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Study of conditions for streamlined assembly of a model bacteriochlorophyll from two dihydrodipyrin halves

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3 **Study of conditions for streamlined assembly of a model bacteriochlorophyll**
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6 **from two dihydrodipyrin halves**
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27 Knoevenagel condensation followed by double-ring closure (Nazarov cyclization, electrophilic
28 aromatic substitution, and elimination of methanol) and optional zinc insertion afford
29 bacteriochlorophyll model compounds.
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Abstract

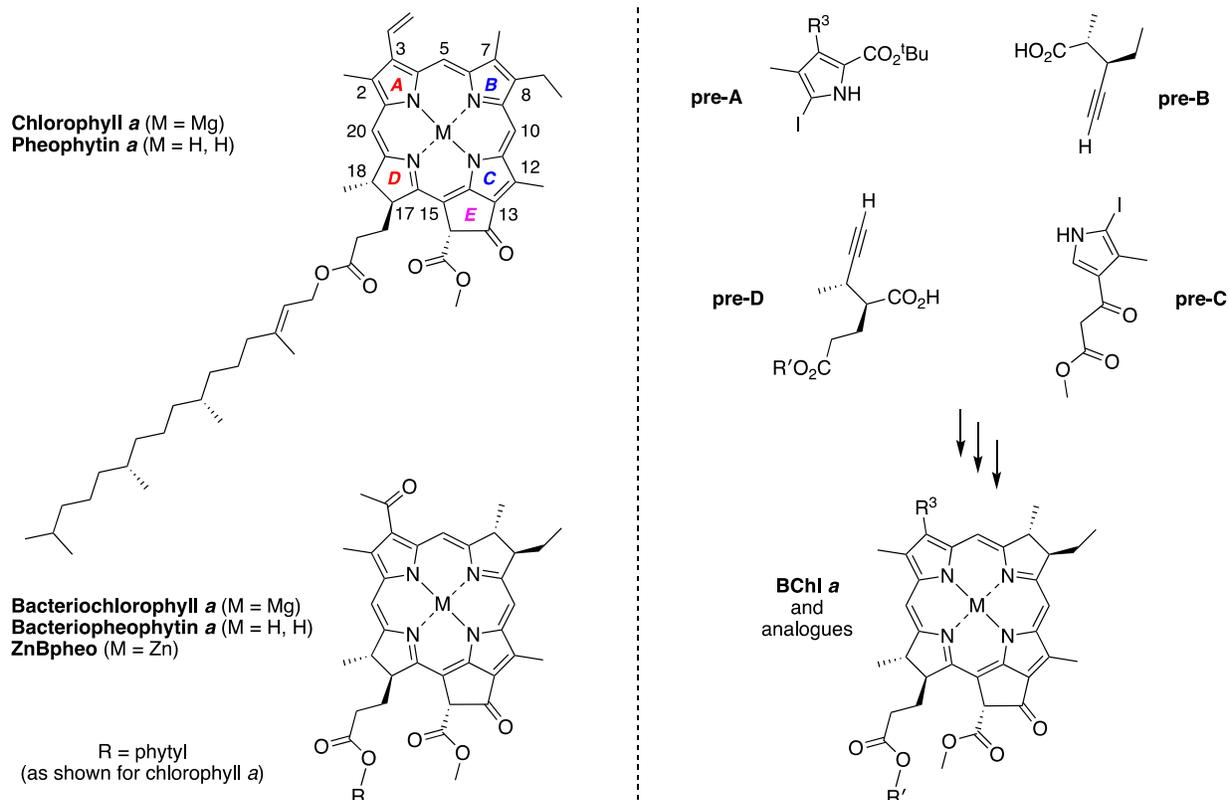
A long-term goal is to gain synthetic access to native photosynthetic bacteriochlorophylls. A recently developed route entails Knoevenagel condensation of an AD dihydrodipyrin (**I**, bearing a carboxaldehyde attached to pyrroline ring D) and a BC dihydrodipyrin (**II**, bearing a β -ketoester attached to pyrrole ring C) to form the *Z/E*-enone. Acid-mediated double-ring closure of the *E*-enone **III-E** (Nazarov cyclization, electrophilic aromatic substitution, and elimination of methanol) affords the bacteriochlorophyll skeleton **BC-1** containing the isocyclic ring (E), a *trans*-dialkyl group in ring D, and a gem-dimethyl group in ring B. Prior work established the synthesis and the integrity of the resulting *trans*-dialkyl groups and bacteriochlorin chromophore. The counterpart report here concerns an in-depth study of conditions for the double-ring closure: catalyst/solvent surveys; grid search including time courses of **III-E** versus acid concentrations emphasizing *equimolar*, *inverse molar*, and *variable acid* lines of inquiry; and chlorin byproduct quantitation. Key findings are that (1) the double-ring closure can be carried out in 4 h ($t_{1/2} \sim 40$ min) instead of 20 h, affording $\sim 1/5^{\text{th}}$ the chlorin byproduct (0.16%) while maintaining the yield of **BC-1** (up to 77%); (2) the *Z/E*-enones of **III** have comparable reactivity; (3) sub-stoichiometric quantities of acid are ineffective; (4) the Knoevenagel condensation (40 mM, room temperature, piperidine/acetic acid in acetonitrile) and the acid-mediated double-ring closure (0.20 mM, 80 °C, Yb(OTf)₃ in acetonitrile) can be carried out in a two-step process; and (5) zinc insertion to form **ZnBC-1** is straightforward. Together, the results enable streamlined conversion of dihydrodipyrin reactants to the bacteriochlorophyll model compounds.

Introduction

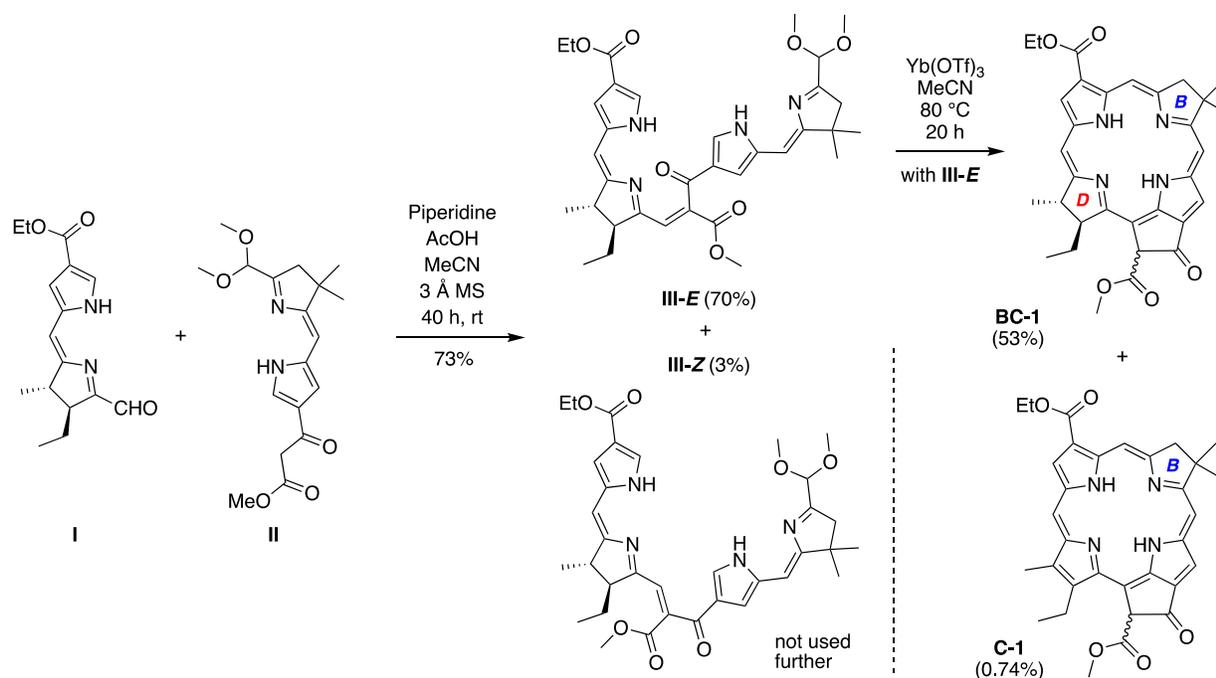
Chlorophyll *a* and bacteriochlorophyll *a* are the predominant members of the native photosynthetic tetrapyrrole macrocycles (Scheme 1, left).¹ *De novo* synthetic efforts toward (bacterio)chlorophylls apparently have been little pursued.² A key challenge is to install the *trans*-dialkyl substituents in each pyrroline ring: rings B and D of bacteriochlorophylls, ring D of chlorophylls. We recently outlined a conceptual path toward the native macrocycles that installs the stereodefined substituents at a very early stage of the synthesis (Scheme 1, right), and have begun developing the requisite methodology to realize the path.³ The advantage of an early installation of stereodefined substituents is the ability to rely on well-established methodology for asymmetric synthesis. A companion challenge is to carry the stereodefined, *trans*-dialkyl group(s) through multiple transformations without epimerization or dehydrogenation. Epimerization would obviously cause loss of stereochemistry, which while unattractive, might be suffered through depending on the scientific objective. Dehydrogenation, on the other hand, would cause intolerable loss of the target chromophore, providing a porphyrin or chlorin instead of a bacteriochlorin, or a porphyrin instead of a chlorin.³

The feasibility of the strategy toward native bacteriochlorophylls was explored very recently by synthesis of a model bacteriochlorophyll compound. The model bacteriochlorophyll is a free base ligand and contains *trans*-dialkyl substituents in ring D but a gem-dimethyl group in ring B (Scheme 2).³ The inclusion of stereodefined substituents in a single pyrroline ring enabled sharp focus on precursor stability and stereochemical outcome. The synthesis relies on joining two dihydrodipyrrens, an AD half and a BC half. The AD half (**I**) bears a carboxaldehyde whereas the BC half (**II**) bears β -ketoester and 1,1-dimethoxymethyl substituents. Knoevenagel condensation of the respective halves yields *Z* and *E*-enones, which were separated by chromatography. The more abundant, *E*-enone (**III-E**), was carried forward in a process that

entails Nazarov cyclization (forming the isocyclic ring, ring E), electrophilic aromatic substitution ($S_{E}Ar$, forming the macrocycle), and elimination of methanol (giving the aromatic system). Examination of the crude reaction mixture by fluorescence spectroscopy prior to purification showed the presence of a small amount (0.74%) of chlorin byproduct (putative **C-1**, by dehydrogenation of ring D) accompanying bacteriochlorin **BC-1** (53% yield) as assessed by independent synthesis of chlorin **C-1**.³ Formation of chlorins while handling bacteriochlorins is a well-known phenomenon that was first identified more than 50 years ago.⁴ The product **BC-1** (devoid of chlorin impurity) was isolated as a 7:1 mixture of epimers owing to the configuration at the 13²-position (site of attachment of the methoxycarbonyl group). The presence of such diastereomers is well established for chlorophylls but much less so for bacteriochlorophylls.⁵⁻⁹ The dominant epimer has the *trans-trans* configuration proceeding along the 17-18-13² positions.



