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Protein Coating Composition Targets Nanoparticles to Leaf Stomata and Trichomes

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23 ABSTRACT

Plant nanobiotechnology has the potential to revolutionize agriculture. However, the lack of 24 25 effective methods to deliver nanoparticles (NPs) to the precise locations in plants where they are needed impedes these technological innovations. Here, model gold nanoparticles (AuNP) were 26 coated with citrate, bovine serum albumin (BSA) as a protein control, or LM6-M, an antibody 27 with an affinity for functional groups unique to stomata on leaf surfaces to deliver the AuNPs to 28 stomata. One-month-old Vicia fava leaves were exposed via drop deposition to aqueous 29 suspensions of LM6-M-coated AuNPs and allowed to air dry. After rinsing, Au distribution on 30 the leaf surface was investigated by enhanced dark-field microscopy and x-ray fluorescence 31 mapping. While citrate-coated AuNPs randomly covered the plant leaves, LM6-M-AuNPs 32 33 strongly adhered to the stomata and remained on the leaf surface after rinsing, and BSA-AuNPs specifically targeted trichome hairs. To the authors' knowledge, this is the first report of active 34 targeting of live leaf structures using NPs coated with molecular recognition molecules. This 35 36 proof-of-concept study provides a strategy for future targeted nanopesticide delivery research.

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38 INTRODUCTION

By 2050, the global population is projected to be ~9.6 billion and associated global food demand to increase by 70%.¹ The protection of crops against plant disease has an undeniable role to play in meeting the growing demand for food. Plant pathogens reduce agricultural productivity by 20-40%, resulting in billions of dollars of annual losses.^{2,3} Globally, ~4 million tons of pesticides are applied each year,⁴ and as much as 99% of these applied pesticides do not reach their final target and are wasted.⁵ Thus, there is a critical need for innovative disease management solutions to improve the resiliency of U.S. agriculture.⁶

Nanotechnology has the potential to vastly improve crop disease management. Nanomaterials such as nanoparticles (NP) possess unique chemical and physical properties that can be leveraged for better disease management.^{7,8} NPs can be synthesized with sizes small enough to enter leaves and transport in phloem.^{9,10} There is mounting evidence of foliar application of NPs resulting in successful management of plant diseases.^{11,12} Finally, it has been demonstrated that designing NPs surface chemistry allows tuning NP-leaves interactions and uptake.^{10,13}

The ability to design NP surface properties has led to significant developments in the use of surface-functionalized nanoparticles as nanocarriers for targeted delivery in medical and biological research. A growing number of studies have demonstrated that "active targeting" of nanoscale drug carriers conjugated with cell-specific targeting ligands (e.g. antibodies, aptamers, peptides) can increase drug delivery to the desired site while decreasing unwanted delivery elsewhere.^{14,15} Recently, there has also been some interest in the use of NPs as delivery vehicles

into plants, though most have an emphasis on tuning coating to improve plant uptake.^(e.g.10,16-18)
Examples of organelle-specific targeting in live plants are generally limited to chloroplasts.¹⁹⁻²¹

Pathogen entry into host tissue is a critical first step leading to infection. Many plant pathogens are known to enter plants through natural openings (e.g. stomata, trichomes, hydathodes) or artificial openings (e.g. points of injury).^{22–24} While spraying uncoated NPs onto leaf surfaces results in a random distribution of NPs with low affinity to any particular leaf structure, targeting antimicrobial NPs directly to these disease entry points (e.g. stomatal guard cells, trichomes; **Fig. 1**) can increase the probability of contact between the pathogen and NP. This can potentially increase the efficacy of the pesticide at lower applied rates.



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Fig. 1 (A) Pathogens on a leaf surface can penetrate open stoma and trichomes, colonizing the apoplast and spreading to other parts of the plant. (B) NPs can potentially be targeted directly to specific guard cell wall or trichome-based chemical moieties to efficiently prevent pathogen entry.

Plant leaves are covered with a lipophilic waxy layer (cuticle) 0.1-10 μm thick,²⁵ but this
layer can be thinner at the base of trichomes²⁶ and on the surface of guard cells and is absent on
the stomatal opening.²⁷ Though the exact chemical composition of guard cells varies between
plant species, plant cell walls are generally pectin-rich.^{28–30} Using FTIR, Jones et al. identified
that guard cells of *Vicia faba* are enriched in phenolic esters of pectin compared to the

surrounding epidermal cells, which had a higher unesterified pectin content.³¹ In particular, they identified arabinose sugar content in the stomata as being particularly high. Recently, Cornuault et al. have designed a monoclonal antibody with high avidity to pectic α -1,5-arabinan,³² which have been fluorescently tagged to image stomatal guard cell walls in fixed tissue.^{33,34} Similarly to how monoclonal antibodies have been used as targeting ligands in medicine, we hypothesize that these antibodies coated onto a NP surface could provide targeted affinity to stomata on live plants.

