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1	Understanding surface charge regulation in silica nanopores
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10	Abstract: Nanoporous silica is used in a wide variety of applications, ranging from
11	bioanalytical tools and materials for energy storage and conversion as well as separation
12	devices. The surface charge density of nanopores is not easily measured by experiment yet
13	plays a vital role in the performance and functioning of silica nanopores. Herein, we report a
14	theoretical model to describe charge regulation in silica nanopores by combining the surface-
15	reaction model and the classical density functional theory (CDFT). The theoretical predictions
16	provide quantitative insights into the effects of pH, electrolyte concentration, and pore size on
17	the surface charge density and electric double layer structure. With a fixed pore size, the surface
18	charge density increases with both pH and the bulk salt concentration similar to that for an
19	open surface. At fixed pH and salt concentration, the surface charge density rises with the pore
20	size until it reaches the bulk asymptotic value when the surface interactions become negligible.
21	At high pH, the surface charge density is mainly determined by the ratio of the Debye screening

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22 length to the pore size (λ_D/D) .

23 **Keywords:** nanoporous silica, surface charge regulation, CDFT, electric double layer

24

25 **1 Introduction**

Recent progress in nanotechnology enables various applications of nanoporous silica such 26 as bioanalytical tools^{1,2,3}, separation devices⁴, or energy conversion/storage.^{5,6} Its broad 27 applicability is owing to high surface area, tunable pore size, biocompatibility, and chemical 28 stability. The surface charge of nanoporous silica is mostly negative due to 29 protonation/deprotonation of the dissociable functional groups at the solid interface.^{7,8} It has 30 been generally recognized that the electrophoretic behavior of nanoporous silica depend on 31 their surface charge densities, which vary with the solution conditions⁹ and the pore sizes.^{9,10} 32 Several investigations have been attempted to characterize the surface charge density of 33 silica nanopores through experiment. Electrokinetic techniques including streaming potential 34 or electrophoresis analysis mostly focused on the electrical surface potential (zeta potential). 9-35 ¹³ However, the interpretation of such experiments is problematic when the electrical double 36 layers within the nanopore are highly overlapped. The problem arises mainly due to the data 37 analysis based on the Helmholtz-Smoluchowski and the Gouy-Chapman equations that are 38 unreliable for such systems.^{14,15} Another method to estimate the surface charge is through 39 potentiometric titration by monitoring the number of ions and protons absorbed at the interface 40

of nanoporous silica.¹⁶⁻²⁰ Even though the charge density and the local ionic composition
remain elusive, it provides a quantitative connection between ion adsorption and the regulation
of the surface charge.

44	Theoretical modeling provides a valuable alternative to experimental investigation of the
45	surface charge regulation mechanism for nanoporous silica. ²¹ Conventional methods based on
46	Poisson-Boltzmann (PB) or the Poisson-Nernst-Planck (PNP) equations have been widely used
47	due to their high efficiency in describing the electrical double layer and electroosmotic flows. ²²⁻
48	²⁷ Recent studies provided the pore level analysis on the mesoporous internal surface charge at
49	various porosities and ionic conditions. ^{28,29} However, the conventional methods ignore the
50	ionic volume exclusion effects and electrostatic correlations important for concentrated
51	electrolytes in nanoscale pores. ^{30,31} Such effects should be considered to understand the charge
52	regulation of silica nanopore under nanoconfinement.
53	Increasing studies suggest that classical density functional theory (CDFT) is able to

describe various equilibrium and transport properties of confined ionic systems including 54 electrolytes in nanoscale porous materials.³²⁻³⁷ In this work, we combine CDFT with a surface 55 reaction model to investigate the effects of pH, electrolyte concentration, and pore size (as 56 small as 1 nm) on the surface charge density of porous silica. Whereas disordered porous silica 57 has a complex pore size distribution and diverse pore shapes, theoretical investigations in this 58 work are focused on a slit pore model that intends to capture the essential features of realistic 59 porous silica submerged in electrolytes. The theoretical results show the significant role of the 60 pore size in determining the surface charge density, in particular when it is in the range of the 61 Debye screening length. The remaining of this paper is structured as follows. First, we 62 recapitulate the thermodynamic and kinetic models and the computational scheme 63 underpinning the CDFT and the surface-equilibrium calculations. The technical details can be 64 found in our previous publications.^{38,39} Next, we discuss the theoretical predictions on the pH, 65

salt concentration, and pore size effects on the surface charge density of various silica nanopores. Finally, we summarize the results. The single silt pore modeling methods could be further integrated with other theories such as effective medium approximation (EMA)³⁰ for understanding the charge regulation of more realistic porous silica in the future, which would provide implications for possible applications.

