

# Chemical-enzymatic fractionation to unlock the potential of biomass-derived carbon materials for sodium ion batteries

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derived carbon materials for sodium ion batteries

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# 16 Abstract

Plant biomass, the most abundant and sustainable carbon source, offers a rich chemical space to 17 design hard carbons for sodium ion batteries. However, the compositional complexity of biomass 18 has for a long time compromised the predictability of the carbon structural and electrochemical 19 properties. Using chemical-enzymatic fractionation technique, we successively remove non-20 21 lignocellulosic component, hemicellulose and cellulose to create a suite of precursors for carbonization, in order to understand the roles of each biomass component in battery performance. 22 23 Brewer's spent grain, an agricultural waste, is used as a representative biomass platform. The resulting hard carbon, with non-lignocellulosic components removed prior to carbonization, 24 25 exhibits a dramatically reduced surface area and an increased specific capacity. Simultaneously removing non-lignocellulosic components and hemicellulose results in more  $sp^2$  carbon, expanded 26 27 (002)interlayer spacing. and a remarkably improved specific capacity by four folds. Further removing cellulose, with only lignin remained, significantly reduces the sp<sup>2</sup> carbon and 28 29 undermines the cycling stability of the derived carbon. Our finding reveals that the electrochemical

properties of the biomass-derived hard carbons in sodium ion batteries may be positively correlated 30 with cellulose and lignin but negatively impacted by non-lignocellulosic components and 31 32 hemicellulose. Guided by this knowledge, we further fractionated two additional biomass, i.e. grape pomace and walnut shells, for improving the carbon performance. After removing non-33 lignocellulosic component and hemicellulose, the resulting hard carbon delivers a reversible 34 capacity of 296 mAh g<sup>-1</sup> at 50 mA g<sup>-1</sup> and retains 86.4% capacity after 200 cycles. Therefore, our 35 results lay the foundation for unlocking the potential of biomass-derived carbon materials by 36 precise fractionation of biomass components. 37

#### 38 Introduction

39 Graphite has been commercialized as anodes in lithium ion batteries<sup>1</sup>; however, it has been proven not optimal for sodium ion batteries due to its inferior sodium ion storage capability<sup>2-4</sup>. 40 41 Instead, biomass-derived hard carbons have demonstrated promising potential for sodium ion batteries. To date, numerous studies have been conducted to produce hard carbons from a broad 42 range of biological sources, including animals<sup>5,6</sup>, microorganisms<sup>7,8</sup> and plants<sup>9</sup>. Comparing to 43 animal- and microorganism-based biomasses, plant-based biomass are considered as a more 44 practical source because of their low cost, availability and abundancy<sup>10,11</sup>. Therefore, the majority 45 46 of previous work focused on converting plant-based biomasses into functional carbon materials via carbonization <sup>12</sup>, a thermochemical process to increase the carbon content by removing other 47 elements<sup>13</sup>. However, even within the category of plant-based biomasses, depending on the 48 chemical composition of different biomass precursors, processing routes and catalysts being used, 49 the physicochemical and electrochemical properties of the derived carbon materials drastically 50 vary. Previous studies reported that hard carbon materials derived from plant-based biomass 51 deliver variable specific capacities and cycling performance in sodium ion batteries<sup>14–18</sup>. Such a 52 remarkable difference could be a ramification of a series of factors, and it is widely accepted that 53 the chemical composition of biomass is inevitably playing one of the decisive roles<sup>19,20</sup>. 54

Plant-based biomasses are generally composed of lignocellulosic components, proteins, lipids, soluble extracts and other inorganic minerals<sup>21</sup>, among which the lignocellulosic components (cellulose, hemicellulose and lignin) constitutes the majority of the total weight<sup>22–24</sup>. Although cellulose, hemicellulose and lignin are polymeric compounds, their chemical and physical properties vary distinctively. Cellulose is a homopolysacchrides consisting of anhydro-glucan units linked by  $\beta$ -(1,4)-glycosidic bonds<sup>25</sup>. Depending on the biological origin and degree of polymerization, the molecular weight of cellulose could vary<sup>26</sup>. Hemicellulose and lignin are more complex, since their constituent monomers are heterogeneous. The monosaccharide units comprising hemicellulose include pentoses (xylose and arabinose) and hexoses (glucose, mannose, and galactose), as well as other saccharides<sup>27</sup>. Similarly, the physicochemical properties of lignin are depending on the fraction ratios of three monomer units: p-hydroxyphenyl (H), guaiacyl (G), and syringyl (S)<sup>28</sup>.

During the carbonization process, cellulose, hemicellulose and lignin from the biomass are 67 decomposed at different temperatures through their specific pathways and kinetics<sup>29–31</sup>, along with 68 complex interactions with other components (e.g. minerals) to form char structures<sup>32,33</sup>. Each 69 lignocellulosic component follows a certain decomposition pathway to reach their chemical fates 70 as affected by the thermochemical treatment conditions, such as holding temperature and heating 71 rate<sup>34-36</sup>. Hemicellulose and cellulose behave distinctively in the thermochemical treatment, 72 73 primarily due to the differences in their decomposition temperatures and pathways<sup>37</sup>. Hemicellulose tends to depolymerize and degrade at a relatively lower temperature (< 300 °C), 74 while cellulose requires a higher temperature (~ 400 °C) due its more crystalline structure<sup>29</sup>. On 75 the other hand, lignin presents a completely amorphous structure, with highly crosslinked 76 77 polymeric monomer units, which results in a wide range of thermal degradation temperature and slow reaction kinetics<sup>29</sup>. Therefore, varying the ratios among these three lignocellulosic 78 components would remarkably alter the reaction intermediates and kinetics, which further lead to 79 the formation of carbon materials with substantially distinct features. 80

