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## Multi-Modal Image Sharpening in Fourier Transform Infrared (FTIR) Microscopy

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Mid-infrared Spectroscopic Imaging (MIRSI) provides spatially-resolved molecular specificity by measuring wavelength-dependent mid-infrared absorbance. Infrared microscopes use large numerical aperture objectives to obtain high-resolution images of heterogeneous samples. However, the optical resolution is fundamentally diffraction-limited, and therefore wavelength-dependent. This significantly limits resolution in infrared microscopy, which relies on long wavelengths ( $2\mu\text{m}$  to  $12\mu\text{m}$ ) for molecular specificity. The resolution is particularly restrictive in biomedical and materials applications, where molecular information is encoded in the fingerprint region ( $6\mu\text{m}$  to  $12\mu\text{m}$ ), limiting the maximum resolving power to between  $3\mu\text{m}$  and  $6\mu\text{m}$ . We present an unsupervised curvelet-based image fusion method that overcomes limitations in spatial resolution by augmenting infrared images with label-free visible microscopy. We demonstrate the effectiveness of this approach by fusing images of breast and ovarian tumor biopsies acquired using both infrared and dark-field microscopy. The proposed fusion algorithm generates a hyperspectral dataset that has both high spatial resolution and good molecular contrast. We validate this technique using multiple standard approaches and through comparisons to super-resolved experimentally measured photothermal spectroscopic images. We also propose a novel comparison method based on tissue classification accuracy.

## 1 Introduction

Broadband vibrational spectroscopic imaging provides excellent molecular sensitivity that can identify the spatial distribution of molecular constituents. Fourier transform infrared (FTIR) spectroscopic imaging is a popular technique that is used to measure mid-infrared absorbance spectra in materials science,<sup>1,2</sup> forensics,<sup>3</sup> and biomedicine<sup>4,5</sup> by illuminating the sample with mid-infrared (mid-IR) light in the range of  $750$  to  $4000\text{ cm}^{-1}$  ( $13.3$  to  $2.5\mu\text{m}$ ).<sup>6</sup> This technique is commercially available, facilitating wide adoption in settings where *mid-infrared spectroscopic imaging* (MIRSI) is necessary to provide molecular context at each pixel.

The spatial resolution  $\Delta\ell$  of an imaging system under the Rayleigh criterion is proportional to the incident wavelength  $\lambda$  and inversely proportional to the objective's numerical aperture  $NA$ :<sup>7</sup>

$$\Delta\ell = 0.61 \frac{\lambda}{NA}. \quad (1)$$

The numerical aperture is fixed and usually in the range of  $\approx 0.5$  to  $0.8$ . Spatial resolution is therefore wavelength-dependent and varies significantly (up to  $6\times$ ) across the mid-IR range

( $2.5$  to  $13.3\mu\text{m}$ ). MIRSI instrument manufacturers typically use pixel sizes between  $5\mu\text{m}$  and  $7\mu\text{m}$  based on the spatial resolution in the *fingerprint region* ( $900$  to  $1800\text{cm}^{-1}$ ). This is sub-optimal for biomedical applications that require sub-cellular resolution to evaluate heterogeneous tissue structures. Recent commercial platforms provide high-definition<sup>8</sup> imaging that reduces pixel sizes to  $\approx 1.1\mu\text{m}$  to achieve the best possible spatial resolution.<sup>8,9</sup> These advances improve image quality in high-wavenumber bands ( $3000$  to  $3500\text{cm}^{-1}$ ).<sup>10</sup> However images in the fingerprint region, which encode molecular contrast for a variety of organic molecules, are still diffraction limited.<sup>11</sup> The final images are high resolution at higher wavenumbers, due to the reciprocal relationship to wavelength, while important molecular information at longer wavelengths is obscured in low-resolution images. Methods that improve the spatial resolution at these wavenumbers can significantly improve the viability of FTIR in biomedical applications.<sup>12</sup>

Resolution limits in MIRSI hinder the analysis of histological samples where small spatial features, such as collagen fibers ( $\approx 2\mu\text{m}$  wide) and cell clusters, are clinically important. Applications requiring high spatial resolution have motivated the development of new MIRSI instruments leveraging probes to overcome the diffraction limit.<sup>13–16</sup> Photothermal IR (PTIR)<sup>17</sup> and optical photothermal IR (O-PTIR)<sup>18</sup> enable sub-micrometer resolution

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1 with simultaneous spectroscopic contrast. While individual band  
2 images and spectra can be acquired rapidly using O-PTIR, mea-  
3 suring full hyperspectral cubes is currently too time consuming  
4 for routine clinical applications. However, spectroscopic imaging  
5 data from O-PTIR provides a direct experimental measurement of  
6 sub-micron spatial features and spectroscopic signatures, which is  
7 challenging to obtain through other technologies. We use it as a  
8 gold standard to assess the technology proposed in the current  
9 manuscript.

10  
11 This paper proposes curvelet-based multi-modal fusion to en-  
12 hance spatial resolution in chemical maps, bridging the gap be-  
13 tween MIRSI and traditional histology to achieve cellular-level  
14 resolution with FTIR instrumentation. The proposed method  
15 builds on image sharpening techniques from remote sensing<sup>19,20</sup>  
16 and extends it to mid-IR hyperspectral datasets using a novel un-  
17 supervised approach to integrating high-frequency features into  
18 hyperspectral images. In remote sensing, low resolution mul-  
19 tispectral (MS) images are fused with high-resolution panchro-  
20 matic (PAN) images. Such sharpening is commonly referred to  
21 as *pansharpening*. Our approach uses dark-field microscopy to  
22 obtain high-resolution data analogous to panchromatic images.  
23 High *spatial* frequency features are fused into MIRSI data using  
24 an unsupervised curvelet-based approach. This combination of  
25 dark-field microscopy and MIRSI provides several practical ad-  
26 vantages: (1) both modalities are label-free; (2) high-resolution  
27 image acquisition requires very little additional time, and (3) no  
28 changes to sample preparation are required. Unlike current pho-  
29 tothermal imaging, our technique does not require new instru-  
30 mentation, or long hyperspectral data acquisition times.

31 We demonstrate the efficacy of the proposed algorithm on tis-  
32 sue biopsies, where both molecular specificity<sup>21</sup> and cellular-level  
33 resolution ( $<5\ \mu\text{m}$ )<sup>22</sup> are critical to clinical diagnosis but beyond  
34 the capabilities of FTIR imaging. We evaluate the efficacy of our  
35 fusion algorithm using quantitative metrics, such as spectral dis-  
36 tortions relative to the raw hyperspectral data. We also propose  
37 evaluations based on classification of the fusion data and com-  
38 paring these results to both FTIR and traditional histopathology.  
39 This novel evaluation method is more practical for MIRSI, since  
40 it focuses on optimizing the resulting image for reconstruction,  
41 which is currently the most common task in infrared histology.

## 42 1.1 Previous Work

43  
44 Multi-modal image fusion refers to a broad class of techniques  
45 combining data from two or more modalities to produce an  
46 information-rich output image. This provides clinical insights  
47 that each modality cannot furnish alone. Such techniques are  
48 common in medical imaging, where magnetic resonance imaging  
49 (MRI), computed tomography (CT), positron emission tomogra-  
50 phy (PET), and single-photon emission computed tomography<sup>23</sup>  
51 are fused to provide a comprehensive data set for a single patient.  
52 Multi-modal image fusion can also speed up acquisition. Work by  
53 Kong et al.<sup>24</sup> demonstrates that integration of autofluorescence  
54 imaging and Raman scattering acquires molecular information  
55 faster than the conventional histology. Falahkheirkhah<sup>25</sup> has pro-  
56 posed a deep learning framework to enhance spatial details of

MIRSI by training on hematoxylin and eosin (H&E) stained tissue  
images. This approach has limited applicability in histopathol-  
ogy applications where data consists of several cell types and  
subtypes, since the spatial enhancement is not uniform for all  
morphological features but biased towards the morphological fea-  
tures highlighted by H&E.

