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Phase Transformations and Capacity Fade Mechanism in Li_xSn Nanoparticle Electrodes Revealed by Operando ⁷Li NMR[†]

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Operando ⁷Li nuclear magnetic resonance (NMR), ex-situ ⁷Li magic-angle spinning (MAS) NMR and pair distribution function (PDF) methods are used to investigate the electrochemical lithiation and delithiation of 60 nm particles of tin. While the structural transformation pathways between Li-Sn intermetallics during lithiation and delithiation of Sn nanoparticles are somewhat consistent with the structural evolution of Li–Sn phases expected from the equilibrium binary phase diagram, there are some notable exceptions with the observation of a metastable phase Li₂Sn₃, and two vacancy rich metastable phases, Li_{7- ζ}Sn₃, and Li_{13- δ}Sn₅ during delithiation. The capacity fade of ~ 30 % per cycle is not attributed to particle cracking, but rather to particles losing contact with the carbon/PVDF binder. The disconnecting particles are associated with Li_xSn phases undergoing large decreases in diameters on delithiation, i.e., Sn, Li₂Sn₅, LiSn, and Li₇Sn₃. A peculiar behavior of capacity fade in this system is that it only occurs during delithiation. This is explained by a model of disconnecting-reconnecting Li_xSn-containing particles which remain in the electrode and become reconnected every cycle by the volume increase of neighboring connected particles during the lithiation process.

1 Introduction

The demand for inherently safe, large capacity, portable energy storage has led to the investigation of a class of anode materials that form stable intermetallic compounds with lithium, ^{1–5} such as Si, Sn, Sb, Ge, As, Al, providing Li storage capacities 3 to 10 times that of graphite. Of the various intermetallic anodes, Tin has received significant attention due to its high theoretical gravimetric and volumetric capacities, ⁶ 960 mA·h/g and 6971 mA·h/cm³ respectively, its low potential 0.5 V vs. Li/Li⁺, ⁷ and more importantly, its high electrical conductivity of about 8.7 × 10⁴ S/cm.

Despite their high capacity, intermetallics have not lived up to expectations for portable energy storage due to significant capacity fade over each cycle.⁸ Considerable progress has been made in the last two decades in understanding the macro- and microscopic mechanisms behind this capacity fade—providing inspiration for the design of new classes of nano-structured electrodes. Nonetheless, these efforts continue to remain hindered by fundamental gaps in our understanding of the structural transformation pathways during electrochemical lithiation and delithiation, their thermodynamics and kinetics, and role in mechanisms of capacity fade.

The electrochemical lithiation transformation path suggested by the equilibrium binary phase diagram^{9,10} of lithium and tin proceeds through the sequential formation of intermetallic phases, Li₂Sn₅, LiSn, Li₇Sn₃, Li₅Sn₂, Li₁₃Sn₅, Li₇Sn₂, and Li₂₂Sn₅, with increasing lithium content. Rhodes et al.¹¹, however, performed in-situ X-ray measurements on 5 μ m thin film Sn electrodes and detected only β -Sn, Li₂Sn₅, LiSn, and Li₂₂Sn₅. This result was consistent with earlier in-situ X-ray measurements by Courtney and Dahn⁶ on a variety of tin oxide based compounds, where the oxides were reduced to Sn upon lithiation. These results suggested that the lithium-rich phases could not form longrange ordered structures due to the low mobility at room temperature and the large volume changes.

A major factor in the capacity fade in all intermetallics is the large volume expansions upon lithiation—up to 300 % of their initial structure—which leads to pulverization and amorphization of the electrode and disconnected particles.^{8,12–18} While one might suspect that amorphization might also be a cause of remaining capacity fade, it is not likely as amorphous Si- and Sn-based anodes have been reported to significantly improve the reversibility compared to their crystalline counterpart.¹⁴ For example, Beaulieu et al.¹³ reported reversible volume expansion of amorphous Si and Sn alloys prepared from magnetron sputtering and later concluded that the eventual capacity fade is due to



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loss in electrode contact. Hatchard et al.¹⁴ also showed that a dramatic fade in capacity is observed when crystalline phases are present in a predominantly amorphous $Si_{1-x-y}Al_xSn_y$ alloy.

Yang et al.¹² found that reducing the active Sn particle size from 3 μ m to 300 nm improves the cycle stability of alloy anodes. This improved stability with reducing particle sizes to the nanometer range (<100 nm) is now well documented in other systems^{8,19} and is primarily attributed to the ability of nanosized particles to accommodate large stress and strain of volume changes during lithiation and delithiation without cracking.

Even with nanosize Sn particles, however, capacity fade remains significant, particularly when cycled to more reductive potentials down to 0.2 V. It is well established that the formation of an SEI surface film^{20,21} on alloy particles causes capacity fade. This interface consists mainly of Li₂CO₃, various lithium alkylcarbonates (ROCO₂Li), LiF, Li₂O and nonconductive polymers. Another well known cause of irreversible loss of lithium is the decomposition reaction of (surface) oxides present on a metallic film or particle. This occurs primarily in the first cycle as native oxides present, usually in the form of passivation surface oxides or in bulk tin oxides, are reduced to amorphous Li₂O. After the first few cycles the loss of lithium from oxide decomposition and SEI formation is diminished, particularly when cracking of the active material can be avoided through nano-sizing.

The origin of the continuing capacity fade after the first few cycles is less understood. The electrochemical aggregation of nano-sized alloy particles, ^{5,6} has also been identified as a possible mechanism causing capacity fade. The aggregation of active particles over many cycles⁶ is thought to cause irreversible capacity loss from increased Li diffusion lengths and the trapping of SEI films inside aggregated particles 22 . To avoid aggregation of alloy particles efforts has focused on dispersing active alloy particles within a suitable host matrix to buffer the large volume change of the active particles and act as a spacer to reduce the aggregation of active particles during cycling.⁸ Another hypothesis for explaining the additional capacity fade is the irreversible trapping by host atoms. This was suggested by Li et al.^{22,23} for nano sized Si and SnSb alloy anodes where it was thought that Li became irreversible bound to low coordinated Si or Sb defect sites on the surface and in the bulk.

Operando nuclear magnetic resonance is a powerful technique for probing structure and dynamics in battery materials. ^{24–26} Recently, we reported a signal processing approach called derivative operando (dOp) NMR, ²⁷ which simply involves taking the derivative of the signal with respect to the operando time to improve the resolution of operando NMR spectra by removing time independent signals and to further distinguish between time dependent signals associated with the formation and removal of species.

Here, in an effort to understand better the thermodynamics and kinetics of electrochemical lithiation and delithiation of Sn as well as mechanisms of capacity fade, we employ operando ⁷Li nuclear magnetic resonance (NMR), ex-situ ⁷Li magic-angle spinning (MAS) NMR and pair distribution function (PDF) methods to investigate 60 nm particles of tin—where the primary capacity fade from volume expansion and cracking is known to be eliminated^{8,12,19}. We employ thermodynamically controlled cyclic



Fig. 1 Schematic of the bag cell used in the NMR measurements.

voltammetry (CV) experiments $(2.5 \ \mu V/s)$ to maintain a quasiequilibrium throughout the lithiation and delithiation processes. This provides a clearer separation of thermodynamic and kinetic factors. It also is advantageous for enhancing resolution in the operando time dimension of the two-dimensional dOp NMR spectrum.