Scheme 1. Native hydroporphyrins (left) and proposed route to bacteriochlorophyll *a* and analogues (right).



26 **Scheme 2.** Synthesis of model bacteriochlorophyll **BC-1**.³

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In the synthesis of **BC-1**, the Knoevenagel reaction was carried out with 38 mM and 48 mM of **I** and **II**, respectively, for 40 h at room temperature, whereas the double-ring closure of **III-E** to give **BC-1** was carried out in 200-fold more dilute solution (0.19 mM) at 80 °C for 20 h. The conditions resemble those employed for the synthesis of bacteriochlorophyll model compounds wherein both pyrroline rings contain a gem-dimethyl group.¹⁰ While the quantity of chlorin **C-1** was relatively tiny (1:71 relative to **BC-1**), given the desire to use bacteriochlorophyll model compounds in diverse photochemical studies where spectroscopic purity is at a premium, reaction conditions that further diminish the quantity of chlorin byproduct are desired. Short reaction durations (including exposure to elevated temperature and various reagents) and limited handling are generally regarded as desirable to maintain integrity of the bacteriochlorin chromophore. The vulnerable site on the macrocycle to adventitious dehydrogenation is the *trans*-dialkyl group (in ring D), whereas the gem-dimethyl group (in ring B) lacks such susceptibility;¹¹ indeed, **BC-1** was

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3 designed as a test case where any occurrence of dehydrogenation was localized to a single site to
4 enable scrutiny and quantitation as needed for evaluation of the synthetic route.
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8 The prior work focused on the fidelity of the formation of the *trans*-dialkyl-substituted
9 dihydrodipyrin (**I**) and conversion to the corresponding bacteriochlorin chromophore with intact
10 stereochemical integrity of the ring-D substituents. The counterpart studies reported herein
11 concern an in-depth examination of reaction conditions. In particular, we sought to address a
12 handful of questions: (1) Are there suitable catalysis conditions for the double-ring closure that
13 enable reactions at lower temperature and/or shorter reaction time while affording a cleaner
14 product (e.g., diminished quantity of chlorin byproduct)? (2) Does the small amount of
15 dehydrogenation observed (giving the chlorin in the bacteriochlorin crude product) originate at
16 some point along the path to **I**, where detection is difficult, or in the double-ring closure of **III**?
17 (3) For preparations at increased scale, are there conditions that enable use of concentrations of
18 the enone **III** that are >0.19 mM? (4) Do the E and Z enones react similarly, thereby obviating
19 chromatographic separation? (5) Can the conversion of **I** and **II** to form the bacteriochlorin –
20 presently relying on purification of the intermediate enone isomers – be conducted in a sequential
21 process without purification? (6) Does formation of the zinc chelate alter the ratio of epimers that
22 arise from the configuration of the 13²-carbomethoxy group? In this paper, we report studies that
23 address the aforementioned questions. The results enable a streamlined conversion of **I** and **II** to
24 form **BC-1** in shorter time and greater purity, support metalation to give the zinc chelate thereof,
25 **ZnBC-1**, and should establish a foundation for syntheses of the native macrocycles.
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50 **Results and Discussion**

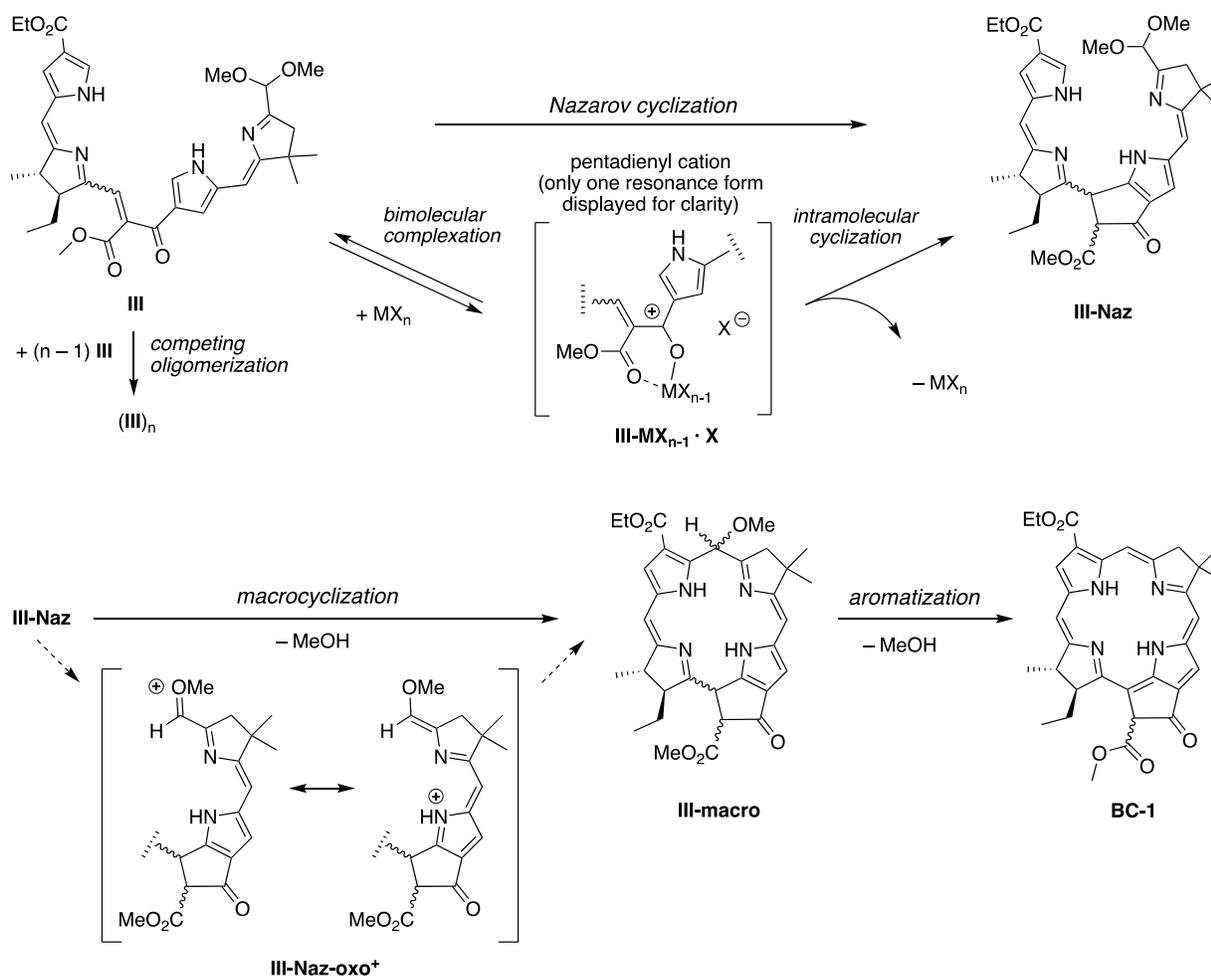
51 **I. Reconnaissance**

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What reaction conditions should be used for the conversion of the enone **III** to form the bacteriochlorin? The conversion of enone **III-E** to bacteriochlorin **BC-1** entails S_EAr to form the

