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# 1 Life cycle energy use and greenhouse gas emissions of ammonia production from 2 renewable resources and industrial by-products

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5 Keywords: ammonia production, life cycle analysis, greenhouse gases, energy use

## 6 Abstract

7 Conventionally, ammonia is produced from natural gas via steam methane reforming (SMR),  
8 water-gas shift reaction, and the Haber-Bosch process. The process uses fossil natural gas, which leads to  
9 2.6 metric tons of life cycle greenhouse gas (GHG) emissions per metric ton of ammonia produced. With  
10 ammonia being the second most produced chemical in the world, its production accounts for  
11 approximately 2% of worldwide fossil energy use and generates over 420 million tons of CO<sub>2</sub> annually.  
12 To reduce its carbon intensity, ammonia synthesis relying on renewable energy or utilizing by-products  
13 from industrial processes is of interest. We conduct a life cycle analysis of conventional and alternative  
14 ammonia production pathways by tracking energy use and emissions in all conversion stages, from the  
15 primary material and energy resources to the ammonia plant gates. Of all the alternative pathways,  
16 obtaining N<sub>2</sub> from cryogenic distillation and H<sub>2</sub> from low-temperature electrolysis using renewable  
17 electricity has the lowest cradle-to-plant-gate GHG emissions, representing a 91% decrease from the  
18 conventional SMR pathway.

## 19 1. Introduction

20 Ammonia is the second most produced chemical in the world nowadays.<sup>1</sup> Most of the ammonia  
21 produced was used for nitrogen fertilizer manufacture.<sup>2</sup> However, research suggests ammonia as a  
22 promising zero-carbon energy carrier because of its high volumetric energy density, which is  
23 approximately twice as high as that of liquid hydrogen (H<sub>2</sub>). Moreover, ammonia stays in liquid form at

24 room temperature and low pressure ( $\sim 10$  bar)<sup>3</sup>; while the liquefaction of H<sub>2</sub> requires cooling to  $-253^{\circ}\text{C}$  and  
25 approximately 12 kWh of electricity per kilogram (kg) of H<sub>2</sub> produced.<sup>4</sup> The safe handling and  
26 transportation infrastructure of ammonia are already in place, which may facilitate the transformation to  
27 an expanded ammonia-based energy sector.<sup>5</sup>

28 Ammonia is also being explored for long-term energy storage to enable greater penetration and  
29 utilization of intermittent renewable wind and solar energy sources.<sup>5</sup> Ammonia can play an essential role  
30 in a future energy landscape with increased penetration of renewables by balancing the temporal  
31 discrepancy between the supply of and demand for energy in various regions.

32 With these emerging applications of ammonia, future global production for ammonia could  
33 increase by orders of magnitude.<sup>5</sup> Conventionally, ammonia is produced from steam methane reforming  
34 (SMR) of fossil natural gas or gasification of coal; these account for 72% and 22% of global ammonia  
35 production capacity, respectively.<sup>1</sup> Other feedstocks for ammonia production include fuel oil (4%) and  
36 naphtha (1%).<sup>6</sup> Ammonia production accounts for approximately 2% of worldwide fossil energy use and  
37 generates over 420 million tons of CO<sub>2</sub> annually, representing 1.2% of the global anthropogenic CO<sub>2</sub>  
38 emissions.<sup>1,5</sup> To decarbonize the ammonia sector, alternative ammonia production pathways from  
39 renewable sources are of increasing interest.

40 With current technologies, it is feasible to produce ammonia from carbon-free resources — water  
41 and air — using renewable electricity as the main energy source for air separation and water  
42 electrolysis.<sup>5,7</sup> For example, a commercial plant recently built by Yara, a nitrogen fertilizer manufacturer,  
43 demonstrated the use of renewable electricity to split water into H<sub>2</sub> and O<sub>2</sub> and the Haber-Bosch (HB)  
44 reaction to combine H<sub>2</sub> and N<sub>2</sub> to produce low-carbon ammonia.<sup>8</sup> Morgan et al. conducted two techno-  
45 economic analyses of wind-powered ammonia production to demonstrate the process's economic  
46 feasibility.<sup>3,7</sup>

47 One of the most-used techniques for environmental assessment of any product is life cycle  
48 analysis (LCA). LCA holistically evaluates the environmental impacts of a product by compiling an

49 inventory of energy and material inputs to the various stages along the product's life cycle and calculating  
50 the associated resource use and resulting emissions.<sup>9</sup>

51 LCA has been widely applied to evaluate conventional ammonia production pathways.<sup>10,11</sup>  
52 Johnson et al. assessed the life cycle energy use and greenhouse gases (GHG) emissions from SMR of  
53 fossil natural gas in the U.S., using industry-aggregated inventory data<sup>10</sup>. Zhang et al. quantified the life  
54 cycle GHG emissions resulting from China's nitrogen fertilizer production and consumption supply  
55 chain, with a focus on using prevailing technologies to produce ammonia, such as natural gas SMR and  
56 coal gasification.<sup>11</sup> Bicer et al. assessed the use of fossil resources, such as coal, natural gas, and heavy  
57 oil, to produce ammonia and reported the global warming potential (GWP) for a period of 500 years.<sup>12</sup>  
58 They concluded that using electricity generated from fossil resources for H<sub>2</sub> production via water  
59 electrolysis would incur more life cycle GHG emissions than the natural gas SMR pathway.

60 Only few studies applied LCA to assess alternative low-carbon ammonia production pathways,  
61 although alternative low-carbon ammonia production technologies utilizing renewable electricity have  
62 been extensively studied<sup>3,7,13-16</sup>. Bicer et al. compared the life cycle energy and exergy efficiency, as well  
63 as the GHG emissions, of various ammonia production pathways employing the HB reaction and H<sub>2</sub> from  
64 water electrolysis and using zero- or low-carbon electricity from municipal waste, nuclear, biomass, and  
65 hydropower.<sup>6</sup> In another LCA study, Bicer and Dincer evaluated the impacts of different end-use  
66 applications of ammonia produced from an electrochemical pathway, such as city transportation and  
67 power generation<sup>17</sup>. In these two LCA studies, H<sub>2</sub> was assumed to be produced from water electrolysis  
68 and N<sub>2</sub> from cryogenic distillation<sup>6,17</sup>. However, other large-scale potential sources of H<sub>2</sub> have not been  
69 assessed, for example, H<sub>2</sub> as industrial by-products<sup>18,19</sup>. Moreover, other air separation techniques have  
70 not been analyzed.

71 In this paper, we extend the work of Bicer et al. and Zhang et al. by using LCA to assess  
72 additional low-carbon technology pathways to produce syngas containing the N<sub>2</sub> and H<sub>2</sub> needed by the

73 HB loop for ammonia synthesis. We evaluate two technologies for producing  $N_2$ : cryogenic distillation  
74 (CD) and pressure swing adsorption (PSA), and four technology pathways to produce  $H_2$ : low-  
75 temperature electrolysis (LTE), high-temperature electrolysis (HTE), as a by-product from chlor-alkali  
76 (CA) processes, and as a by-product in steam cracker (SC) plants. In addition, this work provides a  
77 detailed energy and emission inventory for each stage in the ammonia life cycle for each of the  
78 technology pathways considered. This work also assesses the sensitivity of energy use and GHG  
79 emissions to the source of electricity, key process parameters, and co-product treatment methods.