2 Materials and methods

2.1 Sample preparation

2.1.1 Sn electrode preparation

A slurry was prepared from 85 wt. % (17 vol. %) Sn nanopowder (60-80 nm, 99.99% metal basis, US Research Nanomaterials, Inc.) with 5 wt. % (75 vol. %) carbon black (Carbon Vulcan Black XC-72R) and 10 wt. % (8 vol. %) polyvinylidene fluoride (PVDF, MTI Corp.) in N-methylpyrrolidone (NMP, MTI Corp.). The slurry was casted on a thin copper foil (9 μ m thick, MTI Corp.) current collector using an adjustable doctor blade (MSK-AFA I, MTI Corp.) set at a thickness of 0.4 mm followed by drying from 65 to 90 °C for 4 hours with intermittent vacuum. The electrodes were cut, weighed, and pressed to 5000 lbf using 2 stainless steel blocks of 6.75 cm×10.25 cm surface area and a Carver 3851-00 Hydraulic Press. Each dry electrode contained about 3 mg of active material.

2.1.2 Electrochemical Cell

A modified version of the bag cell battery configuration developed by Bellcore,²⁸ similar to that used by Letellier et al.,²⁹ was used in this study and is illustrated in Fig. 1. The twoelectrode battery half-cells were assembled in an Ar filled glove box (mBraun) with continuous detection of H_2O (< 0.5 ppm) and O_2 (< 0.5 ppm). The Sn nanoparticle electrode served as the working electrode and a high purity lithium metal foil (0.3 mm thick, Chemetall Foote Corp.) served as combined counter and reference electrode. A 1 M solution of lithium hexafluorophosphate (LiPF₆) in a 1:1 v/v of ethyl carbonate (EC) and dimethyl carbonate (DMC) was used as the electrolyte (Purolyte A5 Series, Novolyte Technologies). The Sn and Li electrodes were separated by a Celgard[®] 2400 separator soaked in the electrolyte prior to assembly. The lithium electrode was pressed onto a copper mesh current collector and the stack was then enclosed and vacuum sealed in a polyethylene plastic bag inside the glove box. The electrochemical cell was 4 mm wide and 10 mm long and contained 2.8 mg of Sn.

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SEI SEI - $1.0 \rightarrow 0.72$ 40.4 40.4 0	0 90.3	
	90.3	
$\label{eq:scalar} \textbf{I} \qquad \textbf{Sn} \rightarrow \textbf{Li}_2 \textbf{Sn}_5 \qquad 0.4 \qquad 0.72 \rightarrow 0.61 \qquad \textbf{96.8} \qquad \textbf{137.2} \qquad \textbf{90.3}$		
g $\stackrel{\text{O}}{\text{H}}$ II $\text{Li}_2\text{Sn}_5 \rightarrow \text{Li}_2\text{Sn}_3^* 0.2\overline{6} \qquad 0.61 \rightarrow 0.55 \qquad 55.5 \qquad 192.2 \qquad 60.2$	150.5	
$\stackrel{\textbf{i}}{\textbf{E}}$ III $\text{Li}_2\text{Sn}_3^* \rightarrow \text{LiSn}$ $0.\overline{3}$ <u>0.55 \rightarrow 0.45 102.6 294.7 75.3</u>	225.8	
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$V \qquad Li_{13}Sn_5 \rightarrow Li_7Sn_2 \qquad 0.9 \qquad 0.30 \rightarrow 0.2 \rightarrow 0.32 \qquad 156.5 \qquad 842.4 \qquad 203.2$	790.2	
$V' \qquad \text{Li}_7\text{Sn}_2 \rightarrow \text{Li}_{13}\text{Sn}_5 \qquad 0.9 \qquad 0.32 \rightarrow 0.50 \qquad 63.6 \qquad 778.9 \qquad 203.2$	587.0	
5 IV $\text{Li}_{13}\text{Sn}_5 \rightarrow \text{Li}_7\text{Sn}_3 0.2\overline{6}$ 0.50 \rightarrow 0.69 296.4 482.5 361.2	225.8	
$ IV' \qquad \text{Li}_7\text{Sn}_3 \rightarrow \text{LiSn} \qquad 1.\overline{3} $		
$H_{n} = H_{n}$ III' LiSn \rightarrow Li ₂ Sn ₅ 0.6 0.69 \rightarrow 0.77 97.8 384.7 135.5	90.3	
$\tilde{\mathbf{A}}$ $\stackrel{{}_{\scriptstyle \leftarrow}}{\rightrightarrows}$ I' $\operatorname{Li}_2\operatorname{Sn}_5 \to \operatorname{Sn}$ 0.4 $0.77 \to 1.0$ 108.6 276.1 90.3	0.00	

 Table 1
 Theoretical and experimental cell specific capacity during the different stages of lithiation and delithiation of a Sn electrode containing 2.84 mg of active Sn. See main text for details on the assignments.

2.2 Electrochemical measurements

A CHI400B (CH Instruments, Inc., Austin, TX) galvanostat/potentiostat was used to perform all electrochemical measurements in this work. All voltages were measured and reported against Li/Li⁺. The initial open circuit potential (OCP) of the cell was around 2.88 V. Three "fast" cyclic voltammograms (CV) between 1.0 and 0.2 V at a potential sweep of 1 mV/s were collected before placing the cell in the NMR probe to verify that the cell was cycling and the anticipated voltammogram of Sn was obtained. The electrochemical cell was then inserted in the NMR coil and connected to the potentiostat. Another 1 mV/s CV was measured between 1.0 and 0.2 V after which CVs were collected at 2.5 μ V/s from 1.0 to 0.2 V and back to 1.0 V.

2.3 X-ray measurements

PDF studies were conducted at sector 11-ID-B of the Advanced Photon Source (APS) at Argonne National Laboratoy. X-ray scattering measurements were performed at an operating energy of 86.72 keV ($\lambda = 0.1432$ Å). Tin electrodes were cycled at 2.5 μ V/s to form the standard Li₇Sn₃ at 0.38 V (lithiation) and to Li_{7- ζ}Sn₃ at 0.58 V (delithiation). The phases were confirmed by NMR prior to PDF measurements. Experimental geometries were calibrated using CeO₂ powder and FIT2D software³⁰. FIT2D was also used to transform the data into a function of *Q*, and corrections for background, Compton scattering, and detector effects were applied. A Fourier transform was applied to obtain G(r) using PDFGetX2 software.³¹ PDFGui³² was used to perform structural refinements.

2.4 NMR measurements

All NMR measurements were performed on a Bruker Avance III HD 400 MHz spectrometer. The 7 Li frequency shifts were referenced to a 1 M aqueous solution of lithium chloride (LiCl).

2.4.1 Operando study

The ⁷Li operando NMR spectra in Fig. 3 and Fig. 6A were acquired at ambient temperature using a Bloch Decay sequence with a Bruker static wideline probe and a 12 mm transceiver coil. The electrochemical bag cell was placed inside a cylindrical Vespel housing. The thickness of the battery was matched to the opening of the housing and teflon shims were added above and below the cell to keep a uniform pressure applied across the cell and to maintain electrical contact. The housing was oriented with the electrode face parallel to B_0 in order to minimize magnetic susceptibility broadenings.³³ At the ⁷Li NMR frequency (155.48 MHz) the skin depth in Sn metal is \sim 13 $\mu m,$ and the thickness of the electrode is $\sim 30 \ \mu m$. With carbon particles occupying 75 % of the volume in the Sn electrode, the 60 nm Sn particles are sufficiently separated that there are no significant rf skin depth effects affecting the quantification of ⁷Li NMR signal intensities from the Sn electrode.

