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# Intensified Ozonolysis of Lignins in a Spray Reactor: Insights into Product Yields and Lignin Structure

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## Abstract

We demonstrate a simple spray reactor for an ozonolysis pretreatment step to cleave carbon-carbon double bonds in grass lignins to conveniently recover vanillin and *p*-hydroxybenzaldehyde (~5 wt. % of the lignin), two of the most value-added monomers. Lignin dissolved in an acid solution is sprayed into an ozone containing gas stream at ambient temperatures with contact times of less than 10 seconds. The production rate of these valuable species is between one to two orders of magnitude greater than that previously reported in a CSTR in which ozone is bubbled through a liquid phase containing dissolved lignin. The spray reactor thus significantly intensifies the reaction alleviating liquid-phase ozone starvation experienced in the CSTR. Gel permeation chromatography of the ozone-pretreated lignin reveals a decrease in the molecular weight distribution of macromolecular components corresponding to the recovered monomers. Scanning electron microscopy of the ozone-pretreated lignin reveals that the lignin superstructure is largely preserved as evidenced by the presence of globular assemblages in both the untreated and ozone-pretreated lignin. Thus, a mild ozone pretreatment of grass lignins in a spray reactor allows for the recovery of valuable aromatics without overoxidation of the products or unwanted bleaching of the bulk lignin.

**Keywords:** lignin, vanillin, ozonolysis, spray reactor, process intensification

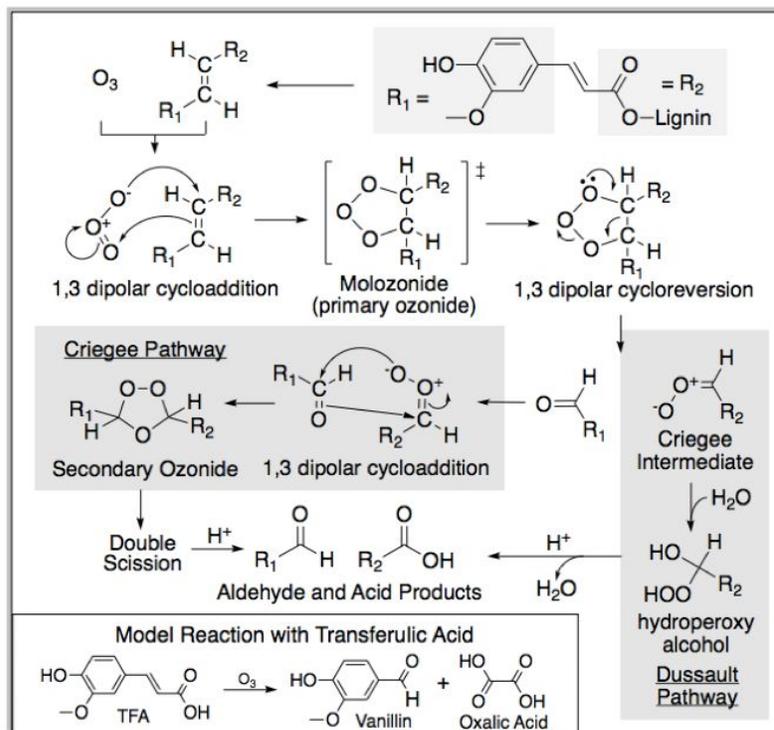
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32 **Introduction**

33 Lignins are biopolymers as varied as their plant sources and represent the second most abundant  
34 renewable biomass after cellulose.<sup>1</sup> Lignin is also the most abundant renewable source of  
35 aromatics followed by tannins and phenolic lipids.<sup>2</sup> Because of its chemical and structural  
36 diversity, lignin valorization remains a key challenge for realizing a viable biomass based  
37 economy.<sup>3,4</sup> Accordingly, it has attracted attention from academia and industry alike to derive  
38 various products from its degradation and depolymerization.<sup>3,5-7</sup> Lignins are typically comprised  
39 of polymerized monolignols and their derivatives.<sup>8,9</sup> Grass lignins have an abundance of alkenes  
40 compared to hard- and softwoods and some have been demonstrated to yield up to 10 - 15% by  
41 weight aldehydes including vanillin (3-methoxy-4-hydroxybenzaldehyde) when the lignins are  
42 oxidized using air as an oxidant.<sup>10,11</sup> Vanillin is the main component of the vanilla aroma which  
43 commands the second highest value among flavoring agents after saffron.<sup>12-14</sup>

44

45 Ozone is a powerful non-persistent oxidant that decomposes into molecular oxygen and as such  
46 it is considered a “green” oxidant.<sup>15</sup> It will oxidize most organic compounds, but preferentially  
47 reacts with alkenes via the Criegee mechanism.<sup>16</sup> With some lignins, it has been shown to afford  
48 aromatic aldehydes<sup>13,17</sup> and several methods have been reported for lignin ozonolysis and  
49 continuous ozonolysis.<sup>13,18-21</sup> Some of these methods are aimed at structurally modifying the  
50 lignin.<sup>21,22</sup> Alkene ozonolysis in neutral pH media follows the Criegee mechanism characterized  
51 by the presence of secondary ozonides (Figure 1).<sup>16</sup> The 1,2,4-trioxolane intermediates can  
52 decompose in polar and protic solvents. This exothermic decomposition of molecular ozonides  
53 can lead to reaction runaway if not properly controlled by either dilution of the reactants and/or  
54 appropriate cooling strategies.<sup>23,24</sup> Mechanistic studies indicate that hydration of the carbonyl  
55 oxide (Criegee Intermediate) can inhibit secondary ozonide formation.<sup>25,26</sup> While industrial Kraft  
56 processes use alkaline solutions to dissolve lignin, more recent organosolv lignin extraction  
57 methods use organic solvents, such as acetic acid in the acetosolv process.<sup>3,27,28</sup> Ozonolysis in  
58 acidic media should inhibit the formation of secondary ozonides and enhance process safety.