Pansharpening is used extensively in remote sensing to fuse  
high-resolution panchromatic images with multispectral (MS)  
data.<sup>26</sup> This produces images with better spatial and spectral res-  
olution through cost-effective imaging using independent sensors  
optimized for (1) high spectral resolution (MS sensor) and (2)  
high spatial resolution (panchromatic sensor).<sup>27</sup> Pansharpening  
commonly relies on component substitution: a low-resolution hy-  
perspectral (HS) image is projected onto a new basis, such as  
the one provided by principal component analysis (PCA). High-  
frequency components are inserted by replacing elements in the  
projected basis. The inverse transform is then applied to produce  
a fused output. Pansharpening with PCA is a component sub-  
stitution algorithm,<sup>28</sup> where the MS image is transformed using  
PCA. The first component of the new MS image is replaced by  
the high-resolution *pan* image to add spatial detail. Inverting the  
fused MS projection results in a sharpened MS output. Comp-  
onent substitution techniques are unsupervised, and do not require  
training or a corresponding ground truth. However, these sharp-  
ening techniques are prone to spectral distortion. The extent of  
these distortions depends on the correlation between the sharp  
*pan* band and the replaced component of the projected MS im-  
age.

Another approach involves directly injecting spatial details  
into low-resolution images. These methods separate spatial fea-  
tures using *multi-resolution analysis* (MRA). Wavelet-based fu-  
sion, band dependent spatial injection (BDSI), and wavelet-  
based Bayesian fusion are examples of spatial detail injection  
methods.<sup>29</sup> These methods provide better spectral fidelity, since  
the injected features are optimized for each band and there is  
no upper limit on the number of injected high-resolution bands.  
Most pansharpening algorithms are supervised. Band dependent  
spatial detail (BDSI) uses a ground truth image to identify op-  
timal parameters for injecting spatial details. BDSI is also com-  
putationally intensive since the inserted spatial features are com-  
puted using the entire MS image.<sup>26</sup> Clustered-BDSI (C-BDSI)  
algorithm is an extension of BDSI algorithm with efficient im-  
plementation. C-BDSI optimizes parameters on pixels clustered  
using spatial features unlike BDSI in which parameters are es-  
timated globally or locally with a sliding window.<sup>30</sup> Parameter  
estimation on clustered pixels makes C-BDSI fast and accurate  
when compared to BDSI. Although C-BDSI is fast and works  
well for remote sensing, it is a supervised algorithm which relies  
on a high resolution ground truth. The nonavailability of high res-  
olution FTIR hyperspectral images makes supervised approaches  
impractical.

Spatial-spectral fusion methods using the Fourier or wavelet  
transforms are good at retaining spectral information at the ex-  
pense of spatial detail.<sup>31</sup> Wavelet transforms are poor at repre-  
senting curved edges, making them sub-optimal for microscopic  
images of organic materials.<sup>32</sup> The curvelet transform is therefore

preferred in medical imaging applications, such as image segmentation<sup>33</sup> and fusion.<sup>31</sup>

## 2 Curvelet Transform

The curvelet transform<sup>34</sup> (CT) is an extension of wavelets<sup>35</sup> and ridgelets.<sup>36</sup> Images are decomposed into sub-bands of different scales using the wavelet transform, and then a localized ridgelet transform is applied to each sub-band. Curvelets can represent high-frequency contours at a range of scales using a sparse set of coefficients combined with the curvelet basis. The curvelet transform includes three stages: (1) image decomposition, (2) smooth partitioning, and (3) a ridgelet transform:

1. **Image decomposition:** Each band image is decomposed into resolution-based sub-bands using a 2D isotropic wavelet transform. Each layer contains details of different frequencies.
2. **Smooth partitioning:** The first layer is low frequency and can be smoothly expressed using wavelets. However, the wavelet transform is not efficient for representing high-frequency curved features. High frequency features are therefore represented with curvelets. To represent high-frequency features efficiently using curvelets, each sub-band is divided into square partitions of a size appropriate for the scale (Figure 1). At a finer scale, curved edges are divided into smaller fragments with smaller square partitions and treated as straight edges.
3. **Ridgelet transform:** The ridgelet transform is applied on each square partition of each sub-band.

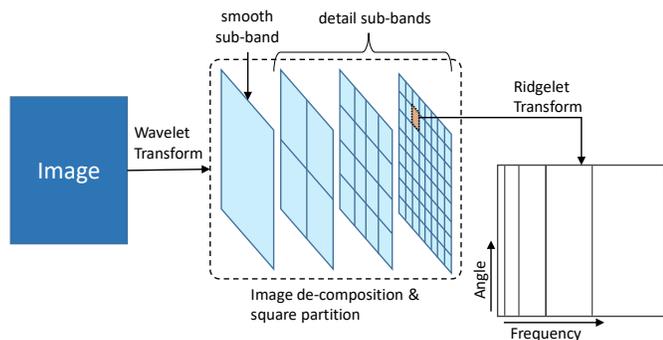


Fig. 1 An illustration of the Curvelet transform

The continuous curvelet transform and fast discrete curvelet transform are developed by E.J.Candes *et al.*<sup>37</sup> In this section, we illustrate the utility of curvelet transforms in the context of our proposed image fusion algorithm. A curvelet transform, defined on a two dimensional function  $f(x, y)$ , is represented using curvelet coefficients computed by taking inner product of elements of  $f$  and curvelet at different scales and orientations. In a curvelet transform,  $\varphi_j$  is defined as a mother curvelet.<sup>37</sup> Curvelets at scales  $2^{-j}$  are obtained through rotations and translations of the mother curvelet. At decomposition scale  $2^{-j}$ , orientations of curvelets are given by the sequence of equispaced rotation angles  $\theta_l = 2\pi \cdot 2^{-\frac{j}{2}} \cdot l$ , with  $l = 0, 1, \dots$  such that  $0 < \theta_l < 2\pi$

and translation given by sequence of translation parameters are  $k = (k_1, k_2) \in \mathbb{Z}$ . At scale  $2^{-j}$  and orientation  $\theta_l$  and positions  $x_k^{(j,l)} = R_{\theta_l}^{-1}(k_1 \cdot 2^{-j}, k_2 \cdot 2^{-\frac{j}{2}})$ , with  $R_{\theta}$  is rotation by  $\theta$ , curvelets are defined (as a function of  $x$ ) by:

$$\varphi_{j,l,k}(x) = \varphi_j \left( R_{\theta_l} \left( x - x_k^{(j,l)} \right) \right). \quad (2)$$

Any function  $f \in L^2(\mathbb{R}^2)$  can be represented as a series of curvelet coefficients and a curvelet coefficient at scale  $2^{-j}$ , direction  $l$  and location  $k$  is computed by taking inner product between an element  $f$  and a curvelet  $\varphi_{j,l,k}$ ,

$$c_{j,l,k} = \langle f, \varphi_{j,l,k} \rangle. \quad (3)$$

Function  $f$  sparsely represented using discrete curvelet coefficients is reconstructed using formula,

$$f = \sum_{j,l,k} \langle f, \varphi_{j,l,k} \rangle \cdot \varphi_{j,l,k}. \quad (4)$$

The curvelet transform is a multidimensional extension of the wavelet transform, which can effectively represent curved discontinuities with fewer coefficients than wavelets. Medical images are composed of many curved edges optimally represented by wedges using sparse curvelet coefficients.<sup>31,33</sup> The sharpness of the curved edges in a hyperspectral band image changes as a function of wavelengths due to the diffraction. The CT decomposes images into multi-resolution sub-bands representing curved features at different scales and orientation. In Figure 2, curvelet coefficients for two FTIR band images ( $1080 \text{ cm}^{-1}$  and  $1650 \text{ cm}^{-1}$ ) are shown with the Cartesian concentric corona for the first four scales. The coarse scale is in the center of the corona. The coefficient scale increases from inner to outer corona, with coefficients at different orientations measured clockwise from the top left. Number of angles (orientations) changes with the scale and with the number of angles selected for 2nd coarsest scale which is 16 (Figure 2). The band image at  $1650 \text{ cm}^{-1}$  is sharper than the image at  $1080 \text{ cm}^{-1}$ , therefore dense coefficients are seen at finer scales corresponding to higher wavenumbers. Multi-resolution sparse decomposition is useful for fusing high spatial frequency features without introducing artifacts into the spectral domain.

