



Towards environmentally benign capture and conversion: Heterogeneous metal catalyzed CO2 hydrogenation in CO2 capture solvents.

Journal:	Green Chemistry	
Manuscript ID	GC-ART-10-2019-003449.R1	
Article Type:	Paper	
Date Submitted by the Author:	29-Nov-2019	
Complete List of Authors:	Kothandaraman, Jotheeswari; Pacific Northwest National Laboratory Heldebrant, David; Pacific Northwest National Lab, Applied Synthetic Chemistry	

SCHOLARONE™ Manuscripts

ARTICLE

Received 00th January 20xx, Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

Towards environmentally benign capture and conversion: Heterogeneous metal catalyzed CO_2 hydrogenation in CO_2 capture solvents.

Jotheeswari Kothandaraman and David J. Heldebrant*

Transformation of captured CO_2 into value-added chemicals to mitigate increasing CO_2 concentration in the atmosphere has gained significant attention recently. Though the carbon capture and storage (CCS) is already been practiced in few places, it suffers from energy-intensive CO_2 desorption and compression steps involved, which can be avoided in the carbon capture and utilization (CCU) approach. Herein, a selection of carbon capture solvents were screened to assess the reactivity of condensed-phase heterogeneous metal catalyzed hydrogenation of CO_2 . Among catalysts screened, $Cu/ZnO/Al_2O_3$ catalyst was active for the one-pot CO_2 capture and conversion to methanol process using post and pre-combustion carbon capture solvents comprised of various amines and alcohols. Our findings indicate that formamides are less-reactive under our conditions, in comparison to formate ester intermediates noting that a combination of 1^* alcohols and amines gives the highest methanol yield. Screening volatile organic compound (VOC)-free alcohols and amines led us to an environmentally benign system of bio-derived and biodegradable chitosan and polyethylene glycol (PEG $_{200}$), which provide moderate concentration of methanol (139.5 mmol/L) with a facile separation of volatile products (water and methanol). The chitosan/PEG $_{200}$ system was recycled three times, ultimately providing a promising VOC-free, biodegradable, bio-derived and recyclable CO_2 capture and conversion pathway.

1. Introduction

Global CO₂ concentration in the atmosphere has been increasing continuously and this in turn leads to potential environmental issues.^{1, 2} The burning of fossil fuels like coal and oil for energy is the primary cause for the continued rise of atmospheric CO₂ level at the rate of 3 ppm each year, recently surpassing 415 ppm in May, 2019.3 The global demand for energy is steadily increasing and our dependence on fossil fuel for our energy needs has to be subdued as its resources are depleting.⁴ In this context, researchers are ramping up their efforts to recycle CO₂ to value added fuels/chemicals.⁵⁻⁸ The recycling of CO₂ to fuels such as methanol, ethanol, formates etc is shown to be viable upon hydrogenation.9-11 The hydrogen required for this process can be obtained by water electrolysis using renewable sources such as wind, solar etc. Among the CO₂ hydrogenation products, methanol is a commodity chemical with a global market demand of 78 million tonnes/yr. Methanol is used as a feedstock to produce olefins, fuel blends, acetic acid, formaldehyde and marine fuels.12

Various technologies are developed for CO₂ capture;¹³ primarily for post combustion CO₂ capture with the aim of being retrofitted to

the existing CO₂ sources. Post-combustion CO₂ capture typically involves chemically-selective solvents that are regenerated with thermal heating, where the released and recovered CO2 can be utilized in a second step as a C₁ source to produce various chemicals.14 One of the drawbacks with this method is energy intensive CO_2 desorption (ΔH_{abs} =-80 to -85 kJ/mol for 30 wt.% MEA) and compression processes prior to any chemical conversion. 15,16 The thermodynamic penalties associated with the capture process can be lessened when the CO₂ desorption and compression steps are avoided.¹⁷⁻²⁴ We have previously demonstrated condensed-phase hydrogenation of CO_2 to methanol ($CO_2 + 3H_2 \rightarrow CH_3OH + H_2O$) in the presence of Cu/ZnO/Al₂O₃ catalyst in NEt₃/ethanol capture solvent by conceptually bypassing the CO₂ desorption and compression steps.²⁵ The pre-combustion NEt₃/ethanol capture solvent used in previous studies, while effective, was comprised of low boiling organic liquids, which makes the separation of products (water and methanol) from the capture solvent a challenge. Further, ethanol forms an azeotrope with water and there is high capital and energy costs associated with azeotropic distillation of ethanol and water mixture. As our previous studies had focused on the reactivity of CO₂ captured in pre-combustion (pressure-swing) solvents, 26 we decided to study how the post-combustion (temperature-swing) amines would behave in a similar combined capture and conversion approach.27,28

The majority of post-combustion solvents utilize aqueous or non-aqueous formulations of amines and alcohols to capture CO₂, which coincidentally are the same chemical moieties that are used to

a. Energy Processes and Materials Division, Pacific Northwest National Laboratory, Richland, Washington 99352, USA. E-mail: david.heldebrant@pnnl.gov
 † Electronic Supplementary Information (ESI) available: [details of any supplementary information available should be included here]. See DOI: 10.1039/x0xx00000x

