



# Acid-catalyzed Pyrolytic Synthesis of Levoglucosan through Salt-mediated Ring Locking

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3	
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18	ABSTRACT:
19	Selectively producing chemicals from cellulosic carbohydrate pyrolysis in large quantities is
20	challenging, especially anhydro-monosaccharides with double-ring, triple-ring, and furan/pyran
21	structures. Formation of these sugar derivatives greatly improves when pyranose ring opening is
22	inhibited during pyrolysis, which is accomplished by chemically replacing the hydroxyl group at
23	the anomeric carbon with an alkoxy group. A simpler ring-locking approach is required for
24	scalable chemicals production, however. In this work, we demonstrate that introducing $\mathrm{Na}_2\mathrm{SO}_4$
25	and $H_2SO_4$ to glucose pyrolysis significantly increases levoglucosan (LGA) formation, from 6%
26	yield to as high as 40% at 350 °C. With $H_2SO_4$ as the acid catalyst, $Na^+$ acts to inhibit ring opening.
27	Glucose pyrolysis with different alkali metal cations (Li <sup>+</sup> , Na <sup>+</sup> , K <sup>+</sup> , Rb <sup>+</sup> and Cs <sup>+</sup> ) gives different
28	reaction products, which can be explained largely by an ionic electronegativity effect. Weaker
29	electronegativity promotes the formation of ring-opened product such as 5-hydroxymethylfurfural
30	(HMF), and stronger electronegativity increases the formation of sequential dehydration products
31	like levoglucosenone (LGO). Sodium has the optimum ionic electronegativity for preferential
32	association with the ring oxygen. The $Na_2SO_4/H_2SO_4$ combination improved LGA yields for all
33	carbohydrate substrates tested (up to 70%), including lignocellulose. These findings highlight the
34	potential of using alkali metal salts to produce anhydrosugars in high yield from cellulosic
35	carbohydrate pyrolysis.

### 37 1. Introduction

Biomass pyrolysis is a promising technology for the production of renewable fuels, due to fast 38 39 conversion rates, simplicity of operation, and feedstock flexibility.<sup>1-4</sup> However, the recent decrease 40 of crude oil prices makes biofuels economically less competitive. Production of a wider product 41 portfolio, especially valuable chemicals, is vital in increasing the profitability from biomass pyrolysis. Anhydrosugars are valuable chemicals primarily prepared from biomass-derived 42 43 carbohydrate pyrolysis.<sup>5</sup> These molecules are highly needed precursors to synthesize a large number of high value complex chemicals (e.g., drugs, surfactants, polymers) due to their excellent 44 45 stability, fixed conformation, protected stereoselectivity, and the various reactivities of the hvdroxyl groups<sup>6-11</sup>. As a model anhydrosugar, LGA (levoglucosan = 1,6-anhydro- $\beta$ -D-glucose) 46 47 is one potential candidate among the sugar-based biorefinery feedstock chemicals by the US Department of Energy<sup>12</sup>. With a bicyclic framework, it is also a chiral building block to 48 antiparasitic agents, antibiotics, and other biologically active compounds<sup>6–10,13</sup>. However, LGA is 49 50 still a chemical with scarce availability due to the lack of large-scale commercial production. LGA 51 is currently obtained only in small quantities by extensive purification from low-yield carbohydrate pyrolysis<sup>7,13–15</sup>. It is challenging to selectively produce chemicals from carbohydrate 52 53 pyrolysis because various competing pathways are simultaneously activated and therefore multiple side products are usually produced  $^{16-20}$ . 54

55 To address this problem, we previously developed a two-step "ring-locking" strategy to 56 produce high-purity LGA from glucose pyrolysis, by using an alkoxy or phenoxy functional group to replace the hydroxyl group at the anomeric carbon of glucose prior to thermal treatment<sup>21</sup> 57 58 (Scheme 1a). For example, glucose can be converted into methyl glucosides in methanolic media. 59 This substitution effectively hinders the intramolecular H-bonding with the ring oxygen site. The 60 ring-opening pathway, which leads to other products, is inhibited, but the dehydration pathway to the LGA is not, thus leading to near-quantitative LGA formation. While this two-step approach 61 62 was very effective for enhancing LGA selectivity, a simpler process that eliminates this alcohol 63 treatment step would be more desirable for ease in scale-up.



Scheme 1. Ring-locking enhances LGA production from glucose pyrolysis by inhibiting
intramolecular H-bonding at the ring oxygen. This involves either a (a) two-step<sup>21</sup> or (b) one-step
process, as studied in this work.

