

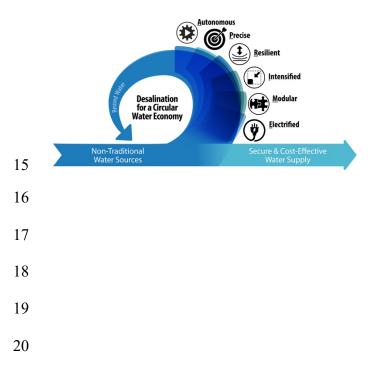


Desalination for a Circular Water Economy

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1	Desalination for a Circular Water Economy
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12	modular, and electrified technologies enabling distributed desalination and fit-for-purpose reuse.
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21 ABSTRACT

22 Today's water systems are enabled by ample fresh water sources, low-cost centralized treatment, 23 and facile wastewater disposal. Climatic change, aging infrastructure, and source water 24 contamination have exposed the vulnerabilities of this linear water paradigm. While seawater 25 desalination enables coastal communities to augment their supply, more broadly securing water 26 systems for municipal, industrial, and agricultural water users will require distributed 27 desalination and fit-for-purpose reuse of nontraditional water sources. Our linear water economy 28 must evolve into a resilient circular water economy, where water is continuously reused and 29 "contaminants" become the feedstocks for other economically valuable processes. Technology 30 innovation is needed to deliver autonomous, precise, resilient, intensified, modular, and 31 electrified desalination systems that reduce the cost, improve the performance, and enhance the 32 resilience of nontraditional water reuse systems. Meanwhile, strong federal leadership and 33 coordination is needed to accelerate desalination research, promote information gathering efforts 34 to direct technology development, and create an expanded role for non-profit organizations in 35 knowledge dissemination.

36 BROADER CONTEXT

21st century water demands will not be satisfied using our 20th century paradigm for water supply 37 38 and water treatment. A century of incremental water efficiency innovations, expansion of 39 reservoir storage, long-distance freshwater conveyance, and a smattering of seawater 40 desalination in our most affluent communities will fail to deliver the resilient, carbon-neutral 41 water supplies the world needs. Augmenting existing systems with an expanding array of 42 diverse, nontraditional water sources that we currently discard (e.g., wastewater, brackish 43 groundwater, produced water, and agricultural drainage) and deploying small-scale desalination 44 and fit-for-purpose water reuse technologies that are autonomous, precise, resilient, intensified, 45 modular, and electrified will be key to stabilizing our water supplies. This Opinion details the 46 technology innovations and policy interventions that will be critical to cost-effectively tapping 47 these new water supplies and highlights a new U.S. Department of Energy investment to move 48 this vision forward.

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52	Water is a linchpin of the economy and critical to the security and prosperity of our
53	communities. The U.S. alone uses more than 1.2 billion m ³ per day, ¹ primarily sourced from
54	distant freshwater sources, treated in centralized facilities, used inefficiently, and discharged
55	back into the environment as a waste stream. These 20th century "linear" practices are not
56	sustainable in the 21st century. Climate change, population growth, and depleted groundwater
57	aquifers are exacerbating supply uncertainty; ^{2, 3} centralized water infrastructure is aging to the
58	point of failure; ⁴ and wastewater and concentrate discharge is costly to both industry and the
59	environment. Securing water supplies for municipal, industrial, and agricultural end uses will
60	require technology innovation to support a circular water economy where nontraditional water
61	sources-from municipal wastewater, brackish aquifers, or industrial discharges-are treated to
62	fit-for-purpose standards and reused locally.

63

64 Desalination, the process of separating ions from water, will be an essential treatment 65 step for tapping and reusing many of these nontraditional water sources. While desalination is most commonly associated with efficiently producing freshwater from the sea,⁵ desalination 66 67 processes are also integral to recycling municipal wastewater, dewatering highly saline produced water, and reusing industrial wastewater. For these high- and low-salinity waters, waters with 68 69 complex chemistries, and waters with end-uses other than municipal distribution, state-of-the-art 70 desalination technologies are not nearly as thermodynamically efficient. Nontraditional water desalination technologies often operate at $10-100 \times$ the thermodynamic limit of separation^{6, 7} 71 (Figure 1), and treated water costs are at least an order of magnitude higher than traditional 72 freshwater sources.^{8,9} 73

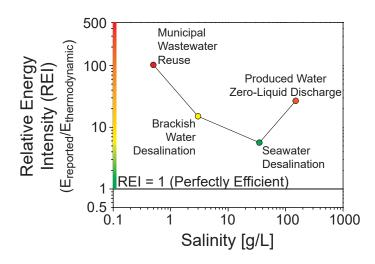


Figure 1. Thermodynamic energy efficiency of select nontraditional water sources treated using state-of-the-art technologies (5-7).