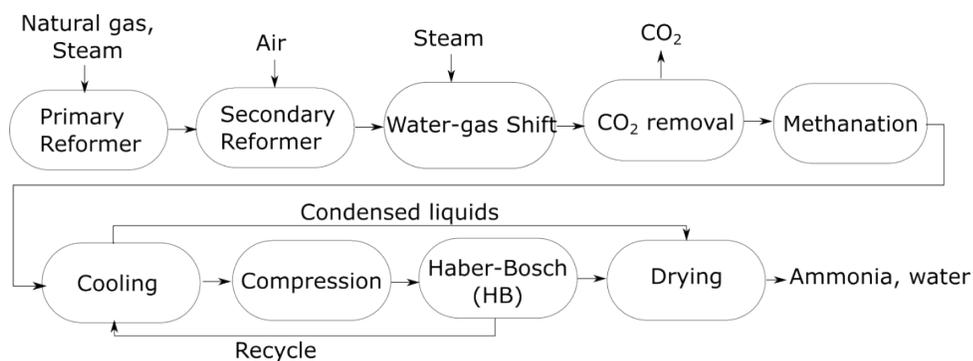
## 80 2. Ammonia manufacturing technology description and evaluation of system 81 boundary

82 Our LCA system boundary is cradle-to-plant-gate, and the functional unit is 1 metric ton of  
83 ammonia produced. We utilized the Greenhouse gases, Regulated Emissions, and Energy use in  
84 Technologies (GREET<sup>®</sup>) model (2019) to conduct the LCA.<sup>20,21</sup> We evaluate energy use and GHG  
85 emissions associated with ammonia production pathways, here referred to as the “cradle-to-plant-gate life  
86 cycle.” Those related to equipment manufacturing, e.g., reactors or electrolytic cells, are likely to be small  
87 considering the ammonia throughput over the life of a production plant, and are thus kept out of scope for  
88 this analysis. The goal is to evaluate the life cycle GHG emissions of ammonia produced from alternative  
89 low-carbon pathways in comparison with the conventional natural gas SMR pathway.

90 We assume that the  $H_2$  plant,  $N_2$  plant, and HB plant are co-located. Moreover, since our study of  
91 the ammonia production pathways ends at the ammonia plant gate, the storage, transportation, and end  
92 use of ammonia are not included in the scope of analysis. The cradle-to-plant-gate GHG emissions are  
93 presented in  $CO_2$  equivalents ( $CO_{2e}$ ) of  $CO_2$ ,  $CH_4$ , and  $N_2O$  with their 100-year GWP of 1, 30, and 265,  
94 respectively, following the Intergovernmental Panel on Climate Change (IPCC) Fifth Assessment  
95 Report.<sup>22</sup>

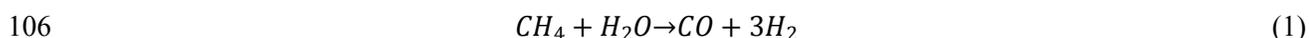
## 96 Conventional ammonia production based on steam methane reforming

97 Conventionally, ammonia is produced from SMR of natural gas. There are two main functional  
 98 steps in this pathway: The first is to produce H<sub>2</sub> from natural gas via steam methane reforming, and the  
 99 second is to synthesize ammonia via the HB process, as depicted in Figure 1. In the natural gas supply in  
 100 North America, which is the focus of this study, the shares of conventional and shale gas are 48% and  
 101 52%, respectively.<sup>20</sup>

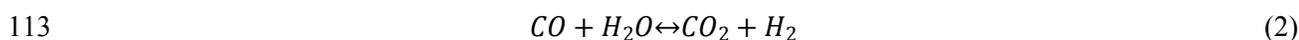


102  
 103 Figure 1: Process diagram for conventional ammonia production (adapted from Johnson et al.<sup>10</sup>)

104 Methane (CH<sub>4</sub>) is reformed with steam at up to 1000°C in the primary and secondary SMR  
 105 reactors to form H<sub>2</sub> and carbon monoxide (CO) according to Reaction 1:

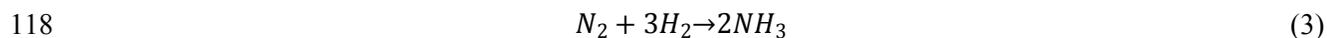


107 Compressed air is introduced into the secondary SMR reactor to combust a portion of the natural  
 108 gas to provide heat of reaction.<sup>10</sup> Oxygen (O<sub>2</sub>) is depleted during the combustion, while the stoichiometric  
 109 nitrogen (N<sub>2</sub>) is used in the downstream HB synthesis loop, avoiding the need for an air separation unit  
 110 (ASU) in this pathway. The gas mixture at the SMR reactor outlet contains H<sub>2</sub>, CO, CO<sub>2</sub>, unreacted  
 111 steam, and CH<sub>4</sub>, and is cooled and sent to a two-stage water-gas shift (WGS) reactor to increase the H<sub>2</sub>  
 112 yield, according to Reaction 2:



114 Mono-ethanol-amine scrubbing or the Selexol process is employed to remove CO<sub>2</sub>, and  
 115 methanation converts the remaining CO into CH<sub>4</sub> to prevent poisoning of the HB catalyst. After

116 compression, the syngas mixture enters the HB loop, where stoichiometric N<sub>2</sub> and H<sub>2</sub> react at 150–250 bar  
117 and 400°C–450°C with the presence of iron-based catalyst to produce ammonia according to Reaction 3:<sup>5</sup>



119 Recycling is needed, since the single-pass conversion rate for ammonia is low (around 15%).  
120 Ammonia is condensed and separated at -25°C to -33°C. The unreacted N<sub>2</sub> and H<sub>2</sub> are compressed and  
121 recycled to the HB reactor. Heat recovered from the cooling of the gas mixture exiting the secondary  
122 SMR reactor is used to raise the temperature of the steam, which is expanded in the steam turbine to drive  
123 the compression of air fed into the secondary SMR reactor and feed gas introduced to the HB synthesis  
124 loop. Therefore, the modern ammonia manufacturing process is highly integrated.

125 The inventory of this integrated pathway was incorporated into the GREET model, as  
126 summarized in Table 1, and used for this analysis without modification.<sup>20</sup> The cradle-to-plant-gate GHG  
127 emissions for this pathway are 2.6 metric ton CO<sub>2e</sub>/metric ton ammonia,<sup>20,23</sup> which agrees well with the  
128 range reported in Zhang et al. (2.1–3.6 metric tons CO<sub>2e</sub> per metric ton NH<sub>3</sub>).<sup>11</sup> For comparison, the  
129 cradle-to-plant-gate GHG emission of the coal gasification pathway to produce ammonia is 6.1–7.8  
130 metric tons CO<sub>2e</sub> per metric ton NH<sub>3</sub>, which is much higher than the natural gas SMR pathway.<sup>11</sup> Our  
131 results are less comparable with those in Bicer et al.,<sup>12</sup> since they reported the GWP for a period of 500  
132 years while we calculated the 100-year GWP.