ARTICLE Journal Name

promote catalytic CO_2 hydrogenations.^{2, 18, 19, 25, 29} Primary amines capture CO_2 as carbamate species,³⁰ tertiary amines capture CO_2 only in the presence of water or alcohol and form bicarbonate (HCO_3 -) or alkylcarbonate (RCO_3 -) species.^{26, 31} In our approach, the CO_2 hydrogenation to methanol in the condensed-phase can either proceed via formamide (Scheme 1, pathway a) or ester intermediates (Scheme 1, pathway b) in the capture solvent medium. A CO_2 capture solvent typically contains amine or amino alcohol moieties dissolved in aqueous or organic solvents. When primary and secondary amines are used, pathway (a) is favoured, where the formate and formamide intermediates are involved.^{2, 32} In the case of tertiary amines, the formate species cannot form formamide as it lacks the -NH- moiety, hence it requires an alcohol group to form ester intermediate, which then gets reduced to methanol (pathway b, Scheme 1).^{25, 33}

Scheme 1.

The purpose of the present work is to study the reactivity of CO_2 towards catalytic hydrogenation in both pre-combustion and post-combustion carbon capture solvents, particularly with high boiling capture amines/solvents to improve the productivity and ease the separation of hydrogenation products thereby making the process VOC-free. A second target of this work was to assess bio-derived and biodegradable alcohols and bases to achieve integrated capture and conversion of CO_2 to methanol process as environmentally benign as possible.

2. Experimental

All materials were purchased from commercial suppliers and used without further purification unless otherwise mentioned. Pd/ZnO, Pd/Al $_2$ O $_3$ and Pd/SiO $_2$ were prepared by incipient wetness impregnation method. 34 Pd(NO $_3$) $_2$ and Al $_2$ O $_3$ were obtained from Engelhard. ZnO and silica gel were purchased from Sigma-Aldrich. Cu/ZnO/Al $_2$ O $_3$ catalyst was obtained from Synetix. Inductively coupled plasma optical emission spectroscopy (ICP-OES) analysis of the Cu/ZnO/Al $_2$ O $_3$ showed 55.7 wt.% Cu, 26.8 wt.% Zn, and 5.0 wt.% Al. Catalysts were activated under H $_2$ atmosphere (50 bar) at 120 °C in a parr reactor (300 mL). After 12h, the remaining H $_2$ pressure from the reactor was released 120 °C and cooled to room temperature.

Standard procedure for the CO, hydrogenation

The above pre-activated catalyst was transferred to a 300 mL Parr reactor and a given amount of amine, alcohol and/or THF were

added to the reactor vessel and the reactor was sealed in a nitrogen glovebox. The reactor was first pressurized with ${\rm CO}_2$ and then ${\rm H}_2$ was introduced. The reactor was heated to set temperature. After 12 or 16 h, the reactor was cooled to room temperature and then to -78 °C, the excess pressure was released slowly. 100 mg of 1,3,5-trimethoxybenzene was added as an internal standard to the reaction mixture (if necessary, DMSO or water was added to the reaction mixture to dissolve the solid). The supernatant was decanted or filtered from the catalyst and analysed by $^1{\rm H}$ and $^{13}{\rm C}$ NMR experiments.

Recycling experiments

The pre-activated catalyst was transferred to a 300 mL Parr reactor and a given amount of chitosan and alcohol were added to the reactor vessel and the reactor was sealed in a nitrogen glovebox. The reactor was first pressurized with 20 bar CO_2 and then additional 40 bar of H_2 was introduced (total pressure = 60 bar). Then, the reactor was heated to 170 °C. After 12 h, the reactor was cooled to room temperature and then to -78 °C, the excess pressure was released slowly. The insoluble solids (chitosan and catalyst) was separated from the supernatant (alcohol) by centrifugation. 100 mg of 1,3,5-trimethoxybenzene was added as an internal standard to the supernatant and analysed by 1H and ^{13}C NMR experiments. The solids (chitosan and catalyst) were reused for subsequent cycles. For each cycle, fresh alcohol was added, and above hydrogenation procedure was followed.

3. Results and discussion

Monoethanol amine (MEA)—the most referenced, amine solvent for post-combustion CO_2 capture^{35, 36}—was studied as it is less investigated in the literature for combined capture and conversion. MEA was used for the initial screening with the palladium-based heterogeneous catalysts (Table 1). Palladium catalysts were already reported in the literature for N-formylation of amines using CO_2/H_2 in good yields using aliphatic secondary amine substrates. However, compared to the homogeneous catalysts, ^{37, 38} the heterogenous catalysts have relatively low turnover number (TON <300) for N-formylation.^{39, 40} MEA was also attractive from a chemistry standpoint as it contains both primary amine and alcohol moieties that are known to promote the catalytic hydrogenation of CO_2 into methanol via formamide or formate ester intermediates.