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70 We hypothesized that a combination of a salt inhibitor and an acid catalyst can simulate the ring-locking effect. Biomass pyrolysis yields more LGA when it is treated with Brønsted 71 acids<sup>22,23</sup>. However, protons (H<sup>+</sup>) also activates other pathways<sup>24-26</sup>, because carbohydrates have 72 multiple oxygen sites susceptible to protonation. The ring oxygen and the hydroxyl group at the 73 anomeric carbon, in particular, are favorable binding sites for H<sup>+26</sup> (Scheme 1b). Protonation at a 74 ring oxygen leads to unwanted ring-opening reactions, and protonation of the anomeric oxygen 75 leads to the desired LGA formation. We rationalized that cations may compete with protons for 76 the ring oxygen site and inhibit the sugar ring from opening during acid-catalyzed pyrolysis 77 (Scheme 1b). There are reported observations that alkali metal cations slow down the acid-78

catalyzed glucose mutarotation<sup>27,28</sup> in water, and that alkali metal cations form weak complexes
with sugars in solution<sup>29,30</sup>.

81 In this study, we demonstrate that the combination of Na<sub>2</sub>SO<sub>4</sub> with H<sub>2</sub>SO<sub>4</sub> greatly enhances the product yields of anhydrosugars from glucose pyrolysis. We studied the effect of different 82 83 group I metal sulfates, and identified ionic electronegativity to explain the trends in the formation of LGA and other anhydrosugars like LGO, 1,6-anhydroglucofuranose (AGF), and 5-84 85 hydroxymethylfurfural (HMF). To infer the role of Na<sub>2</sub>SO<sub>4</sub> as an inhibitor to ring-opening during 86 pyrolysis, we studied the effect of Na<sub>2</sub>SO<sub>4</sub> on glucose mutarotation in solution. Relative amounts of H<sub>2</sub>SO<sub>4</sub> and Na<sub>2</sub>SO<sub>4</sub> were systematically studied to affirm the catalytic nature of H<sub>2</sub>SO<sub>4</sub> and the 87 inhibitory nature of Na<sub>2</sub>SO<sub>4</sub>. The applicability of the Na<sub>2</sub>SO<sub>4</sub>-H<sub>2</sub>SO<sub>4</sub> system on more complex 88 89 carbohydrate substrates were further assessed.

90

## 91 2. Experimental

## 92 2.1 Materials

93  $\beta$ -D-glucose (>80.0%, with  $\alpha$ -D-glucose as balance) was purchased from TCI America. 94 Levoglucosan (99%, 1,6-Anhydro-B-D-glucose), sigmacell cellulose (type 20, 20 µm), phenyl-Bglucoside (97%), cellobiose ( $\geq$ 99%),  $\alpha$ -cyclodextrin ( $\geq$ 98%) and  $\alpha$ -D-glucose (96%) were 95 96 purchased from Sigma Aldrich. Other compounds were purchased for column calibrations: 5hydroxymethylfurfural (>99%), furfural (99%), DL-glyceraldehyde (>90% GC), glycolaldehyde 97 dimer, and levulinic acid (98%). Corn stover (received from KiOR) was washed to remove the 98 99 mineral contaminants prior to pyrolysis: 1 g of the substrate was stirred in 20 mL of HNO<sub>3</sub> (0.1 N) for 5 min, filtered, and the solid residue was rinsed with 60 mL DI water.<sup>31</sup> Sulfuric acid solution 100 (0.1 N) was purchased from Fisher Chemical. Lithium sulfate monohydrate (99%), potassium 101 102 sulfate (99%), rubidium sulfate (99.8%) and cesium sulfate (99.99%) were purchased from Sigma 103 Aldrich. Sodium sulfate anhydrous (99%) was purchased from EMD Chemicals.

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### 105 **2.2 Thin-film for pyrolysis experiments**

Following the method described in our previous paper<sup>21</sup>, four different thin-film compositions were prepared: sugar-only, sugar-acid, sugar-acid-salt, and sugar-salt. The carbohydrate precursor (with and without the additives) was dissolved in deionized water (18.2 M $\Omega$ , Barnstead Nano-pure

109 Diamond System). 4  $\mu$ L of the solution (8 mg/mL) was transferred into an open-end cylinder quartz

tube (1.9 mm diameter, 25 mm length) using a microliter syringe (Hamilton 700 series, 10 μL).
The water was removed in a vacuum oven (0.7 atm) at 37 °C for 3 h.

112 A larger sample size was used for cellulose and corn stover, because these two substrates 113 are not water soluble and the sample preparation procedure was necessarily different. A known 114 amount of the sample was dispersed into DI water, and a known amount of suspension was 115 transferred into quartz tube. The loaded tube was dried in a vacuum oven 0.7 atm), and sample 116 weight was determined by difference using a microbalance (Citizen CM 11 scale, with linearity of 117  $\pm 5 \mu g$  and repeatability of  $\pm 3 \mu g$ ,).