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76 Unfortunately, desalinating nontraditional waters at the thermodynamic limit would not make these sources cost-competitive. Energy consumption accounts for only about one quarter to 77 one half of the typical lifecycle cost of water desalination treatment trains.¹⁰ The remaining 78 79 treatment costs stem from permitting, capital, and non-energy operational costs that benefit from 80 strong economies of scale (Figure 2). For example, the cost of seawater reverse osmosis scales approximately as treatment capacity to the -0.125 power (Q^{-0.125}), meaning that the lifecycle cost 81 82 of water from a desalination plant designed to treat 10,000 m³/hr is half that of a plant designed to treat 100 m³/hr.8-11 83

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But large desalination plants also require large distribution systems. Since the unit cost of conveyance (i.e., building and maintaining pipe networks and moving water) scales immutably with distribution system size, total lifecycle unit cost for large scale systems is dominated by conveyance (Figure 2). For the seawater desalination facilities producing greater than 10,000 m^{3} /hr, we estimate that the costs of transport are greater than the costs of treatment. These

90 conveyance costs limit the cost-optimal size of seawater desalination facilities—most plants are

91 built at the 10's of thousands of m³ per hr scale—and preclude the existence of large national

92 water grids.

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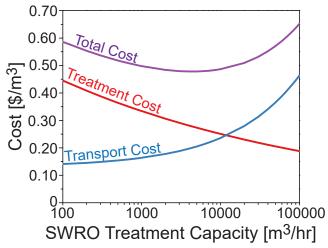


Figure 2. Approximate total lifecycle cost of municipal water from seawater reverse osmosis. Conveyance costs are highly variable and a function of topography, network size, network age, and failure rates. Here, conveyance is estimated by relating average municipal consumption volumes to distribution area and pipe network size, and by assuming a median pipe cost of \$35/linear foot and a lifespan of 75 years (*11-12*).

95	Cost-effectively tapping nontraditional water sources for enhanced water security
96	necessitates new paradigms for water system design. Most nontraditional water sources are small
97	scale, geographically dispersed, chemically heterogeneous, and far more temporally varied than
98	traditional freshwater or seawater sources. These nontraditional sources will only be cost
99	competitive if we minimize transport costs and vastly reduce the lifecycle costs of small scale
100	treatment systems. First, we need to evolve toward a circular water economy, in which water is
101	treated locally and to fit-for-purpose standards. Second, we need to replace conventional

102 economies of scale in treatment with economies of scale in device manufacturing, installation,103 and operation.

104 Together, these two paradigm shifts in network and system design would enhance water 105 resiliency, minimize the environmental impacts of wastewater discharge, and facilitate water use 106 efficiency across water end users. In the power generation and mining sectors, wastewater could 107 be efficiently dewatered, delivering pure water for process needs, valuable elements to market, 108 and solid wastes for safe sequestration.^{13, 14} In the oil and gas sector, locally tailored treatment 109 could desalinate produced water for beneficial reuse, while concentrate streams could be transformed into valuable oilfield chemicals such as caustic soda and sulfuric acid.¹⁵ Small 110 111 desalination plants may leverage the revolution in affordable, but intermittent, renewable energy resources to deliver sustainable water supply¹⁶⁻²⁰ and provide demand response services that 112 113 enhance grid stability. And in small and medium-size manufacturing operations, wastewater 114 could be retreated and reused onsite by autonomous water treatment "appliances" that would be 115 serviced by a growing "Bluetech" workforce. The wide ranging applications for desalination 116 technologies extend far beyond sourcing water from the sea.

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While the cost savings from minimizing water conveyance through local reuse will be greatest for water end users who have not already invested in building and maintaining conveyance infrastructure, this paradigm shift also benefits existing water systems. First, distributed water reuse could complement our traditional water supply systems in municipal settings. Building scale and industrial water reuse would minimize demand for new freshwater resources or provide critical reserve capacity during periods of drought. Second, the manufacturing, installation, and operations innovations that are essential to reducing costs in small scale systems will also generate cost savings for large scale systems. We need look no
further than the thousands of stacked membrane modules in today's large seawater desalination
facilities for early support of this concept, though some of the greatest benefits of modularity
may actually be realized in the facile permitting, faster deployment, and enhanced resiliency of
modular systems that are not captured in generic technology capital and operational cost
assessments.