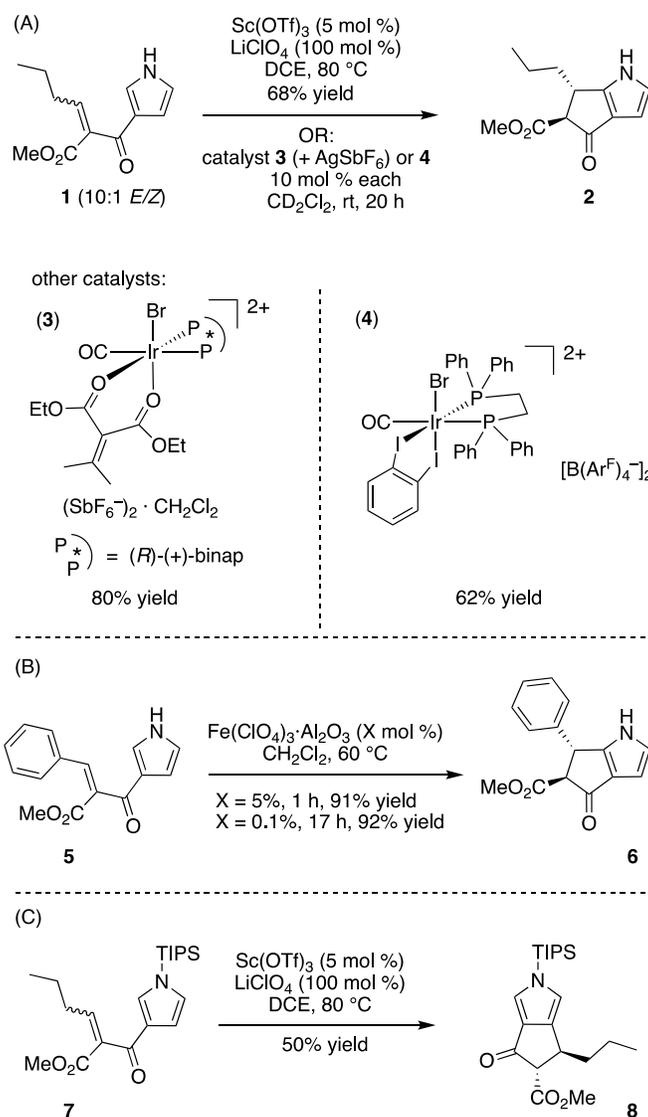
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macrocyclic, Nazarov cyclization to form the isocyclic ring, and elimination of methanol to create the aromatic π system. The acid catalysis conditions chosen for this process must suffice for all three reactions; poor catalysis in any one of the three reactions would crimp the yield of the bacteriochlorin product (**BC-1**). The order of the three reactions is not known, but if methanol elimination is the third step, as would seem likely, then the only unknown is the order of the Nazarov cyclization and S_{EAr} process. The three steps are shown in Scheme 3, with the Nazarov cyclization preceding the S_{EAr} process. In this section, the reactions are discussed to highlight considerations that impinge on the choice of catalysts and conditions for the overall transformation.



Scheme 3. Possible steps in the double-ring closure of **III** to give **BC-1**.

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6 The Nazarov cyclization finds growing use in heterocyclic chemistry¹²⁻¹⁴ including with
7 pyrroles, but only rarely with pyrroles is the propenoyl group at the 3-position^{3,10,15-18} (versus the
8 more popular 2-position). The Nazarov cyclization proceeds via formation of a pentadienyl cation,
9 which is achieved by complexation of the β -ketoester with a Lewis acid, typically a rare earth.
10 The reaction conditions generally employ a high concentration (e.g., 0.1–0.3 M) of the substrate
11 and a catalytic quantity of acid.^{15,17,19} The known examples with simple pyrroles are shown in
12 Scheme 4. First, Frontier and coworkers carried out the Nazarov cyclization of **1** (0.3 M) in the
13 presence of Sc(OTf)₃ (15 mM) to obtain the Nazarov product **2** in 68% yield (panel A).¹⁵ The
14 reaction of **1** (0.13 M) also was carried out in CD₂Cl₂ at room temperature in the presence of
15 iridium catalyst **3** (13 mM) and AgSbF₆ (13 mM) for 20 h to afford **2** in 80% isolated yield.¹⁷
16 Identical reaction in the presence of iridium catalyst **4** alone afforded **2** in 62% yield. Second,
17 Itoh and coworkers carried out the reaction of **5** (0.5 M) with the catalyst Fe(ClO₄)₃·Al₂O₃ in
18 quantities of 5 or 0.1 mol% (25 or 0.5 mM) and obtained **6** in isolated yields of 91 or 92%,
19 respectively (panel B).¹⁶ And third, a pyrrole bearing a sterically encumbered substituent at the
20 nitrogen atom (**7**) underwent Nazarov cyclization to give the 3,4-annulated product **8** (panel C),¹⁵
21 which illustrates that reaction can occur at both the 2- and 4-positions of a pyrrole.
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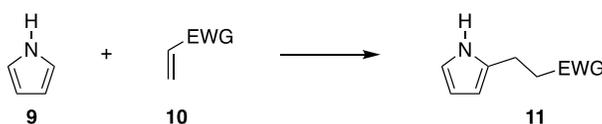


Scheme 4. Nazarov reactions with 3-substituted pyrroles.

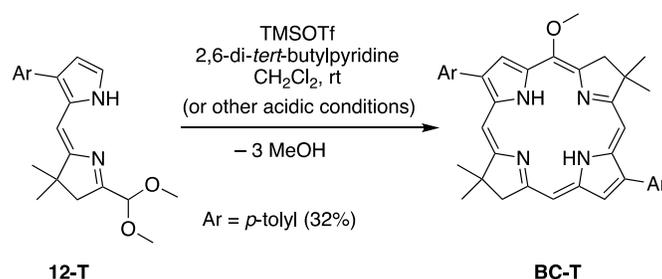
Here, high concentrations of **III** are not feasible due to limited solubility as well as possible competing intermolecular reactions. The general phenomenon of competition between cyclization (intramolecular) and addition (intermolecular) is well known.²⁰ There are at least two plausible types of intermolecular reactions with **III** under acid-catalysis conditions to yield oligomers denoted by (**III**)_n in Scheme 3: acetal + pyrrole condensation, and enone + pyrrole (Michael) addition. (1) The reaction of the pyrrole of one molecule of **III** with the dimethyl acetal of a second

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3 molecule of **III** is simply the intermolecular equivalent of the macrocyclization shown in Scheme
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6 3. (2) A perhaps less obvious potential side reaction is Michael addition. The Michael addition
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8 of pyrrole (**9**) with an activated alkene (**10**) (Scheme 5, A), first reported as early as the 1950s,²¹
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10 proceeds under neutral or acid-catalyzed conditions²² to give alkylpyrroles (e.g., **11**). The reaction
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12 encompasses aryl-substituted enones.²³ For pyrrole–enone **III**, there are six possible nucleophilic
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14 sites, including four unsubstituted pyrrole carbons and two pyrrole nitrogens.
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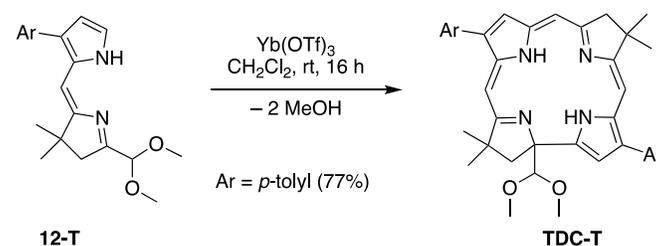
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17 (A) Michael addition with pyrrole:



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24 (B) TMSOTf catalysis affords a bacteriochlorin:



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35 (C) Yb(OTf)₃ catalysis affords a tetrahydrocorrins:



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46 **Scheme 5.** Diverse reactions potentially germane to the double-ring closure of **III** (*vide supra*).
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50 One logical solution is to first carry out the Nazarov cyclization under the best Nazarov
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52 conditions, then carry out the macrocyclization under the best macrocyclization conditions (or *vice*
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54 *versa* if the order is reversed); however, the conditions to date for the Nazarov cyclization
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56 (lanthanoids in CH₃CN, 80 °C³) are thought to be more demanding than for the macrocyclization,
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3 given that analogous macrocyclizations to form bacteriochlorins (e.g., **BC-T**) have been carried
4 out by self-condensation of a dihydrodipyrin-acetal (e.g., **12-T**) in the presence of an acid such as
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6 TMSOTf under proton-scavenging conditions (e.g., use of 2,6-di-*tert*-butylpyridine, DTBP) in
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8 CH₂Cl₂ at room temperature (Scheme 5, B).²⁴ Evidence supportive of resonance-stabilized
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10 oxocarbenium ions analogous to **III-Naz-oxo**⁺ has been obtained by studies of diverse substrates.²⁵
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12 In this case, the Nazarov and macrocyclization processes would occur sequentially or
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14 simultaneously; thus, the conversion of **III** to **BC-1** is carried out in dilute solution. To accomplish
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16 the Nazarov cyclization in dilute solution, however, may require an excess quantity of acid given
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18 the concentration-dependent binding of acid with **III** to form the pentadienyl cation.
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24 One further issue centers on the choice of acid for the Nazarov and macrocyclization
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26 processes. The Nazarov reaction of a β -ketoester-substituted pyrrole typically relies on an
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28 oxophilic catalyst such as Sc(OTf)₃ or Yb(OTf)₃. The reaction of a dihydrodipyrin-acetal (**12-T**)
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30 in the presence of Yb(OTf)₃, however, inexplicably affords the tetrahydrocorrin (e.g., **TDC-T**)
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32 instead of the desired bacteriochlorin (Scheme 5, C).²⁶ In short, the acid catalysts for the reaction
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34 of a dihydrodipyrin-acetal to give a specific macrocycle are not universally fungible.
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41 **II. Reaction Refinement**

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43 The prior conversion of **III-E** to **BC-1** was carried out in acetonitrile wherein the
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45 concentrations of **III-E** and the acid Yb(OTf)₃ were 0.19 and 1.9 mM, respectively.³ The
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47 conditions arose from a limited survey of the condensation of a gem-dimethyl-substituted analogue
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49 of enone **III-E**.¹⁰ Here, we carried out a broader survey in an effort to find conditions that afford
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51 improvement in any of a number of metrics – higher yield, lesser chlorin byproduct, faster reaction,
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53 and/or higher concentration.
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Acids, Solvents and Temperature. Reactions of **III-E** were carried out at the microscale level in various solvents containing acid catalysts. The reactions were monitored by absorption spectroscopy with measurement of the intensity of the long-wavelength absorption band of **BC-1** ($\lambda_{\text{abs}} = 749 \text{ nm}$, $\epsilon = 72,100 \text{ M}^{-1}\text{cm}^{-1}$).³ In each case, the concentration of **III-E** was 0.20 mM and the concentration of acid was 2.0 mM unless noted otherwise.