71 **2 Model and methods**

72 2.1 Theoretical model

We consider a generic model for a silica nanopore as shown schematically in Figure 1a. 73 The nanopore is submerged in an aqueous electrolyte solution with various ionic species 74 (throughout this work, subscript i = 1 stands for H^+ , i = 2 for Na^+ , i = 3 for Cl^- , and i = 4 75 for OH^{-}). As explained in the next subsection, we use the restricted primitive model to 76 77 investigate the effects of the pore size, electrolyte concentration, and pH on the surface properties of nanoscale silica pores. Figure 1b and 1c show schematically how the surface 78 charge density and the surface electrical potential (zeta potential) are determined by a 79 combination of the electric double layer (EDL) and the surface-reaction models. 80



81

Figure 1. (a) Schematic of the functional groups and the electrical double layer (EDL) in a silt pore of porous silica submerged in an electrolyte solution. Panels b and c illustrate how, at a fixed salt concentration and a fixed pH, respectively, the surface charge density and the zeta (surface) potential are determined by the interception of the corresponding curves predicted by the EDL (solid lines) and the surface reaction models (dashed lines).

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2.2 CDFT for electric double layers

Ion distributions in silica nanopores are described with the restricted primitive model (RPM) of electrolyte solutions. Both cations and anions are represented by charged hard spheres of the same size since the hydrated radius of sodium and chloride are similar to each other.⁴⁰ While atomic details are neglected, the primitive model is able to account for electrostatic correlations and mean ionic excluded volume effects important for understanding charge regulation. According to this model, the pair ionic potential is given by

94
$$\mu_i = \{ \sum_{Z_i Z_j e^2 / (4\pi\varepsilon_0 \varepsilon_r r), r \ge (\sigma_i + \sigma_j)/2 \\ r \ge (\sigma_i + \sigma_j)/2$$
(1)

95 where *r* is the center-to-center distance between ions, *e* is the elementary charge, ε_r is the 96 solvent dielectric constant, ε_0 is the permittivity of the free space, σ_i and Z_i are the hardsphere diameter and the valence of ionic species *i*, respectively. In our CDFT calculations, both anions and cations are assumed to be monovalent, the diameter of all particles (solvated ions) is fixed at $\sigma = 0.3 nm$, and the dielectric constant for liquid water is $\varepsilon_r = 78$.⁴¹ In consistent with the primitive model, the silica nanopore is represented by the slit model with pore width *D* and uniform surface charge density *Q*. The non-electrical component of interaction between the silica nanopore and ion species *i* is modeled as a hard-wall potential $V_i(z)$

104
$$V_i(z) = \{ \overset{\infty, z}{=} \leq \frac{\sigma_i}{2} \text{ or } z \geq D - \frac{\sigma_i}{2} \\ 0, \text{ otherwise} \end{cases}$$
(2)

105 where the z is the perpendicular distance from the pore surface.

106 At given temperature *T* and bulk ion concentrations $\rho_i^0(z)$, CDFT predicts that the ionic 107 density distributions inside the pore are given by

108
$$\rho_i(z) = \rho_i^0 \exp\left[-\beta V_i(z) - \beta Z_i e \psi(z) - \beta \Delta \mu_i^{ex}(z)\right]$$
(3)

109 where $\beta = 1/(k_BT)$, T = 300 K, k_B is the Boltzmann constant, and $\Delta \mu_i^{ex}(z)$ accounts for 110 electrostatic correlations and ionic excluded volume effects. The detailed equation for the local 111 chemical potential can be found in our previous publications.³⁸³⁹The mean electric potential, 112 $\psi(z)$, is related to the local charge density by the Poisson equation

113 $\nabla^2 \psi(z) = -\frac{e}{\varepsilon_0 \varepsilon_r} \sum Z_i \rho_i(z)$ (4)

114 with the following boundary conditions

115

 $\psi(0) = \psi(D) = \psi_0. \tag{5}$

116 As discussed below, the surface potential, ψ_0 , depends on the protonation/deprotonation 117 reactions at the surface of porous silica.