81 The compositional effects of biomasses on the energy storage performance of biomass-derived hard carbons have been attempted by a few pioneering studies via a direct comparison of different 82 whole biomass precursors <sup>20,38</sup>. However, it is still unknown how does each specific component, 83 especially the major component of cellulose, hemicellulose and lignin, affect the resulting carbon 84 85 properties and the corresponding battery performance. Herein, we first-time report a chemicalenzymatic approach to manipulate the major composition in biomass, with the aim to incisively 86 understand the roles of individual biomass components in determining the structural and 87 electrochemical properties of hard carbons. The gained new knowledge will help advance the 88

rational selection and fractionation of the abundant and sustainable biomass for sodium ionbatteries.

#### 91 Materials and methods

#### 92 Chemical-enzymatic fractionation

The raw biomass was grinded using a Hammer mill (MF 10 basic, IKA, Germany) and the 93 powders smaller than 500 um were collected. A composition analysis on the dried biomass samples 94 were performed following the standard chemical method established by the National Renewable 95 Energy Laboratory (NREL)<sup>39</sup>. The grounded samples were readily named as raw biomasses. 96 Further fractionation of biomasses was achieved by a fiber analyzing system<sup>40</sup> (ANKOM 2000, 97 ANKOM Technology, New York) through a bag-filtration process. Neutral detergent solution and 98 acid detergent solution were used in the chemical-enzymatic fractionation processes to remove 99 non-lignocellulosic components (proteins, minerals, starch, lipid) and hemicellulose to generate 100 neutral detergent fiber (NDF) and acid detergent fiber (ADF), respectively. The neutral detergent 101 solution (ANKOM FND20) contains sodium lauryl sulfate (3%, w/v), EDTA disodium (1.86%, 102 w/v), triethylene glycol (1%, w/v), sodium borate (0.68%, w/v), sodium phosphate (0.46%, w/v). 103 Sodium sulfite (20 g) and  $\alpha$ -amylase (8 ml) (ANKOM FAA, activity = 17,400 Units/ml) were also 104 used with the NDF detergent. The acid detergent solution (ANKOM FAD20CB) contains sulfuric 105 acid (2.93%, w/v), and cetyltrimethylammonium bromide (2%, w/v). Both of the NDF and ADF 106 fractionation processes are programmed procedures that involve high temperature treatment 107 (105 °C), elevated pressure (15 - 20 psi) and extensive shaking for 60 min to ensure a complete 108 reaction<sup>41,42</sup>. The NDF is a mixture of cellulose, hemicellulose and lignin, while the ADF is a 109 mixture of cellulose of lignin. The ADF are further subjected to 72% (w/w) H<sub>2</sub>SO<sub>4</sub> for 1 hour at 110 30 °C, followed by an acidic hydrolysis (3% H<sub>2</sub>SO<sub>4</sub>, 120 °C, 1 hour) to effectively remove crystal 111 cellulose to derive Klason Lignin. 112

## 113 Carbonization

The fractions derived from each biomass are subjected to a carbonization process at 800 °C and 1050 °C in a tube furnace under constant nitrogen flow, and the temperature ramping rate is set at 5 °C/min. The derived carbons are named as Raw C, NDF C, ADF C and Lignin C, respectively.

#### 117 Carbon characterization

The morphology of biomass-derived carbons was characterized using scanning electron 118 microscope (FESEM, LEO 1550) and high-resolution transmission electron microscopy (HRTEM, 119 JEOL JEM 2100). Structural proprieties of biomass-derived carbons from different fractions of 120 121 biomass were characterized. The polymorphism of carbonized biomass was characterized by Xray diffraction patterns (XRD, Shimadzu, Japan) using Cu-K $\alpha$  radiation (wavelength  $\lambda$ = 1.5406 122 Å). The Bruker-Emmett-Teller (BET) method was utilized to calculate the specific surface area, 123 by a fully automatic physical adsorption apparatus (ASAP 2020 Plus HD88, Micromeritics Co., 124 Ltd.) at -196 °C after outgassed at 200 °C for 12 h under vacuum. The pore size distribution was 125 obtained from the adsorption branches of the isotherms by the density functional theory (DFT) 126 method. Raman Spectra were collected with a WITec alpha500R instrument using a 532 nm 127 excitation source. Two peaks at around 1350 - 1370 cm<sup>-1</sup> and 1580 - 1600 cm<sup>-1</sup> were identified as 128 "D" and "G" bands, which correspond to the defected carbon (D band) and the sp<sup>2</sup>-bonded 129 graphitic carbon structures (G band). The ratio between two peak areas ( $I_G/I_D$ ) was calculated. X-130 ray photoelectron spectroscopy (XPS) measurements were conducted with a scanning 131 132 photoelectron spectrometer microprobe (PHI Quantera SXM, ULVAC-PHI Inc., Japan), which was equipped with an Al anode as the monochromatized X-ray source to investigate the surface 133 134 composition and the valence state. A high-resolution scan was performed for C 1s and O 1s.

