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# Synthesis and SERS Application of Gold and Iron Oxide Functionalized Bacterial Cellulose Nanocrystals (Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs)

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## Abstract

Bacterial cellulose nanocrystals (BCNCs) are biocompatible cellulose nanomaterials that can host guest nanoparticles to form hybrid nanocomposites with a wide range of applications. Herein, we report the synthesis of a hybrid nanocomposite that consists of plasmonic gold nanoparticles (AuNPs) and superparamagnetic iron oxide (Fe<sub>3</sub>O<sub>4</sub>) nanoparticles supported on BCNCs. As a proof of concept, the hybrid nanocomposites were employed to isolate and detect malachite green isothiocyanate (MGITC) via magnetic separation and surface-enhanced Raman scattering (SERS). Different initial gold precursor (Au<sup>3+</sup>) concentrations altered the size and morphology of the AuNPs formed on the nanocomposites. The use of 5 and 10 mM Au<sup>3+</sup> led to a heterogenous mix of spherical and nanoplate AuNPs with increased SERS enhancements, as compared to the more uniform AuNPs formed using 1 mM Au<sup>3+</sup>. Rapid and sensitive detection of MGITC at concentrations as low as  $10^{-10}$  M was achieved. The SERS intensity of the normalized Raman peak at 1175 cm<sup>-1</sup> exhibited a log-linear relationship for MGITC concentrations between  $2 \times 10^{-10}$  and  $2 \times 10^{-5}$  M for Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs. These results suggest the potential of these hybrid nanocomposites for application in a broad range of analyte detection strategies.

## Introduction

Bacterial cellulose (BC) is a cellulose nanomaterial synthesized by a number of bacterial species (i.e., *Achromobacter*, *Alcaligenes*, and *Gluconacetobacter xylinus*) in liquid culture media.<sup>1-3</sup> Due to its facile synthesis and biocompatibility, BC has great potential for environmental, biomedical, and drug delivery applications.<sup>4-7</sup> Hydroxyl groups on the BC surface enable attachment of diverse types of nanoparticles and production of BC-based nanocomposites. BC substrates can be functionalized with iron oxide (Fe<sub>3</sub>O<sub>4</sub>) nanoparticles due to their high reactivity

and capacity for magnetic separation.<sup>8-10</sup> The facile synthesis and surface functionalization of BC with Fe<sub>3</sub>O<sub>4</sub> nanoparticles enables catalytic, biomedical, drug delivery and magnetic resonance imaging (MRI) applications.<sup>11, 12</sup> In addition, gold nanoparticle (AuNP) functionalized BCs have potential applications as biosensors. AuNPs can be synthesized and deposited onto BC substrates for analyte detection.<sup>3, 13</sup> Adjacent plasmonic metal nanoparticles with nano-sized gaps enhance inelastic Raman scattering signals due to the localized surface plasmon resonance effect – this phenomenon makes surface-enhanced Raman spectroscopy (SERS) possible.<sup>14, 15</sup> The high Raman enhancement factors (10<sup>4</sup> to 10<sup>12</sup>) of SERS makes it a promising technique for highly sensitive detection (<ng L<sup>-1</sup>).<sup>16, 17</sup> The development of SERS substrates to optimize the Raman signal is a highly interesting and active research area. Colloidal nanoparticles immobilized on cellulose substrates are of growing interest because of the cost-effective preparation and scalability of the approach.<sup>3, 18, 19</sup>

Following strong acid hydrolysis of BC, bulk cellulose can be converted into highly crystalline cellulose fragments commonly referred to as bacterial cellulose nanocrystals (BCNCs).<sup>20</sup> The use of BCNCs is advantageous due to their high specific surface area, colloidal and thermal stability, high tensile strength, and nanometric dispersity.<sup>2, 21, 22</sup> In a recent study, cationic BCNCs coated by amines and amine-containing polymers were developed as nucleic acid nanocarriers.<sup>23</sup> Recently researchers developed hydroxypropyl methylcellulose combined with BCNCs and silver nanoparticles to improve the tensile strength of a product potentially useful to the food packaging industry.<sup>24</sup>