The NMR probe was modified to feed the wires connecting the potentiostat through the base of the probe to maintain a common ground with the probe circuit. Low pass filters were connected in line of the potentiostat cables to block high frequency noise injected from the potentiostat and eliminate stray rf picked up by the potentiostat cables from reaching the NMR receiver coil. The radio frequency transmitter power level was reduced to 50 watts to eliminate arcing in the coil, and corresponds to a rf field strength of $\omega_1/(2\pi) = 10$ kHz. At this power, only the central transitions of the Li metal nuclei are excited ($\omega_q \gg \omega_1$), as their magnetization nutates at twice the rate of lithium nuclei in the electrolyte and the Li_xSn compounds. Thus, at this power a 12.5 μ s pulse length corresponds to 45° rotation of magnetization associated with all Li NMR transitions in the electrolyte and the Li_x Sn compounds and a 90° rotation of magnetization associated only with the Li central NMR transitions in Li metal.

For operando NMR/cyclic voltammetry experiments the total



Fig. 2 (A) Cyclic voltammogram of the fourth cycle of the Sn/Li electrochemical cell depicting lithiation as a function of potential at 2.5 μ V/s. Not shown: First 3 CV cycles of fresh Sn electrode at 1 mV/s. The colored dots mark the potentials where the ex-situ ⁷Li MAS NMR spectra in Fig. 4 were measured. The triangles mark the potentials where the ex-situ pair distribution functions were measured. (B) Specific capacity during the fourth cycle of the cell as a function of cell potential.

acquisition time was 7.68 ms; a 2.5 s recovery time was used between scans; and 360 scans were averaged together for each spectrum. With these acquisition parameters each spectrum was obtained in 15 min giving an electrochemical resolution of 2.25 mV per NMR spectra. A total of 1474 spectra were acquired for a total measurement time of 368.25 h (approx. 15 days). Variations in the probe tuning while the potentiostat varied the voltage and current caused the initial phase of the NMR signal to vary over the full duration of the operando experiment. Thus, the time origin of all 1474 free induction decays were auto-phased to place maximum intensity in the real channel, and the 1474 1D spectra were then further phased as a single 2D signal. All spectral processing were performed with the program RMN.³⁴ The operando 2D ⁷Li NMR spectrum shown in Fig. 3 was acquired during the 4th and 5th cycles of a Sn cell at 2.5 μ V/s. The corresponding potentials at which lithiation and delithiation occur are shown on the right axis of Fig. 3.

The corresponding dOp NMR spectrum is shown in Fig. 3B. Positive derivative intensities are shown in green and represent formation of lithium species (henceforth called *formation resonance*) whereas negative derivative intensities are shown in red and represent the removal of lithium species (henceforth called *removal resonance*).

In-situ ⁷Li longitudinal relaxation times for Li sites were measured by the inversion recovery³⁵ method at ambient temperature in a separate but identical battery in a series of potential hold experiments to electrochemically synthesize the different Li_xSn phases. The approximate ⁷Li longitudinal relaxation times are given in Table S1 (ESI[†]). The frequency assignments were based on the shifts of the Li-Sn intermetallic phases reported by Bekaert et al.³⁶

In-situ variable temperature spectra in Fig. S1A (ESI[†]) were performed using an rf power of 150 W. A 5 μ s pulse length was used giving a tip angle of 36 ° for the intermetallics and electrolyte, and 72 ° for the Li metal. A recycle delay of 0.65 s was used and 440 scans were averaged together for each spectrum.

2.4.2 Ex-situ MAS studies

For ex-situ NMR MAS measurements, six different cells were cycled to the desired potentials at 2.5 μ V/s, disassembled, and the material scraped from the current collector and mixed with polypropylene microbeads at a ratio of 1:1 (by weight) to reduce eddy currents and sample heating from the sample rotation, and improve radio frequency penetration in the metallic sample. Previously, MAS measurements were performed by mixing the lithiated materials with SiO₂ as the insulator, ³⁶ however, the samples were unstable with loss of Li from the Li_xSn phases and an increase in the SEI NMR signal, likely due to the reaction of the SiO₂ with the Li in the intermetallic phases. The ⁷Li ex-situ MAS NMR spectra in Fig. 4 were acquired with a Bruker 2.5 mm MAS probe at a spinning speed of 20 kHz using a 15 s recycle delay, a $\pi/2$ pulse length of a 4 μ s at 50 W, and 64 scans. The ⁷Li ex-situ MAS NMR spectra in Fig. 6B were acquired with a Bruker 4 mm MAS probe using a 30 s recycle delay, and an rf $\pi/2$ pulse length of a 4 μ s at 50 W, and 8 scans. The variable temperature ⁷Li exsitu MAS NMR spectra in Fig. S1B (ESI[†]) were acquired with a Bruker 4 mm MAS probe at a spinning speed of 12 kHz and a $\pi/2$ pulse length of a 4 μ s at 50 W, with 8 scans. A 45 s recycle delay was used for measurements between 243 K and 283K, a 30 s recycle delay for measurements between 293 K and 333 K, and a 20 s delay for the measurements at 343 K and 353 K.

3 Results and Discussion

A typical cyclic voltammogram (CV) of the fourth cycle of the 60 nm Sn electrode measured at 2.5 μ V/s is shown in Fig. 2. For these experiments it was decided to run thermodynamically controlled experiments at sweep rates of 2.5 μ V/s (\sim C/89), even though these are not practical for everyday battery use, in an attempt to keep the battery at a quasi-equilibrium throughout the process. While the polarization direction is reversed when the cell potential reaches 0.2 V, the return sweep in Fig. 2A remains reductive from 0.2 V to 0.32 V. Oxidative delithiation is observed at potentials higher than 0.32 V.



Fig. 3 (A) 2D ⁷Li NMR color intensity plot of the 4th cycle at a sweep rate of 2.5 μ V/s showing the relative signal intensities as a function of time. The first 3 cycles starting with a fresh Sn electrode were swept at 1 mV/s. The horizontal axis is the NMR frequency shift vs. a 1 M LiCl reference, the *y*-axis is the operando time in hours during the cycle. The horizontal blue dashed line represents the time at which the current polarity was switched and the red dashed line represents the end of the 4th cycle. (B) ⁷Li dOp NMR spectrum. In (A) color map hue is linearly proportional to intensity, and in (B) green and red represents positive and negative amplitudes, respectively. In both plots the color saturation goes to zero as the intensity magnitude approach zero. (C) Cell potential as a function of operando time. (D) Cell potential as a function of time.



Fig. 4 Ex-situ ⁷Li MAS NMR spectra of different Sn electrodes lithiated at 2.5 μ V/s to potentials of (A) 0.62 V, (B) 0.59 V (C) 0.56 V, (D) 0.54 V, (E) 0.50 V, (F) 0.47 V, (G) 0.42 V, (H) 0.38 V, (I) 0.36 V, (J) 0.10 V, (K) delithiation to 0.95 V, and (L) relithiation to 0.20 V. All spectra were measured on cells during the 1st cycle except (L) which was measured on a cell relithiated during the 2nd cycle. The MAS spinning speed is 20 kHz for all spectra except (G), (H), (I), and (J) where it is 12 kHz. Spinning sidebands are marked with asterisks.

Five distinct reduction peaks (regions), labeled I, II, III, IV and V, are apparent during lithiation, and four oxidation peaks (regions), labeled I', III', IV' and V', are apparent during delithiation of Sn. From the onset potentials obtained from the CV, the specific capacities associated with each peak (region) were quantified and are given in Table 1. Figure 2B shows the cell's specific capacity as a function of cell potential.

In the region from 1.0 to 0.72 V, that is, prior to peak I, a slow increase of lithium is transferred to the system. This capacity equivalent of 40.4 mA·h/g (see Table 1) is likely due to SEI formation. Although this is the fourth cycle of the cell, small amounts of SEI are expected to continue forming on all Li_xSn phases even after several cycles.^{37,38} Based on the discussion that follows the peaks are assigned to phase transformations as shown in Table 1. The theoretical specific capacities, also given for comparison in Table 1, are consistent with these assignments.

