DAF-FM sample from a pH-cycled BrCND solution (i.e., a predominately DAF-T solution) was also collected in classical (blank) and MEF (Quanta PlateTM) regimes 332 (Fig. 4A, bottom). Both sets of spectra displayed an increase for the sample detected in Quanta Plate[™] 333 334 wells as predicted. When MEF factors were calculated there was no significant difference between that reported for DAF-FM (1.6 \pm 0.5) and DAF-T (1.9. \pm 0.1), although a 10-fold improvement in relative 335 standard deviation (RSD, 30 vs. 3% for DAF-FM vs. DAF-T respectively) was found (Fig. 4B). Since 336 fluorescence enhancement can be considered equal for both forms of the probe, calculations of percent 337 signal changes and ME-NO• factors are indeed simplified. 338

Having completed these MEF characterization steps for the DAF-FM based NO• detection system, 339 we then proceeded to conduct both high-throughput spectral and advanced read methods of detecting this 340 phenomenon from BrCND and silvered substrates. This was conducted using the variable time 341 342 experimental strategy, with energy densities of 0 (0 sec exposure), 1200 (80 sec), 2500 (160 sec), and 3600 (240 sec) J•m⁻² (Fig. 5). As shown in Fig. 5A for classical exposure conditions (no ME-NO• release), 343 signal increases are observable proportional to longer exposure times for both blank- and Quanta Plate[™] 344 *detection*; stronger signals are, however, observed from the Quanta PlatesTM, confirming MEF from the 345 DAF-FM/DAF-T solutions, and improving signal to noise. Full data sets were collected using the 346 advanced read method detected in both classical (blank) and MEF (Quanta PlateTM) detection regimes, 347 and the resulting calculated ME-NO• factors are reported in Fig. 5B. The results are consistent with the 348 single sample spectral method described earlier, with blank detection values of $2 \pm 1, \pm 1$, and ± 0.9 for 349 1200, 2500, and 3600 J·m⁻² respectively. Notably similar values were also determined for the MEF 350 detection system, with values of 1.6 ± 0.6 , 1.5 ± 0.4 , and 1.7 ± 0.4 for 1200, 2500, and 3600 J·m⁻² 351 respectively. RSD improved from the $60 \pm 10\%$ inherent to blank detection by half to $29 \pm 5\%$ in silvered 352

353 Quanta PlateTM wells. In this case, as with the high-throughput spectral method illustrated in Fig. 5A,

354 signal to noise is improved in the detection of ME-NO• release from BrCND using a MEF platform.

355 4.0 Discussion

Nitric oxide has a number of therapeutic and antimicrobial applications as outlined in the introduction. Regarding BrCND, release of this agent has illustrated a potential avenue for secondary antimicrobial activity in tandem with the release of reactive oxygen species.²⁸ Even if weakly bactericidal, NO• is a precursor for many downstream reactive species, some of which are shown by reaction schemes **1-4**:

$2NO \bullet \rightarrow O_2 + 2NO_2$	[1]
$2NO_2 + 2NO \bullet \rightleftharpoons 2N_2O_3$	[2]
$2N_2O_3 + 2H_2O \rightarrow 4NO_2^- + 4H^+$	[3]
$O_2^{\bullet} + NO^{\bullet} \rightarrow ONOO^{\bullet}$	[4]

361 The study described here was undertaken specifically to understand the potential tunability of the antimicrobial response from various reactive species, emphasizing the photodynamic behavior of NO• 362 release from BrCND. While the pH-dependent response has been previously characterized, we sought to 363 probe the photodynamic mechanism, first by better identifying its presence in a basic, high-BrCND 364 concentration study, where the pH-dependency is not at play (Fig. S2). That both pH- and photodynamic 365 release of NO• are detected from BrCND hints to their structural complexity; NO• donors are classified 366 to proceed via both of these mechanisms, depending on their structure.¹⁷ Despite this photodynamic 367 mechanism of NO• generation, to date, no attention has been given in the literature to tuning NO• release 368 369 from donors via metal-enhancement, also known as plasmon amplification, an inter-plasmon-donor mechanism. This stands in contrast to ME-ROS generation,³⁶ which has been explored for a multitude of 370

photosensitizers and reactive species (*ESI Appendix*, Table S1). The detection of ME-NO• release from BrCND marks the first report of ME-NO• from a donor species to the best of the authors' knowledge, having first characterized this phenomenon herein using a 4 minute UV-exposure interval in both blankand Quanta PlateTM wells (Fig. 1). Using the DAF-FM *fluorescence-on* probe, enhancement is observed via the significantly stronger percent signal change of the UV-exposed plasmonic system versus its blank plate counterpart (Fig. 1*C*).