Overall, the goal of this study was to demonstrate targeted delivery of NPs to stomata onto 85 live plants. Gold nanoparticles were coated with either LM6-M, a biomolecule with affinity for 86 α -1,5-arabinan (a chemical moiety found on stomatal guard cells) or bovine serum albumin 87 (BSA) as a model protein standard chosen for it high stability and amphiphilicity,^{35,36} but without 88 specific affinity for stomata. V. faba leaves were exposed via drop deposition, and NP 89 distribution was evaluated using darkfield imaging and synchrotron X-ray fluorescence mapping 90 91 (XFM) on fresh plant tissue. To the authors' knowledge, this is the first reported active targeting 92 of NPs onto live plants by coating NPs with molecular recognition molecules.

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94 MATERIALS AND METHODS

95 **Materials:** Citrate-reduced AuNPs were synthesized by the Center for the Environmental 96 Implications of Nanotechnology (CEINT) using established methods ³⁷. AuNPs were chosen as 97 a model NP for the absence of Au background in plant tissue and the ease of coating its metallic 98 surface. Anti-pectic polysaccharide (α -1,5-arabinan) antibody (LM6-M) was purchased from 99 Kerafast (Boston, MA); details regarding isolation and characterization of this rat IgM

monoclonal antibody can be found in Cornuault et al.³² Bovine Serum Albumin (BSA), a model
protein, was purchased from Sigma-Aldrich (St. Louis, MO).

102 **Coating Attachment Protocol:** The LM6-M antibody and BSA protein were attached to the 103 AuNP via physisorption.^{38,39} The antibody solution was combined with cit-AuNP solution (200 104 mg/L) in a 1:1 (v/v) ratio, and the BSA solution in a 5:1 (v/v) ratio ³⁵. Both solutions were mixed 105 in the dark for 48 h before being centrifuged at 10,000 rpm (11,000 x *g*) for 20 min, supernatant 106 decanted, and resuspended in DI water twice to remove excess protein/antibody (method adapted 107 from Oliveira et al⁴⁰). Exposure solutions had a final Au concentration of ~100 mg-Au/L. pH of 108 the final solution was circumneutral.

Nanoparticle Characterization: All AuNP characterization was performed in the exposure solution. Electrophoretic mobility and number-weighted hydrodynamic diameter was measured using a Nano Zetasizer (Malvern Instruments, Westborough, MA). UV-Vis spectra were measured using Cary Series UV-Vis-NIR spectrophotometer (Agilent, Santa Clara, CA). The primary particle size distribution was characterized by transmission electron microscopy (TEM; JEOL JEM-2000EX operating at 200 keV).

Plant Growth and Exposure: Broad bean (*Vicia faba* cv. Windsor) seeds were obtained from Jonny's Selected Seeds (Winslow, ME). *V. faba* was chosen because it is a commonly used model plant in stomatal studies and therefore is well characterized. Seeds were surface sterilized with 10% (w/v) bleach (VWR Analytical) for 10 minutes and then thoroughly rinsed with DI water three times. Seeds were germinated in a DI-water moistened paper towel and germinated in the dark for 10 days. The seedlings were then planted in glass beakers with silica sand (50-70 mesh; Sigma-Aldrich) that was acid-washed, rinsed with DI-water, burned overnight at 500 °C to

remove organics, and rinsed with DI-water again. Plants were grown in a controlled environment chamber (BinderTM Model KBWF 729; day/night photoperiod 16h/8h, day/night temperature 25 °C /21 °C and 60% humidity) for 3 weeks and were watered as needed with ¹/₄ strength Hoagland's nutrient solution. 5 μ L of NP solution was dropped on the adaxial side of the plant leaf and allowed to air dry on the bench-top for 4 h. The exposed leaf was then cut-off and rinsed in a 50 mL centrifuge tube filled with a 1 mM CaCl₂ basal salt solution under gentle agitation for 2 minutes to remove loosely adhered NPs prior to further analysis.

Microscopy Imaging: The NP distribution on the leaves were visualized using an enhanced darkfield microscope (BX51, Olympus, USA) equipped with a 150 W halogen light source for the darkfield sample illumination (Fiber-Lite®, Dolan-Jenner, USA). The leaves were mounted between a glass slide and a glass coverslips with deionized water and observed with 60× magnification. Images were acquired using 60% light source intensity and 0.5 s acquisition time per line.