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132 But today's technologies cannot fully support this vision. We need a new generation of 133 low-cost processes that are inexpensive to customize, manufacture, operate, and maintain. The 134 transition from designing large, centralized, custom-built, and manually operated facilities to 135 manufacturing small, decentralized, modular, and smart water treatment systems cannot be 136 achieved by simply scaling down existing treatment plant designs or introducing marginal 137 improvements to current treatment processes. Instead, we need a suite of next generation 138 desalination technologies that autonomously optimize process performance, precisely and 139 efficiently remove trace constituents of concern, are robust to variable water quality, desalinate 140 water and concentrate brines in as few, modular units as possible, are readily manufactured, and 141 do not require a constant resupply of consumable chemical reagents. In short, the next revolution 142 in distributed desalination and reuse can only be realized by developing a suite of <u>a</u>utonomous, 143 precise, resilient, process-intensified, modular, and electrically powered technologies (A-144 PRIME) that support locally tailored treatment at a cost comparable to other inland and industrial 145 sources (Table 1).

146 **Table 1:** Technology innovations for a circular water economy.

Attribute	Current Systems	Future Systems	Research Needs
<u>Autonomous</u> Sensor networks and adaptive process control for efficient and secure water treatment systems.	Treatment systems operate at nominally steady-state conditions, relying on human intervention to adapt to variations in water quality and correct failures in process performance.	Simple, robust sensor networks coupled with sophisticated analytics and controls systems enhance performance efficiency, process reliability, and treatment train adaptability while minimizing the need for onsite, manual interventions.	Internet of things infrastructure for water that is generalizable, secure, and resilient to sparse data and sensor calibration errors. Reduced order models for closed loop feedback control and optimization.
Precise Targeted removal of trace solutes for regulatory compliance, enhanced water recovery, and resource valorization.	Treatment systems rely on inefficient bulk separation processes to remove solutes that occur at trace levels (e.g., boron, hexavalent chromium, lead, nitrate, perchlorate, selenium, uranium, lithium, iodide). Separation processes rarely selective.	Targeted trace contaminant removal minimizes treatment cost and energy intensity, while reducing system complexity and residual disposal costs. Precise separation or transformation of constituents enables valorization of waste streams, offsetting the lifecycle costs of desalination.	Rational materials design coupled with high throughput materials screening yields materials and processes with high removal efficiency for hard-to-treat or valuable-to- extract compounds.
<u>Resilient</u> Adaptable water supply networks, flexible treatment processes, and robust materials.	Treatment trains are coupled to rigid networks. Processes not designed for highly variable feedwater volume and composition. Storage and distribution systems are corroding, leaking, and costly to replace.	Optimized network designs enable flexible, fit- for-purpose reuse. Operando characterization of materials and processes inform adaptive process control and extend materials lifespan in challenging environments.	Computationally efficient multiscale modeling and multi-objective optimization platforms for materials, processes, and networks.
Intensified Energy efficient concentrate management by eliminating first order phase transitions.	Thermal brine management technologies are energy intensive, complex, and poorly suited for the modest flows of small-scale desalination systems.	Waste heat driven or non-thermal technologies for brine concentration reduce dependence on finite injection well capacity, minimize brine conveyance, lower concentration energy intensity, and enhance water recovery from nontraditional sources.	Models of nucleation and crystalline phase growth for precise control of precipitation. Processes that leverage multiple driving forces. Topology optimization and precision manufacturing for improved process performance.
<u>Modular</u> Materials, manufacturing, and operational innovations that propel modular membrane systems into new treatment applications.	Fouling and scaling of membrane systems, poor removal of low molecular weight and neutral compounds, membranes are not customized for specific feedwater compositions.	Customizable, mass-manufactured modular treatment systems (including membranes) enable tailored water reuse of high fouling and scaling potential waters.	Next generation membrane materials and processes through manufacturing innovation for customization and scalable deployment.
<u>Electrified</u> Electrifying water treatment processes and facilitating their integration with a clean energy grid.	Treatment trains use large volumes of energy intensive commodity chemicals. Processes are designed for steady-state operation, reducing their ability to ramp in response to fluctuations in water quality and the price of electricity.	Electrified water treatment processes and optimized pumping schedules reduce water costs while stabilizing the energy grid.	High-fidelity simulation models and operando characterization of electrochemical processes that include chemical, flow, faradaic, and non-faradaic effects in complex fluid compositions. Integrated energy-water economic models to quantify stability, reliability, and flexibility derived from water sector electrification and demand response.