Further investigation on the impact of exposure time in a ME-NO• system confirmed that the 377 detected ME-NO• factor is not dependent upon exposure time (Fig. 2). This is significant, as predictable 378 quantities of enhanced NO• release could be possible for well-defined donor molecules with minimal 379 variability, simply accounting for overall changing donor concentrations and NO• quantum yields. As 380 photo-activatable donor molecules are designed and implemented in various future ME-NO• hybrid 381 systems, however, this feature will require characterization due to possible degradation of the 382 383 enhancement properties, i.e., consistency of the plasmonic material over time. Exposure properties of power and surface area were also probed to assess their potential impact on the overall detected NO• 384 release enhancement effect (Fig. 3B-D). Given the excitation-dependent nature of photodynamic 385 processes, one might expect that these two variables would have a considerable impact on detected 386 enhancement of NO• release. It is well-known that the enhanced absorption effect is one mechanism by 387 which plasmonic amplification of luminescence (and other processes) occurs ⁴²⁻⁴⁴. This mechanism would 388 indeed be impacted by both exposure powers and surface area. Remarkably, under the studied conditions 389 variation of neither of these results in a ME-NO• factor substantially distinct (Fig. 3D), and in fact 390 concentration of the probe itself seems to be a larger determining parameter in the resulting detected factor 391 392 (Fig. 3*E*). These results suggest, as proposed earlier, that the *detectability* of enhancement, rather than "true" enhancement itself, is dictated predominantly by the concentrations of probe available to react with 393

the released NO•; thus, the true enhancement of NO• released could be, and is likely, much higher than anticipated from these studies. This is not problematic for antimicrobial applications, as an underestimation nonetheless confirms the potential for metal-enhanced photoactivated antimicrobial activity from amplified NO• release. The results are impactful not only in further bolstering the potential application of BrCND as a photo-activatable antimicrobial material, but also in encouraging researchers to explore the cultivation of new NO• (or other photodynamically released species) donor molecules for use in plasmon-amplified systems.

The multiplicity of use for plasmonic platforms is also highlighted herein. Not only may the 401 substrate enhance overall release of NO•, but it also can be employed to circumvent experimental 402 limitations. We explored this possibility using the detection of NO• generation by *fluorescence-on* probe 403 DAF-FM by both single sample and high-throughput analysis methods. When comparing data gathered 404 by both methods (Fig. 1-3 versus Fig. 5) it is clear that the signal to noise ratio is superior for the single 405 406 sample detection method employed; however, the total analysis time is improved substantially. Whereas a single sample (including sample transfer, detection, disposal, and cleaning) is performed in ~3 minutes, 407 a total of 16 samples may be run in 12 ± 1 minutes using a high throughput method of spectral detection 408 409 (ESI Appendix Table S3). This corresponds to ~45 seconds per sample, a 4-fold improvement in total analysis time. It is important to note here the differences in data quality obtained by each of these methods. 410 In order to optimize collection time by the high throughput spectral method, a relatively rapid scan rate 411 was chosen to accommodate the averaging of 5 total scans per sample. While the scan averaging improves 412 signal to noise, the more rapid collection diminishes this feature. This is visible in the spectra collected in 413 blank 96-well plates (Fig. 5A, left). Use of a metal nanoparticulate substrate, employing a MEF system in 414 detection (Fig. 5A, right), improves the signal to noise ratio and therefore the data quality obtained by this 415 method. This can be attributed logically to the 2-fold fluorescence enhancement factors we report for both 416

DAF-FM and DAF-T (Fig. 4). Using MEF, the detection system itself helps to offset the time versus 417 418 resolution trade off. The improvement in analysis time and data quality may be further refined using the high-throughput "advanced read" method, whereby only intensities at a select wavelength are read; using 419 a slower scan rate and still collecting 5 scans per sample, the total analysis time decreases to ~ 24 seconds 420 421 per scan (ESI Appendix Table S3). Using blank plate detection, even with this method, does not reduce error such that calculated ME-NO• factors have acceptable deviation (Fig. 5B). When MEF detection is 422 employed, conversely, the relative standard deviation is improved significantly, resulting more clearly 423 resolved calculated values. Moreover, the ME-NO• factors determined by this method match those 424 425 collected in previous experiments using the single sample methodology, confirming the MEF detection 426 strategy as a valid and competitive option for similar detection applications.

