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**Optical motion control of liquid crystalline droplets by host-guest molecular interaction**

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1    **Optical motion control of liquid crystalline droplets by host-guest**  
2    **molecular interaction**

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8

9    **Abstract**

10        Photo-induced motion is demonstrated for a photo-responsive dye-doped liquid crystal (LC)  
11    droplet in a surfactant solution. The LC droplets started rolling on a substrate during UV irradiation  
12    and moved either toward or away from the UV light, depending on the functional groups of the guest  
13    dyes. The mechanism is explained by the Marangoni flow caused by the photo-isomerization-induced  
14    adsorption and desorption of the dye molecules to and from the LC/solution interfaces.

15    **Keywords:** liquid crystalline droplet, surfactant solution, active matter, host-guest interaction

16

17

18    **Introduction**

19 Active matter refers to objects that move spontaneously by consuming chemical, physical,  
20 or biological energy, which has attracted much attention in recent years.<sup>1-5</sup> Janus particles, possessing  
21 two different materials on each half of a sphere,<sup>6-8</sup> have been investigated as active matter using  
22 catalytic or photocatalytic energy.<sup>9-11</sup> Tube materials are also one of the categories of active matter by  
23 inducing gas formation reactions on their catalyzed surfaces.<sup>12,13</sup> Liquid crystalline (LC) materials  
24 have been frequently utilized for this application, because they have long-range molecular interaction,  
25 they can potentially convey force to a distant position, and macroscopic motion and/or shape changes  
26 have been demonstrated.<sup>14-21</sup>

27 The oscillatory motion of an LC vesicle including microtubules and a kinesin motor was  
28 observed and controlled by defect-defect interaction.<sup>16</sup> Similar behavior was observed for a particle in  
29 an LC medium by forming topological defects outside of the particle.<sup>17,18</sup> The electrophoresis of  
30 spheres was demonstrated using the distortion of the LC orientation.<sup>19,20</sup> A macroscopic flow was also  
31 generated on an alignment layer in an LC with bacteria on a patterned alignment layer.<sup>21</sup>

32 A new type of active LC droplet has been reported in recent years. Self-propelled motion  
33 was induced by the convective flow inside an LC droplet in a surfactant solution with a concentration  
34 higher than the critical micellar concentration (CMC).<sup>22</sup> The mechanism of motion was explained by  
35 the melting of LC molecules, which are taken into the micelles of the surfactants. As a result, a gradient  
36 was induced in the surface tension of the LC droplet, which causes Marangoni flow inside and outside

37 of the LC droplet.<sup>23</sup>

38           The interaction of multiple LC droplets was studied to understand the collective behavior of  
39 clustering.<sup>24</sup> Helical motion was reported for a nematic droplet when coupling between the director  
40 and the convective flow caused symmetry breaking.<sup>25</sup> Similar motion was induced for an LC droplet  
41 with a helical director made of cholesteric LC containing chiral dopants.<sup>26</sup> Various motions were found  
42 for a simple system of 4-pentyl-4'-cyanobiphenyl (5CB) droplets dispersed in a surfactant solution,  
43 which depended on the velocity. The results were explained by the position of the topological  
44 defects.<sup>27</sup>

45           Photo-responsive molecules can sometimes control the phase and orientation of LC, and the  
46 color and pattern were changed by stretching the nanostructure and changing the phase. Cholesteric  
47 droplets with photo-responsive dopants can show various color and communication patterns.<sup>28</sup> Control  
48 of the color and color pattern has been demonstrated by controlling the pitch of cholesteric LC through  
49 the photo-response of added chiral molecules.<sup>29</sup> We also studied the dynamic change of the topological  
50 defects through the photo-induced phase transition of LC double emulsions.<sup>30</sup>

51           Light is regarded as a promising tool for the motion control of active matter because of the  
52 directionality and controllability of the beam spot. Recently, 'oil' droplets containing photo-active  
53 molecules were demonstrated to move toward and away from a light source in a surfactant solution,  
54 which was caused by dissolution triggered by photo-isomerization.<sup>3</sup> We also demonstrated the motion

55 control of 'LC' droplets using light in surfactant solutions for the first time.<sup>31</sup> (N-(4-  
56 methoxybenzylidene)-4'-butylaniline (MBBA) was utilized both as an LC and a photo-active  
57 molecule, which was photo-isomerized. Inhomogeneous UV light illumination with an oblique angle  
58 was used to induce the dissolution of molecules triggered by photo-isomerization, which resulted in a  
59 surface tension gradient. The Marangoni flow induced inside the droplets resulted in MBBA droplets  
60 rolling on a substrate toward the light source.