X-Ray Fluorescence Imaging: After exposure, fresh plant leaves were placed between 135 Kapton® tape and a piece of 4 µm-thick Ultralene®, which formed a seal around the plant tissue 136 to minimize dehydration. Prior to XFM, microscope images of the drop deposition zone were 137 taken using a Nikon eclipse LVDIA-N in transmission bright field mode. µ-XRF maps were 138 acquired at National Synchrotron Light Source (NSLS-II) at Brookhaven National Laboratory on 139 XFM (4-BM). Samples were oriented at 45° to the incoming microbeam and at 45° to a four-140 element Vortex-ME4 silicon-drift detector. Large area (> 1 mm) maps with an incident energy of 141 14.5 keV were created using a step size of 5 µm and a dwell time of 100 ms for LM6M-AuNP 142 143 exposure, step size of 7 µm and a dwell time of 500 ms for BSA-AuNP exposure, and a step size of 5 µm and a dwell time of 350 ms for the cit-AuNP exposure. Using GSE XRM MapViewer in 144

Larch (v 0.9.40) ⁴¹, K elemental maps (to highlight stomata and trichome structures) were obtained by displaying the K K α fluorescence peak (3.3 keV), and Au elemental maps (to track AuNPs signal) by using the Au L β fluorescence peak (11.4 keV) rather than the Au L α (9.7 keV), which overlaps with Zn K β (9.6 keV).

149 **RESULTS**

150 Materials Characterization: The TEM images of the starting citrate-AuNPs and the coated LM6M- and BSA-AuNPs are shown in **Fig. S1**. A heterogeneous organic coating \sim 3-5 nm thick 151 on the LM6M-AuNP (Fig. S1 B-C) and ~2 nm thick on the BSA-AuNPs (Fig. S1 D-E) is visible 152 153 around the coated particles. Additional particle characteristics are presented in Table 1. The primary particle diameter remained similar after being coated. The increase in number-weighted 154 hydrodynamic diameter and λ_{max} shift in the UV-Vis spectra (Fig. S1 F) for the BSA-AuNP and 155 LM6M-AuNP confirm the presence of the coating. Between the cit-AuNP and LM6M-AuNP, 156 there is a slight decrease in electrophoretic mobility (and calculated zeta potential), but this 157 difference is not statistically significant. The BSA treatment, however, results in a significant 158 increase in electronegativity. This lower electrophoretic mobility (and therefore zeta potential) is 159 consistent with the adsorption of a macromolecule like the BSA or LM6-M.^{42,43} 160

161	Table	1:	Summary	of	cit-AuNP,	LM6M-AuNP,	and	BSA-AuNP	exposure	solution
162	charact	eriza	ation. AuNP	con	centration w	as 100 mg/L.				

Sample	TEM Diameter (nm)	Hydrodynamic diameter* (nm)	Electrophoretic mobility (μm·cm·V ⁻¹ ·s ⁻¹)	Apparent Zeta Potential (mV)	UV-Vis λ _{max} (nm)
cit-AuNP	12.6 ± 1.0	25.8 ± 7.6	-2.77 ± 0.38	-35.5 ± 4.9	519
LM6M-AuNP	11.6 ± 1.2	81.2 ± 25.4	-2.42 ± 0.43	-31.0 ± 5.5	531
BSA-AuNP	$14.0\ \pm 1.0$	18.1 ± 5.3	-4.69 ± 0.39	-60.1 ± 5.0	526

*Number-weighted. Volume-weighted and Z-average hydrodynamic diameters can be found in
 Table S1.

Microscope Detection of AuNPs on Leaf Surface: Light microscope images of control V. faba 165 leaves are shown in Fig. S2. Stomata, which are indicated with red arrows, were identified by 166 their distinct, "kidney-bean" shaped cells. Enhanced darkfield microscopy images of V. faba 167 leaves exposed to LM6M-AuNP, cit-AuNP, BSA-AuNP, or DI water (as a control) are shown in 168 Fig. 2. The LM6M-AuNP treatment clearly resulted in accumulation of particles only around the 169 170 stomata while the cit-AuNP treatment resulted in accumulation over the entire leaf surface. The BSA-AuNP induced no clear association of NPs with the stomata, but showed a high association 171 with the head of glandular trichomes (dark area to the right of the stomata). This trichome 172 173 association, which is further illustrated in Fig. S3, is discussed in greater detail later.



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Fig. 2 Darkfield microscope images of the adaxial side of a *V. faba* leaf exposed via drop deposition to LM6M-AuNP, BSA-AuNP, cit-AuNP, and DI water and then rinsed for 2 min in a basal salt solution. Note the different NP accumulation (magenta/dark purple) depending on the NP coating around the stoma opening (LM6M), at the trichome head (BSA), or across the leaf surface (cit).

X-Ray Fluorescence Maps: XFM map showing Au distribution after exposure to LM6M-NP is shown in **Fig. 3**. As suggested by the darkfield microscope images, Au clearly accumulates around numerous stomata (stomata are indicated by red arrows), though there is also some additional adherence to other leaf features, possibly trichomes or other protein-rich features of the leaf where the cuticle is thin/absent.²⁷ Higher magnification light microscope image confirming the accumulation of Au with stomata is shown in **Fig. S4**. This suggests that the antibody coating was successfully able to deliver NPs to the stomata in a targeted manner.