In this study, we report the facile synthesis of hybrid BCNC-based nanocomposites coated with AuNPs and Fe<sub>3</sub>O<sub>4</sub> nanoparticles (Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs). Fe<sub>3</sub>O<sub>4</sub> nanoparticles were synthesized from an iron precursor dissolved in BCNC suspension and immobilized *in situ* onto

the BCNCs to form  $Fe_3O_4$  nanoparticle coated BCNCs ( $Fe_3O_4@BCNCs$ ). This step was followed by the *in situ* reduction of Au<sup>3+</sup> in the presence of Fe<sub>3</sub>O<sub>4</sub>@BCNCs to produce Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs. Previously, Au and Fe<sub>3</sub>O<sub>4</sub> nanoparticles were combined in Au shell- Fe<sub>3</sub>O<sub>4</sub> core materials and Au-Fe heterodimers for application in target molecule binding and detection through optical SERS sensing.<sup>25, 26</sup> The production of core-shell nanoparticles exhibits many challenges such as the poor diffusion barrier of the core layer due to shell formation, difficulties in controlling the uniformity and thickness of the shell coating, and atomic lattice mismatches between the core and shell components.<sup>25, 27, 28</sup> BCNCs can potentially be used as alternative substrates that can readily bind to guest metals such as Au and Fe<sub>3</sub>O<sub>4</sub> nanoparticles through C=O-metal binding or electrostatic interactions.<sup>4, 21</sup> Fe<sub>3</sub>O<sub>4</sub>@BCNCs can be quickly separated from suspension and can be used to concentrate target molecules using an external magnetic field. Furthermore, Fe<sub>3</sub>O<sub>4</sub> nanoparticles can be easily detached from BCNCs following strong acid treatment and the BCNCs can then be re-functionalized, making them environmentally friendly. The Fe<sub>3</sub>O<sub>4</sub>@BCNCs can be further functionalized with AuNPs to utilize the biocompatibility and plasmonic nature of AuNPs. The Au@Fe<sub>3</sub>O<sub>4</sub>@BCNC platform combines the magnetic separation capability of Fe<sub>3</sub>O<sub>4</sub> nanoparticles and SERS-active AuNPs for interaction with and SERS detection of organic molecules (Scheme 1).

The hybrid BCNC-based nanocomposites developed in this study were comprehensively characterized using transmission electron microscopy (TEM), scanning electron microscopy (SEM) and electrophoretic mobility measurements. As a proof-of-concept, we investigated the efficacy of the nanocomposites for the detection of malachite green isothiocyanate (MGITC) by SERS with different initial concentrations of Au<sup>3+</sup> for functionalization.





Scheme 1. Schematic illustration of synthesis, functionalization, and SERS application of the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs

## **Materials and Methods**

## Materials

Gold chloride trihydrate (HAuCl<sub>4</sub>·3H<sub>2</sub>O,  $\geq$ 99.9%), sodium citrate tribasic dihydrate (Na<sub>3</sub>Cit.2H<sub>2</sub>O,  $\geq$ 99.0%), fructose, yeast extract, calcium carbonate (CaCO<sub>3</sub>, Reagent Plus), ammonium hydroxide (NH<sub>3</sub>OH, ACS Reagent, 28-30% NH<sub>3</sub> basis), and iron(II) chloride (98%) were obtained from Sigma-Aldrich (St. Louis, MO, USA). Sodium hydroxide (NaOH, certified ACS), hydrochloric acid (HCl, certified ACS plus), ferric chloride hexahydrate (FeCl<sub>3</sub>.6H<sub>2</sub>O, certified ACS) and malachite green isothiocyanate (MGITC) were purchased from Thermo Fisher Scientific (Hampton, NH, USA). Deionized (DI) water was ultrapure with > 18 M $\Omega$  cm resistance.

### Synthesis of BC pellicles followed by BCNC preparation

BC pellicles in petri dishes were synthesized following a published approach with minor revision.<sup>3</sup> The cellulose-producing bacterial strain *Gluconacetobacter xylinus* (*G. xylinus*) was used to produce the BC pellicles. Prior to inoculating with *G. xylinus*, all media was autoclaved at 121 °C and 15 psi for 20 mins. Approximately 10 mL aliquots of ATCC medium 459 (10 g fructose, 1 g yeast extract, and 2.5 g CaCO<sub>3</sub> in 200 mL of DI water) were poured into 100 mm diameter, 15 mm deep petri dishes. One or two visible *G. xylinus* colonies that had formed on nutrient agar were scooped and inoculated into each ATCC medium. This inoculated medium was quiescently stored in a 303 K oven for five days. After five days of incubation, hydrogel-like BC pellicles were generated. These pellicles were removed and rinsed using tap water to remove residual bacterial cells and nutrients. Following this cleaning, the BC pellicles were immersed in 0.1 M NaOH and stirred for three days to remove remaining residuals and hinder additional cellulose production. The cleaned BC pellicles were stored in DI water at room temperature until use.

