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Complete List of Authors:	Ma, Xiaoshuang; South China University of Technology, Guangzhou Key Laboratory for Surface Chemistry of Energy Materials and New Energy Research Institute, School of Environment and Energy Tang, Zhenghua; South China University of Technology, School of Environment and Energy Qin, Lubing; South China University of Technology Peng, Jin; South China University of Technology Li, Liqui; South China University of Technology, Chen, Shaowei; University of California, Department of Chemistry and Biochemistry



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Unravelling the Formation Mechanism of Alkynyl Protected Gold Clusters: A Case Study of Phenylacetylene Stabilized Au₁₄₄ Molecules

Xiaoshuang Ma,^a Zhenghua Tang,^{*a,b} Lubing Qin,^a Jin Peng,^a Ligui Li,^a and Shaowei Chen^{*a,c}

Despite recent progress in the preparation of alkynyl protected Au clusters with molecular purity (e. g., $Na[Au_{25}(C=CAr)_{18}, Ar= 3, 5-(CF_3)_2C_6H_3^-, Au_{36}(C=CPh)_{24}, Au_{44}(C=CPh)_{28}, and Au_{144}(C=CAr)_{60}, Ar= 2-F-C_6H_4^-)$, the formation mechanism still remains elusive. Herein, a new molecule-like alkynyl Au cluster was successfully prepared, and its formula was determined as $Au_{144}(PA)_{60}$ (PA = PhC=C-, phenylacetylene). In the formation of $Au_{144}(PA)_{60}$, the introduction of ethanol in post-synthetic treatment to manipulate the aggregation state of the precursor was found to play a critical role to produce the Au144 clusters. During the $Au_{144}(PA)_{60}$ formation process, the contents of PA, (PA)₂ and (PA)₄ were monitored by absorbance and gas chromatography-mass spectrometry (GC-MS), and it discloses that $Au_{144}(PA)_{60}$ molecules were generated in sync with (PA)₄. Finally, the formation mechanism of $Au_{144}(PA)_{60}$ molecules has been tentatively proposed, of which three major stages are involved. This study can shed light on the formation mechanism that may be exploited for the precise control of the synthesis of alkynyl protected coinage metal clusters.

Introduction

Molecular Au clusters protected by organic capping layer exhibit unique optical, electronic, and magnetic properties,¹⁻¹² and can find applications in diverse fields, such as catalysis,¹³⁻¹⁶ medicine,^{17, 18} surface patterning^{19, 20} and optoelectronics²¹⁻²³. In prior research, Au clusters were mostly protected by phosphines and thiolates. More recently, acetylene derivatives have emerged as a new capping ligand for nanoparticle surface functionalization. Unlike the phosphine and thiolate ligands, the -C=C- group of an alkynyl ligand can function as both an σ donor and a π donor when coordinated to a metal core, which imparts significantly different physical, chemical and electronic properties to the Au clusters,^{24, 25} as compared to the phosphine and thiolate counterparts. For instance, Tsukuda *et al.* prepared a series of organogold clusters protected by phenylacetylene (PA), including Au₅₄(PA)₂₆, Au₉₄(PA)₃₈, and $Au_{110}(PA)_{40}$ in Au:(C=CPh) mixture clusters,²⁶ and subsequently investigated the bonding motif between the teminal alkynes and Au clusters.²⁷ In another study, Kobayashi et al. reported the crystal structure of $[Au_8(dppp)_4(C=CR)_2]^{2+}$ (dppp = 1, 3-bis(diphenyphosphino)propane), the first phosphine-coordinated molecular Au clusters having alkynyl substituents.²⁸ Later on, Wang and coworkers determined the crystal structure of alkynyl protected Au₃₆(C=CPh)₂₄ and Au₄₄(PhC=C)₂₈ clusters,²⁹ which was the first report on homoleptic alkynyl protected Au clusters with atomic precision. Lei et al. subsequently reported the progress with $Au_{144}(C=CAr)_{60}$ (Ar = 2-F-C₆H₄-).³⁰ Note that it represents the largest molecular alkynyl Au cluster ever documented so far. Recently, the total structure of the long-pursued alkynyl-protected Au₂₅ clusters $(Na[Au_{25}(C=CAr)_{18}], Ar = 3, 5-(CF_3)_2C_6H_3)$ has been successfully determined,³¹ which delivers a stong message that there is a similar but quite different parallel alkynyl-protected metal cluster universe in comparison to the thiolated ones.