61 MBBA acts as both an LC and a photo-active molecule, meaning that the whole region of  
62 the droplet is subject to the photo-isomerization reaction. Therefore, this strategy of motion control  
63 could potentially be applied to other LC systems, which would have a range of applications, such as  
64 drug delivery or microfluidic control. A non-photoresponsive LC droplet could be mobilized for use  
65 in various applications. Therefore, we utilized the host/guest interaction by doping azo dyes into an  
66 LC droplet made of 4-Cyano-4'-pentylbiphenyl (5CB). In this case, only a part of the molecules in the  
67 LC droplet were photo-excited. Surprisingly, we could still induce motion and control the motion  
68 direction of the host/guest LC systems just by changing the functional group of the guest dyes. The  
69 detailed mechanism of this motion control is discussed.

70

## 71 Experiment

72 LC droplets were prepared using a micro-fluidic device.<sup>32</sup> A diagram and picture are shown in

73 Fig. S1 in the supplementary information (SI). A square glass capillary (inner dimensions:  $0.90 \times 0.90$   
74  $\text{mm}^2$ ) was attached to a glass slide, and a tapered cylindrical glass capillary (inner diameter: 0.70 mm,  
75 outer diameter: 0.87 mm) was inserted into it. A taper with a diameter of 50  $\mu\text{m}$  was prepared using a  
76 micropipette puller (P-1000, Sutter Instrument). The inner LC fluid was introduced from the inlet side  
77 of the square glass capillary to the outlet side and sheared by the outer fluid introduced from another  
78 side of the square glass capillary. The inner and outer fluids were introduced by two syringe pumps.  
79 The LC droplets were formed in the inlet of the tapered cylindrical capillary.

80 4-cyano-4-pentylbiphenyl (5CB, nematic phase:  $22.5\text{--}35^\circ\text{C}$ ) was used as a host LC. (Fig. 1(a)).

81 Two different guest dyes were used as photo-responsive molecules and mixed with the host LC for  
82 the inner fluid. The dyes were 4-butyl-4'-hydroxyazobenzene (BHAB) and 4-butyl-4'-  
83 methoxyazobenzene (BMAB), which have different functional groups, as shown in Fig. 1(b)(c).

84 Sodium dodecylsulfate (SDS, 0.3 wt%,  $25^\circ\text{C}$ ) dissolved in pure water was used as a surfactant solution  
85 and the outer fluid. The critical micellar concentration  $C_{\text{CMC}}$  was 0.13 wt% for SDS. BMAB was  
86 synthesized via the methylation of BHAB. BHAB (8.0 mmol), iodemethan (32 mmol), and KOH (9.4  
87 mmol) were dissolved in ethanol (8 ml), and the mixture was heated at  $50^\circ\text{C}$  for 6 h while stirring.  
88 The solid product (BMAB) was recrystallized using hexane after evaporation.

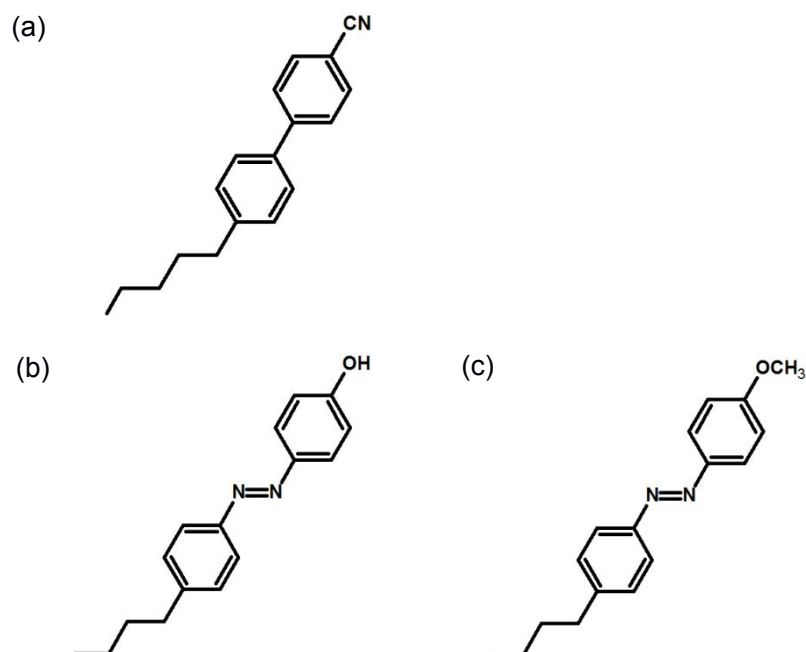


Fig. 1 Molecular structures of the host LC (a) and the doped dyes (b),(c): (a) 4-cyano-4'-pentylbiphenyl (5CB), (b) 4-Butyl-4'-hydroxyazobenzene (BHAB), (c) 4-Butyl-4'-methoxyazobenzene (BMAB).