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## 119 **2.3** Pyroprobe coupled with GC-MS/FID system

120 Thin-film pyrolysis experiments were conducted using a Model 5150 pyroprobe analytical pyrolyzer (CDS Analytical Inc.) coupled to an Agilent 7890 GC with FID and 5977MSD detectors 121 through a transfer line for online sampling and operated as described previously.<sup>21</sup> We noted the 122 boiling point of LGA is 385 °C,<sup>32</sup> higher than the pyrolysis temperature of 350 °C. To identify any 123 systematic errors resulting from potential condensation or decomposition of LGA in the system, 124 we evaporated a known amount of LGA (mounted as a thin-film samples) and carried out the 125 pyrolysis procedure at 350 °C. We found complete LGA mass balance, and concluded there was 126 no LGA loss in our analytical system from either its condensation or decomposition. Other 127 products were calibrated by injecting standard solutions into the GC/MS/FID system. 128

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### 130 **2.4** Pyrolysis data evaluation

Product yield values are reported in terms of molar carbon yield, where the moles of carbon in the product are divided by the moles of glucose carbons. For example, the carbon yield of LGA  $(C_6H_{10}O_5)(Y_{LGA})$  for glucose is calculated as:

134  $Y_{LGA} = \frac{\text{moles of carbon in detected LGA}}{\text{initial moles of carbon in glucose unit}} \times 100\% = \frac{6 \times (\text{moles of detected LGA})}{6 \times \text{initial moles of glucose unit}} \times 100\%$ (1)

135 The carbon yields of other products were calculated in a similar way. All experiments were run in 136 triplicate with average error of  $\pm$  7%.

137

## 138 2.5 Optical rotation

139 Optical rotations were measured on a SCHMIDT+HAENSCH polarimeter (Polartronic M) with a

140 5-cm cell. The as-purchased  $\alpha$ -D-glucose (0.08g, 0.8g, 2.4 g) was dissolved in DI water to make

141 10 mL of solution at three different concentrations (0.04 M, 0.44 M, and 1.33 M). The solubility 142 limit of glucose is ~5 M, but the highest concentration used here was 1.33 M because solutions at 143 higher concentrations were too viscous to handle readily. The experiments were conducted at 20 144 °C using a wavelength of 589 nm. Time (t) was recorded as soon as glucose was introduced into 145 DI water. The  $\alpha_0$  value was obtained by plotting  $\alpha_t vs t$ , where  $\alpha_t$  is the observed optical rotation 146 of the solution at time t. The value of  $\alpha_{\infty}$  (~12.6°) was measured at 24 h.

Glucose mutarotation reaction rates are assessed by experimentally determining the sum of the forward and back reaction rate constants  $(k_{obs} = k_1 + k_{-1})$  of the reaction  $A \rightleftharpoons B$ , where *A* is  $\alpha$ -D-glucose; *B* is β-D-glucose;  $k_1$  is the rate constant for conversion of *A* into *B*; and  $k_{-1}$  is the rate constant for conversion of B into A (Scheme 2). The  $\alpha$  and  $\beta$  forms interconvert through reversible cleavage of the bond between the ring oxygen and hemiacetal carbon.

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β-D-glucose  $\alpha$ -D-glucose 153 Scheme 2. The mutarotation reaction between the two D-glucose isomers. 154 155 The rate law in differential form is expressed as 156  $d[A]/dt = -k_1[A] + k_{-1}[B]$ 157 (2)where [A] is the concentration of A ( $\alpha$ -D-glucose), [B] is the concentration of B ( $\beta$ -D-glucose). 158 159 At equilibrium  $\binom{d[A]}{dt}_{\infty} = 0 = -k_1[A]_{\infty} + k_{-1}[B]_{\infty}$ 160 (3)where the subscript  $\infty$  denotes at equilibrium. 161 Integration of equation (2) results in the following equation: 162  $([A]_t - [A]_\infty)/([A]_0 - [A]_\infty) = \exp\left[-(k_1 + k_{-1})t\right]$ (4)163 164 or  $2.303 \times \log \{ ([A]_t - [A]_{\infty}) / ([A]_0 - [A]_{\infty}) \} = -((k_1 + k_{-1})t) = -k_{obs}t$ 165 (5)where  $k_{obs}$  represents how fast the system reaches equilibrium. 166

