## Carrier-gas Assisted Vapor Deposition for Highly Tunable Morphology of Halide Perovskite Thin Films

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**Carrier-gas Assisted Vapor Deposition for Highly Tunable Morphology of Halide Perovskite Thin Films**

Catherine P. Clark, Bryan Voigt, Eray S. Aydil and Russell J. Holmes

We demonstrate carrier-gas assisted vapor deposition (CGAVD) as a promising synthesis technique for high-quality metal halide perovskite thin films. Wide tunability of film microstructure and morphology are accessible with CGAVD via the combination of several independently controllable experimental variables. Here, we examine in detail the material transport mechanisms in CGAVD and develop analytical expressions for deposition rates for the halide perovskite precursors MABr, MAI, SnBr₂, and SnI₂ as a function of experimentally tunable temperatures, pressures, and flow rates. The method is then applied to systematically control the growth of MASnBr₃ thin films via co-deposition across a range of stoichiometries and morphologies. In varying source material temperature, carrier gas flow rate, dilution gas flow rate, substrate temperature, and chamber pressure, corresponding changes are realized in the degree of crystallinity, grain orientation, and average grain size (from ~0.001 to > 0.7 μm²). Thin films of MASnI₃ and MASnBr₃ deposited using CGAVD show resistivities of 3 Ω cm and 7x10⁴ Ω cm, respectively, broadly consistent with previous reports.

**Introduction**

Metal halide perovskites have gained significant attention as active materials for optoelectronic devices, due to their tunable electronic and optical properties and their compatibility with high-throughput processing on flexible substrates.1–8 This interest has been amplified by the impressive performance of metal halide optoelectronics, with solar cell power conversion efficiencies exceeding 23%9 and light-emitting diodes reaching external quantum efficiencies of >20%.10

It is widely acknowledged that film quality and morphology strongly impact device performance, as film microstructure can influence charge recombination and collection, shunting pathways, and ion migration kinetics.1,11–19 In turn, microstructure is dictated by the film processing technique and specific crystallization conditions.20 There is still much to understand regarding the interplay between synthesis conditions, film microstructure, and the resulting optoelectronic properties. The impact of grain boundaries on non-radiative recombination rates, for instance, is still an area of debate.21–24

Consequently, thin film deposition techniques that yield stoichiometrically precise, defect-free perovskite films with tunable morphology are becoming increasingly essential for device applications. Ideally, these techniques should be efficient in their use of materials, robust with respect to reproducibility, and scalable for high-throughput production.

These requirements have motivated a growing interest in vapor-based deposition techniques. The nascent body of work on vapor-deposited metal halide perovskites has already demonstrated deposition on large-area flexible substrates and fine control over film composition and morphology.25,26,35–44,27,45–54,28,55–64,29,65,30–34 Moreover, vapor deposition can enable facile growth of multi-layer stacks, such as an interlayer-free 2-terminal perovskite-Si tandem cell,65 thus providing a practical route to tandem and multi-layer perovskite solar cells.67,68

The most commonly employed vapor deposition methods utilize high-vacuum (~10⁻⁶ Torr) thermal evaporation (VTE), either by co-evaporation or sequential evaporation of the precursor materials.25,26,42–47,27,32,40,41 An alternative but understudied approach is carrier-gas assisted vapor deposition (CGAVD), where an inert carrier gas such as N₂ or Ar is used to transport source material vapors to the substrate at pressures >0.1 Torr. CGAVD provides a large parameter space to tune film composition and microstructure via six independently controllable pressures, temperatures, and flow rates. Indeed, CGAVD has been previously explored in the context of organic semiconductors, demonstrating exquisite control of composition and morphology, and enabling the growth of planar and bulk heterojunction devices.69–74

For metal halide perovskites, however, CGAVD has been only sporadically used. Jiang et al. and Leyden et al. have synthesized CsₓFA₁₋ₓPbI₃, MAPbBr₃, and MAPbI₃Clₓ using a “hybrid” method.
approach, where the metal halide (e.g. PbI₂) film is first deposited using a solution or high-vacuum process, and then CGAVD is used to expose that film to the organic halide (e.g. MAI). Power conversion efficiencies exceeding 15% have been achieved with perovskite films deposited using these two-step hybrid processes. We are aware of only two reports where the full perovskite film is deposited using CGAVD. Wang et al. use CGAVD to achieve epitaxial growth of single-crystal CsPbBr₃ and CsSnBr₃ on NaCl substrates. Tavakoli et al. form ~1 μm grained, pinhole free MAPbI₃-xClₓ films via CGAVD for incorporation into 11.1% efficient photovoltaic cells. However, no comprehensive exploration of the morphologies accessible using CGAVD has been reported, and consequently there is substantial room for further exploration and development of this promising technique.