The previous reaction of **III-E** to form **BC-1** was carried out at a nominal temperature of 80 °C, which was measured external to the reaction vessel.³ Subsequent assessment of the same reaction apparatus indicates the internal temperature (of the reaction medium) was likely ~50 °C. Here, all stated temperatures refer to that of the internal reaction medium assessed by placement of a thermometer into the reaction mixture. The reactions at 80 °C (internal temperature) were achieved with an oil bath set at substantially higher temperature.

First, the reaction was examined with $\text{Yb}(\text{OTf})_3$ in solvents of polarity varying from toluene ($\epsilon = 2.38$) to acetonitrile ($\epsilon = 36.6$) at 80 °C. The results are shown in Table 1 (see Figure S1 for spectra). The yields ranged from 0–78% (entries 1–7). While the highest yield was obtained in acetonitrile (entry 7), other polar solvents such as *n*-propanol and nitromethane gave no observable product (entries 5 and 6). The reaction with $\text{Yb}(\text{OTf})_3$ in solvents at other temperatures gave no better results: in acetonitrile at room temperature or 50 °C, the yield was 8 or 36% (entries 8 and 9), whereas reaction at 110 °C in toluene, chlorobenzene or butyronitrile gave yields of 41–60% (entries 10–12).

Table 1. Conditions for the conversion of **III-E** to **BC-1** with $\text{Yb}(\text{OTf})_3$ catalysis.^a

Entry	Solvent	Temp. (°C)	Yield (%) ^b
1	Toluene	80	38
2	Pentafluorobenzene	80	37
3	Diglyme	80	- ^c

4	1,2-Dichloroethane	80	46
5	<i>n</i> -Propanol	80	- ^c
6	Nitromethane	80	- ^c
7	Acetonitrile	80	78
8	Acetonitrile	rt	8
9	Acetonitrile	50	36
10	Toluene	110	41
11	Chlorobenzene	110	54
12	Butyronitrile	110	60

^aEach reaction was carried out with **III-E** (0.20 mM) and Yb(OTf)₃ (2.0 mM) at 0.1 μmol scale for 20 h. ^bDetermined by absorption spectroscopy in toluene at room temperature. ^cNo absorption in the region >700 nm was detected.

Second, the reaction in acetonitrile at 80 °C was screened with acids other than Yb(OTf)₃. The acids (with citations to prior use in tetrapyrrole reactions) include the following: Sc(OTf)₃,^{27,28} Ga(OTf)₃,²⁹ Sn(OTf)₂,²⁹ Sm(OTf)₃,²⁹ Gd(OTf)₃, Er(OTf)₃,²⁴ Hf(OTf)₄·H₂O,^{24,29} Bi(OTf)₃,^{24,29} and InCl₃.^{29,30} Triflates were chosen given the enhanced catalysis, via electrostatic activation, of the lanthanide triflates toward β-dicarbonyl compounds.³¹ The results are shown in Table 2. Among the non-lanthanide triflates [Sc(OTf)₃, Ga(OTf)₃, Sn(OTf)₂, Hf(OTf)₄·H₂O and Bi(OTf)₃; entries 1–3, 8, 9], only Sc(OTf)₃, which was employed previously in Nazarov cyclization of heteroaromatic compounds,¹⁵ gave **BC-1** in significant yield (27%). On the other hand, the lanthanide triflates [Sm(OTf)₃, Gd(OTf)₃, and Er(OTf)₃; entries 4–6] gave yields ranging from 47–53%.

Table 2. Other acids for the conversion of **III-E** to **BC-1** in acetonitrile at 80 °C.^a

Entry	Acid	Yield of BC-1 (%) ^b
1	Sc(OTf) ₃	27
2	Ga(OTf) ₃	trace ^c

3	Sn(OTf) ₂	- ^d
4	Sm(OTf) ₃	47
5	Gd(OTf) ₃	48
6	Er(OTf) ₃	53
7	Hf(OTf) ₄ ·H ₂ O	trace ^c
8	Bi(OTf) ₃	trace ^c
9	InCl ₃	13 ^g
10	TMSOTf / DTBP (2.0 mM / 2.6 mM)	0
11	Yb(OTf) ₃ / DTBP (2.0 mM / 2.6 mM)	0 ^e
12	Yb(OTf) ₃ / TMSOTf / DTBP (0.2 mM / 2.0 mM / 2.6 mM)	43% ^f

^aEach reaction was carried out with **III-E** (0.20 mM) in acetonitrile at 80 °C with an acid (2.0 mM) at 0.1 μmol scale for 20 h unless noted otherwise. ^bDetermined by absorption spectroscopy (in acetonitrile for entries 1–9; in toluene for entries 10–12). ^cA characteristic band was observed but the yield was <5%. ^dAn absorption was observed at 792 nm. ^eAn absorption was observed at 764 nm. ^f16 h reaction. ^gIn addition to absorption at 749 nm, an absorption at 778 nm (putative indium complex of **BC-1**) was also observed.

Third, reactions were carried out to examine catalysts employed in the self-condensation of a dihydrodipyrin-acetal (e.g., **12-T**) leading to a bacteriochlorin, which include TMSOTf + DTBP.²⁴ Such conditions are derived from reactions of silyl enol ethers and acetals³² and also from cleavage of acetals.³³ The base DTBP selectively binds Brønsted acids.³⁴ While bacteriochlorin formation from dihydrodipyrin-acetal self-condensation is carried out at room temperature in CH₂Cl₂ (or more polar solvents),²⁴ and is believed to proceed through a resonance-stabilized oxocarbenium ion (or similar species)²⁵ as shown in Scheme 3 (bottom panel), the reactions here were examined in acetonitrile at 80 °C. The reactions were examined using TMSOTf+DTBP alone and in conjunction with Yb(OTf)₃. Thus, reaction with TMSOTf+DTBP alone gave no **BC-1** (Table 2, entry 10), nor did Yb(OTf)₃ and DTBP (entry 11). On the other

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3 hand, the inclusion of TMSOTf + DTBP with only 0.20 mM Yb(OTf)₃ gave **BC-1** in 43% yield
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5 (entry 12), to be compared with 78% from the reaction with 2.0 mM Yb(OTf)₃ with no additives
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7 (Table 1, entry 7). The combination of Yb(OTf)₃ and TMSOTf has been employed previously in
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9 catalysis of imino–ene reactions³⁵ and crossed aldol condensations.³⁶ In summary, the highest
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11 yield was obtained in acetonitrile (80 °C) containing Yb(OTf)₃, which is (1) the most oxophilic
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13 among all lanthanides,^{37,38} (2) a widely used catalyst in organic chemistry,³⁹⁻⁴³ and (3) the acid
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15 chosen previously¹⁰ from a more limited survey for formation of the bacteriochlorophyll skeleton.
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19 **Grid search.** A more systematic study of the role of concentration of Yb(OTf)₃ and **III-E**
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21 was carried out. The reactions were carried out in an anaerobic glove box with reaction volumes
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23 ranging from 0.28–7.0 mL. Samples were collected periodically and examined by absorption
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25 spectroscopy to determine the yield of **BC-1** and any chlorin byproduct as a function of time. The
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27 time courses are described below; we first focus on the yields of **BC-1** at the reaction endpoint (21
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29 h unless noted otherwise) as shown in Figure 1. Several features were examined.
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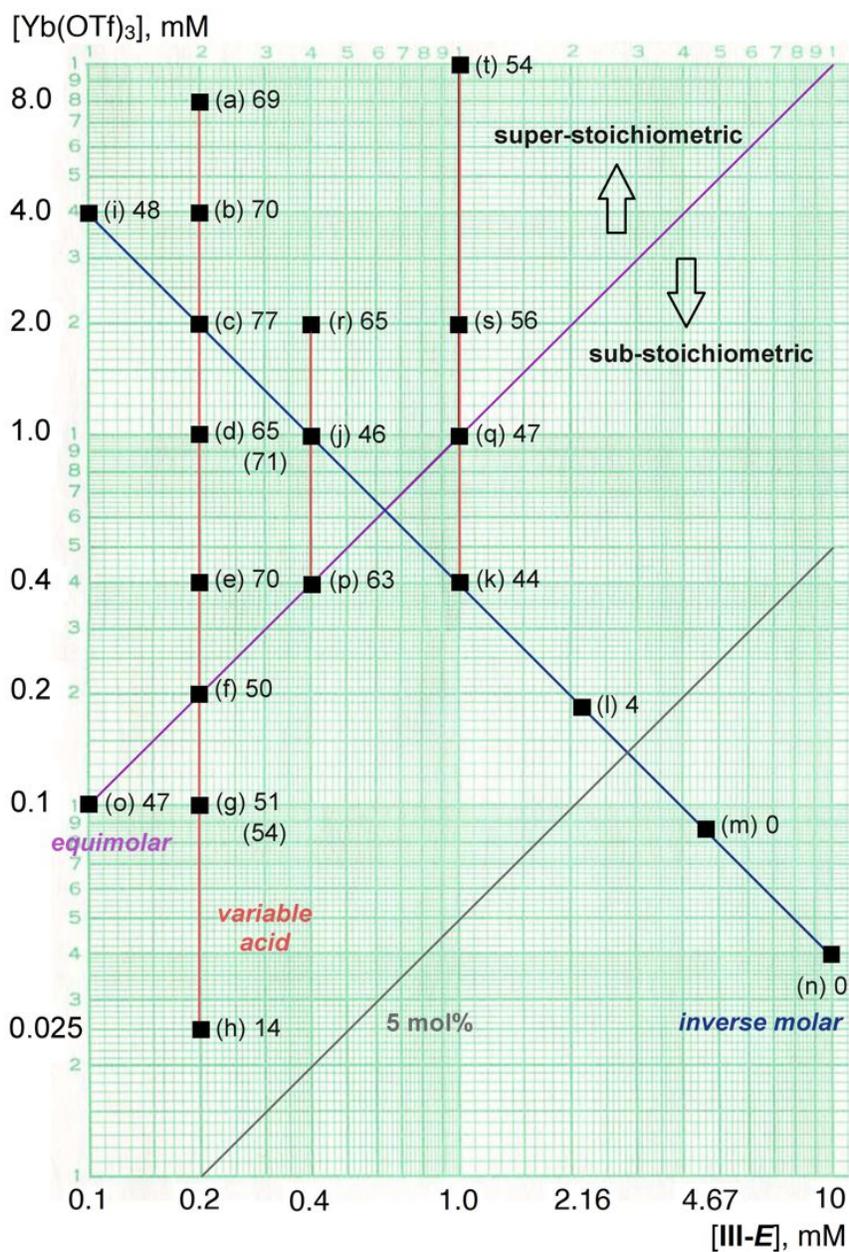