At 120 °C, in the presence of a 1 wt% Pd/SiO $_2$ catalyst, a TON of 255 was obtained for formamide species (3) under 55 bar of CO $_2$:H $_2$ pressure (TON calculated based on number of moles of product formed per mole of catalyst used and not based on the active sites). THF was used as a solvent as the ethereal or alcoholic solvents were commonly used for hydrogenation studies to improve the catalyst's performance both in homogeneous and heterogenous catalysis. $^{2, 25}$, 41 The experiments in Table 1 were studied at higher temperature (>100 °C) as the formation of formamide (3) from formate (2) typically requires such temperatures in the absence of catalysts. Changing the palladium support from SiO $_2$ to Al $_2$ O $_3$ significantly decreased the catalytic activity.

Journal Name ARTICLE

Table 1.

HO
$$\frac{\text{NH}_2}{\text{Cat.}}$$
 HO $\frac{\text{-H}_2\text{O}}{\text{H}_2}$ HO $\frac{\text{-H}_2\text{O}}{\text{H}_2}$ HO $\frac{\text{-H}_2\text{O}}{\text{H}_2}$ HO $\frac{\text{-H}_2\text{O}}{\text{-H}_2\text{O}}$ HO $\frac{\text{-H}_2\text{O}}{\text{-$

Entry	Catalysts	T (°C)	TON (3)
1	1 wt% Pd/SiO ₂	120	255
2	1 wt% Pd/Al ₂ O ₃	120	96
3	1 wt% Pd/SiO ₂	150	362
4 ^a	5 wt% Pd/ZnO	125	650
5	5 wt% Pd/ZnO	150	1543
6	5 wt% Pd/ZnO	170	693
7 ^b	5 wt% Pd/ZnO	150	-

Reaction conditions: catalyst = 30 mg, P = 55 bar $(CO_2:1.5 H_2)$, THF=20 mL, MEA (1) = 32.7 mmol, time = 16h, TON was calculated based on 1H NMR using 1,3,5-trimethoxy benzene as an internal standard. a60h , bDIPEA (32.7 mmol) was used instead of MEA.

Increasing the temperature from 120 °C to 150 °C increased the TON to 362. The Pd-Zn alloy formed on the reduction of Pd/ZnO was reported to be active towards CO_2 hydrogenation to methanol in gas phase. ^{42,43,44,45} In the presence of capture amine, MEA, Pd/ZnO gave a TON of 1543, which is to the best of our knowledge is the highest number reported in the literature for N-formylation. Increasing the temperature further to 170 °C was detrimental to the hydrogenation reaction as it dropped the activity of the catalyst for N-formylation. When a tertiary amine, DIPEA was used as a capture solvent instead of MEA, no detectable amount of any CO_2 hydrogenated products were observed. None of the experiments in Table 1 formed methanol, the final product (or the ester intermediate), therefore we decided to focus on the final step, hydrogenation of formamide.

Table 2.

Entry	Substrates	Catalyst	Hydrogenation product
1	N—C	5wt% Pd/ZnO	4% trimethylamine TON = 86
2	но ни ни н	5wt% Pd/ZnO	-

Reaction conditions: catalyst = 30 mg, T=150 °C, P = 55 bar (H_2) entry 1: Dimethylformamide = 32.7 mmol, time=60 h, THF=20 mL; entry 2: $\bf 3$ = 2.5 mmol, time=16 h, THF=7 mL. TON was calculated based on 1 H NMR using 1,3,5-trimethoxy benzene as an internal standard.

The hydrogenation of N-formamide can either undergo C-O or C-N bond cleavage. 46 The cleavage of C-N bond of the amide upon

hydrogenation form methanol and amine. On the other hand, amide hydrogenation can also proceed via C-O bond cleavage with a liberation of water to form N-methylated amine, which does not form methanol. Ar, All The selectivity towards the C-N or C-O bond cleavage depends on the catalyst and it is not clearly understood at this point. The hydrogenation of N, N'-dimethylformamide was studied in the presence of Pd/ZnO at 150 °C under 55 bar H₂ pressure (Table 2). It is evident from Table 2, entry 1 that the C-O bond cleavage is favorable in the case of Pd/ZnO catalyst. The hydrogenation of CO₂ in MEA in the presence of Cu/ZnO/Al₂O₃ catalyst at 170 °C under 60 bar CO₂/H₂ pressure (CO₂:2H₂), led to mostly decomposition of MEA, and no detectable amount of any CO₂ hydrogenated products were observed by 1 H NMR.

As the catalysts screened in this study towards CO_2 hydrogenation to methanol in MEA led to either decomposition or N-methylation of amine, we turned our attention to other concentrated capture solvents (amines and alcohols). The $Cu/ZnO/Al_2O_3$ is the catalyst of choice as we had shown previously that it is effective for CO_2 -to-methanol process in condensed-phase at relatively low temperature, though we knew little of the reactivity of amines and alcohols under these conditions. Several simple alcohols were studied to understand the influence of electronic and steric factors on the formation of methanol through formate ester route (pathway b, Scheme 1).