In order to harness the full potential of CGAVD, a detailed understanding is needed of how changes in system pressures, temperatures, and flow rates impact film microstructure. Here, the impact of each parameter on source material transport and substrate deposition rate is examined. By developing an analytical model for deposition rate, operating regimes are identified that lead to robust and repeatable film growth. Further systematic tuning of CGAVD experimental parameters is applied to engineer the microstructure and stoichiometry of MASnBrₓI₃-x thin films, including the degree of crystallinity, grain orientation, and grain size.

Experimental Methods

MASnBrₓI₃-x thin films were deposited using the CGAVD system shown in Fig. 1. This system consists of a 3” diameter quartz tube inside a three-zone cylindrical furnace. The axial temperature profile within the tube is controlled by the furnace temperature set points. Four 0.5” diameter quartz tubes are positioned eccentrically inside the larger 3” tube. Within each 0.5” tube, source material powder (e.g., MABr, MAI, SnBr₂, or SnI₂) is packed in a porous frit and attached to a linear feedthrough with embedded thermocouples for real-time temperature monitoring. These linear feedthroughs are used to translate the sources axially to vary source material temperature.

Nitrogen, the carrier gas, flows through each source tube and over the source material, carrying sublimed vapor towards a cooled substrate. The tubes-within-tubes arrangement with independent carrier gas flows for each source minimizes backflow and source material contamination. Additional N₂ is fed into the annular region around the source material tubes. This flow dilutes the vapors emerging from the source tubes, providing additional control over the species fluxes independent from the source material evaporation rate and chamber pressure. After exiting the source tube, the vapors impinge, condense, and/or react on the cooled substrate to form films.

Six independent experimental parameters can be varied (Fig. 1b) to alter the deposition of a source material i: the carrier gas flow rate V̇CG,i; the dilution gas flow rate V̇DIL; the source material temperature Tᵢ; the gas temperature Tg; the substrate temperature Ts; and the total chamber pressure P.

**Fig. 1** Schematics describing the CGAVD system used in this work. Part numbers are defined as follows: (1) linear actuator with embedded thermocouple; (2) N₂ carrier gas manifold; (3) showerhead for dilution gas delivery; (4) source material attached to linear actuator; (5) source material tube; (6) 3” diameter quartz chamber; (7) water-cooled substrate holder; (8) ports for rough pump. (a) CAD model (to scale) of 4-source CGAVD system. (b) Schematic highlighting the six independent experimental parameters in CGAVD (orange boxes): the carrier gas flow rate V̇CG,i; the dilution gas flow rate V̇DIL; the source material temperature Tᵢ; the gas temperature Tg; the substrate temperature Ts; and the deposition pressure P.
Given the vast parameter space of CGAVD, it is imperative that a systematic and informed approach is adopted when developing this technique for metal halide perovskite depositions. For instance, a combination of low $T_i$ and high $V_{CG,i}$ during deposition can cause deposition rates to depend on the source material surface area (Fig. S1), which is difficult to control and thus can introduce significant run-to-run variation.

Following Shtein et al., following an analytical model of mass transport in CGAVD was developed and used to calculate precursor species fluxes arriving at the substrate. A detailed treatment for a wide range of deposition conditions can be found in the Supporting Information. For the deposition conditions used herein, the flux of a material $i$ at the surface of the substrate ($r_i$) can be expressed as

$$r_i = C_{i,j} \cdot \frac{P_B T_a}{P_{T_i}} \cdot \frac{V_{CG,i}}{V_{CG,j}} \cdot e^{-\frac{\Delta H_i}{R} \cdot \frac{1}{T_a} - \frac{1}{T_i}},$$

Eqn. 1

where $C_{i,j}$ and $C_{j,j}$ are material-specific constants (see Table S1), $\Delta H_i$ is the sublimation enthalpy, $R$ is the universal gas constant, $P_B = 760$ Torr, and $T_B = 25$ °C. Eqn. 1 elucidates the functional impact of different experimentally adjustable variables on the deposition rate. As $r_i$ has an exponential dependence on $T_i$, source material temperature can be used to make large changes in deposition rate. The dependence of deposition rate on $V_{CG,i}, T_B$, and $P$ however, is linear, and these parameters can be used to easily make smaller, incremental adjustments in $r_i$.