Figure 1. Percent yields of **BC-1** at 21 h upon variation in the quantity of $\text{Yb}(\text{OTf})_3$ (“*variable acid*” line), inverse variation in the quantity of $\text{Yb}(\text{OTf})_3$ and **III-E** (“*inverse molar*” line), and proportional variation in the quantity of $\text{Yb}(\text{OTf})_3$ and **III-E** (“*equimolar*” line). All reactions were in acetonitrile at 80 °C.

(1) Fixed substrate with variable acid concentration: Reactions were carried out with **III-E** at 0.20 mM with concentrations of $\text{Yb}(\text{OTf})_3$ from 8.0 mM to 0.025 mM (a 320-fold range). Eight experiments were carried out, defining the “*variable acid*” line (points a–h). Proceeding

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3 from 40 to 0.125 equivalents of $\text{Yb}(\text{OTf})_3$ (8.0 to 0.025 mM) to **III-E**, the yield of **BC-1** went from
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5 69% through the maximum of 77% to 14%. All of the reactions with 2–40 equivalents of $\text{Yb}(\text{OTf})_3$
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7 to **III-E** gave good yields (65–77%) whereas the reaction with 1 (or 0.5) equivalent gave 50% (or
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9 51%), and 0.125 equivalents gave only 14% yield of **BC-1**. Two other “*variable acid*” lines
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11 (points p, j, r; k, q, s, t) are shown using **III-E** at higher concentrations (0.40, 1.0 mM), but a
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13 smaller range of acid catalyst concentration was examined, chiefly at greater than stoichiometric
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15 amounts. The yields ranged from 54–65%.
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19 (2) Constant product of acid and substrate concentrations: The quantities of $\text{Yb}(\text{OTf})_3$ and
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21 **III-E** were varied inversely so that the product of the two concentrations was fixed. Thus, the
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23 concentration of $\text{Yb}(\text{OTf})_3$ was decreased from 4.0 to 0.040 mM while the concentration of **III-E**
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25 was increased from 0.10 to 10. mM. Seven experiments were carried out, defining the “*inverse*
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27 *molar*” line (points i, c, j-n). Proceeding from the lowest to highest concentration of **III-E**, the
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29 yield went from 48% through a maximum of 77% to 0%. While the product of the concentrations
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31 of the substrate and acid ($[\text{III-E}] \cdot [\text{Yb}(\text{OTf})_3]$) remained constant, the number of equivalents of
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33 $\text{Yb}(\text{OTf})_3$ to **III-E** varied over the range of 40 to 0.004. The highest yield (77%) of **BC-1** was
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35 obtained with 2 mM $\text{Yb}(\text{OTf})_3$ and 0.2 mM **III-E**. Reaction with 2.5 or 0.40 equivalents of
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37 $\text{Yb}(\text{OTf})_3$ to **III-E** gave similar yields (46, 44%) whereas 0.085 equivalents gave 4% yield, and
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39 fewer equivalents gave no detected yield. Thus, the use of diminished catalyst concentration
40
41 commensurate with increased substrate concentration was of no utility below 10 equivalents of
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43 $\text{Yb}(\text{OTf})_3$ for **III-E** at 0.20 mM.
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49 (3) Acid and substrate concentrations in lockstep: The quantities of $\text{Yb}(\text{OTf})_3$ and **III-E**
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51 were set equal across the concentration range of 0.10 mM to 1.0 mM. Four experiments were
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53 carried out, defining the “*equimolar*” line (points o, f, p, q). The yields of **BC-1** were little
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55 affected, giving 47% at the bookends (0.10 mM, 1.0 mM) and a maximum of 63% at 0.40 mM.
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3 In general, the reactions with super-stoichiometric quantities (2–20-fold) of $\text{Yb}(\text{OTf})_3$
4 versus **III-E** gave good yields of **BC-1**. The inverse molar concentration line employed the
5 product $[\text{Yb}(\text{OTf})_3] \cdot [\text{III-E}] = 0.4 \text{ mM}^2$. The thinking was that as the concentration of **III-E** is
6 increased, $\text{Yb}(\text{OTf})_3$ could be diluted without altering the fraction of bound **III-E** · catalyst, or the
7 propensity to form such a complex, which is essential for reaction. We note that the Nazarov
8 reaction of pyrrole **1** → **2** using 5 mol% catalyst had a value for $[\text{acid}] \cdot [\text{pyrrole}] = 4500 \text{ mM}^2$,¹⁵
9 and **5** → **6** with 0.1 mol% catalyst had 250 mM^2 .¹⁶ The 5 mol% line for $\text{Yb}(\text{OTf})_3$ catalysis of the
10 reaction of **III-E** is shown in Figure 1. The high values of the term $[\text{acid}] \cdot [\text{pyrrole}]$ for the
11 reactions of **1** and **5** must be at the source of the ability to use a sub-stoichiometric (i.e., “catalytic”)
12 quantity of acid versus the equimolar or super-stoichiometric quantity required for the much more
13 dilute reaction of **III-E**.
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28 **Time course.** Each of the 20 experiments shown in Figure 1 was monitored over time.
29 The time courses were quite revealing – previously all reactions were allowed to proceed for 21
30 h.³ To our surprise, almost all of the reactions were essentially complete in ~4 h or less, the only
31 exceptions originating with the few reactions at <0.4 equivalents of $\text{Yb}(\text{OTf})_3$ versus **III-E**. The
32 time at half-maximal yield ($t_{1/2}$) for each experiment ranged from ~30–300 minutes. The time
33 courses for the acid-variation study (points a–h) are shown in Figure 2A. Additional time courses
34 are shown in Figure S2, and the yields at 4 h for all 20 reactions in the grid are displayed in Figure
35 S3. The same general trends observed at 21 h are apparent at 4 h although there are slight
36 differences in yields. All of the yields (21 h) and the $t_{1/2}$ values for **BC-1** are summarized in Table
37 3. Again, the highest yield was observed with $\text{Yb}(\text{OTf})_3$ (2.0 mM) and **III-E** (0.20 mM), which
38 exhibited $t_{1/2}$ at 43 min (point “c”).
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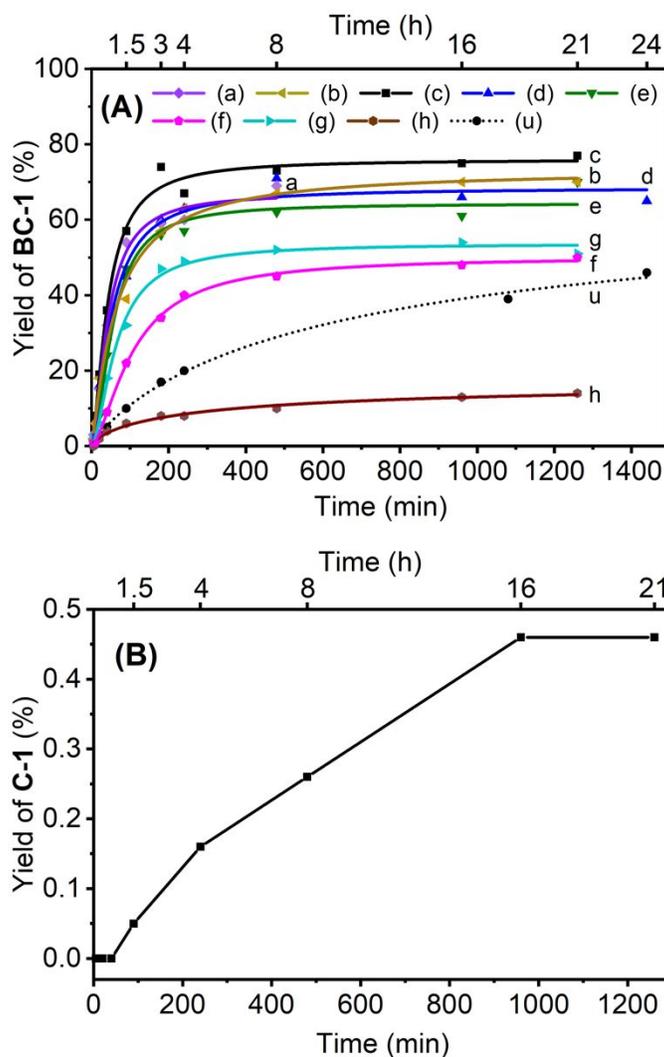


Figure 2. (A) Time course for the yield of **BC-1** with various concentration of $\text{Yb}(\text{OTf})_3$ and constant concentration of **III-E** in acetonitrile at 80 °C (solid line) or 50 °C (dotted line). (B) Time course for the yield of chlorin **C-1** upon reaction in acetonitrile containing 2.0 mM $\text{Yb}(\text{OTf})_3$ (10 equiv) and 0.20 mM **III-E** at 80 °C. Note the different scales on the Y-axes for **BC-1** (0–100%) and **C-1** (0–0.5%).