## 135 Electrochemical tests in half-cells

The composite electrodes were fabricated by mixing biomass-derived carbons, carbon black and 136 polytetrafluoroethylene (PVDF) binder in a mass ratio of 8:1:1 on a copper foil. The electrolyte 137 consists of 1M NaClO<sub>4</sub> (>99%, Sigma-Aldrich) in ethylene carbonate (EC) and dimethyl carbonate 138 (DEC) (1:1 in volume), with 2 vol % fluoroethylene carbonate (FEC) was used. A sodium foil was 139 used as the counter electrode and a glass fiber (GF/D 47, Whatman) was used as the separator. All 140 141 the operations were conducted in an argon-filled glovebox. The discharge and charge tests were 142 conducted on Neware battery test system (NEWARE, Shen Zhen, China) in a voltage range of 0.01 - 3 V (vs. Na<sup>+</sup>/Na) at different current densities at 22 °C. Cyclic voltammetry (CV) tests were 143 performed at different scan rates (0.1 - 1 mV/s) within the voltage range of 0.01 - 3 V. 144 Electrochemical impedance spectroscopy (EIS) was characterized using frequency sweep from 145 0.001 Hz to 100000 Hz. 146

## 147 **Results and discussion**

The grand overview of this study is illustrated in the Fig. 1. In this top-down approach, we first 148 removed non-lignocellulosic components (protein, starch, fat, and mineral) to obtain pure fiber 149 material called neutral detergent fiber (NDF), which is composed of hemicellulose, cellulose, and 150 lignin. Second, the raw biomass was subjected to a mild chemical treatment to precisely remove 151 hemicellulose to obtain acid detergent fiber (ADF), which is mainly composed of cellulose and 152 lignin. In the chemical-enzymatic fractionation process, two detergent solutions (NDF detergent 153 and ADF detergent) were used to remove the targeted components to obtain the NDF and ADF 154 fractions, respectively. Both the NDF and ADF fractionation processes follow designated 155 programmed procedures, under high temperature (105 °C) and elevated pressure (15 – 20 psi) 156 conditions, with extensive shaking and periodic washing steps<sup>41,42</sup> (more information is available 157 in the experimental section). Last, ADF was treated with harsh H<sub>2</sub>SO<sub>4</sub> to dissolve cellulose to 158 obtain pure lignin called Klason lignin. Raw biomass, NDF, ADF, and Klason lignin were 159 subjected to carbonization at 800 °C and 1050 °C in a tube furnace to obtain different hard carbons, 160 which were thoroughly characterized for their structural, surface chemical, and electrochemical 161 162 properties. We used BSG, which is an agricultural waste, as a model biomass to demonstrate the impact of precursor composition on the carbon properties due to its relatively balanced 163 composition of protein, cellulose, hemicellulose and lignin. The major compositions in BSG are 164 cellulose  $(23.2 \pm 0.2\%)$ , hemicellulose  $(34.0 \pm 0.5\%)$ , lignin  $(7.3 \pm 0.4\%)$ , and other components 165 166  $(35.5 \pm 0.5\%)$  (Fig. S1). The obtained carbon samples are denoted as "Biomass Fraction TemperatureC" (e.g. BSG Raw 1050C refers to the carbon directly derived from the raw 167 168 BSG at 1050 °C). The carbonization yield of each sample is shown in Table S7.



Fig. 1. A schematic diagram illustrating the approach of utilizing the targeted fractionation methodto produce different carbon materials for sodium ion battery anodes.

172 The SEM images in **Fig. 1** show representative microstructures of hard carbons derived from different fractions of BSG: raw, NDF, ADF and lignin. Hard carbons derived from raw BSG by 173 carbonization at 1050 °C contain solid particles with bright color on the carbon surfaces; these 174 particles are typically recognized as metal element containing compounds derived from minerals. 175 As to the carbons derived from NDF, ADF and lignin, solid particles on carbon surfaces 176 diminished, indicating the effective removal of minerals during the chemical-enzymatic treatment. 177 Also, there are more cracking and wrinkles on the carbon surface from the raw BSG, which is a 178 sign of large specific surface area. Comparing the SEM images of hard carbons derived from NDF, 179 180 ADF and lignin, parallel tube-like structure could be identified in NDF and ADF derived carbons, which could be inherited from the original crystalline cellulose, because both NDF and ADF 181 182 contain a large amount of cellulose. In contrast, the lignin-derived carbon exhibited a bulky morphology, without the parallel-tube like structure or inter-connected pores. More SEM images 183 184 of carbons from BSG samples and other biomass can be found in the supplementary information (Fig. S2, S3 and S4). The morphology of lignin-derived carbon aligns well with a previous study 185 by Deng et al<sup>43</sup>. Cellulose and hemicellulose are rich of hydroxyl groups<sup>7,44</sup>, which undergo 186

dehydration readily during carbonization process and, as a result, form a three-dimensional
 structure with interconnected pores<sup>43</sup>.