To prepare a BCNC suspension, a single piece of BC pellicle was hydrolyzed with 10-15 mL of 37 % (w/w) HCl solution at 80°C for 3.5 hours under continuous stirring. The acid hydrolysis reaction was then terminated and 40 mL of DI water was added to the reaction flask. The resulting cloudy and acidic BCNC suspension was centrifuged at 4,000 rpm for 30 mins. After centrifugation, the sediment was collected and re-suspended in DI water. This cycle was repeated until the pH of the BCNC suspension was between 6-7.

### Functionalization with Fe<sub>3</sub>O<sub>4</sub> nanoparticles and AuNPs

The BCNCs were centrifuged and mixed in 20 mL of DI water containing FeCl<sub>3</sub>·6H<sub>2</sub>O and FeCl<sub>2</sub> in a 2:1 molar ratio. The mixture was transferred to a round bottom flask and was purged with  $N_2$  gas for 1 hour while being magnetically stirred at 600 rpm. The suspension was then heated

to 80 °C in a silicone oil bath and 5 mL of NH<sub>4</sub>OH (28-30%) was added dropwise using a syringe. Upon addition of NH<sub>4</sub>OH, the color of the suspension changed from orange/yellow to black, which indicated the attachment of Fe<sub>3</sub>O<sub>4</sub> nanoparticles onto the BCNCs. The suspension was stirred for 30 mins and then cooled to room temperature. The cooled suspension was diluted to 50 mL in DI water and was repeatedly washed and magnetically separated until excess Fe<sub>3</sub>O<sub>4</sub> nanoparticles were fully removed. These magnetic separations were performed using a neodymium disc magnet (N52, 40×20 mm). Finally, the Fe<sub>3</sub>O<sub>4</sub>@BCNCs were stored in 50 mL of DI water.

To functionalize Fe<sub>3</sub>O<sub>4</sub>@BCNCs with AuNPs, 5 mL aliquots of Fe<sub>3</sub>O<sub>4</sub>@BCNC suspension were transferred into a conical tube. A magnetic field was applied to separate the nanocomposites. The supernatant was decanted and replaced with a fixed 5 mL volume of either 1, 5, or 10 mM HAuCl<sub>4</sub>. To accelerate the reduction of Au<sup>3+</sup> to AuNPs, Na<sub>3</sub>Cit was added as a reducing agent. For each HAuCl<sub>4</sub> concentration, small aliquots of Na<sub>3</sub>Cit (~ 200 µL) were added into the samples at a 1:1 molar ratio of HAuCl<sub>4</sub> and Na<sub>3</sub>Cit. The samples were then incubated at 303 K overnight. The yellow Au<sup>3+</sup> suspension rapidly changed to brown indicating the reduction of Au<sup>3+</sup> to AuNP. The samples were repeatedly washed with DI water by magnetic separation and re-suspension until the supernatant became transparent indicating the removal of non-deposited AuNPs.

### Characterization of the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs

TEM was used to observe the morphology of the BCNCs, Fe<sub>3</sub>O<sub>4</sub>@BCNCs, and Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs. The as-synthesized BCNC suspension [(~ 0.01% (w/v)] was deposited on a carbon mesh 200 grid (Ted Pella, Inc; Redding, CA), dried, and negatively stained with 2% uranyl acetate solution. The Fe<sub>3</sub>O<sub>4</sub>@BCNCs and Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs were diluted 10× and 10  $\mu$ L of each sample was deposited onto the TEM grid without staining because negative staining can affect the imaging of Au and Fe<sub>3</sub>O<sub>4</sub>. TEM analysis was conducted using a field emission gun

instrument (JEOL 2100) operating at an accelerating voltage of 200 kV. Nanoparticle sizes in each sample were determined (n=50) using ImageJ software. The morphologies of AuNPs on the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs were characterized using a field-emission Quanta 600 FEG environmental SEM. Secondary electron (InLens detector) mode was used to obtain contrast between aggregated BCNCs and AuNPs, while backscattered electron (RBSD detector) mode was used for energy dispersive spectroscopy (EDS) mapping and analysis. To compare the surface charge and stability of the different nanocomposites, electrophoretic mobility (U<sub>E</sub>, m<sup>2</sup>Vs<sup>-1</sup>) was measured using a Zetasizer Nano DLS (Malvern Nano ZS, Malvern, UK). U<sub>E</sub> indicates particle response to an applied electric field and is measured by doppler frequency shifts in scattered light.