The derivative Operando (dOp) NMR spectrum, shown in Fig. 3B, is obtained by taking the first derivative of the conventional NMR spectrum, shown in Fig. 3A, with respect to the operando time as described earlier.²⁷ The dOp NMR spectrum provides a clearer and richer view into the chemistry of the lithiation and delithiation processes. Positive derivative intensities are shown in green and represent formation of lithium species (henceforth called formation resonances) whereas negative derivative intensities are shown in red and represent the removal of lithium species (henceforth called removal resonances). Thus, a dOp NMR spectrum can reveal the removal of reactants and formation of products associated with a given reaction. For example, around $t_{op} \approx 35$ h we observe a formation resonance at ${\sim}80$ ppm for a species A and a removal resonance at ${\sim}273$ ppm for Li metal dendrites, both associated with the redox reaction of peak I. One must be careful interpreting dOp NMR spectra as partial or complete destructive interference from strong overlap of removal and formation resonances can occur and hide the existence of some species participating in the reaction. Thus, it also useful to track which conventional NMR resonances have been removed by the dOp transform.²⁷ Generally, we find the dOp intensities of the lithium metal dendrite resonances to be well correlated to the CV current. The drop and disappearance of lithium metal signal intensity (and disagreement with the CV current) at $t_{op} \approx 75$ h in Fig. 3B, however, corresponds to the situation where there has been a complete removal of deposited lithium dendrites on the counter electrode during lithiation of the Sn anode. It is not until $t_{op} \approx 125$ h, during delithiation, that the lithium metal dendrite resonances reappear. As long as lithium dendrites are present on the counter electrode, we observe that the intensities of the lithium metal resonances in the dOp spectrum are correlated to the CV current.

For comparison, Fig. 3C shows the CV current as a function of operando time (solid black line), the partial projection over the lithium metal dOp NMR resonance at 274 ppm (rate of dendrite removal and formation) as a dashed blue line, and the partial projection over the Li_x Sn resonances in the region from -50 ppm to 150 ppm as the dashed red line. Note, to follow the IUPAC convention that reduction currents are negative we invert the sign of the dOp NMR projections.

3.1 Peak I

Beginning at operando time, $t_{op} = 0$ h ($E_{op} = 1.0$ V), the first evidence of intermetallic formation, peak I, occurs at $t_{op} = 34.7$ h ($E_{op} = 0.69$ V), where a resonance at 80 ppm appears. This formation resonance is assigned to the formation of the intermetallic phase Li₂Sn₅,

Peak I:
$$Sn + 0.40 Li^+ + 0.40 e^- \rightarrow 0.20 Li_2 Sn_5$$
. (1)

This assignment of peak I at 0.65 V had previously been assigned by operando x-ray measurements.^{6,11} Further support for this assignment is found in the ex-situ ⁷Li NMR magic-angle spinning (MAS) spectra shown in Fig. 4. These spectra were acquired at the series of potentials shown in the far right, and indicated on the CV in Fig. 2A by the colored dots.

The ex-situ ⁷Li NMR MAS spectrum of the electrode cycled to Peak I (0.62 V) is shown in Fig. 4A. The sharp and broad resonances near 0 ppm are assigned to the electrolyte and SEI, respectively. The resonance at 76.1 ppm is is assigned to Li_2Sn_5 . This phase has the largest Knight shift of the observed Li_xSn phases in this study, and is consistent with previous NMR measurements. 36,39,40 In 7Li NMR measurements on a series of liquid Li-Sn alloys van der Marel et al.³⁹ found, with increasing additional of Sn to Li, that the ⁷Li Knight shift in falls rapidly from 265 ppm in pure lithium metal to a minimum of 60 ppm in the Li₄Sn alloy, and then rises to about 110 ppm in the lower Li-Sn ratio alloys. In solid Li-Sn alloys Furuya et al.⁴⁰ found that the ⁷Li NMR Knight shift follows a similar pattern with the minimum ⁷Li NMR Knight shift occurring in the Li₇Sn₂ alloy. Assuming that the Fermi contact interaction between the nucleus and the electron spins is the dominant contribution, the Knight shift is given by

$$K = \frac{8\pi}{3} \Omega \chi_p P_F, \qquad (2)$$

where Ω denotes the average atomic volume, χ_p the Pauli spin susceptibility and P_F a Fermi surface average of the electron contact density at the ⁷Li nucleus. Starting with this expression, van der Marel et al.^{39,41} showed that the decrease in ⁷Li Knight shift is primarily due to a decrease in the spin susceptibility, χ_p , which in turn can be related to the change in the alloy resistivity that dramatically peaks around the composition Li₄Sn. Bekaert et al.³⁶ prepared the seven Li-Sn crystalline phases known from the binary phase diagram, Li₂Sn₅, LiSn, Li₇Sn₃, Li₅Sn₂, Li₁₃Sn₅, Li₇Sn₂, and Li₂₂Sn₅ by ball-milling, and measured their ⁷Li MAS NMR spectra. They similarly found that the ⁷Li Knight was proportional to the density of states at the Fermi level.

3.2 Peaks II and III

Peaks II and III appear at 0.57 V and 0.51 V, respectively. In the dOp spectrum at peak II a formation resonance labeled B appears along with a correlated removal resonance for the Li metal dendrites. There is, however, no apparent removal of resonance A (Li_2Sn_5). At peak III we observe a formation resonance labeled C with a correlated removal resonance for B and a lower removal resonance for the Li metal dendrites.

Turning to the ex-situ ⁷Li MAS NMR spectra in Fig. 4C-F we



Fig. 5 The pair distribution function of a sample obtained in the first cycle of a cell cycled to the potential where peak D is formed and its best fit. Rw = 0.13

see that the LiSn phase distinctly appears as two resonances at 32 and 42 ppm. The relatively narrow NMR line widths observed for LiSn is attributed to two non-exchanging Li crystallographic sites present in this phase based on the work by Bekaert et al.³⁶ The LiSn resonances in the ex-situ ⁷Li MAS NMR spectra continue to grow up to 0.47 V and, based on the total charge needed to form LiSn, its formation is complete at the onset potential of peak IV.

While evidence of LiSn formation is apparent beginning at 0.56 V and at lower potentials in the ex-situ ⁷Li MAS NMR spectra of Fig. 4, at the same potentials we also see evidence of broad ⁷Li resonances in the base line underlying the narrow Li₂Sn₅ resonance, labeled B. These resonances seems to arise from a highly disordered phase with a Knight shift that is intermediate between Li₂Sn₅ and LiSn. Given the ex-situ ⁷Li MAS evidence for a spectrally broad B resonance, the curious lack of a removal resonance for A at peak II during the formation of B in the dOp spectrum could be the result of destructive interference of an overlapping removal resonance for A and the broader formation resonance for B. Using coulometric titration Wang et al.⁴² observed the formation of a phase with stoichiometry Li_{0.7}Sn between Li₂Sn₅ and LiSn. Using ab initio random structure searching Morris and coworkers⁴³ recently predicted three metastable phases between Li₂Sn₅ and LiSn, of which Li₂Sn₃ is the closest to the hull and the x = 0.7 composition determined by Wang et al.⁴² One might speculate that resonance B could possibly be associated with the metastable Li₂Sn₃ phase, but further work would be needed for a more definitive assignment. On this basis, we tentatively assign peak II to the formation of Li₂Sn₃,

Peak II: $0.20 \text{Li}_2 \text{Sn}_5 + 0.27 \text{Li}^+ + 0.27 e^- \rightarrow 0.\overline{3} \text{Li}_2 \text{Sn}_3.$ (3)

and peak III to the formation of LiSn,

Peak III:
$$0.\overline{3}\text{Li}_2\text{Sn}_3 + 0.33\text{Li}^+ + 0.33e^- \rightarrow \text{LiSn}.$$
 (4)

_	Fract					
	Comp.	Structure	a/Å	<i>b∕</i> Å	c/Å	γ/°
	0.611	$\text{Li}=\text{Sp}_{2}(2 \times 2 \times 1)$	0.42(18.85)	8 75(17 40)	4 72	106.3
D	0.380	LI/5113(2×2×1)	7.42(10.03) 4 71	0.75(17.49) 4 71	17.02	120
	0.309	Li 0.	4.71	4.71	17.03	104.7
)	0.857	$Li_{7-\zeta}Sn_3$	18.93	17.11	4.73	104.7
	0.143	LiSn	5.19	7.87	3.17	105.4

Table 2 On the left are fractional compositions and unit cell parameters determined by pair distribution function measurements on cells cycled to the potentials, 0.38 V (lithiation) and 0.58 V (delithiation), where resonances D and D', respectively, are formed. On the right are the unit cell parameters from the literature for comparison.