89 The setup for the motion observation is shown in Fig. S2 in the SI. A rubber spacer with an open  
90 space was sandwiched between two coverslips, and an SDS solution including LC droplets was  
91 pipetted into the open space. The cell was placed on an inverted optical microscope (IX71,  
92 OLYMPUS), and the behavior of the LC droplets under light illumination was observed. The boundary  
93 of the droplet was not clear under cross-Nicole or bright-field conditions, so the analyzer angle was  
94 adjusted to make it clearer. A non-polarized UV-LED (Execure LH-1V, HOYA, Center wavelength:  
95 360 nm) was used as a UV light source with an intensity of 58 mW/cm<sup>2</sup> unless otherwise stated. The  
96 light was irradiated at an oblique angle of 40 degrees from the top of the microscope. The LC droplets

97 were dissolved for hours without light illumination. In one example, an LC droplet (diameter: 100  $\mu\text{m}$ )  
98 in 0.3 wt% SDS solution was dissolved completely about 7 h, and it did not affect the observation of  
99 the light-induced change. Considering the pKa of phenol ( $\sim 10$ ), BHAB was not deprotonated in the  
100 surfactant solution (pH  $\sim 7$ ).

101

## 102 Results and discussion

103 Figure 2(a) and (b) show the trajectories of the droplets with different dye doping with the UV  
104 light on and off. The optically induced motion of the dye-doped LC droplets in the SDS solution was  
105 similar to that observed previously for MBBA droplets.<sup>31</sup> No motion was observed without SDS in the  
106 outer solution. The BHAB-doped 5CB droplet moved away from the UV light source, while the  
107 BMAB-doped 5CB droplet moved toward it. In both cases, the droplets started to move once the UV  
108 light was turned on, and they rolled on a substrate without floating. They kept moving during the  
109 irradiation and stopped when the light was turned off. The light-induced motion was repeated when  
110 the UV light was turned on and off again (Movies S1 and S2 in the SI). No motion was observed  
111 without dye doping.

112 Under the cross-Nicole observation, the droplet shows a typical “radial” pattern. This is  
113 because the LC molecules were aligned perpendicular to the interface due to the anchoring condition.  
114 The anchoring condition depends on the SDS concentration, and it changed to the perpendicular



115 condition for the higher concentrations.<sup>33</sup> As was observed for an MBBA droplet,<sup>31</sup> the “radial” pattern  
116 changed into “escaped radial” pattern,<sup>34</sup> because the concentration of the surfactant molecules were  
117 less at the interface due to solubilization and the anchoring condition was changed from the  
118 perpendicular to parallel condition at the light irradiated position.

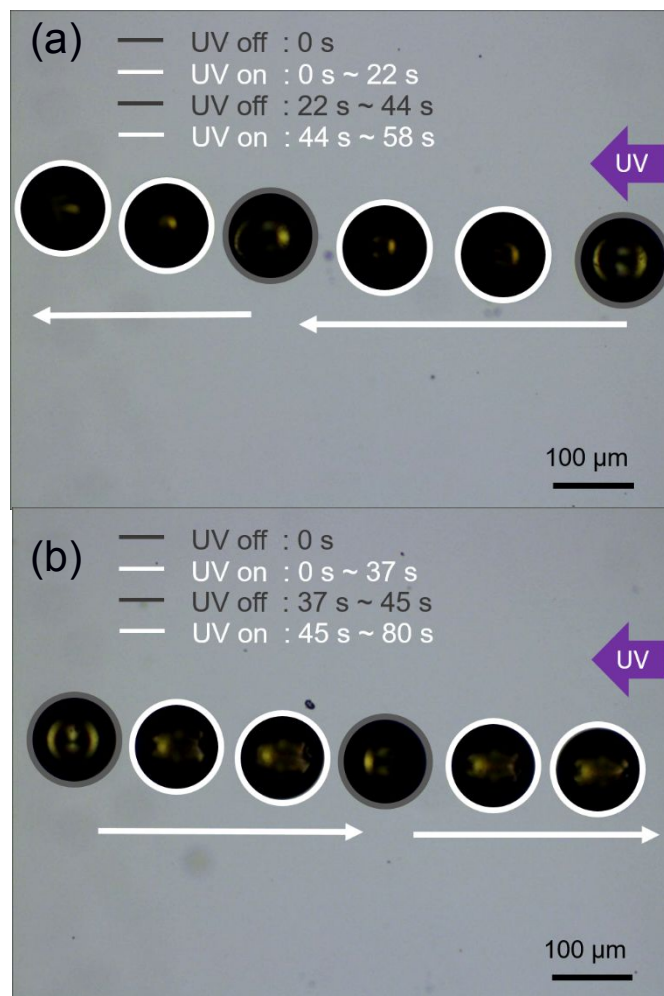


Fig. 2 The trajectory of LC droplets during with the UV light on and off for (a) BHAB-doped and (b) BMAB-doped 5CB droplets. The white circles indicate the positions of the droplet during the UV irradiation, while gray circles indicate the positions when the UV light was turned off. Using a polarized microscope, the analyzer angle was adjusted to make the boundary clearer.