## 3 Materials and Methods

Our curvelet-based multi-modal fusion is validated on 10 tissue samples from breast and ovarian tissue microarrays (TMAs). We procured formalin fixed paraffin embedded (FFPE) breast (AMS802) and ovarian (BC11115c) sections from commercial tissue banks, with adjacent sections placed on IR transparent  $\text{CaF}_2$  and standard glass slides. All sections went through the same deparaffinization protocol. Unstained sections on  $\text{CaF}_2$  slides were imaged using both FTIR and dark-field microscopes. Adjacent sections on glass were stained with H&E and imaged in brightfield. TMAs included tissue cores from different grades and stages of cancer to enable validation on biochemically diverse tissues. Ten 1 mm cores from each array (10 different patients) were sharpened and annotated to compute the classification accuracy of the two key histological classes.

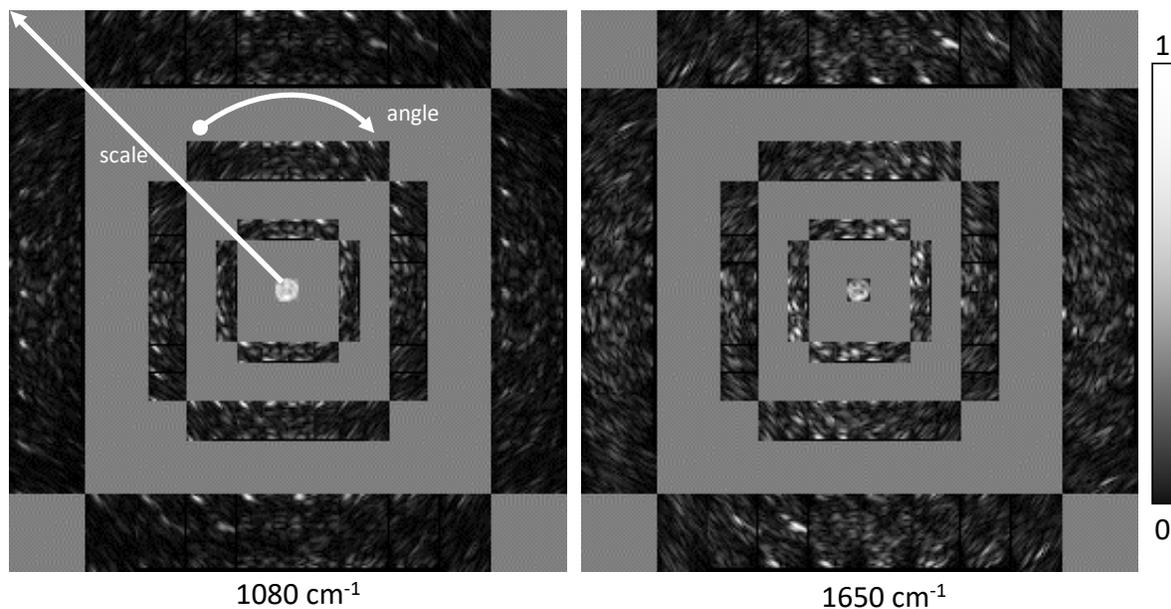


Fig. 2 Curvelet coefficients at four scales for two band images (wavenumber  $1080\text{cm}^{-1}$  and  $1650\text{cm}^{-1}$ ) from FTIR imaged tissue represented using Cartesian corona. The first coarser scale (low pass filtered) is in the center of the Cartesian concentric corona. Coefficients scale increase from inner corona to the outer corona and at each scale, coefficients at different orientations measured clockwise from the top left.

### 3.1 Multi-Modal Imaging

Both FTIR and dark-field microscopy are used to image unstained tissue cores from breast and ovarian TMAs. Tissue sections were prepared using standard protocols<sup>11</sup> for FTIR imaging.  $5\mu\text{m}$  thick tissue sections from FFPE blocks mounted on IR transparent windows of  $\text{CaF}_2$  were deparaffinized for imaging, first imaged with FTIR imaging system (Agilent 670 spectrometer coupled to a Cary 620 microscopy system) and then with a dark-field microscope (Nikon Eclipse Ti inverted optical microscope). Agilent Cary 620 FTIR has  $15 \times 0.62\text{NA}$  and  $128 \times 128$  pixels focal plane array (FPA) detector. We collected mid-IR HS images of tissue sections using standard-definition (SD) mode with  $5.5\mu\text{m}$  pixel size and  $8\text{cm}^{-1}$  spectral resolution in the spectral range of 1000 to  $3900\text{cm}^{-1}$ .

Tissue sections were imaged with a Nikon inverted optical microscope with a  $10\times, 0.4\text{NA}$  objective in the dark-field mode. A dark-field condenser transmits a hollow cone of light and blocks light from within a disk around the optical axis. In the presence of a sample, scattered light is collected by the objective forming a bright image against a dark background. Based on the Rayleigh criteria, the diffraction-limited spatial resolution of dark-field images collected in the visible range (400 to  $700\text{nm}$ ) is significantly higher than FTIR images in the fingerprint region ( $2.5$  to  $12\mu\text{m}$ ).

### 3.2 Pre-processing

In multi-modal fusion, image registration is a critical step, since misalignment can introduce spatial artifacts in the fused result. Both FTIR and dark-field are label-free, enabling multi-modal imaging without additional tissue preparation. This prevents physical distortion of the tissue between images that can lead to misalignment. For this study, we image deparaffinized, label-free tissue sections with both modalities. Multi-modal images were registered by cropping both FTIR and dark-field images to the

same tissue area. We then upsampled FTIR images to match the scale of dark-field images in the  $x$  dimension. We used the image resize method in OpenCV, using bilinear interpolation to resample each FTIR band image,<sup>38</sup> and OpenCV affine transformations to align dark-field images with FTIR hyperspectral images.<sup>38</sup> Prior to image sharpening, FTIR images underwent standard rubber-band baseline correction to remove scattering artifacts.<sup>39</sup> As baseline correction methods can impact classification, we perform these corrections before spatial frequency injection to facilitate comparison between the original and sharpened images.

### 3.3 Spatial-Spectral Fusion

In mid-IR imaging, wavenumbers in the *fingerprint* region 900 to  $1800\text{cm}^{-1}$  are especially important for identifying biomolecules, therefore FTIR hyperspectral images ( $\mathbf{L}$ ) from these wavenumbers are used for histology analysis. The images have a diffraction-limited spatial resolution of 5.5 to  $11.11\mu\text{m}$ . Tissue sections are first imaged with FTIR and then with a dark-field microscope without any intermediate processing.

FTIR data consists of  $B$  band images  $\mathbf{L}_i \in \mathbf{L}$ , where  $i = 1, \dots, B$ . We performed image sharpening on each band image by fusing spatial features from dark-field image  $\Psi$  into  $\mathbf{L}_i$  using a curvelet transform algorithm described below. In FTIR, the intensity range in each band varies with absorbance. The range among different bands can vary by a factor of 10. For better image sharpening results, the dark-field image ( $\Psi$ ) was equalized to  $\Psi_i$  for each band image  $\mathbf{L}_i$ . Equalization of the dark-field image was performed with linear scaling to match the intensity scale of each band image. The proposed curvelet-based method uses multi-resolution analysis by decomposing each image into a set of spatial features using the *fast discrete curvelet transform* (FDCT).<sup>37</sup>