59 **Figure 1 – Reactive pathways of the Criegee intermediate from the ozonolysis of grass lignin in**  
 60 **acid media**<sup>16,29,30</sup>

61

62 Most reported lignin depolymerization techniques yield complex mixtures of small molecules  
 63 that are challenging to separate or analyze.<sup>31,32</sup> Clearly, it is desirable to deconstruct lignin in a  
 64 methodical manner to sequentially strip away value-added chemicals yielding simpler product  
 65 mixtures.<sup>3,33</sup> In this context, we recently demonstrated the ozonolysis of grass lignins in a  
 66 continuous stirred tank reactor (CSTR) to selectively cleave pendant aromatic groups.<sup>7</sup> The liquid  
 67 phase residence time must be limited to a few minutes in order to prevent overoxidation of the  
 68 products and the bulk lignin by ozone. Given that ozonolysis reactions are relatively fast, there  
 69 can be ozone starvation in the liquid phase of the CSTR due to gas-to-liquid mass transfer  
 70 limitations. Herein, we develop a continuous spray reactor for the ozonolysis of grass and  
 71 hardwood lignins in order to selectively yield 4-hydroxybenzaldehyde and/or vanillin while  
 72 preserving the lignin superstructure. Unlike the CSTR where the ozone was sparged into the liquid  
 73 phase, the lignin solution was sprayed as droplets against an air stream containing ozone in the  
 74 spray reactor. This design serves two important purposes: (i) to increase the interfacial surface  
 75 area between the liquid and gas phases, and thereby minimize ozone starvation in the liquid

76 phase,<sup>34–36</sup> and (ii) facilitate better control of the contact time between the gas and the liquid  
77 phases to prevent both overoxidation of the value-added aldehydes (vanillin and 4-  
78 hydroxybenzaldehyde) into acids and the destruction of the remaining lignin structure via  
79 bleaching.<sup>13,37</sup> Furthermore, it is of interest to also discern the effect of ozone pretreatment on  
80 lignin's macromolecular structure and potential implications for downstream processing and  
81 further deconstruction of lignin.<sup>38</sup>

82

### 83 **Materials**

84 Glacial acetic acid (99.5%), formic acid (88%), methanol (GC grade), ethyl acetate, and ethanol  
85 (HPLC grade) were purchased from TCI America. Two extracted and dried acetosolv grass lignin  
86 powders from corn stover (G1 and G2) were provided by Archer Daniels Midland Company while  
87 alkaline (H1) and dealkaline (H2) hardwood lignins were purchased from Sigma Aldrich and TCI  
88 America respectively. *Trans*-ferulic acid and chromatography standards were purchased from  
89 Sigma Aldrich and used without further purification.

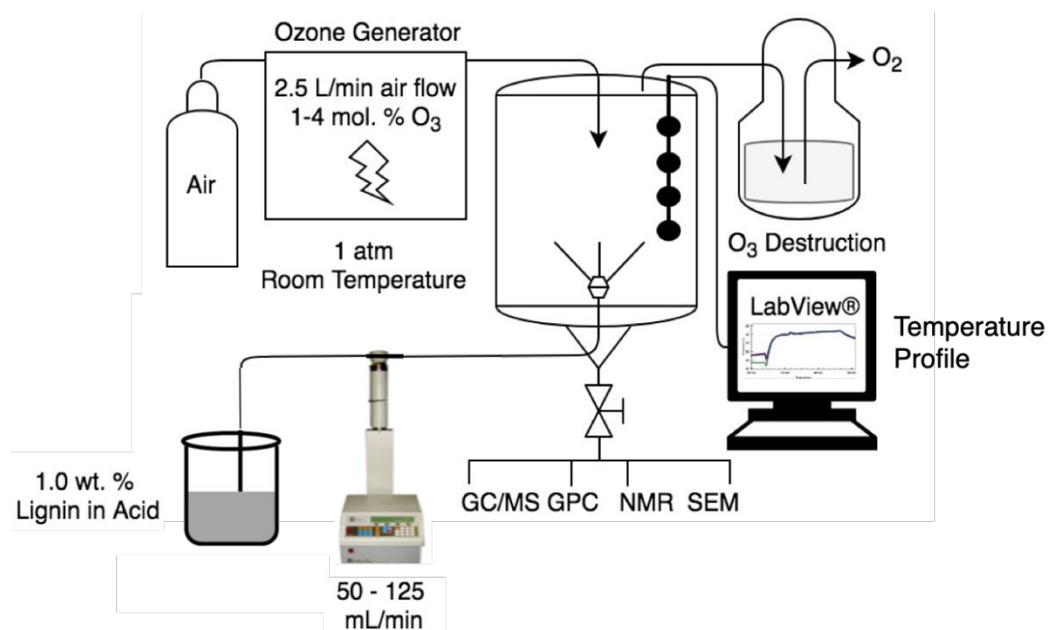
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### 91 **Methods**

#### 92 ***Ozonolysis of Sprayed Lignin in Acids***

93 Dry lignin (5.00 g) was added to 500 mL of 3:1 v: v mixture of glacial acetic acid and formic acid.  
94 The mixture was stirred overnight and filtered to remove any remaining particulate matter. The  
95 spray reactor consisted of an ISCO model 500D syringe pump equipped with a Teledyne ISCO D-  
96 series controller that constantly pumps the reaction mixture through a pressurized spray nozzle  
97 (MW085 Bete MicroWhirl Nozzle, 70  $\mu\text{m}$  diameter filter) located at the bottom of a 4-liter glass  
98 chamber (Figure 2). The reactor temperature was monitored with a thermocouple probe  
99 interfaced with a LabView Data Acquisition System. The mixture was sprayed at a rate between  
100 50-125 mL/min into the reactor at ambient temperature (20°C), filled with flowing ozone (150-  
101 200 std L/h containing 1-7.5 mol. % in air corresponding to 20-80 % of the maximum voltage)  
102 generated from a Praxair Trailigaz Unizone Lo ozone generator. At standard operation conditions  
103 of 180 std L/h and 50 % of the maximum voltage, the ozone is estimated to be at a four-fold  
104 molar excess compared to aldehydes in the lignin.<sup>10</sup> The spray creates a fine mist which suspends

105 in and fills the reactor without causing any holdup at the bottom of the reactor. Below the lower  
 106 limit of the flow rate range (<50 mL/min), the spray created a stream of liquid which coated the  
 107 reactor walls with a film. At higher flow rates (>125 mL/min), the spray created a mist but also  
 108 caused liquid pooling in the reactor that increased the contact time with ozone. The ozone-  
 109 pretreated liquid stream exits the reactor at the bottom and is collected in 10 mL fractions for  
 110 analysis. The gas stream exits at the top of the reactor avoiding further contact with the liquid  
 111 stream and was vented through a solution of sodium hydroxide and hydrogen peroxide in  
 112 methanol to decompose any remaining ozone to oxygen.<sup>39</sup> The reactor was rinsed with acetic  
 113 acid before and after experiments.