120           The dependence of the velocity of the LC droplets on the UV light intensity was investigated.

121    The velocity increased linearly with the UV light intensity at lower intensity, but the increase was

122    slight nonlinearly for larger intensities for either type of LC droplet, as shown Fig. 3 (a) and (b). The

123    motion process involves complicated forces at higher intensity, but a detailed analysis is beyond the

124    scope of this paper and will be studied in the future.

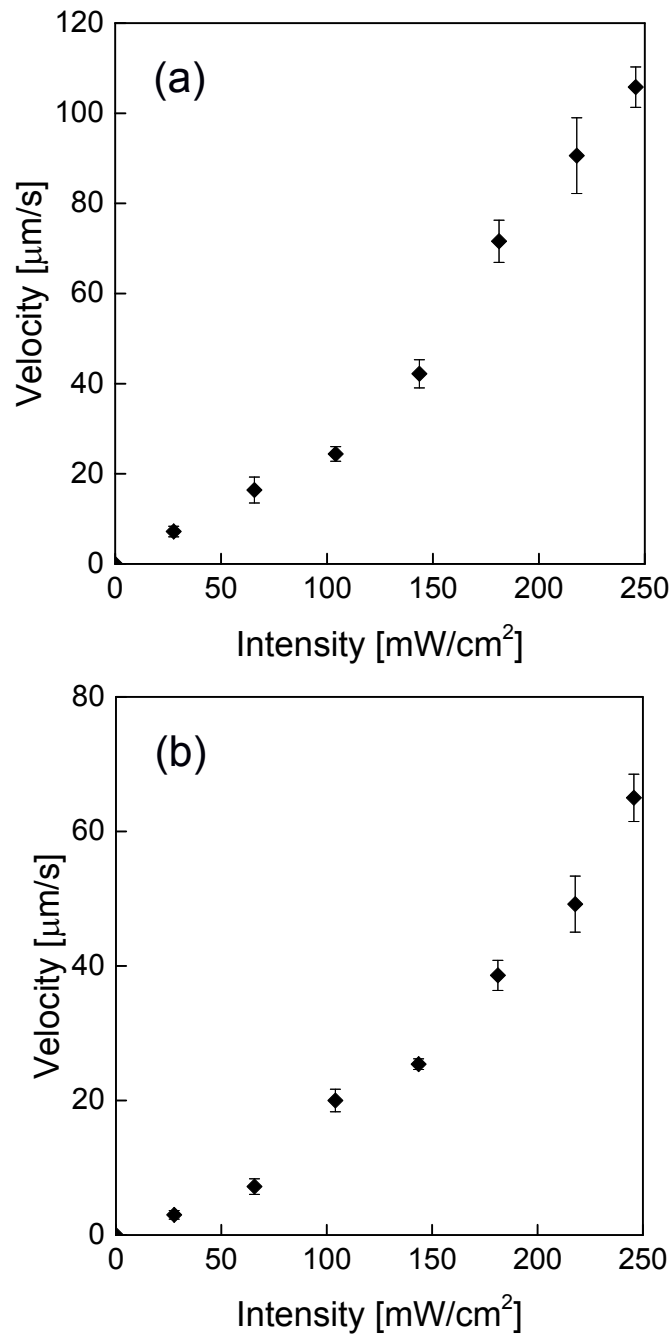


Fig. 3 The velocity dependence of the LC droplet on the UV light intensity for (a) BHAB-doped and (b) BMAB-doped 5CB droplets. The velocity was calculated from the sequence of images obtained during UV irradiation.

125 In a previous study, the droplet motion was explained by the Marangoni convective flow inside

126 the droplet. The flow inside the two types of the LC droplets was observed, as shown in Movies S3

127 and S4 in the SI. In both cases, a self-sustained unidirectional convective flow was observed inside  
128 the LC droplet during the UV irradiation. It is noted that the droplet was observed from the bottom  
129 side by an inverted optical microscope, and the convection flow clearly occurred from the top to  
130 bottom and from the bottom to the top side. Interestingly, the convection flow occurred in the opposite  
131 direction for the BHAB-doped and BMAB-doped droplets. Obviously, this opposite convective flow  
132 must be the cause of the difference in the direction of motion for the two types of droplets. In a previous  
133 report,<sup>31</sup> it is supposed that the convective flow inside the LC droplet was induced by the surface  
134 tension gradient generated by the photo-induced solubilization of LC molecules into the surfactant  
135 solution, and a similar process is expected during the motion in these cases.