According to the X-ray diffraction (XRD) results (Fig. 2a), the carbon materials derived from 189 raw BSG and NDF are mostly amorphous with only broad reflection peaks at  $2\theta = 22^{\circ}$  and  $43^{\circ}$ , 190 whereas the ADF and lignin derived carbon materials show a clear peak at  $2\theta = 22^\circ$ , indicating a 191 more ordered structure. This result suggests that removing non-lignocellulosic components and 192 hemicellulose leads to more crystalline structure of the derived carbon materials. The critical 193 carbon structure parameters, average lateral sizes  $(L_a)$ , stacking heights  $(L_c)$  and interlayer spacing 194  $(d_{002})$  were estimated based on the XRD patterns (**Table 1**). The  $d_{002}$  in the BSG ADF 1050C and 195 BSG Lignin 1050C were 3.86 and 3.84 Å, respectively. The numbers are greater than that of BSG 196 Raw 1050C and BSG NDF 1050C, indicating the removal of hemicellulose helped to expand the 197 198 interlayer spacing between carbon sheets. Compared to hemicellulose which decomposes around 210 - 325°C, cellulose and lignin decompose at higher temperatures (310 - 400°C for cellulose, 199 and 250 - 900°C for lignin)<sup>45</sup>, thus removing hemicellulose may result in delayed formation of 200 chars to a higher temperature, which consequently hinders the re-organization of carbon sheets, 201 leaving a larger interlayer spacing<sup>46</sup>. HRTEM images of carbonized BSG from different fractions 202 were also collected to estimate the  $d_{002}$  and confirm XRD results (Fig. S5 and S6). Although there 203 204 are minor differences in terms of the calculated numbers, the results showed the same trend among these four samples (Table S1). 205

206 Fig. 2b compares carbons derived from different fractions using Raman spectroscopy. The two characteristic carbon bands at 1340 cm<sup>-1</sup> and 1585 cm<sup>-1</sup> are ascribed to the disordered sp<sup>3</sup> carbon 207 (D band) and the ordered sp<sup>2</sup> carbon (G band), respectively. The peak intensity ratio ( $I_G/I_D$ ) 208 between the G band and D band usually reflects the degree of order in turbostratic carbon. The 209 210  $I_G/I_D$  ratio of raw biomass, NDF, ADF, and lignin from three biomasses (BSG, grape pomace, and walnut shell) were plotted in the Fig. 2c. Within each biomass, the  $I_G/I_D$  ratios generally follow 211 the same trend among different fractions. The raw biomass resulted in a relatively high I<sub>G</sub>/I<sub>D</sub> value, 212 213 while the NDF fraction (after removal of proteins, lipids, extractives, minerals) showed a decreased I<sub>G</sub>/I<sub>D</sub> value. The decrease is probably attributed to the removal of minerals containing 214 215 transition metals such as Fe and Ni, which could serve as graphitization catalysts during carbonization47,48. A drastic increase of IG/ID was found from NDF to ADF by removing 216

hemicellulose. Hemicellulose is an amorphous polymer made of heterogeneous monosaccharide 217 unites (arabinoxylans, arabinogalactans,  $\beta$ -glucans, etc)<sup>49</sup>. After the thermal depolymerization of 218 hemicellulose into a variety of monosaccharides, thermal degradation and ring rearrangement 219 occur. Due to the differences in the thermal stability of each monosaccharide unit, the thermal 220 degradation of hemicellulose takes place in a wide temperature range, as indicated by the weight 221 loss curve using TGA in a previous work<sup>50</sup>. The carbon rearrangement could be thus hindered and 222 lead to a low degree of sp<sup>2</sup> carbons. On the other hand, the decomposition of cellulose takes place 223 in a narrower temperature range  $(310 \sim 400 \text{ °C})^{45}$ , because cellulose is composed of one single 224 monomer,  $\beta$ -glucose. Such a uniform composition may facilitate the recombination of carbon rings 225 226 into carbon sheets with sp<sup>2</sup> arrangements. Further removal of cellulose then decreases the  $I_G/I_D$ ratio, because lignin is a highly thermo-resistant component due to rich cross-linking<sup>37</sup>. With the 227 slow kinetics of thermal decomposition, the formation of sp<sup>2</sup> structure could be substantially 228 229 suppressed.

The specific surface area of BSG derived carbons were studied using the N<sub>2</sub> 230 adsorption/desorption isotherms (Fig. 2d) and the results were summarized in the Table 1. The 231 BSG raw 1050C showed the largest surface area (462.5  $m^2/g$ ) compared to carbons derived from 232 the NDF, ADF and lignin fractions. According to the pore size distribution plot in the Fig. 2e, the 233 large surface area of the BSG raw 1050C is contributed by micro-meso pores that are less than 5 234 nm. Those pores are typically generated during self-activation processes due to the natural 235 presence of potassium, zinc and phosphate in the biomass<sup>51,52</sup>. After removing minerals, the 236 specific surface area of lignocellulosic fractions (NDF, ADF, lignin) significantly decreased, 237 probably due to the diminishment of micro-meso pores. The reduced specific surface area has been 238 239 reported crucial for the sodium battery chemistry by reducing electrode-electrolyte side reactions and improving Coulombic efficiency<sup>53</sup>. 240

The above characterization results have unambiguously demonstrated that different fractions of biomass can lead to variations in the morphological and structural characteristics of the derived carbon materials. The NDF fraction, which are rich in amorphous hemicellulose, result in less sp<sup>2</sup> bonded carbons and reduced interlayer spacing ( $d_{002}$ ) of the derived carbon materials. In contrast, the ADF, which is rich in crystalline cellulose with no hemicellulose, leads to more sp<sup>2</sup> bonded carbons, expanded interlayer spacing, and reduced specific surface area.