To validate and calibrate the model in Eqn. 1, neat films of MABr, MAI, SnBr2, and SnI2 were deposited at a variety of temperatures, pressures, and flow rates, while keeping the substrate temperature constant ($T_s = 15$ °C). These data were used to extract the enthalpy of sublimation, $\Delta H_i$, for each material (Table 2) which agree well with available reported values. See Fig. S2 for the experimental data and fits of Eqn. 1.

With the extracted values for $C_{i,j}, C_{j,j}$ and $\Delta H_i$, Eqn. 1 can be used to select processing conditions to deposit MASnBr$_{1-x}$I$_x$ films at desired deposition rates and compositions (e.g., $x=2$). For co-depositions involving two materials (denoted A and B), the ratio $r_A/r_B$ represents the ratio of precursor species available to react and form the perovskite at the substrate/film surface

$$r_A = C_{A,B} \cdot \frac{T_B V_{CGA}}{V_{CGA,B}} \cdot e^{-\frac{\Delta H_A}{R} \cdot \frac{1}{T_A} - \frac{1}{T_B} - \frac{\Delta H_B}{R} \cdot \frac{1}{T_A} - \frac{1}{T_B}}.$$

Eqn. 2

Eqn. 2 implies that changes in film stoichiometry during co-deposition of components A and B can be realized through changes in $V_{CGA}, V_{CGB}, T_A$ and/or $T_B$. Here, we define the normalized precursor excess $r_{A,B}$

$$r_{A,B} = (r_A - r_B)/\min(r_A, r_B),$$

Eqn. 3

where $r_{A,B} = 0$ indicates equal molar fluxes of species A and B, a negative value indicates excess metal halide (e.g., SnBr2) and a positive value indicates excess organic halide (e.g., MABr).

### Results and Discussion

**Phase-Pure, Uniform MASnBr$_{1-x}$I$_x$ on a Variety of Substrates**

Phase pure MASnBr$_2$ and MASnI$_2$ thin films were deposited via one-step co-deposition by manipulating $V_{CG,i}$ and $T_i$ according to Eqn. 2 such that $r_{MABr}/r_{SnBr2}$~1 and $r_{MAI}/r_{SnI2}$~1, respectively. Moreover, MASnBr$_{1-x}$I$_x$ ($0 < x < 3$) films were fabricated by depositing MASnI$_3$ on top of MASnBr$_2$ and using the relative thickness of each layer to achieve the desired stoichiometric ratio x. Deposition parameters for these films are listed in Table S2. Fig. 2 shows X-ray diffraction (XRD), optical absorption, and photoluminescence (PL) from films with x=0,
These data are consistent with previous reports on MASnBr$_{3-x}$I$_x$ synthesized using other techniques.$^{52,79,80}$ XRD peaks shift monotonically to larger 2θ values with increasing Br content, from 16.5° ($x=0$) to 17.5° ($x=3$), implying a smooth phase-transition from tetragonal MASnI$_3$ to pseudocubic MASnBr$_3$ as described elsewhere.$^{80}$ Strong texturing along (001) is evident for $x=0$, 1.5, and 2. While texturing can be substantially tuned independent of stoichiometry using CGAVD, strong texturing is observed more readily for MASnI$_3$ films than MASnBr$_3$ films.