Higher curvelet coefficients from dark-field image repre-

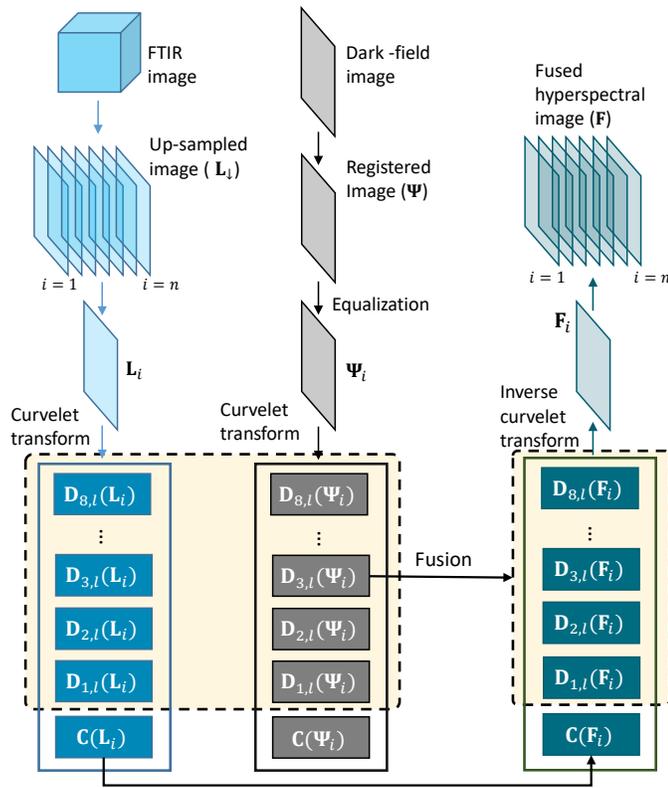


Fig. 3 Process for sharpening broadband low spatial resolution HS images with high spatial resolution dark-field images using the curvelet transform based algorithm. High spatial resolution HS image is obtained by fusing detail coefficients of each low resolution band image with detail coefficients of equalized dark-field image for that band image.

sents sharp features which can be fused in FTIR band images based on Local Magnitude Ratio (LMR).<sup>34</sup> Let  $C_{j,l}(L_i(x,y))$  and  $C_{j,l}(\Psi_i(x,y))$  be curvelet coefficients at band  $L_i$ , with the equalized dark-field image  $\Psi_i$  at scale  $2^{-j}$  and orientation  $l$ . The LMR at spatial location  $(x,y)$  is defined as:

$$LMR_{j,l}(x,y) = \frac{C_{j,l}(L_i(x,y))}{C_{j,l}(\Psi_i(x,y))}. \quad (5)$$

As the edges in dark-field images are sharper than FTIR data,  $LMR_{j,l}(x,y) \leq 1$  at location  $(x,y)$  indicates that the spatial details of  $\Psi_i(x,y)$  are better than the spatial details of  $L_i$  and therefore are injected into the fused image.

Figure 3 and algorithm 1 illustrate the data fusion process for combining the FTIR hyperspectral image  $L$  and registered dark-field image  $\Psi$  using curvelet transform.

## 4 Results

We demonstrate the efficacy and robustness of the proposed technique using two independent datasets consisting of breast and ovarian tissue cores derived from patients at varying stages of cancers. For the sharpened images, we evaluated spatial quality with visual qualitative inspection and assess spectral quality using quantitative metrics. We also compare the performance of curvelet based fusion against PCA based<sup>29</sup> methods.

**Algorithm 1** Algorithm for fusing multi-modal images using curvelet transform

1. **Input:**  $L \in \mathbb{R}^{X \times Y \times B}$ ,  $\Psi \in \mathbb{R}^{X \times Y}$ ; **Output:**  $F \in \mathbb{R}^{X \times Y \times B}$
2. Select single band image  $L_i \in L$ , where  $i = 1, \dots, B$ .
3. Compute equalized reference image  $\Psi_i$  for  $L_i$ .
4. Apply fast discrete curvelet transform (FDCT) on  $L_i$  and  $\Psi_i$ .

$$L_c = \{C(L_i), D_{1,l}(L_i), \dots, D_{7,l}(L_i)\}$$

$$H_c = \{C(\Psi_i), D_{1,l}(\Psi_i), \dots, D_{7,l}(\Psi_i)\}$$

where,  $L_c$  and  $H_c$  are sets of curvelet coefficients of low-resolution band image  $L_i$  and sharp dark-field image  $\Psi_i$  respectively. These coefficients are composed of coarse coefficients  $C(I)$  and detail coefficients  $D_{j,l}(I)$  of image  $I$  at scale  $2^{-j}$  and orientation  $l$ .

5. Generate curvelet coefficients for fused image i.e.  $F_c = \{C(F_i), D_{1,l}(F_i), \dots, D_{7,l}(F_i)\}$  using following fusion rules for coarse and detail coefficients.

- (a) coarse coefficients from  $L_c$  are kept as it is in the fused image

$$C(F_i) = C(L_i)$$

- (b) detail coefficients from  $L_c$  and  $H_c$  are fused using local magnitude ratio (LMR) criteria<sup>34</sup>

$$D_{j,l}(F_i(x,y)) = \begin{cases} D_{j,l}(L_i(x,y)) & \text{if } LMR_{j,l}(x,y) > 1 \\ D_{j,l}(\Psi_i(x,y)) & \text{if } LMR_{j,l}(x,y) \leq 1 \end{cases}$$

Here,  $D_{j,l}(F_i(x,y))$  are detail coefficients at scale  $2^{-j}$  and orientation  $l$  for fused image  $F$  at spatial location  $(x,y)$  and  $LMR$  is computed using equation 5.

6. Apply inverse FDCT on fused coefficients  $F_c$  to reconstruct the fusion band image  $F_i$ .
7. Append fused band image  $F_i$  to generate HS image  $F$
8. Repeat step 2 to 7 for  $i = 1, \dots, B$ .

Band images of tissue cores from breast TMA AMS 802 are presented in Figure 4, which demonstrates sharpening of the raw FTIR data by fusing high spatial frequency features from the dark-field images of the same cores. Both curvelet and PCA based algorithms sharpen high-frequency features, like fibrous textures or the lining of epithelial cells in lobules. Arrows in the top row point at the fibrous texture in the stromal area, and arrows in the second and third rows (from the top) point to epithelial cells in the terminal duct lobular units (TDLUs) and terminal ducts respectively. Visual inspection of the sharpened images using curvelet based sharpening establishes the improvement in spatial quality as compared to the raw FTIR images. The curvelet-based algorithm also increases spectral localization and avoids adding spectral artifacts during sharpening. However, PCA sharpening fuses spatial details in the raw FTIR data at the cost of greater spectral distortion. Red arrows in PCA sharpened images indicate the loss of spectral information in the fused images due to dominating

spatial features from the dark-field images.

We used three quantitative metrics to evaluate spectral quality which capture distinct characteristics, namely *spectral angle mapper* (SAM),<sup>40</sup> *histological classification performance metric area under ROC curve* (AUC) and *classification accuracy* (CA). SAM quantifies the mean angular distance between pixels in a fused image with corresponding pixels in an upscaled raw FTIR image as defined per equation 6 below. SAM values range from (-90, 90) degrees with 0 degree being optimal.

$$\text{SAM}(\mathbf{f}_i, \mathbf{l}_i) = \arccos \left( \frac{\langle \mathbf{f}_i, \mathbf{s}_i \rangle}{\|\mathbf{f}_i\| \|\mathbf{s}_i\|} \right), \quad (6)$$

where  $\mathbf{f}_i$  and  $\mathbf{l}_i$  are tissue spectra from fused image  $\mathbf{F}$  and upsampled FTIR image  $\mathbf{L}$ .

Table 1 Quantitative analysis of image sharpening (breast tissue)

	SAM	AUC	Classification Accuracy
raw	0	0.9831	92%
PCA	2.94	0.9762	91.2%
curvelet	<b>2.22</b>	<b>0.9984</b>	<b>96.7%</b>

Table 2 Quantitative analysis of image sharpening (ovarian tissue)

	SAM	AUC	Classification Accuracy
raw	0	0.9581	91.6%
PCA	1.34	0.9151	89.3%
curvelet	<b>1.21</b>	<b>0.9873</b>	<b>97.6%</b>

Metrics presented in table 1 are computed from 10 tissue cores of 10 different patients at varying stages of cancer. The quantitative results match qualitative image sharpening trends (Figure 4). SAM is typically computed with respect to the ground truth image equation 6. As we cannot directly measure high-resolution HS ground truth images, we estimate SAM using sharpened images with respect to upsampled raw FTIR. SAM values closer to 0 indicate less spectral distortion. Table 1 indicates the average SAM of the proposed curvelet based sharpening algorithm (2.22) is smaller than that for the PCA sharpening algorithm (2.94) for breast tissue cores.