Notably, the family of homoleptic alkynyl protected Au clusters remains very limited, which include only $Au_{25}L_{18}$, $Au_{36}L_{24}$, $Au_{44}L_{28}$, $Au_{54}L_{26}$ and $Au_{144}L_{60}$ (L= -C=CR). One main reason is that the widely employed Brust-Schiffrin synthesis^{32, 33} and ligand exchange or etching approach^{6, 34} are effective for preparing thiolate capped molecular Au clusters but can not be directly adopted for the preparation of the alkynyl counterparts. In addition, despite the success of the production of molecular alkynyl Au clusters, ^{29, 30, 35-40} there is a lack of fundamental understanding regarding the formation process. Note that in the classic $Au_{25}(SC_2H_4Ph)_{18}$

^{a.}Guangzhou Key Laboratory for Surface Chemistry of Energy Materials and New Energy Research Institute, School of Environment and Energy, South China University of Technology, Guangzhou Higher Education Mega Center, Guangzhou, Guangdong, 510006, P. R. China. E-mail: zhht@scut.edu.cn.

^{b.} Guangdong Engineering and Technology Research Center for Surface Chemistry of Energy Materials, School of Environment and Energy, South China University of Technology, Guangzhou Higher Education Mega Centre, Guangzhou, Guangdong, 510006, P. R. China.

^{c.} Department of Chemistry and Biochemistry, University of California, 1156 High Street, Santa Cruz, California, 95064, United States. E-mail: shaowei@ucsc.edu.

⁺ Electronic supplementary information (ESI) available: Experimental details, supporting figures, tables, and CCDC 1904135 for (PA)₄. For ESI and crystallographic data in CIF or other electronic format see 10.1039/x0xx00000x.

synthesis, the aggregation state of Au(I): SR intermediate is found to play a crucial role in the formation of Au₂₅(SC₂H₄Ph)₁₈ at high yields.⁴¹ Then, will the composition and aggregation state of the Au(I)-alkynyl complex precursor impact the synthesis of molecular alkynyl Au clusters, too? How can one manipulate these? What is the exact formation pathway? These questions form the aim and motivation of the current investigation.

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Herein, we report the synthesis of molecular PA-capped Au144 clusters. Thiolate Au144 cluster was first captured by Whetten group using laser desorption ionization mass spectrometry (LDI-MS) in 1996.42, 43 Jin group conducted its high-yield exclusive synthesis, and its precise composition was determined as Au₁₄₄(SR)₆₀ by using electrospray mass spectrometry (ESI-MS) in 2009.44 For more than two decades, the precise structural information of Au144 clusters were missing. As mentioned in the above paragraph, in 2018, Wang and co-workers reported the total structure of the first alkynylprotected $Au_{144}(C \equiv CAr)_{60}$ (Ar= 2-F-C₆H₄⁻) cluster, which consists of a Au_{54} two-shelled Mackay icosahedron enclosed by a Au_{60} anti-Mackay icosahedron shell.³⁰ Recently, Wu's study regarding the atomic structure of thiolate protected Au144 cluster also showed the similar Au core architeture.⁴⁵ In this study, the PA-capped Au clusters were prepared, of which the formula is determined as $Au_{144}(PA)_{60}$ by ESI-MS. In the formation of $Au_{144}(PA)_{60}$ clusters, it was found that the introduction of ethanol in post-synthetic treatment to form a special flower-like precursor (denoted as (Au- $PA)_f$ hereafter) is critical for yielding Au144 clusters. During the Au₁₄₄(PA)₆₀ formation process, the contents of PA, (PA)₂ and (PA)₄ were monitored by absorbance and GC-MS, and Au₁₄₄(PA)₆₀ molecules were produced in sync with (PA)₄. Finally, the formation mechanism of Au₁₄₄(PA)₆₀ clusters has been tentatively proposed, of which three major stages are involved.