114

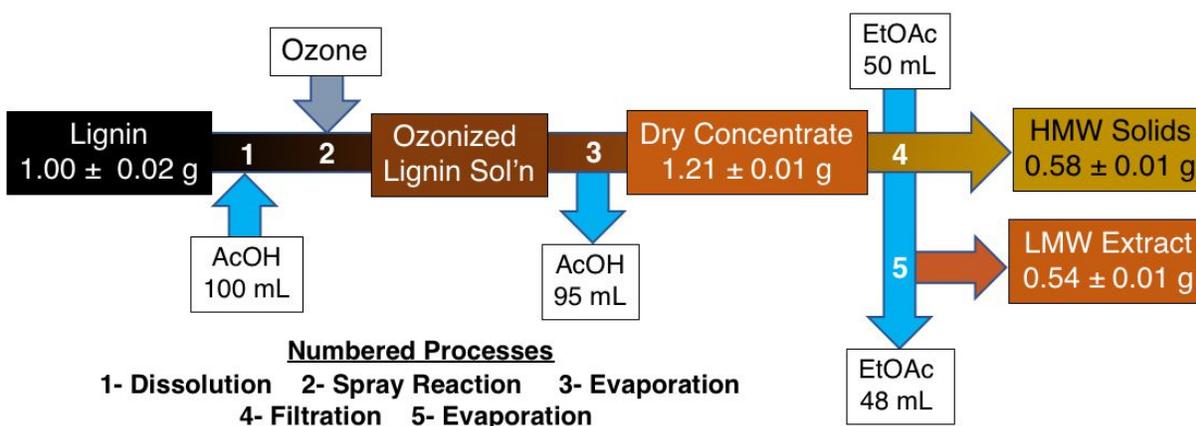
115 **Figure 2 - Schematic of the four-liter spray reactor with four-point temperature probe run at**  
 116 **ambient pressure**

117

### 118 ***Fractionation of Ozonized Lignin Products***

119 Ozonized lignin solutions were taken directly from the spray reactor and the acid solvent was  
 120 removed in a rotary evaporator for reuse. The dry dark brown concentrate was washed with ethyl  
 121 acetate (50 mL solvent/1 g solid product) and stirred overnight. The solution was filtered to  
 122 separate a dark brown high molecular weight (HMW) solid filtrate and an orange colored mixture  
 123 of low molecular weight (LMW) extracts (Figure 3).

124



125

126 **Figure 3 – Schematic representation of grass lignin ozonolysis, workup, and fractionation**

127

128 ***Trans-ferulic acid model compound reactions***

129 *Trans-ferulic acid* (1.00 g) was dissolved in 100 mL of a solvent (formic or acetic acid) and sprayed  
 130 at 75 mL/min against an ozone containing stream (2.5 mol. % in air) flowing at 180 std L/h  
 131 Collected effluent samples were analyzed following dilution in methanol [10:3  
 132 methanol:(reaction mixture) v/v] by gas chromatography, and solvent evaporation and  
 133 redissolution in either dimethyl formamide (1 mL) for gel permeation chromatography (GPC) or  
 134 dimethylsulfoxide (100 mg/700 $\mu$ L DMSO) for NMR spectroscopy.

135

136 ***Analytical Methods***

137 Methods for gas and gel permeation chromatography (GC and GPC), along with nuclear magnetic  
 138 resonance spectroscopy (NMR), scanning electron microscopy (SEM), and data processing are  
 139 included in the supplementary information (SI Methods).

140

141 **Results**

142

143 ***Spray Reactor Design***

144 When ozone is dispersed in a liquid phase containing dissolved lignin (as done in the CSTR),<sup>7</sup>  
 145 ozone availability is likely to be limiting given that ozonolysis reactions are relatively fast and  
 146 ozone solubility in the liquid phase is low.<sup>40</sup> On the other hand, atomizing the liquid solution into

147 an ozone rich gas phase increases the interfacial area between the liquid and gas phases (SI Table  
148 S1). Each atomized droplet may be viewed as a micro-reactor with an approximate droplet radius  
149 of 35  $\mu\text{m}$ , achieved with commercial spray nozzles.<sup>37</sup> In such a droplet comprised of the reaction  
150 solvent mixture, the diffusion time scale for ozone to completely permeate the droplet is  
151 approximately 1.1 seconds at 20 °C (SI Calc S1).<sup>37,41</sup> The sprayed droplets eventually coalesce  
152 forming a bulk liquid phase that continuously exits the reactor. Following product separation in  
153 a rotary evaporator, the solvent (acetic and formic acid) is recycled.

154  
155 At a spray rate of 75 mL/min, the approximate residence time of the sprayed liquid phase in the  
156 reactor is determined to be  $8.3 \pm 3$  seconds (SI Equation S1), of the same order as the diffusion  
157 time scale of ozone into the spray droplet. By allowing the ozone-containing gas stream to exit  
158 at the top of the reactor, side reactions such as aldehyde oxidation to an acid are minimized.

159  
160 Ozonolysis reactions are exothermic. To prevent reaction runaway, low lignin concentrations (1.0  
161 wt.% in solution) were maintained to limit the rate of heat generation. The reactor temperature  
162 was effectively maintained at the operating temperatures (17-23 °C), indicating that the heat of  
163 reaction is effectively absorbed by the feed stream dominated by acetic acid (Figure S1). The  
164 several temporal temperature profiles in Figure S1 show that the spray reactor system  
165 reproducibly reaches a steady state in a few minutes. At the reaction temperature ( $\sim 20^\circ\text{C}$ ), the  
166 concentrations of acetic acid and the main aldehydic products in the vapor phase are below their  
167 lower flammability limits due to their low vapor pressures.<sup>42</sup>

### 168 169 ***Characterization and Fractionation of Various Product Fractions from Spray Ozonolysis***

170 During the spray ozonolysis of acid solubilized lignins, the composition of the effluent stream  
171 attains a steady state in approximately one minute following start of the spray as inferred from  
172 vanillin concentrations via GC-FID (Figure S1). The steady state aromatic aldehyde  
173 concentrations in the reactor effluent shows an increase compared to those in the feed (Table  
174 1). Ozone pretreatment yields approximately fourfold more vanillin from grass lignin samples G1  
175 and G2 compared to the vanillin content in the unreacted grass lignins. As expected, the vanillin

176 content in hardwood lignins (H1 and H2) is significantly lower than in the grass lignins. Ozonolysis  
 177 of the hardwood lignins yields a ~1.5x increase in vanillin content compared to that in the  
 178 unreacted lignin. This is to be expected as the hardwood lignins do not contain the pendant  
 179 aromatic groups.