136 To determine whether the solubilization was promoted by the UV irradiation, the changes in  
137 the UV/Vis absorption spectrum were observed for the SDS solution outside the LC droplets under  
138 UV irradiation. In this experiment, an SDS solution (0.3 wt%, 20 mL) containing an LC droplet  
139 (volume: 20  $\mu$ L) was prepared in a vial. Every 30 seconds during the UV irradiation, 0.5 mL of the  
140 outer SDS solution was sampled. >10 min after the sampling, the collection of the samples was  
141 measured by the spectrometer. It was assumed that all the dyes in the solutions had been already  
142 changed into the trans state because no visible light absorption, corresponding to the cis-isomer,<sup>35</sup> was  
143 not observed. The UV/Vis absorption spectra for the different times of UV light duration are shown  
144 in Fig. 4 for LC droplets doped with BHAB and BMAB. The UV/Vis absorption spectra of the 5CB

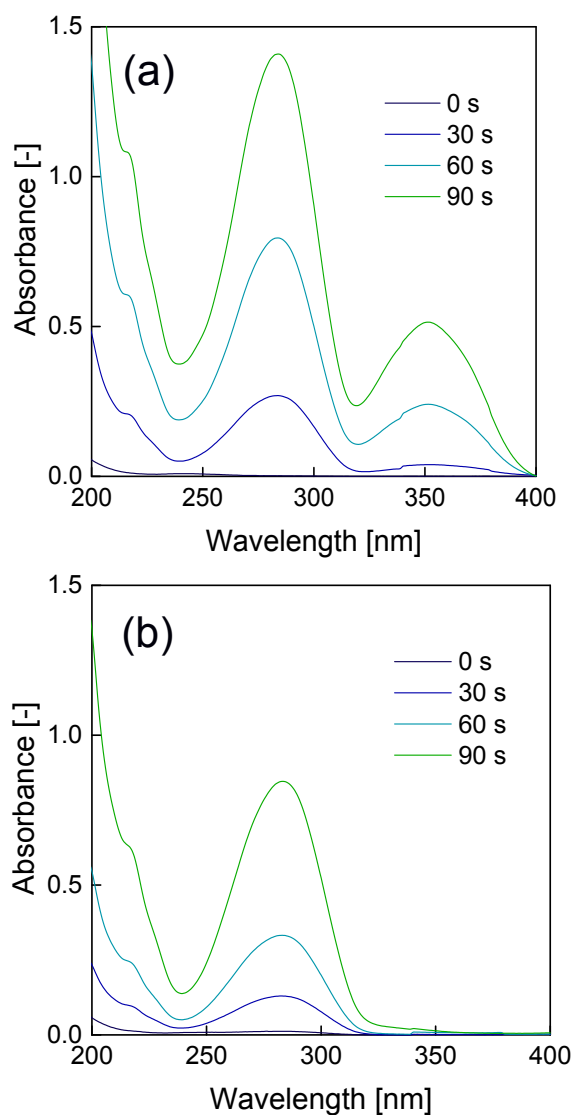


Fig. 4 The temporal change of the UV/Vis absorption spectrum of the SDS solution including an LC droplet was monitored during the UV irradiation for (a) BHAB-doped, (b) BMAB-doped 5CB droplets. A sample solution was pipetted out from the outside SDS solution each time for the absorption spectrum measurement.

145 and SDS dyes are provided in Fig. S3 for reference. The absorption peaks of 5CB and BHAB/BMAB

146 are around 280 and 350 nm, respectively. Thus, it is understood that 5CB was solubilized into the

147 outer SDS solution in both cases, but the dye was solubilized for only the BHAB droplet. This result

148 indicates different solubilities of the guest dyes under UV irradiation.

149           The different solubility suggested that there were different effects of the dyes at the LC/water  
150 interface under UV irradiation. To understand the behavior of the dyes during the solubilization, the  
151 initial conditions of the dyes must be clarified. The affinity of the dyes at the LC/solution interfaces  
152 was examined by studying the dependence of the contact angle on the concentration of the doped dyes.  
153 For this purpose, a dye-doped LC was dropped onto the bottom of a petri-dish containing an SDS  
154 solution. The petri-dish surface was processed with a hydrophobic treatment to keep the LC droplet  
155 attached to the bottom surface. An LC droplet with a volume of 20  $\mu\text{L}$  and a 0.3 wt% SDS solution  
156 were used for the observation. The dye concentrations were 1, 3, and 5 wt% in the LC droplets. The  
157 contact angle was measured for each droplet. A lower contact angle indicates lower interfacial tension,  
158 which indicates that the dyes prefer to adsorb at the LC/solution interface.