We validated the quality of sharpened (fused) images by analyzing the effect of sharpening on classification performance for histological classes of interest. We were interested in accurate classification of epithelial cells, which are implicated in breast tissue carcinoma. We performed classification of raw FTIR and sharpened HS images for two histology classes: epithelium (green) and stroma (blue) (Figure 5). We used a binary SVM classifier using 12 optimal features selected by the GA-LDA feature selection algorithm<sup>39</sup> and evaluated classification results using two metrics, namely area under the ROC curve (AUC) and classification accuracy. The corresponding results are presented in table 1. The curvelet sharpened images have 1.5% higher AUC and 4.7% higher classification accuracy over the raw FTIR images whereas PCA sharpened images has 0.5% lower AUC and 0.8% lower classification accuracy. The proposed curvelet algorithm

demonstrates superior spectral fidelity compared to PCA because it minimizes spectral distortions.

We assessed the reliability of sharpening results by classifying key histology classes. Raw FTIR images 5(a), PCA sharpened images 5(b), and curvelet sharpened images 5(c) were annotated for two histological classes: epithelium (green) and stroma (blue) using H&E stained adjacent section (5(e)) as the ground truth. A visual comparison of classification images from raw FTIR data 5(f) and curvelet sharpened data 5(h), shows that the 5(h) corresponds more closely to the H&E, especially around the TDLU region as shown in the magnified insets. Several stromal pixels in PCA sharpened images 5(g) are incorrectly classified as epithelial cells due to spectral distortions induced during image sharpening. Our curvelet-based sharpening improves both the sensitivity of epithelial cells and the localization of the cells with sharp edges for lobules that helps precise grading of carcinoma. Misclassified pixels are illustrated in figure 6 in red. The curvelet based image 6(d) has fewer misclassified pixels than the raw FTIR image 6(b) and PCA based sharpened image 6(c).

We performed similar extensive analysis for ovarian cancer TMAs (Figure 7 and 8) and observed similar improvements as seen in for breast TMAs. The results are based on total 337982 spectra (149954 for training and 188028 for testing) from 10 tissue cores from different patients at varying stages of cancers. Training and testing spectra are taken from mutually exclusive tissue cores. By testing on spectra from mutually exclusive tissue cores which are measured at different times we have considered spectral measurement uncertainties for imaging settings mentioned here. For this independent dataset, the curvelet sharpened images have 3.0% higher AUC and 6% higher classification accuracy over the raw FTIR images whereas PCA sharpened images have 4.5% lower AUC and 2.4% lower classification accuracy than the raw FTIR images.

Figure 9 compares our sharpened results with O-PTIR (mI-Rage), showing sharpened images of eight ovarian cores from TMA BC11115c. Epithelial cells, stromal cells, adipocytes and lymphocytes are compared with O-PTIR images at the Amide I band ( $1650\text{cm}^{-1}$ ). Qualitative comparison of sharpened images with O-PTIR images indicates that spatial resolution achieved by proposed multi-modal fusion is between FTIR and O-PTIR with spatial details comparable to the optical photothermal imaging.

## 5 Discussion

The goal of this study was to develop a fast, clinically viable method to enhance image quality by sharpening spatial features in a diffraction-limited mid-IR HS image while preserving spectral fidelity. The proposed multi-modal fusion method requires minimal sample preparation and can fit into a clinical workflow seamlessly. We used rapid, label-free microscopy to augment spatial details in the data from FTIR imaging. Also, being unsupervised, the curvelet based sharpening eliminates the need for super-resolved ground truth images. The results of the proposed image sharpening method are robust and reliable as well as are generally applicable for different tissue types.

The proposed fusion method allows FTIR imaging at larger pixel sizes, thus reducing data collection time. Dark-field mi-

1 microscopy used in this study is faster than FTIR imaging, therefore,  
2 data fusion is a practical solution for improving image quality  
3 without increasing data collection time. It takes  $\approx 120$  minutes  
4 to image one tissue core of 1 mm with FTIR in high-definition  
5 (HD) mode (pixels size  $1.1\ \mu\text{m}$ ) with 16 co-adds,  $\approx 3$  minutes for  
6 the same tissue core with FTIR in SD mode (pixels size  $5.5\ \mu\text{m}$ ),  
7 and  $\approx 40$  sec with dark-field microscope. The curvelet transform  
8 is implemented using MATLAB and sharpening of a single band  
9 image of one tissue core (1059 pixels x 1069 pixels) takes around  
10 6 seconds on a system with 24GB of physical memory and 0.24  
11 seconds with 256GB of physical memory. The proposed fusion  
12 method enables roughly  $35\times$  faster imaging than the HD mode  
13 in FTIR. Data acquisition with larger pixel sizes followed by the  
14 application of our algorithm can potentially provide high resolu-  
15 tion data with lower collection time and will be explored in the  
16 future. Our current instrument limitations have allowed for two  
17 pixels sizes:  $5.5\ \mu\text{m}$  (SD imaging mode) and  $1.1\ \mu\text{m}$  (HD imaging  
18 mode).  
19

20 Multi-modal fusion algorithms for image sharpening (pan-  
21 sharpening) can either be supervised or unsupervised. Super-  
22 vised algorithms require super-resolved ground truth images to  
23 find optimization parameters, whereas unsupervised algorithms  
24 do not have this requirement. Obtaining super-resolved ground  
25 truth in FTIR imaging is challenging because of the diffraction  
26 limit. Recent methods<sup>25</sup> for supervised image sharpening have  
27 relied on H&E images as a substitute for super-resolved ground  
28 truth FTIR data. Since H&E images do not contain spectroscopic  
29 information, the algorithm can potentially distort spectral quality  
30 in FTIR data by adding wavenumber dependent spatial artifacts  
31 to each band image. Our unsupervised method overcomes the  
32 challenges encountered by the supervised methods as it does not  
33 require super-resolved ground truth images.

34 In building our classifiers, we performed training and valida-  
35 tion on mutually exclusive data in both breast and ovarian cancer  
36 TMAs accommodating patient-to-patient variations. Independently  
37 processed breast and ovarian tissue TMAs with a diverse  
38 array of tissues with varying grades of cancer from different pa-  
39 tients reinforces the robustness of our results.

40 We used two validation metrics Area under ROC curve (AUC)  
41 and classification accuracy to evaluate improvement in image  
42 quality after sharpening. An ROC curve is a plot of false positive  
43 rate vs true positive rate at different thresholds from 0 to 1 for  
44 a binary classifier. Higher AUC indicates better classification per-  
45 formance at different thresholds. Overall classification accuracy  
46 is a measure of classification performance at an optimal thresh-  
47 old. While quantitative metrics, area under ROC curve (AUC) and  
48 classification accuracy, for classification from tables 1 and 2 mea-  
49 sure spectral quality of annotated pixels, a qualitative analysis of  
50 classification images in breast (figure 5) and ovarian tissue cores  
51 (figure 8) helps evaluate spectral fidelity at both annotated and  
52 unlabeled pixels, especially in regions important to cancer diag-  
53 nosis. Qualitative analysis is especially important for the tissue re-  
54 gions with mixed pixels where annotation is challenging. There-  
55 fore, we have used a combination of both quantitative and quali-  
56 tative analyses to evaluate the fidelity of the proposed technique.  
57 The superior qualitative and quantitative results demonstrate util-

ity and efficacy of the proposed curvelet based multi-modal fusion  
method for image sharpening. Both quantitative and qualitative  
results presented above indicate that image sharpening improves  
sensitivity and specificity of histological classes, providing a more  
accurate assessment of the spread of cancer cells in the tissue and  
in turn facilitates improved understanding of disease prognosis.

We further evaluated image sharpening results by comparing  
sharpened data with data from O-PTIR images as it provides di-  
rect super-resolved images derived from molecular absorbance,  
which is the same intrinsic parameter measured by FTIR. How-  
ever, as mechanism for measuring molecular absorbance by O-  
PTIR is different from FTIR, their absorbance values are not ex-  
pected to directly match. We therefore compared our results by vi-  
sual inspection on individual band images from both the datasets.