180 The yield of a particular product aldehyde is defined as the mass ratio of the aldehyde in  
 181 solution of product formed relative to the lignin fed. Based on this definition, the yield of 4-  
 182 hydroxybenzaldehyde (4HB) is approximately two- to threefold greater than that of the vanillin  
 183 yield. The total yield of aromatics from the ozonolysis of grass lignins is several fold greater than  
 184 that from hardwood lignins and similar to other reports.<sup>9,11,13</sup>

185

186 **Table 1 Comparison of aldehyde monomer yields (wt. %) from the spray ozonolysis of acid-**  
 187 **solubilized lignins (T ~ 20°C; feed flow rate = 75 mL/min; lignin concentration in feed = 1.0 wt.%;**  
 188 **air flow rate = 180 std L/min; O<sub>3</sub> concentration in air = 2.5 mol. %)**

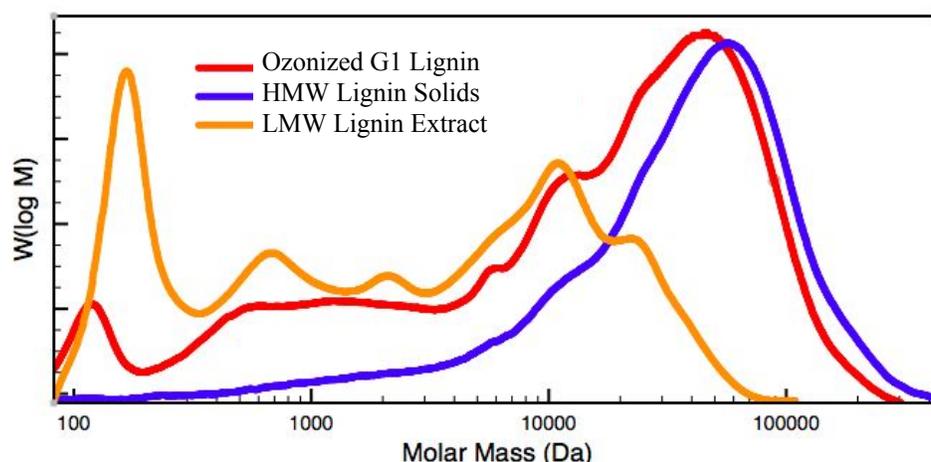
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Lignin	Vanillin	4-Hydroxybenzaldehyde	Total Aromatic Aldehydes
Unreacted G1	0.27 ± 0.08	0.10 ± 0.01	0.38 ± 0.08
Ozonized G1	1.6 ± 0.1	3.2 ± 0.1	4.8 ± 0.1
Unreacted G2	0.28 ± 0.03	0.12 ± 0.03	0.41 ± 0.04
Ozonized G2	1.2 ± 0.1	3.6 ± 0.2	4.8 ± 0.1
Unreacted H1	0.087 ± 0.04	not detected (n.d.)	0.087 ± 0.04
Ozonized H1	0.12 ± 0.03	n.d.	0.12 ± 0.03
Unreacted H2	0.56 ± 0.04	n.d.	0.56 ± 0.04
Ozonized H2	0.68 ± 0.03	n.d.	0.68 ± 0.03

190 It is noteworthy that similar vanillin and 4HB yields are obtained with ozonolysis in acetic acid  
 191 solutions in the CSTR with contact times on the order of minutes.<sup>13</sup> As the feed flow rates in the  
 192 spray reactor (50 – 125 mL/min) are several times greater than those reported for the CSTR  
 193 system (0.71 – 14.2 mL/min), the production rates in the spray reactor are accordingly between  
 194 one to two orders of magnitude greater than those achieved in the CSTR (SI Calc S2). Clearly, the  
 195 spray reactor intensifies the ozonolysis reaction by significantly alleviating liquid-phase ozone  
 196 starvation that exists in the CSTR.

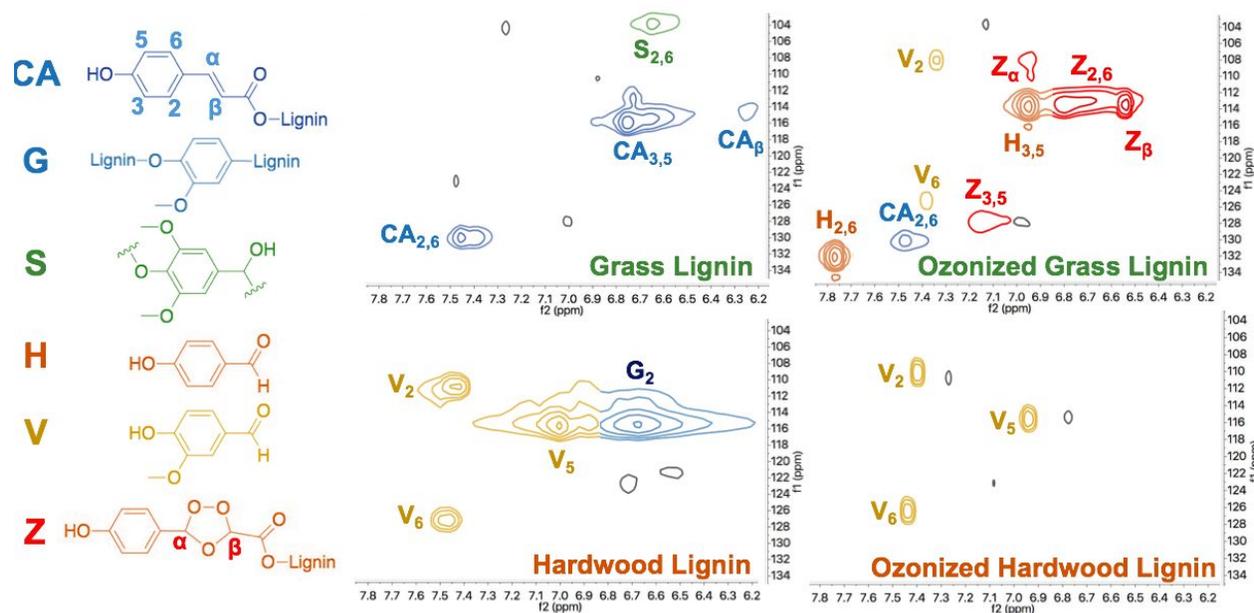
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198 Low molecular weight aromatics from the ozone-pretreated lignin mixtures were extracted using  
199 ethyl acetate and dried alongside the filtered HMW solids insoluble in ethyl acetate. The ozonized  
200 reaction mixtures as well as the LMW and HMW fractions were characterized via GC-FID (SI Figure  
201 S2, Table S2 and Table S3), and NMR analyses (SI Figures S3 – S6). GPC results (Figure 4) indicate  
202 that LMW extract species with peaks ranging from ~150 – 22k Da are separated after solvent  
203 extraction. Further, the molecular weight distribution of the ozonized HMW lignin solids isolated  
204 from filtration display only a slight shift compared to the lignin feed solution, commensurate with  
205 the separation of the LMW species. This suggests that the original lignin structure is largely  
206 preserved and available for further valorization via catalytic depolymerization.<sup>6,43</sup>