148	Fortunately, the same technology innovations that are critical to expanding the distributed
149	desalination and fit-for-purpose reuse of nontraditional waters will also address many of the
150	ongoing challenges faced by centralized municipal systems that will continue to supply the
151	majority of our clean water. Municipal water treatment systems will benefit tremendously from
152	more widespread automation with active fault detection, from an ability to precisely remove
153	problematic contaminants like PFOS/PFOA and arsenic, from more robust materials to prevent
154	corrosion and intensified processes to save energy and shrink plant footprints. Modularity may
155	accelerate the permitting and approval process in municipal systems, while process
156	electrification is essential to broader scale decarbonization efforts and enhancing the potential for
157	water treatment systems to provide energy services to the electric power grid. ²¹
158	
159	Realizing this A-PRIME vision will require a focused and integrated science-to-systems
160	research program to accelerate the timeline from discovery to process validation to device
161	commercialization to system-level adoption. We need novel tools for data acquisition, analysis,
162	and techno-economic assessment that provide quantitative comparisons of the levelized cost of
163	water, energy intensity, life cycle impacts, water intensity, robustness, and resilience of
164	nontraditional water desalination systems to the R&D and industrial desalination communities.
165	We need innovations in multiscale modeling and simulation of desalination processes that allow
166	researchers to optimize entire treatment trains in a virtual environment and accelerate the design
167	of desalination processes and materials that are cost competitive. We need new desalination
168	technologies that use multi-physics driving forces, intensified process concepts, and advanced
169	algorithms to desalinate close to the thermodynamic limit in modular, manufactured,
170	autonomously operated devices. Finally, we need new approaches to materials discovery,

171	synthesis, and high	h throughput	characterization t	hat are synchronized	with precision
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172 manufacturing methods to lower the cost of high performance materials and processes.

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174 Technology innovations to deliver cost-competitive, distributed desalination and water 175 reuse must go hand in hand with policy innovations at the federal, state, and local levels. In 176 response to the 1970's energy crisis, the U.S. Congress created the Energy Information 177 Administration to gather and verify energy generation, transmission, and demand data across the 178 U.S. economy. The absence of an equivalent authority for systematically gathering water quality, 179 treatment, use, or cost data-a "Water Information Administration"-leaves engineers and 180 policy makers unable to quantitatively assess the impact of technology or policy innovations for 181 managing our water.²² A Water Information Administration would provide robust scientific and 182 economic information to foster a comprehensive and systemic understanding of the country's 183 changing water needs, including supply, demand by sector and end use, and flows. 184 185 Data collection efforts must be paired with data dissemination policies. Over the past 186 two decades, access to location specific information about critical water infrastructure has been 187 severely curtailed. Secure data sharing platforms and clear policies around removing 188 identification data in publications would allow academic and national laboratory researchers to 189 access sensitive information about water treatment sources and distribution systems without 190 jeopardizing national security or citizen well-being. Anonymized water data would also

191 facilitate active participation from industrial partners who fear that exposing shortcomings of

192 treatment processes or vulnerabilities in systems design will spark regulatory intervention.

194 Fostering a water research ecosystem will also require prioritized and sustained R&D 195 investment. U.S. federal statutory authority over water is highly disjointed, leading to 196 conflicting, duplicative, and inconsistent investment. Current desalination research funding is 197 primarily structured as very small basic research grants to universities, industrially driven pilot 198 demonstration projects with large cost-share requirements, or one-off water prizes. None of 199 these models promotes science-to-systems research or sustained investment conductive to 200 innovations for the public-good. Past efforts to establish an interagency framework to coordinate policy and research investments at the energy-water nexus²³ should be expanded and special 201 202 focus should be paid to shepherding early stage research successes through the demonstration 203 and commercialization phases. As sponsors of the Nexus of Energy and Water for Sustainability 204 (NEWS) Act originally proposed in 2014, federal R&D investments will benefit from innovative 205 financing mechanisms, public private partnerships, and collaboration with state and local 206 agencies who also have a vested interest in water security.

207

208 At the state and local level, researchers and consultants have long collaborated with water 209 utilities and industrial water users to provide valuable design knowledge and technical support 210 for operational challenges. Consulting engineers have also been the primary conduits of 211 knowledge, though translating success from one facility to the next remains far too slow. 212 Adoption of appliance-like water treatment solutions in nontraditional applications would shift 213 the role of consulting engineers from unit process designers to innovative system optimizers and 214 raise the importance of professional societies and independent research organizations in 215 disseminating knowledge and communicating future research needs.

217	A-PRIME technology innovations coupled with policy changes will enable the evolution
218	of a linear water economy into an energy-integrated circular water economy where water is
219	continuously used and reused and "contaminants" become the feedstock for other economically
220	valuable processes. However, establishing a new paradigm of distributed water treatment
221	alongside the existing framework of centralized systems will be a multi-decadal campaign. The
222	U.S. Department of Energy's recent investment supporting desalination research through the
223	National Alliance for Water Innovation is a strategic investment in low technology readiness
224	level innovation, but additional support from other federal, state, and private sources will be
225	essential to translating early stage applied research into commercial products.
226	
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238	Supplementary Information: Description of Water Treatment Cost Calculations (Section 1),

239 Water Distribution Cost Calculations (Section 2), and References (Section 3).

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