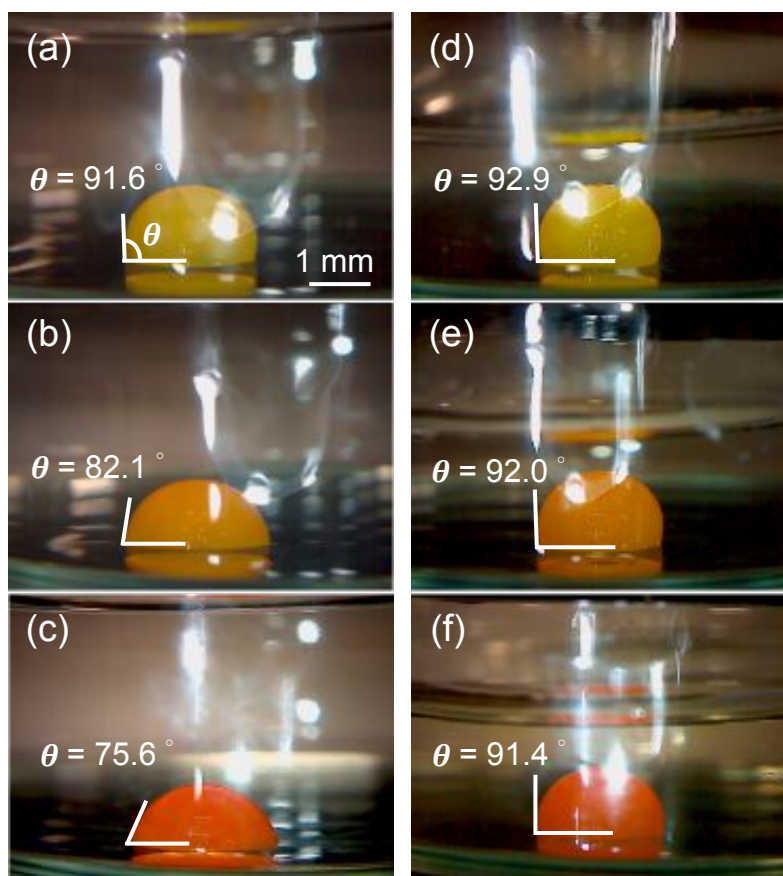


Fig. 5 Pictures of the LC droplets with different concentrations of doped dyes: (a)-(c) BHAB-doped 5CB droplets with 1, 3, and 5 wt% of the doped dye, respectively, (d)-(f) BMAB-doped 5CB droplets with 1, 3, and 5 wt% of the doped dye, respectively. The contact angle was measured for each droplet. LC droplets were attached on the bottom of a petri-dish that was hydrophobically treated.

159 Pictures of the droplets for different concentrations of dyes are shown in Fig 5. In the case of  
 160 the BHAB-doped droplet, the contact angle decreased as the dye concentration increased, but it did  
 161 not change much for the BMAB droplet. The results indicate that BHAB molecules can stay at the  
 162 interface under static conditions due to the amphiphilic ability, while BMAB molecules remain inside  
 163 the droplet due to the hydrophobic property.

164 Next, the dynamic position change of the dyes during the UV irradiation was investigated



165 through the dynamic change of the interfacial tension.<sup>36</sup> The pendant drop method (DMS-401, Kyowa  
166 Kaimen Kagaku) was used to monitor the change in interfacial tension of the LC droplet. Samples  
167 were prepared by dropping a pendant drop (20  $\mu$ L) of dye-doped LC from a syringe needle in a cell  
168 filled with an SDS solution (0.3 wt%). A sequence of pendant drop images was acquired by a camera  
169 every second, and the interfacial tension was calculated from the shape by fitting with the Young-  
170 Laplace equation.

171 Figure 6(a) and (b) show the changes in interfacial tension by the UV irradiation for the BHAB-  
172 and BMAB-doped LC droplets. The UV light was turned on 5 seconds after starting the measurement.  
173 The interfacial tension increased immediately after the UV irradiation in the case of the BHAB-doped  
174 5CB, but it was decreased by the UV irradiation in the case of BMAB-doped 5CB. The results indicate  
175 the desorption of molecules from the LC/water interface and the adsorption of molecules onto the  
176 LC/water interface by the UV irradiation, respectively. These opposite trends of the different dyes for  
177 the LC/solution interface are responsible for the opposite convective flow.