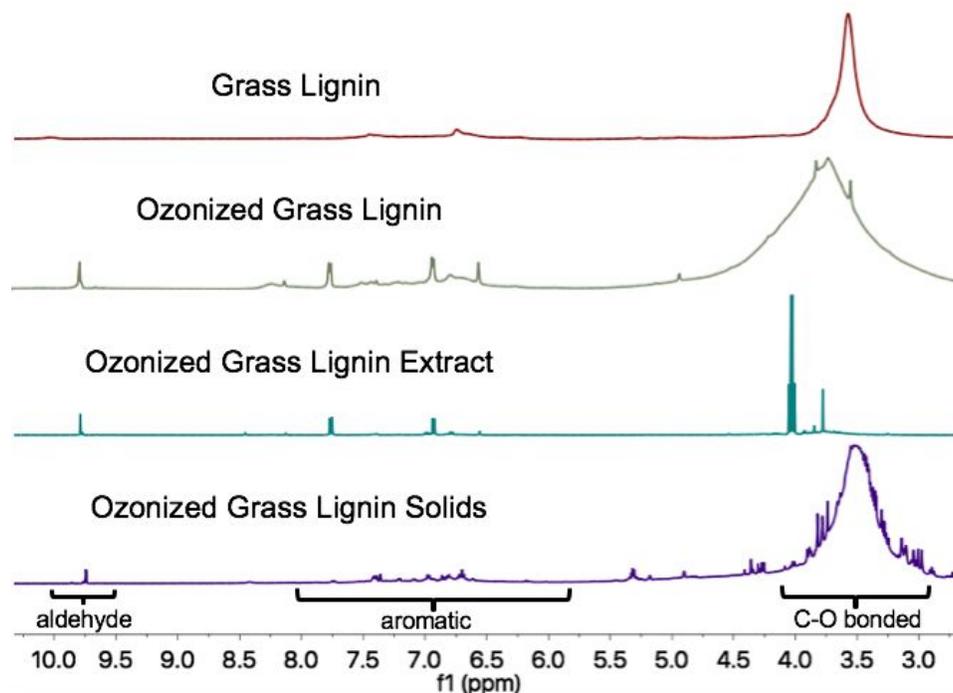
207 **Figure 4 - Gel permeation chromatograms of ozonized G1 lignin before and after fractionation**  
208 **highlighting a separation of low and high molecular weight species (operating conditions same**  
209 **as those in Table 1).**

210 After separation of the HMW solids following extraction, the dissolved low molecular weight  
211 extract may be more easily separated into monomers and oligomers for the further valorization  
212 of the extract.<sup>13,44</sup> The potential value of the vanillin and 4-hydroxybenzaldehyde thus recovered  
213 is conservatively estimated to be around \$2.50 per gallon of cellulosic ethanol produced (see SI  
214 Calc S3). The remaining ozone-pretreated lignin can also be further valorized to make renewable  
215 materials such as polymers.<sup>45</sup> These product streams thus have the potential to significantly  
216 improve the economics and viability of lignocellulosic (here 'cellulose-first') biorefineries.<sup>46</sup>



217 **Figure 5 -  $^{13}\text{C}$  (f1) and  $^1\text{H}$  (f2) HSQC 2D NMR of grass and hardwood lignins and their reacted**  
 218 **samples highlighting carbon-hydrogen bonded nuclei. Prominent peaks are identified for**  
 219 **pendant reactants and aldehyde products. Weak ozonide peaks indicate the intermediate in**  
 220 **solution (operating conditions are provided in Table 1).**  
 221

222 Evident in the NMR spectra of unreacted lignins are prominent guaiacolic and coumarate peaks  
 223 in hardwood and grass lignin samples, respectively (Figure 5). Ozone-pretreated lignins, LMW  
 224 extracts and filtered solids (HMW) were dried and dissolved in deuterated solvent for one and  
 225 two dimensional  $^1\text{H}/^{13}\text{C}$  heteronuclear single quantum coherence (HSQC) NMR analysis. While  
 226 the aldehyde products (H and V) are prominent in the spectra of the ozone-pretreated lignin  
 227 solution, minor coumarate and guaiacolic peaks remain in the dried solid products (Figures 5 &  
 228 6). While aromatic acid byproducts are not detectable by HSQC NMR, several peaks (labeled Z)  
 229 corresponding to the predicted chemical shifts for secondary ozonides are observed. These  
 230 observations are similar to those observed from CSTR ozonolysis reported recently.<sup>13</sup> Evident in  
 231 the 1D and 2D spectra of the LMW extracts were sharp peaks identifying the aldehydes, and the  
 232 retention of prominent carbon-oxygen bonded atoms in the fractioned lignin solids (Figure 6 and  
 233 SI Figures S3 and S4). This is consistent with the previous observation that the structure of the  
 234 original lignin is largely preserved following ozone pretreatment.