### 133 **Alternative ammonia production**

134 In addition to the natural gas SMR pathway, there are alternative technologies for ammonia  
135 manufacturing. The basic structure of these alternative pathways is depicted in Figure 2. High purity N<sub>2</sub> is  
136 obtained by separating air, and high purity H<sub>2</sub> can be produced from various technologies, as explained  
137 below.

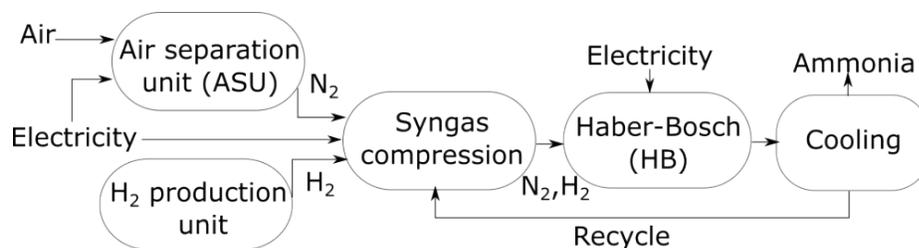


Figure 2: Process diagram for alternative ammonia production pathways.

138

139

140 The gas mixture containing stoichiometric  $N_2$  and  $H_2$  is compressed to the operating pressure of  
 141 the HB reactor (assumed to be 200 bar in this analysis) and enters the electricity-driven HB synthesis  
 142 loop. As with the conventional pathway, the single-pass conversion rate for ammonia is only 15%, so  
 143 recycling of unreacted syngas is needed. There is a pressure drop around the HB synthesis loop, which is  
 144 assumed to be 6% of the operating pressure of HB reactor.<sup>3,24</sup> Thus, for a HB reactor pressure of 200 bar,  
 145 the single-pass pressure drop is approximately 12 bar. To overcome this pressure drop, the recycled gas  
 146 mixture needs to be recompressed to the operating pressure of the HB reactor. In the HB synthesis loop,  
 147 the electricity is required almost exclusively for syngas/recycle gas compression.<sup>3,7</sup> The synthesis loop  
 148 does not need an external heat source, since the HB reaction is exothermic, and the utilization of reaction  
 149 heat through heat integration is sufficient to satisfy the process heat demand.<sup>14,25</sup> Pumping energy is also  
 150 required to circulate cooling water. According to Morgan et al.,<sup>7</sup> the pumping power only accounts for  
 151 ~1% of the total power required in the synthesis loop, so it is considered negligible and not included in  
 152 this analysis.

153 It is worth mentioning that the HB loop, including the syngas compression, needs to be supplied  
 154 with constant power. Therefore, in this analysis, we assume that the HB loop utilizes grid electricity to  
 155 power the electric motors that drive gas compressors. For a large pressure increase, a multi-stage  
 156 compressor should be used with an intercooler between stages to reduce the compression power and keep  
 157 the compression discharge temperature below a practical limit. Equation 4 is applied to calculate the  
 158 compression energy:

$$E_{\text{comp}} = \frac{1}{3600} \times \frac{1}{\eta_{\text{EM}}} \times n \times Z \times R \times T \times \frac{1}{\eta_{\text{C}}} \times \frac{k}{k-1} \times \left[ \left( \frac{P_{\text{outlet}}}{P_{\text{inlet}}} \right)^{\frac{k-1}{nk}} - 1 \right] \quad (4)$$

where  $E_{\text{comp}}$  is the energy required for gas compression in the unit of kWh/kg gas,  $\eta_{\text{EM}}$  is the electric motor efficiency, assumed to be 95% in this analysis, and  $n$  is the number of compression stages, determined by the outlet pressure ( $P_{\text{outlet}}$ , in units of bar or psi), the inlet pressure ( $P_{\text{inlet}}$ , in the unit of bar or psi), and the compression pressure ratio per stage. In this analysis, we assume the per-stage compression ratio is 2 for both  $\text{N}_2$  and  $\text{H}_2$ .  $Z$  is the compressibility factor, assumed to be 1 in this analysis,  $R$  is the gas constant (0.297 kJ/kg-K for  $\text{N}_2$ , 4.125 kJ/kg-K for  $\text{H}_2$ , and 0.978 kJ/kg-K for syngas),  $T$  is the inlet gas temperature in degrees Kelvin,  $\eta_{\text{C}}$  is the isentropic efficiency of compression, assumed to be 80% in this analysis, and  $k$  is the specific heat ratio (1.4 for  $\text{N}_2$  and  $\text{H}_2$ ).

Applying Equation 4, the electric energy required by the HB loop is 0.324 kWh/kg  $\text{NH}_3$ . This value is comparable to those reported in literature. Frattini et al. reported a compression power demand of 0.44 kWh/kg  $\text{NH}_3$  using an Aspen Plus simulation,<sup>14</sup> while Morgan et al. reported the energy required by the HB synthesis loop to be approximately 0.64 kWh/kg  $\text{NH}_3$  based on literature values.<sup>7,24</sup> These differences may stem from the variations in process specifications: pressures at which syngas  $\text{N}_2$  and  $\text{H}_2$  are produced, assumed compression efficiency, assumed electric motor efficiency, pressure of the HB reactor, and pressure drop in the HB loop.

## Various technologies for $\text{N}_2$ production from air separation

There are three main methods of separating  $\text{N}_2$  from air: cryogenic distillation, pressure swing adsorption (PSA), and membrane separation. Membrane separation cannot achieve a purity level high enough for ammonia production, especially at a high flowrate<sup>14</sup> and without a deoxygenator.<sup>7</sup> Moreover,  $\text{O}_2$  cannot be recovered as a co-product with membrane separation.<sup>26</sup> Therefore, we focus on the other two technologies in this analysis.

### 181 *Cryogenic distillation*

182           Cryogenic distillation accounts for more than 90% of the global production of N<sub>2</sub>.<sup>26</sup> It is suitable  
183 for large system production with impurity concentrations down to the parts-per-billion range.<sup>26</sup> Compared  
184 to other air separation methods, cryogenic distillation produces the purest N<sub>2</sub> and requires the smallest  
185 input power.<sup>26</sup> Cryogenic distillation works by utilizing the differences in boiling point of major air  
186 components: Air is cooled down to its dew point while the contaminants are removed, and then distilled  
187 into its individual components with a distillation column. The energy requirement for separating one  
188 standard cubic meter of pure N<sub>2</sub> at 8 bar ranges from 0.15 to 0.25 kWh, which is bound by two  
189 modifications to the double-column system. In this analysis, we use a mid-value of 0.2 kWh/m<sup>3</sup> at  
190 standard temperature (0 °C) and pressure (1 bar) (STP). With the density of N<sub>2</sub> at STP equal to 1.23  
191 kg/m<sup>3</sup>, 162 kWh of electricity is consumed per metric ton N<sub>2</sub> produced.<sup>26</sup> Since the liquefaction of air  
192 occurs at low temperatures and requires a steady energy supply, we assume for the base design case that  
193 the cryogenic distillation of air to produce N<sub>2</sub> utilizes grid electricity.

### 194 *Pressure swing adsorption*

195           PSA is suitable for small system production, with N<sub>2</sub> purity up to 99.99%.<sup>26</sup> A N<sub>2</sub>-PSA plant  
196 utilizes carbon molecular sieves, which in the adsorption mode preferably adsorb H<sub>2</sub>O, CO<sub>2</sub> and O<sub>2</sub> while  
197 letting N<sub>2</sub> pass through into a buffer tank. In the adsorbent regeneration mode, the pressurized gas in the  
198 adsorber is released to the atmosphere. The N<sub>2</sub> collected in the buffer tank serves as the final product.