Results and discussion

Characterization of the (Au-PA)_f precursor

The specific Au-PA precursor was first prepared by following the previously documented protocol with some modifications (More discussions are in the section of characterization of the precursor), and the detailed synthetic route is illustrated in Scheme S1. In a previous study,²⁹ Wang group used acetone as solvent to prepare Au-PA precursor for the eventual generation of molecular Au₄₄(PA)₂₈ and Au₃₆(PA)₂₄ clusters. Since solvent can affect the aggregation state of the Au-PA precursor which likely impacts the size of the final Au clusters, in this work ethanol was introduced in the post-synthetic treatment to produce the specific (Au-PA)_F precursor (see Supporting Information for more details). In comparison with acetone, ethanol possesses higher surface tension and polarity, which can be employed as a desolvation solvent to manipulate the aggregation state of the precursor.

SEM measurement was first performed to observe the surface morphology of the $(Au-PA)_f$ precursor. Figure 1 presents the typical SEM images of the $(Au-PA)_f$ precursor in comparison to the reported Au-PA precursor. As illustrated in Figure 1a and 1b, randomly dispersed amorphous sheet-like structure with various sizes can be easily identified for Au-PA, while in sharp contrast, well-defined flowers are evenly distributed for $(Au-PA)_f$, and each flower possessed a diameter of approximately 20-25 μ m (Figure 1c). Interestingly, the homogeneous flowers consisted of plenty of regular needle-like petals (Figure 1d). Such huge morphological difference between the two precursors attests that ethanol indeed impacted the aggregation state of the precursor profoundly.

Subsequently, power X-Ray diffraction measurement was conducted to examine the crystal structural difference between the Au-PA precursor and the (Au-PA)_f precursor. As shown in Figure 1e, for Au-PA, with 2θ values ranging from 10.0° to 45.0°, there are two broad peaks with 20 located at 14.63° and 29.99°, suggesting amorphous structure with complicated composition are probably obtained. However, for (Au-PA)_f, sharp peaks with strong signals can be easily identified. It might be due to that the introduction of ethanol could alter the desolvation effects of acetone through hydrogen bonding hence can lead to form some homogenous precursor with certain aggregation state. Specifically, when 20 value is below 20°, from the 20 at 11.81° and 17.65°, the interphase spacing of 7.48 Å, and 5.02 Å can be deduced. The presence of 20 at 23.59° and 26.26° is probably caused by the strong π - π interactions of the benzene rings in PA molecules, that is, there are two face-to-face stacking patterns with distance of 3.39 Å and 3.77 Å, respectively.⁴⁶ In addition, there are some other peaks with relatively low intensity in the 20 range from 27.63° to 44.24° (d=2.04 to 3.23 Å), which can be attributed to the Au-Au aurophilic interaction, $Au-C \equiv C$ bonding and some other possible inter-phase spacings.46

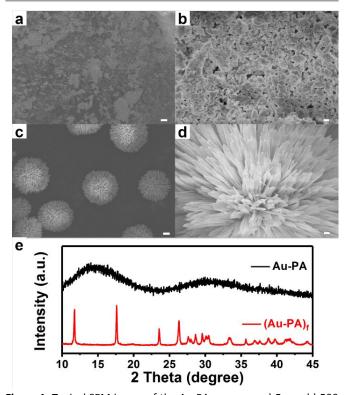


Figure 1. Typical SEM image of the Au-PA precursor a) 5 μ m, b) 500 nm and the (Au-PA)_f precursor c) 5 μ m, d) 500 nm, respectively. e) Powder XRD spectra of randomly aggregated Au-PA precursor (without EtOH) and the specially aggregated (Au-PA)_f precursor (with EtOH, this work).

Figure S1 shows the FTIR spectra of the (Au-PA)_f and Au-PA precursors.^{29, 35} One can see that the -C=C- stretching appeared at 2008 cm⁻¹ with Au-PA, but blue-shifted to 2054 cm⁻¹ with (Au-PA)_f. This suggests somewhat weakened π -bonding between metal d-orbitals and the phenylethynyl π_g -orbital in the latter that allowed for a faster growth and eventually generating larger size of the Au clusters.^{47, 48} Such finding is in good accordance with the fact that large-sized clusters of Au144 were acquired in this study.