The measured optical absorption spectra (Fig. 2b) show absorption onsets decreasing from a wavelength of 880 nm for $x=0$ to 575 nm for $x=3$. Photoluminescence is observed at a wavelength of 730 nm for $x=1.5$ and 960 nm for $x=0$, with no significant PL from films with $x \geq 2$. This is consistent with previous reports, where the PL efficiency was negligible (<0.1%) and no electroluminescence was observed from MASnBr$_{3-x}$I$_x$ films with $x > 1.5$.$^{80}$

Interestingly, the sequentially deposited MASnBr$_3$ and MASnI$_3$ films mix spontaneously even at substrate temperatures as low as 15 °C. To our knowledge, this is the first synthesis of MASnBr$_{3-x}$I$_x$ by sequential deposition of single-halide perovskite films. This rapid and spontaneous mixing implies high I- and Br-diffusion rates within the perovskite crystal structure. Based on this observation, MASnBr$_{3-x}$I$_x$ appears to be energetically favourable and stable at room temperature.

CGAVD deposited MASnBr$_{3-x}$I$_x$ films are uniform over large areas. MASnBr$_3$ grown on a silicon substrate, for example, has less than 10% thickness variation over 4 cm$^2$, the largest area that can be accommodated in the current CGAVD system. Due to the collimated flow reaching the substrate, uniformity over larger areas should be attainable with larger CGAVD systems or by rotating the substrate.

In addition to uniformity over large areas, CGAVD enables the formation of morphologically similar films on a variety of different substrates and on materials used as electron- and hole-transport layers. Fig. S3 shows the morphology of MASnI$_3$ and MASnBr$_3$ films grown on various substrates including PEDOT:PSS, c-TiO$_2$, Si, quartz, and indium-tin-oxide (ITO)-coated glass. The ability to deposit films with similar morphology on different surfaces provides an opportunity to conduct meaningful studies of the roles of electron- and hole-transport layers on films with comparable morphology.

Stoichiometric and Morphological Control via $V_{CGV}, i$, and $T_i$

Following Eqn. 2, $V_{CGV}$, $i$, and $T_i$ ($i = $ MABr, SnBr$_2$) were tuned to access a range of relative precursor fluxes and resulting film stoichiometries. Fig. S4a-f shows SEM images of films deposited on quartz with $-1 < r_{MABr:SnBr_2} < 1$. Deposition conditions for these films are shown in Table S3, and XRD patterns are shown in Fig. S5. Fig. S4g shows average grain size as a function of film stoichiometry, where the films’ phase-purity is calculated using the diffraction peak intensity. The XRD peak intensity ratios $I_{MABr}/I_{MASnBr_3}$ and $I_{SnBr_2}/I_{MASnBr_3}$ are calculated by dividing the largest excess precursor (i.e., MABr or SnBr$_2$) XRD peak intensity with that of the MASnBr$_3$ (100) peak (at 2θ=17.5°). Films are deemed “stoichiometric” if there are no observable diffractions from MABr or SnBr$_2$. Average grain area is calculated by applying the Weka Trainable Segmentation tool in ImageJ to several SEM images taken from different locations on each substrate. Representative images and resulting grain boundaries are shown in Fig. S6.

Films with excess MABr or excess SnBr$_2$ are observed to have non-ideal microstructures. MABr-rich films have large grains (up to 10 μm$^2$ for Fig. S4a) but exhibit incomplete coverage and domains that appear to be perovskite grains surrounded by excess MABr. SnBr$_2$-rich films exhibit small-grained structures...
that have significant pinholes, cracks, and ill-defined grain boundaries (Fig. S4 e,f). In contrast, stoichiometric films exhibit large (0.2 μm²), well-defined grains and dense substrate coverage (Fig. S4 d).

Interestingly, during these growths it was observed that on some occasions, non-zero $r_{\text{MABr,SnBr}_2}$ resulted in stoichiometric films; i.e. even without perfectly balanced precursor fluxes, no excess precursor peaks were observed in the XRD patterns. To investigate this further, MASnBr₃ depositions were carried out at a variety of $V_{\text{CG, MABr}}$ and $T_s$ ($T_s = \text{MABr, SnBr}_2$), and a range of substrate temperatures $T_s$. Fig. 3 shows that the range of $r_{\text{MABr,SnBr}_2}$ which results in stoichiometric films depends strongly on $T_s$. For low $T_s$ of 15 – 20 °C, an imbalance of precursor fluxes results in non-stoichiometric films for $r_{\text{MABr,SnBr}_2} > 0.3$. This window increases for warmer $T_s$ of 25 – 30 °C where stoichiometric films are formed for $r_{\text{MABr,SnBr}_2} < 0.7$. For $T_s > 35$ °C, this range extends further, such that a flux imbalance of 100% for either SnBr₂ or MABr still results in a stoichiometric film.