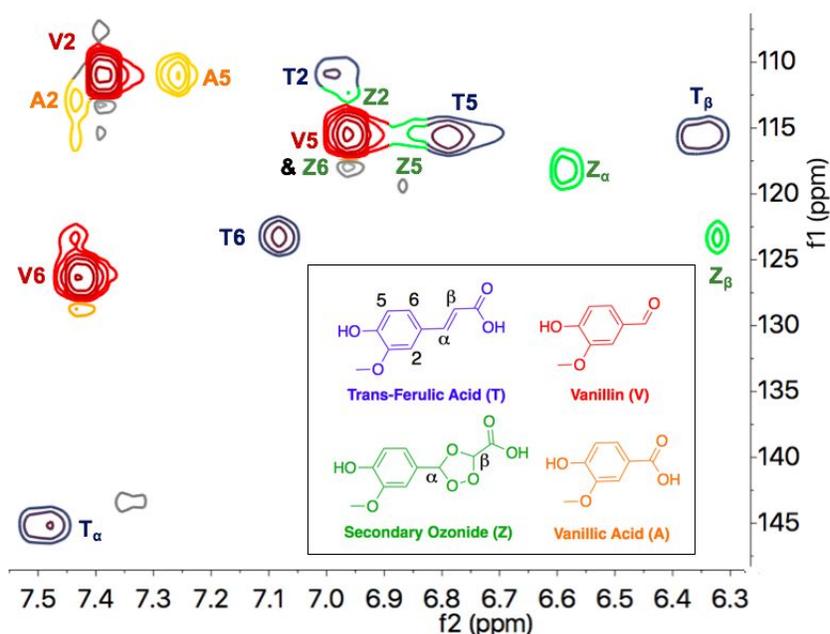


235 **Figure 6 – Comparison of <sup>1</sup>H-NMR of grass lignin before and after ozonation and fractionation**  
 236 **indicating the production of aldehydes and the solid fraction’s retention of C-O bond character**  
 237 **(operating conditions are provided in Table 1).**  
 238

### 239 *Insights into Ozonolysis Mechanism*

240 To better understand the underlying mechanism and low formation of the secondary ozonides,  
 241 *trans*-ferulic acid (TFA) was chosen as a model compound due to its prevalence in solubilized  
 242 grass lignin mixtures. Spray ozonolysis of TFA in acetic acid, at conditions identical to those  
 243 employed for grass lignins, afforded high vanillin yields with only a minor presence (< 0.1 wt. %)  
 244 of vanillic acid byproduct (Table 2). Even though both the feeds contain 1.0 wt.% substrate, the  
 245 concentration of pendant groups in lignin, which contain compounds similar to TFA, is relatively  
 246 small (~10 wt.%).<sup>10,11</sup> Hence, the yield of aromatics from TFA is an order of magnitude higher  
 247 compared to the observed yield from lignin. As secondary ozonides are often difficult to isolate  
 248 for analysis, reaction mixture from TFA ozonolysis was first analyzed by HSQC NMR spectroscopy  
 249 in DMSO to help identify and quantify ozonide peaks (Figure 7).<sup>17</sup> Guided by this information,  
 250 spectra from grass lignin were evaluated to identify ozonide peaks that typically occur at  
 251 concentrations lower than the aromatic aldehyde products (see SI, Figure S7 and Table S4). The  
 252 dominance of the aldehyde products and the lack of corresponding acids in stoichiometrically

253 equivalent amounts suggest that the lignin solvent (acetic acid) reacts with the Criegee  
 254 Intermediate following the Dussault pathway preventing the formation of secondary ozonides.<sup>30</sup>



255 **Figure 7 – <sup>13</sup>C (f1) and <sup>1</sup>H(f2) 2D HSQC NMR of the reaction mixture of ozonized *trans*-ferulic**  
 256 **acid highlighting the vanillin product (red) and minor components of unreacted *trans*-ferulic**  
 257 **acid (blue), secondary ozonide intermediate (green), and vanillic acid byproduct (orange).**  
 258 **Operating conditions are the same at those in Table 1 for 1.0 wt. % solutions of TFA.**

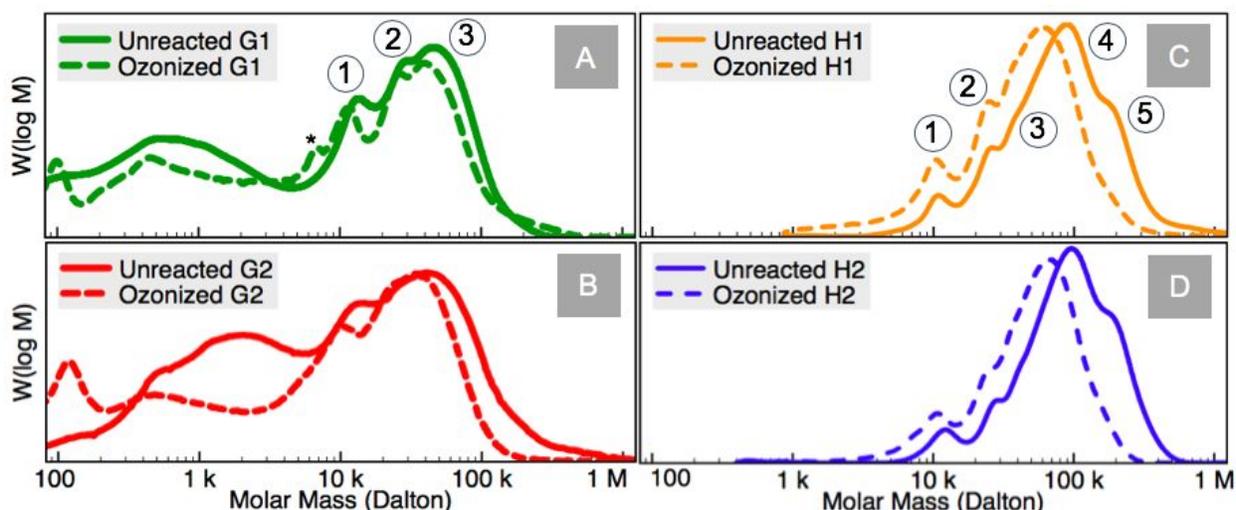
260 **Table 2 – Comparison of product yields before and after ozonolysis of 1.0 wt. % solutions of**  
 261 ***trans*-ferulic acid and lignins in acetic acid with all other operating conditions the same as those**  
 262 **provided in Table 1)**

#### Aromatic Yields (wt. %)

Sample (in Acetic Acid)	Vanillin	Vanillic acid	4-hydroxy benzaldehyde	4-hydroxy benzoic acid	Total Aromatics
TFA	8.2 ± 0.5	2.2 ± 0.7	not detected (n.d.)	n.d.	10 ± 1
Ozonized TFA	79 ± 7	12 ± 2	n.d.	n.d.	91 ± 7
G1 Lignin	0.34 ± 0.04	0.02 ± 0.01	0.19 ± 0.05	0.04 ± 0.01	0.59 ± 0.07
Ozonized G1 Lignin	1.7 ± 0.2	0.04 ± 0.01	3.4 ± 0.1	0.10 ± 0.02	5.2 ± 0.1
H1 Lignin	0.12 ± 0.02	0.06 ± 0.01	n.d.	n.d.	0.18 ± 0.02
Ozonized H1 Lignin	0.16 ± 0.03	0.07 ± 0.02	n.d.	n.d.	0.23 ± 0.04