3.3 Peak IV

Further lithiation during peak IV at $t_{op} = 64.5$ h ($E_{op} = 0.42$ V) results in a removal resonance for C (LiSn), while a broad dOp NMR formation resonance, labeled D, appears. Based primarily on first principles calculations, ⁵¹ peak IV is generally associated with the formation of Li₇Sn₃, Li₅Sn₂ and Li₁₃Sn₅, which are structurally very similar and hardly distinguishable in the XRD. As the Knight shifts of these three phases are similar, ^{36,40} we do not expect them to be resolved in the NMR spectrum using static conditions. The observed shift of the resonance labeled D at \sim 17 ppm is consistent with these earlier measurements. At potentials below $E_{op} = 0.4$ V the dOp NMR CV in Fig. 3C indicates the intensity of the formation resonance labeled D is less than expected from the current. This, however, is likely due to differences in ⁷Li spin-lattice relaxation times, T_1 for these phases, (see Table S1), leading to some differential magnetization recovery of all ⁷Li and a relatively lower intensity.

The Li₇Sn₃, Li₅Sn₂ and Li₁₃Sn₅ phases appear as one resonance at 13.2 ppm at 0.47 V in the ex-situ ⁷Li MAS NMR spectrum in Fig. 4G, shifting to 14.2 ppm in Fig. 4I at 0.36 V. A significant difference between lithium mobilities in LiSn and the Li₇Sn₃, Li₅Sn₂ and Li₁₃Sn₅ phases is apparent in the ex-situ MAS NMR spectra of Figs. 6C-G and Figs. 6G-I. As noted by Bekaert et al. ³⁶, while two distinct ⁷Li resonances are observed for LiSn, corresponding to its two crystallographically distinct sites, only one ⁷Li resonance is observed for Li₇Sn₃ even though it contains 7 crystallographically distinct sites, again suggesting that a higher Li mobility in Li₇Sn₃ leads to fast exchange among sites on the NMR time scale.

To elucidate further the structures responsible for resonance D, ex-situ pair distribution function (PDF) measurements, shown in Fig. 5, were performed on samples obtained in the first cycle of cells lithiated to the potential, 0.38 V, marked with a triangle in Fig. 2A, where resonance D is formed. We note that the PDF of the resonance D sample is composed of sharp, intense peaks, indicating the presence of nanoclusters with well defined structures.⁵² The data was fitted to the known phases by performing refinements of lattice parameters, non-special angles, isotropic atomic displacement parameters, Sn correlated motion, and scaling factors for nanoparticles with a 60 nm diameter to obtain information about the local structure of both transitions. The fit of the PDF of the resonance D sample to three Li_xSn phases (Li₇Sn₃, Li₅Sn₂, and Li₁₃Sn₅) between 2 and 15 Å is also shown

in Fig. 5. The fit resulted in a combination of two phases, Li_7Sn_3 and $Li_{13}Sn_5$, with stoichiometric amounts of 61.1 % and 38.9 % respectively, and unit cell parameters given in Table 2. The unit cell parameters obtained for both Li_7Sn_3 and $Li_{13}Sn_5$ remained within 2 % of the literature values. It is noteworthy that Li_5Sn_2 , being the intermediate phase between Li_7Sn_3 and $Li_{13}Sn_5$, gives no contribution to the fit. This phase has been previously synthesized by chemical alloying ^{36,48} and is known to be metastable.⁴³ The absence of this phase during lithiation suggests that the electrochemically formed Li_5Sn_2 is short lived or that it cannot be formed electrochemically.

On the basis of the NMR and PDF measurements we assign peak IV to the reactions

$$\begin{array}{l} \mbox{Peak IV:} \left\{ \begin{array}{l} \mbox{LiSn} + 1.33\,\mbox{Li}^+ + 1.33\,\mbox{e}^- \rightarrow 0.\overline{3}\,\mbox{Li}_7 \mbox{Sn}_3, \\ \mbox{0.\overline{3}}\,\mbox{Li}_7 \mbox{Sn}_3 + 0.27\,\mbox{Li}^+ + 0.27\,\mbox{e}^- \rightarrow 0.2\,\mbox{Li}_{13} \mbox{Sn}_5. \end{array} \right. \tag{5}$$

3.4 Peak V

Peak V is observed at $t_{op} = 76.5$ h and ($E_{op} = 0.31$ V). Here a broad formation resonance, labeled E, about 160 ppm appears in the dOp spectrum centered at 9.5 ppm. At this point in the lithiation cycle all the lithium dendrites have been removed, so associated removal resonance at the Li metal resonance position is seen. Furthermore, because of the strong overlap of D and E, no removal resonance for D is observed. Examining the ex-situ ⁷Li MAS NMR spectra in Fig. 4J we observe a resonance at 6.8 ppm, which is similar to the resonance assigned by Furuya et al.⁴⁰ and Bekaert et al.³⁶ to Li₇Sn₂. Thus, we assign peak V to the reactions

Peak V:
$$0.2 \text{Li}_{13} \text{Sn}_5 + 0.90 \text{Li}^+ + 0.90 e^- \rightarrow 0.5 \text{Li}_7 \text{Sn}_2.$$
 (6)

The intensity of E increases until the cell reaches the end of lithiation at $t_{op} = 89$ h ($E_{op} = 0.20$ V) and remains almost unchanged even when the cell potential is reversed during the first hours of delithiation at $t_{op} = 92$ h ($E_{op} = 0.27$ V). At 0.2 V, however, the conversion of Li₁₃Sn₅ to Li₇Sn₂ is incomplete, and the electrode at the end of the lithiation cycle is a mixture of these two phases. At $t_{op} = 89$ h, when the cell potential reaches 0.2 V, the polarization direction is reversed and remains reductive to 0.32 V. During this period there are no formation nor removal resonances in the dOp NMR spectrum.

3.5 Peak V

Oxidative electrochemical current, i.e., delithiation of Li_xSn, begins at $t_{op} = 102.5$ h ($E_{op} = 0.32$ V). At this point in the dOp spectrum we observe the simultaneous appearance of a broad removal peak E' (Li₇Sn₂) along with a narrow formation resonance labeled D' at \sim 16 ppm. We see a notable difference in line widths with the resonance D' for peak V' during delithiation being substantially narrower than the resonance labeled D for peak V during lithiation. Despite the increase in sensitivity of D' over D the total integrated area of all Li resonances, i.e., Li metal and Li_xSn, remains relatively constant throughout lithiation and delithiation. The D' resonance reaches a resonance height about 5.6 times higher than that of D during lithiation. The most likely explanation for the narrowing of the D' line width is due to a lithium vacancy rich phase with an increased lithium mobility and an averaging of NMR shifts over different lithium sites on a time scale faster than the NMR measurement. This narrow D^\prime begins at 0.32 V and is likely due to formation of an Li₁₃Sn₅ phase which is rich in lithium vacancies. This narrow resonance continues to grow to 0.58 V at which point it starts to decrease. At this potential, however, this resonance is likely associated with a vacancy rich Li₇Sn₃ phase, which has a nearly identical Knight shift as Li₁₃Sn₅.