167	At a fixed path length ( $_{l}$ ) and total glucose concentration (units of g/100mL), the observed
168	optical rotation $(\alpha_t)$ is directly proportional to the concentration of $A([A]_t)$ :
169	$\alpha_t = [\alpha_\lambda^T] \cdot [\mathbf{A}]_t \cdot l \tag{6}$
170	where $[\alpha_{\lambda}^{T}]$ is specific rotation, $\lambda$ is wavelength, and <i>T</i> is temperature.
171	Equation (5) can be rewritten as
172	$2.303 \log \left\{ (\alpha_0 - \alpha_\infty) / (\alpha_t - \alpha_\infty) \right\} = -k_{obs}t \tag{7}$
173	From a plot of $\log \{(\alpha_0 - \alpha_\infty)/(\alpha_t - \alpha_\infty)\}$ as a function of t, $k_{obs}$ (= $k_1 + k_{-1}$ ) can be
174	determined from the slope (equal to $k_{obs}/2.303$ ). The units of $k_{obs}$ are ks <sup>-1</sup> .
175	
176	2.6 Computation method
177	Quantum theoretical calculations of glucose were performed using Gaussian 09 program package <sup>33</sup>
178	with density functional theory (DFT) B3LYP method and 6-31G(d,p) basis set. The calculation
179	specified temperature at 873K (600 °C) in gas phase. The optimized molecular structures and
180	electrostatic potential (MEP) map of glucose were visualized using GaussView 05 software.
181	
182	3. Results and Discussion
183	3.1 Glucose pyrolysis with H <sub>2</sub> SO <sub>4</sub> -Na <sub>2</sub> SO <sub>4</sub> combination
184	We first studied the effect of sufficient sodium sulfate (25 mol% relative to glucose) on the
185	sulfuric-acid-catalyzed glucose pyrolysis (Fig. 1). Literature <sup>23</sup> indicates that sulfuric acid led to
186	higher LGA yield in carbohydrate pyrolysis, compared with other mineral acids (such as HCl,

188 boiling points/decomposition temperatures, and would volatilize/decompose during pyrolysis.



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Fig. 1. GC-detectable-product carbon yield from glucose pyrolysis catalyzed by  $H_2SO_4$  alone, or in combination with Na<sub>2</sub>SO<sub>4</sub>. Char residue accounts for the balance of undetected carbon. Test conditions: glucose mass = 32 µg,  $H_2SO_4$ /glucose = 2 mol%, Na<sub>2</sub>SO<sub>4</sub>/glucose = 25 mol%; pyrolysis temperature = 350 °C, heating time = 60 s. All experiments were run in triplicate. Abbreviations: LGA = levoglucosan; DGP = 1,4:3,6-dianhydroglucose; LGO = levoglucosenone; AGF = 1,6-anhydroglucofuranose; HMF = 5-hydroxymethylfurfural.

198 Glucose pyrolysis with no additives at 350 °C yielded ~22% gas-phase products in total, 199 with LGA, AGF and HMF as major projects (Fig. 1). When  $H_2SO_4$  was added to the sample, the 200 total carbon yield decreased to ~10%, with a significant decrease in all products except for LGO. 201 LGO yield increased, due to dehydration of LGA. In comparison, it was observed that Na<sub>2</sub>SO<sub>4</sub> 202 itself had little catalytic effect on glucose pyrolysis.

Together, the combination of Na<sub>2</sub>SO<sub>4</sub> and H<sub>2</sub>SO<sub>4</sub> greatly increased the overall product yield from ~22% to ~64%, and especially LGA yield from 6% to 40%. In addition, much less char residue was found in the quartz sample holder. AGF, HMF, furfural and furan derivatives (*e.g.*, 2(5H)-furanone, methyl 2-furoate) were categorized as "ring-opened products" since the species with five-membered ring are typically produced from ring-opening pathways<sup>34</sup>, while LGA, LGO, DGP, and pyran derivatives (*i.e.*, pyranone, maltol) with six-membered ring were classified as

209 "ring-locked products". We found that the ring-opened/ring-locked products molar ratio decreased

210 from 1.90 to 0.37, when pyrolysis was carried out in the presence of  $Na_2SO_4$  and  $H_2SO_4$ . This

211 relative increase in ring-locked products strongly supports the notion of Na<sup>+</sup> inhibiting the 212 pyranose ring from opening during pyrolysis.

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## 214 **3.2** Products of glucose pyrolysis in the presence of different metal sulfates

Prior studies showed that cations of metal salts interact more closely with carbohydrates than the anions.<sup>20,35–40</sup> We examined the effect of different salts, specifically the sulfates of the group I elements (M = Li, Na, K, Rb, and Cs). Glucose pyrolysis was carried out under the following conditions: (1) without any additives, (2) with H<sub>2</sub>SO<sub>4</sub>, (3) with a metal sulfate (M<sub>2</sub>SO<sub>4</sub>), and (4) with the combination of H<sub>2</sub>SO<sub>4</sub> and (M<sub>2</sub>SO<sub>4</sub>). The amount of Na<sub>2</sub>SO<sub>4</sub> (25 mol% relative to glucose) and H<sub>2</sub>SO<sub>4</sub> (2 mol% relative to glucose) used here is the same as those in Fig. 1. The carbon yields of vapor phase products for these tests are shown in Fig. 2a.