264 We also performed parametric variation studies to understand the effects of the liquid feed flow  
 265 rates and ozone on the product yields. At a fixed feed flow rate (75 mL/min), increasing the  
 266 ozone concentration from 2.5 to 5.0 mol. % in air results in a notable downward shift in the ~100  
 267 kDa peak to ~50 kDa in the case of grass lignin G1 (SI Figure S8). A further increase in the ozone  
 268 concentration to 7.5 mol. % also results in the oxidation of the desirable aldehydes as evident  
 269 from GC-FID data (SI Table S5). At low ozone concentrations (2.0 mol. % in air), decreasing the  
 270 flowrate from 125 mL/min to 50 mL/min affords higher aldehyde yields (SI Figure S9 and Table  
 271 S6), as a result of increased residence times. Clearly, there exist optimum combinations of ozone  
 272 concentration and feed flow rates at which the formation of the desired aldehydes is maximized  
 273 while also preserving the lignin structure. Systematic optimization studies are essential for  
 274 rational process design and scaleup.

275



276 **Figure 8A-D – Gel permeation chromatograms of grass and hardwood lignins before and after**  
 277 **ozonolysis with the peaks >10 kDa labeled (conditions provided in Table 1).**

278

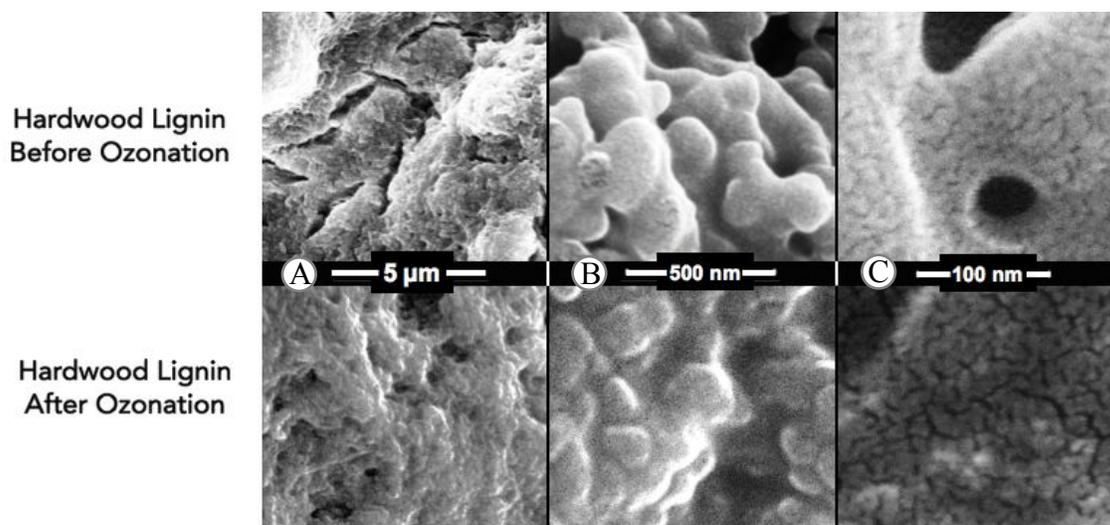
### 279 ***The Effect of Ozonolysis on Lignin Macromolecules***

280 The GPC spectra reveal a decrease in the molar mass of the constituent lignin species after  
 281 ozonolysis (Figure 8, SI Table S7). Gel permeation chromatography of representative grass (G1 &  
 282 G2) and hardwood (H1 & H2) lignins are compared in Figures 8A-D respectively. Peak 1 for  
 283 unreacted lignin samples represents lignin unit structures or ‘globules’.<sup>47,48</sup> G1 samples exhibit a  
 284 new peak (starred in Figure 8A) with a corresponding mass of ~6,900 Da indicative of

285 deconstructed lignin unit.<sup>49,50</sup> Hardwood lignin (H1 and H2) peaks shift less than those for grass  
286 lignins due to the absence of pendant alkenes. We envision that while ozone is consumed by the  
287 alkenes in grass lignin solutions, the paucity of such alkenes in the hardwood lignin samples  
288 allows ozone to attack other bonds, leading to a more drastic deconstruction of the larger lignin  
289 species as observed with peak 4 (~100 kDa) in hardwood samples, resulting in a ~30 kDa  
290 downward shift. The relatively mild shift in peak 1 in G1 lignin from 13.6 kDa to 11.8 kDa following  
291 ozonolysis is attributed to the liberation of pendant groups from a globular unit of lignin  
292 (intraunit scission),<sup>51,52</sup> while the shifts in the higher molecular mass lignin components in  
293 hardwoods (peaks 4 & 5) following ozonolysis can be explained by scission involving a linkage  
294 between two lignin units (interunit scission) as well as intraunit scissions. This conceptualization  
295 is based on work reported on actual lignin and polymerized model compounds demonstrating  
296 that lignin forms globular units which chemically join to form its diverse fractal and irregular  
297 structures.<sup>53–55,56</sup> It is interesting to note that magnitude of peak 1 in unreacted grass lignins is  
298 approximately 20% greater than peak 1 in hardwood species which may be due to both the  
299 prevalence of pendant groups, and differences in the abundance of monolignol components.<sup>57,58</sup>

300  
301 While the ozone-pretreated lignin do undergo discernable structural changes, the foregoing GPC  
302 results are consistent with the NMR results that show that the lignin structure is largely  
303 preserved. Scanning electron micrographs of H1 and G2 lignin before and after ozonolysis also  
304 confirm this conclusion by revealing agglomerated and coalesced spheroidal structures (Figure 9,  
305 SI Figure S10). The structure of the ozonized lignin is similar to the unreacted lignin in that the  
306 assembly of smaller spheroidal structures into a macrostructure is still preserved (Figure 9A). At  
307 increasing magnification, the lignin appears to be composed of ~250 nm globular structures  
308 (Figure 9B). Higher resolution SEM images reveal cracks within these globular structures and still  
309 smaller (~10 nm diameter) globular species suggesting that the molecular weight distribution  
310 revealed by the GPC is a reflection of assemblies of the smaller components observed via GPC  
311 (Figure 9C).<sup>47,48</sup> While these SEM images may not reveal the smallest modular unit, the  
312 hierarchical nature of the assemblies observed from the images strongly suggest the prevalence  
313 of building blocks that organize into diverse structures.