### Proof-of-concept SERS application of the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs

For the detection experiment, MGITC was selected because it has a large Raman crosssection and high affinity to the AuNP surface due to its thiol group.<sup>29, 30</sup> In a 2-mL tube, different concentrations of 500 µL MGITC solution were added into 500 µL of Au@Fe<sub>3</sub>O<sub>4</sub>@BCNC suspension. Following 3-4 hours of incubation at room temperature, the nanocomposites were magnetically separated and the supernatant was decanted. A preliminary test of sorption kinetics for MGITC was conducted by using the UV-Vis spectrophotometer. This test indicated that sorption reached equilibrium approximately within 2 hours (**Figure S1**). The residue was resuspended using 10 µL of DI water and pipetted onto a glass slide. Raman spectra were collected using a WITec alpha500R Raman spectrometer (WITec GmbH, Ulm, Germany, spectral resolution = ~3.5 cm<sup>-1</sup>), with a 785-nm laser (5 mW intensity) and a 10× confocal microscope objective. The signal was collected using a Peltier cooled charge-coupled device (CCD) with 300 groves per mm grating set. For each sample, a total of 20 × 20 points (X × Y) were acquired across a 10 × 10 µm<sup>2</sup> area with 0.1 s integration time for each point.

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### SERS data analysis

For the collected Raman spectra, the baselines were corrected using an asymmetric least square smoothing method coded through Mathworks MATLAB/SIMULINK software (ver. R2019b). After baseline correction, the Raman intensities of the peaks of interest at 1175 and 77 cm<sup>-1</sup> ( $I_{1175}$  and  $I_{77}$ ) were extracted and the intensity ratio of the peaks ( $I_{1175}/I_{77}$ ) was plotted against the logarithm of MGITC concentrations.

To calculate the limit of detection (LOD) of the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs, the best-fit regression line was determined as follows,

 $I_{1175}/I_{77} = \hat{a} \log C + \hat{b}$ 

where  $\hat{a}$  and  $\hat{b}$  are the slope and y intercept of the regression line. The LOD was calculated using the following the equation,

 $\log \text{LOD} = (3\sigma_{\hat{a}} - \hat{b}) / \hat{a}$ 

 $\sigma_{\hat{a}}$  is the standard deviation of the slope of the regression line.

## **Results and Discussion**

#### Synthesis and characterization of the nanocomposites

TEM images of the as-synthesized BCNCs revealed rod or needle shaped structures 30-40 nm in width and 400-800 nm in length (**Figure 1A**). We did not perform post-treatment steps such as dialysis or filtration on the BCNC samples and this explains the presence of some impurities in the sample. The morphologies of the HCl-hydrolyzed BCNCs in this study are similar to those reported previously by Singhsa et. al.<sup>23</sup> HCl-hydrolysis has been reported to produce cellulose nanocrystals (CNCs) in greater yield and larger dimensions relative to  $H_2SO_4$ -hydrolysis.<sup>23, 31</sup>

Given that the charged  $SO_4^{2-}$  groups from  $H_2SO_4$  can compromise the thermostability of the nanocrystals, HCl is thought to better optimize the CNC hydrolysis conditions.<sup>31, 32</sup>

The Fe<sub>3</sub>O<sub>4</sub>@BCNCs were prepared by the *in situ* synthesis of Fe<sub>3</sub>O<sub>4</sub> nanoparticles on the BCNCs. Upon attachment of Fe<sub>3</sub>O<sub>4</sub> nanoparticles, the Fe precursor/BCNC solution turned black indicating the formation of Fe<sub>3</sub>O<sub>4</sub> nanoparticles. Following synthesis, the Fe<sub>3</sub>O<sub>4</sub>@BCNCs could be completely separated from suspension within 5 mins using a magnetic field (40×20 mm N52 neodymium disc magnet) (**Figure 1B**). AuNP functionalization of Fe<sub>3</sub>O<sub>4</sub>@BCNCs was done by the *in situ* reduction of Au<sup>3+</sup> using Na<sub>3</sub>Cit as reducing agent. Na<sub>3</sub>Cit is a widely used reducing agent that accelerates AuNP formation.<sup>3, 33</sup> Upon AuNP formation, the color of the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNC suspension changed from black to dark brown suggesting a higher reduction capacity of Na<sub>3</sub>Cit compared to the BCNC-OH surface functional groups as reported previously (**Figure 1D**).<sup>3</sup>



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Figure 1. (A) TEM image of as-synthesized BCNCs (B)  $Fe_3O_4$  coated BCNCs in suspension and magnetically separated (C) TEM image of  $Fe_3O_4@BCNCs$ , (D) TEM image of  $Au@Fe_3O_4@BCNCs$  and (E) Au and  $Fe_3O_4$  nanoparticles.