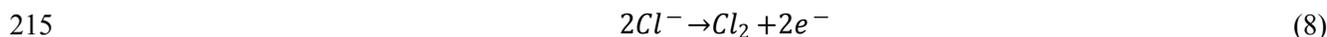
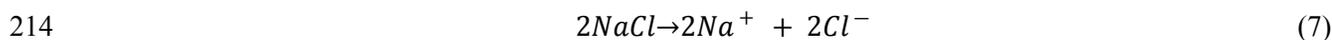
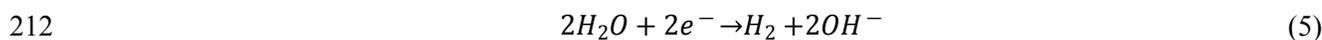
199           The PSA unit is more flexible in the context of renewable energy utilization, since it only needs a  
200 few minutes to reach its full production capacity. This feature is particularly useful when the energy  
201 supply for producing N<sub>2</sub> fluctuates significantly, as is the case with intermittent renewable energy. In  
202 contrast, the cryogenic ASU needs two hours before it starts to steadily produce N<sub>2</sub> and O<sub>2</sub>. It is estimated  
203 that 365 kWh of electricity is required to produce a metric ton of nitrogen at 8 bar, which is a typical  
204 operating pressure for N<sub>2</sub>-PSA unit.<sup>26</sup>

## 205 Various technologies for H<sub>2</sub> production

206 Four alternative H<sub>2</sub> production technologies are evaluated in this study, all of which satisfy the H<sub>2</sub>  
 207 purity requirement for the HB process and supply H<sub>2</sub> at 20 bar.<sup>20</sup>

### 208 *By-product H<sub>2</sub> from chlor-alkali processes*

209 H<sub>2</sub> may be available as a by-product from the chlor-alkali (CA) process, which coproduces  
 210 sodium hydroxide and chlorine as main products via electrolysis of a sodium chloride solution. Reactions  
 211 5 and 6 occur at cathode, while 7 and 8 occur at anode. Reaction 9 represents the overall reaction.<sup>27</sup>



217 Hydrogen as a by-product from CA plants is vented if not sold for industrial use.<sup>19,20</sup> The CA  
 218 process is a mature industrial technology capable of co-producing high-purity H<sub>2</sub> on a large scale (up to ~  
 219 0.4 million tons per year) and at a relatively low price (~ \$1/kg H<sub>2</sub>).<sup>19</sup> H<sub>2</sub> produced from the CA process is  
 220 of high purity (>99.9%), obviating the need for an additional purification process. Therefore, the post-  
 221 processing of H<sub>2</sub> only requires cooling/drying and compression. From a H<sub>2</sub> production standpoint,  
 222 electricity is needed for electrolysis of the sodium chloride solution and compression of H<sub>2</sub>, while heat is  
 223 required to process the sodium chloride solution. Electricity can be sourced from the grid or an onsite  
 224 combined heat and power (CHP) system. If the CA process has a CHP system, then the process heat  
 225 demand can be met solely by the recovered heat from the CHP. Otherwise, the process heat is provided by  
 226 natural gas boilers. A detailed process inventory is available in Lee et al., where four H<sub>2</sub> co-product  
 227 treatment methods are assessed: venting, combustion/substitution, mass allocation, and market value  
 228 allocation.<sup>19,27</sup> In this study, we assume that there is no on-site CHP and consider three treatments of by-

229 product H<sub>2</sub> — venting (as the base design case), combustion/substitution and mass allocation (as  
 230 sensitivity cases) — which cover the two extremes in terms of cradle-to-plant-gate GHG emissions. If the  
 231 CA plant is designed to vent by-product H<sub>2</sub>, it is treated as waste. However, if the CA plant is designed to  
 232 use byproduct H<sub>2</sub> for process heat, then the thermal energy in the H<sub>2</sub> exported for ammonia production  
 233 must be replaced by an equivalent thermal energy, which we assume to be sourced from natural gas.

#### 234 *By-product H<sub>2</sub> from steam cracking of natural gas liquids (NGLs)*

235 By-product H<sub>2</sub> from the steam cracking (SC) of NGLs has been of interest recently due to  
 236 increased shale gas production. It is estimated that 3.5 million tons of by-product H<sub>2</sub> can be available  
 237 annually from steam cracker plants.<sup>18</sup> Steam cracker plants use thermal cracking of hydrocarbon  
 238 feedstock, such as NGLs, to produce light olefins and other co-products. As shown in Reaction 10, H<sub>2</sub> is  
 239 available as a by-product of the cracking process.



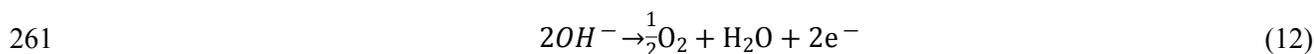
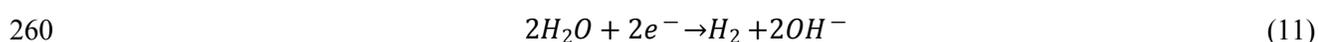
241 The heat required for cracking is usually provided by combusting a mixture of by-product H<sub>2</sub>,  
 242 natural gas, and/or surplus CH<sub>4</sub> from the cracking process. Alternatively, by-product H<sub>2</sub> may be separated  
 243 for export to other markets (e.g., ammonia production), and its heating value can be replaced with  
 244 combusting additional natural gas. The cracked gas is usually post-processed through quenching,  
 245 compression, and fractionation to separate the mixture into individual products. PSA can be employed to  
 246 separate and purify by-product H<sub>2</sub> to meet the requirements of the HB process. The energy intensity of the  
 247 PSA unit is estimated at 0.5 kWh/kg H<sub>2</sub>. A detailed process inventory is available in Lee et al.<sup>18</sup>

248 Two co-product treatment methods are considered: substitution (as the base case) and mass  
 249 allocation (as a sensitivity case). The substitution method is similar to what has been described above for  
 250 the CA process, since most steam cracker plants combust by-product H<sub>2</sub> on site for process heat. For mass  
 251 allocation, the mass balance between products is used to allocate environmental burdens.

252 *Low-temperature electrolysis (LTE) from renewable electricity*

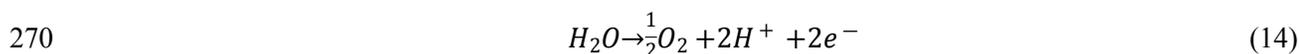
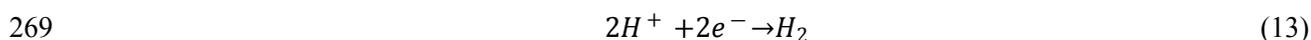
253 There are two common water electrolyzer technologies used in low-temperature applications:  
 254 alkaline and proton exchange membrane (PEM). Both are mature technologies and have the potential to  
 255 produce H<sub>2</sub> at a large scale, but the latter is more suitable for use with intermittent renewables due to its  
 256 fast response rate.<sup>5,16</sup>

257 As its name suggests, alkaline electrolysis employs a 25%–30% aqueous KOH solution as the  
 258 electrolyte in which the electrodes are immersed, separated by a diaphragm.<sup>28</sup> Reactions 11 and 12  
 259 represent the reactions occurring at the cathode and anode, respectively, with a direct current application:



262 H<sub>2</sub> is produced at the cathode with a high purity, typically ranging from 99.5%–99.9% after drying. The  
 263 system energy efficiency of the alkaline electrolyzer is in the range of 51%–60% based on the lower  
 264 heating value of H<sub>2</sub>.