Table 3. Yields of **BC-1** and **C-1** with various concentrations of $\text{Yb}(\text{OTf})_3$ and **III-E**.

Entry	$[\text{Yb}(\text{OTf})_3]$ mM	$[\text{III-E}]$ mM	equiv	BC-1 Yield (%) ^a	$t_{1/2}$ (min)	C-1 Yield (%) ^b
a	8.0	0.20	40	69 (69) ^c	43	0.61
b	4.0	0.20	20	70 (70)	62	<0.05 ^d
c	2.0	0.20	10	77 (77)	43	0.16
d	1.0	0.20	5	65 (71) ^e	51	0.09
e	0.40	0.20	2	70 (70)	55	0.27
f	0.20	0.20	1	50 (50)	106	0.24
g	0.10	0.20	0.5	51 (54)	63	2.01
h	0.025	0.20	0.125	14 (14)	292	<0.05 ^d
i	4.0	0.10	40	48 (48)	67	0.23
j	1.0	0.40	2.5	46 (46)	71	0.10
k	0.40	1.0	0.4	44 (44)	56	0.22
l	0.185	2.16	0.086	4 (4)	– ^f	–
m	0.086	4.67	0.018	0 (0)	–	–
n	0.040	10.	0.004	0 (0)	–	–
o	0.10	0.10	1	47 (47)	143	0.43
p	0.40	0.40	1	63 (63)	91	0.21
q	1.0	1.0	1	47 (47)	55	0.18
r	2.0	0.40	5	65 (65)	36	0.18
s	2.0	1.0	2	56 (56)	43	0.16
t	10.	1.0	10	54 (54)	31	0.27
u ^g	2.0	0.20	10	46 (46)	645	<0.05

^aYields were recorded at the end of the reaction course; values in parentheses are the highest yields obtained at any point during the reaction course. ^bYields are at 4 h of reaction. ^cReaction ended at 8 h. ^dBelow the limits of detection. ^eReaction ended at 24 h. ^fNot determined. ^gReaction at 50 °C, ended at 24 h.

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3 The yield of chlorin byproduct was examined in all reaction samples taken at 4 h. The
4 assay relies on fluorescence emission spectroscopy with excitation at 406 nm, a wavelength at
5 which the chlorin (**C-1**) absorbs strongly but the bacteriochlorin (**BC-1**) absorbs weakly.³
6 Integration was carried out for the emission over the range 675–715 nm after subtraction of the
7 baseline from a blank sample. The limit of detection of **C-1** alone is 1 nM in the fluorescence
8 cuvette, which for a reaction at 0.20 mM **III-E** corresponds to a yield of ~0.05%, as shown in
9 Figure S4. This lower limit may not be reliably achieved if other pigments arise that emit in this
10 region. Previously, the chlorin byproduct was only assessed at the end of the reaction,³ in which
11 case there were two limiting interpretations concerning the source of dehydrogenation: (1) there
12 pre-existed a small amount of dehydrogenated species (i.e., the dipyrin analogue of the AD-
13 dihydrodipyrin unit) in the sample of precursor **I** or **III**, which upon macrocycle formation would
14 directly form the chlorin; or (2) during the course of formation and handling of the bacteriochlorin,
15 some dehydrogenation occurred to form the chlorin.
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33 The time course for formation of chlorin is shown in Figure 2 panel B. Inspection of the
34 time course shows no detectable chlorin at the earliest reaction times. Such results are consistent
35 with the chlorin originating during or after macrocycle formation, not from trace dehydrogenated
36 impurities in any precursors. The yields of chlorin **C-1** at 4 h of reaction were generally <0.2%,
37 although some higher values were observed. The 20-point grid displaying chlorin yields is
38 provided in Figure S5. The yield of **C-1** for the reaction under optimal conditions [2.0 mM
39 Yb(OTf)₃ and 0.20 mM **III-E**] was 0.16% after 4 h and 0.46% after 21 h, to be compared with
40 68% and 78%, respectively, of **BC-1**. The amount of chlorin as a percentage of the bacteriochlorin
41 ([**C-1**]/[**BC-1**]) is thus 0.24% and 0.60%, respectively. The prior synthesis of **BC-1** (11.5 mg,
42 53% yield, 21 h) under the same reaction conditions gave **C-1** in 0.74% (absolute) and 1.4%
43 (fractional amount) yield.³ The ability to carry out the reaction in 1/5 – 1/10th the time (2–4 h
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3 instead of 21 h) affords the bacteriochlorin in good yield with $\sim 1/5^{\text{th}}$ the amount of chlorin
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5 byproduct.
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8 **Reaction temperature.** One reaction was carried out at 50 °C in acetonitrile with
9
10 concentrations of Yb(OTf)₃ (2.0 mM) and **III-E** (0.20 mM) found optimal for the reactions at 80
11
12 °C. The time course is shown in Figure 2 panel A (trace “u”). The yield of **BC-1** grew in far more
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14 slowly than the reaction at 80 °C ($t_{1/2}$ = 645 versus 43 min) and was on a slow, increasing trajectory
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16 when the reaction was discontinued (24 h), affording a yield of 46%. Further characterization of
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18 the interplay of temperature and other reaction conditions was beyond the scope of the present
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20 study.
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23 24 25 26 **III. Streamlined Reaction Conditions**

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28 Our objective was to carry out the Knoevenagel reaction of dihydrodipyrins **I** + **II** to form
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30 enones **III-E/Z**, and carry the mixture of the two isomers on to the bacteriochlorin **BC-1**. The
31
32 following studies were carried out in this regard.
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35 (1) Comparison of the reactivity of **III-E** and **III-Z**. The Knoevenagel reaction⁴⁴⁻⁴⁶
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37 previously afforded **III-E** and **III-Z** in isolated yields of 70 and 3%, respectively.³ The limited
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39 quantity of **III-Z** precluded extensive experiments concerning formation of **BC-1**. The *E* and *Z*
40
41 isomers of related enones are known to interconvert under the conditions of the Nazarov
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43 reaction.^{15,19,47-50} Here, the reactions of **III-Z** and **III-E** were carried out in parallel under identical
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45 conditions (0.20 mM each with 2.0 mM Yb(OTf)₃ in acetonitrile at 80 °C). Enone **III-Z** gave
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47 **BC-1** in 70% yield and $t_{1/2}$ = 38 min versus 77% and 43 min for **III-E**. The comparable values for
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49 the two isomers imply that (i) the enones must isomerize during the course of the Nazarov reaction;
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51 and (ii) the mixture of the two isomers can be carried forward to the bacteriochlorin-forming
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53 reaction without separation, which previously was quite tedious.
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3 (3) Examination of a two-step procedure. The Knoevenagel reaction previously was
4 carried out with **I** and **II** (to form **III**) at 38 and 48 mM, respectively, whereas the double-ring
5 cyclization of **III** (to form **BC-1**) was carried out at 0.19 mM.³ To use the crude reaction mixture
6 of **III** without any workup would require dilution by ~200-fold and addition of Yb(OTf)₃. The
7 Knoevenagel reaction employs piperidine/acetic acid (15 mM/15 mM) in acetonitrile and 3Å
8 molecular sieves (MS). A trial reaction of **III-E** in the presence of diluted piperidine/acetic acid
9 solution (0.08 mM / 0.08 mM in acetonitrile) at 80 °C for 21 h afforded **BC-1** in 69% (t_{1/2} = 56
10 min) or 64% yield (t_{1/2} = 41 min) with or without use of 3Å molecular sieves, respectively. The
11 results indicate the crude mixture from the Knoevenagel reaction can be employed without workup
12 in the subsequent bacteriochlorin-forming reaction.
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26 (3) Streamlined synthesis. The Knoevenagel condensation of **I** and **II** (40 mM each) was
27 carried out in acetonitrile containing piperidine/acetic acid (15 mM/15 mM) at room temperature
28 for 40 h. Filtration to remove 3Å molecular sieves, addition of Yb(OTf)₃ (10 equiv) along with
29 supplemental acetonitrile to reach 0.20 mM, and subsequent stirring at 80 °C for 4 h gave the crude
30 product. Purification by column chromatography (Figure S6) gave **BC-1** in 22% yield. ¹H NMR
31 analysis in CDCl₃ indicated that the ratio of 13²-epimers was 88:12 for **BC-1** formed from the
32 reaction of **I** and **II**, identical with that observed previously upon reaction of **III-E**.³
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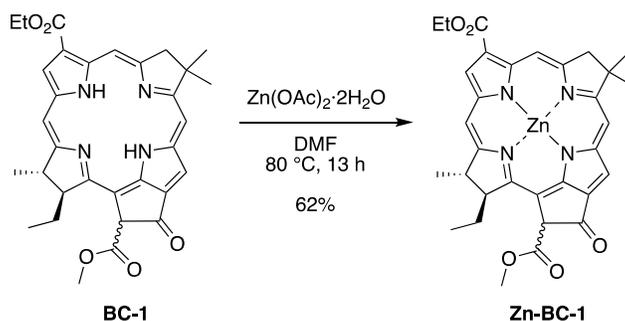
45 **IV. Zincation of BC-1**