Compositional determination of Au₁₄₄(PA)₆₀ molecules

To determine the composition of the purified Au clusters, the final product was analyzed by electrospray ionization mass spectrometry.⁴⁹ As presented in Figure 2a, its formula can be determined to be Au₁₄₄(PA)₆₀ (Cal: 34430.87 g/mol, Exp: 34430.00 g/mol), the mass peaks of 4+, 3+, and 2+ (in source ionization) observed. Thermogravimetric analysis (TGA) was then conducted (Figure 2b), where a total weight loss of 17.87 % was observed. From the Au: PA mass ratio, the formula of the Au cluster can be further confirmed as Au₁₄₄(PA)₆₀ (calculated Au: PA mass ratio 82.34: 17.66).

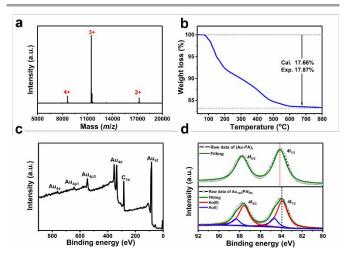


Figure 2. a) Electrospray ionization mass spectrometry (ESI-MS) characterization of Au₁₄₄(PA)₆₀. The peaks correspond to the 4+, 3+, and 2+ ion sets of Au₁₄₄(PA)₆₀. b) TGA curve of the Au₁₄₄(PA)₆₀ clusters. c) XPS survey scan spectra of the Au₁₄₄(PA)₆₀ clusters. d) Core-level XPS spectra of the Au 4f electrons in Au₁₄₄(PA)₆₀ and (Au-PA)_f. Black curves are experimental data, green curves show the fitting results. For the Au4f core-level XPS spectra of Au₁₄₄(PA)₆₀, red line denotes the deconvoluted Au(0) species, while blue line denotes the deconvoluted Au(1) species. The binding energy was calibrated based on C 1s peak at 284.6 eV.

The electronic structure of Au₁₄₄(PA)₆₀ was subsequently probed by X-ray photoelectron spectroscopic (XPS) measurements. The survey scan spectra of Au₁₄₄(PA)₆₀ is shown in Figure 2c, in which the key elements of Au and C can be identified. Figure 2d presents the core-level Au 4f spectra of Au₁₄₄(PA)₆₀ and (Au-PA)_f. It can be noted that the binding energy of the Au 4f_{7/2} electrons in Au₁₄₄(PA)₆₀ is 83.9 eV, which is in the intermediate between the documented Au(0) and Au(I) values;^{50, 51} and this energy is somewhat lower (*ca*. 0.21 eV) than that in (Au-PA)_f, consistent with the formation of a packed gold core. In addition, based on the integrated peak area, the Au: C atomic ratio is estimated to be 1: 3.3 (Table S1), in good agreement with the theoretical value of 1: 3.1. Furthermore, the Au(I): Au(0) atomic ratio was *ca*. 1: 3.9, consistent with the value of 1: 3.8 observed with $Au_{144}(PA)_{60}$ (Table S2). Taken together, these results indicate that $Au_{144}(PA)_{60}$ and $Au_{144}(C=CAr)_{60}$ (Ar= 2-F-C₆H₄⁻) most likely adopted the same gold core scaffold.

Fourier-transform infrared (FTIR) measurements were then performed to obtain structural insights into the metal-ligand interfacial bonds in Au₁₄₄(PA)₆₀. From Figure S2, the absence of the \equiv C–H vibrational band indicates the direct bonding between the alkynyl carbon and the gold core in Au₁₄₄(PA)₆₀. Furthermore, the -C \equiv C- stretching of PA (2110 cm⁻¹)^{52, 53} is found to red-shift to 2028 cm⁻¹ in Au₁₄₄(PA)₆₀, mostly because when the alkynyl carbon was bound covalently to gold atoms, the -C \equiv C- bond was weakened by electron transfer from the Au core to the π^* orbital of the acetylene moiety.^{54, 55}