The fraction of the Li_x Sn sites responsible for the D' resonance remain stable at higher potentials, and appears to accumulate over multiple cycles. This is clearly seen in the operando ⁷Li NMR spectrum of a cell that has been cycled through 11 cycles at a sweep rate of 50 μ V/s, shown in Fig. 6. On the far left in Fig. 6 are two expanded regions of the NMR spectrum comparing the region where D' is formed and removed during the first and seventh cycle. At the end of the seventh cycle a significant fraction of the D' resonance clearly remains. A most interesting result is that the D' resonance disappears every time the cell is fully lithiated, that is, at 0.1 V, and thus must still remain connected to the circuit to be re-lithiated. Thus, whatever Li_x Sn phase is associated with D' seems to be easily lithiated to Li₇Sn₂, but hindered from delithiation. The variation in the Li metal dendrite resonance intensity is consistent with this picture as it decreases during lithiation, but never grows as large during delithiation as the end of each cycle, that is, lithium becomes trapped in the phase associated with D'.

On the right in Fig. 6 are ex-situ ⁷Li MAS NMR spectra containing D' for different cells cycled for 2 (black), 9 (red), and 13 (blue) complete cycles, and then lithiated to 0.2 V and delithiated to 0.58 V. The ex-situ ⁷Li MAS NMR spectrum of the cell at 2 cycles shows intense resonances at 42 ppm and 32 ppm corresponding to the two non-exchanging crystallographic sites of LiSn, and a resonance at 13.8 ppm, which is consistent with three phases, $Li_{13}Sn_5$, Li_5Sn_2 and Li_7Sn_3 . As more cycles are performed, we clearly see a decrease in the intensity of the LiSn peaks and an increase in the intensity of the 13.8 ppm resonance, as seen previously in the operando NMR spectra.

To determine the structures responsible for resonance D', exsitu pair distribution function (PDF) measurements were performed on samples obtained in the first cycle of cells delithiated to the potential 0.58 V (marked with a triangle in Fig. 2A), where resonance D' is formed with highest intensity in the operando NMR spectrum. The result is shown in Fig. 7 as the solid blue line along with the PDF of D as a dashed black line. Compared to D, all PDF peaks in D' are broader and lower in intensity. Notably, the PDF of D' lacks a peak at 6.6 Å, which is due to an absence of Li₁₃Sn₅, and has an additional peak at 6.2 Å. Among the different crystal structures in the Li_xSn system, the only structure containing Sn-Sn atom distances around 6.2 Å is LiSn, a phase confirmed by ex-situ ⁷Li MAS NMR measurements (Fig. 6B) as co-existing with D'. The presence of LiSn is reasonable since it is the delithiation phase of Li₇Sn₃. To account for the NMR evidence of highly mobile Li in the phase associated with D' the structural model for fitting the PDF included a $2 \times 2 \times 1$ supercell of Li₇Sn₃ with the possibility of fractional occupancies of lithium sites. The best fit to the PDF employing this vacancy rich $2 \times 2 \times 1$ supercell of $Li_{7-\zeta}Sn_3$ and the unit cell of LiSn is shown in Fig. 8. No further improvement to the fit was obtained with addition of Li13Sn5 and Li₅Sn₂ structures. Again, as noted earlier, it is likely that a vacancy rich Li₁₃Sn₅ phase is present at lower potentials in the delithiation cycle. Based on this analysis the relative abundance of the resulting vacancy rich $\text{Li}_{7-\zeta}\text{Sn}_3$ supercell with $\zeta = 1.6$ and LiSn are 85.7 % and 14.3 %, respectively, with a goodness of fit of 0.137. A comparison of the best fit unit cell parameters are given in Table 2. The unit cell parameters obtained for both $\text{Li}_{7-\zeta}\text{Sn}_3$ and LiSn remained within 2 % of the literature values. A comparison of the Li_7Sn_3 structure of D and the vacancy rich $Li_{7-\zeta}Sn_3$ structure of D', both from pdf analyses, are given in Fig. 9. The number of Li removed from the Li₇Sn₃ structure to achieve this fit is about 20 %, which amounts to a Li:Sn ratio of 1.8. The formation potential of LiSn occurs between 0.61 V and 0.45 V, as seen in the CV of Fig. 3A, while the delithiation of Li₇Sn₃ occurs at 0.58 V. The formation of the $\text{Li}_{7-\zeta}\text{Sn}_3,$ therefore, occurs at potentials at which it is also thermodynamically favorable to form LiSn.

To check the stability of the phases associated with D' additional in-situ ⁷Li NMR measurements were performed on another cell cycled with a slow potential sweep of 2.5 μ V/s from 0.2 to 0.58 V, where the D' resonance at ~16 ppm has the maximum intensity. This cell was then held at 0.58 V for 40 h and monitored with ⁷Li NMR. During this period an 8 % decay in the NMR signal of D' was observed along with an associated formation of C'.

On this same cell, variable (low) temperature in-situ ⁷Li NMR spectra were measured starting from room temperature (298 K) down to 173 K in steps of 5 K. On a different but otherwise identical cell, variable (high) temperature in-situ ⁷Li NMR spectra were measured starting from room temperature (298 K) up to 403 K in steps of 10 K. These spectra are shown in Fig. S5A. The static NMR line width of the D' resonance increases with decreasing temperature, while the peak area remained constant—consistent with a reduction of Li mobility at lower temperatures. At 323 K the D' resonance begins to diminish and the C' (LiSn) resonance begins to grow. At the higher temperature of 373 K no D' resonance remains and only the C' (LiSn) resonance is observed.

Ex-situ VT ⁷Li MAS NMR spectra on $\text{Li}_{7-\zeta}\text{Sn}_3$ (Fig. S5B, ESI[†]) exhibit a narrower line width of 2.5 ppm at 303 K which increases



Fig. 6 (A) Operando ⁷Li NMR 2D spectra of eleven cycles of a Sn electrode cell at a sweep rate of 50 μ V/s. (B) Ex-situ ⁷Li MAS NMR spectra of Sn samples cycled to D' at a sweep rate of 50 μ V/s after 2 (black), 9 (red), and 13 (blue) complete cycles, lithiathed to 0.2 V and then delithiated to 0.58 V to form D'. The MAS NMR of the cell at 2 cycles shows intense resonances at 42 and 32 ppm corresponding to the two non-exchanging crystallographic sites of LiSn, and a resonance at 15.8 ppm corresponding to the D'. As more cycles are performed, a decrease in the intensity of the LiSn resonance and an increase in the intensity of the D' resonance is observed.



Fig. 7 Comparison of pair distribution functions between 2 and 15 Å obtained from the 1st cycle of cells cycled to resonance D and to D' during lithiation and delithiation, respectively.

to 4.6 ppm at 243 K. This suggests that the static line broadening at lower temperatures arises from a distribution of isotropic and anisotropic shifts, both of which are partially averaged away in the static spectrum by the increased Li mobility at room temperature.