In Table 3, the effect of alcohol on the CO_2 reduction products were studied. In a neat high boiling amine pre-combustion capture solvent, DIPEA (N,N-diisopropylethylamine, bp. 126.6 °C), 26 in the presence of $Cu/ZnO/Al_2O_3$ without an alcohol at 170 °C, there was a small amount of methanol (19.2 mmol/L) and no detectable amount of formate ester observed by 1H and ^{13}C NMR experiments. The methanol may have formed from direct hydrogenation of CO_2 , in which the CO_2 and H_2 first reacts with Cu and form catalyst surface adsorbed species, HCOO (ad) and H(ad), the well-recognized intermediates. $^{49-52}$ Then the polarity effect of DIPEA may have facilitated the reduction of HCOO (ad) species to methanol by lowering its activation barrier.

Upon addition of ethanol to DIPEA, the methanol production has significantly increased to 473.7 mmol/L. This increase is because of the formation of formate ester intermediate, which is known to form under mild condition in the presence of an acidic or basic catalyst. Further reduction of ester in the presence of a catalyst forms methanol, desired product, and ethanol. By changing the alcohol from primary (ethanol) to secondary alcohol (isopropanol or 2-butanol), methanol, ammonium formate and formate ester concentrations decreased with increasing carbon on the alcohol. With t-butanol, the methanol and ester concentration decreased significantly to 191.1 mmol/L and no ammonium formate was detected by ¹H NMR. This suggests that the polarity of the solvent is important for the ammonium formate formation (ethanol is more polar than t-butanol) and sterics plays a key role in the formation of ester as the esterification step is known to have affected by the steric hindrance of the alkyl groups on alcohol. Both the polarity and sterics of the solvent affect the formation of methanol and therefore The solvent with high polarity and less steric crowding is favored for this ARTICLE Journal Name

reaction, noting that 1° alcohols produced the highest methanol yield under these conditions.

Table 3.

Entry	Capture Solvent	HCOO ⁻ mmol/L	HCOOR mmol/L	CH₃OH mmol/L
1 ª	DIPEA	traces	-	19.2
2	DIPEA-ethanol	72.4	46	473.7
3	DIPEA-isopropanol	32	32	372.3
4	DIPEA-2-butanol	9.2	18.3	330.3
5	DIPEA-t-butanol	0	8.9	191.1

Reaction conditions: $Cu/ZnO/Al_2O_3=300mg$, amine = 20 mmol, alcohol = 200 mmol, time = 16h, P = 60 bar ($CO_2:2H_2$), T = 170 °C, $^aDIPEA = 60$ mmol, HCOO-, HCOOR and CH_3OH concentrations were calculated based on 1H NMR using 1,3,5-trimethoxy benzene as an internal standard.

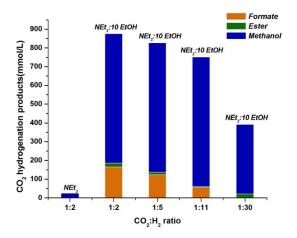
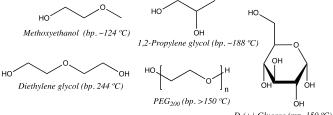


Figure 1. Reaction conditions: Cu/ZnO/Al $_2$ O $_3$ =300mg, NEt $_3$ =20 mmol, ethanol=200 mmol, time=12h, T=170 °C, P=60 bar; entry 1, amine=200 mmol; HCOO $^{-}$, HCOOR and CH $_3$ OH concentrations were calculated based on 1 H NMR using 1,3,5-trimethoxy benzene as an internal standard.

The change in CO₂/H₂ ratio on the methanol, alkyl ester and ammonium formate concentrations is shown in Figure 1. The NEt₃ethanol mixture was used instead of DIPEA-ethanol mixture as the less steric NEt₃ gave higher methanol concentration than DIPEA (entry 2, Table 3 vs entry 1, Table 4). Surprisingly, decreasing the CO₂:H₂ ratio from 1:2 to 1:11 did not change the methanol concentration and only the ammonium formate and alkyl ester concentrations decreased. The captured CO2, carbonates species (step 1, pathway b, Scheme 1) was probably the active species that was getting reduced and thus decrease in CO₂ partial pressure did not change the methanol concentration. In addition, the CO2 conversion in the case of CO₂:H₂ ratio of 1:2 was 4.7%. The decrease in CO₂:H₂ ratio to 1: 30 increased the CO₂ conversion to 16.1%. However, the total methanol concentration remained the same (689.6 mmol/L), suggesting possible deactivation of the catalyst due to the accumulation of products. Only when the CO₂:H₂ ratio was

significantly low (1:30), the methanol concentration decreased to 372.4 mmol/L (CO₂ conversion=21%).

Table 4.