UV-visible absorbance of the Au₁₄₄(PA)₆₀ clusters

Figure 3 shows the UV-visible absorption spectrum of the Au₁₄₄(PA)₆₀ clusters, which features an exponential-decay profile, along with four discrete peaks located at *ca*. 399 nm (3.11 eV), 452 nm (2.74 eV), 542 nm (2.29 eV), and 631 nm (1.97 eV). Note that whereas the spectral characteristics of Au₁₄₄(PA)₆₀ are significantly different from that of Au₁₄₄(SR)₆₀,^{44, 45} suggesting a strong ligand effect on the optical property between thiolate ligand and alkynyl ligand. Moreover, compared with Au₁₄₄(C≡CAr)₆₀ (Ar= 2-F-C₆H₄-),³⁰ the absorbance profile of Au₁₄₄(PA)₆₀ also shows some silght differences (e. g. 560 nm and 620 nm for Au₁₄₄(C≡CAr)₆₀ vs. 542 nm and 631 nm for Au₁₄₄(PA)₆₀), implying that the *m*-position F in the phenyl ring of the ligand holds an discernible perturbation on the absorbance of the Au144 clusters.

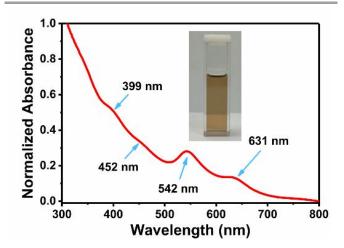


Figure 3. Absorbance spectrum of the $Au_{144}(PA)_{60}$ clusters in CH_2Cl_2 (Inset shows the as-purified Au cluster solution).

The in-situ monitoring of the content change of PA, $(\mathsf{PA})_2,$ and $(\mathsf{PA})_4$

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Given the complexity of the Au(I)-alkynyl precursor, the unpredictability to manipulate the reduction process and the lack of in-situ advanced characterization tools, it has been mostly a black box regarding the formation mechanism of the emerging alkynyl protected Au clusters. Thus, it is of fundamental interest to study the reaction kinetics from the $(Au-PA)_f$ precursor to $Au_{144}(PA)_{60}$. Upon the addition of NaBH₄, the reaction mixture was monitored by capillary gas chromatography-mass spectrometry (GC-MS) at different time intervals. As shown in Figure 4a, two peaks can be observed at retention time of ca. 4 min and ca. 21 min. They were determined as PA and (PA)₂ by MS measurement (Figure 4b), respectively. Monomeric PA remained visible for up to 4 h after the addition of NaBH₄, but vanished at 12 h. Additional PA ligands were added into the solution at this time, and after additional 24 h (36 h in total), the amount of PA can be seen to decrease (Figure 4a). Meanwhile, the amount of $(PA)_2$ increased in the first 12 h; however, after the addition of more PA ligands at 12 h, the amount of (PA)₂ decreased gradually. This is caused by the formation of (PA)₄ (more discussion below, Figure 4c).

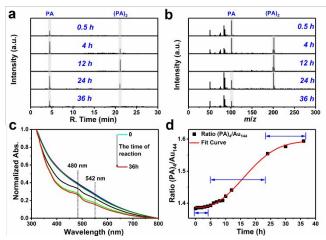


Figure 4. a) Gas chromatography vs. time regarding the content change of PA and (PA)₂ at different time (0.5 h, 8 h, 12 h, 24 h, 36 h) during the formation of the $Au_{144}(PA)_{60}$ clusters. b) Corresponding mass spectrum of PA and (PA)₂ at different time. c) The absorbance change acquired after the addition of NaBH₄ at different time intervals (0 h, 0.5 h, 1 h, 2 h, 3 h, 4 h, 5 h, 6 h, 7 h, 8 h, 12 h, 24 h, and 36 h). An aliquot of the reaction mixture in methylene chloride phase was diluted to the proper absorbance range for each measurement. The spectra were normalized at 320 nm. d) Time-dependent absorbance change (Ratio (PA)₄/Au₁₄₄) of (PA)₄ (480 nm) relative to Au₁₄₄ (542 nm).