Fig. 2. (a) XRD patterns of carbon materials derived from BSG (b) Raman spectrum of carbon materials derived from different fractions of BSG at 1050 °C. (c)  $I_G/I_D$  ratio of carbon materials derived from BSG, GP and NDF at 1050 °C. (d) N<sub>2</sub> adsorption/desorption isotherms. (e) Pore size distribution.

**Table 1.** A comparison of carbon structures obtained from different fractions of BSG.

Sample name	BET (m²/g)	d <sub>002</sub> (Å)	$L_{a}(A)$	$L_{c}(A)$	$I_G/I_D$
BSG Raw_1050C	462.5	3.76	24.89	8.04	0.476
BSG NDF_1050C	3.6	3.75	24.42	9.38	0.302
BSG ADF_1050C	1.6	3.86	19.41	10.10	0.560
BSG lignin_1050C	15.8	3.84	40.11	8.52	0.457

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247

The surface chemistry of carbons was quantified using X-ray photoelectron spectroscopy (XPS)
to reveal the surface functional groups. Fig. 3a~3d compares the C 1s high-resolution XPS spectra

of the carbons derived from different fractions of BSG. The C 1s spectra were deconvoluted into 256 C-C sp<sup>2</sup> bonds (284.6 eV), C-O bonds (286.4 eV), C=O bonds (287.7 eV) and C-OOH bonds 257 258 (289.7 eV), respectively<sup>54</sup>. The results showed that the BSG ADF 1050C contains the most abundant C-C sp<sup>2</sup> bonds (47%), which aligns with its highest  $I_G/I_D$  peak ratio in the Raman 259 spectrum. The C-C sp<sup>2</sup> group are responsible for constituting the graphitic carbon sheets, which 260 stacked with each other to form interlayers allowing for sodium ion intercalation<sup>55</sup>. Many studies 261 also suggested that surface functional groups of hard carbons play vital roles during sodiation and 262 desodiation process<sup>45,56</sup>. Kang et al found that the presence of oxygen on carbon surface 263 significantly improved sodium intercalation, which may be attributed to the improved sodium 264 affinity through the O-Na interactions<sup>57</sup>. In this study, all the samples possess similarly large 265 amount of C=O and C-O bonds, suggesting desirable sodium affinity. The BSG Lignin 1050C 266 contains the highest fraction of C-OOH bond (12%), which is associated with extensive cross-267 linking between the phenylpropane in lignin and the resulting thermal stability<sup>35</sup>. The rich C-OOH 268 bonds in the BSG lignin 1050C could be detrimental to its electrochemical performance because 269 the occupancy of -OH group leaves less free volume for sodium migration<sup>57</sup>, and suppressed 270 sodium diffusivity. The O 1s spectrums (Fig. S7) suggest that the BSG NDF 1050C sample is rich 271 of C-O-H bond (Table S2), which may also result in its low sodium ion diffusivity (Table S5). 272



Fig. 3. Characterization and comparison of hard carbon materials derived from different fractions.

275 (a) high-resolution C 1s XPS spectrum of BSG raw\_1050C. (b) high-resolution C 1s XPS spectrum

- of BSG NDF\_1050C. (c) high-resolution C 1s XPS spectrum of BSG ADF\_1050C. (d) high-
- resolution C 1s XPS spectrum of BSG lignin\_1050C. (e) Percentage of functional groups in
- carbons derived from different fractions of BSG.
- The electrochemical measurements show that the carbon derived from fractionated BSG 279 exhibited drastically improved electrochemical performance than the carbon derived from the raw 280 BSG. The sodium ion battery half cells were assembled using 1M NaClO<sub>4</sub> in EC/DMC (1:1 in 281 volume ratio) as the electrolyte, sodium metal as the reference and counter electrode, and the 282 283 derived carbon as the working electrode. A direct comparison of electrochemical performance between the BSG ADF 1050C and BSG raw 1050C is shown in the Fig. 4. At the current density 284 of 100 mA  $g^{-1}$  (0.4 C, 1C = 250 mA  $g^{-1}$ ), the reversible charging capacity of BSG raw 1050C was 285 only 35 mAh g<sup>-1</sup> (Fig. 4a), whereas the BSG ADF 1050C is increased by four folds to 184 mAh 286 287 g<sup>-1</sup> (Fig. 4b). The low reversible capacity of BSG raw 1050C could be attributed to its large specific surface area that leads to extensive side reactions between the electrochemical active 288 materials and electrolyte<sup>58</sup>. The Columbic efficiency (CE) comparison (Fig. 4c) shows that the 289 BSG ADF 1050C started with 72.0% and it readily reached 99.3% in the 4<sup>th</sup> cycle, whereas the 290 291 BSG raw 1050C started with 69.7% and took 53 cycles to reach 99.3%. The differences in CE% during cycling confirmed that there was more irreversible capacity loss in BSG raw 1050C due to 292 the larger surface area. The BSG ADF 1050C also presented significantly lower average 293 charging/discharging voltages than the BSG raw 1050C (Fig. 4d), indicating greater power 294 295 density and energy density as an anode in future full cells, as well as promising capability to maintain a low degree of polarization<sup>59,60</sup>. The lowered voltage is associated with the carbon 296 structure differences between the BSG ADF 1050C and BSG raw 1050C. According to the most 297 acceptable "house of cards" model for sodium storage in hard carbons<sup>61</sup>, the sloping potential 298 profile at the high potential range is related to the intercalation of Na<sup>+</sup> into the carbon interlayer, 299 and the plateau region at the low potential range corresponds to the adsorption of the Na<sup>+</sup> into the 300 voids between randomly stacked layers<sup>62</sup>. For Na<sup>+</sup>, an expanded interlayer spacing has been 301 suggested necessary for intercalating Na<sup>+ 63</sup>. In this work, the  $d_{002}$  of BSG ADF 1050C (3.86 Å) 302 is larger than that of the BSG raw 1050C (3.76 Å). The expanded  $d_{002}$  lowered the energy penalty 303 for Na<sup>+</sup> to enter and diffuse in the carbon matrix, which has been validated by the theoretical DFT 304