265 PEM electrolysis utilizes a solid proton-conducting membrane stack on which the electrodes are  
 266 usually directly mounted. Reactions 13 and 14 represent the reactions taking place at the cathode and  
 267 anode, respectively. Both reactions happen in the acidic regime, in contrast to those of alkaline  
 268 electrolysis.

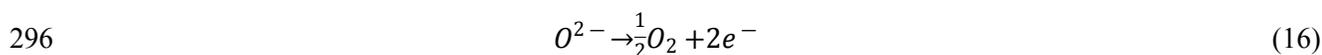
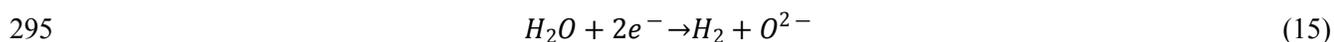


271 PEM electrolysis produces H<sub>2</sub> at the cathode with a purity over 99.99%, due to the very low  
 272 permeation across the PEM. A PEM electrolyzer cell can operate under higher current density (>1500  
 273 milliamps per square centimeter [mA/cm<sup>2</sup>]) than the alkaline electrolyzer cell (200–600 mA/cm<sup>2</sup>), which  
 274 supports the higher-pressure operation of PEM electrolysis and reduces the downstream burden to  
 275 compress the syngas at the HB reactor.<sup>16,28</sup>

276 PEM electrolyzer technology has an energy efficiency of up to 72%.<sup>20</sup> In this analysis, we assume  
 277 that PEM electrolyzer energy efficiency is 63% based on a lower heating value of H<sub>2</sub>, meaning that 63%  
 278 of the input electric energy would be stored in the H<sub>2</sub> product in the form of chemical energy (lower  
 279 heating value).<sup>29</sup> The electricity for electrolysis is assumed to be sourced from renewable resources, such  
 280 as wind or solar. However, to balance the supply and demand of intermittent and geographically isolated  
 281 renewable electricity, storage equipment, such as an H<sub>2</sub> storage tank and/or battery, is needed to enable a  
 282 consistent H<sub>2</sub> supply to the HB loop. This may increase the capital investment and impact the cost of the  
 283 ammonia produced, which is beyond the scope of this analysis.

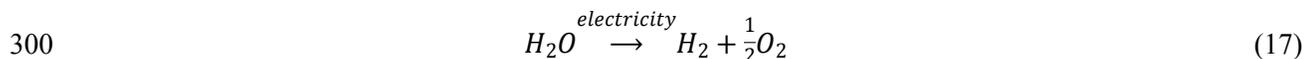
#### 284 *High-temperature electrolysis with solid oxide electrolysis cell*

285 A solid oxide electrolysis cell (SOEC) is used for high-temperature electrolysis (HTE)  
 286 applications due to its ability to withstand high temperatures. H<sub>2</sub> production from high-temperature  
 287 electrolysis using SOEC provides higher electrical energy efficiency than low-temperature electrolysis,  
 288 since part of the total process energy requirement is satisfied by heat rather than electricity.<sup>6</sup> In this  
 289 analysis, we assume that the required heat and electricity are provided by a high-temperature gas-cooled  
 290 reactor (HTGR) using uranium (U-235) as fuel. The upstream energy use and emissions associated with  
 291 uranium mining, conversion, enrichment, and transportation are estimated by the GREET model.<sup>20,30</sup> In  
 292 the HTGR, heat is generated to increase the temperature of steam entering the SOEC to approximately  
 293 800°C. The remaining heat drives a high-efficiency gas turbine to produce electricity for the electrolysis  
 294 process. Reactions 15 and 16 represent the reactions at the cathode and anode of SOEC, respectively.<sup>28</sup>



297 H<sub>2</sub> is produced at the cathode and separated from the steam by cooling and condensation of water.

298 For both water electrolysis pathways, LTE and HTE, the overall reaction is the same and is given  
 299 by Reaction 17:



301 According to the reaction stoichiometry, when producing 1 kg of H<sub>2</sub>, 7.9 kg of O<sub>2</sub> is produced as a by-  
 302 product. In this analysis, no credits have been given to by-product O<sub>2</sub> from N<sub>2</sub> production from air or H<sub>2</sub>  
 303 production from water.

304 Table 1: Inventories for conventional and alternative ammonia production

Technologies	Electricity (GJ)	Natural gas (GJ)	N <sub>2</sub> @ 8 bar (metric ton)	H <sub>2</sub> @ 20 bar (metric ton)	Energy efficiency
<b>Ammonia production (per metric ton NH<sub>3</sub>)</b>					
Integrated conventional ammonia production based on SMR	0.47	36.5*	-	-	
<b>N<sub>2</sub> production (per metric ton N<sub>2</sub>)</b>					
Cryogenic distillation	0.584	-	-	-	
Pressure swing adsorption	1.313	-	-	-	
<b>H<sub>2</sub> production (per metric ton H<sub>2</sub>)</b>					
Electrolysis of sodium chloride solution	6.225	-	-	-	
Steam cracking of NGLs	1.8	120	-	-	
Low-temperature PEM electrolysis	190	-	-	-	63%
High-temperature solid oxide electrolysis	-	-	-	-	50%**
<b>Ammonia production (per metric ton NH<sub>3</sub>)</b>					
Electric-based Haber-Bosch	1.165	-	0.822	0.178	

305 \* 28.3% of the total natural gas input is used as fuel.

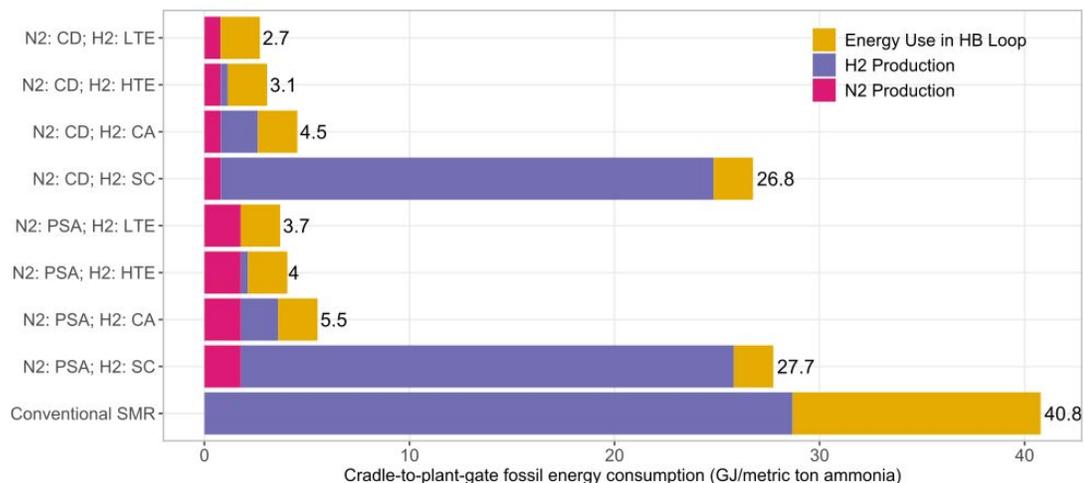
306 \*\* A thermal-to-hydrogen efficiency of 50% is used.<sup>31</sup>

307

### 308 3. Results and Discussion

#### 309 Cradle-to-plant-gate fossil energy consumption

310 Figure 3 shows the cradle-to-plant-gate fossil energy consumption for various ammonia  
 311 production pathways. The conventional SMR pathway consumes the highest amount of fossil energy  
 312 because it uses mainly fossil natural gas as feedstock and process fuel.