The absorbance change of the reaction mixture was simultaneously monitored, as shown in Figure 4c. For up to 36 h, the absorbance peak at 480 nm became gradually intensified, which was accompanied with the enhancement of the signal at 542 nm (the fingerprint absorbance peak of $Au_{144}(PA)_{60}$). Figure S3a presents the absorbance spectra of $(PA)_4$, where a broad peak at 480 nm can be easily recognized. Furthermore, the crystal structure of $(PA)_4$ can be found in Figure S3b, where the detailed structural parameters for $(PA)_4$ are summarized in Table S3. Interestingly, $(PA)_4$ holds a cumulene structure, and such cumulene skeleton was

first revealed in 1994.⁵⁶ Cumulene is an important intermediate in the organic synthetic regime, and it can be prepared in the presence of metal catalysts.57 Note that, it is the first-ever observation that cumulene as byproduct was produced during the formation of alkynyl Au clusters. Using the absorbance values at 480 nm and 542 nm as the metric of $(PA)_4$ and $Au_{144}(PA)_{60}$, the relative (PA)₄-to-Au₁₄₄(PA)₆₀ ratio can be approximately quantified (Figure 4c). Basically, there are roughly three periods (Figure 4d). In the initial 4 h, the molecular ratio of $(PA)_4$ -to-Au₁₄₄ $(PA)_{60}$ remained approximately constant (Figure S4a), as only a small amount of (PA)₄ and Au₁₄₄(PA)₆₀ were produced. In the second period (4 h - 24 h) (Figure S4b), this ratio increased sharply, indicating (PA)₄ and Au₁₄₄(PA)₆₀ emerged simutaneously and the amount of (PA)₄ and Au₁₄₄(PA)₆₀ increased concurrently. Finally, in the last 12 h, such ratio gradually became stable, suggesting a dynamic balance was eventually reached (Figure S4c). At 36 h, the amount of both (PA)₄ and $Au_{144}(PA)_{60}$ reached the miximal point and remained stable. Further extension of the reaction time would not genearte more $Au_{144}(PA)_{60}$ clusters, as the absorbance profile at 36 h and 48 h overlapped completely (Figure S5).

Proposed Au₁₄₄(PA)₆₀ formation mechanism

Based on the above results, a tentative mechanism from the (Au-PA)_f precursor to form $Au_{144}(PA)_{60}$ is proposed and illustrated in Scheme 1. It involves three major stages. Firstly, upon the addition of NaBH₄, polydisperse Au clusters protected by PA were formed with the release of free PA ligands. Such a process (Stage I) occurred in the first 4 h and can be summarized in Equation (1):

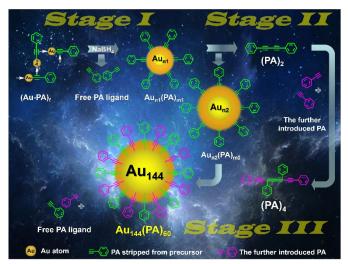
$$(Au - PA)_f \rightarrow Au_{n_1}(PA)_{m_1} + (PA)_{free}$$
(1)

Subsequently, free PA ligands formed $(PA)_2$ dimer, while the coresize of the Au clusters grew, as depicted in Equation (2):

$$Au_{n_1}(PA)_{m_1} + (PA)_{free} \rightarrow Au_{n_2}(PA)_{m_2} + (PA)_2 (n_2 > n_1, m_2 > m_1)$$
 (2)

This process occurred in the following 8 h (Stage II). At 12 h, the amount of $(PA)_2$ reached the maximal value while free PA ligands were totally exhausted, as observed in Figure 4a and 4b. Additional PA ligands were introduced at this time point, and in the following 24 h (Stage III), the $(PA)_4$ tetramer formed while the polydisperse Au clusters evolved into $Au_{144}(PA)_{60}$ molecules eventually. The amount of $(PA)_4$ significantly increased during this final stage, along with the maximal amount of molecular $Au_{144}(PA)_{60}$ clusters were generated. This process can be summarized in Equation (3):

$$Au_{n_2}(PA)_{m_2} + (PA)_2 \rightarrow Au_{144}(PA)_{60} + (PA)_4$$
 (3)



Scheme 1. The proposed formation mechanism of molecular $Au_{144}(PA)_{60}$ from the (Au-PA)_f precursor.