305 calculation<sup>64</sup>. The charge-discharge curves of BSG NDF 1050C and BSG Lignin 1050C (Fig. S10) also suggest the determining role of  $d_{002}$  on plateau capacity at low voltages. The BSG 306 NDF 1050C sample ( $d_{002} = 3.75$  Å) exhibited almost no plateau capacity while the BSG 307 Lignin 1050C ( $d_{002} = 3.84$  Å) exhibited over 50% of plateau capacity. In addition to the  $d_{002}$ , a 308 smaller specific surface area was also reported to favor sodium ion storage<sup>53</sup>. The electrochemical 309 impedance spectroscopy (EIS) was performed to evaluate the charge transfer resistance at the 310 anode (Fig. S9). The charge transfer resistance ( $R_{ct}$ ) of BSG raw 1050C sample is significantly 311 lower than other samples, probably due to its large content of mineral unremoved. BSG 312 ADF 1050C and BSG Lignin 1050C exhibited much larger charge transfer resistance (246.8  $\Omega$ 313 & 285.9  $\Omega$ ), which are within the typical range for biomass-derived hard carbon materials<sup>65</sup> (Table 314 S4). However, the charge transfer resistance of BSG NDF 1050C reached very high value at 739.2 315  $\Omega$ , which could be detrimental to its charge transfer efficiency and thereby significantly lower its 316 rate capability. 317



**Fig. 4.** Comparison of electrochemical performance of carbon materials derived from raw BSG

and ADF BSG at 1050 °C. (a) Charge-discharge cycles (100 mA g<sup>-1</sup>, 100 cycles) of raw BSG; (b)

Charge-discharge cycles (100 mA g<sup>-1</sup>, 100 cycles) of ADF BSG; (c) Coulombic efficiency (CE%)

of raw BSG and ADF BSG. (d) Average charging voltage  $(V_c)$  and average discharging voltage

323  $(V_d)$ .

The rate capability and cycling performance of carbons derived from the raw BSG and different 324 fractions of BSG are shown in the Fig. 5a. In terms of the rate capability, BSG ADF 1050C 325 showed the best performance than other samples, because of its highest amount of sp<sup>2</sup> bonded 326 domains that are accessible for intercalation, expanded  $d_{002}$  interlayer spacing that minimizes 327 sodium storage energy barrier<sup>66</sup>, and the lowest content of C-OOH bonds that facilitate sodium 328 diffusion. At a low current density (50 mA g<sup>-1</sup>), both BSG ADF 1050C and BSG lignin 1050C 329 showed premium reversible capacity (184 mA g<sup>-1</sup> for BSG ADF 1050C and 177 mA g<sup>-1</sup> for BSG 330 lignin 1050C), while the capacity of BSG Lignin 1050C decays progressively with increasing 331 332 current density, which could be related to its greater amount of C-OOH bonds than BSG ADF 1050C. Due to the structural difference, BSG lignin 1050C may also suffer more stress 333 during the insertion and extraction of Na<sup>+67</sup>. 334

Fig. 5b, 5c display the differential capacity profiles (dQ/dV) of the BSG raw\_1050C and BSG 335 ADF 1050C at the current density of 100 mA g<sup>-1</sup>. The BSG ADF 1050C presented the 336 intercalation peak and de-intercalation peak at very low voltages, 0.01 - 0.05V and 0.1 - 0.2 V, 337 338 respectively. These two peaks shifted mildly after 100 cycles, indicating desirable structural stability. As to the BSG raw 1050C, the Na<sup>+</sup> plating process involved an extensive side reaction, 339 and the intercalation/de-intercalation peaks were not as sharp as those of the ADF BSG 1050C. 340 This observation is consistent with the results represented in Fig. 4a that the BSG raw 1050C 341 342 mostly exhibits non-plateau capacity (i.e., more slopy voltage profiles).



Fig. 5. Comparison of electrochemical performance of carbon materials derived different fractions of BSG at 1050 °C. (a) Rate capability (50, 100, 200, 400, 800, 1000, 2000, 100 mA  $g^{-1}$ ), 10 cycles at each current density. (b) dQ/dV curve (100 mA  $g^{-1}$ , 100 cycles) of carbon materials derived from raw BSG at 1050°C. (c) dQ/dV curve (100 mA  $g^{-1}$ , 100 cycles) of carbon materials derived from ADF BSG at 1050°C.