Based on the NMR and PDF evidence we assign peak V^\prime to the reactions

$$Peak V': \begin{cases} 0.5 \text{Li}_{7}\text{Sn}_{2} \rightarrow 0.2 \text{Li}_{13}\text{Sn}_{5} + 0.90 \text{Li}^{+} + 0.90 e^{-}, \\ \text{Li}_{13}\text{Sn}_{5} \rightarrow \text{Li}_{13-\delta}\text{Sn}_{5} + \delta \text{Li}^{+} + \delta e^{-} \\ \rightarrow 0.\overline{3}\text{Li}_{7}\text{Sn}_{3} + 0.2\overline{6}\text{Li}^{+} + 0.2\overline{6}e^{-}. \\ \text{Li}_{7}\text{Sn}_{3} \rightarrow \text{Li}_{7-\zeta}\text{Sn}_{3} + \zeta \text{Li}^{+} + \zeta e^{-} \end{cases}$$
(7)

3.6 Peak IV

During peak IV' at 0.62 V we observe the partial removal of D' $(Li_{7-\zeta}Sn_3$) and the correlated formation of C' (LiSn) in the dOp



Fig. 8 The pair distribution function of a sample obtained in the first cycle of a cell cycled to the potential where peak D' is formed and its best fit. Rw = 0.14

spectrum. Thus, we assign peak IV' to the reaction

Peak IV':
$$0.\overline{3} \operatorname{Li}_{7-\zeta} \operatorname{Sn}_{3} \to \operatorname{LiSn} + (1.\overline{3} - 0.\overline{3}\zeta) \operatorname{Li}^{+} + (1.\overline{3} - 0.\overline{3}\zeta) e^{-}.$$
(8)

3.7 Peak III'

During peak III' at 0.72 V we observe removal of C' (LiSn) and a correlated formation of Li metal dendrites and formation of A' (Li₂Sn₅), although it possible that it includes some B' (Li₂Sn₃) formation as well. Unlike the CV current and the projection of the lithium metal dendrite dOp resonances during lithiation, where peak II was observed in between peaks I and III, during delithiation there is no evidence of a resolved peak II'. This doesn't imply there is no formation of B' (Li₂Sn₃), particularly since its NMR resonance is broad and may not be visible in the spectrum due to



Fig. 9 Comparison of Li₇Sn₃ and Li_{7- ζ}Sn₃ structures obtained from pdf analysis of cells cycled to resonance D and to D' during lithiation and delithiation, respectively.

strong overlap with C' (LiSn) removal and A' (Li $_2$ Sn $_5$) formation. Thus, we assign peak III' to the reaction

Peak III':
$$LiSn \rightarrow 0.2 Li_2 Sn_5 + 0.6 Li^+ + 0.6 e^-$$
 (9)

3.8 Peak I'

During peak I' at 0.80 V we observe a correlated formation of Li metal dendrites and the removal of A' (Li₂Sn₅). The NMR resonance intensity of Li₂Sn₅ is 29 % smaller compared to the NMR resonance intensity of Li₂Sn₅ during lithiation. This reveals that only 71 % of the lithiated material is delithiated back to pure Sn, which agrees with the integrated capacity loss of 31 %. At the end of the cycle at $t_{op} = 178$ h ($E_{op} = 1.0$ V) some residual Li_{7- ζ}Sn₃ can still be observed in the spectrum, indicating that lithium in this phase remains trapped within the structure and is not removed from the electrode. Finally, we assign peak I' to the reaction

Peak I':
$$0.2 \text{Li}_2 \text{Sn}_5 \rightarrow \text{Sn} + 0.4 \text{Li}^+ + 0.4 e^-$$
. (10)

From 0.85 V to 1.0 V there is a low but constant dOp NMR formation resonance for the lithium metal dendrites as well as a correlated low and constant dOp NMR removal resonance for $\text{Li}_{7-\zeta}\text{Sn}_3$. Figure 4K shows the ex-situ MAS spectrum of a fully delithiated cell at the end of 1st cycle at 0.95 V. An important clue in the loss of cell capacity is the presence of resonances associated with the phases Li₂Sn₅, LiSn and Li_{7-ζ}Sn₃ with relative integrated peak intensities of 2:13:29, respectively.

In an attempt to completely delithiate the Sn electrode, the cell was held at 1.0 V for 3 h and then at 2.0 V for another 1 h. Although there is a boost in the dOp metal dendrite formation resonance there is no significant dOp removal resonance of $\text{Li}_{7-\zeta}\text{Sn}_3$. The boost in the dOp metal dendrite formation resonance is correlated to electrolyte or SEI oxidation which is also observed at 0 ppm at $t_{\text{op}} = 183$ h.

3.9 Mechanism for capacity fade

The lithiation and delithiation cell capacities as a function of cycle number is shown in Fig. 10 as green and blue symbols, respectively. There is \sim 30 % cell capacity loss in each cycle. Shown as



Fig. 10 Comparison of cell capacity, NMR resonance intensity of SEI, and residual $Li_{7-\zeta}Sn_3$ at the end of each cycle, for a cell swept at 50 μ V/s.

red squares in Fig. 10 are the $\text{Li}_{7-\zeta}\text{Sn}_3$ NMR resonance intensities at ~16 ppm at the end of each cycle. There is a clear correlation to the capacity fade–increasing by 30 % with each cycle. It is also worth mentioning that while the NMR intensity at -5 ppm for the SEI (yellow triangles in Fig. 10) increases significantly during the first cycle (~ 78 %), it grows slowly during the remaining 10 cycles (1-5 %/cycle).

Conventional wisdom is that capacity fade in intermetallic anodes arises from particle cracking due to large volume expansions on lithiation. Such cracking during expansion has indeed been observed in TEM⁵³ and x-ray tomography¹⁶ studies of micron sized Sn and Si particles on lithiation. Nanosized particles, however, are known to accommodate large stress and strain of volume changes during lithiation and delithiation without cracking^{8,12,19}. The capacity fade in Fig. 10 shown as a function of half and full cycles, exhibits an odd behavior which seems inconsistent with simple cracking.

The capacity at the end of the first lithiation of our Sn nanoparticle (60 nm) electrode in Fig. 10 is close to the expected capacity of ~740 mA·h/g. This is calculated from the mass of Sn in the electrode, assuming lithiation to $\text{Li}_{13}\text{Sn}_5$ and then 75% conversion to Li_7Sn_2 when the lithiation of the cell is stopped at 0.2 V. The additional current can be assigned to SEI formation. On this basis alone one might believe the nanosizing of the Sn was successful in preventing cracking of the electrode during lithiation.

At the end of delithiation in the first cycle, however, we find the maximum capacity reduced by ~ 30 % to 483 mA·h/g. Perhaps more puzzling is that at the end of the second lithiation the capacity is 494 mA·h/g, that is, no additional capacity fade is observed. This pattern of capacity fade during delithiation and no additional fade during lithiation is present in every cycle. This behavior appears opposite with the cracking behavior observed in micron sized particles, where cracking and a capacity fade is attributed to the lithiation process¹⁶. An important clue for explaining the capacity fade of the Sn nanoparticle electrode is that



Fig. 11 (A) Illustration of particle size changes between Li_xSn phases found in the thermodynamic phase diagram. The percent particle diameter change on contraction (delithiation) is given above each arrow. Particles can disconnect at any stage of the delithiation process, but are more likely to disconnect during the largest volume changes. Individual particles can even disconnect before a given phase transition is complete. (B) Illustration of the phase coexistence inside a nanoparticle during delithiation from Li_7Sn_3 to LiSn, which undergoes the largest volume decrease. We assume that LiSn nucleation and growth begins at the particle surface where lithium are being removed, and expands into the center of the particle.

the operando NMR measurements show one particular phase, a vacancy rich $\text{Li}_{7-\zeta}\text{Sn}_3$, is only partially delithiated into LiSn. This phase not only remains present at the end of each cycle, but grows in intensity by the end of each cycle in a manner correlated to the capacity loss.