Conclusions

In conclusion, a new homoleptic alkynyl ligand PA protected molecular Au cluster formulated as Au₁₄₄(PA)₆₀ has been synthesized. Compared with Au₁₄₄(SR)₆₀ and Au₁₄₄(C≡CAr)₆₀, the structure of the PA ligand impacts strong perturbation to the electronic properties of the Au₁₄₄(PA)₆₀ molecules. During the synthesis, the introduction of ethanol in post-synthetic treatment is critical to form the desired (Au-PA)_f precursor which eventually yielded Au₁₄₄(PA)₆₀ clusters. Au₁₄₄(PA)₆₀ molecules were produced in sync with the PA tetramer (PA)₄. With the above combined results, the formation mechanism of Au₁₄₄(PA)₆₀ has been tentatively proposed. This study can shed light on the future rational design for preparing homoleptic alkynyl protected molecular coinage metal clusters.

Experimental Section

Synthesis of the (Au-PA)_f precursor

The (Au-PA)_f precursor was prepared according to a reported protocol with some modifications.³⁶ In a typical synthesis, Me₂SAuCl (120.0 mg, 0.41 mmol) and PhC=CH (66.3 µL, 0.61 mmol) was codissolved in acetone (15 mL) under ultrasonic treatment at room temperature (160 W, 40 kHz). After 10 mins, Et₃N (83.6 µL, 0.61 mmol) was added under stirring (1000 rpm). The reaction mixture was kept stirring at room temperature for 1 h in absence of light. After the reaction was complete, the volume of the mixture was evaporated to 5 mL and 50 times excess (250 mL) ethanol was added dropwise (at intervals of 30 mins, divided into four times, proportion of 1: 2: 2: 4) with slight stirring (200 rpm) to give light yellow solid (~ 80 mg), which was successively washed with ethanol (2 × 10 mL), water (2 × 10 mL), dry diethyl ether (3 × 10 mL) to remove PhC=CH and dimethyl sulfoxide.

Synthesis of Au₁₄₄(PA)₆₀ clusters

The $Au_{144}(PA)_{60}$ clusters were prepared by direct reduction of the (Au-PA)_f precursor with NaBH₄. Briefly, (Au-PA)_f (50.00 mg, 0.17

mmol) was dispersed in dichloromethane (20 mL) under ultrasonic treatment (160 W, 40 kHz) at room temperature. After 10 mins, a freshly prepared NaBH₄ (0.03 mmol in 1.0 mL of ethanol) solution was added dropwise (in 10 min) under stirring (800 rpm). The solution color changed from yellow to pale brown and finally to dark brown. The reaction mixture was kept stirring at room temperature overnight in absence of light. After 12 h, excess PhC=CH (200 μ L) and Et₃N (200 μ L) were added into the mixture and the reaction was aged for one day under ambient temperature. After that, the volume of the mixture was evaporated to 4 mL and 50 times excess (200 mL) n-hexane was added to give black solid, which was washed with excess n-hexane and collected by centrifugation. The crude products dissolved in 1 mL of CH₂Cl₂ were pipetted onto ten pieces of a preparative thin layer chromatography (PTLC) plate (10 cm by 20 cm), and the separation was conducted in a developing tank (solvent: CH₂Cl₂/n-hexane/Et₃N = 100: 20: 0.72, volume ratio) for ~10 mins. Then, the band of Au144(PA)60 in the PTLC plate was cut, and the nanoclusters were extracted with pure CH_2Cl_2 and then dried by rotary evaporation.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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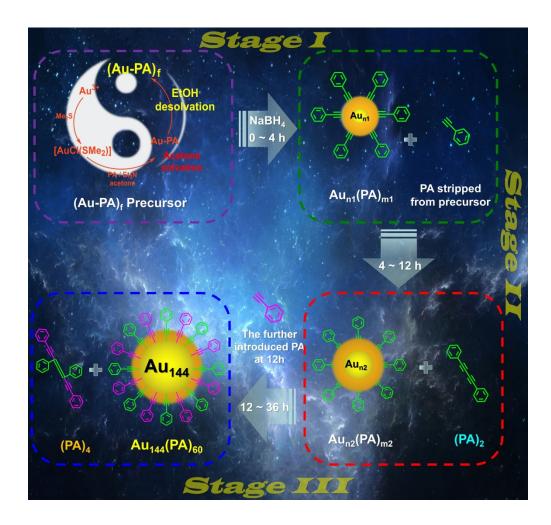
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