FT-IR analysis of the BCNCs, BCNCs with Fe precursor, Fe<sub>3</sub>O<sub>4</sub>@BCNCs and Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs were performed to analyze the nature of the chemical bonding of BCNCs with nanoparticles. In the presence of Fe precursors and after Fe<sub>3</sub>O<sub>4</sub> nanoparticle formation via Fe reduction, the peak at around 3340 cm<sup>-1</sup> (BCNC-OH group) did not show any major shift (**Figure S2A**). This result suggests limited Fe-OH binding to the BCNC surface and an apparent electrostatic interaction between the -OH and Fe<sup>+3</sup> seems to govern the interactions between BCNC-OH and Fe<sub>3</sub>O<sub>4</sub> nanoparticles.<sup>21, 34, 35</sup>. FT-IR spectra of the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs had similar peaks to the uncoated BCNC sample. All samples had characteristic FT-IR peaks at 3345 cm<sup>-1</sup>, the O-H stretching vibration, and at 1640 cm<sup>-1</sup>, attributed to -OH groups of adsorbed water (**Figure S2**).<sup>21, 36</sup> Other identified peaks were at 2896 cm<sup>-1</sup> (C-H stretching) and 1060-1162 cm<sup>-1</sup> (C-O and C-O-C stretching bonds in BCNC), suggesting no significant degradation of the BCNCs during Au and Fe<sub>3</sub>O<sub>4</sub> functionalization (**Figure S2**).

The morphologies of the nanoparticles within the Fe<sub>3</sub>O<sub>4</sub>@BCNCs and the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs were characterized using TEM. Figures 1C and 1D show the typical morphology of the Fe<sub>3</sub>O<sub>4</sub>@BCNCs and the 5 mM Au@ Fe<sub>3</sub>O<sub>4</sub>@BCNCs nanocomposites, with Au typically showing higher contrast (Figure 1E). The as-synthesized BCNCs were stained with 2% uranyl acetate before TEM imaging and hence showed higher contrast (Figure 1A) compared to the unstained Au and Fe<sub>3</sub>O<sub>4</sub>@BCNC samples was  $9.43 \pm 3.45$  nm (n=50). After treatment with 5 mM Au<sup>3+</sup>, the average nanoparticle size increased to  $11.75 \pm 2.4$  nm (n=50). To better differentiate

between the Au and Fe<sub>3</sub>O<sub>4</sub> nanoparticles, we performed backscattered electron imaging and EDS analysis on magnetically separated and aggregated BCNC samples. As shown in **Figure 2 (A to D)**, backscattered SEM images revealed an increased presence of Au on the aggregated Fe<sub>3</sub>O<sub>4</sub>@BCNC surface with an increase in initial Au precursor loading. An increase in the initial Au<sup>3+</sup> concentration, from 1 to 5 mM caused formation of increased numbers of dispersed AuNPs. A further increase in Au<sup>3+</sup> concentration to 10 mM caused the scattered formation of aggregated AuNPs and Au nanoplates. Previously, Wei et. al. observed Au nanoplate formation in AuNP coated BC substrates with Au<sup>3+</sup> concentrations of 10 mM or higher.<sup>3</sup> In addition, EDS mapping of the aggregated 10 mM Au@Fe<sub>3</sub>O<sub>4</sub>@BCNC sample (**Figure 2, E-G**) suggested plate-like AuNPs. EDS spectra (**Figure 2H**) of an AuNP nanoplate (**Figure 2E**, point 1) showed a higher mass percent (42.88%) of Au compared to Au (2.86 mass %) directly associated with Fe<sub>3</sub>O<sub>4</sub> nanoparticles (**Figure 2E**, point 2).



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**Figure 2**. Backscattered SEM images of the aggregated (A)  $Fe_3O_4@BCNCs$ , (B) 1 mM  $Au@Fe_3O_4@BCNCs$ , (C) 5 mM  $Au@Fe_3O_4@BCNCs$  and (D) 10 mM  $Au@Fe_3O_4@BCNCs$ . EDS mapping of (E) 10mM  $Au@Fe_3O_4@BCNCs$  with (F) Au and (G) Fe elements highlighted. The corresponding (H) EDS spectra of the map are provided with mass percent of O, Fe, and Au shown.