222 Compared to our "base cases" (no additives or with  $H_2SO_4$  only), the combination of  $H_2SO_4$ and a M<sub>2</sub>SO<sub>4</sub> had strong but different impacts on the yields of LGA and other products. The yields 223 of LGA and AGF followed a volcano shape as the atomic number of the group I metal ions 224 225 increased from Li<sup>+</sup> to Cs<sup>+</sup>; the highest yield of LGA and total products occurred with Na<sup>+</sup>. The metal ions (Cs<sup>+</sup>, Rb<sup>+</sup> and K<sup>+</sup>) of higher atomic number led to higher HMF formation (a ring-opened 226 227 product). Metal ions (Li<sup>+</sup> and Na<sup>+</sup>) of lower atomic number increased the yield of LGO (which is 228 a dehydration product derived from LGA). Without H<sub>2</sub>SO<sub>4</sub>, group I metal sulfates themselves did not change the pyrolysis product yields much, though Rb<sup>+</sup> and Cs<sup>+</sup> led to slightly more HMF and 229 230 less LGA and AGF.



<sup>232</sup> 

Fig. 2. (a) Glucose pyrolysis catalyzed by  $H_2SO_4$  alone, or in combination with a metal sulfate M<sub>2</sub>SO<sub>4</sub>. (b) Correlation between the yields of the four major products and electronegativity values<sup>41</sup> of the monovalent metal cation M<sup>+</sup>. Test conditions: glucose mass = 32 µg, H<sub>2</sub>SO<sub>4</sub>/glucose = 2 mol%, metal sulfate/glucose = 25 mol%; pyrolysis temperature = 350 °C, heating time = 60 s.

The wide range of product yields from the  $H_2SO_4/M_2SO_4$  combinations suggests significant interaction of the cations with carbohydrates that select for different reaction pathways during pyrolysis.<sup>38,42,43</sup> We ascribe the strength of such interaction to the ability of the metal ion to attract electrons, which is characterized by a high electronegativity (EN) value. Accounting for electron affinity, ionization radius, and coordination numbers, EN values of the cations are generally higher than EN values of the zero-valent form (Table S1).<sup>41</sup>

245 As shown in Fig. 2b, metal ions with low EN's (Cs<sup>+</sup> and Rb<sup>+</sup>) resulted in the formation of the ring-opened product HMF ( $\sim 15\%$ ), and those with higher EN's led to high yields of ring-locked 246 products of LGA (~35%), and minor amounts of HMF (<1%) and LGO (<1%). At the highest EN 247 248 (Li<sup>+</sup>), LGA and LGO yields decreased and increased, respectively. Unlike HMF, we noticed that the yield of AGF (the ring-opened product) followed LGA yields. This is consistent with a previous 249 report<sup>44</sup> that there are two pathways that contribute to AGF formation: one involves LGA 250 isomerization<sup>45</sup> and the other involves glucose ring opening<sup>34</sup>. If we consider Na<sup>+</sup> as having the 251 252 optimum EN for LGA production, then a higher-EN metal cation promotes Lewis-acid-catalyzed

dehydration reactions (which converts LGA into LGO) and a lower-EN metal cation does notprevent the glucose ring from opening (which leads to HMF formation).

255 In considering the electron density of the sugar oxygens, we understand qualitatively how 256 EN has an important effect on the association of the metal cation with the oxygens. Glucose exists 257 in two forms at equilibrium, a cyclic pyranose hemiacetal (>99%) and a linear aldehyde (<1%). 258 The cyclic form has five hydroxyl oxygens and one ether oxygen (ring oxygen), and the linear 259 form has five hydroxyl oxygens and one carbonyl oxygen. The electron density of the various oxygens follows as hydroxyl oxygen < ring (ether) oxygen < carbonyl oxygen (Fig. 3). Metal ions 260 261 with intermediate EN (e.g.,  $Na^+$ ) associate with the ring oxygen site, inhibiting H<sup>+</sup> from attacking this site and initiating ring-opening (Fig. 3a). 262

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Fig. 3. Possible interactions between glucose and metal ions: (a) Na<sup>+</sup>, (b) Li<sup>+</sup>, and (c) Cs<sup>+</sup>. The blue
arrow represents the desired association of the metal cation with the ring oxygen, and the red arrow
represents the undesired association of the metal cation with the carbonyl oxygen.

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Metal ions with a higher EN (*e.g.*, Li<sup>+</sup>) could associate with the ring oxygen as well as the hydroxyl oxygens and promote Lewis-acid-catalyzed bond cleavage (Fig. 3b)<sup>38,43</sup>. Metal ions with lower EN's (*e.g.*, Cs<sup>+</sup> and Rb<sup>+</sup>) would weakly associate with the hydroxyl oxygen and the ring oxygen, allowing H<sup>+</sup> to attack the ring oxygen. They further associate with the carbonyl oxygen, which could stabilize the linear form of glucose after ring-opening and promote acid-catalyzedHMF formation (Fig. 3c).