349 Sodium storage and transportation mechanisms in different BSG fractions were further investigated using cyclic voltammetry (CV) (Fig. 6). In Fig. 6a, all the samples were measured at 350 351 a scanning rate of 0.2 mV/s. Sharp anodic and cathodic peaks at low voltages were found in the BSG ADF 1050C sample, suggesting the formation of intercalation compounds in the plateau 352 region<sup>68</sup>. Two hypotheses have been proposed to explain sodium storage in hard carbon materials. 353 The classic house of cards model suggests that the plateau capacity at low voltages is attributed to 354 intercalation and the sloping capacity is due to adsorption<sup>61</sup>. However, the house of cards model 355 was recently challenged in a recent work<sup>66</sup>. To further understand the sodium storage mechanisms 356 in the sloping and plateau regions, the sodiation and desodiation kinetics of BSG ADF 1050C 357 sample were investigated using the varying rate CV scanning method. Based on curve-fitting, the 358 CV data can be used to interpret whether the sodium transport occurs at surface or bulk. The 359 surface mass transport refers to sodium adsorption and the bulk mass transport indicates sodium 360 intercalation<sup>15,69,70</sup>. Following the previous described method, CV curves of BSG ADF 1050C 361 sample were collected at five different scanning rates (0.1, 0.2, 0.3, 0.5 and 1mV/s), shown in Fig. 362 **6b**. The peak currents at anodic and cathodic peaks in the plateau region are noted as P and P', 363 while the currents in the sloping region were noted as S and S'. The mathematical correlation 364 between currents (i) and scan rates (v) were studied using the curve fitting<sup>69</sup> (1). 365

$$\mathbf{i} = \mathbf{a} \times \boldsymbol{v}^b \tag{1}$$

Typically, smaller b value that close to 0.5 indicates a bulk mass transportation with a slow kinetics. On the other hand, if the b value is close to 1, it indicates a surface mass transportation and fast kinetics<sup>69,70</sup>. In this work, the b value was found to be close to 0.5 at in the plateau region and close to 1 in the sloping region (**Fig. 6c**). Therefore, the "house of cards" model<sup>61</sup> is more appropriate to describe the sodium storage in the hard carbons obtained in this study. This result aligns with most of recent works that studied sodium-hard carbon interactions<sup>15,71–73</sup>.



Fig. 6. (a) The comparison of CV curves (0.2 mV/s) of carbons from different BSG fractions. (b)
CV curves of BSG ADF\_1050C collected with varying scan rates at 0.1 mV/s, 0.2 mV/s, 0.3 mV/s,
0.5 mV/s and 1mV/s. (c) Plots of log(i) versus log(v) for BSG ADF\_1050C sample and the
corresponding linear fitting.

The ADF from two additional types of agricultural biomass, grape pomace (GP), and walnut 378 shell (WNS), were also prepared as anode materials for sodium ion batteries. At both two 379 temperatures being selected in this study (800 °C and 1050 °C), the carbon anodes derived from 380 the ADF showed a better specific capacity and cycling stability than the carbon anodes derived 381 from the raw biomass (Fig. 7). These results confirmed that fractionation of biomass into ADF 382 could be used as a common strategy to improve the specific capacity or cycling performance for 383 other types of biomass in the present study. It was also found that the ADFs from different types 384 of biomass resulted in different electrochemical performances. The carbon anodes prepared from 385 WNS ADF 1050C displayed the highest reversible capacity (263 mAh g<sup>-1</sup> at 100 mA g<sup>-1</sup>), while 386 the BSG ADF 1050C showed the lowest reversible capacity (184 mAh g<sup>-1</sup> at 100 mA g<sup>-1</sup>). The 387 capacity differences among ADF fractions could be related to three aspects: 1) the cellulose : lignin 388 ratios in these three types of biomass vary distinctively (Fig. S1), which is about 3:1 for the BSG, 389 1:1.5 for the GP and 1:1 for the WNS; 2) the ratios among each type of lignin monomer (G:H:S) 390

vary among different biomass, while their effects on the resulting carbon properties have not been 391 understood; 3) the molecular weight or chain length of cellulose from different types of biomass 392 393 are also different<sup>26</sup>. It is interesting that the both the WNS ADF 800C and the WNS ADF 1050C did not significantly improve the specific capacity compared to WNS Raw 800C and WNS 394 Raw 1050C, respectively. In fact, the chemical composition of WNS ADF is very similar to the 395 raw WNS, with the non-lignocellulosic components as low as 9%. In comparison, the non-396 lignocellulosic components are 35% for BSG and 50% for GP, respectively (Fig. S1). Featured by 397 its high lignocellulosic weight percentage, the raw WNS without any lignocellulosic component 398 removal as well as many other nutshells have been reported as excellent carbon anode precursors 399 for sodium ion batteries <sup>45,74</sup>. When comparing the cycling performance between WNS ADF 800C 400 and WNS Raw 800C (Fig. 7c), WNS ADF 800C presented slightly better cycling stability at 40 401 cycles while the difference becomes prominent at 100 cycles (Fig. S8), indicating that the removal 402 of trace amount of impurities and hemicellulose would also help improve the electrode stability. 403 On the other hand, the theoretical highest capacity of turbostratic carbon anodes for sodium ion 404 batteries have been reported in the range between 300 mAh g<sup>-1</sup> to 500 mAh g<sup>-1</sup>, depending on the 405  $d_{002}$  and the average number of graphitic sheets (n)<sup>71</sup>. Since it is not realistic that all of the carbons 406 form regular graphic-like sheet structure in turbostratic carbons, the highest capacities reported in 407 previous studies were around 300 mAh g<sup>-1</sup> <sup>72</sup>. In this study, the specific capacity of WNS 408 ADF 1050C also achieved a high capacity of 296 mAh g<sup>-1</sup> at 50 mA g<sup>-1</sup>, as shown in the Fig. 7f. 409



Fig. 7. Comparison of the electrochemical performance (100 mA g<sup>-1</sup>, 40 cycles) of carbon materials derived from different fractions (Raw vs. ADF), across carbonization temperatures & biomass types. (a) BSG 800 °C (b) GP 800 °C (c) WNS 800 °C (d) BSG 1050 °C (e) GP 1050 °C (f) WNS 1050 °C.