As illustrated in Fig. 11A there is a ~ 14 % decrease in diameter during the Li₇Sn₃ to LiSn transition which is significantly larger than the ~7 % or smaller diameter changes of the other phase transitions in the Li-Sn system. A possible explanation for the accumulation of Li_{7- ζ}Sn₃ is that particles are disconnecting from the circuit, that is, the carbon/PVDF binder, before this particular delithiation step is complete—leaving particles in random states of delithiation along the transformation path shown in Fig. 11B. Consistent with this picture is the accumulation of the lower lithiated phases, Li₂Sn₅ and LiSn, also observed at the end of the delithiation cycle in the ex-situ MAS spectrum of Fig. 4K.

A particularly curious additional piece of evidence in operando NMR spectrum of Fig. 6A is that the $\text{Li}_{7-\zeta}\text{Sn}_3$ resonance present at the end of each cycle disappears every time the cell is fully lithiated, that is, at 0.2 V. This is also consistent with an exsitu ⁷Li MAS NMR spectrum, shown in Fig. 4K, obtained from a cell fully cycled and relithiated to 0.2 V. Here, the narrow resonance associated with disconnected $\text{Li}_{7-\zeta}\text{Sn}_3$ -containing particles is notably absent, and we see the clear presence of resonances from Li₁₃Sn₅ and Li₇Sn₂. This implies that these disconnected Li_{7-\zeta}Sn₃-containing particles and Li_{7-ζ}Sn₃-containing particles somehow reconnect to the circuit and get fully relithiated into Li₁₃Sn₅ and Li₇Sn₂.

One hypothesis to explain this partial "healing" ability is that the volume expansion of particles during lithiation pushes the carbon/PVDF binder back into contact with the previously disconnected particles. This process is illustrated in Fig. 12. It is these reconnected particles that lead to the odd behavior, seen in Fig. 10, of no loss in lithiation cell capacity compared to the previous delithiation capacity. On delithiation, however, these reconnected particles become disconnected from the circuit, along with other newly disconnected particles. While particles can disconnect during any delithiation phase transition, the ex-situ MAS spectrum in Fig. 4K suggests that the phase transitions with the larger diameter decreases are the more likely particles to lose contact, with the Li₇Sn₃ to LiSn transition being the most likely. It should be noted, however, that the NMR observation of Li_{7- ζ}Sn₃ is facilitated by its narrow static ⁷Li NMR line width. The continuing loss of capacity with increasing cycles implies that the number of disconnecting particles continue to grow.

4 Summary

We have performed extensive ⁷Li operando NMR measurements along with selected ex-situ ⁷Li MAS NMR and PDF measurements to follow the electrochemical lithiation and delithiation of 60 nm Sn nanoparticles. We emphasize that the combination of thermodynamically controlled CV experiments with dOp NMR spectroscopy is an exciting new approach that clearly reveals more detailed information about structure and reactivity than conventional operando NMR spectra.

Cycling the cell with an ultra-slow sweep rate of 2.5 μ V/s to maintain a quasi-equilibrium throughout the processes we observe a series of intermetallic phases from β -Sn to Li₇Sn₂ and back. While these phases are somewhat consistent with the structural evolution of Li–Sn phases expected from the equilibrium binary phase diagram, there are some notable exceptions. During lithiation we observed evidence for a highly disordered phase having a Knight shift intermediate between Li₂Sn₅ and LiSn, which we speculate could be a metastable Li₂Sn₃ phase. This phase is consistent with stoichiometry Li_{0.7}Sn observed between



Fig. 12 The disconnection-reconnection hypothesis. In (A)-(E) is a 2D illustration of various electrode states during 1st cycle. In (A) is a fresh cell with a mixture of random close packed β -Sn, carbon black nanoparticles and PVDF in an approximate volume ratio of 17:75:8, respectively. In (B) is a depiction of the cell at the end of lithiation showing the expanded Li_xSn particles as mixtures of the Li₇Sn₂ and Li₁₃Sn₅ phases. In (C) is a depiction of the electrode after delithiation to ~0.5 V where the nanoparticles are primarily in the vacancy-rich phase, Li_{7-ζ}Sn₃. In going from (B) to (C) the particle diameters decrease by ~ 2 %. In (D) is a depiction of the electrode after delithiation to ~0.7 V where the LiSn nanoparticles begin forming. It is during this transition, where the nanoparticles' diameter decrease by ~ 14 %, that particles lose electrical contact from the carbon black—stuck in various stages of delithiation between Li_{7-ζ}Sn₃ and LiSn. In (E) is a depiction of the electrode at the end of delithiation to 0.95 V, where the process of particle disconnection continues with particles stuck in various stages of delithiation between LiSn and Li₂Sn₅ and Sn. In (F)-(J) is a 2D illustration of various electrode states during lithiation of the 2nd cycle. In (F) is the electrode in the same state as (E). In (G) is an illustration of the electrode state after lithiation to ~0.65 V where all Sn particles have been lithiated to Li₂Sn₅ while the previously disconnected LiSn/Li₂Sn₅ begin reconnecting to the carbon black and Li_{7-ζ}Sn₃/LiSn particles begin reconnecting to the carbon black. In (I) and (J) the majority of particles are connected to the carbon black and become lithiated to Li₇Sn₃ and Li₁₃Sn₅/Li₇Sn₂, respectively.

Li₂Sn₅ and LiSn in a coulometric titration by Wang et al.⁴² Furthermore, based on analysis of PDF measurements, we did not find evidence for the electrochemical formation of Li₅Sn₂, the intermediate phase between Li₇Sn₃ and Li₁₃Sn₅ during lithiation and delithiation. During delithiation of Li₇Sn₂ a significantly narrow resonance for Li₁₃Sn₅ and Li₇Sn₃ appears in the operando NMR spectrum, suggesting vacancy rich phases, Li_{13- δ}Sn₅ and Li_{7- ζ}Sn₃, with higher lithium mobility. Analysis of PDF measurements reveal that the metastable Li_{7- ζ}Sn₃ phase has the structural framework of Li₇Sn₃ even as significant Li, $\zeta = 1.6$, is removed.

The capacity fade of ~ 30 % per cycle is not attributed to particle cracking, but rather to particles losing contact with the carbon/PVDF binder. The disconnecting particles are associated with Li_xSn phases undergoing large decreases in diameters on delithiation, i.e., Sn, Li₂Sn₅, LiSn, and Li₇Sn₃. A peculiar behavior of capacity fade in this system is that it only occurs during delithiation. An additional and related peculiar behavior is that the ⁷Li resonances of the disconnected Li_xSn phases present at the end of each cycle disappear every time the cell is fully lithiated. These disconnected Li_xSn-containing particles remain in the electrode and become reconnected to the circuit by the volume increase of neighboring connected particles during the lithiation process. After multiple cycles the entire electrode material becomes converted primarily into disconnecting-reconnecting Li_xSn particles.

Although the capacity fade mechanism is simple, we can think of no in-situ microscopy method capable of detecting and following such a disconnection-connection mechanism. Our approach clearly show the power of operando NMR spectroscopy for revealing such fundamental processes. We believe these results point the way for future efforts in both industrial and academic research labs to reduce capacity fade in a wider range of nano-structured intermetallic electrodes, involving Si, Ge and Sb, and suggests strategies such as functionalizing particle surfaces^{54–56} and the use of more elastic binders.^{57,58} Our disconnecting-reconnecting particles model of capacity fade also suggests exploring strategies for optimizing and implementing electrode nanoparticles packings that maintain electrical contact through multiple expansions and contractions.

Conflicts of interest

There are no conflicts to declare.

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Supplementary materials

See the Supplementary Information for additional details on sample characterization: variable temperature in-situ and ex-situ MAS NMR spectra, SEM, surface profilometry, and XPS. We also provide the .cif file of the $\text{Li}_{7-\zeta}$ Sn₃ supercell obtained from the PDF analysis.

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