427 5.0 Conclusion

Our results underscore the potential for widespread applications of metal-enhanced hybrid photo-428 429 activatable agents through the use of an inter-plasmon-donor system. BrCND, previously characterized to 430 photodynamically generate ROS and to release NO• in a pH-dependent manner, are shown also to have a secondary photodynamic mechanism of NO• release. While the use of carbon nanodot structures as RNS 431 432 donors has not *hitherto* been extensively explored, the impact of these results is further reinforced through the detection of the plasmon-amplified release of NO• using the commercially available silvered Quanta 433 PlateTM wells. Similar plasmonic material-modified platforms have been used to probe metal-enhanced 434 ROS generation in the literature, although comparable systems for RNS have not been analogously 435 characterized. Employing this approach, we present considerations for classifying the overall 436 enhancement of photodynamic processes—in this case, ME-NO•—including excitation power, exposure 437 time, and surface area of exposure. Future studies may investigate these same principles for ME-NO• 438 using colloidal metal nanoparticles, or nanoparticle-donor molecule hybrid systems. Regarding the 439

reported system, concentration of the detection probe is also considered relative to calculated ME-NO• and is in fact identified as the limiting feature in classifying ME-NO• release factors from brominated carbon nanodots. Further, the experimental limitations such as analysis time and resolution are improved for ME-NO• detection by employing a MEF-based high throughput detection system. The results discussed herein not only describe the successful plasmonic amplification of NO• release, but further describe a strategy for and key parameters of amplifying the activity of nearly any photodynamic donor agent through our inter-plasmon-donor approach.

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448 ASSOCIATED CONTENT

449

450 Electronic Supplementary Information

Supporting figures (S1-S4) are provided, demonstrating the photodynamic release of nitric oxide from brominated carbon nanodots; a schematic for the different detection regimes is given, with additional characterization and analysis for the metal-enhanced detection regime. Further, tables (S1-S3) are provided to supply additional details into the literature reports of metal-enhanced photosensitization, experimental parameters employed, and experimental times for studies discussed. ESI references are included. Captions to all supplementary information are provided below:

Figure S1. Nitric oxide (NO•) detection from brominated carbon nanodots (BrCND) under pH cycled conditions. Detection was completed using diaminofluorescein-FM (DAF-FM) probe both "pre" and "post" acid cycling conditions, either under dark or UV-exposed ($\lambda_{ex} = 365 \text{ nm}, 0.56 \pm 0.04 \text{ mW}$) conditions. *A*) Fluorescence spectra of NO• detection under dark conditions. *B*) Average intensities from n = 3 trials, with error from standard deviation (**p* < 0.05). Reproduced from Ref. [S1] with permission from The Royal Society of Chemistry.

463	Figure S2. Photodynamic release of nitric oxide (NO•) from brominated carbon nanodots (BrCND) as
464	detected by <i>fluorescence-on</i> probe DAF-FM. Release was detected after 4 minutes of either dark or UV
465	exposure ("Exposed," λ_{ex} = 365 nm, 580 ± 20 _(SD) µW) in blank 96-well plates, both under dilution (pH ~
466	12-12.5) and pH cycled (pH < 3) conditions. $N = 5$, * $P \ll 0.001$, error from standard deviation.
467	Figure S3. Schematic of the different detection methods of metal-enhanced nitric oxide (ME-NO•)
468	photodynamic release from brominated carbon nanodots (BrCND), using the <i>fluorescence-on</i> probe DAF-
469	FM. Methods include (A) single sample, (B) high-throughput (HT) spectral detection, and (C) HT advanced
470	read, with detection occurring at 513 nm (error from standard deviation of $N = 5$ sample scans).
471	Figure S4. Selection of excitation parameters for metal-enhanced detection of nitric oxide (NO•) release.
472	(A) Spectral overlay of DAF-FM absorption (detected in blank plate, dashed blue line), excitation (detected
473	in Quanta Plate TM , $\lambda_{em} = 513$ nm, solid blue line) profiles versus Quanta Plate TM well synchronous scattering
474	profile ($\lambda_{ex} = \lambda_{em}$). (B) Background excitation scattering versus DAF-FM (10 µM) emission in Quanta
475	Plate TM wells at $\lambda_{ex} = top - 475$ and <i>bottom</i> - 280 nm. Arrows indicate signal change relative to background
476	excitation scattering. All error from standard deviation from $N = 3$ measurements.
477	Table S1. Metal-Enhanced Generation or Release of Reactive Species.
478	Table S2. Parameters and Analysis from Varied Energy Density Experiments (Fig. 3).
479	Table S3. Timescales for Detection of Metal-Enhanced Nitric Oxide Release.
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484	Author Contributions.
485	All experiments were designed by Rachael Knoblauch under the mentorship of Dr. Chris D. Geddes.