Higher spectral resolution would increase the computational  
cost due to an increase in the number of bands. Since the curvelet  
based fusion operates on individual bands, it allows sharpening  
of only specific bands based on histological classes of interest.  
Image sharpening of selected bands reduces the computational  
time and storage requirement of sharpening the entire HS image  
with hundreds of bands. This advantage of the proposed method  
also enables selective enhancement of histological-class-specific  
morphological features by fusing with other specialized imaging  
modalities such as second harmonic generation (SHG) imaging  
for collagen fibers.<sup>41,42</sup>

The proposed technique has limitations when the sample under  
examination has no contrast under a dark field microscope, but  
consists of chemically distinct species that FTIR imaging recog-  
nizes. Here, the algorithm would retain the chemical sensitivity  
of FTIR imaging but would not improve spatial resolution. For  
such samples, a different technique (auto-fluorescence, Raman,  
etc.) which can provide contrast can be used as a substitute for  
dark-field images. We believe that our algorithm would also work  
for such image fusion.

## 6 Conclusion

We describe a novel technique to sharpen diffraction-limited  
FTIR images and demonstrate improvement in data quality using  
breast and ovarian cancer tissues. The proposed curvelet based  
multi-modal fusion technique fuses spatial information from the  
dark-field images into FTIR hyperspectral images. Each imaging  
modality contributes complementary information about the sam-  
ple, therefore, resulting image has the best spatial and spectral  
information. Also, the curvelet based sharpening is suitable for  
biomedical images containing curved discontinuities. The pro-  
posed technique is both a fast and cost-effective way of enhancing  
spatial-spectral quality that improves sensitivity and specificity of  
histological classes deemed important for accurately grading the  
spread of cancer cells for cancer prognosis.

## 7 Author contributions

R.M., R.R., D.M., S.P. conceived and planned the experiments.  
R.M. and C.C.G. collected data. R.M., implemented the algorithm,  
labeled data and carried out experiments. F.F., and S.P., con-  
tributed in algorithm implementation. R.M., R.R., D.M. analyzed  
results and prepared the manuscript.

## Conflicts of interest

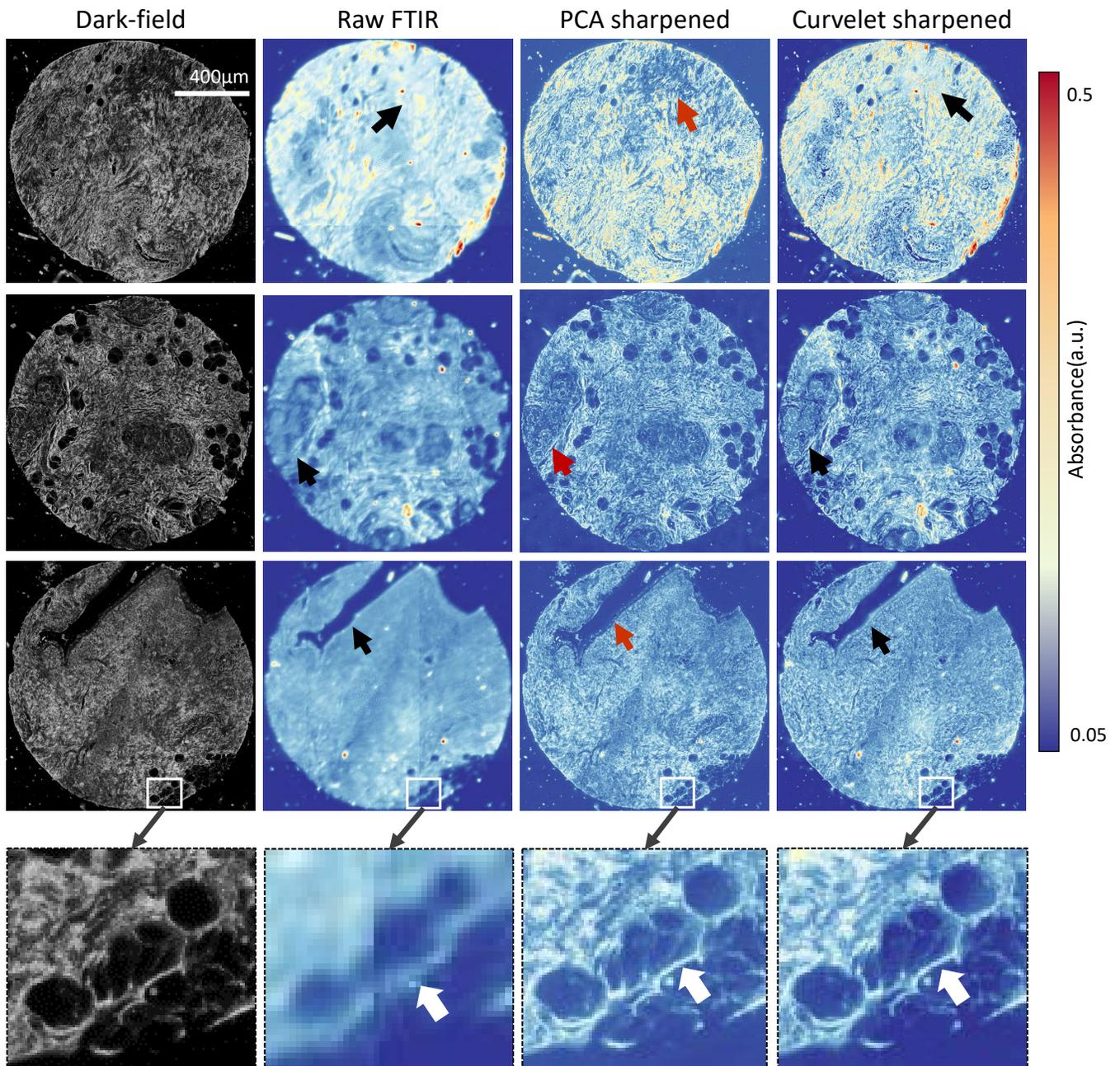
There are no conflicts to declare.

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49 Fig. 4 Multi-modal imaging for improving the spatial resolution of FTIR hyperspectral images using dark field images. The increase in spatial details  
50 is observed by comparing raw FTIR images of breast tissue cores with the PCA sharpened images and the curvelet sharpened images at wavenumber  
51  $1650\text{cm}^{-1}$ . PCA based sharpening adds spatial details at the cost of spectral information (red arrows). Whereas, proposed curvelet based sharpening  
52 can enhance spatial detail while maintaining spectral mapping (black arrows).