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Fig. 3. Adaxial side of a *V. faba* leaf exposed via drop deposition to LM6M-AuNP, then rinsed for 2 min in a basal salt solution. (A) Light microscope image shows drop deposition zone between two black sharpie marks, as indicated by the red dashed oval, with the area scanned by XFM indicated by a black rectangle. (B) XFM map of Au distribution (see color scale to right), with stomata accumulation indicated by red arrows. Note: Au accumulation along the droplet outline is an artifact of air drying.

This image is in sharp contrast to that of the other two treatments (BSA-AuNP and cit-194 AuNP) shown in Fig. 4. V. faba stomata and trichomes, both of which contain potassium,⁴⁴ can 195 be differentiated based on shape (Fig 4, inset). The cit-AuNP treatment in particular resulted in 196 an even distribution of Au across the leaf surface. Larger light microscope image confirm the 197 absence of Au on the stomata for the citrate in Fig. S5. Likewise, the BSA-AuNP treatment does 198 not show accumulation of Au on the stomata. However, unlikely the cit-AuNP treatment, there is 199 Au accumulation around some trichomes (see Fig. S6 for evidence of trichome colocalization). 200 201 Several papers have posited a hydrophilic uptake route of ionic species through the trichome

base.^{44–47} Considering the BSA-AuNPs were significantly more electronegative, these particles 202 could strongly partition to these more polar areas compared to the cit-AuNP or LM6M-AuNP. 203 There are likely different hydrophilic functional groups around stomatal guard cells compared to 204 the base of trichomes, though the exact differences would require further investigation. Despite 205 using the same rinsing protocol for all exposure scenarios, rinsing was able to remove the BSA-206 207 and LM6M-AuNPs from the leaf surface better than for the cit-AuNP, which we hypothesize to be due to influence of NP size and charge on NP leaf adhesion. We have previously shown that 208 larger 50 nm citrate-AuNPs can be more easily rinsed off the leaf surface than smaller 10 nm and 209 3 nm citrate-AuNPs.¹⁰ Additionally, BSA-AuNPs have a higher magnitude negative zeta 210 potential than cit- and LM6M-AuNPs, which could also explain the observed decrease in 211 adhesion to the plant leaf surface through electrostatic repulsion, as hypothesized in previous 212 studies.^{10,18} Because the cit-AuNP are only electrostatically stabilized, they can aggregate 213 irreversibly upon drying⁴² and attach to the leaf cuticle more strongly than BSA- and LM6M-214 215 AuNPs that have protein coatings that provide steric repulsions, preventing aggregation and binding to the leaf cuticle. 216



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Fig. 4. XFM maps of (A, C) gold and (B, D) potassium distributions of the adaxial side of a V.
 faba leaf exposed via drop deposition to (A-B) BSA-AuNP or (C-D) cit-AuNP, then rinsed for 2

220 min in a basal salt solution. Majority of the hot spots on the potassium maps indicate stomata (s).

trichome (*t*) accumulation in the BSA-AuNP exposure is highlighted with dashed red ovals. Inset

red boxes on the potassium maps (200 μ m x 200 μ m) show the differences in trichome and stomata shape. Note: Au accumulation along the droplet outline is an artifact of air drying

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225 CONCLUSION

By coating NPs with an antibody with an affinity for α -1,5-arabinan, a chemical moiety 226 found on stomatal guard cells, we demonstrated the successful targeted delivery of AuNPs to 227 stomata on live V. faba leaves. In contrast, BSA-coated AuNPs had a specific affinity for 228 trichomes. Though similar targeting has been used in nanomedicine, this is the first proof-of-229 230 concept study with plants. This is a step forward in testing the hypothesis that a targeted approach for pesticide application may be more efficient and effective than conventional non-231 targeted pesticide applications. Future studies can build off this work by using either 232 233 antimicrobial NPs (e.g. CuO, Ag) or nanocarriers loaded with a pesticide to demonstrate higher efficacy at lower applied dose. Further work using the LM6M-AuNPs is also needed to test the 234 antimicrobial efficacy of this stomata targeting, improve this stomata-specific affinity, and 235 eliminate non-specific targeting as needed. Overall, massive innovations in pesticide and nutrient 236 delivery systems in agriculture are needed to minimize environmental impacts from non-target 237 effects of pesticides, and to minimize energy and water inputs resulting from inefficient use of 238 fertilizers. The ability to provide delivery of pesticides to precise locations in the plant could 239 revolutionize the way that agrochemicals are applied, providing greater efficacy, higher yields, 240 241 and fewer off-target side effects (e.g. environmental degradation).

242 CONFLICTS OF INTEREST

243 There are no conflicts to declare.

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