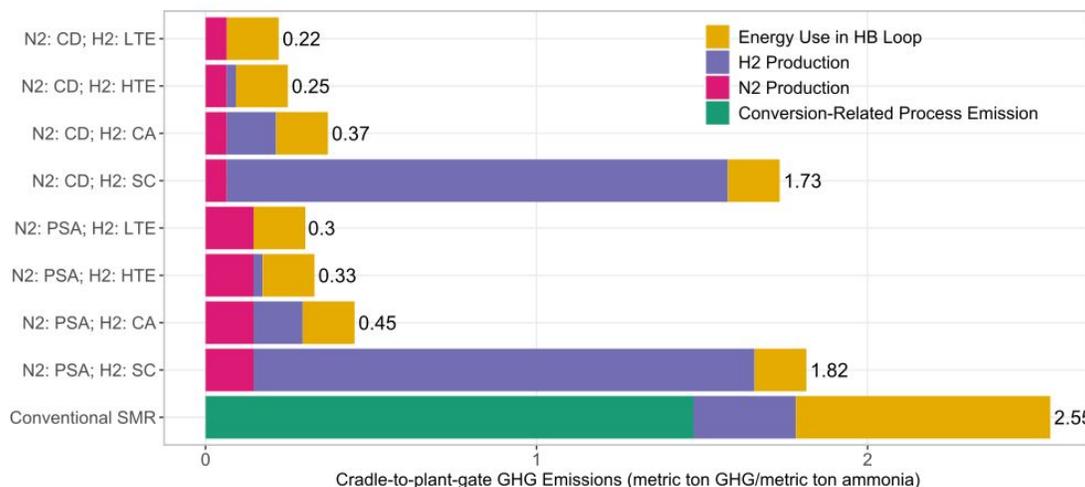
313

314 Figure 3: Cradle-to-plant-gate fossil energy consumption in base case co-product treatment methods. Electricity  
 315 source for N<sub>2</sub> production and HB loop: 2019 U.S. grid generation mix. “Energy use in HB loop” represents the fossil  
 316 energy consumed for producing and using natural gas and/or electricity in the HB loop.

317 For the alternative ammonia production pathways, preparing syngas via N<sub>2</sub>-CD and H<sub>2</sub>-LTE  
 318 consumes the least fossil energy per metric ton of NH<sub>3</sub> produced, a 93% reduction from the conventional  
 319 SMR pathway. This is because most of the energy in this pathway is required for H<sub>2</sub> production, and we  
 320 assume that renewable electricity generated from solar or wind is used for this process. The majority of  
 321 the energy consumed when producing H<sub>2</sub> via HTE is also non-fossil, since this process utilizes nuclear  
 322 energy for both heat and power. For H<sub>2</sub> produced from the CA process, more fossil energy is consumed  
 323 than in water electrolysis, which sources renewable electricity, since the U.S. grid mix is assumed to be  
 324 used in the CA process for the cooling/drying and compression of H<sub>2</sub>. Of the 2019 U.S. grid mix, 63% is  
 325 generated from fossil resources — coal and natural gas. A significantly higher amount of fossil energy is  
 326 consumed in the H<sub>2</sub>-SC pathways as a result of the substitution method used to evaluate by-product H<sub>2</sub> in  
 327 the base case, as mentioned earlier. In that method, natural gas combustion is assumed to substitute for the  
 328 heat loss resulting from selling byproduct H<sub>2</sub>. However, even in this scenario, the ammonia production  
 329 consumes 32% less fossil energy than the conventional SMR pathway.

## 330 Cradle-to-plant-gate GHG emissions

331 Figure 4 depicts the cradle-to-plant-gate GHG emissions for various ammonia production  
 332 pathways.



333

334 Figure 4: Cradle-to-plant-gate GHG emissions in base case co-product treatment methods. Electricity source for N<sub>2</sub>  
 335 production and HB loop: 2019 U.S. grid generation mix. The “conversion-related process emission” from the  
 336 conventional SMR pathway consists of CO<sub>2</sub> generated from WGS reactor per Reaction 2. “Energy use in HB loop”  
 337 represents the emissions associated with producing and using energy in the HB process, which includes GHG  
 338 emissions from natural gas combusted in industrial boilers to provide process heat.

339 Figure 4 shows that all alternative pathways generate lower cradle-to-plant-gate GHG emissions  
 340 than the conventional SMR pathway. Of all the alternative pathways, obtaining N<sub>2</sub> from cryogenic  
 341 distillation and H<sub>2</sub> from LTE using renewable electricity has the lowest cradle-to-plant-gate GHG  
 342 emissions, representing a 91% decrease from the conventional SMR pathway.

343 The contribution of N<sub>2</sub> production to cradle-to-plant-gate GHG emissions varies among different  
 344 ammonia production pathways. For the pathway where N<sub>2</sub> production has the highest contribution to the  
 345 cradle-to-plant-gate GHG emissions (N<sub>2</sub>-PSA and H<sub>2</sub>-LTE), it accounts for 48% of the total emissions.  
 346 This is because producing N<sub>2</sub> via PSA is more energy intensive than cryogenic distillation (Table 1) and  
 347 H<sub>2</sub> produced from renewable electricity powered LTE does not contribute to GHG emissions. It is  
 348 noteworthy that for the conventional SMR pathway, N<sub>2</sub> in the syngas is not produced with ASU but by

349 depleting the O<sub>2</sub> in the air during natural gas combustion for process heat, and thus does not explicitly  
350 contribute to cradle-to-plant-gate GHG emissions.

351 In this analysis, we assume that all the alternative pathways use a common HB process to produce  
352 NH<sub>3</sub>, which consumes 1.165 GJ of U.S. grid electricity per metric ton NH<sub>3</sub> and leads to 0.156 metric tons  
353 GHG/metric ton NH<sub>3</sub>. For pathways where H<sub>2</sub> is produced mainly from non-fossil sources (LTE and  
354 HTE), this emission translates into a 48% to 71% contribution to the cradle-to-plant-gate GHG emissions.  
355 However, if H<sub>2</sub> is a by-product from the steam cracker plant under the substitution co-product treatment  
356 method, this emission contributes only 9% to the overall life cycle emissions.