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47 Zinc has long been employed as a surrogate for magnesium in fundamental studies
48 pertaining to the native bacteriochlorophylls given the greater chemical stability of zinc versus
49 magnesium chelates, the lesser difficulty of metalation of bacteriochlorins with zinc versus
50 magnesium,⁵¹⁻⁵⁵ yet the otherwise rather similar physicochemical properties of the two metal
51 chelates.^{56,57} Indeed, an aerobic anoxygenic photosynthetic bacterium of the genus *Acidophilium*
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has been found to employ zinc bacteriochlorophylls (rather than the typical magnesium) in both antenna and reaction center complexes.⁵⁸ The physiological rationale stems from the greater stability of the chelate of zinc versus magnesium in an acidic milieu, given that optimum growth occurs at pH 3.5.⁵⁹ Zinc bacteriochlorophylls also have been incorporated deliberately in photosynthetic reaction centers via use of magnesium chelatase mutants.⁶⁰

Treatment of a small sample of bacteriochlorin **BC-1** with $\text{Zn}(\text{OAc})_2 \cdot 2\text{H}_2\text{O}$ in $\text{MeOH}/\text{CH}_2\text{Cl}_2$ at room temperature did not afford metalation, but reaction in DMF at 80 °C gave a high degree of conversion (Scheme 6). The product was isolated in 62% yield by chromatography on powdered sugar, a well-known adsorbent in chlorophyll chemistry.⁶¹ The absorption and fluorescence spectra (in toluene) of the free base **BC-1** and the zinc chelate **ZnBC-1** are shown in Figure 3. The long-wavelength absorption band of **ZnBC-1** appears at 766 nm, a bathochromic shift of 17 nm versus the free base **BC-1**. The spectrum of **ZnBC-1** is characteristic of metallobacteriochlorophylls^{56,57} and is quite similar to that of the zinc(II) chelate of bacteriopheophytin *a* (**ZnBPheo**)⁶² as summarized in Table 4. The fluorescence quantum yield (Φ_f) value of **ZnBC-1** is 0.19 (determined by comparison with a known standard), versus that of 0.11⁶² for the zinc chelate of bacteriochlorophyll *a* (**ZnBPheo**). ¹H NMR analysis in CDCl_3 indicated that the ratio of 13²-epimers was 81:19 for **ZnBC-1**, almost identical with that for **BC-1**.

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Scheme 6. Zincation of **BC-1**.

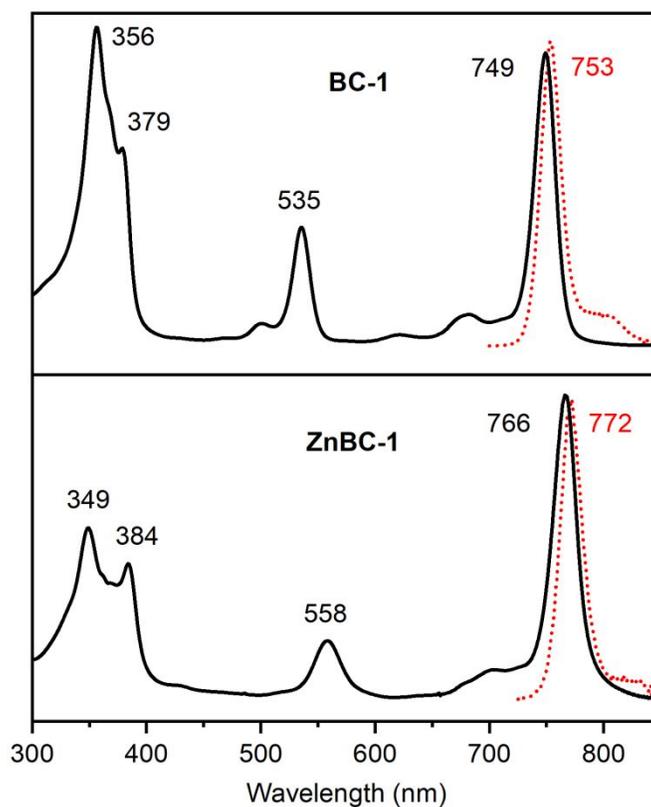


Figure 3. Absorption and fluorescence spectra in toluene at room temperature of the free base **BC-1** and the zinc chelate **ZnBC-1**.

Table 4. Spectral properties of zinc(II) bacteriochlorophylls^a

Parameter	ZnBPheo ^b	ZnBC-1
$\lambda_{\text{abs}}(\text{B})$, nm	355, 393	349, 384
$\lambda_{\text{abs}}(\text{Q}_y)$, nm	773	766
Q_y (abs) fwhm, nm	35	25
$I_{\text{Q}_y}/I_{\text{B}}$	1.40	1.74
λ_{em}	790	772
Stokes shift, nm	17	6
Φ_{f}	0.11	0.19

^aAll data are from samples in toluene at room temperature. ^bData from Musewald *et al.*⁶²

Outlook

The present work concerns the development of methodology for the synthesis of model bacteriochlorophyll compounds, and as such constitutes a step toward *de novo* syntheses of the native macrocycles. The key findings are as follows.

- (1) Refined conditions have been identified for the double-ring closure of **III-E** that enable reaction in 4 h (versus 20 h previously), a 77% yield of **BC-1**, and <0.16% chlorin byproduct (versus 0.74% previously). The reaction also can be carried out at higher reactant concentration (1.0 mM versus 0.19 mM previously) while affording a 56% yield of **BC-1**.
- (2) Reasonable variation is tolerable of concentrations of **III** and Yb(OTf)₃, although the yields of **BC-1** fall off with significant sub-stoichiometric concentrations of the acid. The typical use of 5 mol% of acid in Nazarov reactions of small molecules does not afford any reaction given the already low concentration of **III** (0.20 mM).
- (3) The enones **III-E** and **III-Z** react comparably, enabling conversion to **BC-1** without chromatographic separation.
- (4) The insertion of zinc does not significantly alter the epimeric composition at the 13²-position of the bacteriochlorin.
- (5) A streamlined route from two dihydrodipyrin halves to the bacteriochlorophyll model compound requires only the intervention of filtration and then dilution of the Knoevenagel enone with CH₃CN, treatment with Yb(OTf)₃, and heating.
- (6) Refinement of a fluorescence assay allows the chlorin byproduct to be determined with limits of detection of 0.05%. Application of improved reaction conditions affords a chlorin content in the crude reaction mixture that is 1/5th of that observed previously (0.74%).

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3 (7) Relatively little is known concerning the rate of epimerization of bacteriochlorophylls and
4 analogues compared with that for chlorophylls.⁵⁻⁹ The nascence of a family of
5 bacteriochlorophyll model compounds will enable a fundamental study in this regard.
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10 (8) Many systematic studies of the interplay of parameters that affect reactions employ
11 factorial design studies, including full factorials and partial factorial designs, which almost
12 always entail survey of a regular grid. We have focused on a subset of points concerning
13 the concentrations of **III-E** and Yb(OTf)₃ that define selected lines of investigation –
14 *variable acid concentration, equimolar concentrations, and inverse molar concentrations.*
15 Together with placement of the exploratory lines so as to span regions of sub- and super-
16 stoichiometric acid concentrations, the approach has delineated key features germane to
17 reaction implementation without the expansive experimentation often characteristic of
18 factorial design studies.
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30 The conversion of the enone **III** to the bacteriochlorophyll skeleton **BC-1** has hallmarks of
31 a covalent self-assembly process. Development of the principles of covalent self-assembly as a
32 means of rapid and effective construction of molecular architectures⁶³ was prompted by results
33 from 35 years ago concerning formation and derivatization of tetrapyrrole macrocycles, bringing
34 the present work full circle. The use of Yb(OTf)₃ in acetonitrile for the double-ring closure of **III-**
35 **E** to form **BC-1** can be rationalized on the basis of (1) oxophilicity in binding to the β-dicarbonyl
36 motif, thereby triggering the Nazarov cyclization,^{31,37,38} and (2) facilitated cleavage of the acetal
37 moiety, thereby forming an oxocarbenium ion or similar reactive entity.⁶⁴ Yet the reaction of a
38 dihydrodipyrin-acetal (e.g., **12-T**) that in the presence of TMSOTf and DTBP affords a
39 bacteriochlorin (e.g., **BC-T**, Scheme 5)²⁴ generates instead the tetrahydrocorrin in the presence
40 of Yb(OTf)₃ (Scheme 5).²⁶ Here, the pre-organization provided by the enone **III-E** apparently
41 precludes formation of the tetrahydrocorrin (**TDC-T**). In summary, the questions posed at the
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3 outset of this work have largely been answered. A next objective is to apply the conditions with
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5 dihydrodipyrin reactants where each half contains the *trans*-dialkyl and other substituents
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7 appropriate for the synthesis of native bacteriochlorophylls.
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11 Experimental Section

13 General methods

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15 All temperatures reported herein refer to the internal contents of the reaction vessel.
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17 Acetonitrile employed in all reactions was HPLC grade.
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20 Grid search protocol

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22 A stock solution of **III-E** was prepared by dissolving a known amount (e.g., 24 mg) in
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24 anhydrous CH₂Cl₂ (e.g., 14 mL). A sample was aliquoted (e.g., 250 μL) into a conical reaction
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26 vial, which was then placed in a warm reaction block (near 40 °C) to evaporate the CH₂Cl₂ over
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28 the course of ~30 min. Then, the appropriate quantity of Yb(OTf)₃ was added, either directly as a
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30 weighed solid or from a stock solution in acetonitrile. The reaction vial was then transferred to
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32 the glove box, which contained an atmosphere of argon. Degassed acetonitrile inside the glove
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34 box was added to give the volume for the desired concentrations. The final volumes generally
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36 ranged from 0.70 – 7.0 mL, although the reactions with >1.0 mM concentrations of **III-E** were
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38 carried out in a volume of ~0.28 mL. In most cases, the quantity of **III-E** in each reaction vial was
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40 0.43 mg (0.70 μmol), although larger amounts were necessarily employed to explore the higher
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42 concentration ranges while keeping the reaction volume at least ~0.28 mL.