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# 276 **3.3** Corroborating evidence for Na<sub>2</sub>SO<sub>4</sub> as a ring-opening inhibitor

To corroborate the idea that Na<sup>+</sup> competes with H<sup>+</sup> for the ring oxygen and inhibits ring-opening reactions, we studied the aqueous-phase glucose mutarotation reaction in the presence of Na<sub>2</sub>SO<sub>4</sub> and H<sub>2</sub>SO<sub>4</sub>. Glucose mutarotation involves the interconversion between the  $\alpha$  and  $\beta$  cyclic anomers through the ring-opening of glucose. We hypothesized that fast mutarotation rates are due to rapid ring-opening in water, therefore correlating to rapid ring-opening (and decreased LGA formation) during pyrolysis.

Acid solutions promote glucose mutarotation by protonating the ring oxygen atom resulting in ring opening and conversion to the linear form of glucose. At a lower glucose concentration (0.04 M), the observed glucose mutarotation rate constant increased from 0.27 ks<sup>-1</sup> to ~0.29 ks<sup>-1</sup> when adding H<sub>2</sub>SO<sub>4</sub> (2.5 mol%) (Fig. 4). The co-addition of 25 mol% Na<sub>2</sub>SO<sub>4</sub> lowered acid-catalyzed glucose mutarotation rate constants from ~0.29 ks<sup>-1</sup> to ~0.28 ks<sup>-1</sup>. This is consistent with idea that Na<sup>+</sup> competes with H<sup>+</sup> for the ring oxygen site, and thus hindering acidcatalyzed glucose mutarotation.

The acid and salt effects were more apparent at higher glucose concentrations. At a glucose concentration of 1.33 M (and the same ratio among glucose,  $Na_2SO_4$  and  $H_2SO_4$ ), the mutarotation rate constant increased from 0.30 ks<sup>-1</sup> to 0.75 ks<sup>-1</sup> with acid and decreased to 0.68 ks<sup>-1</sup> with Na<sup>+</sup> co-addition. This suggests that the Na<sup>+</sup> inhibition effect on acid-catalyzed ring-opening is strong within the salt/acid/glucose thin films used in the pyrolysis experiments. Na<sup>+</sup> outcompetes H<sup>+</sup> for the ring oxygen, slowing ring-opening and leading to greater LGA selectivity and yield.



Fig. 4. Glucose optical rotation rate constant  $k_{obs}$  as a function of glucose concentration in three cases: (1) no additives, (2) 2.5mol% H<sub>2</sub>SO<sub>4</sub>, (3) 2.5mol% H<sub>2</sub>SO<sub>4</sub> + 25mol% Na<sub>2</sub>SO<sub>4</sub>. The ratios of

299  $H_2SO_4$ ,  $Na_2SO_4$  and glucose are constant.

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# **302 3.4** H<sub>2</sub>SO<sub>4</sub> and Na<sub>2</sub>SO<sub>4</sub> loading effect on pyrolysis products

We examined a full range of  $Na_2SO_4$  (0-25 mol%) and  $H_2SO_4$  (0-5.5 mol%) concentrations on product yields, to gain a deeper understanding of the competition between  $Na^+$  and  $H^+$  for the

305 glucose ring oxygen (Fig. 5).



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Fig. 5. (a) Carbon yields from glucose pyrolysis as functions of  $H_2SO_4$  (0-5.5 mol% relative to glucose) and Na<sub>2</sub>SO<sub>4</sub> content (0-25 mol% relative to glucose). The data of Fig. 1 are marked by black-filled stars. (b)-(e) Response maps showing LGA, AGF, LGO and HMF yields interpolated from 80 experimental data points (marked by black-filled circles). All experiments were repeated more than three times. Test conditions: glucose mass = 32 µg, pyrolysis temperature = 350 °C, heating time = 60 s.

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With 0 mol% Na<sub>2</sub>SO<sub>4</sub>, glucose pyrolysis resulted in yields of LGA, AGF, HMF, and furfural that increased, peaked, and decreased with increasing  $H_2SO_4$  content. The yields of DGP and LGO continued to increase. The product distribution suggests the typical non-selective acidcatalyzed mechanism (*e.g.*, ring-opening and ring-locking pathways). The increased  $H_2SO_4$ loading promoted sequential dehydration, decomposition and condensation reactions. The yield of LGA reached a maximum of 16% when  $H_2SO_4$  was 0.5 mol%.