D-(+)-Glucose (mp. 150 °C)

Entry	Capture Solvent	HCOO- mmol/L	HCOOR mmol/L	CH₃OH mmol/L	
1	NEt₃-ethanol	165.5	20.7	689.6	
2 ^a	NEt ₃ -ethanol	41.4	124.1	427.6	
3	NEt ₃ -methoxyethanol	107.5	7.5	699	
4	NEt ₃ -1,2- propyleneglycol	traces	traces	950.5	
5	NEt ₃ -PEG ₂₀₀	9.6	traces	490.4	
6	NEt ₃ -diethylene glycol	traces	138.2	975.6	
7	NEt₃-glucose	-	-	-	

Reaction conditions: Cu/ZnO/Al₂O₃=300mg, amine=20 mmol, alcohol=100 or 200 mmol, time=12h, P= 60 bar (CO₂:2H₂), T=170 °C; 3 t=110 °C for 6 h and 170 °C for 6 h, HCOO⁻, HCOOR and CH₃OH concentrations were calculated based on 1 H NMR using 1,3,5-trimethoxy benzene as an internal standard.

As there are examples, where the formate and ester formed at lower temperatures (~100 °C), we investigated stepwise reduction of CO_2 to methanol by first heating the reaction mixture at 110 °C for 6h and then to 170 °C for 6h to control the catalyst poisoning (entry 2, Table 4). 427.6 mmol/L of methanol was obtained, which is lower than the experiment where the reaction mixture was directly heated to 170 °C for 12h (689.6 mmol/L, entry 1, Table 4). Higher ester concentration in entry 2, Table 4 shows that higher temperature is required to hydrogenate the accumulated ester intermediate to methanol. Higher formate concentration in entry 1, Table 4 demonstrates that the esterification step slows down due to accumulation of water with increasing methanol concentration.

We first assessed the reactivity of varied VOC-free and or environmentally benign 1° alcohols under these conditions. Glycols, glymes and polyols have been used as physical solvents for precombustion⁵³ and co-solvents for post-combustion⁵⁴⁻⁵⁶ CO₂ capture. Further, polyethyleneglycol (PEG) has been shown to be a good medium for metal-catalyzed hydrogenation of CO₂.⁵⁷ Among the various high boiling alcohols investigated in Table 4 along with NEt₃, glycols yielded the highest methanol concentrations of 950.5 mmol/L and 975.6 mmol/L (entry 4 and 6, Table 4). Remarkably, the polymer, PEG₂₀₀ also provided high methanol concentration of 490.4 mmol/L which was comparable to ethanol. High boiling properties of glycols and PEG are attractive as the low volatile products, methanol and

Journal Name ARTICLE

water can be easily separated from these solvents. We note that while diethylene glycol (DEG) had the highest yield of methanol and is VOC-free, DEG is highly toxic and should be avoided. Conversely, the moderate reactivity, low cost and biodegradable nature of PEG makes it attractive alcohol/solvent in a green process.

Glucose was also studied as it is a bio-derived solid alcohol that has four secondary alcohol and one primary alcohol groups in which to react. Glucose was screened for the reaction with NEt₃ as it was expected to behave similar to the simple alcohols that are screened before. Unfortunately, glucose decomposed and charred under our reaction conditions and is unlikely to be viable alcohol source under these conditions.

Table 5.

Entry	Capture Solvent	HCOO mmol/L	HCOOR mmol/L	CH₃OH mmol/L
1	NMM-ethanol	-	43.2	446
2	N(Hex)₃-ethanol	32.4	54	373
3	EDDE-ethanol	-	299.3ª	0
4	chitosan-ethanol	-	181.8	227.3
5	chitosan-1,2- propyleneglycol	-	22.7	250
6	chitosan- diethyleneglycol	traces	30	472.7
7	chitosan-PEG ₂₀₀	-	7	139.5

Reaction conditions: Cu/ZnO/Al $_2$ O $_3$ =300mg, amine=20 mmol, chitosan=1.5 g, alcohol=100 or 200 mmol, PEG $_{200}$ =20g, time=12h, P=60 bar (CO $_2$:2H $_2$), T=170 °C, amixture of ester and formamide. HCOO $_7$, HCOOR and CH $_3$ OH concentrations were calculated based on $_1$ H NMR using 1,3,5-trimethoxy benzene as an internal standard.

Having the reactivity of high-boiling alcohols, we next studied VOC-free (high boiling) amines/aminoalcohols such as N,N'-Bis(2-hydroxyethyl)ethylenediamine (EDDE), N-methyl morpholine (NMM) and trihexyl amine (N(Hex)₃) (Table 5). For the initial studies, the simple alcohol, ethanol was used as a co-solvent since it was previously shown to be compatible with many amines. In NMM and N(Hex)₃, methanol concentrations of 446 and 373 mmol/L were obtained, respectively (entry 1 and 2, Table 5). Similar to MEA, use of aminoalcohol, EDDE did not form any methanol, showing that in-situ formed formamide intermediate is difficult to hydrogenate to methanol with the catalysts used in this study.