178

179

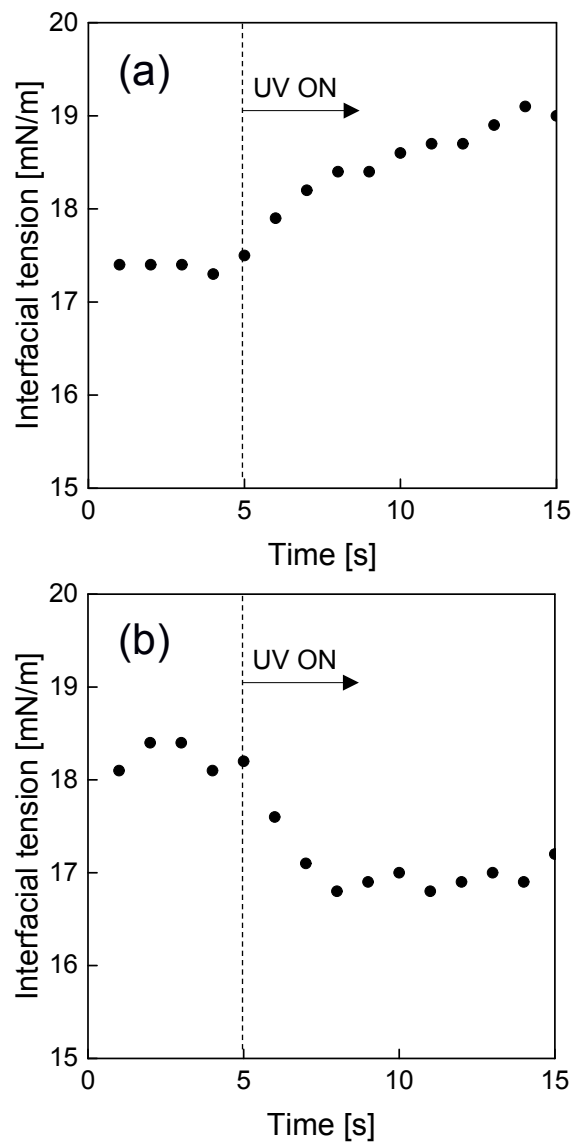


Fig. 6 The change in dynamic interfacial tension by the UV irradiation for (a) BHAB-doped and (b) BMAB-doped 5CB droplets. The UV light was turned on 5 seconds after the measurement started. The interfacial tension was measured by the pendant-drop method.

180 Based on the measurements and observations, we propose the following mechanism for the

181 BHAB/BMAB-doped 5CB droplets, as shown in Fig 7. For the BHAB-doped 5CB, a part of the dye

182 molecules initially remains adsorbed at the LC/water interface (Fig. 7(a)) based on the static interfacial  
183 tension measurements. These dye molecules are subject to photo-isomerization by the UV irradiation.  
184 The dynamic interfacial tension measurements and the UV/Vis monitoring of the surfactant solution  
185 indicate that the 5CB was solubilized into the surfactant solution and that the dye molecules were  
186 solubilized into the solution (Fig. 7(a)).

187         The desorption of dye molecules from the interface increased the interfacial tension on the side  
188 of UV irradiation, which resulted in a gradient of the interfacial tension. This is the origin of the  
189 convective flow inside the LC droplet (Fig. 7(b)). The flow direction on the top surface of the droplet  
190 moved away from the UV light source, as shown in Movie S3 in the SI. The droplet then experienced  
191 counter-clockwise torque, which induced rolling motion and moved the droplet away from the UV  
192 light source.