320 We then considered the influence of added  $Na_2SO_4$  on glucose pyrolysis. At the lower H<sub>2</sub>SO<sub>4</sub> loadings (<0.5 mol%), higher Na<sub>2</sub>SO<sub>4</sub> content had no noticeable impact on LGA yield (Fig. 321 322 5a,b). At higher H<sub>2</sub>SO<sub>4</sub> loadings ( $\geq 1$  mol%), higher Na<sub>2</sub>SO<sub>4</sub> content significantly increased LGA 323 yield. As shown in Fig. 5a and Fig. 5b, LGA reached maximum yields at moderate-to-high Na<sub>2</sub>SO<sub>4</sub> 324 loadings (>10 mol%) and moderate H<sub>2</sub>SO<sub>4</sub> loading (1.5-2.5 mol%). These data indicate that the 325 competition between Na<sup>+</sup> and H<sup>+</sup> is not obvious at lower H<sub>2</sub>SO<sub>4</sub> and Na<sub>2</sub>SO<sub>4</sub> loadings, but at higher 326 H<sub>2</sub>SO<sub>4</sub> and Na<sub>2</sub>SO<sub>4</sub> loadings, the Na<sup>+</sup> competes effectively with the H<sup>+</sup> to inhibit ring-opening and 327 therefore increasing LGA yield. These results are consistent with mutarotation results (Fig. 4, S1). 328 In addition, the yield of AGF (Fig. 5c) followed a similar trend to LGA case, which further 329 supporting the idea that AGF may arise from LGA isomerization. To probe this, we pyrolyzed

LGA in the presence of  $H_2SO_4$  and/or  $Na_2SO_4$ . LGA was not reactive but it became highly reactive in the presence of  $H_2SO_4$ . AGF yield increased from <1% to ~5% when in the co-presence of  $Na_2SO_4$  (Fig. S2, Scheme S1). LGO (which comes from the dehydration of LGA) had higher yield at high acid loading (>3.25 mol%) and lower yield at high  $Na_2SO_4$  (Fig. 5d). HMF was favored at low acid loadings (<1.5 mol%), and moderate  $Na_2SO_4$  loading (Fig. 5e).

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## 336 **3.5** Application of H<sub>2</sub>SO<sub>4</sub>-Na<sub>2</sub>SO<sub>4</sub> system to complex biomass

Having demonstrated that the co-addition of Na<sub>2</sub>SO<sub>4</sub> and H<sub>2</sub>SO<sub>4</sub> significantly enhanced LGA yields from glucose pyrolysis, we applied this concept to more complex glucose-containing substrates. The LGA yield from the pyrolysis of cellobiose, phenyl- $\beta$ -glucoside and  $\alpha$ cyclodextrin all increased with Na<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> co-addition (Table 1). As discussed previously, LGA yield from glucose pyrolysis increased from 6% to 40% with Na<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub>. The overall ratio of ring-opened products (*e.g.*, AGF and HMF) to ring-locked products (*e.g.*, LGA and LGO) decreased, from 1.90 to 0.37.

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Substrate	Ratio of glycosidic bonds to glucose units	LGA		AGF		LGO		HMF		Ratio of ring- opening to ring- locking products	
		w/o	w/	w/o	<b>w</b> /	w/o	<b>w</b> /	w/o	w/	w/o	w/
но он но он он он он он он он он он	0	6%	40%	5%	15%	0%	2%	6%	<1%	1.90	0.37
Cellobiose	0.5	16%	38%	3%	7%	0%	2%	9%	<1%	0.86	0.29
<sup>ρμ</sup> <sub>Ho</sub> Phenyl-β- glucoside	1	28%	70%	0%	7%	0%	4%	0%	0%	0	0.09
α-Cyclodextrin	1	22%	55%	<1%	6%	0%	3%	2%	<1%	0.12	0.13
Cellulose**	~1	10%	40%	0%	3%	0%	<1%	0%	<1%	0	0.11
Corn stover**	~1	4wt%	9wt%	<1wt %	<1wt %	0wt%	1wt%	<1wt %	<1wt %	~0.19	~0.10

Table 1. Product yields from the pyrolysis of different glucose-based substrates without and with  $Na_2SO_4+H_2SO_4$ .<sup>a,b,c</sup>

<sup>a</sup>Test conditions:  $H_2SO_4/glucose-unit = 2 \text{ mol}\%$ ,  $Na_2SO_4/glucose-unit = 25 \text{ mol}\%$ 

<sup>349</sup> <sup>b</sup>For β-glucose, phenyl-β-glucoside and α-cyclodextrin: sugar = 32  $\mu$ g, Na<sub>2</sub>SO<sub>4</sub> = 6.3  $\mu$ g, H<sub>2</sub>SO<sub>4</sub> 350 = 0.4  $\mu$ g; pyrolysis temperature = 350 °C

<sup>351</sup> °For cellulose and corn stover: substrate = 200-300  $\mu$ g, Na<sub>2</sub>SO<sub>4</sub> = 78.9  $\mu$ g, H<sub>2</sub>SO<sub>4</sub> = 4.4  $\mu$ g; <sup>352</sup> pyrolysis temperature = 600 °C