Fig. 3 Impact of $T_s$ on stoichiometrically “self-correcting” window for MASnBr₃ films on quartz. For low $T_s$ of 15 – 20 °C, an imbalance of precursor fluxes results in non-stoichiometric films for $r_{\text{MABr,SnBr}_2} > 0.3$. This window increases for warmer $T_s$ of 25 – 30 °C where stoichiometric films are formed for $r_{\text{MABr,SnBr}_2} < 0.7$. For $T_s > 35$ °C, this range extends further, such that a flux imbalance of 100% for either SnBr₂ or MABr still results in a stoichiometric film.

Another important benefit of this “self-correcting” window is the ability to vary the film morphology while maintaining nominal film stoichiometry and phase purity, essentially decoupling morphological control from compositional control.

Fig. 4 Film grain size (black squares) and crystallinity (red circles) for MASnBr₃ on quartz within the stoichiometric region but at different MABr:SnBr₂ flux ratios. $r_{\text{MABr,SnBr}_2}$ corresponding to each image in (b)-(f) is labelled in (a). Here, the flux ratio was controlled by changing $T_{\text{SnBr}_2}$. All other variables remained constant for these depositions: $V_{\text{CG, SnBr}_2} = 6$ sccm, $V_{\text{CG, MABr}} = 3$ sccm, $V_{\text{DIL}} = 191$ sccm, $T_{\text{MABr}} = 136$ °C, $T_s = 300$ °C, $T_g = 30$ °C, and $P = 2.6$ Torr.

Fig. 4a shows average grain area and the intensity of the (100) peak (normalized by film thickness) as a function of $r_{\text{MABr,SnBr}_2}$ for the stoichiometric films in Fig. 4b-f. XRD patterns are shown in Fig. S7. In this case, $r_{\text{MABr,SnBr}_2}$ was tuned by adjusting the SnBr₂ source temperature while keeping all other experimental variables constant. The intensity of the (100) diffraction is a measure of the film crystallinity and Fig. 4 shows that it changes dramatically with changes in $r_{\text{MABr,SnBr}_2}$, increasing by an order of magnitude from $r_{\text{MABr,SnBr}_2} = 0.27$ to $r_{\text{MABr,SnBr}_2} = 0.5$. Average grain size has a similar trend, increasing from 0.003 μm² to over 0.2 μm² for $r_{\text{MABr,SnBr}_2} < 0.75$

Morphological Control via $P$ and $T_s$

For a given precursor flux ratio $r_{\text{MABr,SnBr}_2}$, the morphology of metal halide perovskite films can be further tuned using parameters such as $P$, $V_{\text{DIL}}$, and $T_s$. Fig. 5 shows the impact of deposition pressure $P$ and substrate temperature $T_s$ on grain size and orientation factor for phase-pure MASnBr₃ films with constant $r_{\text{MABr,SnBr}_2} \approx 1$. Grain area distributions are shown in Fig. S8, and representative SEM images are shown in Fig. S9. The texture coefficient $\text{TC}_{h_k l_l}$ is calculated using Eqn. 4.81
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Fig. 5 Film grain size (a) and texture coefficient $T_{C_{hkl}}$ (b,c) as a function of $P$ and $T_s$ for MASnBr$_3$ on quartz. All other variables remained constant for these depositions: $V_{CG,SnBr_2} = 4$ sccm, $V_{CG,MABr} = 3$ sccm, $V_{DIL} = 20$ sccm (for $P < 2.6$ Torr) or $V_{DIL} = 200$ sccm (for $P \geq 2.6$ Torr), $T_{SnBr_2} = 233$ °C, $T_{MABr} = 142$ °C, and $T_s = 300$ °C. For all depositions, $r_{MABr,SnBr_2} \equiv 1$. Grain size distributions, representative SEM images, and texture coefficients for additional peaks are shown in Fig. S8 – S10.

Fig. 6 Measured and calculated deposition rates corresponding to the films in Figs. 5 and S8 – S10. Measured deposition rates agree well with calculated values when excess precursor flux is excluded (Eqn. S26). Calculated deposition rates that include total flux of both precursors (Eqn. S27) significantly overestimates deposition rate – indicating that excess precursor flux is rejected during MASnBr$_3$ film formation using CGAVD.
substrate temperatures used here have a minimal effect on deposition rate, allowing the independent tuning of morphology with \( T_s \) and \( P \).