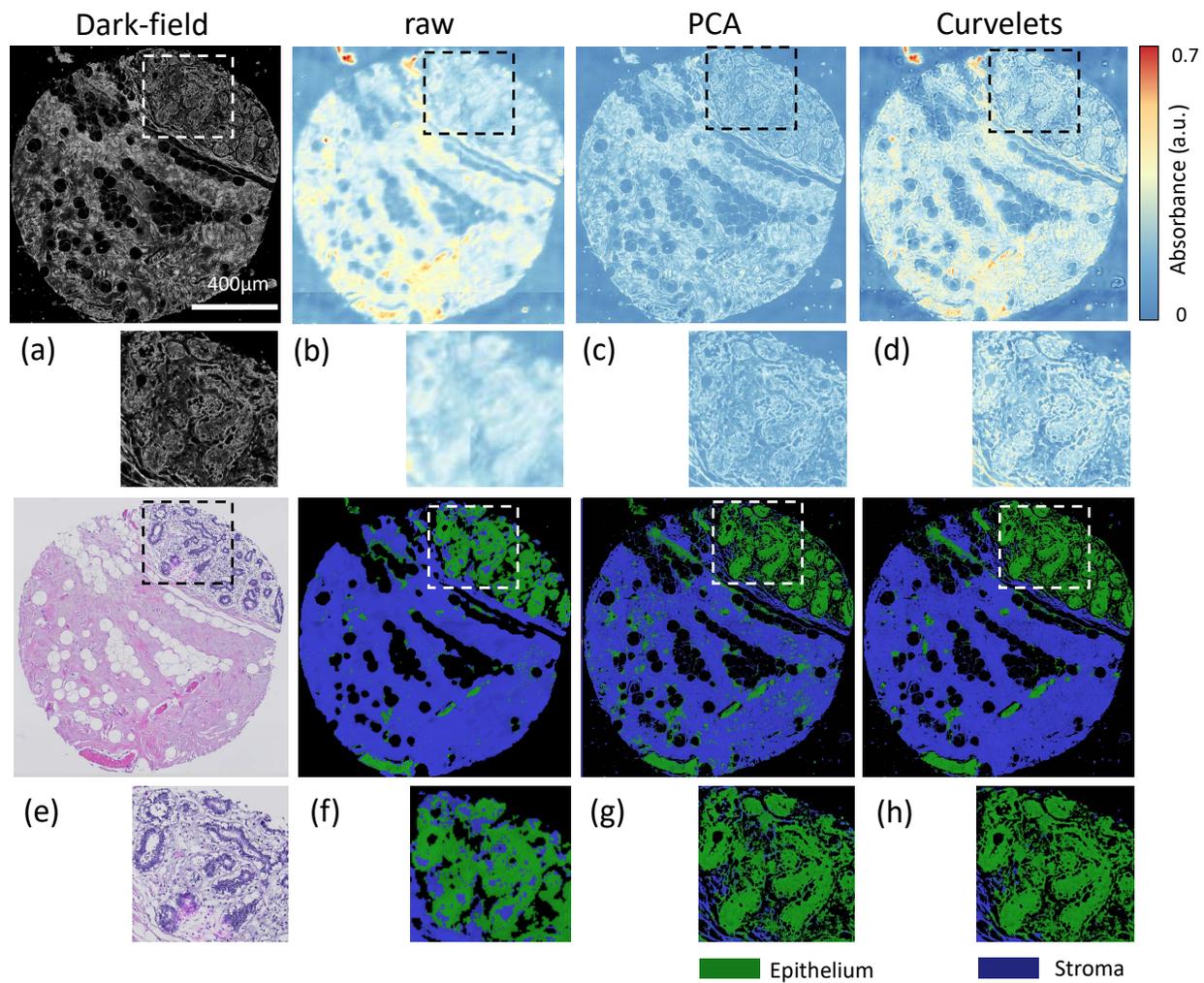


Fig. 5 Multi-modal image sharpening using PCA and curvelet based algorithm validated by classifying sharpened breast tissue cores into two histological classes: epithelium (green) and stroma (blue). Here, first row shows (a) dark-field image and band images at  $1650\text{cm}^{-1}$  wavenumber from (b) raw FTIR image, (c) pca based sharpened image and (d) curvelet based sharpened image. Second row shows (e) adjacent section from same breast core stained with hematoxilin and eosin and classified images for (f) raw data (g) pca sharpened data and (h) curvelet sharpened data.

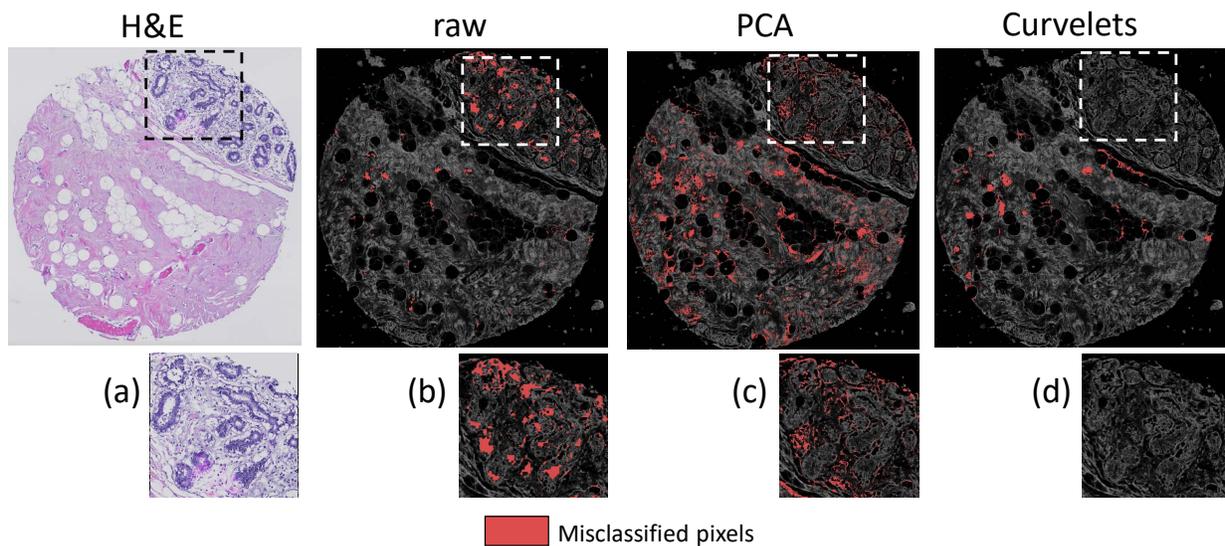
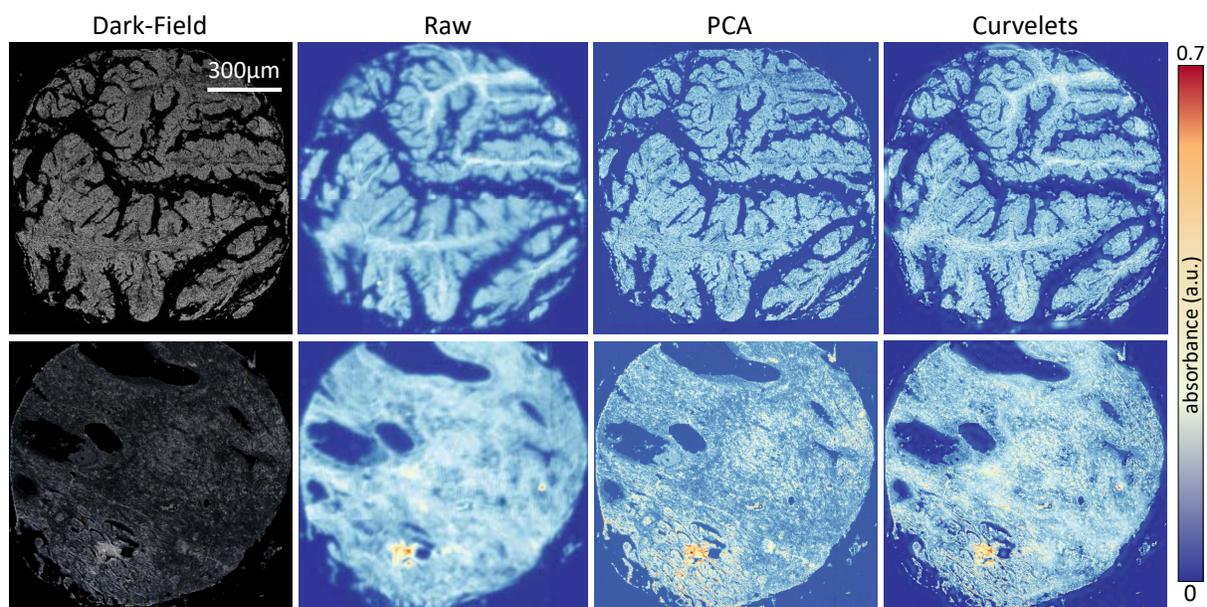
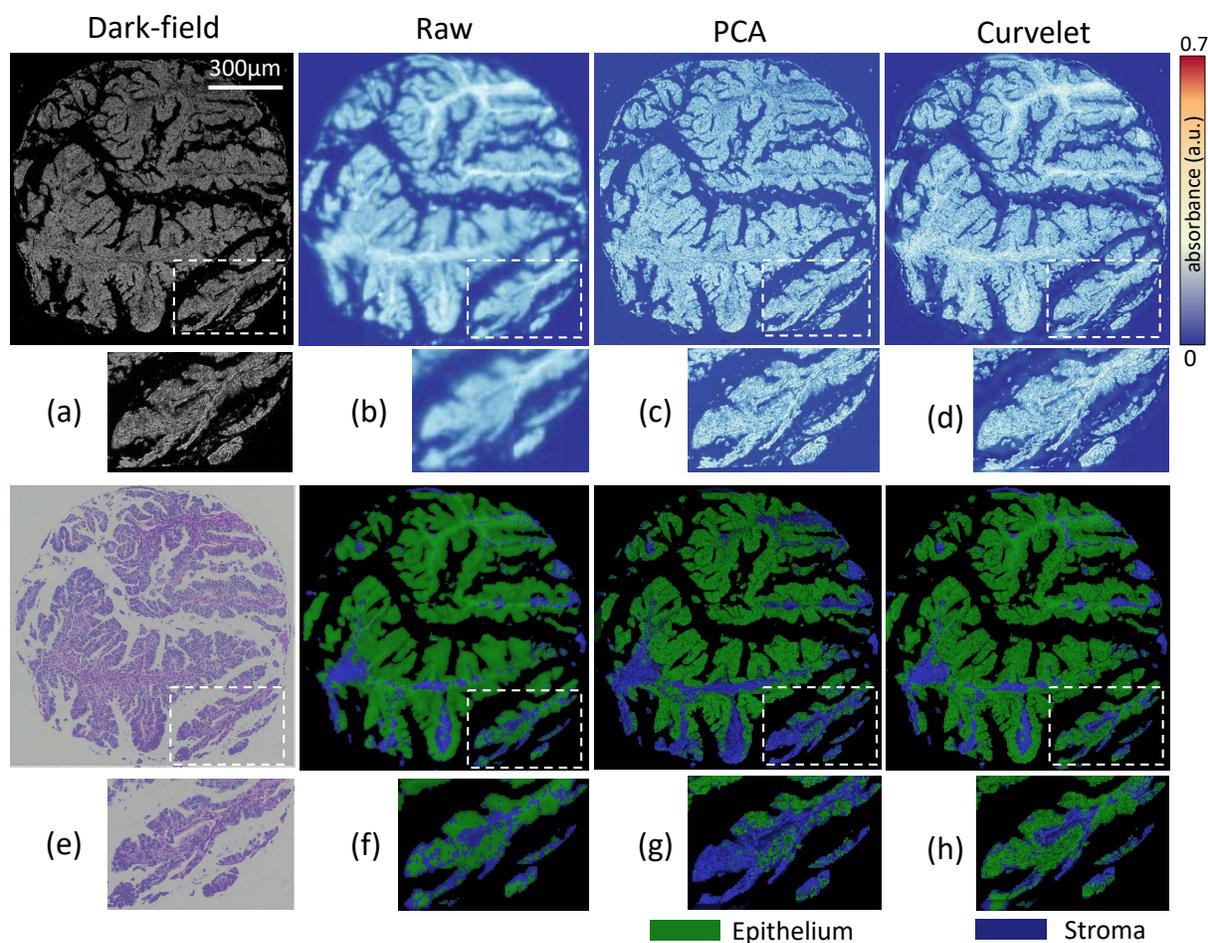