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44 The reaction vials were composed of glass and were chosen to accommodate the various
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46 volumes; conical vials were used for all reaction volumes ≤ 3.5 mL whereas 20-mL flat-bottom
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48 vials were used for the reactions at 7.0 mL. The conical vials contained a conical, Teflon-coated
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50 magnetic stir bar, whereas the flat-bottom vials contained a rod-shaped, Teflon-coated stir bar.
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52 Upon filling, each reaction vial was sealed with a screw cap containing a septum. The reaction
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54 vial was then placed on an aluminum heating block that was situated on a heater-stirrer for
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56 microscale reactions. The temperature of the heater was set to give 80 °C for the solvent inside
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58 the reaction vial. The reactions were allowed to proceed with stirring.

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60 For time-course monitoring, a reaction vial was removed from the heating block, uncapped,
and an aliquot was removed. The aliquot was placed into a 3.5-mL vial preloaded with the

appropriate quantity of toluene, which was determined by weighing for high accuracy (e.g. 1.61 g, 1.85 mL). The resulting vial containing the diluted aliquot in toluene was capped and removed from the glove box for analysis by absorption spectroscopy. For the assays wherein the beginning volume of acetonitrile was less than 3.5 mL, the aliquot volume taken for absorption spectroscopy was also proportionally adjusted (e.g., a 50 μL aliquot was taken for each absorption measurement when a 700 μL volume of acetonitrile was used at the outset). The volume of toluene added to the aliquot was also modified accordingly to keep the volume of the resulting solution constant at 2.1 mL prior to the absorption spectroscopic measurement.

The yield of **BC-1** was determined according to equation 1.

$$\text{Yield of BC - 1 (\%)} = \frac{A_{749} \times 0.0021 \text{ (L)} \times 10^{-6} \text{ (\mu mol/mol)} \times 14}{72,100 \text{ (M}^{-1}\text{cm}^{-1}) \times 1 \text{ (cm)} \times 0.70 \text{ (\mu mol)}} \times 100 \quad (\text{eq 1})$$

Here, A_{749} is the absorbance of **BC-1** in solution at 749 nm; $72,100 \text{ M}^{-1}\text{cm}^{-1}$ is the molar absorption coefficient of **BC-1**;³ 1 (cm) is the cuvette pathlength; 0.0021 L is the volume of the sample upon dilution in toluene for absorption spectroscopic measurement; 10^{-6} is the conversion factor between mol and μmol ; 14 is the ratio of the aliquot volume to the reaction mixture volume; and 0.70 (μmol) is the total quantity of **BC-1** in the reaction. The yields were corrected for small but systematic solvent loss, which was determined to be a total of 4.9% over the course of 21 h with collection of 10 samples.

Fluorescence quantum yield determination

The fluorescence quantum yield of **ZnBC-1** was determined by comparison with that of the standard 2,12-di-*p*-tolyl-8,8,18,18-tetramethylbacteriochlorin ($\Phi_f = 0.18$ in toluene).⁶⁵ The spectra were corrected for instrument sensitivity as a function of wavelength, and the integrated intensity was ratioed for sample absorption at the wavelength of excitation. The instrument parameters included the following: excitation and emission slit widths = 0.375 mm (1.5 nm bandpass); photomultiplier tube (Hamamatsu R928P) voltage = 1000; and integration time = 2 nm/s.

Fluorescence assay for chlorin content in reaction mixtures

A sample of **C-1** (1.9 mg, 3.44 μmol) was dissolved in toluene (10 mL) to afford a stock solution, from which 580 μL (corresponding to 0.2 μmol of **C-1**) was removed and further diluted in toluene to a final volume of 25 mL. The resulting **C-1** solution (8.0 μM) was then used to prepare five dilute **C-1** solutions (with concentration from 1–20 nM) for use in the fluorescence

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3 assay. Each **C-1** solution was examined by fluorescence spectroscopy ($\lambda_{\text{exc}} = 406$ nm). Each
4 fluorescence spectrum was corrected first for instrument sensitivity as a function of wavelength
5 and second by subtraction of the spectrum obtained from a solvent blank. The resulting spectrum
6 was then integrated over the range 675–715 nm. The value upon integration from each of the five
7 samples gave a linear regression plot over concentration (Figure S4). The instrument parameters
8 were identical with those for the Φ_f measurements.
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12 Samples taken from time-course reactions after the absorption spectroscopic assay (to
13 determine the yield of **BC-1**) were diluted 12-fold in toluene prior to fluorescence spectroscopic
14 examination. As with the calibrants, each spectrum was corrected first for instrument sensitivity
15 as a function of wavelength and second by subtraction of the spectrum obtained from a solvent
16 blank. From the linear regression plot, the quantity of **C-1** in each sample was readily determined,
17 affording the absolute yield of **C-1** in the reaction samples.
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26 Streamlined synthesis of **BC-1**

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28 **(17*S*,18*S*)-3-Carboethoxy-13²-carbomethoxy-17-ethyl-8,8,18-trimethyl-13¹-**
29 **oxobacteriophorbine (**BC-1**).** A mixture of samples of **I** (12 mg, 40 μmol), **II** (14 mg, 40 μmol),
30 and dried molecular sieves powder (3 Å, 14 mg) was treated with a solution of piperidine/acetic
31 acid in acetonitrile (15 mM/15 mM, 1.1 mL, 16 μmol /16 μmol). The reaction mixture was stirred
32 at room temperature for 40 h, upon which the resulting mixture was filtered through a Pasteur
33 pipette containing a plug of Celite. The filtrate was diluted in acetonitrile to 200 mL, then
34 $\text{Yb}(\text{OTf})_3$ (248 mg, 400 μmol) was added. The reaction mixture was then stirred at 80 °C for 4 h
35 under argon in a glove box. Upon completion, the reaction mixture was filtered through a Celite
36 pad, concentrated, and chromatographed [silica, hexanes/ethyl acetate 2:1] to afford the title
37 compound (4.80 mg, 22%). ^1H NMR (500 MHz, CDCl_3) δ -0.68 (br, 1H), 0.66 (br, 1H), 0.99 (t,
38 $J = 7.4$ Hz, 3H), 1.66 (t, $J = 7.1$ Hz, 3H), 1.73 (d, $J = 7.3$ Hz, 3H), 1.86 (s, 3H), 1.90 (s, 3H), 1.96–
39 2.05 (m, 1H), 2.26–2.31 (m, 1H), 3.84 (s, 3H), 3.88–3.91 (m, 1H), 4.24–4.31 (m, 3H), 4.70–4.75
40 (m, 2H), 6.08 (s, 1H), 8.40 (s, 1H), 8.43 (s, 1H), 8.49 (s, 1H), 9.14 (d, $J = 2.0$ Hz, 1H), 9.49 (s,
41 1H); $^{13}\text{C}\{^1\text{H}\}$ NMR (125 MHz, CDCl_3) δ 10.6, 12.3 (unknown), 14.6, 17.7 (unknown), 22.7, 27.5,
42 29.7 (unknown), 30.9, 31.0, 44.4, 49.3, 52.8, 52.9, 53.1, 61.3, 64.2, 98.8, 100.1, 100.5, 108.2,
43 109.0, 126.5, 129.7, 131.1, 137.6, 137.7, 140.9, 149.5, 159.3, 164.6, 165.1, 169.4, 171.0, 171.2,
44 188.8; HRMS (ESI-TOF) m/z : $[\text{M} + \text{H}]^+$ calcd for $\text{C}_{32}\text{H}_{35}\text{N}_4\text{O}_5$ 555.2602; found 555.2591. $\lambda_{\text{abs}} =$
45 749 nm; $\lambda_{\text{em}} (\lambda_{\text{ex}} 535 \text{ nm}) = 753 \text{ nm}$ (toluene).
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Zincation of BC-1

Zn(II) (17S,18S)-3-Carboethoxy-13²-carbomethoxy-17-ethyl-8,8,18-trimethyl-13¹-oxobacteriophorbine (ZnBC-1). A solution of BC-1 (4.8 mg, 8.7 μ mol) and Zn(OAc)₂·2H₂O (57.1 mg, 260 μ mol) in DMF (2.2 mL) was stirred at 80 °C for 13 h, followed by dilution in CH₂Cl₂. The organic solution was then washed with saturated aqueous NaHCO₃ and water, dried (Na₂SO₄), and concentrated. The mixture was chromatographed on powdered sugar (Figure S6) with elution by hexanes to afford a deep blue residue (3.29 mg, 62%): ¹H NMR (500 MHz, CDCl₃) δ 0.97 (t, *J* = 6.6 Hz, 3H), 1.61 (t, *J* = 7.0 Hz, 3H), 1.73 (d, *J* = 7.1 Hz, 3H), 1.87 (s, 3H), 1.89 (s, 3H), 1.95–2.00 (m, 1H), 2.19–2.26 (m, 1H), 3.83–3.87 (m, 4H), 4.21–4.28 (m, 3H), 4.63 (br, 2H), 5.96 (s, 1H), 8.29 (s, 1H), 8.40 (s, 1H), 8.47 (s, 1H), 9.10 (s, 1H), 9.38 (s, 1H); HRMS (ESI-TOF) *m/z*: [M]⁺ Calcd for C₃₂H₃₂N₄O₅Zn 616.1659; Found 616.1653. The absorption and fluorescence features are listed in Table 4.

Associated content

Electronic supplementary information. Additional data from exploratory studies of reaction conditions including time courses and grid searches; chlorin assay calibration data; and ¹H NMR and ¹³C{¹H} NMR data for new compounds.

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Conflict of interest

The authors declare the following competing financial interest(s): J.S.L. is a cofounder of NIRvana Sciences, which has licensed aspects of technology antecedent to that described herein.

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