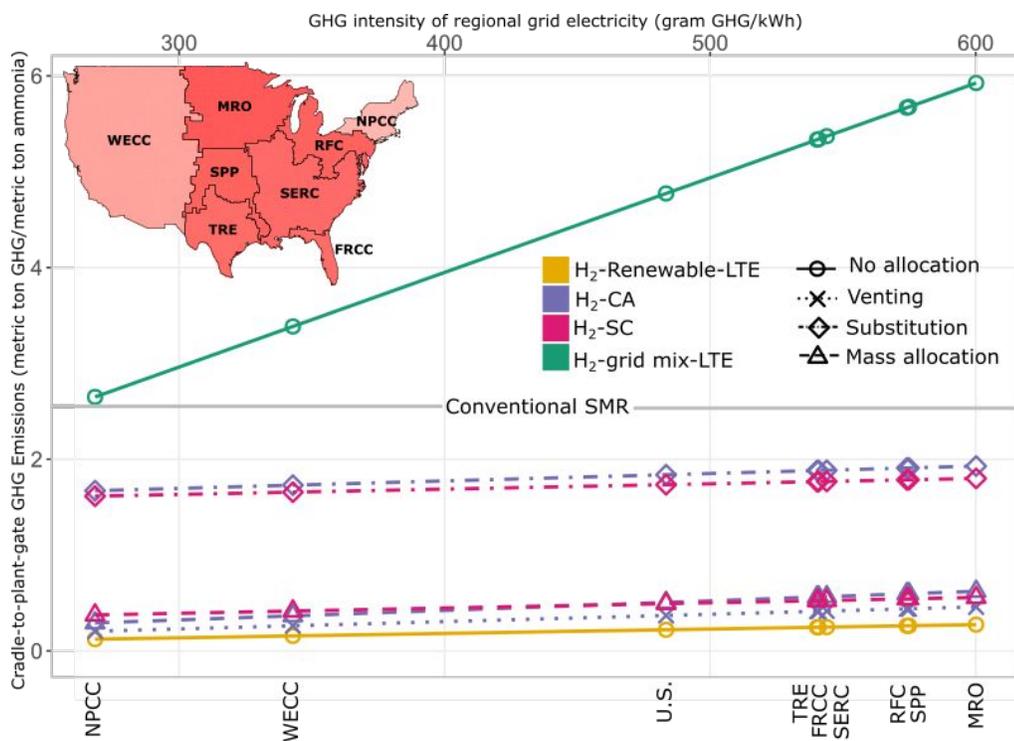
## 357 Sensitivity analysis

### 358 Regional electricity generation mix

359 Figure 5 shows the impact of the regional utility grid generation mix on the cradle-to-plant-gate  
360 GHG emissions for ammonia production. Here, we assume that N<sub>2</sub> is produced via cryogenic distillation  
361 and ammonia is produced via HB using the regional grid generation mix. For electricity consumed in  
362 various H<sub>2</sub> production pathways (e.g., H<sub>2</sub>-CA and H<sub>2</sub>-SC), we also assume that regional grid generation  
363 mix is used.

364 There are eight major electricity regions in the contiguous U.S., which have different shares of  
365 electricity generation technologies. The GHG emission intensity of different regional grid generation  
366 mixes is shown in Figure 5. A lower GHG emission intensity for the electricity generation mix results in  
367 lower cradle-to-plant-gate GHG emissions for ammonia.

368 Figure 5 demonstrates that if the Northeast Power Coordinating Council (NPCC) grid mix is used  
369 to prepare syngas via N<sub>2</sub>-CD and H<sub>2</sub>-LTE, instead of the U.S. average grid mix, the cradle-to-plant-gate  
370 GHG emission per metric ton of ammonia produced can be reduced by 44%. This is because the NPCC  
371 grid has the largest share of near-zero-carbon nuclear and hydro power generation of all utility regions.<sup>20</sup>



372  
 373 Figure 5: Impact of regional electricity grid generation mix and co-product treatment method on cradle-to-plant-gate  
 374 GHG emissions of various ammonia production pathways. The grey horizontal line represents the cradle-to-plant-gate  
 375 cradle-to-plant-gate GHG emissions of the conventional SMR pathway in the context of 2019 U.S. grid generation mix. Regional  
 376 electric grids: Western Electricity Coordinating Council (WECC), Midwest Reliability Organization (MRO),  
 377 Southwest Power Pool (SPP), Texas Reliability Entity (TRE), SERC Reliability Corporation (SERC), Florida  
 378 Reliability Coordinating Council (FRCC), Reliability First Corporation (RFC), and Northeast Power Coordinating  
 379 Council (NPCC).

380 Figure 5 also shows that if grid electricity is used to produce H<sub>2</sub> via LTE (H<sub>2</sub>-grid mix-LTE), the  
 381 cradle-to-plant-gate GHG emissions would increase by a factor of 21 over using renewable electricity  
 382 from solar/wind to power H<sub>2</sub>-LTE, exceeding those from the conventional SMR pathway. This finding  
 383 suggests using electricity generated mainly from fossil resources to electrolyze water to produce H<sub>2</sub>  
 384 needed for the HB process would increase life cycle GHG emissions compared to the natural gas SMR  
 385 pathway, which agrees well with previous findings in the literature.<sup>12</sup>

386 Therefore, the carbon intensity of the electricity used to power all processes in the ammonia  
 387 production pathway is crucial for the cradle-to-plant-gate GHG emissions associated with ammonia  
 388 production. In this analysis, we assume that grid electricity is used to power N<sub>2</sub>-CD and ammonia-HB,  
 389 since these processes require a steady energy supply to operate in the continuous mode. The cradle-to-

390 plant-gate GHG emissions of ammonia can be further reduced if renewable electricity can be supplied  
391 continuously to provide the energy required by N<sub>2</sub> production and the HB synthesis loop. In that case, the  
392 ammonia produced can be carbon-free. However, mitigating the intermittency of renewable resources  
393 such as wind and solar would require energy storage, which impacts the economics of their supply and  
394 thus the economics of ammonia production.

### 395 Co-product treatment methods for H<sub>2</sub> production

396 Figure 5 also illustrates the impact of the H<sub>2</sub> by-product treatment method on the cradle-to-plant-  
397 gate GHG emissions for various ammonia production pathways.

398 For byproduct H<sub>2</sub> from CA plants, using the substitution co-product treatment method results in  
399 higher cradle-to-plant-gate GHG emissions (1.84 metric tons GHG/metric ton ammonia) than the venting  
400 treatment (0.37 metric ton GHG/metric ton ammonia), due to the additional natural gas used to substitute  
401 for the energy in the exported H<sub>2</sub>. Employing mass allocation instead of venting slightly increases the  
402 cradle-to-plant-gate GHG emissions (0.51 metric ton GHG/metric ton ammonia). This is because instead  
403 of being treated as a waste, as in mass allocation, the environmental burdens of the CA plant are  
404 distributed between sodium hydroxide, chlorine, and H<sub>2</sub> based on their mass shares in the total plant mass  
405 output.

406 A similar conclusion can be drawn for byproduct H<sub>2</sub> from steam cracker plants: The substitution  
407 co-product treatment method leads to higher cradle-to-plant-gate GHG emissions than the mass allocation  
408 treatment. Despite the co-product treatment methods, the life cycle GHG reduction benefits of all  
409 alternative ammonia production pathways using by-product H<sub>2</sub> are robust compared to the conventional  
410 SMR pathway.