Magnetic separation of the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs in suspension induces nanocomposite aggregation, which can potentially form aggregated structures (**Figure 2E**). Aggregated AuNPs and Au nanoplates are typically characterized by strong SERS enhancements.<sup>3, 37, 38</sup> Magnet induced aggregation has been used previously for core-shell Au@Fe<sub>3</sub>O<sub>4</sub> nanoparticles to produce interparticle "hot-spots" that can amplify the Raman signals to achieve highly-sensitive detection.<sup>26</sup>

The effect of surface functionalization by Au and Fe<sub>3</sub>O<sub>4</sub> on the electrophoretic mobility of the BCNCs is shown in **Figure 3**. The pH<sub>IEP</sub> of the samples was estimated based upon where the electrophoretic mobility goes to zero as a function of solution pH in 0.01 M NaCl suspensions. HCl-hydrolyzed BCNCs exhibited a strongly negative surface potential and were stable in NaCl suspension. At higher electrolyte (NaCl) concentrations of 0.025M or more, CNCs are reported to aggregate with a concomitant increase in hydrodynamic diameter.<sup>39</sup> Upon functionalizing with Fe<sub>3</sub>O<sub>4</sub> nanoparticles, the electrophoretic mobility for the Fe<sub>3</sub>O<sub>4</sub>@BCNCs was similar to that of bare Fe<sub>3</sub>O<sub>4</sub> nanoparticles. This result contrasts with the unfunctionalized BCNCs, which showed mostly negative surface charge between pH 2 to 10. The pH<sub>IEP</sub> of the Fe<sub>3</sub>O<sub>4</sub>@BCNCs was estimated to be 5.9, similar to bare Fe<sub>3</sub>O<sub>4</sub> nanoparticles. After functionalizing with 1 and 10 mM Au<sup>3+</sup>, the pH<sub>IEP</sub> of the nanocomposites shifted to between pH 3 and 4. Upon AuNP formation, the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs showed negative surface charge at or above pH 4, similar to the observations in previous studies for Au nanoshell bearing cellulose nanocrystals and AuNPs.<sup>40, 41</sup> Attachment of Au and Fe<sub>3</sub>O<sub>4</sub> nanoparticles onto the BCNCs was carefully controlled to utilize the efficiency of both the magnetic and plasmonic properties of the hybrid nanocomposites. The electrophoretic mobility measurements suggest that using the stated amount of Fe and Au precursors, the resulting nanocomposites showed charge stability in suspension and minimal aggregation at a low salt concentration of 0.01 M NaCl.



**Figure 3**. Electrophoretic mobility of bare  $Fe_3O_4$  nanoparticles, BCNCs,  $Fe_3O_4@BCNCs$ , 1 mM and 10 mM Au@Fe\_3O\_4@BCNCs in 0.01 M NaCl solution. The error bars indicate standard deviation of three measurements.

#### SERS detection of MGITC using the nanocomposites

Using the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs, SERS detection of MGITC was rapid and sensitive. Following sorption of MGITC to the nanocomposites, we readily separated the MGITC-sorbed nanocomposites from the suspension using a magnetic field. Within a few minutes, the suspension became transparent and the nanocomposites were concentrated near the magnet. Once the

transparent supernatant was decanted, the nanocomposites were re-dispersed in 10  $\mu$ L of DI water. After deposition of 10  $\mu$ L of the droplet including the MGITC-sorbed nanocomposite onto the glass slide, we successfully collected the SERS spectra with several distinct peaks under both dry and wet conditions (**Figure 4A**). The occurrence of a peak at 215 cm<sup>-1</sup> corresponding to the Au–S bond demonstrates the successful complexation of isothiocyanate (-N = C = S) in MGITC to the AuNP surface.<sup>30</sup> The most prominent peaks at 1175, 1366, and 1589 cm<sup>-1</sup> can be assigned to the n-plane benzene v<sub>9</sub> mode, the aromatic ring stretching mode, and the in-plane aromatic ring stretching/bending mode, respectively.<sup>42, 43</sup> Other distinct peaks such as 914, 1296, and 1618 cm<sup>-1</sup> arise from the B<sub>1u</sub> in-plane benzene ring mode, in-plane C–H or C–C–H bending mode, and phenyl-N stretching mode.<sup>42, 43</sup>

In **Figure 4A**, insets show the pictures of the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs in the dry and wet states. The coefficients of variance (CV) of the SERS band at 1175 cm<sup>-1</sup> across the scanning area in both states were 13.8 and 14.0%, respectively (**Figure S3**). This result demonstrates that both conditions had low spatial variability. For the dry sample, it was quickly dried within ~5 mins in the oven at 60 °C. The collection time of the SERS spectra across a 10  $\mu$ m × 10  $\mu$ m area for one sample is only 40 s for 400 points in this study. The short intervals among analyte contact, sample separation, and data collection illustrate the rapid detection of MGITC using the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs.