As with the alcohols, we then focused our efforts to study the reactivity of VOC-free, environmentally benign and biodegradable amines under these conditions. The only amine meeting these criteria is the polysaccharide, chitosan, which is produced by

deacylating chitin. Second being the second most abundant natural polymer after cellulose has one primary amine unit and two hydroxyl units. The primary amine group is known to reversibly bind with $\rm CO_2$ and form ammonium carbamate at room temperature. The D-glucosamine units of chitosan has been shown previously as a $\rm CO_2$ absorbent by various groups, $\rm ^{59-61}$ though to our knowledge there have been no studies on using chitosan for $\rm CO_2$ hydrogenation.

Using chitosan-ethanol mixture as a capture solvent, under our reaction conditions, a moderate methanol concentration of 227.3 mmol/L was obtained. This result is surprising, as chitosan is expected to be a solid under reaction conditions and even more surprising as glucose charred and exhibited zero reactivity. In the case of chitosan, although ester was identified by 1H NMR, we cannot rule out the possibility of methanol formation via formamide route as chitosan contain primary amine groups. Since ethanol is a volatile alcohol, non-volatile alcohols such as 1,2 propylene glycol, DEG and PEG₂₀₀ were studied under comparable conditions. The chitosan/DEG system showed the highest methanol concentration (472 mmol/L) while 1,2 propylene glycol and PEG₂₀₀ showed moderate methanol formation (250 mmol/L and 139.5 mmol/L respectively).

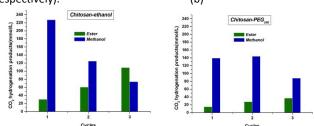


Figure 2. Reaction conditions: Cu/ZnO/Al $_2$ O $_3$ =300mg, chitosan=1.5 g, ethanol=200 mmol, PEG $_{200}$ =20g, time=12h, P=60 bar (CO $_2$:2H $_2$), T=170 °C, HCOO $^-$, HCOOR and CH $_3$ OH concentrations were calculated based on 1 H NMR using 1,3,5-trimethoxy benzene as an internal standard.

After the chitosan reactions, we observed a white solid with particles of catalyst underneath a clear colourless solution that was analysed and shown to contain only ethanol, methanol and water. The built-in separation of solid catalyst and solid amine led us to question whether the base and catalyst could be recovered and reused by decanting the supernatant and introducing fresh alcohol for multiple cycles of hydrogenation of CO_2 .

Recycling experiments were attempted with ethanol, DEG and PEG $_{200}$ alcohols. Ethanol recycling experiments showed a decrease in the yield of methanol over multiple cycles (Figure 2a). On the other hand, the concentration of ester continued to increase in subsequent cycles, showing that the catalyst becomes less active for ester hydrogenation. Catalyst sintering could probably be the reason behind the drop in the activity. The accumulation of by-product, water, might have caused the sintering, which was previously observed by us and others in batch reactor experiments. $^{25, 62}$

The recycling experiments with DEG and chitosan showed significant deactivation and the methanol concentration decreased from 472.7 mmol/L in the first cycle to 127.3 mmol/L in the second cycle. There was also obvious leaching of metal into the supernatant

ARTICLE Journal Name

as it became coloured after the first reaction cycle and therefore the recycling study was stopped after the second cycle.

Interestingly, recycling experiments with PEG $_{200}$ showed no loss in activity in the second cycle, though methanol yield decreased from 139.5 mmol/L to 88.4 mmol/L by the third cycle (Figure 2b). The formate ester concentration increased slightly from the first cycle to the third cycle. The reduced activity in all recycling experiments is indicative of deactivation of the catalyst likely due to sintering, which is expected to be slower in PEG $_{200}$ due to the available charge solvation from the numerous polyether moieties. 63 It is likely that more catalysts that are less susceptible to deactivation would enable a more robust recyclable CO_2 capture and conversion process.

4. Conclusions

In conclusion, we have demonstrated that heterogeneous systems produce methanol via ammonium formate and formate ester intermediate (pathway b, Scheme 1) from CO₂ and capture amine/solvent. Attempts to hydrogenate N-formamide intermediate (pathway a, Scheme 1) to methanol via C-N bond cleavage led to C-O bond cleavage and resulted in N-methylation. Unlike homogenous systems, where both ester and formamide intermediates were known to get hydrogenated further to methanol, heterogeneous system can only hydrogenate ester intermediate to methanol. We have also successfully demonstrated hydrogenation of CO₂ to methanol using capture solvents with high boiling points. Methanol concentration of 139.5 mmol/L was obtained in VOC-free, non-toxic, bio-derived and biodegradable capture solvent medium based on chitosan/PEG₂₀₀. The chitosan and catalyst were recycled for 3 times with observation of reduced activity after second cycle. The future studies will be focussed on understanding the catalyst deactivation pathway(s) and identifying durable catalyst for the combined CO₂ capture and conversion.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

The authors thank the United States Department of Energy's Office of Science Basic Energy Sciences Early Career Research Program FWP 67038 for funding. We would like to thank Vanessa Dagle and Robert A. Dagle for providing us with the catalysts. The Pacific Northwest National Laboratory is proudly operated by Battelle for the US Department of Energy.