Fig. 6 Effects of image sharpening on classification. (a) H&E stained tissue for ground truth and incorrectly classified pixels are highlighted in red for classification results on: (b) raw FTIR image, (c) PCA based sharpened image and (d) curvelet based sharpened image.



21 Fig. 7 curvelet based image sharpening with multi-modal imaging is demonstrated using ovarian tissue cores from ovarian TMA BC11115c. Spatial  
22 information from dark-field images (left) of ovarian tissue cores is fused to raw FTIR images (middle) used to achieve leveraged spatial resolution in  
23 sharpened images (right)



54  
55 Fig. 8 Qualitative analysis of multi-modal image sharpening using PCA and curvelet based algorithm. Top row: (a) dark-field image, band images at  
56  $1650\text{cm}^{-1}$  wavenumber for (b) raw FTIR image, (c) PCA based sharpened image, and (d) curvelet based sharpened image for the same core. Bottom  
57 row: (e) adjacent tissue section stained with hematoxylin and eosin, classified images for (f) raw FTIR image, (g) PCA sharpened image, and (h)  
58 curvelet sharpened image which are classified into epithelium (green) and stroma (blue).  
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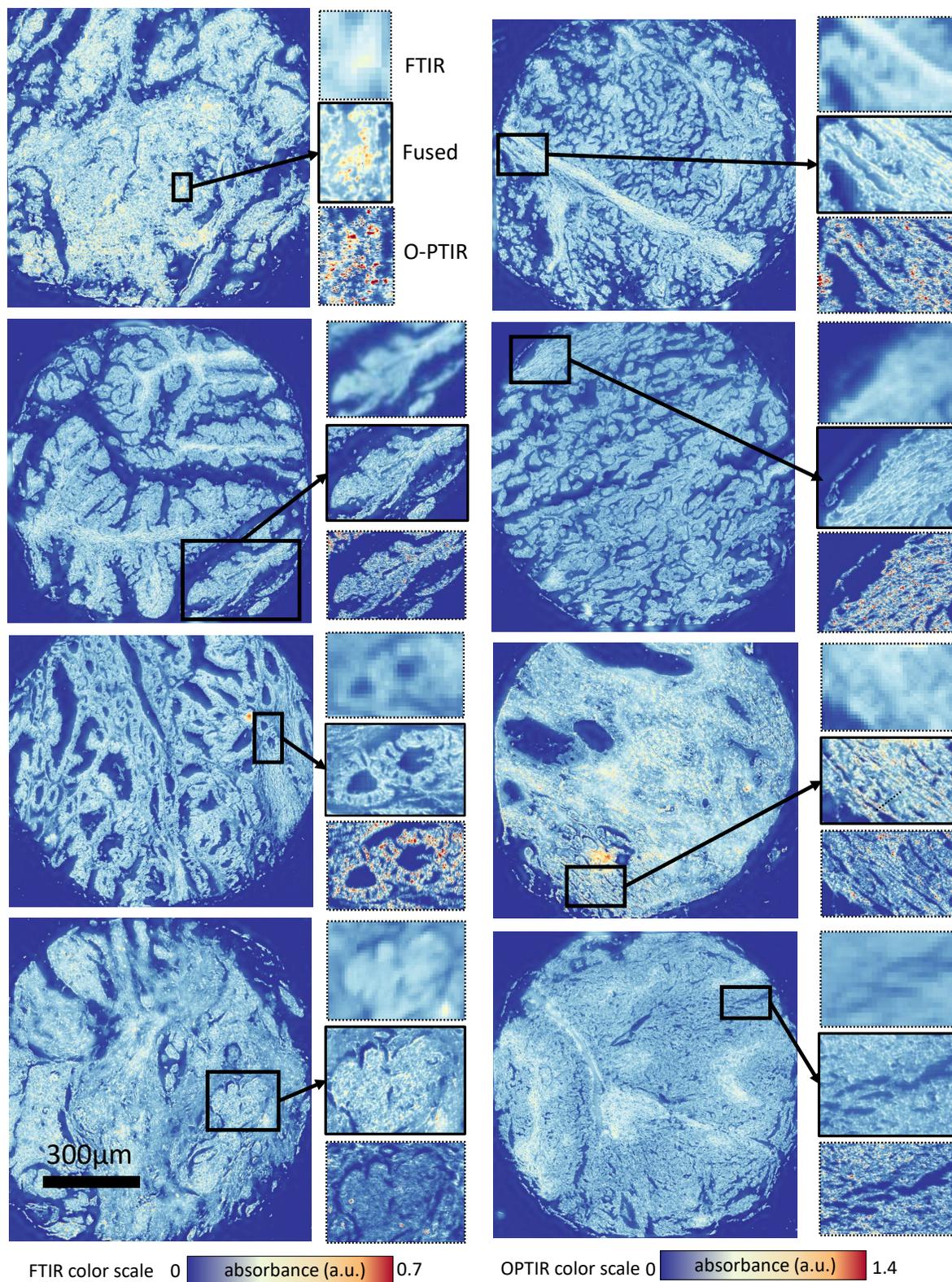


Fig. 9 Image sharpening results on eight ovarian cores from TMA BC11115c with O-PTIR imaging at amide I band ( $1650\text{cm}^{-1}$ ). On the right side of each sharpened (fused) ovarian core image, insets of high frequency features(middle) is compared with raw FTIR (top), and O-PTIR (bottom) images.