The overall SERS signals in a dry state were ~ 20 times higher than in the wet state (**Figure 4A**). After the droplet was dried, the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs were densely packed at the edge due to the coffee ring effect and the distance between AuNPs became closer resulting in the formation of denser SERS hot-spots, especially at the edge. Additionally, we applied a magnetic field to the deposited droplet of the suspended MGITC-sorbed nanocomposites before drying to investigate if SERS intensities could be further enhanced (**Figure S4**). However, there was no significant effect

on SERS enhancement, implying that the concentrated nanocomposites via the initial magnetic separation from suspension predominantly affected generation of SERS hot-spots. Hereafter, all SERS spectra were collected in the dry state due to its higher SERS enhancement.

The effect of the initial concentration of  $Au^{3+}$  during the functionalization experiments on the SERS performance of  $Au@Fe_3O_4@BCNC$  was investigated. **Figure 4B** shows the SERS spectra of 2 µM MGITC using  $Au@Fe_3O_4@BCNCs$  with different Au coating concentrations (1, 5, and 10 mM). It was observed that the peak intensities corresponding to MGITC increased with an increase in the initial concentration of  $Au^{3+}$ . The SERS enhancement depends on the density, size, and morphology of AuNPs on the nanocomposite.<sup>3</sup> The nanocrystals in our study provided more favorable SERS hot-spots with a higher initial concentration of  $Au^{3+}$ . This observation may be attributed to the relatively larger size and quantity of AuNPs and formation of Au nanoplates, as observed in the SEM analysis (**Figure 2E**). The heterogenous morphologies of plasmonic Au metals present on the BCNCs can potentially improve SERS enhancement.<sup>37, 38</sup>



**Figure 4**. (A) Comparison of the SERS spectra of 2  $\mu$ M MGITC under wet and dry conditions using 10 mM Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs. Inset pictures show before/after drying of 10  $\mu$ L of the droplet including the nanocomposites (B) The SERS spectra of 2  $\mu$ M MGITC using the

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### **Quantification of MGITC using SERS**

We successfully detected MGITC with concentrations ranging from  $2 \times 10^{-5}$  to  $2 \times 10^{-10}$  M using the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs. However, quantification was challenging due to the large variation in the spatial arrangement of heterogenous SERS hot-spots among the batches arising from random aggregation of the nanocomposites. Therefore, we normalized the SERS hot-spots between different batches by using the intensity of the 77 cm<sup>-1</sup> pseudo-peak (I<sub>77</sub>) as the internal standard. As discussed previously, I<sub>77</sub> reflects elastically scattered light from the amplified spontaneous emission (ASE) of the Raman laser cut by the edge filter. In previous studies, it was reported that the density of SERS hot-spots can be reflected by the variation of  $I_{77}$ .<sup>44-47</sup> Figure 5A shows vertically stacked SERS spectra of different concentrations of MGITC using 1 mM Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs relative to a nanocomposite only control. All SERS spectra were averaged from 400 individual spectra and normalized by I<sub>77</sub>. It is noteworthy that the normalized intensities of the peaks were higher for higher concentrations of MGITC. For quantification, among many prominent peaks, the strongest peak at 1175 cm<sup>-1</sup> was selected as representative.<sup>48, 49</sup> For each of the 1, 5, and 10 mM Au<sup>3+</sup> coating conditions, the normalized Raman intensities at 1175 cm<sup>-1</sup>  $(I_{1175}/I_{77})$  were plotted against the logarithm of the MGITC concentration (Figure 5B-D). A linear relationship between Raman intensity and the logarithm of analyte concentrations is commonly observed in quantitative analysis of plasmonic nano-substrates.<sup>50-52</sup> We suggest that hot-spot normalized Raman intensities further enhance quantification and account for the spatial heterogeneity of AuNPs. These plots showed a clear linear trend between the two variables in a certain range with R-squared values between 0.76 - 0.96. The lowest intensities of MGITC-

corresponding peaks were observed at ~10<sup>-10</sup> M for 1 and 5 mM Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs. On the other hand, no distinct peaks were detected at <10<sup>-8</sup> M for 10 mM Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs which may be attributed to the decreased surface area of AuNP or Au nanoplate for MGITC sorption. Surprisingly, the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs at the lower initial concentration of Au<sup>3+</sup> tended to have a larger capacity for MGITC and ultimately higher detection sensitivity despite lower SERS enhancement. The sorption capacity of the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs for MGITC depends on the surface area of Au regarding the strong Au-S bond between them. A higher fraction of AuNPs at the lower initial concentration of Au<sup>3+</sup> would provide a larger Au surface area, resulting in greater sorption capacity for MGITC than plate-like Au at higher concentration.