Notes and references

 T. F. Stocker, D. Qin, G. K. Plattner, M. Tignor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex and P. M. Midgley, Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, 2013.

- J. Kothandaraman, A. Goeppert, M. Czaun, G. A. Olah and G. K. Prakash, *J Am. Chem. Soc.*, 2016, **138**, 778-781.
- 3. https://www.esrl.noaa.gov/gmd/ccgg/trends/.
- 4. M. Höök and X. Tang, *Energy Policy*, 2013, **52**, 797-809.
- G. A. Olah, G. K. Prakash and A. Goeppert, J. Am. Chem. Soc., 2011, 133, 12881-12898.
- G. A. Olah, A. Goeppert and G. K. Prakash, J. Org. Chem., 2009, 74, 487-498.
- 7. C. Song, Catal. Today, 2006, 115, 2-32.
- J. Leclaire and D. J. Heldebrant, Green Chem., 2018, 20, 5058-5081.
- M. A. Scibioh and B. Viswanathan, Carbon Dioxide to Chemicals and Fuels, Elsevier, 2018.
- J. Artz, T. E. Muller, K. Thenert, J. Kleinekorte, R. Meys, A. Sternberg, A. Bardow and W. Leitner, *Chem. Rev.*, 2018, 118, 434-504.
- L. S. Guo, J. Sun, Q. J. Ge and N. Tsubaki, J Mater Chem A, 2018, 6, 23244-23262.
- 12. https://www.methanex.com/about-methanol
- P. Markewitz, W. Kuckshinrichs, W. Leitner, J. Linssen, P. Zapp, R. Bongartz, A. Schreiber and T. E. Muller, *Energy Environ. Sci.*, 2012, 5, 7281-7305.
- 14. E. Alper and O. Yuksel Orhan, *Petroleum*, 2017, **3**, 109-126.
- I. Kim and H. F. Svendsen, Int. J. Greenh. Gas Con., 2011, 5, 390-395.
- 16. https://www.netl.doe.gov/sites/default/files/netl-files/netl-files/netl-files/carbon-Capture-Technology-Compendium-2018.pdf
- 17. J. Kothandaraman, J. Zhang, V.-A. Glezakou, M. T. Mock and D. J. Heldebrant, *J. CO2 Utili.*, 2019, **32**, 196-201.
- 18. D. B. Lao, B. R. Galan, J. C. Linehan and D. J. Heldebrant, *Green Chem.*, 2016, **18**, 4871-4874.
- J. Kothandaraman, A. Goeppert, M. Czaun, G. A. Olah and G. K. S. Prakash, *Green Chem.*, 2016, 18, 5831-5838.
- 20. M. Lu, J. Zhang, Y. Yao, J. Sun, Y. Wang and H. Lin, *Green Chem.*, 2018, **20**, 4292-4298.
- J. Su, M. Lu and H. F. Lin, Green Chem., 2015, 17, 2769-2773.
- C. Reller, M. Poge, A. Lissner and F. O. Mertens, *Environ. Sci. Technol.*, 2014, 48, 14799-14804.
- Y. N. Li, L. N. He, A. H. Liu, X. D. Lang, Z. Z. Yang, B. Yu and C. R. Luan, *Green Chem.*, 2013, 15, 2825-2829.
- 24. N. D. McNamara and J. C. Hicks, *ChemSusChem*, 2014, **7**, 1114-1124.
- J. Kothandaraman, R. A. Dagle, V. L. Dagle, S. D. Davidson,
 E. D. Walter, S. D. Burton, D. W. Hoyt and D. J. Heldebrant,
 Catal Sci Technol, 2018, 8, 5098–5103.
- J. E. Rainbolt, P. K. Koech, C. R. Yonker, F. Zheng, D. Main, M. L. Weaver, J. C. Linehan and D. J. Heldebrant, *Energy Environ. Sci.*, 2011, 4, 480-484.
- 27. https://netl.doe.gov/sites/default/files/netl-file/CO2-Capture-Tech-Update-2013-Post-Combustion-Solvents.pdf
- D. J. Heldebrant, P. K. Koech, V. A. Glezakou, R. Rousseau,
 D. Malhotra and D. C. Cantu, *Chem. Rev.*, 2017, **117**, 9594-9624.
- S. Kar, J. Kothandaraman, A. Goeppert and G. K. S. Prakash,
 J. CO2. Util., 2018, 23, 212-218.
 - M. Caplow, J. Am. Chem. Soc., 1968, 90, 6795-6803.

30.