118 To solve Eqs.(3-5) numerically, we start with an initial guess of the ionic density profiles,

119 $\rho_i(z) = \rho_i^0$. The local excess chemical potential for each ionic species and the local electrical 120 potential, $\Delta \mu_{ex}^i(z)$ and $\psi(z)$, are then calculated from the initial density profiles and the 121 Poisson equation with known electric potentials at the boundaries. Next, a new set of ionic 122 density profiles are obtained from Eq. (3), and the numerical procedure repeats unit 123 convergence ($\left|\frac{\Delta \rho_i}{\rho_o^i}\right| < 10^{-3}$ at all positions). From the ion distributions and the electrical potential, 124 we calculate the surface charge density according to the overall charge neutrality condition

125
$$Q = -\sum_{i} Z_{i} e \int_{0}^{H/2} dz \rho_{i}(z) \cdot$$
(6)

126 In our CDFT calculations, we assume a layer of surface charge at the surface of the silica 127 nanopore (*viz.*, the center of silanol groups) where z = 0.

128 2.3 Surface reaction model

Charge regulation takes place at the silica surface by protonation/deprotonation reactions
of the dissociable functional groups ⁷⁸:

131 $SiOH \leftrightarrow SiO^- + H^+$ (A)

132
$$SiOH + H^+ \leftrightarrow SiOH_2^+$$
 (B)

133 Accordingly, the equilibrium constants are

134
$$K_A = \frac{N_{SiO} - [H^+]_s}{N_{SiOH}} \text{ and } K_B = \frac{N_{SiOH_2}^+}{N_{SiOH_2} + 1}$$
 (7)

where N_{SiOH} , N_{SiO} , and $N_{SiOH_2^+}$ are the number densities of SiOH, SiO⁻, and SiOH₂⁺ groups at the silica surface, respectively. In Eq.(7), $[H^+]_s$ stands for the proton concentration at the silica interface. Neglecting proton interaction with other ionic species in the system, we can predict the surface density of protons from the Boltzmann equation:

139
$$[H^+]_s = C_{H^+}(z)|_{z=s} = C_1 exp(-\frac{z_i F \psi_0}{RT})$$
(8)

140 where ψ_0 is the surface electrical potential, C_1 is the bulk concentration of $[H^+]$ ions,

141 which is related to the solution pH by $pH = -\log(C_{H^+})$.

142 The total number density of silanol functional groups on the solid/liquid interface consists143 of three contributions:

$$N_{total} = N_{SiOH} + N_{SiO^{-}} + N_{SiOH_{2}}^{+}$$
(9)

145 From Eqs. (7) - (9), we can obtain the surface charge density of the silica nanopore

146
$$Q = F(N_{SiOH_2} + N_{SiO}) = -FN_{total} \frac{K_A - K_B[H^+]_s^2}{K_A + [H^+]_s + K_B[H^+]_s^2}$$
(10)

where F = 96485 C/mol is the Faraday constant. The parameters for the silica surface can be found from the literature: $N_{total} = 2 \times 10^{-6} \text{ mol/m}^2$, $pK_A = -\log(K_A) = 6.8$ and p $K_B = -\log(K_B) = 1.7.^{42,43}$

The above procedure can be calibrated with experimental data for charge regulation of 150 open silica surfaces. As shown in Fig. S1, a combination of CDFT and the surface reaction 151 152 model is able to reproduce the experimental results at different pH and salt concentrations. For an open silica surface, similar results can be accomplished by replacing CDFT with the PB 153 equation. It suggests that our silt pore model can successfully capture the surface charge 154 properties of realistic porous silica. Whereas CDFT and PB yield similar results for this 155 particular set of data, the ion distributions obtained from CDFT calculations can be 156 significantly different from those solved from the PB equation when the pore size is 157 comparable with or smaller than the Debye screening length. For example, Figure S2 shows 158 density profiles for ion distributions in a nanopore. While the PB equation predicts an 159 unrealistic contact density at the surface, CDFT predicts a more reasonable counterion density 160 profile, with the peak position being 0.15 nm to the surface due to the ionic excluded volume 161 effects. 162

163 **3 Results and discussion**

The surface charge of a silica nanopore depends on solution pH as well as ionic 164 distributions. Because neither the surface charge nor the surface electrical potential is known 165 from experiment, an iterative procedure is required to calculate the ionic distributions inside 166 167 the pore and the surface composition self-consistently (Figure. 1). For all results discussed in 168 the following, we obtain these quantities by solving the EDL and surface-reaction models simultaneously. First, we construct a series of curves for the surface charge density Q versus 169 the surface potential ψ_0 by CDFT calculations at different bulk salt concentrations, as shown 170 schematically by the violet, blue and cyan lines in Figures 1b and 1c. Next, we establish the 171 relations between Q and ψ_0 at different pH values by using the surface-reaction model. These 172 curves are shown as the grey dashed lines in Figures 1b and 1c. Finally, we obtain the surface 173 174 charge density and the surface electrical potential (viz., zeta potential) at the given pH and the electrolyte concentration. 175