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354 Cellobiose contains two glucose units linked with one  $1-\beta-4'$ -glycosidic bond. This bond 355 is located at the anomeric carbon of one unit, and the other glucose ring has a hydroxyl group at its anomeric carbon. We can consider this compound and ß-glucose to have a glycosidic-356 357 bond/glucose-unit ratio of 0.5 and 0, respectively. Cellobiose pyrolysis generated more LGA (vield 358 of 16%) and less ring-opened products compared to glucose pyrolysis. This observation is similar to previous reports<sup>31,44</sup> and is consistent with the ring-locking concept<sup>21</sup> that glycosidic bond 359 360 hinders the ring-opening of one of the glucose units and enhance LGA production. With Na<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> co-addition, the LGA yield increased to 38% and the ring-opened/ring-locked 361 product ratio decreased from 0.86 to 0.29. The Na<sup>+</sup> successfully hinders ring-opening of the other 362 363 glucose unit.

Phenyl-β-glucoside has a phenoxy group at the anomeric carbon; we can consider this
 sugar compound to have a glycosidic-bond/glucose-unit ratio of 1. Its pyrolysis generated even

more LGA (yield of 28%) and no ring-opened products, compared to glucose and cellobiose pyrolysis. The results show a positive correlation between LGA yield and glycosidicbond/glucose-unit ratio. With  $Na_2SO_4/H_2SO_4$  co-addition, the LGA yield increased to 70%. The ring-opened/ring-locked product ratio increased slightly from zero to 0.09, due to AGF formed from  $Na^+$ -associated LGA.

371 The oligosaccharide  $\alpha$ -cyclodextrin contains six glucose units linked into ring structure via 372 glycosidic bonds between an anomeric carbon and carbon-4 of adjacent units. Its pyrolysis 373 generated more LGA and less ring-opened products compared to glucose pyrolysis, as expected 374 for a compound with a higher glycosidic-bond/glucose-unit ratio. With Na<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> co-addition, 375 the LGA yield increased from 22% to 55%. The ring-opened/ring-locked product ratio did not 376 change significantly. Even though  $\alpha$ -cylodextrin and phenyl- $\beta$ -glucoside had the same glycosidic-377 bond/glucose-unit ratio of 1, their pyrolysis products were not the same. We attribute these 378 differences to the glucose units of  $\alpha$ -cyclodextrin having the glycosidic bond in the  $\alpha$  position 379 instead of the  $\beta$  position. A functional group at the anomeric carbon inhibits ring-opening more 380 effectively in the  $\beta$  position.<sup>21</sup>

381 Finally, we explored the impact of Na<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> co-addition on cellulose and corn stover 382 pyrolysis. These substrates have glycosidic-bond/glucose-unit ratios of  $\sim 1$ , but their cellulose 383 content is crystalline in structure, impacting their pyrolysis chemistry. We performed pyrolysis at 384 600 °C, as no reaction occurred at 350 °C. We observed that LGA yield increased for both 385 substrates with Na<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> co-addition. In the cellulose case, LGA yield increased from 10% 386 to 40%. Product yields were difficult to quantify in the corn stover case. This biomass material 387 contains lignin and hemicellulose, and its pyrolysis is known to yield a plethora of compounds like acetyl furan, 1-hydroxy-2-butanone, methyl glyoxal, 2-(4-methoxyphenyl)ethanol, 4-hydroxy-3-388 389 methoxyphenyl acetone and others.<sup>1,46</sup> We estimated LGA yield (normalized to mass of the 390 cellulose content) increased from 4 to 9 wt% when Na<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> was co-added.

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### **392 4.** Conclusions

In this work, we identified that the combination of  $Na_2SO_4$  and an acid (*e.g.*,  $H_2SO_4$ ) significantly enhanced the yield of levoglucosan as a result of ring-locking. Group I metal sulfates (Li, Na, K, Rb and Cs) were selected as the potential salt inhibitors in this study, among which  $Na_2SO_4$  gave the highest LGA yield.  $Na^+$  ion has the appropriate ionic electronegativity for the preferred binding at ring oxygen site, such that it helps hinder protonation at the ring oxygen (and thereby inhibiting

- ring-opening and consequent reactions). Solution-phase optical rotation data indicated that excess
- amount of Na<sup>+</sup> reduced the reaction rate of acid-catalyzed glucose mutarotation (as a proxy of ring
- 400 opening), through the competition with  $H^+$  for the ring oxygen. The Na<sub>2</sub>SO<sub>4</sub>-H<sub>2</sub>SO<sub>4</sub> system can
- 401 also be applied to more complex substrates such as cyclodextrin and cellulose to greatly improve
- 402 the yield of levoglucosan during pyrolysis. The addition of an acid and a salt enables the high-
- 403 yield synthesis of LGA and other anhydrosugars from carbohydrate pyrolysis.
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