Journal Name ARTICLE

- 31. T. L. Donaldson and Y. N. Nguyen, *Ind. Eng. Chem. Fundam.*, 1980, **19**, 260-266.
- 32. S. Kar, R. Sen, J. Kothandaraman, A. Goeppert, R. Chowdhury, S. B. Munoz, R. Haiges and G. K. S. Prakash, *J. Am. Chem. Soc.*, 2019, **141**, 3160-3170.
- Y. Chen, S. Choi and L. T. Thompson, *Catal. Today*, 2016, 259, 285-291.
- 34. Y. H. Chin, R. Dagle, J. L. Hu, A. C. Dohnalkova and Y. Wang, *Catal. Today*, 2002, **77**, 79-88.
- 35. P. Luis, Desalination, 2016, **380**, 93-99.
- 36. D. Aaron and C. Tsouris, *Sep. Sci. Technol.*, 2005, **40**, 321-348
- R. Kuhlmann, K. U. Kunnemann, L. Hinderink, A. Behr and A. J. Vorholt, ACS Sustainable Chem. Eng., 2019, 7, 4924-4931.
- L. Zhang, Z. Han, X. Zhao, Z. Wang and K. Ding, *Angew. Chem. Int. Ed*, 2015, 54, 6186-6189.
- 39. Y. Zhang, H. Wang, H. Yuan and F. Shi, *ACS Sustainable Chem. Eng.*, 2017, **5**, 5758-5765.
- X. Yu, Z. Yang, S. Guo, Z. Liu, H. Zhang, B. Yu, Y. Zhao and Z. Liu, Chem. Commun., 2018, 54, 7633-7636.
- 41. Y. Wang, B. Chen, S. Liu, X. Shen, S. Li, Y. Yang, H. Liu and B. Han, *ChemCatChem*, 2018, **10**, 5124-5127.
- 42. C. H. Kim, J. S. Lee and D. L. Trimm, *Top Catal*, 2003, **22**, 319-324.
- H. Bahruji, M. Bowker, G. Hutchings, N. Dimitratos, P. Wells, E. Gibson, W. Jones, C. Brookes, D. Morgan and G. Lalev, J. Catal., 2016, 343.
- J. Xu, X. Su, X. Liu, X. Pan, G. Pei, Y. Huang, X. Wang, T. Zhang and H. Geng, Appl. Catal., A, 2016, 514, 51-59.
- 45. V. M. Lebarbier, R. A. Dagle, L. Kovarik, J. A. Lizarazo-Adarme, D. L. King and D. R. Palo, *Catal Sci Technol*, 2012, **2**, 2116-2127.
- 46. P. A. Dub and T. Ikariya, ACS Catal., 2012, 2, 1718-1741.
- O. Santoro, F. Lazreg, Y. Minenkov, L. Cavallo and C. S. Cazin, *Dalton Trans.*, 2015, 44, 18138-18144.
- 48. K. Beydoun, K. Thenert, E. S. Streng, S. Brosinski, W. Leitner and J. Klankermayer, *ChemCatChem*, 2016, **8**, 135-138.
- M. D. Porosoff, B. Yan and J. G. Chen, *Energy Environ. Sci.*, 2016, 9, 62-73.
- S. Kattel, P. J. Ramirez, J. G. Chen, J. A. Rodriguez and P. Liu, Science, 2017, 355, 1296-1299.
- X. Wang, H. Zhang and W. Li, Korean J. Chem. Eng., 2010, 27, 1093-1098.
- 52. J. Wambach, A. Baiker and A. Wokaun, *Phys. Chem. Chem. Phys.*, 1999, **1**, 5071-5080.
- 53. B. Burr and L. A. Lyddon, presented in part at the 87th Annu. Conv. Proc, 2008.
- R. J. Perry, T. A. Grocela-Rocha, M. J. O'Brien, S. Genovese,
 B. R. Wood, L. N. Lewis, H. Lam, G. Soloveichik, M. Rubinsztajn, S. Kniajanski, S. Draper, R. M. Enick, J. K. Johnson, H. B. Xie and D. Tapriyal, *ChemSusChem*, 2010, 3, 919-930.
- 55. R. J. Perry and M. J. O'Brien, *Energy Fuels*, 2011, **25**, 1906-1918.
- 56. R. J. Perry and J. L. Davis, *Energy Fuels*, 2012, **26**, 2512-2517
- D. J. Heldebrant and P. G. Jessop, J Am. Chem. Soc., 2003, 125, 5600-5601.
- D. Elieh-Ali-Komi and M. R. Hamblin, *Int. J. Adv. Res.*, 2016,
 4, 411-427.

- 59. Gregor Sneddon, Alexey Y. Ganin and H. H. P. Yiu, *Energy Technol.*, 2015, **3**, 249-258.
- H. B. Xie, S. B. Zhang and S. H. Li, Green Chem., 2006, 8, 630-633.
- 61. K. Oslera, N. Twalaa, O. O. Oluwasina and M. O. Daramolaa, Energy Procedia, 2017, **114**, 2330-2335.
- J. T. Sun, I. S. Metcalfe and M. Sahibzada, *Ind. Eng. Chem. Res.*, 1999, 38, 3868-3872.
- 63. D. Balasubramanian and B. Chandani, J. Chem. Educ., 1983,