A long cycling test was performed at 50 mA g<sup>-1</sup> for the ADF fractions from BSG, GP and WNS 415 (Fig. 8). The WNS ADF 1050C exhibited the highest reversible specific capacity (296 mAh  $g^{-1}$ ) 416 among the three. As discussed above, the intrinsic differences in terms of the cellulose/lignin ratio, 417 lignin monomer composition, as well as the molecular weight of cellulose could be the key factors 418 resulting in the different electrochemical performances. All the ADF fractions tested in this study 419 420 showed acceptable retention of specific capacity after 200 cycles (> 80%), and the WNS ADF 1050C showed the highest capacity retention of 86.4%. Similar or slightly lower capacity 421 retention numbers were reported using hard carbon as sodium ion battery anodes<sup>72,75</sup>. The early 422 stage irreversible capacity loss is commonly from the SEI formation and electrolyte 423 424 decomposition<sup>76</sup>, while the later stage capacity loss may be attributed to side reactions with binders being used for carbon materials<sup>77</sup>. It has also been noted that the both GP Raw and GP ADF 425 samples are subjected to substantial capacity delay during the electrochemical cycles, which could 426 be attributed to their ultra-high lignin contents of 52% (Fig. S1). As a hard carbon precursor, lignin 427 428 tends to form carbon materials with high surface area and large number of open pores, which are typically responsible for the irreversible capacity<sup>53</sup>. 429



Fig. 8. Comparison of the long cycling performance (50 mA g<sup>-1</sup>, 200 cycles) of carbon materials
derived from the same fraction (ADF) using same temperature (1050°C) across different biomass
types. The black lines represent the specific capacity (Y1 axis in the left), and the red dots are the
coulombic efficiency (Y2 axis in the right). (a) BSG ADF\_1050C (b) GP ADF\_1050C (c) WNS
ADF\_1050C.

#### 436 Conclusions

Manipulating the biomass components for carbonization will potentially transform the valorization 437 of biomass wastes for rechargeable batteries. Such a bioprocessing-guided approach has not been 438 widely applied in the current hard carbon research, which has underestimated the large tunability 439 440 of biomass-derived carbons for sodium ion batteries. In this work, we developed a top-down approach to precisely manipulate the biomass composition, for the purposes of investigating the 441 effect of each component on the structural and electrochemical properties of derived carbon 442 materials. By removing different components from biomass, we found that the microstructure. 443 degree of graphitization, lattice parameters and surface functional groups of the derived carbon 444 445 materials can be finely controlled. For all three types of biomass selected in this study, the ADF fraction exhibited promising electrochemical performance. For BSG and GP, using the 446 fractionated ADF as hard carbon precursors lead to a drastic increase of the reversible capacity. 447 For WNS, the ADF fraction derived hard carbon exhibited more stable cycle stability. Compare to 448 449 other most recent work, the electrochemical performance of this study is among the first tier in terms of reservable capacity, Columbic efficiency and cycling stability (Fig. S11 & Table S6). The 450 excellent electrochemical performance of the ADF fraction-derived carbon is associated with more 451 intercalation sites, lower sodium intercalation energy barrier and suppressed side reactions, which 452 453 are directly associated with their structural features, including greater amount of sp<sup>2</sup> bonded carbon, lower surface area and larger interlayer spacing  $(d_{002})$ . The finding indicates that, the 454 electrochemical performance of biomass-derived carbon materials in sodium ion battery can be 455 remarkably improved by proper fractionation to remove undesirable components or selecting 456 457 biomass precursors with targeted chemical composition. With the rapidly increasing demand for rechargeable batteries, biomass-derived carbon materials are regarded as promising alternatives 458 for energy storage, which address a list of raising concerns associated with cost efficiency, 459 environmental impacts, life cycles and supply chain sustainability. By unraveling the profound 460 impact of biomass composition, this work provides fundamental insights into producing robust, 461 sustainable and cost-effective biomass-derived carbon materials for energy storage applications. 462

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## 476 Author Contribution

H.H. and F.L. conceived and led the project. Y.F. and L.T. performed materials synthesis,
characterization, and electrochemical measurements, and contributed equally to this work. Y.H.
and Q.J. performed the compositional analysis. Z.Z. and Y.Z. collected and analyzed the BET and
XRD data. Q.H. assisted the materials synthesis and electrochemical measurements. M.L. and C.K.
performed the HRTEM analysis. Y.F., L.T., F.L., and H.H. analyzed all data and wrote the
manuscript with assistance from all the coauthors.

# 483 **Conflict of Interest**

484 The authors declare no conflict of interest.

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