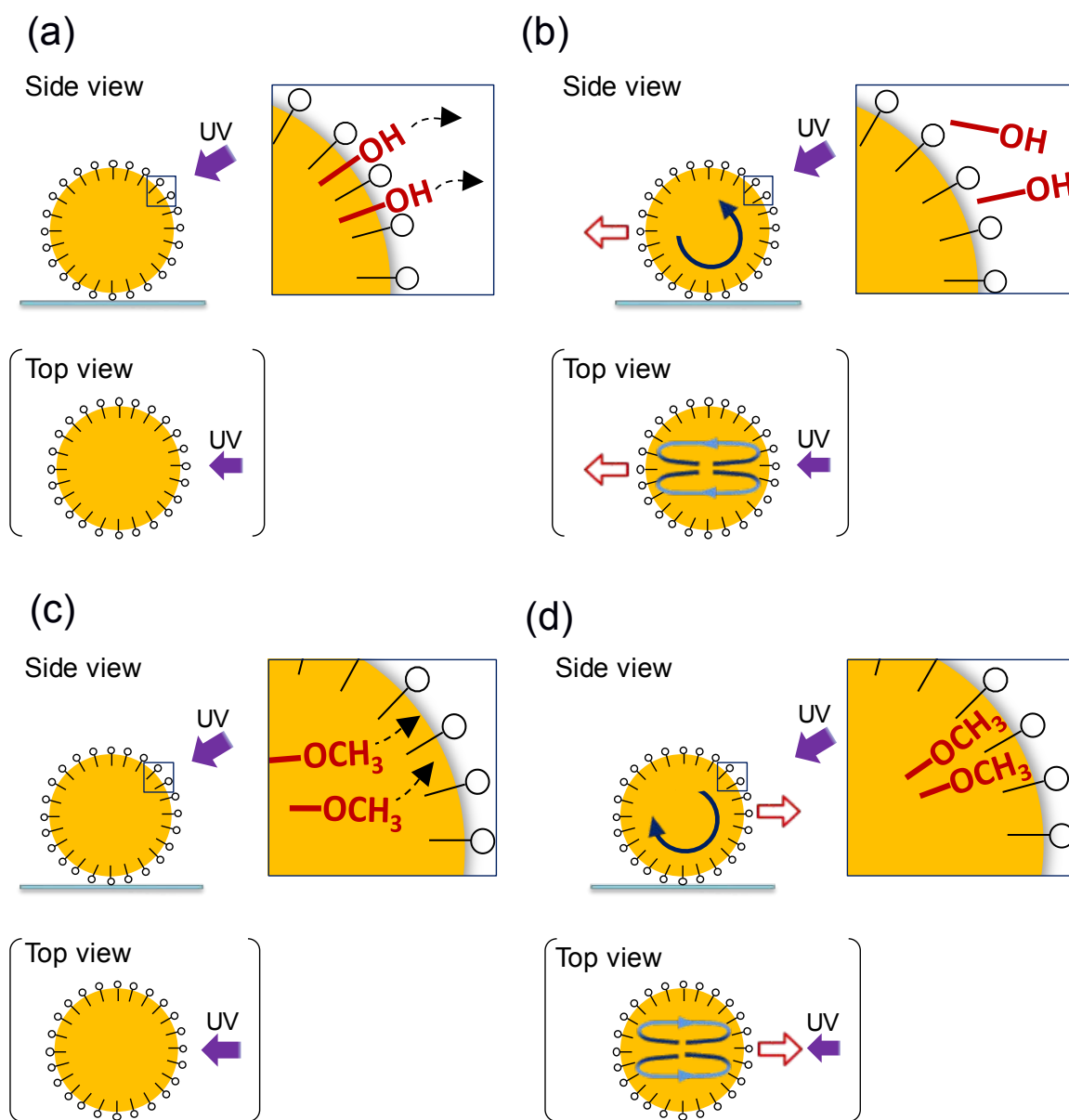


Fig. 7 Diagram of the proposed motion mechanism of BHAB-doped (a,b) and BMAB-doped (c,d) liquid crystal droplet. The bright blue arrow in the top view indicates flow on the top side of droplet.

193 On the other hand, BMAB molecules were initially inside the LC droplet (Fig. 7(c)) according  
 194 to the static interfacial tension measurements. The dynamic interfacial tension decreased during the  
 195 UV illumination and the UV/Vis monitoring, which suggested that the dye molecules moved to the

196 LC/solution interface from the inside (Fig. 7(c)). The adsorption of the dye molecules onto the  
197 interface decreased the interfacial tension on the side of UV irradiation, which is the opposite of the  
198 trend in the case of BHAB. This interfacial tension gradient caused a convective flow (Fig. 8(d)).  
199 Movie S4 in the SI shows that the convection flow moved toward the UV light source on the top  
200 surface of the droplet. As a result, the droplet had a clockwise torque, which induced motion toward  
201 the UV light source.

202         Additionally, it is mentioned how the liquid crystal phase influenced the motion. The behavior  
203 of a droplet in the isotropic phase was investigated under the same experimental conditions except for  
204 the temperature. The droplet was 5CB doped with BHAB. The result is shown in Movie S5 in the SI.  
205 At room temperature, the droplet was in the nematic phase, but the phase changed to the isotropic  
206 phase when increasing the temperature, which was recognized by the change in the pattern of the  
207 droplet in the middle of the movie. After the phase change into the isotropic state, UV light was  
208 illuminated, but motion did not occur.

209         Next, the behavior of an oil droplet doped with BHAB was also studied under similar  
210 experimental conditions, and the result is shown in Movie S6. The droplet showed a sudden motion  
211 upon illumination with UV light, but the movement was random, and directional motion could not be  
212 maintained. These results show that the LC state was necessary for the photo-induced motion, possibly  
213 because the directional flow is maintained by the long-range molecular interaction of the LC.

214

215

## 216 Conclusion

217 We successfully controlled the photo-induced motion of dye-doped LC droplets in a surfactant  
218 solution with a concentration higher than the CMC. We controlled the direction of motion by simply  
219 changing the functional groups of guest dyes, which resulted in movement toward and away from the  
220 light source. This motion was explained by the Marangoni flow caused by the photo-induced  
221 desorption from the LC/solution interface or the adsorption of dye molecules onto it. This is a new  
222 demonstration of optically induced motion for LC droplets and could lead to further applications  
223 involving the motion control of active matter. The motion of any LC droplets could be controlled using  
224 this light-based strategy, which could be applied for drug delivery, microscopic extraction, and the  
225 condensation and detection of chemicals, especially for microfluidic applications.

226

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LC droplets could be mobilized and direction-controlled by the structure of photo-responsive molecules.