314



**Figure 9A-C: SEM of unreacted and ozonized H1 hardwood lignin demonstrating that mild ozone leaves pretreatment leaves behind morphologically similar lignin globule structures.**

315

### 316 Conclusions

317 We demonstrate that intensified ozone pretreatment in a spray reactor at ambient temperatures  
318 and pressures can be an effective tool to partially deconstruct grass and hardwood lignins safely  
319 and efficiently. When acid dissolved lignins are sprayed into an ozone-laden air stream, the ozone  
320 penetrates the droplets to selectively cleave carbon-carbon double bonds associated with  
321 specific pendant groups in the grass lignins and structural linkages in hardwood samples. The  
322 products from the spray ozonolysis of grass lignin, vanillin and 4-hydroxybenzaldehyde,  
323 constitute approximately 5.0 wt. % of the grass lignin may be easily extracted for further  
324 processing. Significantly, the productivity of these species is enhanced by up to an order of  
325 magnitude compared to ozonolysis in a CSTR at similar conditions. In contrast, the yield of the  
326 aldehyde monomers is low (~1 wt. %) when processing hardwood lignins, highlighting a major  
327 structural difference between these two lignins. The lack of abundant secondary ozonide  
328 intermediates and the formation of aromatic aldehyde monomers as the main products suggest  
329 that the acid solvents participate in the reaction, interrupting the commonly accepted Criegee  
330 mechanism. This hypothesis was verified by performing ozonolysis on *trans*-ferulic acid, a model  
331 compound present in the lignin matrix. Furthermore, gel permeation chromatography and  
332 scanning electron microscopy results reveal that the ozone-pretreated lignin is deconstructed at

333 the micron and nanoscales while preserving modular components that make up the complex  
334 lignin structure.

335  
336 Abbreviations: 4HB, 4-hydroxybenzaldehyde; 4HBA, 4-hydroxybenzoic acid; ADM, Archer Daniels  
337 Midland Company; CSTR, continuous stirred tank reactor; Da, Dalton; DMSO, dimethylsulfoxide;  
338 G1/G2 grass lignin samples; GC, gas chromatography; GPC, gel permeation chromatography;  
339 H1/H2 hardwood lignin samples; HSQC NMR, heteronuclear single quantum correlation nuclear  
340 magnetic resonance spectroscopy; kDa, kilodalton; LMW, low molecular weight; SEM, scanning  
341 electron microscopy; TCI, TCI Chemicals America; TFA, *trans*-ferulic acid.

342

### 343 **Author Contributions**

344 BS and JS planned experiments and designed the spray reactor. AD and JS designed analytical  
345 methods and experiments. JS built the spray reactor and ran experiments. All authors contributed  
346 to writing and editing the manuscript.

347

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356

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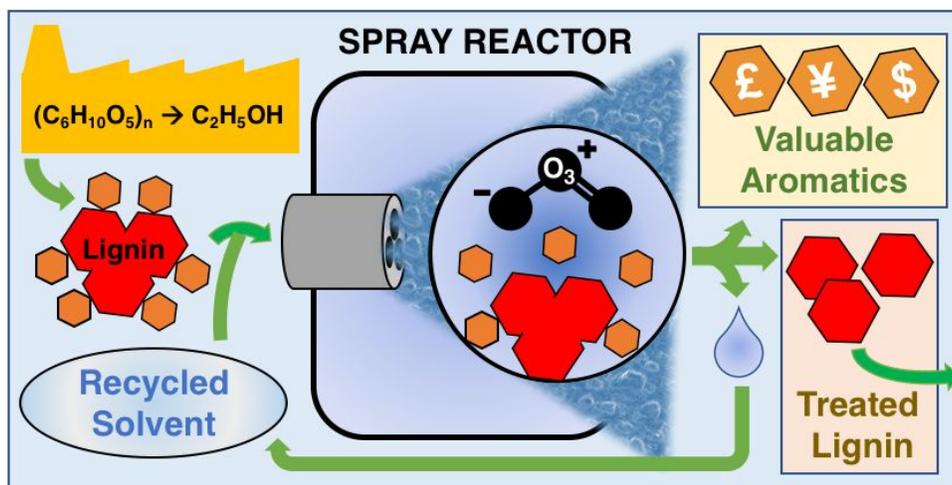
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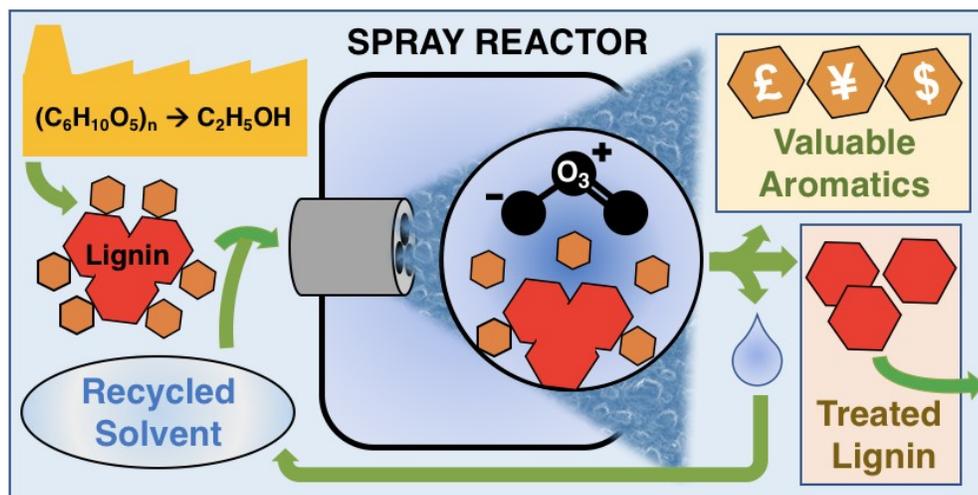
501 **Table of Contents Image:**



502 **Synopsis**

503 A safe and efficient spray ozonolysis to valorize grass and hardwood lignins, selectively yielding  
504 aromatic aldehydes without their overoxidation or lignin bleaching.

## Table of Contents Entry

**Synopsis**

A facile spray ozonolysis pretreatment to valorize grass lignins, selectively yielding aromatic aldehydes at high throughputs without lignin bleaching.