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**Figure 5**. SERS detection of MGITC using the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs (A) The SERS spectra of MGITC with the different concentrations using 1 mM Au@Fe<sub>3</sub>O<sub>4</sub>@BCNC stacked vertically for clarity (B)-(D) The plots of the normalized peak of 1175 cm<sup>-1</sup> ( $I_{1175}/I_{77}$ ) vs the logarithm of concentrations of MGITC using 1, 5, and 10 mM Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs. Symbol and error bar represent the average and standard deviation of 400 spectra for each point, respectively.

The linear regression between the normalized  $I_{1175}$  and the logarithm of the concentration of MGITC was evaluated for all the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs. The range of concentrations was restricted to the range from  $2 \times 10^{-9}$  to  $2 \times 10^{-6}$  M and the data points with responses comparable to the blank were omitted. The results shown in **Figure 6** suggest that the normalized  $I_{1175}$  can be reasonably approximated as a linear function of the logarithm of the MGITC concentration. The two parameters were statistically correlated with an R-square value of 0.79 and the experimental data were within the 95% prediction region. Using this approach, the LOD was determined to be  $5.8 \times 10^{-10}$  M from the empirical best-fit equation (i.e.,  $I_{1175}/I_{77} = 0.837 + 0.087$  log C) and the standard error of the regression.<sup>53</sup> We expect that it will be possible to further lower the LOD value by adjusting the size and morphology of AuNPs. Overall, this result demonstrates the applicability of Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs for quantitative SERS analysis.

We believe that tuning the Au and  $Fe_3O_4$  nanoparticles in the experiment can improve the SERS performance of the Au@Fe\_3O\_4@BCNCs along with their magnetization capability in an interactive manner. In a previous study, core-shell Au-coated  $Fe_3O_4$  nanoparticles showed a substantial reduction of the saturation magnetization compared to the bare  $Fe_3O_4$  nanoparticles which may be attributed to the shielding effect of the Au layer on the outer side of magnetite.<sup>54</sup> Further research on optimization of experimental functionalization conditions is required for these

hybrid nanocomposites to expand their applicability.



**Figure 6**. The comprehensive plot of the normalized Raman peak of 1175 cm<sup>-1</sup> vs. the logarithm of the concentrations of MGITC using 1, 5, and 10 mM Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs. The black solid line shows the best-fit linear curve. Dark and light red-colored regions show statistical 95% confidence and prediction bands, respectively.  $R^2 = 0.79$ , Linear fit:  $I_{1175}/I_{77} = 0.837 + 0.087 \log C$ .

## Conclusions

In this work, BCNCs were prepared by HCl hydrolysis of BC pellicles. The hybrid BCbased nanocomposites, Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs, were prepared by the sequential *in situ* synthesis of Fe<sub>3</sub>O<sub>4</sub> and AuNPs in the presence of BCNCs. The efficacy of the hybrid nanocomposites as biomolecule binding and detection agents was evaluated through SERS detection of MGITC as a proof-of-concept. The structure of the BCNCs was unaffected after functionalizing with Fe<sub>3</sub>O<sub>4</sub> and AuNPs. The size and morphology of AuNPs on the BCNCs were affected by the initial Page 21 of 26

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concentration of Au<sup>3+</sup>. The formation of heterogenous mixtures of aggregated AuNPs and Au nanoplates at high Au<sup>3+</sup> concentration could be responsible for high SERS intensity. Magnetic separation-induced generation of SERS hot-spots from the aggregated Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs had low spatial variability under both wet and dry conditions. Different concentration levels of MGITC were quantified through linear relationship between the normalized Raman peak of 1175 cm<sup>-1</sup> vs. the logarithmic concentrations of MGITC. These results suggest that the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs used in this study have great potential for biomolecular interaction and detection with high sensitivity. Considering their facile synthesis procedure and biodegradability, the Au@Fe<sub>3</sub>O<sub>4</sub>@BCNCs can have a wide range of environmental and biological applications and expected low environmental impacts.

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