### 411 Key process parameters

412 In this analysis, the assumptions for key process parameters are shown in Table 2. We assess how  
413 varying these parameters within predefined ranges affects the cradle-to-plant-gate GHG emissions of

414 ammonia. Electrolyzer efficiency for H<sub>2</sub>-LTE has not been included, since renewable electricity from  
 415 solar/wind is assumed to power water electrolysis, and thus the efficiency value is inconsequential to  
 416 GHG emissions.

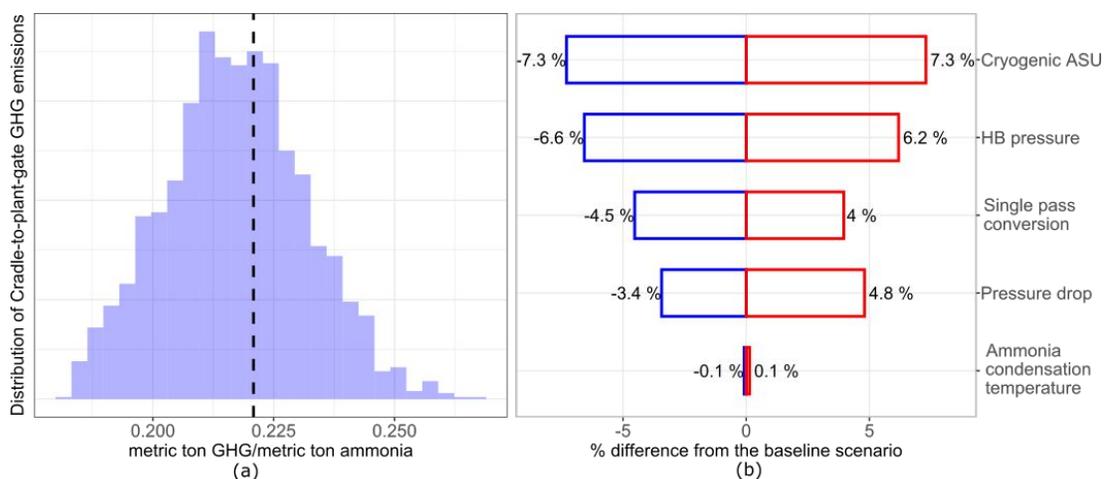
417 Table 2: Key process parameters and their potential ranges assumed for stochastic analysis

	<b>Assumption</b>	<b>Range</b>	<b>Unit</b>
HB reaction pressure	200	150 – 250 <sup>5,7</sup>	bar
Pressure drop in the HB loop	6%	3% – 10%	
Single-pass conversion rate in HB loop	15%	10% – 35% <sup>7</sup>	
Electricity use for cryogenic ASU	0.2	0.15 – 0.25 <sup>26</sup>	kWh/m <sup>3</sup>
Ammonia condensation temperature	-30	-25 – -33 <sup>5</sup>	°C

418  
 419 We randomly sampled each parameter within its corresponding range 2000 times, assuming  
 420 uniform distribution, and used the sampled values to generate the cradle-to-plant-gate GHG emission  
 421 results. Figure 6(a) indicates that the assumed ranges of key process parameters lead to a wide range in  
 422 cradle-to-plant-gate GHG emissions from the N<sub>2</sub>-CD and H<sub>2</sub>-LTE pathway. The baseline value is 0.221  
 423 metric ton GHG/metric ton NH<sub>3</sub>, as indicated by the dashed line, with a standard deviation of 0.015  
 424 metric ton GHG/metric ton NH<sub>3</sub>. By decreasing the HB reactor pressure, reducing the pressure drop in the  
 425 HB loop, increasing the single-pass conversion rate, and reducing the electricity consumption per unit of  
 426 N<sub>2</sub> produced, the cradle-to-plant-gate GHG emission of ammonia can be reduced by 20% to 0.178 metric  
 427 ton GHG/metric ton NH<sub>3</sub>.

428 This is further confirmed by the sensitivity analysis shown in Figure 6(b), which shows the effect  
 429 of varying each parameter within the specified range, one at a time. In the tornado plot, the x-axis  
 430 indicates the percentage differences induced by each process parameter as compared to the baseline  
 431 scenario. For the N<sub>2</sub>-CD and H<sub>2</sub>-LTE pathway to produce ammonia, the electricity consumed to produce  
 432 one unit of N<sub>2</sub> is the most influential parameter. This is partly because in this pathway, the life cycle GHG  
 433 emissions resulting from N<sub>2</sub> production contribute 29% to the life cycle results. Reducing the electricity

434 consumption from 0.2 to 0.15 kWh/m<sup>3</sup> N<sub>2</sub> produced can lower the cradle-to-plant-gate GHG emissions by  
 435 7.3%. Reducing the HB reaction pressure from 200 bar to 150 bar while maintaining the single-pass  
 436 conversion rate leads to a 6.6% decrease in the cradle-to-plant-gate GHG emissions. Improving the  
 437 single-pass conversion rate in the HB loop from 15% to 35% would reduce the cradle-to-plant-gate GHG  
 438 emissions of ammonia production by 4.5%, since a higher single-pass conversion rate would reduce the  
 439 amount of recycled syngas that needs to be recompressed. A single-pass conversion rate of 35%, instead  
 440 of 15%, would reduce the size of the recycled syngas stream by two-thirds. Therefore, less energy would  
 441 be required for recycled syngas recompression, if the single-pass pressure drop in the HB loop remains  
 442 the same. With a lower single-pass pressure drop, the energy consumption for recycled syngas  
 443 recompression would be further reduced.



444  
 445 Figure 6: Impacts of assumptions on key process parameters on the cradle-to-plant-gate GHG emission of ammonia  
 446 produced via N<sub>2</sub>-CD and H<sub>2</sub>-LTE.

#### 447 4. Conclusion

448 In this study, we evaluated various ammonia production pathways in terms of their cradle-to-  
 449 plant-gate energy use and GHG emissions. Four methods for syngas H<sub>2</sub> production were assessed. LTE  
 450 using renewable electricity outperforms all other technology pathways for H<sub>2</sub> production with respect to  
 451 cradle-to-plant-gate GHG emissions of ammonia. However, the successful deployment of this technology  
 452 may require energy storage to buffer the intermittent renewable electricity, which may negatively impact

453 the economics of low-carbon ammonia production. Sourcing H<sub>2</sub> from HTE with SOEC using nuclear  
454 power can produce ammonia with low cradle-to-plant gate GHG emissions similar to sourcing H<sub>2</sub> from  
455 LTE using wind/solar electricity, but has the advantage of a steady supply of electricity (i.e., nuclear  
456 electricity), which may improve the economics of producing low-carbon ammonia. Utilizing the by-  
457 product H<sub>2</sub> from chlorine-alkali and steam cracker plants has the potential to produce ammonia at a large  
458 scale. However, the cradle-to-plant gate GHG emission of the ammonia produced depends on the co-  
459 product treatment method of by-product H<sub>2</sub>. Two methods for syngas N<sub>2</sub> production are assessed: The  
460 PSA technology is more energy intensive but has a faster response rate than cryogenic distillation. The  
461 life cycle GHG reduction benefits of all alternative ammonia production pathways are robust compared to  
462 the conventional SMR pathway.

## 463 5. Conflicts of interest

464 There are no conflicts of interest to declare.

## 465 6. Acknowledgment

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