176 **3.1 pH effects on the surface charge densities of silica nanopores**

Because of deprotonation, the surface charge density of a silica surface becomes more 177 negative as the pH increases. Such an effect is expected to be sensitive to both the pore size 178 and salt concentration because the confinement alters the surface electrical potential and the 179 local proton concentration. As shown in Figure 2, the surface charge density becomes more 180 negative as pH increases, which agrees quantitatively with the experimental result for an open 181 silica surface.⁴⁴ The absolute value of the surface charge density declines with the pore size 182 because of the surface-surface interactions. Similar results can be found in previous studies of 183 two planar electrodes, the surface charge will increase upon on the increase of the plate 184

185 separation.⁴⁵ As expected, the pore size effect is most significant at small salt concentrations

186 because of the long-range electrostatic interactions.



187

Figure 2. Variation of the surface charge density of silica nanopores of different pore width
(legends, 1 nm -50 nm) with pH at different NaCl concentrations, 0.001M (a), 0.01M (b), 0.1M
(c), and 1 M (d).

191 3.2 Salt concentration effects in different nanopores

Figure 3a shows how the salt concentration affects the surface charge densities of different silica nanopores. At the same pH and pore size, the absolute value of the surface charge density increases with the salt concentration because of the electrostatic screening effect. The trend is consistent with the experimental data and other theoretical results for single silica surfaces.^{46,47}Take pH = 8 and D = 50 nm, as an example, the surface charge density is about - 197 0.04 C/m² for $C_{NaCl} = 0.001$ M, while it is increased to -0.16 C/m² for $C_{NaCl} = 1$ M.

198 The salt concentration affects the surface charge density of silica nanopore by altering the proton concentration at the surface. As shown in Figure 3b, the proton concentration at the 199 200 surface falls as C_{NaCl} increases, suggesting that H⁺ ions are excluded from the surface because of the reduction of the surface electrical potential (Figure 3c). In other words, a lower surface 201 H⁺ concentration leads to more deprotonation of the silica surface thus more negative charge. 202 When the pore size of a silica nanopore increases from 1 nm to 50 nm in 0.001 M NaCl, the 203 proton concentration is reduced accordingly from 1.76×10^{-6} M to 6.35×10^{-7} M. It is worth 204 noting that the surface concentration of H⁺ ions varies more significantly with the pore size at 205 low salt concentrations (Figure 3b). Again, the pore size effect is most significant at low salt 206 concentrations. 207



208

Figure 3. Variation of the surface charge density (a), the surface proton concentration (b), and the surface potential (c) with C_{NaCl} at different pore sizes. In all cases, pH = 8 and the different pore sizes are shown with different markers.

212 **3.2** The pore size effects on ionic screening

From the above discussions, we see that the pore size plays a vital role in determining the 213 surface charge density of a silica nanopore at high pH and low salt concentrations. At all pH 214 values and different salt concentrations, the absolute value of the surface charge density 215 decreases with the pore width (Figure 2) because of the increased proton concentration at the 216 surface (Figure 3b). The surface charge density approaches an asymptotic limit when the pore 217 size is sufficiently large, i.e., when the EDLs from the opposite surfaces of the slit pore are 218 independent. In other words, the pore size effect becomes less important when it is larger than 219 a critical value that depends on both the pH and salt concentration. For instance, at pH = 8, the 220 critical pore size is about 25 nm at $C_{NaCl} = 0.001$ M, while it is about 1 nm at $C_{NaCl} = 1$ M. 221 The lower of the salt concentration, the larger the critical pore value. 222

As well known, the Debye screening length, defined as $\lambda_D = \sqrt{\varepsilon_0 \varepsilon_r RT / \sum_i^2 F^2 Z_i^2 C_{i0}}$, 223 224 provides a measure of the EDL thickness. In nanopores, both the ionic concentrations and the EDL thickness will be influenced by confinement due to overlapped EDL, which has been 225 demonstrated in previous work.⁴⁸ To characterize the pore-size effects on ionic screening, we 226 present in Figure 4 the proton concentration and the surface charge density at different pH and 227 salt concentrations. Here the surface charge density is normalized by the asymptotic value 228 approximated by that for a slit pore at D = 50 nm, Q/Q_{50} . For the four electrolyte concentrations 229 considered in this work, the normalized surface charge density increases with the pore size at 230 all pH values. When the pH is fixed (e.g., pH=8), the normalized surface charge densities are 231 close to 1 for D > 25 nm. In this case, the Debye screening length is much smaller than the pore 232 size, explaining a weak influence of the pore size on the surface charge density. Interestingly, 233 the normalized surface charge density reaches the asymptotic limit at a smaller pore size (D \leq 234