486 Experiments, data analysis and figure presentation were executed by Rachael Knoblauch. The manuscript

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492			
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- 572

574 FIGURES AND TABLES



580Figure 1. Metal-enhanced nitric oxide (ME-NO•) release from brominated carbon nanodots (BrCND). (A)581Experimental schematic of single-sample NO• generation and detection methods. (B) Photodynamic ($\lambda_{ex} =$ 582365 nm, 530 ± 10 (SD) μ W, 240 sec) release of NO• by BrCND in classical (blank) and plasmon-enhanced583(quanta) exposure conditions, as reported by fluorescence-on spectral response of NO• probe DAF-FM584(concentration of generation = 7 μ M, $\lambda_{ex} = 475$ nm). (C) Percent signal change (relative to dark conditions)585for each exposure regime ($\lambda_{ex/em} = 475/513$ nm). N = 3, error from SD, *P < 0.04.</td>



Figure 2. Time-dependent metal-enhanced nitric oxide (ME-NO•) release from brominated carbon nanodots (BrCND), detected by DAF-FM (generation concentration = 7 μ M, $\lambda_{ex} = 475$ nm). (*A*) Percent signal change ($\lambda_{ex/em} = 475/513$ nm) resulting from UV exposure ($\lambda_{ex} = 365$ nm, 560 ± 20 (SD) μ W) for both classical (blank) and plasmon-amplified (quanta) regimes; at each time point, P < 0.04; N = 3, error from SD. (*B*) Corresponding ME-NO• factors for each time point from (A); N = 3, error from standard deviation.



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Figure 3. Metal-enhanced nitric oxide (ME-NO•) generation from brominated carbon nanodots: dependence of enhancement on exposure parameters. (*A*-*C*) Percent signal change of DAF-FM (7 μ M) with UV (λ_{ex} = 365 nm) exposure in both blank and Quanta PlateTM wells, plotted as a function of energy density (ED); schematics provided on right, *N* = 4, error from standard deviation. ED was varied by tuning parameters of exposure (*A*) time (t), (*B*) power (P), and (*C*) surface area (SA). (*D*) Left - Calculated ME-NO• factor for systems reported in (A-C); right – averaged ME-NO• factor for all systems and parameters for ED at which all experiments have equal parameters (bolded data in left). (*E*) Left - ME-NO• factors calculated for systems of varying DAF-FM concentrations; right – experimental schematic and parameters for *left* data, at 1200 ± 30 (SD) J•m⁻².

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611Figure 4. Enhancement factor comparison of un-reacted DAF-FM *fluorescence-on* probe versus the nitric612oxide (NO•) reacted probe derivative (DAF-T), detected in Quanta PlateTM wells. (A) Sample spectra for613metal-enhanced fluorescence (MEF) for *top* – DAF-FM and *bottom* - DAF-T. (B) MEF factors calculated for614each probe structure (P = 0.5, not significant, n.s.) For all panels: error from standard deviation of N = 5615trials.



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619 Figure 5. Application of metal-enhanced fluorescence (MEF) in the detection of nitric oxide (NO•) release 620 from brominated carbon nanodots using fluorescence-on probe DAF-FM. (A) Sample high-throughput 621 emission spectra from DAF-FM reacted with NO• under classical photodynamic release conditions at varying 622 exposure times (reported as energy density); spectra are from N = 5 averaged scans for a single trial. Left – 623 classical (blank plate) detection of NO• release; right - metal-enhanced detection of NO• release. (B) 624 Improvement of calculated metal-enhanced NO• (ME-NO•) release factors from data collected by highthroughput classical (blank plate, $RSD = 60 \pm 10_{(SD)}$ %) or MEF (Quanta PlateTM RSD = $29 \pm 5_{(SD)}$ %) detection 625 626 regimes.