**Electrical Properties vs. Stoichiometry**

To probe the electrical properties of CGAVD-grown MASnBr\(_3\) and MASnI\(_3\) films, 4-terminal resistivity measurements were taken at 280 K. As reported elsewhere,\(^{82,83}\) MASnBr\(_3\) films were much more resistive than MASnI\(_3\) films. Resistivities of 3 \( \Omega \) cm and 7x10\(^4\) \( \Omega \) cm are obtained for MASnI\(_3\) and MASnBr\(_3\), respectively, consistent with previous reports.\(^{82-84}\)

For MASnI\(_3\), the lower resistivity enabled Hall effect measurements to be made as a function of excess precursor species (\( I_{\text{MAI}}/I_{\text{MASnI}} \) or \( I_{\text{SnI}}/I_{\text{MASnI}} \)). Fig. S11 shows the corresponding resistivity (\( \rho \)), hole concentration, and Hall mobility for MASnI\(_3\) films at 280 K. All MASnI\(_3\) films were \( p \)-type, with hole concentration rising by nearly one order of magnitude from \( \sim 2 \times 10^{19} \) cm\(^{-3}\) to \( \sim 10^{20} \) cm\(^{-3}\), as the film composition moves from SnI\(_2\) excess to MAI excess. \( p \)-type conduction is typically associated with the oxidation of Sn\(^{2+}\) to Sn\(^{4+}\),\(^{84-86}\) and the relatively high hole concentrations (10\(^{19}\) – 10\(^{20}\) cm\(^{-3}\)) may be due to the lack of a reducing agent such as SnF\(_2\), as well as air exposure of the source material and films during loading and unloading from the CGAVD chamber and preparation for electronic transport measurements. Consequently, mobilities calculated assuming the Drude model (i.e., \( \rho = 1/\epsilon e \mu \) where \( e \) is the electric charge) are relatively low for these films, ranging from 0.2 to 1.5 cm\(^2\)/V\(\cdot\)s, compared to typical Hall mobilities reported in the literature for MASnI\(_3\) (1.6 – 2320 cm\(^2\)/V\(\cdot\)s).\(^{82,87}\)

The carrier concentrations and mobilities reported herein are not optimized, and represent a relatively narrow range of the experimental parameter space. Optimization of electrical and optical properties as a function of deposition conditions, reducing agents (such as SnF\(_2\)), and minimized air exposure are ongoing.

**Conclusion**

This work presents a detailed treatment of the transport regimes and operating conditions required to grow high-quality halide perovskite thin films via a carrier-gas assisted vapor deposition (CGAVD) technique. While this technique has been previously explored in the context of organic semiconductors, it has yet to be fully harnessed for optimizing perovskite thin film synthesis. By developing and applying an analytical model for the deposition rates of MABr, MAI, SnBr\(_2\), and SnI\(_2\), we are able to synthesize uniform MASnBr\(_{1-x}\)I\(_x\) thin films on a variety of substrates. By varying source material temperature, carrier gas flow rate, dilution gas flow rate, substrate temperature, and chamber pressure, we realize changes in the degree of crystallinity, grain orientation, and average grain size (from \( \sim 0.001 \) to \( > 0.7 \ \mu \text{m}^2 \)) for MASnBr\(_3\) thin films. Preliminary measurements show resistivities of 3 \( \Omega \) cm for MASnI\(_3\) and 7x10\(^4\) \( \Omega \) cm for MASnBr\(_3\) at 280 K. We systematically probe resistivity, hole concentration, and mobility for MASnI\(_3\) films as a function of precursor excess, and find relatively high carrier concentrations likely associated with the lack of reducing agent and potential air exposure. The ability of films grown using CGAVD to stoichiometrically self-correct by rejecting excess precursors, even with substantially unbalanced precursor flux rates, underscores the robustness of this technique.

**Conflicts of interest**

There are no conflicts to declare.

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Detailed analysis of material transport in CGAVD enables highly tunable morphology and robust growth of metal halide perovskite thin films.