5 nm) when the pH is increased to 11. For example, the normalized surface charge density is 0.40 for D = 1 nm, C_{NaCl} = 0.001 M at pH = 8 while it is 0.93 at pH = 11. Similar to the explanation above, the extent of EDL overlap is relatively insignificant when pH = 11 compared with pH = 8 so that the effects of pore size on surface charge is less evident. \



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Figure 4. Surface proton concentration versus pore size at pH = 8 (a) and the normalized

surface charge density of a silica nanopore as a function of the pore size at pH = 8 (b) and 11
(c). The legends denote different NaCl concentrations.

To investigate the combined effects of the pore size (D) and the electrolyte concentration 243 on the surface charge density of silica nanopores, we further consider the surface charge density 244 as a function of the reduced Debye length, λ_D/D . This parameter reflecting the EDL overlap 245 has also been used in previous theoretical work (e.g. PNP).^{15,28,29} Results show that the large 246 value of λ_D/D significantly causes the surface charge density to deviate from that of an open 247 surface, which aligns to our predictions. As shown in Figure 5, the normalized surface charge 248 density is virtually invariant when the pore size is larger than the Debye length but it declines 249 250 sharply as the reduced Debye length, λ_D/D , is beyond a critical value that depends on both pH and salt concentration. Interestingly, the reduced surface charge density is close to unity when 251 λ_D/D is smaller than 0.5 regardless of pH and salt concentration. Overall, the surface charge 252 density is weakly correlated with the silica nanopore size when $D > 2\lambda_D$, while it becomes 253 more sensitive to the pore size when $D < 2\lambda_D$ due to the EDL overlap. Notably, though 254 conventional theoretical works (e.g. PB or PNP) have reported the significant pore size effects 255 on the surface charge density when the pore size is comparable to that of the EDL dimension. 256 In this work, we identified the surface charge regulation of silica nanopore using CDFT when 257 the pore size is similar to the ion diameter as small as 1 nm, where the ion size effect becomes 258 more important and could not be ignored, as shown in Figure S1. We hope that our model would 259 provide more accurate microscopic insights into both the EDL structures inside silica nanopore 260 and the surface properties of the porous silica. 261



262

Figure 5. Normalized surface charge density versus the ratio of the Debye length λ_D to the pore size (*D*). Different symbols represent different electrolyte concentrations, while different colors show different pH values (black for pH = 8; red for pH = 11).

266 4 Conclusion

In summary, the classical density functional theory (CDFT) is combined with a surface 267 268 reaction model to predict the pore size effects on the surface charge density of silica nanopores at different pH and NaCl concentrations. At the asymptotic limit of large pores, the theoretical 269 predictions agree well with the experimental data for the surface charge densities 270 corresponding to planar silica surfaces or silica particles. As expected, the surface charge 271 density becomes more negative as the pH increases due to the deprotonation of the SiOH 272 functional groups. The coarse-grained model is able to capture a decrease of H⁺ concentration 273 at the surface as the electrolyte concentration increases. Furthermore, the surface charge 274 density increases with the pore size and approaches an asymptotic limit as the EDL overlapping 275 becomes negligible. The pore size effect is less significant at high electrolyte concentration and 276 a large pH value. 277

278 Charge regulation for silica nanopores reflects a combined effect of the pore size and

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electrolyte concentration as represented by the ratio of the Debye screening length (λ_D) to the 279 nanopore size (D). When the pore size is much larger than the Debye length, the pore size effect 280 on the surface charge density is relatively insignificant regardless of the pH and electrolyte 281 concentration. By contrast, the pore size has a strong influence on the surface charge density 282 when the pore size is close to the Debye screening length. In all cases, the absolute value of the 283 284 surface charge density decreases as the pore size is reduced. This work demonstrates that a combination of the CDFT and a surface reaction model is able to capture the pore size effects 285 under nanoconfinement. The molecular theory provides insights into the surface properties of 286 silica nanopores useful for their broad practical applications. 287

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